

Correction of Hydrological and Oceanic Effects from GRACE Data by Combination of the Steric Sea Level, Altimetry Data and GLDAS Model

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Abstract

In this study, a scheme to estimate oceanic and hydrological effects in the GRACE (Gravity Recovery and Climate Experiment) data is presented. The aim is to reveal tectonic signals for the case of the Sumatra earthquake on 26 December 2004. The variations of hydrological and oceanic effects are estimated with the aid of data set of GRACE, altimetry, World Ocean Atlas, and the GLDAS model for a period of January 2003 to December 2006. The time series of computed gravity changes over Sumatra region show some correlations to the deformation resulting from the earthquake occurred in December 2004. The maximum and minimum impacts of hydrological and oceanic effects on gravity changes are about $3 \mu\text{Gal}$ in radial direction and $-5 \mu\text{Gal}$ in northward direction. The maximum and minimum amounts of gravitational gradient changes after the correction are 0.2 and -0.25 mE , which indicates the significant influences of hydrological and oceanic sources on the desired signal.

Key words: hydrological and oceanic effects, GRACE data, World Ocean Atlas, GLDAS model, gravitational gradient changes.

1. INTRODUCTION

Satellite measurements of time-variable gravity field are a new data type, capable of modeling and detecting global mass transfer within the Earth. Such a global mass redistribution may be due to large exchange of water reservoir in the oceans and lands and may lead to significant changes of the Earth's gravity field which can be well detected by gravimetric satellite. The regional mass transfer such as localized tectonic processes due to earthquake, volcano and Tsunami, however, have an indicative influence on gravity fields that may be sensed by space observations (Han *et al.* 2013, Bao *et al.* 2005). This subject, in its present form, began with the launch of GRACE mission (the Gravity Recovery and Climate Experiments) (Tapley *et al.* 2004). The GRACE mission provides a useful apparatus to study the time-variation of the gravity field of the Earth. GRACE is able to monitor changes in a total water supply from the land surface to the base of the deepest aquifer (surface water, soil moisture, groundwater, snow) (Tourian *et al.* 2015, Fatolazadeh *et al.* 2016).

Numerous studies published in the recent years have shown that GRACE can offer useful constraints on ocean mass change (*e.g.*, Lombard *et al.* 2007), mass balance of the ice sheets (*e.g.*, Velicogna and Wahr 2006, Luthcke *et al.* 2006), terrestrial water storage change (*e.g.*, Tapley *et al.* 2004, Wahr *et al.* 2004), polar ice sheet melting (*e.g.*, Velicogna and Wahr 2006), and gravity variations due to localized tectonic processes (*e.g.*, Chen *et al.* 2007).

A large body of researches devoted to evaluations of hydrological effects in the GRACE data which primarily focused on the use of hydrological models. Rajner *et al.* (2012) demonstrated that the hydrological effects would change the gravity field of the Earth up to 6 μGal per year in global scale, whereas its local effects may reach to 10 μGal (see also Creutzfeldt *et al.* 2010). Unfortunately, these models only account the variation of water level in continents and as a result discard the effects due to ocean currents (see, *e.g.*, Feng and Jin 2012). In some cases, hydrological effects have been removed without reference to specific hydrological model, by simply using an averaging scheme (see Chen *et al.* 2007, Wang *et al.* 2012).

It should be mentioned that in a similar contributions of this subject (Wang *et al.* 2012), in order to suppress the hydrological effects, the difference between the averaged gravity field of two years before and after the earthquake is considered (as hydrological free signal), which means that the hydrological effects are assumed to have the same influences on the gravity field before and after the earthquake. However, in this study it is shown that in the aforementioned case, some hydrological effects would remain and may contaminate the desired tectonic signal in observations.

In this paper, we present an approach for correction of hydrological and oceanic effects in the GRACE observations of level-2 data from period of January 2003 to December 2006 which considers the variations in the water supply from both the oceans and continents. By this way, we could extract the tectonic signal related to Sumatra earthquake occurred in December 2004. To do so, the outputs of the GLDAS model (Global Land Data Assimilation System model) (see Rodell *et al.* 2004) could be used to account for hydrological effects in lands. For oceanic effects, the difference between altimetry data and World Ocean Atlas (Stephens *et al.* 2002) model is used which may account for the total oceanic mass variations. The last step is to remove the above effects (hydrological effects in land plus oceanic mass transfer) from the GRACE observations. Thus, the remaining signal in the GRACE data would be due to desired tectonic signal. It should be mentioned that since the GRACE observations of level-2 have been corrected for background ocean model (Lyard *et al.* 2006), before subtracting the hydrological and oceanic effects, at first, it is necessary that this model be restored in the GRACE monthly solution via a new Ocean Bottom Pressure product (GAD coefficients) (Flechtner 2007).

2. THEORETICAL BACKGROUND

The fundamental result of physical geodesy is that the gravitational potential of the Earth satisfies Laplace equation in its exterior boundary, namely (Heiskanen and Moritz 1967):

$$\nabla^2 V = 0 \quad \text{out of the Earth,} \quad (1)$$

in which V is the gravitational potential and ∇^2 stands for the Laplace operator. In spherical coordinate system with coordinates λ , φ , and r , which respectively correspond to longitude, latitude and radial coordinates, and the origin at the center of mass of the Earth (the natural coordinate of the Earth based on its principle moment of inertia), the solution of the above equation can be represented as (Heiskanen and Moritz 1967):

$$V(\lambda, \varphi, r) = \frac{GM}{r} \left\{ 1 + \sum_{n=2}^{\infty} \left(\frac{R}{r} \right)^n \sum_{m=0}^n \left[\bar{C}_{nm} \bar{P}_{nm}(\sin \varphi) \cos m\lambda + \bar{S}_{nm} \bar{P}_{nm}(\sin \varphi) \sin m\lambda \right] \right\}. \quad (2)$$

in which G is the universal constant of gravitation, M the total mass of the Earth, \bar{C}_{nm} and \bar{S}_{nm} the non-dimensional Stokes coefficients and $\bar{P}_{nm}(\sin \varphi)$ are associated Legendre polynomial in latitude of degree n and order m .

One of the important goals of satellite gravimetry is the determination of the so-called Stokes coefficients up to a maximum degree and orders which mostly depends on the altitude of satellite and its temporal and spatial reso-

lutions. Three space missions have been dedicated to this matter, which are CHAMP, GRACE, and GOCE missions (Tsoulis and Patlakis 2014). GRACE satellite, launched jointly by NASA and the German Aerospace Center (DLR) in March 2002 (Tapley *et al.* 2004), consists of two identical satellites in similar orbits, one following the other by about 220 km and at an altitude of approximately 400 km above the Earth. The satellites use microwave signal to continuously measure their separation distance to an accuracy of better than 1 micrometer. This observation may be converted to information about gravity field of the Earth.

All the data received from the satellite (raw data) are processed at the Raw Data Center (RDC) to level-0 GRACE data type. At this stage, they are transformed into physically meaningful quantities, such as satellite-to-satellite distances, non-gravitational accelerations, spacecraft attitude, *etc.*, which are the so-called level-1 data that can be used to construct gravity field solution. Since few users have the capability of working with this type of observation, level-2 products are generated at several project-related processing centers (the Center for Space Research at the University of Texas, Geo Forschungs Zentrum in Potsdam, Germany, and the Jet Propulsion Laboratory), and each of these products is made available to users (Wahr *et al.* 1998). The level-2 gravity products consist of complete sets of spherical harmonic (Stokes) coefficients up to some maximum degree and order (typically 120), averaged over monthly intervals.

Using the GRACE observations of level-1, the gravity field of the Earth could be recovered in terms of aforementioned spherical harmonics which constitute the level-2 data. These coefficients released by temporal resolution of one month. The difference between the monthly index of \bar{C}_{nm} and \bar{S}_{nm} and the average index of \bar{C}_{nm} and \bar{S}_{nm} over definite period of time may be used as an indicator of significant mass change during a specific month.

GRACE can measure the gravity field variations which include any considerable changes occurring in the mass distribution of the Earth, such as changes in the amount of water reservoirs or local mass transfer due to seismic phenomena. Therefore, one may write:

$$\delta M^{\text{GRACE}} = \delta M^{\text{Hydrology}} + \delta M^{\text{Seismic}} + \delta M^{\text{Oceanic}} + \delta M^{\text{Others}} \quad , \quad (3)$$

where δM^{GRACE} is a total mass variations observed by GRACE, $\delta M^{\text{Hydrology}}$ is a mass variations due to hydrological effects, $\delta M^{\text{Oceanic}}$ is a mass variations due to oceanic effects, $\delta M^{\text{Seismic}}$ is a mass variations due (for example) to earthquake, and δM^{Others} contains mass changes from Glacial Isostatic Adjustment (GIA), subsurface solid Earth, atmospheric mass changes or other sources of mass transfer which has been corrected in the GRACE observations of level-2.

Since the GRACE satellite can only sense the total mass variation due to all possible sources, the extraction of individual signals from GRACE observations needs the elimination of other impacts such as GIA, subsurface solid Earth, atmospheric mass changes which among them, the hydrological and oceanic signals have prominent contributions and their amplitudes are significant in the GRACE data.

If one considers the monthly level-2 data of the GRACE in terms of Stokes coefficients, by referring to Eq. 2, the potential changes in this period and at the Earth surface ($R \approx r$) (see Wahr *et al.* 1998) can be computed as:

$$\Delta V = \frac{GM}{R} \sum_{n=2}^{\infty} \sum_{m=0}^n \left[\Delta \bar{C}_{mn} \bar{P}_{mn}(\sin \varphi) \cos m\lambda + \Delta \bar{S}_{mn} \bar{P}_{mn}(\sin \varphi) \sin m\lambda \right]. \quad (4)$$

where ΔV is the potential change which has been represented in terms of variations in Stokes coefficients, namely $\Delta \bar{C}_{mn}$ and $\Delta \bar{S}_{mn}$. This potential change or equivalently its corresponding differential coefficients ($\Delta \bar{C}_{mn}$ and $\Delta \bar{S}_{mn}$) is related to δM^{GRACE} in Eq. 3. Since the gravity acceleration is the gradient of potential, in the spherical coordinate system we have:

$$\mathbf{g} = \frac{1}{r \cos \varphi} \frac{\partial V}{\partial \lambda} \mathbf{e}_\lambda + \frac{1}{r} \frac{\partial V}{\partial \varphi} \mathbf{e}_\varphi + \frac{\partial V}{\partial r} \mathbf{e}_r. \quad (5)$$

in which \mathbf{g} is the gravity acceleration and \mathbf{e}_λ , \mathbf{e}_φ , and \mathbf{e}_r are the unit vectors in the longitude, latitude, and radial directions. Therefore, the computation of gravity changes needs the first derivatives of ΔV as:

$$\begin{aligned} \Delta V_r &= \frac{\partial \Delta V}{\partial r} \Big|_{r \approx R} = - \left(\frac{GM}{R^2} \right) \sum_{n=2}^{\infty} \sum_{m=0}^n (n+1) \left[\Delta \bar{C}_{mn} \bar{P}_{mn}(\sin \varphi) \cos m\lambda + \Delta \bar{S}_{mn} \bar{P}_{mn}(\sin \varphi) \sin m\lambda \right] \\ \Delta V_\varphi &= \frac{\partial \Delta V}{\partial \varphi} \Big|_{r \approx R} = \left(\frac{GM}{R} \right) \sum_{n=2}^{\infty} \sum_{m=0}^n \left[\Delta \bar{C}_{mn} \bar{P}'_{mn}(\sin \varphi) \cos m\lambda + \Delta \bar{S}_{mn} \bar{P}'_{mn}(\sin \varphi) \sin m\lambda \right] \\ \Delta V_\lambda &= \frac{\partial \Delta V}{\partial \lambda} \Big|_{r \approx R} = \left(\frac{GM}{R} \right) \sum_{n=2}^{\infty} \sum_{m=0}^n m \left[-\Delta \bar{C}_{mn} \bar{P}_{mn}(\sin \varphi) \sin m\lambda + \Delta \bar{S}_{mn} \bar{P}_{mn}(\sin \varphi) \cos m\lambda \right]. \end{aligned} \quad (6)$$

Since we are interested in the local change, the local Cartesian coordinate with North-East-Down (NED) frame (Eshagh 2010, Eshagh and Abdollahzadeh 2010, 2012; Eshagh *et al.* 2013) at a point in the Earth surface is introduced in such a way that the x -axis is directed to the north, the y -axis to the east, and the z -axis downwards; therefore, in this local coordinates we have:

$$g_x = \Delta V_x = -\frac{1}{r} \Delta V_\varphi, \quad g_y = \Delta V_y = \frac{1}{r \cos \varphi} \Delta V_\lambda, \quad g_z = \Delta V_z = \Delta V_r. \quad (7)$$

The components of gravity gradient tensor may be expressed as:

$$\begin{aligned} \Delta V_{xx} &= \frac{1}{r} \Delta V_r + \frac{1}{r^2} \Delta V_{\varphi\varphi}, & \Delta V_{xy} &= \frac{1}{r^2 \cos \varphi} \Delta V_{\varphi\lambda} + \frac{\sin \varphi}{r^2 \cos^2 \varphi} \Delta V_\lambda \\ \Delta V_{xz} &= \frac{1}{r^2} \Delta V_\varphi - \frac{1}{r} \Delta V_{r\varphi}, & \Delta V_{yy} &= \frac{1}{r} \Delta V_r + \frac{1}{r^2 \cot \varphi} \Delta V_\varphi + \frac{1}{r^2 \cos^2 \varphi} \Delta V_{\lambda\lambda} \\ \Delta V_{yz} &= \frac{1}{r \cos \varphi} \Delta V_{r\lambda} - \frac{1}{r^2 \cos \varphi} \Delta V_\lambda, & \Delta V_{zz} &= \Delta V_{rr}. \end{aligned} \quad (8)$$

in which at the surface of the Earth we have (see also formulas in: Wang *et al.* 2012, Eshagh 2010, Eshagh and Abdollahzadeh 2010, 2012):

$$\begin{aligned} \Delta V_{rr} &= \frac{GM}{R^3} \sum_{n=2}^{60} (n+1)(n+2) \sum_{m=0}^n (\Delta \bar{C}_{nm} \cos m\lambda + \Delta \bar{S}_{nm} \sin m\lambda) \bar{P}_{nm}(\sin \varphi), \\ \Delta V_{\varphi\varphi} &= \frac{GM}{R} \sum_{n=2}^{60} \sum_{m=0}^n (\Delta \bar{C}_{nm} \cos m\lambda + \Delta \bar{S}_{nm} \sin m\lambda) \bar{P}_{nm}''(\sin \varphi), \\ \Delta V_{\lambda\lambda} &= -\frac{GM}{R} \sum_{n=2}^{60} \sum_{m=0}^n m^2 (\Delta \bar{C}_{nm} \cos m\lambda + \Delta \bar{S}_{nm} \sin m\lambda) \bar{P}_{nm}(\sin \varphi), \\ \Delta V_{r\lambda} &= -\frac{GM}{R^2} \sum_{n=2}^{60} \sum_{m=0}^n m (-\Delta \bar{C}_{nm} \sin m\lambda + \Delta \bar{S}_{nm} \cos m\lambda) \bar{P}_{nm}(\sin \varphi), \\ \Delta V_{r\varphi} &= -\frac{GM}{R^2} \sum_{n=2}^{60} (n+1) \sum_{m=0}^n (\Delta \bar{C}_{nm} \cos m\lambda + \Delta \bar{S}_{nm} \sin m\lambda) \bar{P}_{nm}'(\sin \varphi), \\ \Delta V_{\varphi\lambda} &= \frac{GM}{R} \sum_{n=2}^{60} \sum_{m=0}^n m (-\Delta \bar{C}_{nm} \sin m\lambda + \Delta \bar{S}_{nm} \cos m\lambda) \bar{P}_{nm}'(\sin \varphi). \end{aligned} \quad (9)$$

3. COMPUTATIONAL PROCEDURE AND NUMERICAL RESULTS

In this section, the scheme to correct the hydrological and oceanic effects from GRACE data of level-2 is presented. The process could be summarized as a following flowchart in Fig. 1.

3.1 GLDAS model and mass transfer in land

With reference to Fig. 1, it is seen that the correction of hydrological effects in lands is done with the help of GLDAS model. It was developed jointly at the National Aeronautics and Space Administration (NASA) Goddard Space Flight Center (GSFC) and the National Oceanic and Atmospheric Administration (NOAA) National Centers for Environmental Prediction (NCEP) (Rodell *et al.* 2004).

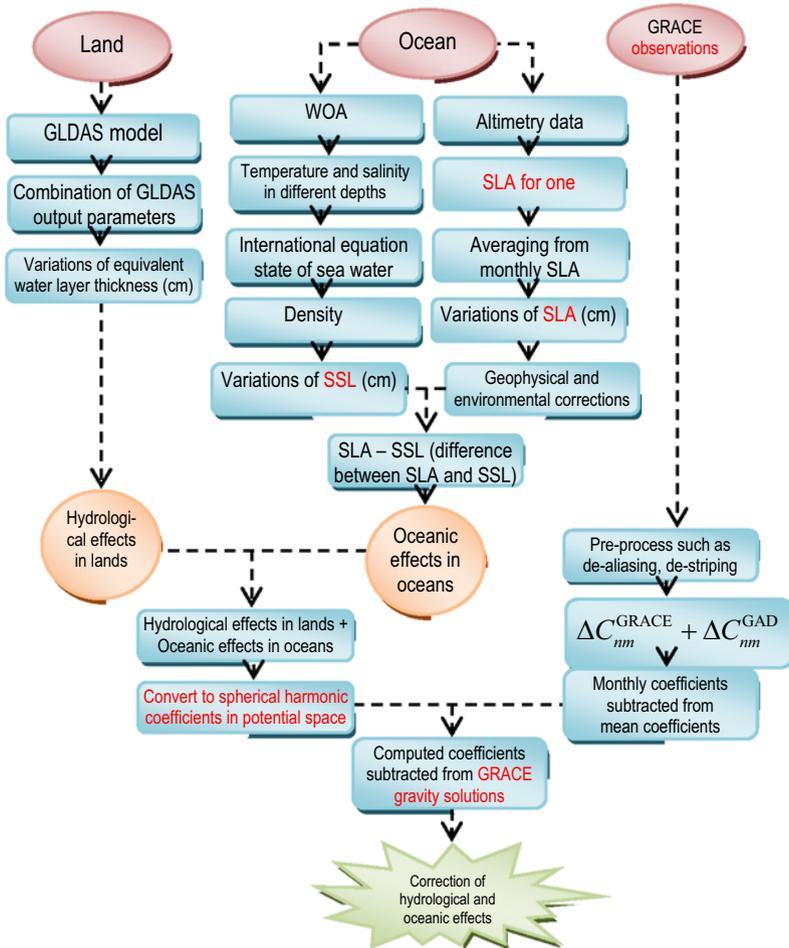


Fig. 1. The technical flowchart of correcting hydrological and oceanic effects from the GRACE data to reveal earthquake signals.

In this study, we use the outputs of the GLDAS model that are combinations of all the affective parameters including average layer soil moisture (0-200 cm), average layer soil temperature (0-200 cm), average surface temperature, ground heat flux, snowfall rate, total canopy water storage, surface runoff, snowmelt and snow water equivalent which are computed in terms of variations in water layer thickness. Since we are interested in the Sumatra earthquake occurred on 26 December 2004, to evaluate the hydrological effects at this epoch, the difference between water layer thickness in January 2005 and its mean value from January 2003 to December 2006 covering 47 months, is computed.

It is worth to mentioned that in spite of a number of analyses and assessment which have shown that the GLDAS could model the complete global hydrological variations in reasonable manner (see, *e.g.*, Jin *et al.* 2012) some other showed how well and often how poorly GLDAS and other models fit to the GRACE data (see Sneeuw *et al.* 2014, Lorenz *et al.* 2014).

3.2 Oceanic mass transfer from WOA and satellite altimetry

In the oceans, there are two important factors that cause the variations of water level. One of them is due to raining, evaporation, river discharge and ice sheet melting known as sea level anomaly and the other is due to water becoming warmer or (less) salty. The second effect is referred to as steric component and the first is known as non-steric component.

To correct oceanic effects in oceans, the two sources of variations mentioned above must be collected together as (Chambers 2006a):

$$\Delta\eta_{\text{Ocean}}(\varphi, \lambda, t) = \Delta\eta_{\text{SLA}}(\varphi, \lambda, t) - \Delta\eta_{\text{SSL}}(\varphi, \lambda, t) \quad (10)$$

in which $\Delta\eta_{\text{Ocean}}$ is the total equivalent water thickness variations in oceans, $\Delta\eta_{\text{SLA}}$ is the sea level anomaly, and $\Delta\eta_{\text{SSL}}$ are the steric sea level variations.

To derive $\Delta\eta_{\text{Ocean}}$, we use the WOA model in conjunction with satellite altimetry data. Satellite altimetry could measure the height of the surface of the oceans above the reference ellipsoid (a purely geometric quantity). However, the observed sea surface heights that are measured by satellite altimetry contain both steric and non-steric sea level changes. Steric sea level relates to changes in the structure of atoms in molecule and depends on many factors, the most important being temperature and salinity (Ishii *et al.* 2006), and could be computed with the aid of WOA model (see following sections).

3.2.1 Satellite altimetry data

In this study we use Jason-1 satellite that is a joint project between the NASA (United States) and CNES (The Centre national d'études spatiales) space agencies. The satellite's cycles used here are 110, 111, 112, and 113 cycles. As the case study in this paper focuses on the Sumatra earthquake occurred in December 2004, the oceanic effects must be computed at this period. To do so, we use global sea level anomaly data of January 2005 derived from Jason-1 altimetry mission which shows the variation in the sea surface height *versus* mean sea height. The Basic Radar Altimetry Toolbox (BRAT) is a software designed to process radar altimetry data. It has the capability to read all altimetry data from official data centers, do some processing strategies and computations and visualize the results. The sea level data have been corrected for some geophysical and environmental effects, such as sea state bias, ionospheric delay, dry and wet tropospheric corrections, tide effects

(ocean tides, pole tide), ocean tide loading, electromagnetic bias and inverse barometer correction (Ducet *et al.* 2000).

3.2.2 World Ocean Atlas model

The World Ocean Atlas (WOA) is a model produced by the Ocean Climate Laboratory of the National Oceanographic Data Center (U.S.). The WOA dataset provides eight oceanographic variables such as: ocean temperature and salinity, dissolved oxygen, apparent oxygen utilization, percent of oxygen saturation, phosphate, silicic acid, and nitrate. The most important data set of the WOA consists of monthly 1° grid points of temperature and salinity down to 1500 m. The temperature and salinity, with the aid of International Equation state of Sea Water (IES) (Jayne *et al.* 2003), are used to compute density distribution of oceans water in different depths. We compute the difference between density in January 2005 consisting of 26 columns (depths from 0 to 1500 m) and the mean density (computed by averaging over one year) consisting of 35 columns (depths from 0 to 3500 m) and divide to standard density of water ($\rho_0 = 1027 \text{ kg/m}^3$). By integrating this density difference over the water column (along the depth) from depth 0 to 1500 m (temperature and salinity variations after this depth are slow and can be neglected for practical purposes) the steric sea level variations for $1^\circ \times 1^\circ$ grid are derived as (Chambers 2006b):

$$\Delta\eta_{\text{SSL}}(\varphi, \lambda, t) = \frac{1}{\rho_0} \int_{-h}^{\text{surface}} [\rho(\varphi, \lambda, t, z, T, S) - \bar{\rho}(\varphi, \lambda, t, z, \bar{T}, \bar{S})] dz. \quad (11)$$

In Eq. 11, $\Delta\eta_{\text{SSL}}$ is the equivalent water thickness changes for steric sea level, ρ_0 is the standard density of water, h is 1500 m, ρ is the density and is a function of latitude, longitude, time (t), depth (z), temperature (T) and salinity (S), and $\bar{\rho}$ is mean density function.

3.3 GRACE data

The geopotential coefficients of monthly gravity data of level-2 computed by Center Space Research (CSR05) have been used in this research. These coefficients have been fully normalized to degree and order 60, corresponding to the spatial resolution of 300 km and above (Tapley *et al.* 2004).

In this study, we use some of the monthly average GRACE gravity solutions between the periods of January 2003 to December 2006 which includes 47 months. We subtract the value of \bar{C}_{nm} and \bar{S}_{nm} in January 2005, from the mean value of these coefficients over time range of January 2003 to December 2006 to evaluate global mass change due to Sumatra earthquake occurred on 26 December 2004 (see Fig. 1).

The impact of atmosphere as well as short-period (periods less than 1 month) ocean and atmospheric signals appearing in the GRACE monthly solutions have been removed from data of level-2 (Tapley *et al.* 2004). Since GRACE samples the Earth with a complicated temporal and spatial pattern during each month, the short-period signals don't get completely averaged out (Elsaka 2014). To minimize these effects, models of the ocean and atmosphere are used to remove their effects from the raw data, before constructing the monthly gravity field solutions. Also, to remove spatial correlation in the GRACE data, we use Gaussian filter with radius of 350 km (Wahr *et al.* 1998) which is necessary to reveal the fine pattern caused by the earthquake. Besides the errors in the orbit determination, measurements from various onboard instruments and the so-called de-aliasing background models, there are geographically correlated high-frequency errors in the GRACE temporal gravity solutions, so the pre-processing in the GRACE data is necessary to reveal the fine pattern caused by the earthquake.

3.4 Correction of GRACE data of level-2

Having introduced the required data set and models, in this sub-section we summarize the overall approach for reduction of hydrological and oceanic signals from the GRACE observations of level-2. Consequently, variations of total hydrological and oceanic effects in January 2005 could be computed by combination of hydrological and oceanic effects in lands and oceans as:

$$\Delta_{\text{Hydrological}}^{\text{Oceanic}}(\varphi, \lambda, t) = \Delta\eta_{\text{GLDAS}}(\varphi, \lambda, t) + \Delta\eta_{\text{Ocean}}(\varphi, \lambda, t). \quad (12)$$

According to Eq. 12, the total oceanic and hydrological signals in oceans and lands are computed in terms of equivalent water thickness. To derive the mass variations and gravity changes due to these effects, we must convert the results that are in terms of water layer thickness to gravity space ($\Delta^{\text{Gravity}}(\varphi, \lambda, t)$) using the so-called Love number (Wahr *et al.* 1998, Agnew 2007).

$$\Delta^{\text{Gravity}}(\varphi, \lambda, t) = \left(\frac{1+k_n}{2n+1} \times \frac{3\rho_w}{a\rho_E} \right) \times \Delta_{\text{Hydrology}}^{\text{Oceanic}}(\varphi, \lambda, t). \quad (13)$$

In Eq. 13, k_n is the second Love number defined as the cubical dilation or the ratio of an additional potential (self-reactive force) produced by the deformation of the deforming potential (Agnew 2007). In addition, ρ_w is the average density of water (1027 kg/m^3), ρ_E the average density of the Earth (5517 kg/m^3), a the mean radius of the Earth and n is the degree in spherical harmonics.

Ultimately to reduce the effects of gravity changes due to hydrological and oceanic impacts from the GRACE measurements of level-2, it is neces-

sary that $\Delta^{\text{Gravity}}(\varphi, \lambda, t)$ is represented by series expansion in terms of orthogonal spherical harmonic as:

$$\Delta^{\text{Gravity}}(\varphi, \lambda, t) = \sum_{n=2}^{\infty} \sum_{m=0}^n \left[\Delta \bar{C}_{mn} \bar{Y}_{nm}^C(\varphi, \lambda) + \Delta \bar{S}_{mn} \bar{Y}_{nm}^S(\varphi, \lambda) \right] \quad (14)$$

in which $\bar{Y}_{nm}^C(\varphi, \lambda)$ and $\bar{Y}_{nm}^S(\varphi, \lambda)$ are defined as:

$$\begin{cases} \bar{Y}_{nm}^S(\varphi, \lambda) \\ \bar{Y}_{nm}^C(\varphi, \lambda) \end{cases} = \bar{P}_{mn}(\sin \varphi) \begin{cases} \sin m\lambda \\ \cos m\lambda \end{cases} . \quad (15)$$

In Eq. 14, $\Delta \bar{C}_{mn}$ and $\Delta \bar{S}_{mn}$ are corresponding expansion coefficients and could be determined as:

$$\begin{aligned} \Delta \bar{C}_{mn} &= \frac{1}{H^*} \iint_{\sigma} \Delta^{\text{Gravity}}(\varphi, \lambda, t) \bar{Y}_{nm}^C(\varphi, \lambda) dS , \\ \Delta \bar{S}_{mn} &= \frac{1}{H^*} \iint_{\sigma} \Delta^{\text{Gravity}}(\varphi, \lambda, t) \bar{Y}_{nm}^S(\varphi, \lambda) dS , \end{aligned} \quad (16)$$

where H^* is the norm of spherical harmonic defined by:

$$\begin{aligned} H^* &= \frac{2\pi}{2n+1} \frac{(n+m)!}{(n-m)!}, \quad m \neq 0 \\ H^* &= \frac{4\pi}{2n+1}, \quad m = 0 . \end{aligned} \quad (17)$$

It is necessary to mention that in the process of recovery of spherical harmonic coefficients of level-2 data, they are corrected for the effects of oceanic signals, known as background ocean model. Thus, to have a correct evaluation of oceanic signal in the GRACE data, we should restore the background ocean model as:

$$\Delta C_{nm}^T(t) = \Delta C_{nm}^{\text{GRACE}}(t) + \Delta C_{nm}^{\text{GAD}}(t) , \quad (18)$$

where $\Delta C_{nm}^{\text{GAD}}$ are pressure variations based entirely on an ocean model (see Flechtner 2007) and $\Delta C_{nm}^{\text{GRACE}}$ are coefficients of monthly gravity data of level-2.

4. CASE STUDY

Having discussed the general procedure for reduction of hydrological and oceanic effects, in this section we illustrate the performance of proposed strategy. For the case study, we choose the active tectonic region of Sumatra to predict the co-seismic gravitational gradient changes which stricken by

9.2 magnitude earthquake at Sunday on 26 December 2004 at 00:58:53 UTC with fault zone that is located at 3.316°N , 95.854°E , with a focal depth of about 30 km. The model consists of 7 sub-fault planes which were determined from seismic records. For convenience, the 7 sub-faults were merged into 3 segments and named Andaman, Nicobar, and Sumatra, respectively. Figure 2 shows the fault planes.

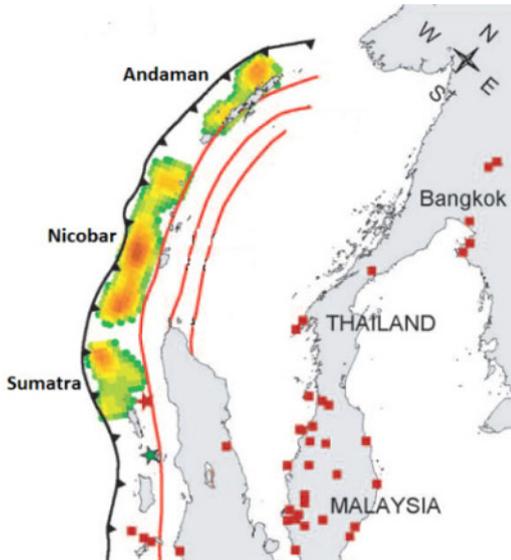


Fig. 2. Finite fault model of segments of Andaman, Nicobar, and Sumatra.

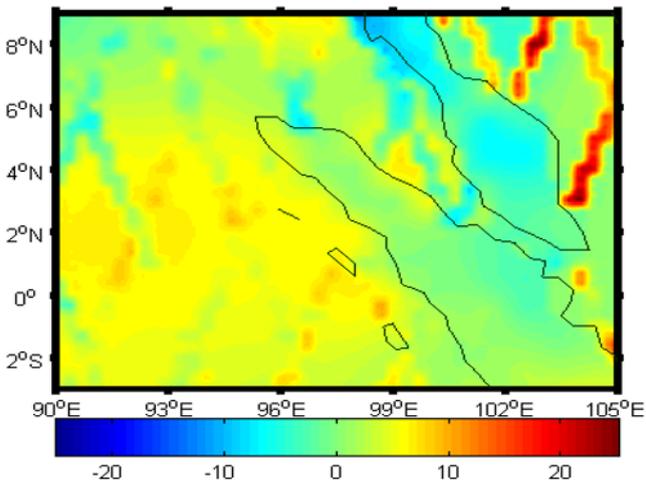


Fig. 3. Variations of hydrological and oceanic effects in January 2005 (in units of cm).

Figure 3 shows the total water layer thickness variations in lands and oceans computed from Eq. 11. All mass changes occurred in a time around December and January but the strongest signals are due to the annual cycle which shows maxima and minima dependent on the seasons and locations which alter from place to place, *e.g.*, between the northern and southern hemisphere. As could be seen in this figure, total water variations in the north of Sumatra and Nicobar segments have negative values which could be a result of sparse vegetation of the region, the larger evaporation and human agricultural irrigation and over-exploitation of groundwater that lead to wastage of groundwater resources.

After combining hydrological and oceanic signals, their effects on gravity variations may be determined. In Fig. 4, hydrological and oceanic effects on gravity field variations have been shown in radial, northwards and eastwards directions in January 2005. These variations could be determined from Eq. 6, considering the \bar{C}_{nm} and \bar{S}_{nm} in Eqs. 14 and 16. As could be seen, the maximum and minimum values of these effects are $3 \mu\text{Gal}$ in radial direction and $-5 \mu\text{Gal}$ in northward direction. As a result from all of the observed gravity variations in this region, the maximum and minimum variations of 5 and $-5 \mu\text{Gal}$ relate to hydrological and oceanic effects which have been corrected in order to obtained tectonic signal in this region.

Here we compute the gravitational gradient changes (see Eq. 8) and use it to discuss the co-seismic deformation resulting from the Sumatra earthquake. Figure 5 shows the gravitational gradient changes components, such

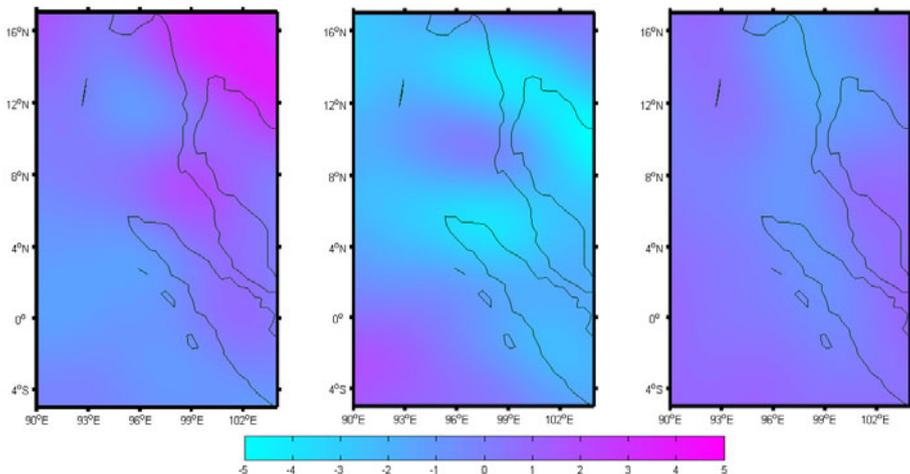


Fig. 4. Variations of hydrological and oceanic signals on gravity changes in January 2005 (in units of μGal) in radial direction (a), northward direction (b), and eastward direction (c).

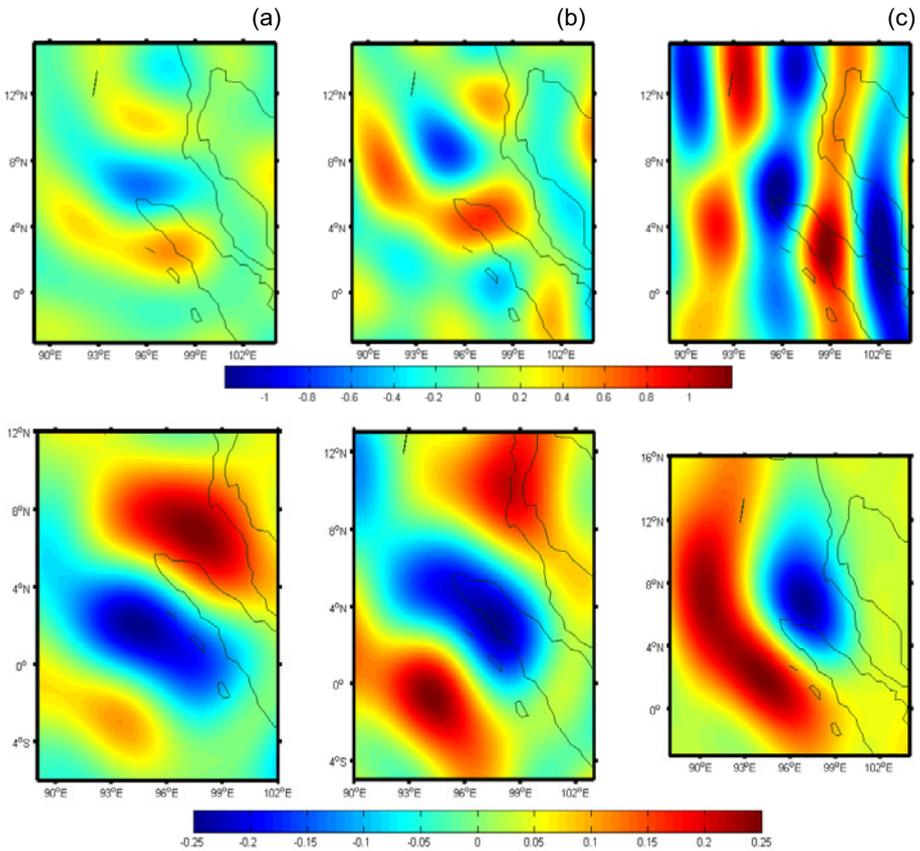


Fig. 5. Gravitational gradient changes components (in units of mE) ΔV_{xx} (a), ΔV_{xz} (b), and ΔV_{zz} (c) before correction of hydrological and oceanic effects (top figures) and after these corrections (down figures).

as ΔV_{xx} , ΔV_{xz} , and ΔV_{zz} , before and after correction of hydrological and oceanic effects. Since the high-frequency contents in gravitational field variation can be amplified by deriving the gravitational gradients, the GRACE-derived co-seismic gravitational gradient changes clearly delineate the fault line, locate significant slips, better define the extent of the co-seismic deformation and reveal refined mass redistribution features caused by the earthquake (see Wang *et al.* 2012).

5. CONCLUSIONS

The method for correction of hydrological and oceanic effects from GRACE (the Gravity Recovery and Climate Experiments) data is presented in order to better see the gravity field changes of Sumatra earthquake occurred on 26

December 2004. The hydrological effects in lands are determined by GLDAS (Global Land Data Assimilation System) model which considers the overall influences of soil moisture, precipitation, snow supply, surface temperature, evaporation, and sensible heat flux, on the total water variation in lands. In the oceans, we used the satellite altimetry data in conjunction with WOA (World Ocean Atlas) model. The WOA model produces temperature and salinity with which one could derive the water layer density using international equation state water. This density can be converted to water layer thickness known as steric sea level. By subtracting steric sea level from sea level anomaly obtained from satellite altimetry data, the oceanic effects in oceans are determined. Adding these two sources of variations, the total hydrological and oceanic signals in lands and oceans are determined in terms of equivalent water layer thickness and converted to gravity changes using Love number and represented in terms of spherical harmonic coefficients. The maximum and minimum impacts of hydrological and oceanic effects on gravity changes are obtained to be of about 3 μGal in radial direction and $-5 \mu\text{Gal}$ in northwards direction, consistent to other studies. Also the maximum and minimum values of gravitational gradient changes are 0.2 and -0.25 mE , as observed in ΔV_{zz} component.

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