Visual contribution to the multistable perception of speech

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The multistable perception of speech, or *verbal transformation effect*, refers to perceptual changes experienced while listening to a speech form that is repeated rapidly and continuously. In order to test whether visual information from the speaker's articulatory gestures may modify the emergence and stability of verbal auditory percepts, subjects were instructed to report any perceptual changes during unimodal, audiovisual, and incongruent audiovisual presentations of distinct repeated syllables. In a first experiment, the perceptual stability of reported auditory percepts was significantly modulated by the modality of presentation. In a second experiment, when audiovisual stimuli consisting of a stable audio track dubbed with a video track that alternated between congruent and incongruent stimuli were presented, a strong correlation between the timing of perceptual transitions and the timing of video switches was found. Finally, a third experiment showed that the vocal tract opening onset event provided by the visual input could play the role of a bootstrap mechanism in the search for transformations. Altogether, these results demonstrate the capacity of visual information to control the multistable perception of speech in its phonetic content and temporal course. The verbal transformation effect thus provides a useful experimental paradigm to explore audiovisual interactions in speech perception.

The human ability to follow speech gestures through the visual modality can be considered a core component of speech perception. Behavioral studies have demonstrated that concordant visual information improves speech intelligibility in noisy conditions (e.g., Benoît, Mohamadi, & Kandel, 1994; MacLeod & Summerfield, 1987; Robert-Ribes, Schwartz, Lallouache, & Escudier, 1998; Sumby & Pollack, 1954) and that speech gestures can be partly followed when audition is lacking (Bernstein, Demorest, & Tucker, 1998). Furthermore, even with perfect audio input, speechreading may improve speech intelligibility (Davis & Kim, 1998; Reisberg, McLean, & Goldfield, 1987). On the contrary, seeing incongruent articulatory gestures can alter the perception of clear auditory input. For example, in the famous McGurk effect (McGurk & MacDonald, 1976), a visual /ga/ dubbed with an audio /ba/ is often perceived as /da/ or /tha/, whereas a visual /ba/ dubbed with an audio /ga/ is often perceived as /bga/ (for a review of experimental replications or refinements of the McGurk effect, see Green, 1998).

A number of theoretical models have been proposed concerning the possible cognitive basis of these findings (e.g., Bernstein, Auer, & Moore, 2004; Massaro, 1987, 1989; Summerfield, 1987). In their reanalysis of the five architectures proposed by Summerfield (1987), Schwartz, Robert-Ribes, and Escudier (1998) suggested that the "motor recoding model" was the most plausible with regard to experimental findings. In this model, audiovisual interactions take place in a common representation space in which the sensory inputs are related to compatible articulatory gestures. Though this architecture differs from the simple equivalence between percepts and gestures posited in the motor theories (e.g., Fowler & Rosenblum, 1991; Liberman & Mattingly, 1985: see Schwartz, Abry, Boë, & Cathiard, 2002, and Schwartz, Boë, & Abry, 2006, for reviews of similarities and differences), it considers perceptuomotor interactions as playing a key part in multisensory speech perception. Consistent with this view, it has been shown that seeing oneself articulating in a mirror improved identification of concordant acoustic syllables and deteriorated identification of discordant ones (Sams, Möttönen, & Sihvonen, 2005). Neurophysiological data has provided further evidence for such perceptuomotor interactions. Indeed, brain areas involved in the planning and execution of speech gestures (notably the left inferior frontal gyrus, the premotor and/or the primary motor cortex) have been found to be activated during audiovisual

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speech perception (e.g., Callan et al., 2003; Ojanen et al., 2005; Pekkola et al., 2006; Skipper, Nusbaum, & Small, 2005; Skipper, Van Wassenhove, Nusbaum, & Small, 2007). In these studies, a cortical "dorsal" circuit associating temporal and frontal regions, including the ventral premotor cortex and Broca's area, has been repeatedly shown to be involved in audiovisual speech perception, and is a likely candidate for connecting multisensory and motor representations in the human brain.

In spite of this rather coherent set of data and proposals, the precise functioning of the audiovisual speech processing and categorization system remains largely unknown, including, for instance, the content of perceptual representations, the detailed mechanisms involved in the decision-making process, and the exact way in which perceptual and motor knowledge is connected. To address these issues, a variety of experimental tools may be employed. The present study exploits a well-known paradigm, the *verbal transformation effect* (Warren, 1961; Warren & Gregory, 1958), which, surprisingly, has never been explored in the context of multisensory perception. This multistable perception phenomenon refers to the perceptual changes experienced while listening to a speech form that is repeated rapidly and continuously. Initially, a percept matching the original form is heard, but at some point another percept suddenly arises, corresponding to a change in the perceived stimulus. For example, rapid repetitions of the word *life* provide a soundflow fully compatible with the perception of repeated instances of the words *life* or *fly*. This transformation process persists throughout the repetition procedure, leading to perceptual transitions from one speech form to another. Although verbal transformations have mainly been studied as a purely acoustical/auditory effect (e.g., MacKay, Wulf, Yin, & Abrams, 1993; Pitt & Shoaf, 2001, 2002; Shoaf & Pitt, 2002; Warren, 1961), it has been shown that the effect occurs not only during a purely auditory procedure but also during an overt or covert repetition procedure (Reisberg, Smith, Baxter, & Sonenshine, 1989; Sato, Schwartz, Abry, Cathiard, & Lœvenbruck, 2006; Smith, Reisberg, & Wilson, 1995).

In the present study, we exploited the verbal transformation paradigm, which provides multistability in speech perception, to examine whether visual information from the speaker's articulatory gestures might modify the emergence and stability of verbal auditory percepts. Interestingly, a recent neuroanatomical study of the auditory verbal transformation effect (Sato et al., 2004) displayed a cortical network rather similar to the audiovisual speech perception circuit described previously, connecting temporal regions to frontal ones (including Broca's area) through the left supramarginal gyrus in the parietal cortex. Adding this fact to the many convincing reports that audition and vision interact in most aspects of speech perception (Bernstein, Burnham, & Schwartz, 2002; Summerfield, 1987), it is quite likely that verbal transformations should in fact be audiovisual.

In the present study, we are interested in three questions in particular. First, is it possible to show visual influences on auditory verbal transformations? Can a congruent visual stimulus stabilize the auditory percept and decrease the number of reported transformations? Conversely, can an incongruent visual stimulus destabilize the auditory percept and increase the number of transformations? In addition, does the visual modality alone lead to verbal transformations in speechreading? This first series of questions aims to demonstrate that because verbal transformations are indeed audiovisual, they could be usefully exploited for further studies of audiovisual speech perception.

Second, if multisensoriality can indeed be introduced into the verbal transformation effect, is it possible to drive the listener's perception of a given auditory stimulus over time by adequately switching the visual input from one stimulus to another? This would provide an original and potentially powerful way to attempt to control the perception of a multistable auditory input by adequately changing the visual stimulus presented in synchrony with it.

Finally, the demonstration following this second question—that vision could actually drive the perceptual switch over time—leads to a third question: How does speechreading actually drive the perceptual switch? In order to answer this question, we studied one possible component of this driving mechanism, the visual onset events associated with jaw opening gestures. These three questions will be successively addressed through three experiments.

EXPERIMENT 1
Does Vision Bias Auditory Verbal Transformations?

Experiment 1 was designed to test whether visual information from articulatory gestures might influence verbal transformations. To determine the visual contribution to the stability of verbal auditory percepts, stimuli were presented in four different modalities: audio only, video only, congruent audio–video, and incongruent audio–video (corresponding to the audio track of a speech stimulus dubbed onto the video track of another stimulus).

Method

Subjects. Fifteen healthy volunteers, six men and nine women (mean age $\pm$ standard deviation, 27 ± 7 years), participated in the experiment. All were native French speakers who reported no hearing or speaking disorders and had normal or corrected-to-normal vision. None of the subjects was aware of the purpose of the experiment.

Phonetic material. Two monosyllabic nonsense words were selected from a set of stimuli used in a previous study (Sato et al., 2006). The two syllables, /psə/ and /səp/, consisted of the combination of the bilabial /p/ and coronal /s/ consonants with the neutral vowel /ə/. None of these syllables occur in the French lexicon, which minimizes lexical interference in the verbal transformation task (Shoaf & Pitt, 2002). However, the phonological types of speech sequences (i.e., /psV/, /sVp/, /Vps/, /spV/, /pVs/, and /Vsp/—V being any oral French vowel) are all phonotactically valid in French. Lexical analyses (extracted from VoCoLex, a lexical database for the French language, ~105,000 words; Dufour, Peerman, Pallier, & Radeau, 2002) showed that both the lexical type frequency (defined as the number of lexical entries incorporating a monosyllabic structure identical to that of the stimulus at any position in a word) and neighborhood density values (defined as the number of phonologically similar words that differ from the stimulus by only a single substitution, insertion, or deletion at any position in the target word; Luce, Pisoni, & Goldinger, 1990) were lower for /psV/ than for /sVp/ (114 vs. 371 entries and 31 vs. 59 entries, respectively).

Stimuli. Multiple utterances of the two syllables were individually recorded in a soundproof room by a trained phonetician who is a native French speaker (J.-L.S.). For the audiovisual recordings, the speaker's lips were colored with blue makeup to allow for precise video analyses using a chroma-key process (Lallouache, 1990). The speaker was told to pronounce each syllable naturally at a speech rate of approximately 1 cycle/sec, maintaining an even intonation and vocal intensity. The recorded clips were then edited on a computer using Adobe Premiere software (www.adobe.com). Video was sampled at 25 images/sec with a resolution of 720 × 576 pixels. The audio signal was sampled at 44.1 kHz and 16-bit resolution.

One clearly articulated /psə/ token and one clearly articulated /səp/ token were selected and matched on three criteria. First, the initial and final images for each stimulus were very similar, corresponding to a neutral midopen mouth position. Second, the consonantal acoustic onset of both stimuli (bilabial burst for /psə/, coronal release for /səp/) was aligned, with the consonantal onset occurring in both cases at the 5th image of the movie, and the stimuli were matched in duration (640 msec, 16 frames). Third, the two utterances were matched for intensity using a spectrogram analysis of the acoustic track (Praat software, Institute of Phonetic Sciences, University of Amsterdam, Amsterdam, The Netherlands). A display of the stimuli is presented in Figure 1. The figure includes the acoustic waveform along with the spectrogram (enabling the observation of vowel formants, plosive burst and fricative noise, intensity, and fundamental frequency contours), and lip aperture variations automatically computed from the video stimulus using the chroma-key process (Abry, Cathiard, Vilain, Laboissière, & Schwartz, 2006; Lallouache, 1990).

Four distinct stimuli per syllable were created by inserting the same sequence without pause in a single 96-sec movie (i.e., 150 repetitions of the syllable) according to the following modality conditions: A, audio only; V, video only; AV, congruent audio–video; and AVi, incongruent audio–video (i.e., consisting of a /psə/ audio track dubbed onto a /səp/ video track, or vice versa). In order to bootstrap the initial categorization of the uttered syllable for the video-only condition, a congruent synchronized audio track was inserted for 1.92 sec (i.e., three repetitions of the syllable) at the beginning of the movie. For the incongruent audio–video condition, the previously explained synchronization of the /psə/ and /səp/ utterances ensured that the consonantal onset of the syllable in the video track was synchronized with the consonantal onset of the syllable in the audio track (at the 5th image).

Apparatus. The video track was delivered on a 19-in. computer monitor at a viewing distance of approximately 60 cm. The audio track was presented binaurally over headphones at a comfortable sound level. Subjects' verbal responses (indicating transformations) were collected via a microphone and directly recorded as individual sound files onto the hard disk of the computer. The software ensured a precise synchronization between the presented stimulus and the recorded response. The time of occurrence of each transformation was taken to be the acoustic onset of the verbal response. Of course, there is a delay of a few hundred milliseconds between the perceptual switch and the vocal response signalling this switch. This point will be discussed in the section describing Experiment 2.

Procedure. The subjects were individually tested in a quiet room. The experiment began with a lengthy briefing during which they were introduced to the verbal transformation task. They were then told that they would hear and/or watch an utterance being repeatedly articulated and were asked first to report what they heard and then to report any perceived changes in the repeated utterance. It was indicated that the changes could be subtle or very noticeable, and that the stimulus could correspond to a word as well as to a pseudoword. Finally, the subjects were assured that there were no correct or incorrect responses, and that if they did not hear a transformation they were to say nothing. Each 96-sec stimulus was presented once to each subject. The sequence of eight 96-sec stimuli (four modalities, two syllables) was randomized for each subject, with short (8-sec) silent breaks in between each stimulus.

Data analysis. The data were analyzed by labeling all subjects' reports in the response sound files. For each subject and each stimulus, all reported forms were extracted. A first analysis was performed on switching frequency; that is, on the number of reported transformations within the 96-sec stimulus. An ANOVA was performed to determine possible differences in switching frequency. The considered factors were (1) the presented audio syllable (/psə/ and /səp/) and (2) the modality of the presentation (A, V, AV, and AVi).

Further analyses were carried out in order to assess the perceptual stability of the reported transformations more directly than via the switching frequency measure (Shoaf & Pitt, 2002). The perceptual global stability duration of each form was calculated by summing the time spent perceiving the given form before switching to another form. An analysis of the types of reported transformations was carried out. Transformations primarily included switching from /psə/ to /səp/ or from /səp/ to /psə/, substitution of a phoneme with one that was phonetically similar (e.g., /tsə/ for /psə/), auditory "streaming" in which the repeated stimulus was separated into different audio streams, giving rise to verbal transformations involving only part of the presented material (e.g., /sə/ for /səp/, the labial release for /p/ being discarded as a separate perceptual stream), as previously shown by Pitt and Shoaf (2001, 2002), and lexical transformations (e.g., "stop" for /səp/). All transformations were then classified as either /psə/, /səp/, or "other." To further explore the nature of the perceptual grouping across /psə/ and /səp/, the difference between the mean relative stability durations (i.e., global stability duration divided by the overall 96-sec duration) observed for the presented syllable and the associated ones were calculated for each participant (delta score): That is, for a /psə/ audio syllable, the delta score was the difference between the relative durations of the /psə/ and /səp/ responses, whereas for a /səp/ audio syllable, it was the difference between the relative durations of the /səp/ and /psə/ responses. All delta-score values will be presented as percentages (%). To statistically assess the effect of the various conditions, an ANOVA was performed on delta scores with the same factors as those used in the analysis of switching frequencies. For the following analyses, the significance level was set at $p < .05$, and Greenhouse–Geisser corrected when appropriate. When required, post hoc analyses were conducted using Newman–Keuls tests.

Results

Switching frequencies. The mean switching frequencies for the two audio syllables in the four modalities are presented in Table 1. The ANOVA showed that there was a significant effect of the modality of presentation [$F(3,42) = 4.5$, $p < .03$] but no reliable effect of the audio sequence [$F(1,14) = 0.09$] and no interaction between the two factors [$F(3,42) = 1.46$]. Post hoc analyses showed a significantly higher switching frequency in the AVi modality compared with the V modality ($p < .005$). Although the switching frequency in the V condition was smaller than that observed in the A and AV conditions, the difference was just below the significance threshold. Interindividual variability was large (mean range: 2–23 switches), with some subjects switching much more than others; this is typical of multistability phenomena.

Global perceptual stabilities and delta scores. The mean relative stability durations for the three categories of reported transformations observed in Experiment 1 (for the two audio syllables and four modalities) are displayed in Figure 2. The reported transformations distinct from /psə/ and /səp/ (classified as "other") included the substitution of a phoneme by a phonetically similar one (e.g., /tsə/ for /psə/), auditory streaming (e.g., /sə/ for /səp/), lexical transformations (e.g., "stop" for /səp/), and more complex
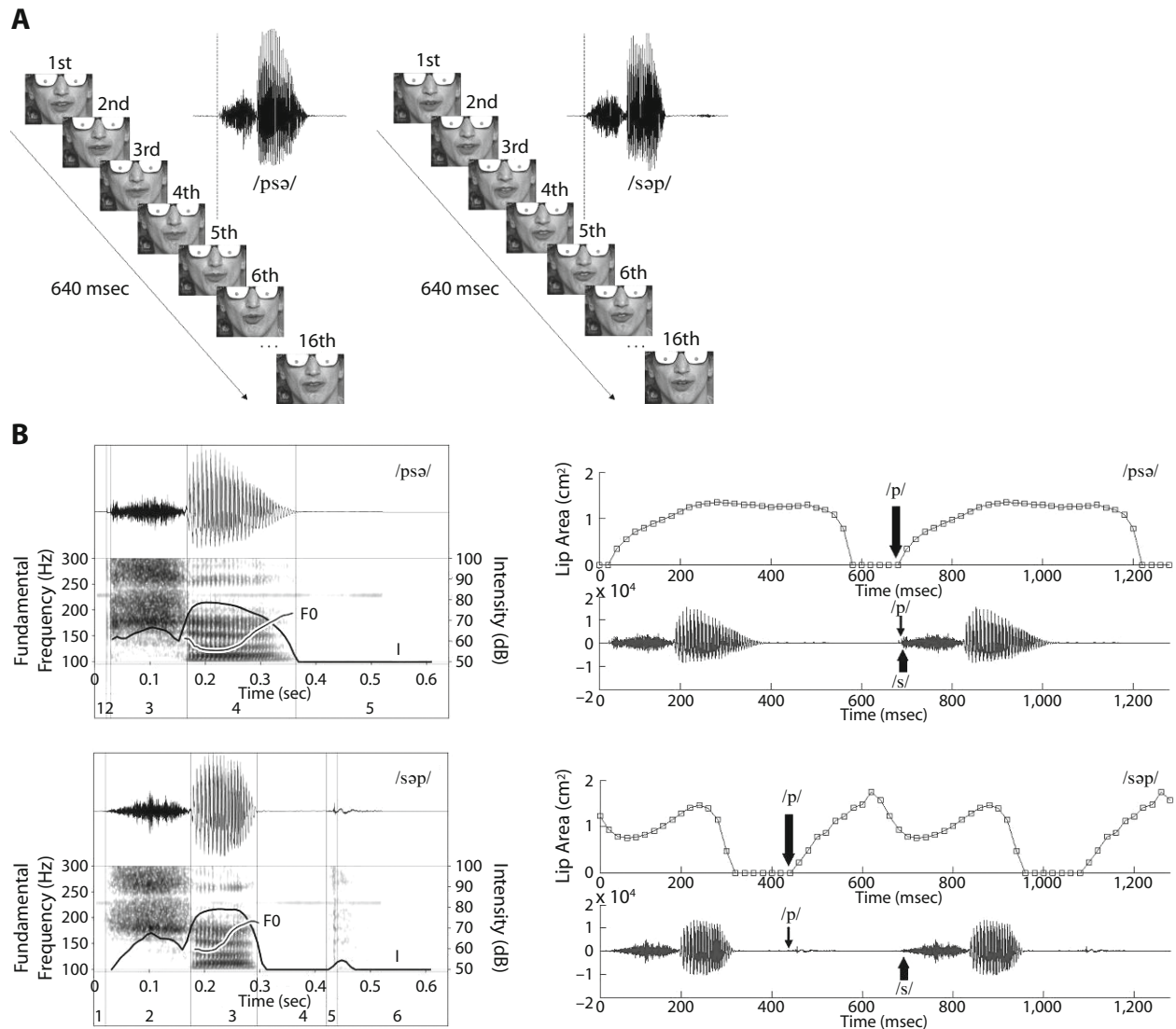
Figure 1. (A) Experimental design in Experiment 1. Temporal alignment between audio and video tracks for /psə/ and /səp/ sequences: For both utterances, the consonantal onset occurs in the 5th image of the movie (see text for details). (B) Audiovisual content of the stimuli. Left, acoustic waveform along with the spectrogram for /psə/ and /səp/ sequences; F0, fundamental frequency; I, acoustic intensity. Right, corresponding lip area variations. Arrows point to audio and video events associated with the consonants /s/ and /p/ (larger arrows point to the events likely to be combined in the audiovisual speech stream; see text).

transformations, including combinations of insertions and substitutions (e.g., /poto/ or /sapo/) and resegmentation in larger sequences (e.g., /psəps/).

Analysis of delta scores showed a significant effect of the modality of presentation [$F(3,42) = 22.26, p < .0001$], of the audio sequence (with delta scores larger for /psə/ than for /səp/ [$F(1,14) = 6.27, p < .03$] and of the two-way interaction between the two factors [$F(3,42) = 3.26, p < .04$]. Post hoc analyses revealed a significantly lower delta score in the AVi condition than in the A, V, and AV ones (all $ps < .001$), and a significantly lower delta score in the V condition than in the AV one ($p < .04$). The interaction between the two factors was largely due to the significant difference observed between the two sequences in the AVi condition compared with the other modalities. In this condition, al-

though /psə/ remained relatively stable during the incongruent audiovisual presentation (i.e., with a positive delta score of 11%), a negative delta score (-28%) was observed for /səp/ ($p < .002$). The same trend, although not significant,

Table 1
Mean Number of Transformations and Standard Errors Observed in Experiment 1A for /psə/ and /səp/ Audio Sequences in Each of the Four Presentation Modalities

Modality	/psə/		/səp/	
	M	SE	M	SE
Audio only	7.00	2.02	9.47	3.23
Video only	2.80	1.03	3.07	0.90
Audio–video	6.67	1.84	7.73	2.44
Audio–video incongruent	13.67	5.22	8.07	2.20
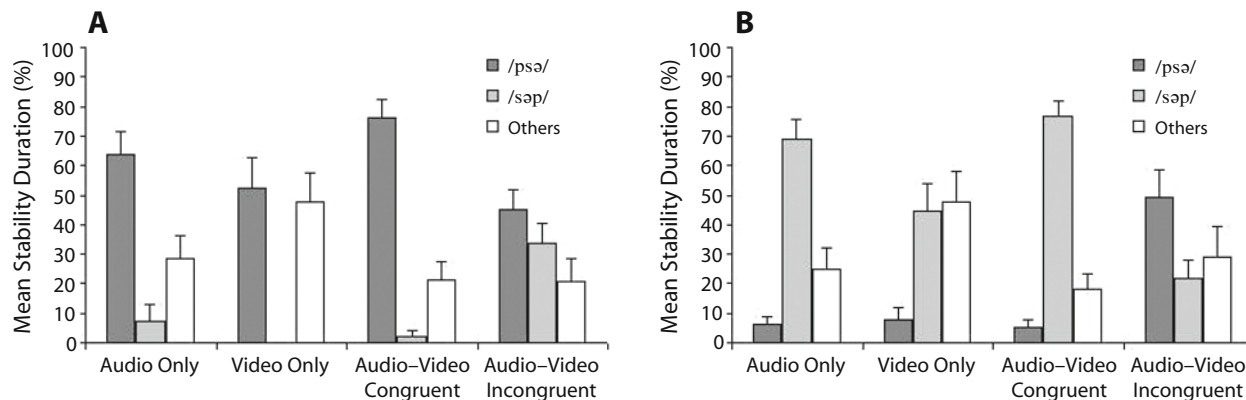
Figure 2. Mean relative stability durations of the reported transformations (i.e., /psə/, /səp/, or "others") observed in Experiment 1 for (A) /psə/ and (B) /səp/ audio sequences in each of the four presentation modalities. Error bars represent standard errors of the mean.

was found within the visual modality, with a delta score 15% higher for /psə/ (52%) than for /səp/ (37%).

Discussion

The first interesting result of this experiment is the finding of verbal transformations in the visual modality. These transformations include a large number of "other" transformations, more frequently observed in the visual condition than in the audio or audiovisual conditions. This is probably due to the intrinsic ambiguity of the visual stimulus associated with the existence of visemes that correspond to multiple phonemes with similar lip shapes (e.g., /b/ for /p/, or /t/ for /s/), leading to a large variety of transformations (e.g., /bdə/, /mnə/, /pəsə/, or /plçk/ for /psə/). In this context, it is somewhat surprising that the switching frequencies are, relatively, lower in the visual modality than in the auditory modality (though not significantly so). Altogether, visual transformations seem to involve a slower exploration of a larger number of patterns than do auditory transformations.

The significant decrease in delta scores for both audio inputs in the AVi modality, compared with the A or AV modalities, shows that vision influences verbal transformations by modifying the perceptual stability of an audio input. This is consistent with classical perceptual experiments on conflicting audiovisual stimuli, since it is well known that vision can bias the auditory percept (e.g., McGurk & MacDonald, 1976). The trend here is that perception switches between the audio and video inputs, as displayed by the large number of /səp/ responses to incongruent /psə/ audio stimuli, and of /psə/ responses to incongruent /səp/ audio stimuli. To understand more precisely how the visual component probably modifies the percept, let us return to Figure 1. In this figure, we have displayed auditory onset events for the plosive /p/ (burst onset) and the fricative /s/ (noise onset). These two events are nearly synchronous for /psə/ at the syllable onset and largely asynchronous for /səp/, as one auditory onset occurs at the syllable onset (/s/) and another occurs at the coda (/p/). In the audio condition, most of the verbal transformations occurred according to an auditory

streaming process, whereby the /p/ acoustic burst was removed from the syllable and perceived as a separate stream. This typically results in /sə/ responses and provides a large part of the "other" responses in Figure 2. In contrast, the visual modality provides a very visible lip opening gesture for /p/ (onset event also displayed in Figure 1). In the case of congruent auditory and visual inputs, the visible /p/ lip opening gesture is synchronous with the /p/ acoustic burst and may stabilize it in the audiovisual percept. This could explain why delta scores are higher in the AV modality than in the A modality, though not significantly (probably due to a ceiling effect). In the AVi modality, the /p/ audio bursts are even more frequently removed from the primary flow, because they are not synchronous with a labial gesture. In this case, the auditory /s/ onset events and the visual /p/ lip opening gestures are combined into the main speech stream (displayed by larger arrows in Figure 1). If a visual /psə/ is synchronous with an audio /səp/, the visual /p/ event is almost synchronous with the audio /s/ onset event, which biases perception toward /psə/. If a visual /səp/ is synchronous with an audio /psə/, the visual /p/ event is not close to the audio /s/ onset event, which biases perception toward /səp/. This could explain the observed systematic bias toward the visual input in the AVi modality, as shown by the low delta scores for both sequences.

Finally, it is interesting to note the significant difference in delta scores between /psə/ and /səp/ in the AVi condition. In a previous study, Sato et al. (2006) showed that in a self-production paradigm with the same /psə/ and /səp/ stimuli, there was a strong tendency for speakers uttering /səp/ sequences to progressively resynchronize the /p/ and /s/ events within a single /ps/ cluster at the syllable onset, producing a bias in favor of /psə/ verbal transformations. The fact that this asymmetry appears again in the AVi modality might be due to the involvement of a covert production process in the course of audiovisual integration (Callan et al., 2003; Schwartz et al., 1998). This process would lead the listener to mentally resynchronize the /p/ and /s/ events within a single opening cycle, and therefore bias the percept toward /psə/.

Overall, the answer to the first question posed in the introduction is positive: Verbal transformations *are* audiovisual, and the visual modality drives to a certain extent the phonetic content of the displayed transformations. We shall now move on to the second question, which deals with the possibility that the listener's perception over time of a given auditory stimulus can be modified by intermittently switching the video content of an audiovisual presentation.

EXPERIMENT 2
Can Vision Drive Auditory Verbal Transformations Over Time?

In Experiment 2, we examined whether the visual modality might drive the dynamics of reported perceptual changes over time. To this end, subjects watched and listened to audiovisual clips consisting of a stable audio track of a repeated syllable mixed with a video track alternating between congruent and incongruent stimuli. A close synchrony between the timing of video switches and the timing of perceptual transitions would demonstrate the capacity of visual information to temporally drive the multistability process involved in the verbal transformation effect.

Method

Subjects. The subjects were the same as those in Experiment 1.

Stimuli. The stimuli were prepared from the same phonetic material as was used in Experiment 1—that is, the /psə/ and /səp/ 640-msec tokens described previously. For this experiment, four 96-sec movies were created by dubbing a single, repeating audio syllable (either /psə/ or /səp/) without pause onto a video track corresponding to an alternation between /psə/ and /səp/ (see Figure 3). The fact that the initial and final images of the /psə/ and /səp/ utterances were indistinguishable (see Experiment 1) ensured that the subjects would not notice anything unnatural about the video tracks in spite of the stimulus alternations. In order to avoid response habituation, the durations of the /psə/ and /səp/ sections on the video track were randomly varied, with two sets of duration values providing two distinct movies per syllable. Durations were selected from a uniform distribution ranging from 6 to 12 sec in one movie and 11 to 17 sec in the other. Durations of the video tracks were closely matched across stimuli.

Procedure. Experiment 2 systematically followed Experiment 1 and employed the exact same procedure as that described previously.

Data analysis. The data were analyzed by labeling all subjects' reports in the response sound files. For each subject and each stimulus, all reported forms were extracted. To assess the capacity of visual information to temporally drive the multistability process involved in the verbal transformation effect, the precise response timing for the reported percepts was analyzed in relation to the syllable alternation displayed on the video track. The perceptual global stability duration of each form was then calculated by summing the time spent perceiving the given form before switching to another; all transformations were classified as a function of whether they corresponded to /psə/ or /səp/, or to another speech form. The classification was made in relation to the type of audio syllable and the nature of the video track (congruent vs. incongruent). This enabled us to compute delta scores in the same way as in Experiment 1. A repeated measures ANOVA was performed on these delta scores. The considered factors were the audio syllable (/psə/ and /səp/), the visually presented syllable (congruent vs. incongruent), and the duration of the video alternation (6–12 sec or 11–17 sec). When required, post hoc analyses were conducted using Newman–Keuls tests. The significance level was always set at $p < .05$. Prior to performing these statistical analyses, Mauchly's tests showed that the sphericity assumption was not violated ($p > .05$).

Finally, a comparison of delta scores was performed between Experiments 1 and 2 in order to assess the possibility that visual stimuli switching in time (in Experiment 2) could influence the current percept more than stable stimuli (in Experiment 1). The prediction was that the reported percept would correspond to the video stimulus more often in Experiment 2. For periods of time in which the video stimulus was congruent with the audio stimulus, this would result in an increased proportion of responses that correspond to the acoustic input, hence increasing the delta scores. For periods of time in which the video stimulus was incongruent with the audio stimulus, this would result in decreasing the proportion of responses corresponding to the acoustic input, hence decreasing the delta scores. In summary, the prediction was that delta scores would be higher in Experiment 2 than in Experiment 1 for congruent stimuli, and lower in Experiment 2 for incongruent stimuli. This was tested using a repeated measures ANOVA, with the experiment, the syllable, and the modality as within-subjects factors. Two modalities were contrasted: congruent (AV in Experiment 1, periods with audio and video stimuli congruent in Experiment 2) and incongruent (AVi in Experiment 1, periods with audio and video stimuli incongruent in Experiment 2).

Results

Synchrony between visual stimuli switches and perceptual switches. The histograms of delays between the visual switches and the first reported transformations coherent with the visual input indicate a high level of synchrony between the subject's responses and the visual stimulus transformation for the two audio stimuli (/psə/ and
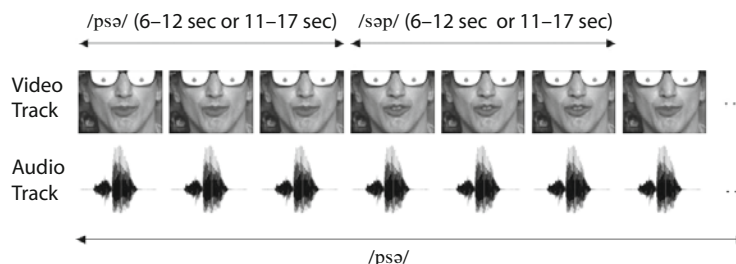
Figure 3. Experimental design in Experiment 1B, displaying the time course of audio and video tracks for the /psə/ sequence. A video track corresponding to an alternation, randomized in time, of /psə/ and /səp/ sequences is dubbed without pause onto a cycling fixed audio /psə/ (see text for details).

/səp/). Indeed, 85% of the first reported forms coherent with the visual input occurred within the first 2 sec following the video switch. Note that this 2-sec delay includes both the time necessary for the decision-making process to realize the switch from one pattern to another, and the time required to prepare and utter the response signaling the transformation. Close-shadowing experiments have shown that the time required for response preparation and delivery is in fact quite small; probably less than 200 msec (e.g., Porter & Castellanos, 1980; Porter & Lubker, 1980). The fact that the stimuli in the present experiment are 640 msec long would suggest that two to three repetitions of the new video stimulus are necessary to induce the decision switch, and thereby effect the verbal transformation overtly signaled by the subject.

Global perceptual stability and delta scores. The mean relative stability durations for the three categories of reported transformations observed in Experiment 2 for the two audio syllables (/psə/ and /səp/) and the two video conditions (congruent vs. incongruent) are displayed in Figure 4. The values are averaged over the two movies, since the differences between durations of video alternations displayed only a marginal effect (as shown below).

Analysis of delta scores revealed a significant effect of the congruency between audio and video signals, with delta scores larger for congruent audiovisual stimuli [$F(1,14) = 81.44$, $p < .0001$], but neither a reliable effect of the audio sequence [$F(1,14) = 1.30$], nor an interaction between the two factors [$F(1,14) = 0.41$]. The effect of the duration of video alternations was not reliable [$F(1,14) = 0.46$], nor was there an interaction with the audio sequence [$F(1,14) = 2.05$] or with the congruency effect [$F(1,14) = 2.53$]. Finally, the three-way interaction between factors was significant [$F(1,14) = 4.82$, $p < .05$]. This interaction was largely due to the differences in delta scores observed between the two distinct movies in the incongruent condition when the /psə/ and /səp/ audio sequences were compared. Although the difference observed between the two movies was minimal for /psə/ (−38% in movie 1 vs. −42% in movie 2), the difference was stronger (although not significantly so) for /səp/, with a smaller delta score for movie 1 (−57%) than for movie 2 (−28%).

Experiment 1 versus Experiment 2. Comparison of delta scores between the two experiments revealed a significant effect of the experimental session, with delta scores being larger during Experiment 1 than during Experiment 2 [$F(1,14) = 11.24$, $p < .005$]; a significant effect of the sequence, with delta scores larger for /psə/ than for /səp/ [$F(1,14) = 5.67$, $p < .04$]; a significant effect of the congruency between audio and video signals, with delta scores larger for congruent audiovisual stimuli [$F(1,14) = 150.20$, $p < .0001$]; and a significant interaction between the experimental session and the congruency between audio and video signals [$F(1,14) = 4.66$, $p < .05$]. Post hoc analyses showed that the interaction between the experimental session and the congruency between audio and video signals was due to a significantly lower delta score observed in the incongruent condition in Experiment 2 than in Experiment 1 (on average, −41% vs. −8%, $p < .02$).

Discussion

The comparison of delta scores in Experiment 1 with those in Experiment 2 basically confirms our hypothesis; indeed, a significantly lower delta score was obtained in the incongruent audiovisual condition in Experiment 2 than in Experiment 1. This would suggest that the visual component of the audiovisual stimuli drives the response more when it changes (Experiment 2) than when it does not (Experiment 1). Analyzing the subjects' responses to the visual input rather than to the audio input suggests that the reported percept is coherent with the visual input approximately 71% of the time in Experiment 2 and 59% of the time in Experiment 1. This difference is much larger when restricted to incongruent stimuli, for which the visual input drives the response 42% of the time versus 33% for the audio input in Experiment 1, whereas the values are, respectively, 62% versus 21% in Experiment 2. Finally, this induction effect is confirmed by the very high level of synchrony observed between the visual stimulus
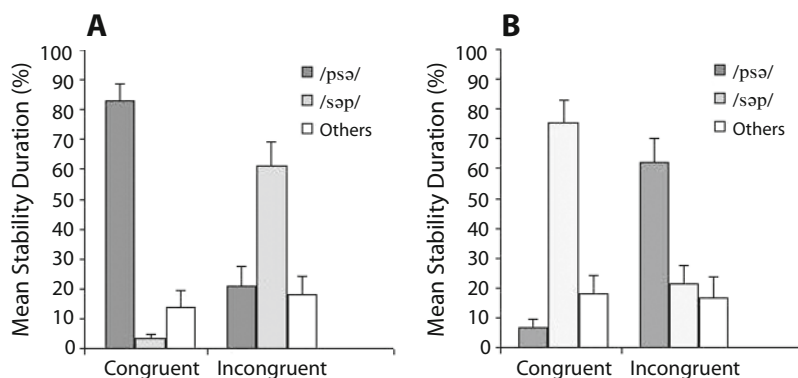
Figure 4. Mean relative stability durations of the reported transformations (i.e., /psə/, /səp/, or "others") observed in Experiment 2 for (A) /psə/ and (B) /səp/ audio sequences in congruent and incongruent visual contexts. Error bars represent standard errors of the mean.

transformation and the subject's responses, considering that 85% of the first reported forms coherent with the visual input occurred within the first 2 sec after the video switches. Note that the delay between the internal decision about a transformation and the overt expression of this transformation, though probably small, artificially increases the amount of time during which the percept does not appear to correspond to the video stimulus. This artifact is not problematic, since it could only result in decreasing the effect observed in Experiment 2 associated with video switches. Therefore, the delta score differences between Experiments 1 and 2, though significant, are likely to have been underestimated.

This difference suggests that, in Experiment 2, the visual salience of the /p/ onset gesture is dramatically increased; this is why the subject switches from the perception of synchronous /p/$_V$ and /s/$_A$ events characteristic of /psə/ to the perception of an asynchronous coordination characteristic of /səp/. The "pop-out" effect provided by switching the visual stimuli over time might marginally depend on the temporal structure of the visual switches, as suggested by the three-way interaction between the three factors in Experiment 2, including the duration of the video alternation (6–12 sec or 11–17 sec). Moreover, this effect seems to have eliminated the preference for /psə/ transformations over /səp/ transformations (as observed in the AVi modality in Experiment 1), probably by increasing the likelihood of switching toward the visual dynamic input, close to a ceiling effect.

The induction effect displayed in this experiment provides a positive answer to the second question posed above and demonstrates that vision is indeed able to control the emergence of verbal transformations over time. This leads us to the third part of this study, in which we tried to better understand how this induction mechanism might proceed, and more precisely what the visual component of the speech gesture is that actually drives the perceptual switch.

EXPERIMENT 3
Studying The Visual Induction Process

The two previous experiments have shown that verbal transformations are audiovisual rather than purely auditory. The visual influence is essentially due to the visual salience of the /p/ labial onset gesture, which dramatically increased in Experiment 2. The listener was found to organize the percept around this onset gesture, hence the bias toward /psə/ that was observed in Experiment 1. This finding led us to the hypothesis tested in Experiment 3, that vision—playing the role of a "bootstrap" in verbal transformations—provides the listener with information about onset events. To test the hypothesis, we replaced the monosyllabic /psə/ and /səp/ sequences with disyllabic /pata/ and /tapa/ sequences, which are characterized by two jaw opening gestures with differing degrees of visual salience, one being a highly visible gesture involving the lips for /p/, and another being a less visible gesture involving the tongue tip for /t/. Our prediction was that if /(. . .)patapatapa(. . .)/ audio sequences were combined with visual sequences in which either /p/ or /t/ gestures were visually hidden by some means, the remaining visible opening gestures would provide the subject with a perceptual bootstrap biasing perception toward either /pata/ (if only /p/ gestures were visible) or /tapa/ (if only /t/ gestures were visible). This is the focus of Experiment 3, which consisted of two parts, 3A and 3B.

Method

Subjects. Two groups, each of fifteen voluntary healthy subjects—one of eleven men and four women (mean age $\pm$ standard deviation, 24 ± 4 years) the other of ten men and five women (mean age $\pm$ standard deviation, 26 ± 4 years)—who had not taken part in the previous experiments, participated in Experiments 3A and 3B. All subjects were native French speakers, reported no hearing or speaking disorders, and had normal or corrected-to-normal vision. None of the subjects was aware of the purpose of the experiment.

Phonetic material. The disyllables /pata/ and /tapa/, combining the bilabial /p/ and coronal /t/ plosives and the open vowel /a/, were used in this experiment. The plosives /p/ and /t/ were selected to provide two opening gestures of different amplitudes and to be easily distinguishable in the visual modality (Summerfield, 1983). The open /a/ vowel was selected to provide a context maximizing the visibility of the CV gestures. Notice that /tapa/ exists in the French lexicon with a low word frequency, whereas /pata/ does not exist, according to the VoCoLex lexical database (Dufour et al., 2002). Lexical analyses showed that the lexical type and neighborhood density values were quite similar for /pata/ and /tapa/, although lower for the later sequence (19 vs. 10 and 71 vs. 55, respectively).

Stimuli. Multiple utterances of the repeated /pata/ or /tapa/ sequence were recorded in a soundproof room by the same speaker who was used in Experiments 1 and 2, and with the same recording setup. The speaker produced each sequence at a speech rate of 520 msec per disyllable, driven by a visual metronome. The speaker was instructed to maintain an even intonation and vocal intensity while producing the syllables. The recorded clips were then edited on a computer using Adobe Premiere software (www.adobe.com). Video was sampled at 25 images/sec with a resolution of 720 $\times$ 576 pixels. The audio signal was sampled at 44.1 kHz and 16-bit resolution.

For Experiment 3A, an individual /pata/ utterance was selected according to a series of acoustic and video criteria (using the Praat software for audio analysis and the ICP software for lip tracking: Lallouache, 1990): same intensity, fundamental frequency, duration, and lip opening for the two vowel nuclei (respectively, after /p/ and /t/). The /tapa/ utterance was obtained by just reversing the order of the two syllables. Since it was observed by a panel of reviewers that the construction of all stimuli in Experiment 3A from a single /pata/ utterance could bias perception toward /pata/, an individual /tapa/ utterance was selected for Experiment 3B using the same criteria, and a corresponding /pata/ utterance was obtained by reversing the order of the two syllables.

To hide one of the two opening gestures, /p/ or /t/, we chose to avoid tricks based on applying a visual masker (i.e., temporarily blurring or masking the lip movements), in order to avoid the kind of dynamic interruption that is likely to play a role in driving the percept (as was demonstrated in Experiment 2). We preferred to replace the gestures with a stabilized vowel nucleus. Such stimuli were prepared by taking the image around /a/ (following /p/ or /t/) and presenting it as a stable image throughout the next syllable. For both Experiments 3A and 3B, this led to two video stimuli labeled as /pa#a/ and /ta#a/, # meaning the lack of any consonantal gesture from one vowel to the next. Starting from the course of lip height for /pata/ displayed in Figure 5A, the corresponding course of lip height respectively along the /pa#a/ and /ta#a/ stimuli is displayed in Figures 5B and 5C, for the video stimuli in Experiment 3A (very similar patterns are obtained for the stimuli in Experiment 3B). Notice that the stabilization of the /a/ images lasts exactly 360 msec for both /pa#a/ and /ta#a/ in both Experiments 3A and 3B, resulting in the same vowel duration for all of these stimuli.

For both Experiments 3A and 3B, four distinct stimuli per audio disyllable (/pata/ or /tapa/) were created by inserting the same sequence without pause in an individual movie consisting of 150 repetitions of the disyllable, according to the following modality conditions: audio only (labeled A); congruent audio–video (labeled AV); audio plus video /pa#a/ (labeled AV-p); and audio plus video /ta#a/ (labeled AV-t). Notice that in AV-p and AV-t stimuli, the visible gesture (/p/ or /t/) was always synchronous with the congruent sound in the acoustic flow.

Procedure. Experiments 3A and 3B were carried out in exactly in the same way, with Experiment 3B being a control for the results of Experiment 3A. The apparatus and procedures were the same as those used in Experiments 1 and 2. For each experiment, the sequence of stimuli was randomized for each subject.

Data analysis. As in Experiments 1 and 2, the data were analyzed by labeling all subjects' reports in the response sound files. The perceptual global stability duration of each form was calculated by summing the time spent perceiving the given form before switching to another one, and all transformations were classified according to whether they corresponded to /pata/, /tapa/, or to another speech form.

Next, the goal was to determine whether /pata/ or /tapa/ would be the subjects' preferred response. Therefore, rather than focusing (as in Experiments 1 and 2) on the congruence between audio stimuli and perceptual responses, we selected an index that directly provided the difference between the amount of time spent in the /pata/ versus /tapa/ perceptual state. For each participant and each condition, delta scores in Experiment 3 were always computed as the time spent in the /pata/ state minus the time spent in the /tapa/ state. The prediction was that delta scores would be higher in the AV-p condition than in the AV-t condition, with the AV condition possibly being intermediate with respect to the two others. In Experiments 3A and 3B, the effect of the various conditions was assessed using an ANOVA performed on delta scores, with the stimulus (/pata/ or /tapa/, to evaluate a possible initialization effect) and modality (A, AV, AV-p, and AV-t) as within-subjects factors. For the analyses, the significance level was set at $p < .05$, and data were Greenhouse–Geisser corrected when appropriate. When required, post hoc analyses were conducted using Newman–Keuls tests.

Results

Delta scores. The mean relative stability durations for the three categories of reported transformations for the two audio syllables (/pata/ and /tapa/) and in the four modalities (A, AV, AV-p, and AV-t) are displayed separately for Experiments 3A and 3B in Figure 6. The reported transformations that were distinct from /pata/ and /tapa/ (i.e., "other transformations") mainly included the

substitution or deletion of one phoneme. Their number was larger in the AV-p and AV-t conditions than in the AV condition. This is most likely due to the incongruence effect introduced by freezing the video of one consonantal gesture and associating it with the natural sound of the corresponding gesture. Indeed, a large proportion of the "other" responses in the AV-p condition was of the form /paCa/, C being a consonant other than /t/ (often /k/), while a large proportion of the "other" responses in the AV-t condition was of the form /taCa/, C being a consonant other than /p/.

For Experiment 3A, analysis of delta scores showed a significant effect of the modality of presentation $[F(3,42) = 4.32, p < .01]$ and of the audio sequence, with delta scores larger for /pata/ than for /tapa/ $[F(1,14) = 8.14, p < .05]$, but no interaction between the two factors $[F(3.42) = 0.35]$. Post hoc analyses revealed a significantly lower delta score in the AV-t condition (−0.3%) than in the AV (24%) and AV-p (19%) conditions ($p < .02$; $p < .04$, respectively). For Experiment 3B, analysis of delta scores showed a significant effect of the modality of presentation $[F(3,42) = 4.53, p < .03]$ but no effect of the audio sequence $[F(1,14) = 0.19]$ and no interaction between the two factors $[F(3,42) = 1.05]$. As in Experiment 3A, post hoc analyses revealed a significantly lower delta score in the AV-t condition (−0.6%) compared with the AV (18%) and AV-p (19%) conditions ($p < .02$ and $p < .03$, respectively).

A further ANOVA was carried out on the data from Experiments 3A and 3B, with the stimulus and modality as within-subjects factors and the experiment as a between-subjects factor. Only the effect of modality $[F(3,84) = 8.75, p < .0001]$ and audio sequence $[F(1,28) = 5.51, p < .03]$ were significant. Post hoc analyses revealed a significantly lower delta score in both the AV-t and A conditions than in the AV and AV-p conditions (all $ps < .01$).

Discussion

The significant difference between the AV-p and AV-t conditions in both Experiments 3A and 3B provides a clear confirmation of our hypothesis, demonstrating that when listeners are presented with an alternation of two
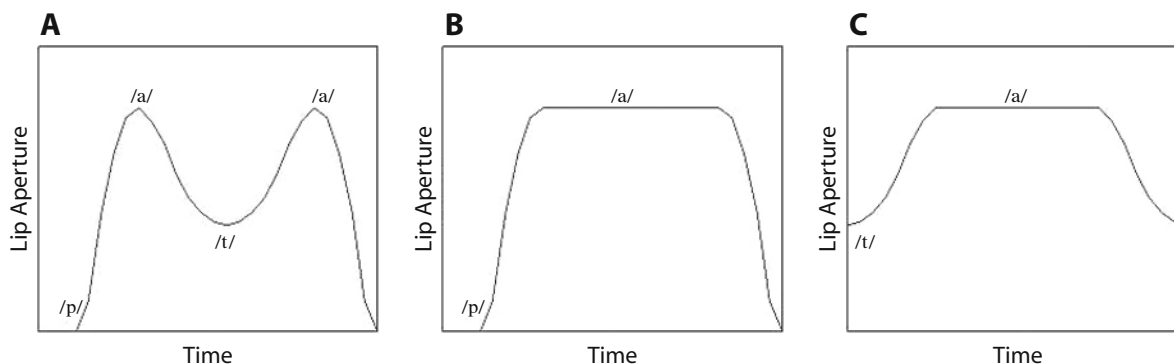
Figure 5. Lip height trajectories for the /pata/ sequence (A), and the transformed stimuli used in the AV-p (B) and AV-t (C) conditions in Experiment 3. Stimuli in the AV-p and AV-t conditions were prepared by taking the images around the /a/ after /p/ for /pa#a/ or after /t/ for /ta#a/ and stabilizing them throughout the following syllable (see text for details).
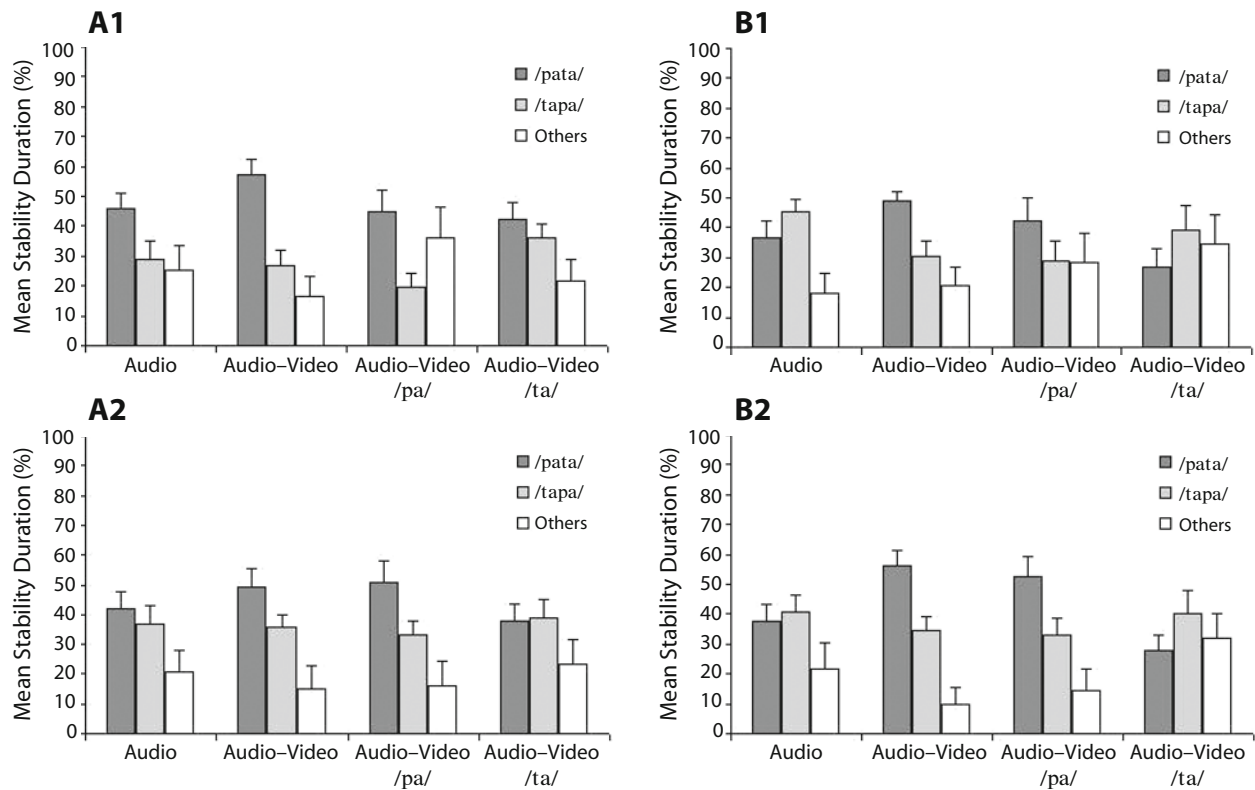
Figure 6. Mean relative stability durations of the reported transformations (i.e., /pata/, /tapa/, or "others") observed in Experiment 3A for (A1) /pata/ and (B1) /tapa/ audio sequences and in Experiment 3B for (A2) /pata/ and (B2) /tapa/ audio sequences in A, AV, AV-p, and AV-t modalities (see text for details). Error bars represent standard errors of the mean.

syllables, the presentation of only one of these syllables in the visual channel provides a type of "bootstrap" that is very effective at driving the percept toward a disyllable beginning with the seen CV opening gesture. This has potential implications for lexical access that will be addressed in the General Discussion.

The AV modality is also associated with larger delta scores than the AV-t modality, but, contrary to our predictions, there is no significant difference between the AV and AV-p conditions. However, the time course of AV /pata/ and /pa#a/ stimuli (Figures 5A and 5B) provides a possible explanation: /pata/ is characterized by a major opening gesture for /pa/, whereas the mouth opening gesture for /ta/ appears as secondary. Therefore, it is not surprising that /pata/ provides a bootstrapping effect similar to /pa#a/. Furthermore, the larger number of "other" responses in the AV-p modality than in the AV modality also contributed to a decrease in delta scores. In fact, including "other" responses of the type /paCa/ (C being a consonant other than /t/) or /taCa/ (C being a consonant other than /p/), there are altogether more responses beginning with a labial consonant in the AV-p condition than in the AV condition; this is more in line with our predictions.

The larger delta scores for the /pata/ audio sequence in Experiment 3A seem to confirm an "initialization effect," in which subjects remain longer on the initial syllable providing an intrinsic bootstrap for the perceptual decision. However, this effect is not confirmed by the results of Experiment 3B, suggesting that it is probably rather weak and fragile.

GENERAL DISCUSSION

The present study was designed to test whether visual information from the speaker's articulatory gestures might modify the emergence and stability of verbal auditory percepts during multistable speech perception. Two main results emerge from the three reported experiments.

First, all of the experiments confirmed that vision does penetrate into the verbal transformation effect. This has been demonstrated directly in Experiment 1, through evidence that there were purely visual verbal transformations (though they occurred much less often than audio or audiovisual ones); it has also been demonstrated through the visual influence on auditory verbal transformations, in particular through the decrease in stability of the auditory percept when accompanied by an incongruent visual stimulus (in Experiment 1), and through the shifts in perception from /pata/ to /tapa/ that depended on the visual flow (in Experiment 3). Finally, Experiment 2 demonstrated the ability of the visual input to drive the percept over time, in spite of a fixed audio input.

Second, the visual influence on verbal transformations could be, at least in part, related to a possible visual "bootstrapping" mechanism, which was the focus of Experiment 3. This might be related, as we shall see, to both the general assumptions about an active search mechanism in multistable perception (Leopold, Wilke, Maier, & Logothetis, 2002) and to psycholinguistic theories about the role of word onset in lexical access. These two points will be discussed in turn.

Visual and Audiovisual Verbal Transformations: Evidence for an Intermodal Effect

In past research, the multistable perception of speech has mainly been studied as a pure acoustical/auditory effect (e.g., MacKay et al., 1993; Warren, 1961). The various results obtained in the three present experiments show that multistable speech perception is indeed a multisensory effect. The role played by vision during congruent and incongruent audiovisual presentations in Experiments 1 and 2 sheds some light on multisensory integration. In both experiments, perceptual stability increased or decreased, depending on the congruence or incongruence of the visual input with the audio stimulus. Although not significant, the greater stability of congruent audiovisual sequences compared with audio-only ones in Experiment 1 (77% vs. 66%) is of particular interest, considering the nonambiguity of the stimuli in the audio-only condition (as confirmed by the fact that the first response of the subjects was always the correct one). This suggests that vision is able to reinforce the auditory stability. Considering that audiovisual perception activates frontal areas more than does pure auditory perception (Skipper et al., 2005; Skipper et al., 2007), the greater stability of congruent audiovisual sequences could be due to a stronger involvement of the perceptuomotor link in the audiovisual modality. This would enable the subject to better integrate all of the available sensory information into a coherent sensorimotor pattern in working memory (Abry, Sato, Schwartz, Lœvenbruck, & Cathiard, 2003; Sato et al., 2004), hence decreasing auditory streaming effects. The lower stability observed during incongruent audiovisual presentation is easily interpretable, considering that stimuli in this case are intrinsically more ambiguous and hence more susceptible to transform. Taken together, these results demonstrate that the multistable perception of speech is indeed multisensory, adding a new item to the long list of speech perception phenomena penetrated by multimodality.

The results of Experiment 2 demonstrated the ability of vision to drive the temporal pattern of speech percepts. Considering that verbal transformations belong to the general phenomenon of multistable perception, this finding provides new insights into the phenomenon and raises general questions about the possible generalization of multisensory interactions in this domain. For example, the "visual induction" effect found in Experiment 2 provides some links with recent findings by Leopold et al. (2002) showing that a visually ambiguous pattern can be stabilized by incorporating periods of silence during the stimulus presentation. In the case of verbal transformations, visual sequences incorporating silences could also provide a way to stabilize a speech percept. The ability of the visual input to drive the subjects' perceptual switches in time could also be used as a way to track such transformations in the human brain, as has been done in the context of visual multistability (e.g., Cosmelli et al., 2004). On the other hand, in order to test whether the visual percept can be "driven" by the auditory modality, binocular rivalry experiments could be carried out using audio stimuli that are correlated in time with only one of the competing visual inputs.

The recent neurophysiological evidence in favor of a cortical "dorsal route" (Hickok & Poeppel, 2000, 2004) for speech perception, linking temporal, parietal, and frontal regions and involved both in audiovisual speech perception (Callan et al., 2003; Skipper et al., 2005; 2007) and in verbal transformations (Sato et al., 2004), provides a natural circuit for audiovisual verbal transformations. The fact that this circuit connects perceptual and motor regions, and that motor processes have been implicated both in the verbal transformation circuit (Sato et al., 2006) and audiovisual integration in speech perception (Callan et al., 2003; Schwartz et al., 1998; Skipper et al., 2005; Skipper et al., 2007), suggests that such processes are indeed involved in multisensory verbal transformations. In order to connect the finding in the present study of a role for vision in verbal transformations with previously cited works on perceptuomotor interactions in speech perception (Sams et al., 2005), further studies could investigate the extent to which self-produced articulatory gestures might intervene in the verbal transformation effect.

A Visual Bootstrapping Effect in Verbal Transformations

The results of Experiment 3 confirmed our hypothesis about a "visual bootstrapping effect"—that is, that visual opening gestures contained in the video input could provide the listener with onset events that play the role of bootstraps in verbal transformations. This idea certainly needs to be examined in further studies; however, it can already be connected to two more general questions. First, the role of the stimulus onset as a pivot for transformation search is reminiscent of the role of acoustic word onset as the pivot for lexical search in psychological models (e.g., Marslen-Wilson's 1987 cohort model). The visual bootstrapping assumption would be compatible with this kind of model if it included the visual flow, but visual input is seldom included in lexical-access data and models. This suggests a potential role for visual cues in speech segmentation, which could be related to the role of lipreading in the extraction of focus (Dohen, Lœvenbruck, Cathiard, & Schwartz, 2004) and the ability to repeat and remember foreign words embedded in sequences (Davis & Kim, 1998).

Second, this assumption fits well with the general conception of multistable perception developed by Leopold and Logothetis (1999). They suggested that perceptual reversals could be considered to be "changing views" and "more closely related to the expression of a behavior than to passive sensory responses" (p. 254). In particular, they proposed that transformations are initiated spontaneously, often voluntarily, and under the control of active processes related to brain structures associated with planning and motor programming. This conception fits well with the view that verbal transformations are under the control of motor processes in a dorsal cortical perceptuomotor loop (Sato et al., 2004), as well as with the present assumption that a basic and highly visible component of speech gestures—vocal tract opening—could play an important role in this active search.

CONCLUSION

In conclusion, the results of this study show that multistable speech perception is a multisensory effect, where visual information from the speaker's articulatory gestures, together with auditory, phonological, and lexical constraints, may modify the emergence and stability of verbal auditory percepts. The ability of vision to influence verbal transformations in their temporal course raises general questions about how and when the human brain integrates input from different senses.

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