

Index (refers to page numbers)

A

Abuse of process, 70–75
Acceptance, 34
Accessions, 191
Additional requirements, 70
Ad hoc
 agreement to establish jurisdiction, 189
 compromis, 189
Advisory Centre
 establishment, 21, 63
 independence from the Secretariat, 62
Amicus curiae briefs, 138
Amicable settlement, 81
Annulment of national rules, 149
Appeals
 mechanisms, 102
 procedure, 199
Appellate
 body, 20, 197
 instance, 105
 mechanism, 61
Appointment of Judges/Adjudicators, 33–40,
 132
Arbitrator
 bias of, 205
 selection, 166
 state-appointed, 166
Authentic interpretation by the
 parties, 131–132
Awards, 155
 anational, 166–168
 foreign arbitral, 166

B

Bangalore Principles of Judicial
 Conduct, 48
Bargaining power, 210
Binding dispute resolution, 164
Budget, 62

C

Cases
 administration of pending, 61
 assignment, 59
Chambers, 58–60, 108, 109
 allocation/assignment of claim, 85
Claims
 allocation of, to a chamber, 85, 86
 admissibility of, 83
 statement of, 84–85, 140
 subject matter of, 90–91
 submission of, 82, 84–85
 without merit, 71–72
Code of Conduct, 133
Commercial matters, 169–171
Commission's Impact Assessment
 Study, 183
Complaints procedure, 64–110
Composition of the MIC, 132–133
Compulsory consultations, 80–82
Conflict of interest, 60, 62
 International Bar Association (IBA)
 Guidelines, 20, 48, 49, 133
Connection to existing institutions, 175–194

Consent, 67
 to jurisdiction, 65–68
 statement of, 67
 written, 67

Consistency and coherence, 126

Consistent application, 18

Consolidation of pending procedures at the MIC, 110

Consultation obligations, 82

Consultations, 81

Cooling-off periods, 50, 82

Corruption, 75
 dismissal of claims in case of, 75

Cost, 20, 21, 193, 214
 allocation, 94
 cost-efficiency, 20–21
 distribution, 193–194
 and fees, 93

Counterclaims, 100, 101

Court
 access to, 85
 fees, 92–94, 214

D

Damages, 150, 152–154

Decisions
 consistency of, 17–19
 contradictory, 117
 effectiveness of decisions, 155
 effects of decisions, 151
 enforcement of, 155–172
 on instances of bias by judges, 56
 making process, 42, 43

Declaratory effect, 149

Denial of benefits, 75
 provisions, 69

Departments, 62

Determination of the appropriate respondent, 87–90

Direct application of potential candidates, 36

Disclosure requirements, 49

Dismissal
 of claims in case of corruption, 75
 of inadmissible claims and claims without merit, 71–72
Limine, 86

Documents related to the dispute, 138

Domestic law of the host state, 120

Dual nationality, 74

Duration
 of appointment, 55–56
 maximum, 23, 140
 MIAM appeals procedure, 202
 of proceedings, 22–23, 106–107, 139–140, 202

E

Economic agreements, 185

Effective decision-making process, 31

Effective enforcement of MIC judgments, 172, 175

Effective institutional structure, 31

Efficiency, 139–141

Energy Charter Treaty (ECT), 76, 190, 212

Enforceability, 155

Enforcement, 24, 155
 of decisions, 155–172
 fund, 207
 mechanism, 66
 of MIAM decisions, 206–207
 MIC decisions, 61, 171–172

Equality of arms, 95

Establishment of an international dispute settlement institution, 175

Ethics, 47–50, 198
 rules of, 49, 50

EU
 law, 119
 Member States, 40
 participation in international dispute settlement, 119
 rule of law principle, 12
 exclusive competence of, 32

Evidence
 “free” consideration of, 92
 free evaluation of, 144

European Judges Council, 48

European legal traditions, 40

European Parliament, 12

Examination of jurisdiction, inadmissibility/
 manifest ill-foundedness, 86

Ex officio, 143
 investigation, 85, 144

Expedience, 17

Expenditure, 193, 214

Expertise, 45

Experts, 98

Expropriations
 compensation for lawful, 152
 indirect, 128

F

Facts
 assessment of, 106
 concealment of, 75

Fair and Equitable Treatment (FET), 128

Fees, 93, 94

Final and binding resolution, 164

Finality of judgment, 101

Financial support, 96

Financing, 193
 Fixed deadlines, 140
 Fork-in-the-road clause, 77
 Fraudulent misrepresentation, 75
 Frequency of meetings, 43
 Functional immunity, 177
 Fund, 172
 Fund system, 171

G

Gender balance, 37
 Gender perspective, 40
 General procedure, 79–109
 General procedure of appeals, 104–106
 Geographic distribution, 38
 Grand chamber, 59

H

Harmonising interpretation mandate, 126–127

I

ICSID, 23
 convention, 155, 156, 158–160
 amendment of, 180
 arbitral awards, 156, 159, 160
 institutional expertise of, 180
 Immunity, 53
 of employees, 62
 headquarters agreement, 62
 Impartiality, 133
 Inadmissibility, 86
 Inadmissible claims, 71–72
In causa sua nemo iudex sit, 132
 Inconsistent jurisprudence, 20
 Independence, 17, 19, 20, 34, 47, 49, 53
 Independent
 multilateral court, 175
 multilateral court of appeal, 208
 procedural requirements, 84
 Initiation of consultations, 82
 Institutional design, 31–63
 Integrity, 47
 Interim measures, 99, 100
 of protection, 84
 Internal procedural rules, 41
 Internal screening procedure, 39
 International
 legal personality, 31, 225
 legal remedies, 78
 organisation, 31, 177–179

International Tribunal for the Law of the Sea
 (ITLOS), 181

Inter partes effect, 102

Interpretation, 41, 42, 127
 authentic, 131–132
 consistent, 23
 divergences in, 125
 limiting the mandate for, 130–131
 mandates, 126
 neutral and objective, 127–133
 rules of, 41

Interpretative

competence, 132
 decisions, 41
 monopoly, 119
 statements, 33

Inter-state arbitration/ dispute settlement, 78

Intervention and hearings of interested third
 parties, 97, 98

Investigative competence, 107–108

Investigatory powers, 106

Investment

definition, 69
 protection agreements, 12

Investment Protection Standards

clarification and limitation of, 127–130
 interpretation of, 127
 substantive standards of protection, 124
 multilateral convention that provides for
 protection standards, 192
 uniform interpretation of, 123–127

Investor, 68

third-state, 65

Investor-state contracts, 91, 192

Iran-US Claims Tribunal (IUSCT), 163, 165,
 166, 171

J

Judges, 34

ad hoc, 60

age for, 46

appointment of, 33–40, 132

appointment/election, 54

appointment of, additional, 42

availability, 51, 53, 54

distribution of, 39

diversity among, 37–40

duration of appointment, 55–56

election procedure/process, 44

full-/part-time, 44–45

full-time, 44

independent judges, 47, 132–133

- Judges (*cont.*)
- at the MIC, 44
 - nomination, candidates, 34–36
 - qualification, 45–46
 - qualified judges, 44
 - single, 58–60
 - subsequent increase of the number of, 42
 - termination of the appointment, 57
- Judicial investigation, 142–144
- Jurisdiction, 64–68, 76, 187–189, 191, 212
- Ratione materiae* (substantive jurisdiction), 64, 69–70
 - Ratione personae* (personal jurisdiction), 64, 68, 71, 73
 - Ratione Temporis*, 70
- Jurisdictional
- requirement, 75
 - screening power, 71
- Jurisdiction of
- the Appellate body, 202
 - the MIAM, 200, 210
 - the MIC in case of multilateral IIAs, 190
- L**
- Law
- applicable, 108, 117–144
 - applicable, procedural, 134–144
 - applicable, substantive, 119–133
 - breach of, national, 91
- Legal certainty, 85, 86
- Legal effects
- of decisions, 149–151
 - of declaratory decisions, 150
 - of judgment, 101
- Legal funding, 95
- Legal personality, 177
- Legal representation before the court, 102
- Legal research, 61
- allocated researchers, 61
- Legitimacy, 19, 23
- Limine dismissal, 86
- Limitation of investors' rights, 129
- Limitation of the subject matter, 142–144
- Litigation funders, 95
- M**
- Majority, 43
- Manifest ill-foundedness, 86
- Mass action, 101
- Mauritius Convention, 22, 136, 138, 188, 211
- Mediation, 80
- procedures, 64, 192
 - process, 80
- Membership, 31
- MIAM, 197–215
- appeals procedure duration, 202
 - costs of the, 214
 - general procedure of, 199–202
 - judgments of, 206
 - legal opinion, 201
 - members of, 198
 - organisational structure of, 198–199
 - possibilities for setting up, 207–213
 - statute, 208
 - statute as an opt-in convention, 211–213
 - structure of, 197
 - transitional provisions and system
 - conformity of, 213–214
 - working and procedural language, 214
- MIAM jurisdiction, 209, 211
- establishment of, 209–213
- MIC
- design of two-tiered, 29–110
 - entry into force of the MIC statute, 70, 182, 183
 - establishment of, 175–194
 - first instance procedure, 82–102
 - implementation of two-tiered MIC, 29–110
 - investment definition, 70
 - judgments, 101
 - mandate to negotiate, 9
 - member, 188
 - members of, 31–32
 - statute, 31
 - number of members, 182, 183
 - recognition of decisions, 171–172
 - seat of, 178
 - system conformity of, 175–194
 - transitional provisions, 191–192
 - two-tiered option, 23–24
- MIC Jurisdiction
- consensus on the establishment of, 187–189
 - establishment of, 183–186
 - exclusive jurisdiction, 75
- Modification of existing and future IIAs, 183–186
- Multilateral investment agreement, 123
- Multilateralisation of the substantive law, 19
- Multinational enterprises (MNEs), 63
- Mutual exclusiveness clause, 77
- Mutual exclusiveness of claims, 77

N

National *lex arbitri*, 84
 Necessary agreements, 194
 Negative admissibility requirements, 70–75
 Neutrality, 19, 20, 53
 Neutrality of adjudicators, 17
 New York Convention, 155–159, 161–171
 Non-appearance before the MIC and default judgments, 96
 Non-member respondent, 66
 Non-state decision-makers, 165
 Number of ratifications, 182

O

Oath
 by employees, 62
 of office, 52
 Objectives, dispute settlement mechanism, 10
 Obligation of confidentiality, 62
 Obligation to comply, 149
 Obligation to indemnify, 206
 Opinions
 dissenting concurring, 98
 minority, 98
 Opt-in
 convention, 187, 211
 convention for the modification of existing IIAs, 186–190
 Opt-out, 187
 Oral hearing, 83, 85, 98
 conduct of, open, 138
 principle of, 106
Ordre public, 158

P

Parallel engagements, 53–54
 Parallel memberships, 88
 Parallel occupation, 54
 Part-time judges, 44
 Party autonomy, 166
 Permanence of adjudicators, 125
 Permanency of the treaty interpreters, 124–126
 Permanent arbitral bodies, 169
 Permanent Court of Arbitration (PCA), 176
 Permanent seat, 178
 Personal jurisdiction, 68
 Plenary, 59
 body, 32–43
 decisions, 58–60, 108, 109
 Positive effects of a new approach, 17–23

Possibility of re-appointment, 56
 Precedence, 205
 Precedent
 de facto, 109
 of judgments, 109
 second instance judgments as, 109
 Predetermined allocation procedure/scheme, 85
 Predictability, 2, 23, 109, 126
 Preliminary examination, 83
 President of the Court, 58, 179
 Principle of
 celerity, 106, 141
 independence, 62
 of investigation, 106
 transparency, 106, 136
 Privileges and immunities, 177, 178
 Procedural
 design, 31–63
 objections impeding further proceedings, 83
 principles, 79, 84, 134–144
 process, 79
 Proceedings
 upon application of claim, 84–85
 acceleration of, 23
 appeal, 201
 duration of, 106–107, 139
 institution of, 82
 language of proceedings, at the MIC, 192
 length of, 141
 maximum duration of, 106
 oral, 92
 state-state, between MIC members, 79
 Professional qualifications, 45
 Pronouncement of decisions, 149–154
 Protection of the claimant's rights, 99, 100
 Publication of documents, 138

Q

Quality of the judgments, 99

R

Ratione materiae, 69
 Reappointment, 125
 Recognition, 155
 Recognition of decisions, 155–172
 Reduction of fees, 94
 Regional distribution of posts, 62
 Regional/geographical distribution, 38
 Regional groups, 39, 40

Regulatory chill, 21
 Relationship of the MIC with
 inter-state (arbitration) dispute settlement,
 78–79
 other courts and Arbitral Tribunals, 75–77
 with domestic courts, 77–78
 Remedies, 153
 Removal from office, 57
 Remuneration, 51–52
 Renegotiation and reform of existing EU
 Respondent, 87
 Restitution, 150
 Restoration, 152
 Review *ex officio*, 82
 Review of the legal assessment, 106
 Right
 to be heard, 91, 139
 to bring a claim, 90–91
 Rule of law, 12, 31
 Rules
 on cost allocation schemes, legal funding
 and legal aid, 94–96
 of ethics, 49, 50
 of interpretation, 41
 of procedure, 80, 135

S
 Salini criteria, 135
 Scope of review, 107–108
 Screening committee, 36, 37
 Seat
 agreement, 179
 of the plenary body, 43
 Secondary instruments, 194
 Secondary rules, 41–42
 Second instance procedure/appeal, 102–109
 Secretariats, 61–62
 Director General, 62
 Selection of Judges/ Adjudicators, 35
 Singapore Opinion of the CJEU, 11
 Small and Medium Enterprises (SMEs), 17, 21,
 60, 96
 Sovereign Wealth Funds (SWFs), 73
 Special procedural requirements, 135
 Staff/ Staff regulations, 62
 Standalone appeal mechanism, 197–215
 Stand-by fees, 51
 Statement of reasons, 98
 State-Owned Enterprises (SOEs), 73
 Subsequent/authentic interpretation, 41, 42
 Substantial economic activity, 73
 Sunset clauses in IIAs, 188
 Suspensory effect, 200

T

Tax exemptions, 62, 178
 Terms of office, 133
 Textual limitations, 128
 Third-Party Funding, 21, 95
 Time efficiency, 22, 23
 Time limits for the submission of a claim, 86, 87
 Time-sensitive restructuring, 75
 ‘Trade for All’ communication, 29
 Transatlantic Trade and Investment Partnership
 (TTIP), 11
 Transfer jurisdiction, 210
 Transitional rules, 210
 Transparency, 17, 22, 85, 137
 Transparency in proceedings of the plenary
 body, 43
 Transparency obligations, 137
 Treaty on European Union (TEU)
 Article 21, 12
 Treaty shopping, 66, 71, 73–74

U

UNCITRAL Rules on Transparency, 22, 92,
 136–138
 The UNCITRAL Working Group III, 9
 mandate, 9
 Uniform decision-making, 117
 Unilateral offer, 65
 United Nations Commission on International
 Trade Law (UNCITRAL), 10, 135, 182
 United Nations Conference on Trade and
 Development (UNCTAD), 63
 United Nations Convention on Privileges and
 Immunities, 178

V

Vice President of the Court, 58
 Voluntary submission, 162–164
 declaration of submission, 162

W

Withdrawal of
 appeal, 106
 a claim, 98
 Working languages, 192
 Workload of the chambers, 59
 World Trade Organization (WTO), 31
 dispute settlement procedures, 22
 dispute settlement system, 24
 Written statement of claim, 82
 Written submissions, 83