

Lecture Notes in Physics

Volume 908

Founding Editors

W. Beiglböck
J. Ehlers
K. Hepp
H. Weidenmüller

Editorial Board

M. Bartelmann, Heidelberg, Germany
B.-G. Englert, Singapore, Singapore
P. Hänggi, Augsburg, Germany
M. Hjorth-Jensen, Oslo, Norway
R.A.L. Jones, Sheffield, UK
M. Lewenstein, Barcelona, Spain
H. von Löhneysen, Karlsruhe, Germany
J.-M. Raimond, Paris, France
A. Rubio, Donostia, San Sebastian, Spain
S. Theisen, Potsdam, Germany
D. Vollhardt, Augsburg, Germany
J.D. Wells, Ann Arbor, USA
G.P. Zank, Huntsville, USA

The Lecture Notes in Physics

The series Lecture Notes in Physics (LNP), founded in 1969, reports new developments in physics research and teaching—quickly and informally, but with a high quality and the explicit aim to summarize and communicate current knowledge in an accessible way. Books published in this series are conceived as bridging material between advanced graduate textbooks and the forefront of research and to serve three purposes:

- to be a compact and modern up-to-date source of reference on a well-defined topic
- to serve as an accessible introduction to the field to postgraduate students and nonspecialist researchers from related areas
- to be a source of advanced teaching material for specialized seminars, courses and schools

Both monographs and multi-author volumes will be considered for publication. Edited volumes should, however, consist of a very limited number of contributions only. Proceedings will not be considered for LNP.

Volumes published in LNP are disseminated both in print and in electronic formats, the electronic archive being available at springerlink.com. The series content is indexed, abstracted and referenced by many abstracting and information services, bibliographic networks, subscription agencies, library networks, and consortia.

Proposals should be sent to a member of the Editorial Board, or directly to the managing editor at Springer:

Christian Caron
Springer Heidelberg
Physics Editorial Department I
Tiergartenstrasse 17
69121 Heidelberg/Germany
christian.caron@springer.com

More information about this series at
<http://www.springer.com/series/5304>

Elena Tobisch
Editor

New Approaches to Nonlinear Waves

 Springer

Editor

Elena Tobisch
Institute for Analysis
Johannes Kepler University
Linz, Austria

ISSN 0075-8450

Lecture Notes in Physics

ISBN 978-3-319-20689-9

DOI 10.1007/978-3-319-20690-5

ISSN 1616-6361 (electronic)

ISBN 978-3-319-20690-5 (eBook)

Library of Congress Control Number: 2015947251

Springer Cham Heidelberg New York Dordrecht London

© Springer International Publishing Switzerland 2016

This work is subject to copyright. All rights are reserved by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

The publisher, the authors and the editors are safe to assume that the advice and information in this book are believed to be true and accurate at the date of publication. Neither the publisher nor the authors or the editors give a warranty, express or implied, with respect to the material contained herein or for any errors or omissions that may have been made.

Printed on acid-free paper

Springer International Publishing AG Switzerland is part of Springer Science+Business Media
(www.springer.com)

Preface

The theory of nonlinear waves is located right at the intersection of the linear wave theory, the theory of nonlinear oscillations, and the theory of nonlinear partial differential equations (PDEs), radiating into numerous fields of applied science, including studies in oceanography, nonlinear optics, plasma physics, weather and climate prediction.

It is hard to imagine that just a few decades ago the same equations arising in different fields of science were studied independently of each other, and the phenomena which they describe were called by different names. Probably one of the most illustrative examples of this kind is the phenomenon discovered in early 1960s, which is named modulational instability in purely mathematical texts, while known as modulation instability in nonlinear optics, as Benjamin-Feir instability in theory of water waves, as Oraevsky instability in plasma physics, etc. etc.

Only in the late 1980s gradually began to crystallize the idea of creating a new *nonlinear science* that would synthesize all known results into a single overall scheme and would allow to describe them all in one and the same language. I remember the annual meeting of the physical branch of the Russian Academy of Sciences, traditionally held at the Institute of Oceanology in Moscow, where Vladimir Eugenievich Zakharov very emotionally expounded the idea of creating such a language and writing an encyclopedia on nonlinear science, in which all of the most important results would be collected in one place. The late V.I. Arnold, who was in the audience, said that such language has long been there and it's called mathematics. Roars of laughter drowned out the answer of V.E. Zakharov.

Every physicist knows how long the road is from the physical level of accuracy, which is sufficient for solving many theoretical and practical problems in physics, to a rigorous mathematical definition and proof. The simplest example, as very often in physics, gives us the study of the physical pendulum. Galileo studied its movement and discovered the phenomenon of resonance in 1637. The rigorous mathematical definition of resonance was given by Poincaré 250 years later. And without an accurate notion of resonance, most of the chapters in this book principally could not have been written. In fact, much of the modern theory of nonlinear waves could not have been developed.

Since then many years have flown, and the notion of nonlinear science has become an integral part of our scientific language, and the first encyclopedia of nonlinear science saw the light of day in 2002 already, thanks to the invaluable effort of the late Alwyn Scott, who edited this great work of more than 1000 pages, written by scholars from all over the world.

However, the science does not halt, and new questions are coming forth, to which there is no answer in this encyclopedia. How to describe both discrete and kinetic regimes of wave turbulence resting on a unified strict mathematical approach? What new physical phenomena can be described if Phillips' definition of resonance is generalized to the case of moderate nonlinearity? Why does generalized NLS describe weakly nonlinear processes in water waves and strong nonlinear processes in optics? Etc. etc.

Answers to these and some other questions are given in this volume. A brief overview of individual chapters of the book is provided in the introductory Chap. 1. I also tried to position all the subjects in a logical consequence, i.e., scientific results, yielding new questions and then new results and again new questions *ad infinitum*. The choice of topics, of course, is biased and reflects my research interests and expertise. The last and longest Chap. 8 in the book has been written by Lev Shemer, one of the best modern experimentalists with water waves. The main goal of this chapter is to demonstrate why direct comparison of theoretical and numerical results with experimental measurements is often challenging; the author discusses in detail experiments devised to provide a basis for evaluation of the domain of validity of a theoretical model.

Understanding came to me already in 2011 that time is ripe again for gathering stones in the theory of nonlinear waves. At first, it was transformed into the idea of organizing a series of regular bi-annual conferences called *Wave Interaction* (WIN) to discuss new and promising topics in the area. The idea of writing a book was already discussed at WIN-2012. The format and content of the present volume was finalized during several meetings being held in 2012–2014:

- (2012) *Wave Interaction (WIN-2012)*, 23–26 April (Johannes Kepler University Linz, Austria)
- (2013) *Thematic Program on the Mathematics of Oceans*, April 29–June 28 (Fields Institute for Research in Mathematical Sciences, Toronto, Canada)
- (2014) *Weak Chaos and Weak Turbulence*, 3–7 February (Max Planck Institute for the Physics of Complex Systems, Dresden, Germany)
- (2014) *Wave Interaction (WIN-2014)*, 23–26 April (Johannes Kepler University Linz, Austria)
- (2014) *Theory of Water Waves*, 14 July–8 August (Isaac Newton Institute, Cambridge, UK)

All chapters are based on talks delivered at these conferences by selected invited speakers. I am very grateful to all attendants of these conferences who actively helped me to make a choice of topics. I would like to mention particularly N. Akhmediev, T. Bridges, W. Craig, A. Degasperis, K. Dysthe, R. Grimshaw,

P. Janssen, C.C. Mei, M. Onorato, D. Pelinovsky, E. Pelinovsky, A. Pikovsky, D. Shepelyansky, V. Shrira, and S.K. Turitsyn.

My aim was to create a book accessible to graduate students, engineers and researchers working in various fields of physics and applied mathematics. Consequently, the authors tried to make their exposition as clear as possible without harming scientific rigor. All theoretical chapters contain not only a conceptual background, but also illustrative examples of how these new techniques and approaches can be applied to specific problems. I am very much obliged to all the authors of this volume for their contributions and their patience when handling my remarks and making revisions.

I am also greatly indebted to all reviewers of the individual chapters which took over the hard and unremunerated work that resulted in tangible improvement of the quality of this book.

I am specially grateful to Shalva Amiranashvili, whose invaluable remarks and suggestions allowed me to improve the text of the introductory chapter.

I also would like to thank Dr. Aldo Rampioni and Kirsten Theunissen, Editors of the Springer Series Lecture Notes in Physics, who constantly assisted me during the preparation of the manuscript.

Linz, Austria
April 2015

Elena Tobisch

Contents

1 Introduction	1
Elena Tobisch	
1.1 Brief Historical Overview	1
1.2 Main Notions	3
1.2.1 Resonance Clusters	6
1.2.2 Power Law Energy Spectrum	7
1.2.3 Detuned Resonances	8
1.2.4 Summary	10
1.3 Resonant Interactions	11
1.4 Modulation Instability	13
1.5 Frameworks	15
1.6 Reality Check	16
References	17
2 The Effective Equation Method	21
Sergei Kuksin and Alberto Maiocchi	
2.1 Introduction	21
2.2 How to Construct the Effective Equation	22
2.3 Structure of Resonances	26
2.3.1 The Equations	27
2.3.2 Structure of Resonances for the NLS Equation	29
2.3.3 Structure of Resonances for CHM	30
2.4 NLS: The Power-Law Energy Spectrum	32
2.4.1 The Limit $L \rightarrow \infty$	32
2.4.2 Power Law Spectra	37
2.5 CHM: Resonance Clustering	38
2.6 Concluding Remarks	40
References	41

3	On the Discovery of the Steady-State Resonant Water Waves	43
	Shijun Liao, Dali Xu, and Zeng Liu	
3.1	Introduction	44
3.2	Basic Ideas of Homotopy Analysis Method	46
3.3	Steady-State Resonant Waves in Constant-Depth Water	52
3.3.1	Mathematical Formulation	52
3.3.2	Steady-State Resonant Waves in Deep Water	59
3.3.3	Steady-State Resonant Waves in Finite Depth Water	69
3.4	Steady-State Class-I Bragg Resonant Waves	71
3.4.1	Mathematical Formulations	74
3.4.2	Brief Results	75
3.5	Experimental Observation	79
3.6	Concluding Remarks	79
	References	81
4	Modulational Instability in Equations of KdV Type	83
	Jared C. Bronski, Vera Mikiyoung Hur, and Mathew A. Johnson	
4.1	Introduction	83
4.2	Periodic Traveling Waves of Generalized KdV Equations	85
4.2.1	Some Explicit Solutions	86
4.2.2	General Existence Theory	89
4.3	Formal Asymptotics and Whitham's Modulation Theory	92
4.3.1	Linear Dispersive Waves	92
4.3.2	Nonlinear Dispersive Waves	94
4.4	Rigorous Theory of Modulational Instability	98
4.4.1	Analytic Setup	98
4.4.2	Modulational Instability in Generalized KdV Equations	101
4.4.3	Connection to Whitham Modulation Theory	108
4.4.4	Evaluation of Δ_{MI}	110
4.5	Applications	111
4.5.1	The KdV Equation	112
4.5.2	The Modified KdV Equation	113
4.5.3	The Schamel Equation	115
4.5.4	Extensions to Equations with Nonlocal Dispersion	116
4.6	Concluding Remarks	130
	References	130
5	Modulational Instability and Rogue Waves in Shallow Water Models	135
	R. Grimshaw, K.W. Chow, and H.N. Chan	
5.1	Introduction	135
5.2	Korteweg-de Vries Equations	137
5.2.1	Modulational Instability	137
5.2.2	Breathers	137

5.3	Boussinesq Model	140
5.3.1	Modulational Instability	141
5.3.2	Breathers	141
5.4	Hirota-Satsuma Model	142
5.4.1	Modulational Instability	143
5.4.2	Breathers	144
5.5	Discussion	146
	References	149
6	Hamiltonian Framework for Short Optical Pulses	153
	Shalva Amiranashvili	
6.1	Introduction	153
6.1.1	Ultrashort Pulses	153
6.1.2	Envelope Definition	155
6.2	Poisson Brackets	161
6.2.1	Discrete Systems	161
6.2.2	Complex Variables	165
6.2.3	One Continuous Field	168
6.2.4	Canonical Bracket for Two Fields	171
6.2.5	GZF Bracket for Two Fields	173
6.3	Pulses in Optical Fibers	176
6.3.1	Problem Setting	177
6.3.2	Forward and Backward Waves	179
6.3.3	Envelope Equations	180
6.4	Hamiltonian Description of Pulses	183
6.4.1	z-Propagation	184
6.4.2	z-Hamiltonian	185
6.4.3	Energy Transport	190
6.4.4	Photon Number	191
6.4.5	Analytic Signal	191
6.5	Concluding Remarks	192
	References	193
7	Modeling Water Waves Beyond Perturbations	197
	Didier Clamond and Denys Dutykh	
7.1	Introduction	197
7.2	Preliminaries	199
7.3	Variational Formulations	200
7.4	Examples	203
7.4.1	Shallow Water: Serre’s Equations	203
7.4.2	Deep Water: Generalized Klein–Gordon Equations	205
7.4.3	Arbitrary Depth	207
7.5	Discussion	207
	References	208

8 Quantitative Analysis of Nonlinear Water-Waves: A Perspective of an Experimentalist 211
 Lev Shemer

- 8.1 Introduction 211
- 8.2 The Experimental Facilities 214
- 8.3 The Nonlinear Schrödinger Equation 215
- 8.4 The Modified Nonlinear Schrödinger (Dysthe) Equation 226
 - 8.4.1 Formulation of Temporal and Spatial Evolution Problems ... 226
 - 8.4.2 Experiments on Spatial and Temporal Evolution of Wave Groups Based on Digital Video Image Processing 230
 - 8.4.3 Experimental Studies of Evolution of Peregrine Breather 239
- 8.5 The Spatial Zakharov Equation 245
 - 8.5.1 The Model Equations 245
 - 8.5.2 The Spatial Zakharov Equation vs. the Dysthe Model 248
 - 8.5.3 Nonlinear Focusing Based on the Spatial Zakharov Equation..... 257
- 8.6 Statistics of Nonlinear Unidirectional Water Waves..... 269
- 8.7 Discussion and Conclusions 286
- References 290

Index 295

List of Contributors

Shalva Amiranashvili Weierstrass Institute for Applied Analysis and Stochastics, Berlin, Germany

Jared C. Bronski University of Illinois Urbana-Champaign, Urbana, IL, USA

H.N. Chan Department of Mechanical Engineering, University of Hong Kong, Pokfulam, Hong Kong

K.W. Chow Department of Mechanical Engineering, University of Hong Kong, Pokfulam, Hong Kong

Didier Clamond Université de Nice – Sophia Antipolis, Laboratoire J.A. Dieudonné, Parc Valrose, Nice Cedex 2, France

Denys Dutykh LAMA, UMR 5127 CNRS, Université de Savoie, Le Bourget-du-Lac Cedex, France

Roger Grimshaw Department of Mathematics, University College London, London, UK

Vera Mikiyoung Hur University of Illinois Urbana-Champaign, Urbana, IL, USA

Mathew A. Johnson University of Kansas, Lawrence, KS, USA

Sergey Kuksin CNRS and I.M.J, Université Paris-Diderot-Paris 7, Paris, France

Shijun Liao Shanghai Jiao Tong University, Shanghai, China

Zeng Liu Shanghai Jiao Tong University, Shanghai, China

Alberto Maiocchi Dipartimento di Matematica, Università degli Studi di Milano, Milano, Italy

Lev Shemer School of Mechanical Engineering, Tel Aviv University, Ramat Aviv, Israel

Elena Tobisch Institute for Analysis, Johannes Kepler University Linz, Linz, Austria

Dali Xu Shanghai Jiao Tong University, Shanghai, China

Acronyms

Here is the list of acronyms frequently used in this volume:

CHM	Charny-Hasegawa-Mima equation
IST	Inverse Scattering Transform
(g)NLS	(generalized) Nonlinear Schrödinger equation
(m)NLS	(modified) Nonlinear Schrödinger equation
(g)KdV	(generalized) Korteweg–de Vries equation
(m)KdV	(modified) Korteweg–de Vries equation
HAM	Homotopy Analysis Method
MI	Modulational Instability
ODE	Ordinary Differential Equation
PB	Peregrin Breather
PDE	Partial Differential Equation
RVP	Relaxed Variational Principle
SVEA	Slowly Varying Envelope Approximation
WTT	Weak (Wave) Turbulence Theory