

ESSAYS ON ANAPHORA

STUDIES IN NATURAL LANGUAGE
AND LINGUISTIC THEORY

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PREFACE

The articles collected in this book are concerned with the treatment of anaphora within generative grammar, specifically, within Chomsky's 'Extended Standard Theory' (EST). Since the inception of this theory, and virtually since the inception of generative grammar, anaphora has been a central topic of investigation. In current research, it has, perhaps, become even more central, as a major focus of study in such areas as syntax, semantics, discourse analysis, and language acquisition.

Beginning in the early 1970's, and continuing to the present, Chomsky has developed a comprehensive syntactic theory of anaphora. The articles here are all related to stages in the development of that theory, and can best be understood in relation to that development. For that reason, Chapter 1 presents a historical survey of Chomsky's EST proposals on anaphora, along with brief indications of how the present articles fit into that history. Some of the articles here (e.g. Chapters 4, 8, and 9) proposed extensions of Chomsky's basic ideas to a wider range of phenomena. Others (e.g. Chapters 2, 3, and 7) suggested alternatives within the framework. Still others (e.g. Chapters 5 and 6) noted, and attempted to solve, conceptual problems with Chomsky's approach. Since a number of the proposals outlined in these articles were incorporated in one form or another into modern Binding Theory, this book can provide useful insight into the historical evolution of that theory. And since some of the empirical and conceptual difficulties still remain, the book can also be read as a commentary on the current status of Binding Theory.

The articles reprinted in this book appear in their original form, with the following exceptions: A few typographical and other minor errors have been corrected; bibliographic references have been updated; and Appendix A has been omitted from Chapter 3 since it is orthogonal to the concerns of the book.

I would like to acknowledge my vast intellectual debt to Noam Chomsky. None of this research would have been possible without his groundbreaking work, his advice, and his guidance. His specific comments over the years on these articles, as well as nearly two decades of discussion with me on issues in anaphora (and linguistic theory more generally), have been invaluable. Next, I offer my deep thanks to my co-authors represented here: Bob Fiengo, Bob Freidin, and Andy Barss. I have been fortunate, indeed, to have had the opportunity to collaborate with such outstanding linguists. I hereby thank them for their permission to reprint their co-authored articles. I also offer general thanks to the

holders of the copyrights for this reprinted material. Specific acknowledgements appear on a separate page. Finally, I would like to thank Frank Heny, Martin Scrivener, and my wife, Roberta, for their encouragement in this project, Sung-Ho Anh and Yasuo Ishii for invaluable editorial assistance, and all of the members of the University of Connecticut Department of Linguistics, and especially Department Head David Michaels, for providing an intellectually stimulating atmosphere in which to work.

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