

Seismic Ground Response Analysis

GEOTECHNICAL, GEOLOGICAL AND EARTHQUAKE ENGINEERING

Volume 36

Series Editor

Atilla Ansal, School of Engineering, Özyeğin University, Istanbul, Turkey

Editorial Advisory Board

Julian Bommer, Imperial College London, U.K.

Jonathan D. Bray, University of California, Berkeley, U.S.A.

Kyriazis Pitilakis, Aristotle University of Thessaloniki, Greece

Susumu Yasuda, Tokyo Denki University, Japan

For further volumes:

<http://www.springer.com/series/6011>

Nozomu Yoshida

Seismic Ground Response Analysis

 Springer

Nozomu Yoshida
Department of Civil and
Environment Engineering
Tohoku Gakuin University
Miyagi, Japan

ISSN 1573-6059

ISBN 978-94-017-9459-6

DOI 10.1007/978-94-017-9460-2

Springer Dordrecht Heidelberg New York London

ISSN 1872-4671 (electronic)

ISBN 978-94-017-9460-2 (eBook)

Library of Congress Control Number: 2014956021

© Springer Science+Business Media Dordrecht 2015

This work is subject to copyright. All rights are reserved by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed. Exempted from this legal reservation are brief excerpts in connection with reviews or scholarly analysis or material supplied specifically for the purpose of being entered and executed on a computer system, for exclusive use by the purchaser of the work. Duplication of this publication or parts thereof is permitted only under the provisions of the Copyright Law of the Publisher's location, in its current version, and permission for use must always be obtained from Springer. Permissions for use may be obtained through RightsLink at the Copyright Clearance Center. Violations are liable to prosecution under the respective Copyright Law.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

While the advice and information in this book are believed to be true and accurate at the date of publication, neither the authors nor the editors nor the publisher can accept any legal responsibility for any errors or omissions that may be made. The publisher makes no warranty, express or implied, with respect to the material contained herein.

Printed on acid-free paper

Springer is part of Springer Science+Business Media (www.springer.com)

Preface

Earthquake resistant design is an important consideration for designing structures in seismic prone areas including Japan. Recently, following the developments in seismology and earthquake engineering, design earthquake motion is defined at the engineering seismic base layer (engineering bedrock) or the seismic bedrock regardless of the structural type and the ground type. Therefore, in order to get the design earthquake motion at the ground surface or at the foundation of a structure, engineers need to make a seismic ground response analysis.

I graduated from the Department of Architecture and Architectural Engineering, Kyoto University (Japan), and my thesis for doctor of engineering was cyclic behavior of steel braces subjected to earthquake loading. Several years after my post-doctoral life in the university, I joined Sato Kogyo, a general contractor firm, and I engaged in the design of the RCWS (reactor cooling water system) of a nuclear power plant. There, I faced a problem on how to consider the liquefaction of the crushed rock that was used to fill the excavated area during construction just neighboring the grit chamber. I visited Prof. Ishihara, University of Tokyo, to get an idea. He introduced a computer program YUSAYUSA and I engaged to improve it with him, which is now open for public from my website (Yoshida and Towhata 1991), and it was my first technical paper in this field. Soon after, Prof. Ishihara introduced me to Prof. Finn, University of British Columbia, Canada, and I got a chance to stay with him for 1 year. I engaged to develop the computer code TARA-3 (Finn et al. 1986). After coming back to Japan, I decided to change my research topic from structure to geotechnics, especially earthquake geotechnical engineering.

There were many differences between the structure and the soil as engineering materials and I was sometimes overwhelmed by these differences. Why, for example, stress-strain curve is expressed by, so-called, $G-\gamma$ and $h-\gamma$ relationships, and why just an approximated method is called an equivalent linear method, etc. Modeling the stress-strain behaviour was not a big issue in structural engineering, but it was a big issue in the new field. The error of the analysis was very large compared with the one in my former field. Things that are believed to be common

sense looked curious to me. So, it was a new world for me and I believed that I could contribute something in this field, which is the reason why I decided to move to the earthquake geotechnical engineering.

I started as an academician in the university several years ago quitting my second practical engineering job in Oyo Corporation, a consulting company. I was surprised that there is no time to teach the seismic ground response analysis in the syllabus. There are many topics that should be taught in the university, but time is limited. Seismic ground response analysis is a difficult issue because all other issues taught are necessary in order to understand the behavior during an earthquake.

On the other hand, seismic ground response analysis is an essential tool for the practical engineers. Then I had a question how they study this subject. Just that time, I was asked a lecture titled “seismic ground response analysis for practical use” from Prof. Wakamatsu, Kanto Gakuin University, who was a board member of the Japan Association for Earthquake Engineering (JAEE). Fortunately, the lecture was successful such that the JAEE turned away many people as the room became full. Then I understood that practical knowledge is desired from the practical engineer.

I published a book in Japanese in 2010 (Yoshida 2010) on the seismic ground response analysis based on this lecture. There are already many books on this subject. I feel, however, that there is no book that gives the knowledge or techniques required by the engineering practice or that the engineer can refer in their daily job. Many books deal with only the theoretical field, but there are many issues that cannot be discussed only by theory. So I especially focused on these topics. Soon after the publication of the Japanese book, Prof. Ansal and Dr. Tönük, Bogazici University, Turkey, suggested me to write an English version of this book. I omitted many theoretical descriptions in the Japanese version, but I think it would be better to add some theoretical parts for the foreign readers, which was also a suggestion by Dr. Tönük. So this is quite a new book.

This book deals with the total stress analysis, and the effective stress analysis or the liquefaction analysis is not considered partly because the effective stress analysis is more difficult compared with the total stress analysis and partly because another volume may be required to write the introductory part of the liquefaction analysis.

The author wishes sincere thanks to Prof. Wakamatsu who gave a chance to publish the Japanese book, and Prof. Ansal and Dr. Tönük who suggested the English version. Thanks are extended to Drs. Ohya, Port and Airport Research Institute, and Dr. Miura, Oyo cooperation, for their pre-reading of the Japanese version. Thanks are also extended to Dr. Tönük and Prof. Bhattacharya, University of Surry, UK, who checked my English.

Notes for Reading This Book

This book follows SI unit system (Promotion committee of SI unit 1999). In other words, kN, m, and s are used for force, length, and time, respectively. Unit for pressure is expressed by kPa instead of kN/m². In the field of seismology, Gal

(gal) has been used for acceleration, but it is not used in this book although it is possible as exceptional treatment. In the same manner, kine has been frequently used for velocity, but is not used in the book, either, because it is a non-SI unit. When converting the old unit system into the SI units, acceleration of gravity g is usually taken as 9.8 m/s^2 , but 10 m/s^2 can also be used. Error of 2 % appears by this interpolation, but it is an acceptable error in many fields of engineering.

All the figures and equations are given using the SI unit. In the field of the geotechnical engineering, many empirical equations have been developed, and they are usually valid only in the specified unit. They are also rewritten in the SI unit system in this book.

Recently, information and data are published not only in the technical paper but also in the website. Here, unlike the technical paper, contents of the web are sometimes revised or erased, in which case the reader cannot find the data. In this book, the valid date of the existing website is shown in brackets [].

Abbreviations are used for several Japanese organizations which appear frequently in this book. They are as follows

AIJ:	Architectural Institute of Japan
JAEE:	Japan Association for Earthquake Engineering
JGS:	Japanese Geotechnical Society
JSCE:	Japan Society of Civil Engineering
JSSMFE:	Japan Society of Soil Mechanics and Foundation Engineering, renamed JGS in 1995
PARI:	Port and Airport Research Institute
PWRI:	Public Work Research Institute

Sendai, Miyagi, Japan

Nozomu Yoshida

References

- Finn WDL, Yogendrakumar M, Yoshida N, Yoshida H (1986) TARA-3, a program for nonlinear static and dynamic effective stress analysis, Soil Dynamic Group, University of British Columbia, Vancouver
- Promotion committee of SI unit (1999) JIS 8203 SI unit and its usage -from gravitation system unit to International system unit (SI unit)-, Ministry of International Trade and Industry of Japan (in Japanese); International Bureau of Weights and Measures (2006): The International System of Units (SI), 8th edn
- Yoshida N (2010) Nonlinear analysis of ground, Kajima Institute Publishing, 256 pp (in Japanese)
- Yoshida N, Towhata I (1991) YUSAYUSA-2 and SIMMDL-2, theory and practice, revised in 2003 (version 2.1), Tohoku Gakuin University and University of Tokyo; <http://www.civil.tohoku-gakuin.ac.jp/yoshida/computercodes/eqcode.html>

Contents

1	Propagation of Earthquake Waves in the Ground and Fundamentals of Earthquake Motion	1
1.1	Wave Propagation from Source to the Site	1
1.1.1	Path of Wave Propagation and Analysis Region	3
1.1.2	Path of Body Wave Propagation	5
1.2	Amplification of Earthquake Wave	6
1.2.1	First Mechanism: Change of Wave Velocity	6
1.2.2	Second Mechanism: Reflection at the Ground Surface ...	7
1.2.3	Third Mechanism: Reflections from Underlying Layers	8
1.2.4	Fourth Mechanism: Resonance	9
1.2.5	Example of Earthquake Motion Amplification.....	11
1.2.6	Amplification of P-Wave	13
1.3	Attenuation of Earthquake Wave and Upper Bound Earthquake Motion	15
	References	20
2	Introduction of Seismic Ground Response Analysis	23
2.1	Brief History of Seismic Ground Response Analysis	23
2.2	Procedure of Seismic Ground Response Analysis	25
	References	28
3	Input Earthquake Motions	31
3.1	Engineering Seismic Base Layer	31
3.2	Historical Earthquake Motions	36
3.3	Intensity of Design Ground Motion	38
3.4	Synthesized Earthquake Motions	40
3.5	Strong Ground Motion Databases	41
	References	42

4	Fundamentals of Soil Mechanics	45
4.1	Stress and Strain	45
4.1.1	Positive Directions of Stress and Strain	45
4.1.2	Effective Stress Principle	47
4.2	Characteristics of Soil Behavior	48
4.2.1	Volume Change	48
4.2.2	Shear Deformation	49
4.2.3	Other Parameters	49
4.2.4	Dilatancy	50
4.2.5	Constitutive Relations for Elastic Behavior	51
4.2.6	Confining Stress Dependency	53
4.3	Nonlinear Characteristics	54
4.3.1	Nonlinear Characteristics Against Shear	56
4.3.2	Nonlinear Characteristics Under Volumetric Change	56
	References	58
5	In Situ Soil Testing	61
5.1	Standard Penetration Test	61
5.1.1	Energy Correction	62
5.1.2	Effective Confining Stress Dependency	63
5.2	PS Logging	65
5.3	Other Methods	67
5.4	Geological Age of Soil	68
5.5	Continuity of Soil Layers	69
	References	71
6	Laboratory Test and Assemble of Test Result	73
6.1	Soil Sampling	73
6.2	Physical Tests	74
6.3	Cyclic Shear Deformation Characteristics Test	75
6.4	Test Apparatus	77
6.4.1	Cyclic Triaxial Test	78
6.4.2	Cyclic Direct Simple Shear Test	79
6.4.3	Cyclic Torsional Shear Test	80
6.5	Effect of Sample Disturbance During Sampling and Traveling	81
6.6	Compilation of Test Results	85
6.6.1	Hardin–Drnevich Model	85
6.6.2	GHE Model	87
6.6.3	Comparison of H-D Model and GHE Model	90
6.6.4	Double Hyperbolic Model	90
6.6.5	Confining Stress Dependency	91
6.7	Applicability and Limitations of Cyclic Shear Test	92
6.7.1	Strain Range and Accuracy of Test	92
6.7.2	Effect of Excess Porewater Pressure Generation	94
6.7.3	Effect of Loading Speed	98
6.7.4	Damping Characteristics	102

6.7.5	Cyclic Shear Deformation Characteristics and Shear Strength.....	105
6.7.6	Behavior at Large Strains	106
6.7.7	Effect of Number of Loading Cycles	109
6.7.8	Initial Stress and Its Effect to Analysis	111
	References.....	114
7	Estimation of Mechanical Soil Properties	119
7.1	Elastic Properties	119
7.1.1	Equation by Imai et al.	120
7.1.2	Evaluation by Japan Road Bridge Design Specifications	121
7.1.3	Equations Developed for Port Facilities	122
7.1.4	Equations Frequently Used in Buildings Design.....	123
7.1.5	Equations by Iwasaki et al.	124
7.1.6	Equations Based on Laboratory Tests	125
7.2	Nonlinear Properties	129
7.2.1	Equations by PWRI	130
7.2.2	Equations Involved in Technical Standards for Port and Harbor Facilities	135
7.2.3	Equations Involved in Standards for Railway Structures	137
7.2.4	Equation in Building Standard Law	138
7.2.5	Equation by Central Research Institute of Electric Research Industry	138
7.2.6	Study Compiled by Seed and Idriss	141
7.2.7	Equation by Yasuda et al.	142
7.2.8	Study by Vucetic and Dobry	144
7.2.9	Study by Oyamada et al.	144
7.2.10	Study by Imazu and Fukutake	145
7.2.11	Study by Fukumoto et al.....	148
7.2.12	Study by Wakamatsu et al.	151
7.2.13	Remaining Literatures	151
7.3	Behavior Under Large Strain: Shear Strength	152
7.3.1	Shear Strength of Sand	155
7.3.2	Shear Strength of Clay	158
7.4	Other Parameters	160
	References.....	161
8	Modeling of Mechanical Soil Properties	167
8.1	Elastic Modulus	167
8.1.1	Elastic Shear Modulus.....	167
8.1.2	Bulk Modulus and Poisson's Ratio	169
8.2	Nonlinear Model for One-Dimensional Analysis.....	173
8.2.1	Relation Between Cyclic Shear Deformation Characteristics and Mathematical Models.....	173

8.2.2	Hysteresis Rules	175
8.2.3	Hyperbolic Model	179
8.2.4	Ramberg–Osgood Model.....	182
8.2.5	Yoshida’s Model.....	187
8.2.6	Modified GHE Model	190
8.3	Constitutive Models for Multidimensional Analysis	190
8.3.1	Extended Model from One Dimension	190
8.3.2	Plasticity Theory.....	194
8.4	Choice of Models and Evaluation of Parameters	198
8.5	Complex Modulus.....	200
	References.....	202
9	Equation of Motion	205
9.1	Equation of Motion and Wave Propagation	205
9.2	Multidimensional Analysis	207
9.3	Back Analysis	210
9.4	Multiple-Support Excitation	211
	References.....	213
10	Equation of Motion: Spatial Modeling	215
10.1	Modeling of Analyzed Region.....	215
10.2	Irregularity of Ground.....	216
10.2.1	Dimension	216
10.2.2	Lens Shape Irregularity.....	218
10.3	Size of Layer Thickness and Mesh	219
10.4	Boundary Conditions.....	222
10.4.1	Lateral Boundary	223
10.4.2	Base Boundary.....	227
10.5	Multidimensional Analysis	230
10.5.1	Consideration of Vertical Motion	230
10.5.2	Mass Distribution.....	231
10.5.3	Shape and Configuration of Element	232
10.5.4	Integral Points, Volume Locking, and Hourglass Instability	234
10.6	Initial Conditions	236
	References.....	239
11	Solution in Time	241
11.1	Time Domain Analysis.....	241
11.1.1	Numerical Integration Scheme.....	242
11.1.2	Stability of Numerical Integral.....	249
11.1.3	Choice of Numerical Integration Scheme	252
11.2	Frequency Domain Analysis.....	254
11.3	Multiple Reflection Theory	257
11.4	Equivalent Linear Method	260
11.4.1	Method in SHAKE	261
11.4.2	Limitation of SHAKE	263

11.4.3	Improvement of SHAKE	266
11.4.4	Equivalent Linear Analysis in Time Domain	272
11.4.5	Nonlinear Method and Equivalent Linear Method	272
	References	274
12	Evaluation of Damping	277
12.1	Hysteresis Damping	277
12.2	Velocity Proportional Damping	278
12.2.1	Rayleigh Damping	278
12.2.2	Mode Proportional Damping	282
12.3	Wave Scattering	284
12.4	Radiation Damping	290
12.5	Numerical Damping	290
12.6	Damping as Alternative	291
	References	292
13	Evaluation of Accuracy and Earthquake Motion Indices	295
13.1	Acceleration, Velocity, and Displacement	296
13.2	Seismic Intensity Scale	299
13.3	Spectral Intensity	300
13.4	Spectrum	301
13.5	Other Quantities	304
	References	305
14	Simulation of Vertical Arrays	307
14.1	Applicability of Equivalent Linear Method	307
14.2	Response at Medium Strain	312
14.3	Response at Large Strains	317
14.4	Problems in Setting Elastic Modulus	320
14.5	Effect of Layer Thickness and Choice of Property	323
	References	327
15	Effect of Various Factors from Case Studies	329
15.1	Scattering of Nonlinear Property	329
15.2	Scattering of Wave Velocity	330
15.3	Past Blind Tests	332
15.4	Pulse Waves as Result of Numerical Integration	333
15.5	Equivalent Linear vs. Truly Nonlinear	338
15.6	Determination of Damping for Deep Bedrock Problem	343
15.7	Role of Hysteretic Damping Term	344
15.8	Location of Engineering Seismic Base Layer	349
15.8.1	Problem to Separate at Engineering Seismic Base Layer	350
15.8.2	Setting Design Earthquake Motion	357
15.8.3	Remarks	361
	References	362
	Index	363