

Editors-in-Chief:

J.-M. Morel, Cachan

B. Teissier, Paris

Advisory Board:

Camillo De Lellis, Zürich

Mario di Bernardo, Bristol

Alessio Figalli, Austin

Davar Khoshnevisan, Salt Lake City

Ioannis Kontoyiannis, Athens

Gabor Lugosi, Barcelona

Mark Podolskij, Aarhus

Sylvia Serfaty, Paris and New York

Catharina Stroppel, Bonn

Anna Wienhard, Heidelberg

Fondazione C.I.M.E., Firenze



C.I.M.E. stands for *Centro Internazionale Matematico Estivo*, that is, International Mathematical Summer Centre. Conceived in the early fifties, it was born in 1954 in Florence, Italy, and welcomed by the world mathematical community: it continues successfully, year for year, to this day.

Many mathematicians from all over the world have been involved in a way or another in C.I.M.E.'s activities over the years. The main purpose and mode of functioning of the Centre may be summarised as follows: every year, during the summer, sessions on different themes from pure and applied mathematics are offered by application to mathematicians from all countries. A Session is generally based on three or four main courses given by specialists of international renown, plus a certain number of seminars, and is held in an attractive rural location in Italy.

The aim of a C.I.M.E. session is to bring to the attention of younger researchers the origins, development, and perspectives of some very active branch of mathematical research. The topics of the courses are generally of international resonance. The full immersion atmosphere of the courses and the daily exchange among participants are thus an initiation to international collaboration in mathematical research.

C.I.M.E. Director (2002 – 2014)

Pietro Zecca
Dipartimento di Energetica “S. Stecco”
Università di Firenze
Via S. Marta, 3
50139 Florence
Italy
e-mail: zecca@unifi.it

C.I.M.E. Director (2015 –)

Elvira Mascolo
Dipartimento di Matematica “U. Dini”
Università di Firenze
viale G.B. Morgagni 67/A
50134 Florence
Italy
e-mail: mascolo@math.unifi.it

C.I.M.E. Secretary

Paolo Salani
Dipartimento di Matematica “U. Dini”
Università di Firenze
viale G.B. Morgagni 67/A
50134 Florence
Italy
e-mail: salani@math.unifi.it

CIME activity is carried out with the collaboration and financial support of INdAM (Istituto Nazionale di Alta Matematica)
For more information see CIME's homepage:
<http://www.cime.unifi.it>

Adrian Constantin • Joachim Escher •
Robin Stanley Johnson • Gabriele Villari

Nonlinear Water Waves

Cetraro, Italy 2013

Adrian Constantin

Editor

 Springer

 **FONDAZIONE
CIME**
ROBERTO CONTI
CENTRO INTERNAZIONALE MATEMATICO ESTIVO
INTERNATIONAL MATHEMATICAL SUMMER CENTER

Authors

Adrian Constantin
Faculty of Mathematics
University of Vienna
Vienna, Austria

Joachim Escher
Inst. for Applied Mathematics
Gottfried Wilhelm Leibniz University
Niedersachsen
Hannover, Germany

Robin Stanley Johnson
School of Mathematics and Statistics
University of Newcastle
Newcastle upon Tyne, United Kingdom

Gabriele Villari
Department of Mathematics “Ulisse Dini”
University of Florence
Florence, Italy

Editor

Adrian Constantin
Faculty of Mathematics
University of Vienna
Vienna, Austria

ISSN 0075-8434

ISSN 1617-9692 (electronic)

Lecture Notes in Mathematics

ISBN 978-3-319-31461-7

ISBN 978-3-319-31462-4 (eBook)

DOI 10.1007/978-3-319-31462-4

Library of Congress Control Number: 2016941322

Mathematics Subject Classification (2010): 76B15, 35Q35, 34C05

© Springer International Publishing Switzerland 2016

This work is subject to copyright. All rights are reserved by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

The publisher, the authors and the editors are safe to assume that the advice and information in this book are believed to be true and accurate at the date of publication. Neither the publisher nor the authors or the editors give a warranty, express or implied, with respect to the material contained herein or for any errors or omissions that may have been made.

Printed on acid-free paper

This Springer imprint is published by Springer Nature
The registered company is Springer International Publishing AG Switzerland

Preface

The study of water waves involves various disciplines such as mathematics, physics and engineering—to name the obvious—and within this, there are many specific areas of direct or associated interest such as pure mathematics, applied mathematics, modelling, numerical simulation, laboratory experiments, data collection in the field, the design and construction of ships, harbours and offshore platforms, the prediction of natural disasters (e.g. tsunamis), climate studies and so on. We are all familiar with, and probably excited by, the experience of seeing waves in lakes, rivers, oceans and even baths and sinks; they are often beautiful, but sometimes terrifying. They are also mathematically intriguing and susceptible to a number of different, but very particular, theoretical approaches. All these various studies help us to improve, in one way or another, our understanding of wave propagation which, in turn, inevitably leads to better physics and engineering as we work with, and deal with the effects of, waves on water.

The meeting held in Cetraro, Italy, June 24–28, 2013, under the auspice of, and supported by, the Centro Internazionale Matematico Estivo (C.I.M.E., the International Mathematical Summer Centre) aimed to present some of the current mathematical research in this area. The summer school provided a vehicle for a selection of the main mathematical avenues to be presented via a series of lectures; in addition, there were short presentations and much discussion, covering other related topics, such as numerical methods and modelling. This volume brings together the four main lecture courses. The intention, through the lectures, was to present quite a range of mathematical ideas, primarily to show what is possible and what, currently, is of particular interest. The general background to the mathematical formulation of the classical water-wave problem, and the interplay between what is observed and how we model this using a robust mathematical formulation, appears in ‘Asymptotic methods for weakly nonlinear and other water waves’ by R.S. Johnson. These lectures also covered some aspects of the construction and generalisation of soliton-type equations (including a brief introduction to ‘soliton theory’) and, of particular current interest, the rôle that background vorticity can play in the evolution of the waves and its effects upon their properties. In order to show the wealth of possibilities using an asymptotic approach, periodic waves with

vorticity and edge waves are also discussed. The lectures given by A. Constantin, entitled ‘Exact travelling periodic water waves in two-dimensional irrotational flows’, also explain the connection between what is observed (both in the laboratory and in the field) and what we can describe and predict using a mathematical approach. The exact solutions are described by, for example, the properties of the associated particle paths based on harmonic analysis and the theory of elliptic partial differential equations. Thus the very practical essence of the waves and very powerful and rigorous techniques are moulded to produce a comprehensive picture of the types of flows associated with classical water waves. The theme of particle paths is taken up in ‘A survival kit in phase plane analysis: some basic models and problems’ by G. Villari, but the approach here is to use another fundamental mathematical tool: the method of phase-plane analysis. Again, the thrust is to show how a sophisticated and familiar branch of mathematics can relate to, and usefully describe, the details of the complex flow patterns that are observed. The final series of lectures made use of the very powerful ideas that underpin the modern techniques of functional analysis. J. Escher discussed the nature of wave breaking as it applies, mainly, to the solutions of the Camassa-Holm equation in ‘Breaking water waves’. One of the exciting properties of this model equation is that it captures both the non-breaking and breaking wave phenomena of classical water waves. Some details that help to explain the rôle of the initial data in predicting the final development of the wave are provided, producing some important estimates.

These four lectures provide a useful source for those who want to begin to investigate how mathematics can be used to improve our understanding of this rapidly developing classical research area. In addition, some of the material can be used by those who are already familiar with one branch of the study of water waves, to learn more about other areas. We therefore commend this collection of lectures to both the novice and the expert.

Vienna, Austria
 Hannover, Germany
 Newcastle upon Tyne, UK
 Florence, Italy

A. Constantin
 J. Escher
 R.S. Johnson
 G. Villari

Acknowledgements

CIME activity is carried out with the collaboration and financial support of:

- INdAM (Istituto Nazionale di Alta Matematica)
- MIUR (Ministero dell’Istruzione, dell’Università e della Ricerca)
- Ente Cassa di Risparmio di Firenze

Contents

Exact Travelling Periodic Water Waves in Two-Dimensional Irrotational Flows	1
Adrian Constantin	
Breaking Water Waves	83
Joachim Escher	
Asymptotic Methods for Weakly Nonlinear and Other Water Waves	121
Robin Stanley Johnson	
A Survival Kit in Phase Plane Analysis: Some Basic Models and Problems	197
Gabriele Villari	