

# Index

## A

- Account of profits following breach, 307–308.  
*See also* Remedies for breach  
restitution
- Agreed remedies
  - deposit, 314
  - liquidated damages, 285, 311
- Alternative dispute resolution (ADR), 33. *See also* Arbitration, expert determination compared
- American Law Institute/UNIDROIT's Principles of Transnational Civil Procedure*, 6, 110
- Annulment of awards. *See* Arbitration
- Anti-suit injunctions, 72–73, 149
- Appeals, 5, 129–130
- Appointment of arbitrators, 101, 114
- Arbitration
  - advantages, compared with court proceedings, 3–8
  - annulment of award, 154
  - anti-suit injunctions
    - examples of anti-suit injunctions, 75–77
    - no anti-suit injunctions within the, European Union, 77
  - appointment of arbitrators, 101
  - arbitrability, 57
  - Arbitration Act
    - core provisions, 112
    - freezing relief in support of arbitration, 86
    - supervision of matters arising under the Arbitration Act, 62, 93, 129–130
  - arbitration agreements
    - drafting, 22, 23
    - enforcement
    - anti-suit injunctions, 72–73
    - damages for breach of arbitration agreement, 81–82
    - interpretation, liberal construction, 28
    - written requirement, 24–28 (*see also* Appointment of arbitrators) (*see also* *Kompetenz-Kompetenz*)
  - arbitrator's fees and expenses, 97
  - awards
    - challenges generally under English law, 129–130
    - challenges based on absence of jurisdiction, 129
    - challenges based on error of English law, 131
    - challenges based on serious irregularity, 131, 281, 282
    - claim on arbitration award, 144
    - correction of award by the tribunal, 130, 131
    - intelligible reasoning, 115
    - interaction of the court and arbitral process, 11
  - challenges (*see* Arbitration, awards)
  - combining mediation and arbitration, 37, 70
  - confidentiality of arbitral proceedings, 122
    - during arbitral appeals to the High Court, 122
  - costs, 97
  - currency of the award, 280
    - monetary relief in any currency, 280
  - death of arbitrator, 95
  - dictates of speediness and efficiency, 114

Arbitration (*cont.*)

- disclosure during arbitration
  - institutional rules on confidentiality, 121
  - judicially controlled relaxation of confidentiality, 120–122
- due process, 112–113 (*see also* Challenges based on serious irregularity)
- duties of arbitrators, 115–116
- enforcement
  - enforcing English awards
    - Arbitration Act, 144
    - confidentiality, *Associated Electric & Gas* case, 119
  - enforcement as an attraction of commercial arbitration, 6
  - foreign awards and foreign enforcement, 157
  - enforcement under the New York Convention, the *Dallah* case, 157
  - international enforcement, 144
  - third pillar of commercial arbitration, 12, 13 (*see also* New York Convention (1958))
  - freezing relief in support of arbitration enforcement, 87
- evidence
  - the *Dallah* case, 161
  - preparation of evidence and disclosure, 118, 120
- expert determination compared
  - dispute-resolution clause with a prior stage of expert determination, 33
  - five other styles of dispute-resolution, 34
- finality
  - arbitral awards less easily challenged compared with court judgments, 6
  - consensual exclusion of appeal on point of English law, 137–138
  - ‘three pillars of commercial arbitration autonomy’, 11
- foreign awards and foreign enforcement (*see* Enforcement)
- freezing relief
  - ‘*The Front Comor*’ *West Tankers* case, European Court of Justice, 77
- fundamental principles of arbitration
  - differences of principle between arbitration and litigation, 3–8
  - due process, 112–114
  - effectiveness, 114
  - finality of arbitral awards, 5
  - impartiality of arbitrators, 103
  - hearings during arbitral proceedings
    - confidentiality during arbitral appeals to the High Court, 122
    - panel depleted, arbitrator ceasing to hold office, 94
    - pattern of the hearing, 5
    - witnesses, 5, 12, 34, 55, 114
  - immunity of arbitral tribunal, 99
  - independence
    - no separate requirement in English arbitration law, 109–110
    - other legal systems recognising independence as a separate requirement, 110–111
  - interim injunctions and freezing relief, 77
  - Kompetenz-Kompetenz*, 40
  - language of the arbitration, 51
  - laws applicable to the arbitration
    - law governing the procedure of the arbitration *lex arbitri*, 52
    - law governing the substantive dispute, 52, 53
    - range of relevant laws, 51
  - limitation of actions and arbitration proceedings
    - arbitration award, claim on, 10, 60–62
    - English limitation rules, 326–327
    - foreign limitation periods, 332–333
  - mediation before commencement of arbitration, 37
  - mediation role combined with arbitral role, 113
  - nature of arbitration, 3
  - New York Convention
    - annulment by domestic court, 154
    - the *Dallah* case saga, 157
    - foreign enforcement, 143
  - powers of the High Court when hearing an appeal under section, 131–132
  - pre-hearing proceedings
    - interim injunctions and freezing relief, 86
    - speediness and efficiency, 114
  - procedural conservatism, tribunals showing, 5
  - procedural expedition and efficiency, 114
  - protective relief
    - anti-suit relief, 72
    - Arbitration Act, 74, 86–88
  - punitive damages, 81
  - by reference to religious affiliation, *Jivraj* case, 101

- referral of point of law to the court during the arbitration, 134
  - relations between the courts and the arbitral process, 11–12
  - removal of arbitrator, judicial power, 95
  - resignation, death, and filling of vacancies, 94–95
  - res judicata*, issues of finality, 5, 136
  - seat of the arbitration
    - annulment of award at seat, 129
    - other references, 51–52
  - selection of the arbitral tribunal
    - equality within a tribunal, 100, 106
    - reasons parties stipulate for arbitration, 4
    - by reference to religious affiliation, *Jivraj* case, 101
    - special criteria for selecting an arbitral panel, 101
  - separability, 38
    - Arbitration Act, 37, 38
    - leading English case, *Fiona Trust* case, 38, 40
  - similarities of principle between arbitration and litigation, 112
  - staying English proceedings in deference to a valid arbitration agreement, 68–69
  - three pillars of commercial arbitration, 8–12
  - West Tankers* case, European Court of Justice, 77
  - witnesses within arbitration, 5, 12, 34, 55, 95, 114 (*see also* Interim injunctions)
- Assignment, 188
- B**
- Bias. *See* Impartiality
  - Born, Gary, 4–8, 18, 66, 102
  - Breach of contract
    - anticipatory breach, 254–256
    - deliberate breach, 252
    - generally, 249
    - innocent party’s right to terminate, 258
- C**
- Capacity, 167
  - Causation, 294
  - Certainty, 177–179
  - Challenges based on serious irregularity, 131, 281, 282
  - Chattels. *See* Sale of goods
  - Children, 167
  - Choice of law clauses, 21, 53, 57, 259
  - Codification, 165
  - Coercion. *See* Duress
  - Coercive orders, 302
  - Collateral warranties, 189, 190
  - Comfort letter, 187
  - Commercial Court, 129, 131, 142
  - Compromises, 226
  - Conditions—promissory term, 260. *See also* Time of the essence clauses
  - Confidentiality, 7, 117
  - Consideration doctrine
    - basic rules, 185–186
    - decreasing pacts (promises to reduce a debt), 224
    - formalities, 180
    - increasing pacts (promises to pay more than the original rate), 224
    - intent to create legal relations, relationship with consideration, 186–187
    - variation of contracts, 224–227 (*see also* Consideration doctrine, decreasing pacts; Consideration doctrine, increasing pacts)
  - Consolidation and joinder, 41
  - Consumers
    - consumer protection statutes, 219
    - contra proferentem* construction, 217
    - damages for ‘consumer surplus’, 291
    - deposits, consumer protection, 317
    - disappointment damages, 291–299
    - entire obligation rule, 272
    - incorporation of terms, 215
    - penalties, 309–314
  - Contempt of court, 73, 311
  - Contracts
    - arbitration agreements, 17
    - breach, 249–250
    - certainty, 177
    - compensation claims damages, 285
    - debt, 283–285
    - deeds, 184
    - deposits, 314, 316
    - duress, 201
    - duties to disclose, 198
    - formalities, 180–181
    - frustration, 273
    - governing law
      - arbitration agreement, 57
      - substance of the dispute, 52
    - illegality, 188

Contracts (*cont.*)  
 injunctions, 304–305  
 limitation of actions, 326–327  
 misrepresentation, 189  
 mistake, 199–201  
 mitigation of loss, 298  
 set-off, 320–326  
 specific performance, 283, 299–304  
 termination, 258  
 third parties, 188  
 writing, 180  
*Contra proferentem* construction, 217  
 Contributory negligence, 298–299  
 Conversion, tort of, 327  
 Conveyances. *See* Sale (or lease) of land  
 Costs, 288  
 Covenants. *See* Deeds

**D**  
*Dallah* case saga, 157  
 Damages for breach of arbitration agreements,  
 81–82  
 Damages for breach of contract  
 aggravation, vexation etc, 291–299  
 cost of cure measure, 290–299  
 date of assessment, 286–299  
 expectation and reliance measures, 288  
 generally, 286  
 interest (on money awards), 281–283  
 loss of a chance, 293–294  
 mitigation of loss, 297–299  
 nominal, 257  
 punitive damages not granted, 289, 311  
 remoteness, 294–296  
 speculative loss, 293  
 substantial damages, 293 (*see also*  
 Causation; Contributory negligence;  
 Liquidated damages clauses;  
 Mitigation of loss)

Debt  
 increasing the amount by agreement, 224  
 interest (on money awards), 281, 283  
 reducing the amount by agreement, 225

Deceit, 194  
 Declaration, 307  
 Deeds, 184–185  
 Delay  
 frustration, 276  
 time of the essence, 263  
 Deposits, 314, 316  
 Dispute resolution, types of, 34  
 Duress, 201  
 Duties to disclose (contract law), 198

**E**  
 Enforcement  
 arbitration agreements  
 anti-suit injunctions, 72–73  
 damages for breaching arbitration clause,  
 81–82  
 arbitration awards (*see* Arbitration)  
 (*see* Contempt of court)  
 Entire Agreement Clauses, 196  
 Entire obligation rule, 272  
 Equity, 53, 172, 225, 246, 265, 300, 304, 332.  
*See also* Estoppel, promissory  
 estoppel (equity); Estoppel,  
 proprietary estoppel (equity);  
 Injunctions; Rectification;  
 Rescission; Specific performance;  
 Unconscionability  
 Error of law, in arbitral awards, 129–130  
 Estoppel  
 contractual estoppel, 174  
 estoppel by convention, 173  
 estoppel by representation, 172  
 promissory estoppel (equity), 173  
 proprietary estoppel (equity), 174  
 Exemption clauses. *See* Exclusion clauses  
 European Court of Justice, 77, 78, 82  
 European Union law, 77, 148  
 Evidence  
 negotiation evidence, 239  
 preservation of, 55, 88  
 within arbitration proceedings, 112–114  
 Exclusion clauses  
 Common Law, 215  
 consumer contracts, 218  
 Consumer Rights Act (UK) Part 2, 198  
*contra proferentem* construction, 217  
 fraud rule, 217  
 misrepresentations, liability for, 196  
 statutory control, 218  
 statutory implied terms in, 218  
 Expert determination, 33  
 Experts, 161

**F**  
 Fees, 94  
 Fiduciaries, 170–171  
 Finality, 5, 132  
 Force majeure clauses, 169, 249  
 Forgery (arbitration agreement), 40, 75  
 Formalities (arbitration agreements), 24,  
 180–181 (*see also* Writing)  
 Fraud (and deceit), 192, 193  
 Freedom of contract principle, 166

Freezing injunctions, 86–88  
 Freezing relief, 86–88  
 Frustration of Contracts, Law Reform  
 (Frustrated Contracts) Act (UK), 277

## G

Good faith and fair dealing, 170–171  
 Goods. *See* Sale of goods  
 Guarantees, 181–184, 202

## H

Hearings, 123  
 High Court, 122, 131

## I

IBA. *See* International Bar Association (IBA)  
 Illegality, 188. *See also* Public policy  
 Immunity, 99  
 Impartiality, 103  
 Implied terms  
   consumer contracts, control of, 218  
   custom, 209  
   exclusion clauses, 218  
   in fact, 210  
   in law, 207  
   statutory implied terms in goods, etc, 207  
   written contracts, 214  
 Incomplete performance, 272  
 Indemnities, 222. *See also* Guarantees  
 Independence. *See* Impartiality  
 Inherent jurisdiction, 70, 75  
 Injunctions, 304–305  
 Innominate terms, 265  
 Insanity, 167  
 Insurance and duty to disclose, 25  
 Insolvency  
   insolvency set-off, 323  
   limitation of actions, 330  
 Intent to create legal relations, 11, 12, 186–187  
 Interest (on money awards) (arbitration),  
   281–283  
 Interim injunction, 32, 69, 88, 89, 301, 304  
 Interim relief, 86, 88, 305  
 Intermediate terms, 265–266  
 International Bar Association, Guidelines on  
   Conflicts of Interest in Arbitration,  
   105, 108–112, 114  
 Interpretation of written contracts  
   common-sense (commercial), 233  
   *contra proferentem* construction, 217  
   corrective construction, 233

factual matrix (background), 237  
 implied terms, 213  
 general principles, 229  
 negotiations, bar concerning, 240  
 objectivity, 234  
 original intentions, 239  
 parole evidence rule, 206  
 post-formation conduct bar, 242  
 rectification, 241, 246  
 whole contract considered, 236

## J

Joinder, 41  
 Judges, 108  
 Jurisdiction clauses, 18

## K

*Kompetenz-Kompetenz*, 11, 40

## L

Lalive, Pierre, 13, 56  
 Leases. *See* Sale (or lease) of land  
 Letter of comfort, 187  
 Limitation of Actions  
   arbitration award, claim on, 10, 60  
   commencement of limitation period, 327  
   contract claims, 328  
   contribution proceedings, 329–330  
   deliberate concealment, postponement of  
     limitation period, 330–331  
   disability, claimant's, 331  
   equity, claims in, 332  
   foreign limitation periods, 332  
   fraud, postponement of limitation period, 330  
   laches and acquiescence, 332  
   land, recovery of, 330  
   mistake, postponement of limitation  
     period, 331  
   personal injury and fatal accident claims,  
     329–330  
   restitutionary claims, 330  
   tort actions, 329  
   waiver and estoppels, modification,  
     328–329  
 Liquidated damages clauses. *See* Penalty  
   clauses. *See* Penalty doctrine

## M

Mediation, 17, 31, 36, 99  
 Mediation clauses, 58

- Mental incapacity, 167
- Minors, 167
- Misrepresentation
- damages, 194
  - damages for deceit, 194
  - duty to make correction, 193
  - exclusion of liability, 196
  - mere opinion, 192
  - nature, 191
  - reliance, 193
  - rescission for misrepresentation., 195
  - statutory damages, 194
  - tort claims for misrepresentation, 194
    - (*see also* Collateral warranties; Deceit; Duties to disclose (contract law); Mistake)
- Mistake, 200
- equity, 246
  - error as to person ('identity'), 200
  - law—error of law, 200
  - non est factum, 200
  - shared mistake, 199
  - unilateral error, 199
- Mitigation of loss, 297–299
- Model Law (UNCITRAL) on International Commercial Arbitration
- absence of review or recourse, 140
  - appeal on point of law, Model Law not permitting, English position contrasted, 140
  - appointment of arbitrator, broad correspondence between Arbitration Act 1996 and Model Law, 93
  - arbitration agreement, writing or otherwise, 27–28
  - independence and impartiality, arbitrators, 110
  - Kompetenz-Kompetenz* principle, 40
  - stays of court proceedings, 69
  - three-member panel as starting point, 100
- Money claims, 279
- Multi-level, tiered, or escalation clauses, valid agreement requiring certainty, 32, 37, 179
- Multi-party claims, 43
- Mustill, Michael, 61, 63, 64, 66, 92, 134, 170, 236, 286
- N**
- Negligence, tort of, 327
- Negotiation clauses, 35
- Neutrality, 3, 9, 106
- New York Convention (1958)
- the *Dallah* case, 157
  - law governing the arbitration agreement, 61
  - national court's annulment of a domestic award, 155
  - public policy, 146–148
  - recognition and enforcement of foreign awards, 143
  - stay of the proceedings, 68
  - third pillar of commercial arbitration, 8
  - writing requirement for arbitration clauses, 27
  - Yukos* case saga, 156
- Non est factum* Doctrine, 200, 216
- Non-parties, 188
- Non-reliance clauses, 196
- Novation, 226
- O**
- Objective principle of agreement, 168
- P**
- Pacta sunt servanda*, 169
- Parol evidence rule, 206
- Parties, 41
- Paulsson, Jan, 5, 6, 107
- Penalty clauses, 309
- Penalty doctrine, 309
- Perjury, 149
- Precedent decisions, 207
- Price, 177–179
- Principles of contract law, 166
- Principles of European Contract Law (PECL), 213
- Privity doctrine at Common Law, 188
- trusts of promises (equity), 44 (*see also* Third parties)
- Privy Council, 177–179
- Protective relief, 86, 304. *See also* Freezing injunctions
- Public policy
- arbitration awards, enforcement, 146–148
  - contract law, heads of public policy, 188
- Punitive damages, 289
- Q**
- Quantum meruit* (or *quantum valebat*) awards (for value of services or goods), 319, 321

**R**

- Reasoned awards, 115
- Rectification
  - common intention, 246
  - unilateral mistake, 246 (*see also* Interpretation)
- Recusal by arbitrators, 104
- Remedies for breach of contract, 279. *See also*
  - Account of profits following breach;
  - Agreed remedies; Damages; Debt;
  - Declaration; Injunction; Specific performance
- Remedies for breach restitution, 317
- Remedies (other than for breach of contract)
  - rectification, 246
  - relief under statute following frustration, 277
  - restitution, 317
- Remoteness of damage, damages for misrepresentation, 194
- Renunciation, 250–251
- Repudiation of contract (actual serious breach), 252
- Rescission (including ‘bars’)
  - duress, 201
  - fraudulent misrepresentation, 194
  - non-disclosure, 198
  - ordinary misrepresentation, 194
  - unconscionability, 203
  - undue influence, 201
- Resignation, 94
- Res judicata*, 80, 175
- Restitution, 317. *See also* Rescission

**S**

- Sale (or lease) of land
  - damages, date of assessment, 287
  - deposits, 316
  - forfeiture, 265
  - formalities, 180–185
  - frustration, 276
  - misrepresentation, 190, 192
  - rectification, 245
  - specific performance, 300
  - time of the essence, 263
- Sale of goods
  - exclusion clauses, 218
  - innominate terms, 265
  - price, 283, 320–322
  - specific performance, 283, 300
- Scots law, 124
- ‘Seat’ of the arbitration, 54

**Self-help remedies**

- deposit, 314
- forfeiture, 264
- rescission, 194 (*see also* Rescission)
- termination clause, 266
- termination for breach, 258
- Separability, doctrine of, 37–38
- Set-off
  - abatement, 320–322
  - consensua exclusion of set-off statutory control on contractual exclusion, 323–324
  - equitable, 321–323
  - independent, 320–321
  - insolvency, 323
  - types of, 320–326
- Shares, specific performance (shares in a private company), 300–303
- Sources, 165
- Specific performance, 144, 177–179, 199, 222, 283, 299–304
- Statutes and contract law in general, 165–166
- Stays of court proceedings, 69, 309
- Strict contractual obligations, 256
- ‘Subject to contract’, 186
- Supreme Court of the United Kingdom, 165
- Surety agreements. *See* Guarantees

**T**

- Termination clauses, 266–268
- Termination for breach, on the distinction between this and rescission ab initio, 258
- Termination other than for breach, 277
- Terms
  - express, 205
  - implied, 207
  - intermediate (innominate), 265
  - non-promissory, 206
  - promissory, 205 (*see also* Arbitration; Choice of law clauses; Collateral warranties; Conditions; Exclusion clauses; Jurisdiction clauses; Interpretation; Liquidated damages clauses; Mediation clauses; Warranties)
- Third parties
  - assignment doctrine, 188
  - Common Law privity doctrine, 188
  - statutory right of action, 43–45, 188
  - trusts of promises (equity), 44
- Time of the essence clauses, 263

Tort. *See* Conversion, tort of; Deceit;  
Negligence, tort of  
Tribunals, 166  
Trust of promises, 44

## U

UNCITRAL. *See* Model Law (UNCITRAL) on  
International Commercial Arbitration  
Unconscionability (non-statutory), 203  
Undue influence, 201  
*UNIDROIT/American Law Institute's  
Principles of Transnational Civil  
Procedure*, 6, 110  
*UNIDROIT Principles of International  
Commercial Contracts*,  
2010 edn, 212  
Unilateral contracts, 170  
Unjust enrichment. *See* Restitution

## V

Variation of contracts, 224–227  
Veeder, V.V., 129, 141  
Void contracts. *See* Conversion, tort of

## W

Warranties, 220. *See also* Collateral  
warranties. *See also* Indemnities  
Witnesses (deeds), 5, 12, 34, 55, 95, 114,  
184–185  
Witnesses within arbitration, 95  
Writing  
arbitration agreements, 24–28  
contracts generally, 180–181, 206

## Y

*Yukos* case saga, 156