## Comprehending anaphoric metaphors

RALUCA BUDIU and JOHN R. ANDERSON

Carnegie Mellon University, Pittsburgh, Pennsylvania

In this study we investigate the comprehension of various kinds of anaphoric metaphors in context. We describe an experiment that manipulated the metaphoricity of simple noun + verb + ending sentences by using either a metaphoric noun or a metaphoric verb or both. Our results show that metaphoric nouns affect sentence comprehension to a greater extent than do metaphoric verbs. Thus, even though there were no sentence-reading time differences between metaphoric and literal targets, metaphoric nouns were read more slowly than were literal nouns, and they also affected the reading time of the following verb. Moreover, in trials involving metaphoric-noun targets, participants read the endings of the targets faster and made more mistakes in answering posttrial questions than they did in literal-noun trials. We argue that these results suggest a comprehension deficit for anaphoric noun metaphors even when they are preceded by a context.

Many studies have suggested that people understand metaphors as easily as they understand literal sentences. For instance, in a 1978 experiment, Ortony, Schallert, Reynolds, and Antos showed participants a passage either about a women's club meeting or about chickens on a farm and and followed each of them by a target sentence such as The hens clucked noisily. When the sentence came after the first passage, it had a metaphoric interpretation; after the second passage it was literal. Participants in Ortony et al.'s experiment read this sentence just as fast in both conditions. This result was interpreted as evidence that when context is rich and supportive, people process metaphoric sentences as fast as literal sentences, contradicting Searle's (1979) theory of metaphor comprehension. Searle's theory asserts that to understand a metaphoric utterance, people first need to compute its literal interpretation, and only if it does not make sense do they proceed to search for a metaphoric interpretation. Further studies (Glucksberg, Glidea, & Bookin, 1982; Goldvarg & Glucksberg, 1998; Inhoff, Lima, & Carroll, 1984; Keysar, 1989; Shinjo & Myers, 1987) supported the assumption that similar processes are involved in the comprehension of both literal and metaphoric sentences and that metaphoric interpretation is not optional (i.e., people access it even when they do not need it for performing the task).

Janus and Bever (1985) replicated Ortony et al.'s (1978) findings for metaphors embedded within a rich context; however, besides measuring the sentence-reading times,

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they looked at the reading times (RTs) for the metaphoric nouns. Even though, like Ortony et al., they found no significant difference between RTs for metaphoric and literal sentences, the RTs for metaphoric nouns were longer than those for literal nouns. This result threw some doubt over the idea that the same mechanism is involved in the comprehension of metaphoric and literal language.

A later study by Gibbs (1990) also provided some support to Searle's (1979) model of metaphor comprehension. Gibbs showed participants short passages followed by either a metaphoric or a literal sentence. For instance, one such passage was about a boxing match and ended either with a metaphoric sentence such as The creampuff did not show up for the match or with its literal equivalent, The boxer did not show up for the match. Gibbs did find a reading time disadvantage for metaphoric sentences with respect to literals, but attributed this result to the type of metaphors used—anaphoric in his study versus predicative in those studies that had provided evidence for similar literal- and metaphor-comprehension processes (Glucksberg et al., 1982; Inhoff et al., 1984; Keysar, 1989; Shinjo & Myers, 1987). Predicative metaphors are of the form A is B (e.g., marriages are iceboxes, time is money). In contrast, in sentences that contain anaphoric metaphors, the metaphoric term (vehicle) is used to refer anaphorically to some previously introduced concept (e.g., in the sentence The creampuff didn't show up, where *creampuff* refers metaphorically to the concept boxer, introduced in the preceding discourse). However, even though the metaphors employed in their study were also anaphoric, Ortony et al. (1978) failed to find a difference between sentence RTs for literal and metaphoric sentences.

We wanted to investigate more closely the reasons between the divergent results obtained by Ortony et al. (1978) and Gibbs (1990). We noted that one difference between Ortony et al. and Gibbs is that the former used as targets only sentences that made literal sense. In other

words, whether preceded by a farm context or by a meeting context, the sentence The hens clucked noisily in Ortony et al.'s (1978) experiment is interpretable literally. In Gibbs's study, the targets seemed not to have a literal interpretation (The creampuff didn't show up for the match is not a valid literal sentence). Note that a metaphoric sentence with literal sense typically contains multiple words used metaphorically (e.g., when embedded in the meeting context, The hens clucked noisily contains two metaphors, Women are hens and Talking is clucking; compare that with The creampuff didn't show up). It is possible that in Ortony et al. metaphoric sentences with literal sense were not processed metaphorically at all, but rather understood in isolation from the preceding discourse. If that were the case, the initial delay for reading metaphoric nouns reported by Janus and Bever (1985) may be explained by participants having not found antecedents for them; later, however, if the sentence were perceived as isolated from the preceding text, participants may have skipped processes related to integration with preceding discourse and, thus, compensated for the initial slowdown.

Most studies in the metaphor literature have measured RTs for metaphoric sentences in context, but their authors have paid less attention to whether participants understood correctly the metaphoric targets. Although some studies have reported accuracy figures, either the accuracy measures reflected passage comprehension rather than target comprehension (Gibbs, 1990; Janus & Bever, 1985; Onishi & Murphy, 1993), or the authors did not compare the accuracy in the two conditions of interest (metaphoric and literal) (Ortony et al., 1978).

In the face of this inconclusive array of results, we decided that it would be useful to perform a study that could clear up some of the open issues. First, motivated by Janus and Bever's (1985) results, we wanted to separately assess the effect of metaphoricity on the reading of different parts of a sentence. Second, motivated by the divergence between Gibbs (1990) and Ortony et al. (1978), we wanted to investigate whether there was a difference between the processing of metaphoric sentences with a literal sense as well and the processing of metaphoric sentences without a literal interpretation. Third, concerned about the uncertain data on comprehension success, we wanted to determine whether there was a comprehension deficit for metaphoric sentences.

## **EXPERIMENT 1**

In this experiment we showed participants a short passage followed by any of the following target sentences: (1) metaphoric–metaphoric sentences, in which both the subject and the verb are metaphoric (e.g., *The hens clucked noisily* in the context of a passage about some women in a meeting); (2) metaphoric–literal sentences, in which the subject only is metaphoric (e.g., *The hens talked noisily*); (3) literal–metaphoric sentences, in which the

verb only is metaphoric (e.g., *The women clucked noisily*); and (4) literal–literal sentences, in which both the noun and the verb are literal (e.g., *The women talked noisily*). The metaphoric–metaphoric sentences correspond to those used by Ortony et al. (1978) and Janus and Bever (1985); the metaphoric–literal sentences correspond to those that Gibbs (1990) used in his study; and the literal–literal sentences correspond to literal controls in the previous studies. We collected RT measures for different parts of the target sentence. In a pretest for Experiment 1, we also looked at reading latencies for the target sentences when they were presented in isolation, with no preceding passage, in a sentence completion task.

### Method

**Participants**. Eighty-three undergraduates at Carnegie Mellon University participated in Experiment 1 as part of a psychology course requirement. They were all native English speakers.

Materials. We chose 28 metaphor pairs made of one noun metaphor and one verb metaphor (e.g., <women-hens, talk-cluck>). These pairs had to satisfy the constraint that the metaphoricnoun-metaphoric-verb combination (hens cluck) makes literal sense. For each such pair we created a short passage that preceded the target sentence. The structure of all target sentences was noun + verb + ending part. The noun was articulated with a definite article, and the verb was either in the present or in the past tense. The ending part was chosen so as not to violate the literal sense constraint and was the same for all target sentences obtained from one metaphor pair (e.g., noisily for the pair <women-hens, talk-cluck>), but it varied from pair to pair. Usually, the ending part consisted of an adverb, an indefinite pronoun (such as anybody or anything), or a prepositional noun phrase (e.g., at school, in the morning, etc.). There were four types of targets: (1) metaphoric-metaphoric targets, with metaphoric noun and verb; (2) metaphoric-literal targets, with metaphoric noun and literal verb; (3) literal-metaphoric targets, with literal verb and metaphoric noun; and (4) literal-literal targets, with literal noun and verb.

For each passage we created two probe sentences (one true and one false) that participants had to judge as true or false. One probe sentence was selected randomly to be judged by each participant. The Appendix shows two examples of passages, targets, and probe sentences.

Ratings of metaphors. It is reasonable to expect that the goodness and the familiarity of a metaphor may influence the speed at which it is processed. Nonetheless, previous studies (Gerrig & Healy, 1983; Tourangeau & Sternberg, 1981) have shown that the goodness of a metaphor is not necessarily correlated with ease of comprehension (but see Blasko & Connine, 1993, and Tourangeau & Rips, 1991, for counterexamples). However, to make sure that the selected metaphors were not too good or too familiar, we conducted a rating study. In this study, 10 native English speakers, students or staff from Carnegie Mellon University, rated the goodness and the familiarity of 179 metaphors on a scale from 1 to 4 (1 = lowfamiliarity/goodness; 4 = high familiarity/goodness). From those 179 metaphors, 16 were used in another study, and 56 corresponded to the 28 metaphor pairs from this experiment. 1 From the remaining 107 metaphors, 45 were fairly well known (and presumably good) metaphors used in everyday language and 45 were nonsensical metaphors. A lot of the nonsensical metaphors were adapted from the existent literature (Gerrig & Healy, 1983; Ortony, Vondruska, Foss, & Jones, 1985). Some of the good metaphors were taken from Ortony et. al (1985) and from Inhoff et al. (1984). Using

Table 1 Average Familiarity and Goodness Ratings for Metaphors Used in Experiment 1, Compared With Corresponding Average Ratings of Good and Nonsensical Metaphors

Metaphor	Familiarity	Goodness		
Experiment 1	2.18	2.54		
Noun	2.16	2.65		
Verb	2.20	2.45		
Good	2.75	2.72		
Nonsense	1.40	1.73		

Note—Scale: 1 = lowest; 4 = highest.

these different kinds of metaphors ensured that participants would use all the points on the scale.

Each participant saw the metaphors in random order. Table 1 compares the averages of the goodness and familiarity ratings for the metaphors used in this experiment with those corresponding to good metaphors and to nonsensical metaphors.

Unfortunately our metaphoric nouns or verbs were not matched in terms of frequency and length to their literal counterparts. On the basis of Francis and Kučera's (1982) index, the average frequency was 11.21 for metaphoric nouns and 197.86 for literal nouns. Metaphoric verbs averaged 4.61 in frequency and literal verbs averaged 65.64. The difference in frequency between corresponding metaphoric and literal nouns was significant by a t test [t(27) = -2.71, p < .05], as was the frequency difference between metaphoric and literal verbs [t(27) = -2.57, p < .05]. The metaphoric and literal items were more similar in terms of length (measured in characters) than in terms of frequency. The average noun length was 5.82 for metaphors and 5.25 for literals; the average verb length was 6.64 for metaphors and 6.32 for literals. There was no significant difference between literal and metaphoric nouns [t(27) = 1.26] or verbs [t(27) = 0.73]. To compensate for the different lexical properties of metaphoric and literal items, we designed a pretest in which we collected RTs of the target sentences out of context. We report the pretest at the end of the Method section.

**Ratings of probe sentences**. A possible problem with judging probe sentences is that what an experimenter judges as a true or false sentence may be actually categorized differently by participants. To avoid this problem, we asked the same 10 participants who served in the metaphor rating study to judge the truth of our probe sentences in a paper-and-pencil task. Each participant got a booklet containing 36 passages, including the 28 used in our main experiment. A story consisted of a passage ending with a target sentence. The type of the target sentence was randomly selected to be any of metaphoric-metaphoric, metaphoric-literal, literal-metaphoric, or literal-literal. For each passage, participants had to judge the truth of two probe sentences (one designed to be true, the other designed to be false) on a scale from 1 to 5 (1 = true, 5 = false). The order of the passages was randomized for each booklet. From the 28 passages used in the experiment, two had "true" probe sentences that were given a rating of 2.6 or higher and six had "false" probes that scored 2.8 or less. These probes were modified to be more clearly true or false.

**Procedure**. The participants saw the materials on the screen of a Macintosh computer. They used one of two keys (K for *true*, D for *false*) and the "enter" key to express their answers. Participants were instructed to answer as fast and as accurately as possible. They were also warned that they might encounter words used figuratively.

Each participant took about 20 min to complete the experiment. The experiment consisted of 4 practice trials and 28 experimental trials. A trial had three phases:

- 1. Participants read a short passage.
- 2. When finished, they pressed a key and the passage disappeared. Then the target sentence was presented in a "word by word"

style: first, the subject (article plus noun) of the sentence appeared, then the participant pressed a key and the subject was replaced by the verb (in a simple tense, but sometimes containing a preposition—e.g., give up), and, finally, after another key press, the ending part (consisting of one or more words) replaced the verb.

3. After they had pressed another key, participants saw a probe sentence that they had to judge as true or false. They communicated their answer by pressing one of two keys and, after that, they received feedback (on a new screen) and continued to the next trial.

The selection of the target type (metaphoric-metaphoric, metaphoric-literal, literal-metaphoric, or literal-literal) was random for each trial and participant. Also, the probe type (true or false) was selected randomly for each trial and participant. The experiment consisted of 28 trials, ordered randomly. Further on, we use the term reading time (RT) of a sentence part as meaning the interval between the occurrence of the word(s) on the screen and the next key press.

The dependent variables used for this experiment were (1) the RT for the subject of the target sentence (henceforth referred to as noun RT); (2) the RT for the verb of the target sentence; (3) the RT for the ending part of the target sentence; (4) the RT for the target sentence (derived by summing noun, verb, and ending RTs); (5) the accuracy for judging the probe sentences as true or false; and (6) the latency for making the truth judgments. The accuracy for judging the probe sentence can be regarded as a measure of correct metaphor comprehension, under the assumption that a correct answer depended on correct metaphor comprehension. <sup>2</sup> Judgment latencies also reflect how easily the target was understood. The independent variables were (1) the type of noun (metaphoric or literal) used in the target sentence; (2) the type of verb (metaphoric or literal) used in the target; and (3) truth of the probe sentence (true or false).

**Out-of-context pretest**. Given the frequency difference and possible other differences between metaphoric and literal items, we designed a pretest experiment in which all targets were read out of context. The out-of-context RTs were then used as covariates for the analysis of RTs in context.

For the out-of-context pretest, 55 native English speakers, undergraduates of Carnegie Mellon University,<sup>3</sup> read the target sentences from Experiment 1 in the word-by-word manner described in the Procedure section and generated a causal completion for them. For instance, participants read the different parts of the sentence *The hens clucked noisily* and then, on a separate screen, they had to generate a completion for that sentence. The completion always started with the word *because*. An example of an acceptable completed sentence is *The hens clucked noisily because they liked the food*.

We introduced the sentence completion task to ensure that participants would process the target sentences correctly and not press keys without actually comprehending them. Each participant completed 56 trials: for each metaphor pair, two complementary targets were shown (e.g., either *The hens talked noisily* and *The women clucked noisily* or *The women talked noisily* and *The hens clucked noisily*). The first 28 trials contained no two targets pertaining to the same metaphor pairs, nor did the last 28 trials. Apart from this constraint, the order of presentation of targets was randomized for each participant.

We measured the RTs (i.e., the times between the onset of the word[s] on the screen and the participants' key press) for noun, verb, ending, and overall sentence and used these measures as covariates in the analysis of noun RT, verb RT, ending RT, and sentence RT, respectively, for Experiment 1. The RTs out of context are depicted in Table 2, together with the corresponding RTs from Experiment 1.

#### Results

The main results are given in Tables 2 (RTs) and 3 (accuracy measures and judgment times). In what follows

	In Context		Out of Context					
RT	Met-Met	Met-Lit	Lit-Met	Lit-Lit	Met-Met	Met-Lit	Lit-Met	Lit–Lit
Noun	6	72	6	34	6	98	70	07
Verb	567	562	538	529	645	638	672	631
Ending	787	769	805	783	855	981	1,100	849
Sentence	2,031	2,036	1,975	1,978	2,266	2,348	2,513	2,191

Table 2
Average Reading Times in Context (Experiment 1) and Out of Context (Pretest to Experiment 1)

Note—All reading times in context (Experiment 1) are corrected for the corresponding out-of-context reading time. All reading times are given in milliseconds. RT, reading time; Met, metaphoric; Lit, literal.

we report statistics over both participants ( $F_1$  statistics) and items ( $F_2$  statistics). We eliminated those trials that were more than 1.5 times the interquartile range from the upper and lower quartiles of the distribution, and we performed analyses of variance (ANOVAs) for each dependent measure. For noun, verb, ending, and sentence RTs, we also performed analyses of covariance (ANCOVAs) (F<sub>c</sub> statistics) over items with the corresponding out-ofcontext RTs as covariate variables. Note that the covariate differs for each dependent measure analyzed: For instance, for verb RTs,  $F_c$  statistics were carried with out-of-context verb RT as a covariate. All the analyses took into consideration only the trials for which the answer to the final probe sentence was correct. Table 2 presents average RTs corrected for the corresponding outof-context RT. However, these corrected times are not substantially different from the uncorrected means.

**Noun RTs**. The ANOVA and the ANCOVA with noun as a factor<sup>4</sup> yielded a main effect of noun  $[F_1(1,75) = 11.575, MSE_1 = 10,331.229, p_1 < .001; F_2(1,25) = 8.896, MSE_2 = 7,084.228, p_2 < .01; F_c(1,53) = 9.257, p_c < .005], with the metaphoric nouns taking longer to be read than the literal nouns. The disadvantage of metaphoric nouns suggests that participants paid a price in looking for a referent for the metaphoric noun.$ 

**Verb RTs**. The ANOVA and the ANCOVA with noun and verb as factors indicated a main effect of noun  $[F_1(1,74) = 16.23, MSE_1 = 9,411.635, p_1 < .001; F_2(1,26) = 6.992, MSE_2 = 7,246.858, p_2 < .05; F_c(1,104) = 10.137, p_c < .005]: The verbs preceded by metaphoric nouns took longer to read than those preceded by literal nouns. Neither the main effect of verb nor the interaction between verb and noun was significant. The noun effect on verb RTs possibly indicates a spillover of the processing load from the metaphoric noun to the following verb.$ 

**Ending RTs**. The ANOVA with noun and verb as factors again yielded a significant main effect of noun over participants, but this effect was not significant over items or in the ANCOVA  $[F_1(1,72) = 8.273, MSE_1 = 42,779.884, p_1 = .005; F_2(1,22) = 1.003, MSE_2 = 24,158.412; F_c(1,99) = 0.411]. The verb effect and the interaction were nonsignificant. Surprisingly, the noun effect in the participant analysis was in the opposite direction of the previous effects: The ending parts of the sentences starting with metaphoric nouns were read faster than were the endings of sentences starting with literal nouns.$ 

**Sentence RTs**. The sentence RTs were obtained by summing the noun RTs, the verb RTs, and the ending part RTs. The ANOVA and the ANCOVA with noun and verb as factors yielded no significant effects or interactions, although the metaphoric-noun sentences had a tendency to be slower.

**Accuracy**. The ANOVA for accuracy with noun, verb, and truth as factors yielded a significant main effect of noun  $[F_1(1,76) = 7.697, MSE_1 = 0.0393, p_1 < .01; F_2(1,24) = 6.319, MSE_2 = 0.01296, p_2 < .05]: Participants were more accurate for target sentences starting with literal rather than metaphoric nouns. Other interactions were significant only in the item analysis: noun and truth <math>[F_1(1,76) = 1.170, MSE_1 = 0.04234; F_2(1,24) = 5.526, MSE_2 = 0.0162, p_2 < .05]; verb and truth <math>[F_1(1,76) = 2.86, MSE_1 = 0.04648; F_2(1,24) = 4.812, MSE_2 = 0.007161, p_2 < .05]; and noun, verb, and truth <math>[F_1(1,76) = 3.909, MSE_1 = 0.04616; F_2(1,24) = 5.195, MSE_2 = 0.01504, p_2 < .05].$ 

The accuracies in this experiment were quite high, suggesting that participants correctly comprehended the target sentences most of the time. However, another possibility is that participants might have been able to judge the probe sentences even if they had not read the targets. We approach this issue in more depth in Experiment 2. In any case, the noun effect seems to indicate a comprehension deficit for metaphoric nouns.

We also computed sensitivity (d') and bias  $(\beta)$  measures from the hits for true sentences and the false alarms for foils. The d' values reflect the participants' ability to discriminate between true and false probes. Bias values greater than 1 indicate participants' tendency to guess that a probe was false. Table 3 shows the d' and  $\beta$  values for the four conditions. An ANOVA run on d's obtained from each participant resulted in a significant effect of noun  $[F_1(1,63) = 13.816, MSE_1 = .545, p_1 < .0001]$  and of verb  $[F_1(1,63) = 4.413, MSE_1 = .670, p_1 < .05]$ , with metaphoric nouns or verbs yielding lower discriminability than their literal counterparts. The ANOVA on the bias measures  $\beta$  yielded a significant interaction between noun and verb  $[F_1(1,63) = 5.481, MSE_1 = .941,$  $p_1 < .05$ ], with the congruent (metaphoric–metaphoric and literal-literal) sentences having a higher bias than the other sentences.

**Judgment latencies**. An ANOVA with noun, verb, and truth as factors revealed a significant main effect of

Table 3
Average Accuracy, d',  $\beta$ , Accuracy Improvement, and Judgment Time in Experiment 1

	Met-Met	Met-Lit	Lit-Met	Lit–Lit
Accuracy				
True Probes	0.84	0.88	0.90	0.86
False Probes	0.79	0.82	0.82	0.91
d'	1.96	2.32	2.45	2.52
β	1.35	1.15	1.04	1.40
Accuracy improvement				
True Probes	0.28	0.452	0.557	0.378
False Probes	0.332	0.428	0.43	0.71
Judgment time				
True Probes	2,237	2,179	2,096	2,368
False Probes	2,526	2,531	2,570	2,588

Note—The accuracy improvement in Experiment 1 was computed based on the normative data from Experiment 2. Judgment times are given in milliseconds. Met, metaphoric; Lit, literal.

truth  $[F_1(1,75) = 41.609, MSE_1 = 406,102.99, p_1 < .001;$   $F_2(1,27) = 9.734, MSE_2 = 349,273.73, p_2 < .005]$ . The other main effects and interactions were not significant. Participants were faster to judge true sentences than to judge false sentences.

## Discussion

This experiment successfully replicated Ortony et al.'s (1978) findings that metaphoric sentences with literal meaning are read as fast as literal sentences. However, it failed to reproduce Gibbs's (1990) result that sentences that have no literal meaning and contain anaphoric metaphors take longer to read than literal sentences. Like Janus and Bever (1985), we found that metaphoric nouns were read more slowly than literal nouns. Also, we found a comprehension deficit for metaphoric sentences, but only if they contained a metaphoric noun. The experiment resulted in two unexpected effects of the metaphoric nouns: First, they led to increased RT of the subsequent verb; second, the ending parts were read faster for metaphoric-noun targets than they were in the case of literal-noun targets (at least as indicated by the participant analysis). These results, together with the poorer comprehension accuracy for metaphoric-noun targets, indicate that anaphoric sentences with metaphoric nouns do pose an extra load on comprehension.

The pattern of RTs in Experiment 1 matches the following model:

- 1. When the noun is read, participants search for a discourse antecedent for it; in the case of metaphoric nouns, it is harder to find an antecedent, so the search process either is more complex or fails; thus, it takes longer. That is to say that sometimes the metaphor is not understood at this point.
- 2. When the verb is read, if the preceding noun was metaphorical and if no antecedent was found, participants may still continue to search for a referent. Moreover, the verb (or part of its semantic features) may be used as a context hook for the sentence.

3. When the ending is processed, if no antecedent for the sentence was found in the discourse, participants may insist on finding one using the discourse-related information (if any) in the ending. If they fail (and they can do so especially in the case of metaphoric-noun sentences, in which given information is low), they can skip the processes that integrate the current sentence with the preceding text. Thus, shorter ending times reflect lack of integration or poor integration with the preceding passage. Because the metaphoric-noun targets have shorter ending RTs, we surmise that those sentences are poorly connected (if at all) with the discourse and, thus, less often understood in relation with the preceding passage (as indicated by the accuracy data).

One piece of evidence for the idea that ending RTs reflect integration with the preceding passage comes from a post hoc analysis of endings. Indeed, we noticed that our endings varied in terms of whether they were given familiar or new information. For instance, the ending noisily of the metaphoric sentence The hens clucked noisily after a passage about a women's meeting would not throw much light upon the understanding of the sentence in context (we call such an ending "unrelated" to the passage). However, after a passage about a dinner at a restaurant, the ending of a sentence such as The snail crawled to the table may help participants relate the metaphoric sentence to the restaurant scene, and thus comprehend that *snail* refers to the waiter (we call this type of ending "related" to the passage). We hypothesized that, in the case of metaphoric sentences, the ending RTs may be longer for related endings than for unrelated ones, because without a related ending participants may abandon any attempt to integrate the sentence with the preceding passage. To verify this assertion, we divided our passages into two groups: one in which the endings of the target sentences were related to the passage and the other in which they were not.<sup>5</sup> An ANOVA on ending RTs with noun, verb, and relatedness as factors yielded a significant effect of relatedness  $[F_1(1,81)]$  = 4.855,  $MSE_1 = 33,352.273$ ,  $p_1 < .05$ ] and an interaction between noun and relatedness  $[F_1(1,81) = 18.298, MSE_1 =$  $23,905.481, p_1 < .001$ ]. In the item analysis, the effect of relatedness was not significant  $[F_2(1,107) = 0.014^6]$ ;  $F_c(1.97) = 0.003$ ], but the interaction of noun and relatedness was reliable  $[F_2(1,107) = 6.766, p_2 < .05; F_c(1,97) =$ 6.816,  $p_2 < .05$ ]. Table 4 shows the averages of ending RTs for the related and unrelated conditions. Participants

Table 4
Average Ending-Reading Times for Endings Related or
Unrelated to the Passage in Experiment 1

	9 1	
Context	Met Noun	Lit Noun
Unrelated	748	826
Related	811	761

Note—The numbers were corrected for ending-reading times out of context. Times are given in milliseconds. Met, metaphoric; Lit, literal.

were faster in reading the ending when the noun was metaphoric and the ending was not related to the meaning of the passage. This result gives support to our earlier hypothesis that participants sometimes give up on trying to relate metaphoric sentences to the passage and read the endings quickly. Participants were also almost as fast on the literal nouns in the related condition; it is possible that, due to semantic redundancy, for literal-noun sentences, a related-ending sentence is easier to integrate with the preceding discourse.

We saw that our accuracy data were consistent with the hypothesis that comprehension of targets is poorer in the metaphoric noun condition: Indeed, participants were less accurate for metaphoric noun sentences than for other sentences. Still, participants were accurate (over 0.80) for metaphoric noun sentences. We hypothesize that much of their accuracy may reflect an ability to judge the truth of the probe sentence without reading the target sentences. We test this assumption in Experiment 2: In that experiment, participants read the same passages as in Experiment 1 and they had to answer the same probe sentences, but without seeing the target sentences.

#### **EXPERIMENT 2**

## Method

**Participants**. Forty-one undergraduates at Carnegie Mellon University participated for a partial requirement in a psychology course. They were all native English speakers, and they also participated in the pretest for Experiment 1. None of the participants had served in Experiment 1.

**Materials**. We used the 28 passages from Experiment 1, together with the associated probe sentences, which could be true or false.

**Procedure**. As in Experiment 1, the participants saw the materials on the screen of a Macintosh computer. For each participant, we randomized the order in which the pretests for Experiments 1 and 2 were completed. Participants took about 10 min, on average, to complete this experiment.

A trial was very similar to a trial in Experiment 1, except that Phase 2 (target reading) was removed. Participants in this experiment did not see any target sentences. After each trial, feedback was given. The feedback corresponded to the correct answers in Experiment 1 (i.e., an answer was considered correct if it would have been correct in Experiment 1).

Each participant completed 28 trials, presented in random order. The truth of the probe sentence was also selected randomly. The instructions specified the possibility that for some trials, passages did not contain all the information necessary to answer the final probe sentence. However, participants were encouraged to do their best to find the correct answer.

For this task, we used two dependent measures: the accuracy (defined with respect to the passages of Experiment 1) of the answers and the judgment latency. The only independent variable was the truth of the probe sentence.

#### Results

**Accuracy**. A paired sample t test yielded a significant difference between the accuracy for true probe sentences and that for false probe sentences [ $t_1(40) = 2.124$ ,  $p_1 < .05$ ] by participants, but not by items [ $t_2(27) = 1.488$ ]. Participants tended to be more accurate for true probe

sentences (.78 correct) than for false probe sentences (.69 correct). As for Experiment 1, we computed d' and  $\beta$  values. In this experiment, the average discriminability d' was 1.43 and the bias  $\beta$  was .87, reflecting a tendency of participants to answer "true."

Accuracy improvement in Experiment 1 versus Experiment 2. The main purpose of this experiment was to build a baseline toward which to judge participants' answers in Experiment 1. Therefore, for each item we define a new measure of accuracy (which we call item improvement) reflecting the relative improvement in Experiment 1 with respect to the overall possible improvement. Thus, for a probe sentence q, let  $a_{1q}$  be the accuracy in Experiment 1 for all trials containing that probe sentence. Then let  $a_2$  be the average accuracy in Experiment 2 for probe sentences with the same truth as q. Then the improvement  $I_q$  for probe sentence q is defined as  $I_q = (a_{1q} - a_2)I(1 - a_2)$ .

From the analyses that follow, we eliminated items that were too good (for which the average accuracy in Experiment 2 was over 0.90), because the possible improvement for such items was too small. For this reason, the scores of 10 true probes and 10 false probes were not considered in the analyses.7 ANOVAs on the improvement measure yielded an effect of noun on participants, but not on items  $[F_1(1,76) = 5.973, MSE_1 = .547, p < .05;$  $F_2(1,27) = 1.964$ ,  $MSE_2 = .253$ ]. Table 3 presents the average improvement. Participants show about 60% improvement on literal-literal sentences, double of the 30% improvement on the metaphor-metaphor targets. This measured improvement is consistent with the estimate we get from the d' analysis. Participants in the literal-literal condition increased their d' by 1.09 from the baseline, whereas participants in the metaphoric-metaphoric condition increased their d' by only 0.53.

The relatively high accuracy in Experiment 2 showed that participants could answer our probe sentences correctly using plausibility strategies and supported the hypothesis that such strategies might have been used by participants in Experiment 1. The improvement measure showed that participants were only half as successful in comprehending metaphoric—metaphoric targets as literal—literal targets. Thus the comprehension deficit, corrected for plausibility strategies, was considerable for metaphors in Experiment 1.

## **CONCLUSIONS**

We began this study believing that the difference between the Gibbs (1990) and Ortony et al. (1978) results might have to do with whether both the noun and verb were metaphors. Gibbs' sentences were like our metaphoric—literal sentences and Ortony et al.'s were like our metaphoric—metaphoric sentences. We found little evidence for a difference between these two types of sentences. Instead, our participants seem to have allocated some sort of fixed processing time for the sentences. If

they used too much time processing the beginning, they made up for it by speeding up reading of the end and paid a price in comprehension. Neither Gibbs (1990) nor Ortony et al. (1978) reported a measure of comprehension differences for literals versus metaphors and so we cannot judge this issue for those studies. Possibly, Gibbs's participants chose to spend more time to achieve higher levels of comprehension. Thus participants may make a speed–accuracy choice: For anaphoric metaphors, they pay a price in either comprehension time or comprehension success.

In conclusion, it seems that our results agree with Gibbs's (1990) and Onishi and Murphy's (1993) claim that anaphoric metaphors are more difficult than literals and, indirectly, than predicative metaphors: However, in their studies that difficulty was reflected in RTs, whereas in ours, it is indicated by the accuracy measures. A methodological implication of this result is that sentence RT is not always a sufficient comprehension test in the case of metaphoric sentences.

We started this article with an evocation of Searle's (1979) theory of metaphor processing. Like Janus and Bever (1985), we have shown that sentence RT granularity may be too coarse to test that theory. Although at the time when it was articulated researchers seemed to believe that either this theory or the opposite must be true for metaphor comprehension, nowadays the picture is more nuanced. Thus, Blasko and Connine (1993) found that the familiarity of a metaphor plays a role in whether it is comprehended easily or not, and for unfamiliar metaphors, goodness may facilitate understanding. Giora (1997) proposed the graded-salience hypothesis, according to which "salient meanings (e.g., conventional, frequent, familiar, enhanced by prior context) are processed first." Keysar (1994) showed that the context can influence whether the interpretation chosen for a sentence is literal or metaphoric. Our results also indicate that context plays a role in understanding metaphoric sentences: The amount of overlapping information between the discourse and sentence level modulates the comprehension of the target the more given information, the better the integration with the preceding passage and the more accurate the final interpretation. Thus, for poor sentence contexts, metaphoric interpretation may actually never be computed. Indeed, we think that sentence context may be one of the keys for unifying the contradictory literature of metaphor RTs: If the sentence context is facilitatory enough, then it will lead to a good understanding of the metaphor; moreover, if it precedes the metaphor in the sentence (as it does in the case of predicative metaphors) and if it is supportive enough, the context can make the metaphor understanding as smooth as literal understanding. In this respect, we agree with Giora that the distinction between figurative and literal is an artificial one and should be replaced by "the continuum salient-nonsalient."

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## NOTES

- 1. Initially we considered more than 28 metaphor pairs; on the basis of this rating study we rejected some of our initial choices.
  - 2. We test this assumption in Experiment 2.
- 3. Forty-one of the pretest participants also served in Experiment 2.
- 4. The verb or the truth of the final probe sentence could not influence the RT for the noun, since the noun preceded the verb and the probe sentence.
- 5. R.B. and J.R.A. rated the materials independently and initially agreed in 27 out of 28 cases. The remaining case was determined after discussion. There were 14 items in each of the two groups.
  - 6. The item analysis was not a repeated analysis.
- 7. The stories with high true probe scores were not the same as the stories with high false probe score. Therefore, these items could not be eliminated completely, but rather, their scores in the true and false conditions, respectively, were treated as missing values for that condition.

# APPENDIX Sample Passages, Targets, and Probes From Experiment 1

## Example 1:

Mary was taking a cooking course. She wanted to show her husband what a good cook she had become, so she decided to prepare the turkey recipe they were teaching at school. She followed the recipe down to the last detail. However, when her husband tasted the turkey, he found it too tough to cut or eat. He threw Mary a scornful look and said: "You'd better spend money on something more useful than cooking school."

## Target sentences:

The dagger cut deeply. (metaphoric–metaphoric) The dagger offended deeply. (metaphoric–literal) The insult cut deeply. (literal–metaphoric) The insult offended deeply. (literal–literal)

#### Probe sentences:

The insult hurt Mary. (true)

The insult made Mary ambitious. (false)

## Example 2:

When Cinderella arrived at the ball, she was wearing a wonderful white dress. Her fine long neck was adorned by an exquisite diamond necklace. Many handsome men wanted to invite her to dance. She was a little bit anxious, because she had never danced before.

## Target sentences:

The swan floated beautifully. (metaphoric–metaphoric)
The swan waltzed beautifully. (metaphoric–literal)
The girl floated beautifully. (literal–metaphoric)
The girl waltzed beautifully. (literal–literal)

## Probe sentences:

Cinderella danced with grace. (true) Cinderella talked with grace. (false)

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