

PART 5



# Appendixes



# Downloadable Files

This appendix lists all the files used throughout the book. It also describes the test environment, which is important because some scripts will work correctly only when a specific configuration is in place.

## Test Environment

My test server is a Dell PowerEdge 1900, equipped with a quad-core Xeon processor (E5320, 1.86GHz), 4GB of memory, two mirrored SATA disks (Samsung Spinpoint, 300GB, 7,200rpm) for the operating system and all other applications, and four striped SAS disks (Seagate Cheetah, 73GB, 15,000rpm) for the database files. The server is connected to my workstation and to the other test clients through a gigabit network and switch.

The operating system is CentOS<sup>1</sup> 4.4 x86\_64. The following versions of the Oracle database engine are installed (actually, these are all the versions that are currently available for this platform):

- *Oracle9i Release 2:* 9.2.0.4, 9.2.0.6, 9.2.0.7, 9.2.0.8
- *Oracle Database 10g Release 1:* 10.1.0.3, 10.1.0.4, 10.1.0.5
- *Oracle Database 10g Release 2:* 10.2.0.1, 10.2.0.2, 10.2.0.3, 10.2.0.4
- *Oracle Database 11g Release 1:* 11.1.0.6

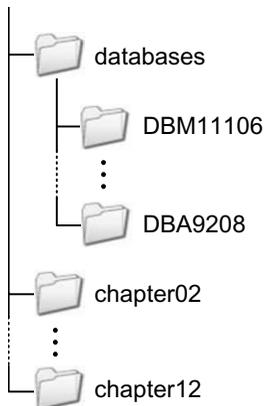
For each version, I installed two databases: Enterprise Edition without options and Enterprise Edition with all options.

## Files Available for Download

Figure A-1 shows the structure of the distribution file you can download from <http://top.antognini.ch>. For each chapter (except Chapter 1), there is a directory containing the files related to it. In addition, for each database, there is a directory containing the files I used to build it.

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1. See <http://www.centos.org> for additional information.



**Figure A-1.** *The directory structure of the distribution file*

## Databases

Scripts were generated using the Database Configuration Assistant. For all databases, only the initialization parameters related to the name of the database, the location of the files, and the memory utilization are set. All other initialization parameters were left at the default value. The only exception is the initialization parameter `remote_os_authent`, which is set to `TRUE`. Note that you should never use this value for a database, except in a “playground” (a system that has no value and contains no data of any importance whatsoever).

The names of the databases define the type of the installation. For example, the names of the two databases shown in Figure A-1 mean the following:

- `DBM11106`: Database without options (M stands for “minimal”) of version 11.1.0.6
- `DBA9208`: Database with all options (A stands for “all”) of version 9.2.0.8

Every script has been tested against every database. If a specific script doesn’t work in one of them, the header of the script indicates when this is the case.

## Chapter 2

The files listed in Table A-1 are available for download for Chapter 2.

**Table A-1.** *Files for Chapter 2*

File Name	Description
<code>bind_variables.sql</code>	This script shows how and when bind variables lead to the sharing of cursors.
<code>bind_variables_peeking.sql</code>	This script shows the pros and cons of bind variable peeking.
<code>selectivity.sql</code>	This scripts provides the examples shown in the section “Defining Selectivity.”
<code>sharable_cursors.sql</code>	This script shows examples of parent and child cursors that cannot be shared.

## Chapter 3

The files listed in Table A-2 are available for download for Chapter 3.

**Table A-2.** *Files for Chapter 3*

<b>File Name</b>	<b>Description</b>
DBM11106_ora_6334.trc	This is the sample trace file used as the basis for explaining the TKPROF and TVD\$XTAT output.
DBM11106_ora_6334.txt	This is the TKPROF output of the trace file DBM11106_ora_9813.trc used as the basis for explaining the format of the file generated by TKPROF.
DBM11106_ora_6334.html	This is the TVD\$XTAT output of the trace file DBM11106_ora_9813.trc used as the basis for explaining the format of the file generated by TVD\$XTAT.
dbm10203_ora_24433.trc	This is the sample trace file depicted in Figure 3-19. It shows how information is stored regarding multiple sections generated by a single session.
dbm10203_s000_24374.trc	This is the sample trace file shown in Figure 3-19. It shows how information is stored regarding three sessions connected through a shared server.
dbms_profiler.sql	This script shows how to profile a PL/SQL procedure and how to display the generated information.
dbms_profiler_triggers.sql	You can use this script to create two triggers enabling and disabling the PL/SQL profiler.
LoggingPerf.java	You can use this Java class to compare the average execution time of the methods <code>info</code> and <code>isInfoEnabled</code> of the <code>log4j</code> class <code>Logger</code> .
makefile.mk	This is the makefile I used to compile the C program given as an example.
map_session_to_tracefile.sql	You can use this script to map a session ID to a trace file.
perfect_triangles.sql	You can use this script to create the PL/SQL procedure <code>perfect_triangles</code> used as an example in the “Gathering the Profiling Data” section.
session_attributes.c	This C program shows how to set the client identifier, client information, module name, and action name through OCI.
SessionAttributes.cs	This C# class shows how to set the client identifier through ODP.NET.
SessionAttributes.java	This Java class shows how to set the client identifier, module name, and action name through JDBC.
trcssess.awk	You can use this awk script to make SQL trace files generated with Oracle9i compatible with the command-line tool <code>trcssess</code> .

## Chapter 4

The files listed in Table A-3 are available for download for Chapter 4.

**Table A-3.** *Files for Chapter 4*

<b>File Name</b>	<b>Description</b>
clustering_factor.sql	This script creates a function that illustrates how the clustering factor is computed.
comparing_object_statistics.sql	This script shows how to compare current object statistics with object statistics stored in the history that are pending and stored in a backup table.
cpu_cost_column_access.sql	This script shows the CPU cost estimated by the query optimizer when accessing a column, depending on its position in the table.
dbms_stats_job_10g.sql	This script shows the actual configuration of the job aimed at automatically gathering object statistics, which is installed and scheduled during the creation of a 10g database.
dbms_stats_job_11g.sql	This script shows the actual configuration of the job aimed at automatically gathering object statistics, which is installed and scheduled during the creation of an 11g database.
delete_histogram.sql	This script shows how to delete a single histogram, without modifying the other statistics.
lock_statistics.sql	This script shows the working and behavior of locked object statistics.
mreadtim_lt_sreadtim.sql	This script shows the correction performed by the query optimizer when <code>mreadtim</code> is smaller or equal to <code>sreadtim</code> .
object_statistics.sql	This script provides an overview of all object statistics.
pending_object_statistics.sql	This script shows how to use pending statistics to test a new set of object statistics before publishing them.
system_stats_history_job.sql	This script can be used to create a table and a job to store the evolution of workload statistics over several days.
system_stats_history.sql	This script is used to extract workload statistics from the history table created by the script <code>system_stats_history_job.sql</code> . The output can be imported into the spreadsheet <code>system_stats_history.xls</code> .
system_stats_history.xls	This Excel spreadsheet can be used to compute average values and to draw charts showing trends of workload statistics extracted with the script <code>system_stats_history.sql</code> .

## Chapter 5

The files listed in Table A-4 are available for download for Chapter 5.

**Table A-4.** *Files for Chapter 5*

File Name	Description
assess_dbfmbrc.sql	This script is used to test the performance of multiblock reads for different values of the initialization parameter <code>db_file_multiblock_read_count</code> . The idea is to determine the value of this parameter that provides optimal performance.
bug5015557.sql	This script shows that the initialization parameter <code>optimizer_features_enable</code> disables bug fixes as well as regular features.
dynamic_sampling_levels.sql	This script shows examples of queries taking advantage of dynamic sampling, for levels going from 1 to 4.
optimizer_index_caching.sql	This script shows the working and drawbacks of the initialization parameter <code>optimizer_index_caching</code> .
optimizer_index_cost_adj.sql	This script shows the drawbacks of setting the initialization parameter <code>optimizer_index_cost_adj</code> .
optimizer_secure_view_merging.sql	This script shows the working and drawbacks of the initialization parameter <code>optimizer_secure_view_merging</code> .

## Chapter 6

The files listed in Table A-5 are available for download for Chapter 6.

**Table A-5.** *Files for Chapter 6*

File Name	Description
dbms_xplan_output.sql	This script generates a sample output containing the main information provided by the functions of <code>dbms_xplan</code> .
display.sql	This script shows examples of how to use the function <code>display</code> in the package <code>dbms_xplan</code> .
display_awr.sql	This script shows examples of how to use the function <code>display_awr</code> in the package <code>dbms_xplan</code> .
display_cursor.sql	This script shows examples of how to use the function <code>display_cursor</code> in the package <code>dbms_xplan</code> .
display_cursor_9i.sql	This script displays the execution plan of a cursor stored in the library cache. The cursor is identified by address, hash value, and child number.
execution_plans.sql	This script shows the different types of operations that execution plans are composed of.
parent_vs_child_cursors.sql	This script shows the relationship between a parent cursor and its children's cursors.

**Table A-5.** *Files for Chapter 6 (Continued)*

<b>File Name</b>	<b>Description</b>
restriction_not_recognized.sql	This script generates the output used for showing how to recognize inefficient execution plans, by checking actual cardinalities.
wrong_estimations.sql	This script generates the output used for showing how to recognize inefficient execution plans by looking at wrong estimations.

## Chapter 7

The files listed in Table A-6 are available for download for Chapter 7.

**Table A-6.** *Files for Chapter 7*

<b>File Name</b>	<b>Description</b>
all_rows.sql	This script shows how it is possible to switch the optimizer mode from rule to all_rows with a SQL profile.
baseline_automatic.sql	This script shows how the query optimizer automatically captures a SQL plan baseline.
baseline_from_sqlarea1.sql	This script shows how to manually load a SQL plan baseline from the library cache. The cursor is identified by the text of the SQL statement associated with it.
baseline_from_sqlarea2.sql	This script shows how to manually load a SQL plan baseline from the library cache. The cursor is identified by the SQL identifier of the SQL statement associated with it.
baseline_from_sqlarea3.sql	This script shows how to tune an application without changing its code. A SQL plan baseline is used for that purpose.
baseline_from_sqlset.sql	This script shows how to manually load a SQL plan baseline from a SQL tuning set.
baseline_upgrade_10g.sql	This script shows how to create and export a SQL tuning set on Oracle Database 10g. It is used along with the script baseline_upgrade_11g.sql to show how to stabilize execution plans during an upgrade to Oracle Database 11g.
baseline_upgrade_11g.sql	This script shows how to import and load a SQL tuning set into a SQL plan baseline. It is used along with the script baseline_upgrade_10g.sql to show how to stabilize execution plans during an upgrade from Oracle Database 10g to Oracle Database 11g.
clone_baseline.sql	This script shows how to move SQL plan baselines between two databases.
clone_sql_profile.sql	This script shows how to create a copy of a SQL profile.
depts_wo_emps.sql	This script was used to generate the execution plans used as examples in the section “Altering the Access Structures.”

**Table A-6.** *Files for Chapter 7*

<b>File Name</b>	<b>Description</b>
<code>exec_env_trigger.sql</code>	This script creates a configuration table and a database trigger to control the execution environment at the session level.
<code>first_rows.sql</code>	This script shows how it is possible to switch the optimizer mode from <code>all_rows</code> to <code>first_rows</code> with a SQL profile.
<code>object_stats.sql</code>	This script shows how it is possible to provide object statistics to the query optimizer with a SQL profile.
<code>opt_estimate.sql</code>	This script shows how it is possible to enhance the cardinality estimations performed by the query optimizer with a SQL profile.
<code>outline_editing.sql</code>	This script shows how to manually edit a stored outline.
<code>outline_edit_tables.sql</code>	This script creates the working tables and public synonym necessary to edit private outlines.
<code>outline_from_sqlarea.sql</code>	This script shows how to manually create a stored outline by referencing a cursor in the shared pool.
<code>outline_from_text.sql</code>	This script shows how to manually create a stored outline as well as how to manage and use it.
<code>outline_with_ffs.sql</code>	This script tests whether a stored outline is able to overwrite the setting of the initialization parameter <code>optimizer_features_enable</code> .
<code>outline_with_hj.sql</code>	This script tests whether a stored outline is able to overwrite the setting of the initialization parameter <code>hash_join_enabled</code> .
<code>outline_with_rewrite.sql</code>	This script tests whether a stored outline is able to overwrite the setting of the initialization parameter <code>query_rewrite_enabled</code> .
<code>outline_with_star.sql</code>	This script tests whether a stored outline is able to overwrite the setting of the initialization parameter <code>star_transformation_enabled</code> .
<code>tune_last_statement.sql</code>	This script is used to instruct the SQL Tuning Advisor to analyze the last SQL statement executed by the current session. When the processing is over, the analysis report is shown.

## Chapter 8

The files listed in Table A-7 are available for download for Chapter 8.

**Table A-7.** *Files for Chapter 8*

<b>File Name</b>	<b>Description</b>
<code>bind_variables.sql</code>	This script shows how and when bind variables lead to the sharing of cursors.
<code>bind_variables_peeking.sql</code>	This script shows the pros and cons of bind variable peeking.
<code>lifecycle.sql</code>	This script shows the difference between implicit and explicit cursor management.
<code>long_parse.sql</code>	This script is used to carry out a parse lasting about one second. It also shows how to create a stored outline to avoid such a long parse.
<code>long_parse.zip</code>	This compressed archive contains two trace files generated by the execution of the script <code>long_parse.sql</code> . For each trace file, the output files generated by TKPROF and TVD\$XTAT are available as well.
<code>ParsingTest1.c</code> , <code>ParsingTest2.c</code> , and <code>ParsingTest3.c</code>	These files contain C (OCI) implementations of test case 1, 2, and 3, respectively.
<code>ParsingTest1.cs</code> and <code>ParsingTest2.cs</code>	These files contain C# (ODP.NET) implementations of test case 1 and 2, respectively.
<code>ParsingTest1.java</code> , <code>ParsingTest2.java</code> , and <code>ParsingTest3.java</code>	These files contain Java implementations of test case 1, 2, and 3, respectively.
<code>ParsingTest1.sql</code> , <code>ParsingTest2.sql</code> , and <code>ParsingTest3.sql</code>	These scripts provide PL/SQL implementations of test case 1, 2, and 3, respectively.
<code>ParsingTest1.zip</code> , <code>ParsingTest2.zip</code> , and <code>ParsingTest3.zip</code>	These compressed archives contain several trace files generated by the execution of the Java implementation of test case 1, 2, and 3, respectively. For each trace file, the output files generated by TKPROF and TVD\$XTAT are available as well.

## Chapter 9

The files listed in Table A-8 are available for download for Chapter 9.

**Table A-8.** *Files for Chapter 9*

<b>File Name</b>	<b>Description</b>
access_structures_1.sql	This script compares the performance of different access structures in order to read a single row. It was used to generate the figures in Figure 9-3.
access_structures_1000.sql	This script compares the performance of different access structures in order to read thousands of rows. It was used to generate the figures in Figure 9-4.
conditions.sql	This script shows how you can use B-tree and bitmap indexes to apply several types of conditions.
fbi.sql	This script shows an example of a function-based index.
full_scan_hwm.sql	This script shows that full table scans read all blocks up to the high watermark.
index_full_scan.sql	This script shows examples of full index scans.
iot_guess.sql	This script shows the impact of stale guesses on logical reads.
linguistic_index.sql	This script shows an example of a linguistic index.
pruning_composite.sql	This script shows several examples of partition pruning applied to a composite-partitioned table.
pruning_hash.sql	This script shows several examples of partition pruning applied to a hash-partitioned table.
pruning_list.sql	This script shows several examples of partition pruning applied to a list-partitioned table.
pruning_range.sql	This script shows several examples of partition pruning applied to a range-partitioned table.
read_consistency.sql	This script shows how the number of logical reads might change because of read consistency.
row_prefetching.sql	This script shows how the number of logical reads might change because of row prefetching.

## Chapter 10

The files listed in Table A-9 are available for download for Chapter 10.

**Table A-9.** *Files for Chapter 10*

File Name	Description
block_prefetching.sql	This script shows block prefetching for data and index blocks.
hash_join.sql	This script provides several examples of hash joins.
join_elimination.sql	This script provides an example of join elimination.
join_trees.sql	This script provides an example for each type of join tree.
join_types.sql	This script provides an example for each type of join.
nested_loops_join.sql	This script provides several examples of nested loop joins.
merge_join.sql	This script provides several examples of merge joins.
outer_join.sql	This script provides several examples of outer joins.
outer_to_inner.sql	This script provides an example of an outer join transformed into an inner join.
pwj.sql	This script provides several examples of partition-wise joins.
pwj_performance.sql	This script is used to compare the performance of different partition-wise joins. It was used to generate the figures found in Figure 10-15.
star_transformation.sql	This script provides several examples of star transformation.
subquery_unnesting.sql	This script provides several examples of subquery unnesting.

## Chapter 11

The files listed in Table A-10 are available for download for Chapter 11.

**Table A-10.** *Files for Chapter 11*

File Name	Description
array_interface.sql, array_interface.c, ArrayInterface.cs, and ArrayInterface.java	These scripts provide examples of implementing the array interface with PL/SQL, OCI, JDBC, and ODP.NET.
ArrayInterfacePerf.java	This script shows that the array interface can greatly improve the response time of a large load. It was used to generate Figure 11-13.
atomic_refresh.sql	This script can be used to reproduce bug 3168840 in Oracle9i. The bug causes refreshes not to work correctly if a single materialized view is refreshed.

**Table A-10.** *Files for Chapter 11*

<b>File Name</b>	<b>Description</b>
<code>dpi.sql</code>	This script shows the behavior of direct-path inserts related to the utilization of the buffer cache, the generation of redo and undo, and the support of triggers and foreign keys.
<code>dpi_performance.sql</code>	This script is used to compare direct-path inserts with conventional inserts. It was used to generate Figure 11-10 and Figure 11-11.
<code>makefile.mk</code>	This is the makefile I used to compile the C programs given as an example.
<code>mv.sql</code>	This script shows the basic concepts of materialized views.
<code>mv_refresh_log.sql</code>	This script shows how fast refreshes based on materialized view logs work.
<code>mv_refresh_pct.sql</code>	This script shows how fast refreshes based on partition change tracking work.
<code>mv_rewrite.sql</code>	This script shows several examples of query rewrite.
<code>px_ddl.sql</code>	This script shows several examples of parallel DDL statements.
<code>px_dml.sql</code>	This script shows several examples of parallel DML statements.
<code>px_dop1.sql</code>	This script shows the impact of the initialization parameter <code>parallel_min_percent</code> .
<code>px_dop2.sql</code>	This script shows that hints do not force the query optimizer to use parallel processing. They simply override the default degree of parallelism.
<code>px_query.sql</code>	This script shows several examples of parallel queries.
<code>px_tqstat.sql</code>	This script shows what kind of information the dynamic performance view <code>v\$sql_tqstat</code> displays.
<code>result_cache_query.sql</code>	This script shows an example of a query that takes advantage of the server result cache.
<code>result_cache_plsql.sql</code>	This script shows an example of a PL/SQL function that implements the PL/SQL function result cache.
<code>row_prefetching.sql</code> , <code>row_prefetching.c</code> , <code>RowPrefetching.cs</code> , <code>RowPrefetching.java</code>	These scripts provide examples of implementing row prefetching with PL/SQL, OCI, JDBC, and ODP.NET.
<code>RowPrefetchingPerf.java</code>	This script shows that row prefetching can greatly improve the response time of a query that retrieves many rows. It was used to generate Figure 11-12.

## Chapter 12

The files listed in Table A-11 are available for download for Chapter 12.

**Table A-11.** *Files for Chapter 12*

<b>File Name</b>	<b>Description</b>
buffer_busy_waits.sql	This script shows an example of processing that causes plenty of buffer busy waits events.
buffer_busy_waits.zip	This file contains the trace files and the output of TKPROF and TVD\$XTAT used in the section “Block Contention.”
column_order.sql	This script shows that the position of a column in a row determines the amount of processing needed to access it. The script was used to generate the values represented in Figure 12-2.
data_compression.sql	This script shows that the performance of I/O bound processing might be improved thanks to data compression.
reverse_index.sql	This script shows that range scans on reverse indexes cannot be used to apply restrictions based on range conditions.
wrong_datatype.sql	This script shows that the decisions of the query optimizer are badly affected by the utilization of wrong datatypes.



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