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J.-M. Morel, Cachan

F. Takens, Groningen

B. Teissier, Paris

Maria Chlouveraki

# Blocks and Families for Cyclotomic Hecke Algebras



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Maria Chlouveraki  
École Polytechnique Fédérale de Lausanne  
SB IGAT CTG  
Bâtiment BCH 3105  
1015 Lausanne  
Switzerland  
maria.chlouveraki@epfl.ch

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# Preface

This book contains a thorough study of symmetric algebras, covering topics such as block theory, representation theory and Clifford theory. It can also serve as an introduction to the Hecke algebras of complex reflection groups. Its aim is the study of the blocks and the determination of the families of characters of the cyclotomic Hecke algebras associated to complex reflection groups.

I would like to thank my thesis advisor, Michel Broué, for his advice. These Springer Lecture Notes were, after all, his idea. I am grateful to Jean Michel for his help with the implementation and presentation of the programming part. I would like to thank Gunter Malle for his suggestion that I generalize my results on Hecke algebras, which led to the notion of “essential algebras”. I also express my thanks to Cédric Bonnafé, Meinolf Geck, Nicolas Jacon, Raphaël Rouquier and Jacques Thévenaz for their useful comments. Finally, I thank Thanos Tsouanas for copy-editing this manuscript.

# Introduction

The finite groups of matrices with coefficients in  $\mathbb{Q}$  generated by reflections, known as *Weyl groups*, are a fundamental building block in the classification of semisimple complex Lie algebras and Lie groups, as well as semisimple algebraic groups over arbitrary algebraically closed fields. They are also a foundation for many other significant mathematical theories, including braid groups and Hecke algebras.

The Weyl groups are particular cases of *complex reflection groups*, finite groups of matrices with coefficients in a finite abelian extension of  $\mathbb{Q}$  generated by “pseudo-reflections” (elements whose vector space of fixed points is a hyperplane) — if the coefficients belong to  $\mathbb{R}$ , then these are the finite Coxeter groups.

The work of Lusztig on the irreducible characters of reductive groups over finite fields (cf. [45]) has demonstrated the important role of the “families of characters” of the Weyl groups concerned. However, only recently was it realized that it would be of great interest to generalize the notion of families of characters to the complex reflection groups, or more precisely to the cyclotomic Hecke algebras associated to complex reflection groups.

On the one hand, the complex reflection groups and their associated cyclotomic Hecke algebras appear naturally in the classification of the “cyclotomic Harish-Chandra series” of the characters of the finite reductive groups, generalizing the role of the Weyl group and its traditional Hecke algebra in the principal series (cf. [19,20]). Since the families of characters of the Weyl group play an essential role in the definition of the families of unipotent characters of the corresponding finite reductive group, we can hope that the families of characters of the cyclotomic Hecke algebras play a key role in the organization of families of unipotent characters more generally.

On the other hand, for some complex reflection groups (non-Coxeter)  $W$ , some data have been gathered which seem to indicate that behind the group  $W$ , there exists another mysterious object — the *Spets* (cf. [21,52]) — that could play the role of the “series of finite reductive groups with Weyl group  $W$ ”. In some cases, one can define the unipotent characters of the *Spets*, which are controlled by the “spetsial” Hecke algebra of  $W$ , a generalization of the classical Hecke algebra of the Weyl groups.

The main obstacle for this generalization is the lack of Kazhdan-Lusztig bases for the non-Coxeter complex reflection groups. However, more recent results of Gyoja [41] and Rouquier [58] have made possible the definition of a substitute for families of characters which can be applied to all complex reflection groups. Gyoja has shown (case by case) that the partition into “ $p$ -blocks” of the Iwahori-Hecke algebra of a Weyl group  $W$  coincides with the partition into families, when  $p$  is the unique bad prime number for  $W$ . Later, Rouquier proved that the families of characters of a Weyl group  $W$  are exactly the blocks of characters of the Iwahori-Hecke algebra of  $W$  over a suitable coefficient ring, the “Rouquier ring”.

Broué, Malle and Rouquier (cf. [22]) have shown that we can define the *generic Hecke algebra*  $\mathcal{H}(W)$  associated to a complex reflection group  $W$  as a quotient of the group algebra of the braid group of  $W$ . The algebra  $\mathcal{H}(W)$  is an algebra over a Laurent polynomial ring in a set of indeterminates  $\mathbf{v} = (v_i)_{0 \leq i \leq m}$  whose cardinality  $m$  depends on the group  $W$ . A *cyclotomic Hecke algebra* is an algebra obtained from  $\mathcal{H}(W)$  via a specialization of the form  $v_i \mapsto y^{n_i}$ , where  $y$  is an indeterminate and  $n_i \in \mathbb{Z}$  for all  $i = 0, 1, \dots, m$ . The blocks of a cyclotomic Hecke algebra over the Rouquier ring are the *Rouquier blocks* of the cyclotomic Hecke algebra. Thus, the Rouquier blocks generalize the notion of the families of characters to all complex reflection groups.

In [18], Broué and Kim presented an algorithm for the determination of the Rouquier blocks of the cyclotomic Hecke algebras of the groups  $G(d, 1, r)$  and  $G(e, e, r)$ . Later, Kim (cf. [42]) generalized this algorithm to include all the groups of the infinite series  $G(de, e, r)$ . However, it was realized recently that their algorithm does not work in general, unless  $d$  is a power of a prime number. Moreover, the Rouquier blocks of the spetsial cyclotomic Hecke algebra of many exceptional irreducible complex reflection groups have been calculated by Malle and Rouquier in [53]. In this book, we correct and complete the determination of the Rouquier blocks for all cyclotomic Hecke algebras and all complex reflection groups.

The key in our study of the Rouquier blocks has been the proof of the fact that they have the property of “semi-continuity” (the name is due to C. Bonnafé). Every complex reflection group  $W$  determines some numerical data, which in turn determine the “essential” hyperplanes for  $W$ . To each essential hyperplane  $H$ , we can associate a partition  $\mathcal{B}(H)$  of the set of irreducible characters of  $W$  into blocks. Given a cyclotomic specialization  $v_i \mapsto y^{n_i}$ , the Rouquier blocks of the corresponding cyclotomic Hecke algebra depend only on which essential hyperplanes the integers  $n_i$  belong to. In particular, they are unions of the blocks associated with the essential hyperplanes to which the integers  $n_i$  belong, and they are minimal with respect to that property.

The property of semi-continuity also appears in works on Kazhdan-Lusztig cells (cf. [9, 10, 40]) and on Cherednik algebras (cf. [38]). The common appearance of this as yet unexplained phenomenon implies a connection between

these structures and the Rouquier blocks, for which the reason is not yet apparent, but promises to be fruitful when explored thoroughly. In particular, due to the known relation between Kazhdan-Lusztig cells and families of characters for Coxeter groups, this could be an indication of the existence of Kazhdan-Lusztig bases for the (non-Coxeter) complex reflection groups.

Another indication of this fact comes from the determination of the Rouquier blocks of the cyclotomic Hecke algebras of all complex reflection groups, obtained in the last chapter of this book with the use of the theory of “essential hyperplanes”. In the case of the Weyl groups and their usual Hecke algebra, Lusztig attaches to every irreducible character two integers, denoted by  $a$  and  $A$ , and shows (cf. [46], 3.3 and 3.4) that they are constant on the families. In an analogous way, we can define integers  $a$  and  $A$  attached to every irreducible character of a cyclotomic Hecke algebra of a complex reflection group. Using the classification of the Rouquier blocks, it has been proved that the integers  $a$  and  $A$  are constant on the “families of characters” of the cyclotomic Hecke algebras of all complex reflection groups (see end of Chapter 4).

The first chapter of this book is dedicated to commutative algebra. The need for the results presented in this chapter (some of them are well-known, but others are completely new) arises from the fact that when we are working on Hecke algebras of complex reflection groups, we work over integrally closed rings, which are not necessarily unique factorization domains.

In the second chapter, we present some classical results of block theory and representation theory of symmetric algebras. We see that the *Schur elements* associated to the irreducible characters of a symmetric algebra play a crucial role in the determination of its blocks. Moreover, we generalize the results known as “Clifford theory” (cf., for example, [29]), which determine the blocks of certain subalgebras of symmetric algebras, to the case of “twisted symmetric algebras of finite groups”. Finally, we give a new criterion for a symmetric algebra to be split semisimple.

In the third chapter, we introduce the notion of “essential algebras”. These are symmetric algebras whose Schur elements have a specific form: they are products of irreducible polynomials evaluated on monomials. We obtain many results on the block theory of these algebras, which we later apply to the Hecke algebras, after we prove that they are essential in Chapter 4. In particular, we have our first encounter with the phenomenon of semi-continuity (see Theorem 3.3.2).

It is in the fourth chapter that we define for the first time the braid group, the generic Hecke algebra and the cyclotomic Hecke algebras associated to a complex reflection group. We show that the generic Hecke algebra of a complex reflection group is essential, by proving that its Schur elements are of the required form. Applying the results of Chapter 3, we obtain that the Rouquier blocks (*i.e.*, the families of characters) of the cyclotomic Hecke algebras have the property of semi-continuity and only depend on some “essential” hyperplanes for the group, which are determined by the generic Hecke algebra.

In the fifth and final chapter of this book, we present the algorithms and the results of the determination of the families of characters for all irreducible complex reflection groups. The use of Clifford theory is essential, since it allows us to restrict ourselves to the study of only certain cases of complex reflection groups. The computations were made with the use of the GAP package CHEVIE (cf. [37]) for the exceptional irreducible complex reflection groups, whereas combinatorial methods were applied to the groups of the infinite series. In particular, we show that the families of characters for the latter can be obtained from the families of characters of the Weyl groups of type  $B$ , already determined by Lusztig.



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