Water Storage Changes over the Tibetan Plateau Revealed by GRACE Mission

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A b s t r a c t

We use GRACE gravity data released by the Center for Space Research (CSR) and the Groupe de Recherches en Geodesie Spatiale (GRGS) to detect the water storage changes over the Tibetan Plateau (TP). A combined filter strategy is put forward to process CSR RL05 data to remove the effect of striping errors. After the correction for GRACE by GLDAS and ICE-5G, we find that TP has been overall experiencing the water storage increase during 2003–2012. During the same time, the glacier over the Himalayas was sharply retreating. Intermittent linear trends, CSR's results derived by the combined filter are close to GRGS RL03 with the Gaussian filter of 300-km window. The water storage increasing rates determined from CSR's RL05 products in the interior TP, Karakoram Mountain, Qaidam Basin, Hengduan Mountain, and middle Himalayas are 9.7, 6.2, 9.1, –18.6, and –20.2 mm/yr, respectively.

These rates from GRGS's RL03 products are 8.6, 5.8, 10.5, -19.3 and -21.4 mm/yr, respectively.

Key words: GRACE, Tibetan Plateau, water storage, filter method, equivalent water height.

1. INTRODUCTION

The elevation of the Tibetan Plateau (TP) known as the third pole of the world is greater than 4000 m. TP has been more and more important in the study of global change and geodynamics. Due to the high elevation, the glacial area of TP is about 160 km^2 (see Fig. 1). On the background of global warming, the glaciers over TP have been sharply shrinking since the 1990s (Yao *et al.* 2013, Matsuo and Heki 2010).

Due to the limitation of data availability, it is very difficult to directly quantify the mass changes (*e.g.*, glacier, groundwater, and surface water) over TP and the Himalayas (Kääb *et al.* 2012). The glacier area and mass variations detected by satellite imagery and satellite altimetry have great differences on TP. ICESat implied that the shrinkage of glacier in the Himalayas from 2003 to 2009 was 10–30 mm/yr (Gardner *et al.* 2013). The digital elevation model showed the volume loss rate of 22 and 45 cm/yr (1999–2008) in the east and west Himalayas, respectively (Gardelle *et al.* 2013). Gardelle *et al.* (2013) used earlier time series than the GRACE data used in our analysis. Comparing the mass loss in the Himalayas, GRACE mission indicated that the water mass over TP was increasing by 7 Gt/yr during

Fig. 1. Tibetan Plateau (from Google Earth): (a) Karakoram Mountain, (b) Qaidam Basin, (c) the middle TP, (d) Hengduan Mountain, and (e) the middle Himalayas are selected as black ellipsoids for further study.

2003-2010 (Jacob *et al.* 2012). ICESat also demonstrated that water levels of most lakes in the interior TP were rising (Song *et al.* 2013, Zhang *et al.* 2013). All the investigations proved that the mass changes over TP and surrounding area (*e.g.*, Himalayas) were spatially inhomogenous.

Since its successful launch, GRACE mission has been able to provide useful information of surface mass change in the large scale (Tapley *et al.* 2004). Because of different estimation methods and post-processing techniques, GRACE-derived mass changes varied (Guo *et al.* 2014, Ju *et al.* 2014, Mu *et al.* 2014), especially in regions with a weak geophysical signal. Taking the mascon approach, for example, a positive rate of 7 Gt/yr in TP was detected by the spectral domain inverse method from GRACE data (Jacob *et al.* 2012), while a positive rate of 30 Gt/yr was given by Yi and Sun (2014) with the space domain inverse method. This indicates that GRACE will deliver different estimates with different data processing strategies.

The main purpose of this paper is to investigate the water mass changes over TP by evaluating different GRACE solutions with different filter methods. Based on the time series of GRACE products (*i.e.*, we assumed that GRACE-derived signals were dominated by the linear and periodic components), a combined filter which integrates the statistical filter approach (Davis *et al.* 2008), the classical Gaussian filter (Wahr *et al.* 1998), and the decorrelation method (Swenson and Wahr 2006), is put forward to process monthly GRACE-derived Stokes coefficients estimated by the Center for Space Research (CSR). Monthly GRACE-derived geopotential coefficients provided by the Groupe de Recherches en Geodesie Spatiale (GRGS) were estimated by means of the truncated singular value decomposition (TSVD) technique which made the post-processing unnecessary (Save *et al.* 2012). To more accurately detect the water or glacier mass changes over TP, the post-glacial rebound (PGR) effect should be removed by the glacial isostatic adjustment (GIA). We also use the hydrological model to interpret GRACE-derived results.

2. DATA AND DATA PROCESSING

2.1 GRACE products of CSR

The newest GRACE monthly solution (level 2) from CSR is the RL05 model up to degree and order 60 (Bettadpur 2012). These geopotential data can be downloaded by <http://isdc.gfz-potsdam.de>. GRACE data through January 2003 to December 2012 are used in the paper. The missing data had been replaced by the mean value before and after the missing month with the linear interpolation.

We put forward a combined filter strategy to process CSR GRACE data, as seen in Fig. 2a. Firstly, the statistical filter approach (Davis *et al.* 2008),

Fig. 2. Data processing designed in this paper. (a) was the combined filter strategy in which SHC represents the time series of GRACE SHC, F test was used in the statistical filter approach to examine the significance level of annual and linear components in GRACE series, and No filter means that the SHC will be kept unchanged. All Gaussian filter radius used in this paper was 300 km; (b) is the flowchart of data processing.

i.e., F test, is used to examine the significance level of annual and linear components in GRACE spherical harmonic coefficients (SHCs). If the SHCs pass the test, it would be kept unchanged. Otherwise it would be filtered by the Gaussian filter (Wahr *et al.* 1998). Then we used the de-correlation method (Swenson and Wahr 2006) to remove the correlation errors in GRACE products. Filter methods make the big effect on GRACE-derived results (Werth *et al.* 2009). As a comparison, the DDK2 filter (Kusche 2007) is used to process CSR geopotential data again. After filtering, GRACE SHCs are converted into the equivalent water height (EWH) (Wahr *et al.* 1998) with the spatial resolution of $1^\circ \times 1^\circ$.

2.2 GRGS regularization solutions

Recently, GRACE RL03 regularization solutions up to degree and order 80 are released by GRGS, which are available at <http://grgs.obs-mip.fr/grace>. Differing from CSR, GRGS employed TSVD to estimate the GRACE SHCs. It is unnecessary to filter GRGS monthly solutions while used to determine the surface mass anomalies. Even though the TSVD technique may reduce the errors in GRACE SHCs during the process of K-band range rate, we also try to use the post-processing strategy, *i.e.*, the Gaussian filter, to unify the spatial resolution of mass changes. To be consistent with CSR data in the frequency domain, we also truncated the GRGS data to degree and order 60 and then estimated the EWHs over TP.

2.3 GLDAS model

To better interpret and understand GRACE-derived results, the soil moisture is calculated from GLDAS model (Rodell *et al.* 2004) to represent the surface water change. GLDAS contains the soil moisture within the underground to a depth of 2 m. The original GLDAS data used in this paper are monthly with the spatial resolution of $1^\circ \times 1^\circ$. In order to agree with GRACE data processing and its spatial resolution, we convert the spatial grids of GLDAS data into the spherical harmonic coefficients (Wahr *et al.* 1998), and then we use Gaussian filter with the window of 300 km to process the GLDAS coefficients. In the end, EWHs with grid of $1^\circ \times 1^\circ$ are estimated by the filtered GLDAS coefficients.

2.4 GIA model

GRACE products are largely affected by PGR, especially in Antarctica (Velicogna and Wahr 2006), North America and North Europe, which needs to be corrected by the glacial isostatic adjustment model. Here we used ICE-5G model (Peltier 2004) which can provide the global uplift rate to estimate the correction for GRACE-derived EWHs.

3. SPATIAL DISTRIBUTIONS OF GRACE-DERIVED EWHs

EWHs largely depended on the filter method and parameters from monthly GRACE SHCs (Werth *et al.* 2009). GRACE models released by different groups – like CSR, Jet Propulsion Laboratory, and GeoForschungsZentrum at Potsdam – will also lead to different results (Guo *et al.* 2014, Ju *et al.* 2014, Mu *et al.* 2014). So it is necessary to evaluate the different GRACE products and methods over TP. Figure 2 shows the flowchart of data processing to estimate water storages over TP. Figure 3 gives the spatial distributions of linear trends over TP determined by: (a) CSR combined filter, (b) CSR DDK2 filter (Kusche 2007), (c) GRGS without filter, and (d) GRGS with the Gaussian filter of 300-km window from 2003 to 2012, respectively. Since GRACE is particularly sensitive to PGR, we remove this effect by ICE-5G model (Peltier 2004). Due to the complicated geodynamics of TP, we have to consider the uplift of TP. Unfortunately, the uplift of TP is not well determined homogeneously. Sun *et al.* (2009) showed that the uplift of whole TP was 1.2 mm/yr. We use this result to evaluate the effect of TP uplift on GRACE products.

From Fig. 3, we can apparently see that the water mass in TP (especially in the middle and north region) was increasing, and in the Himalayas and the North India it was decreasing from 2003 to 2012, which is consistent with Rodell *et al.* (2009). In the north TP, an increasing rate of about 5 mm/yr is revealed by both the combined filter and DDK2 filter from CSR SHCs.

Fig. 3. Linear trends of EWHs derived from GRACE SHCs by: (a) CSR combined filter with window of 300 km, (b) CSR DDK2 filter, (c) GRGS regularization solutions truncated to degree 60 without any filter, and (d) GRGS solutions truncated to degree 60 with the Gaussian filter of 300-km window. The effect of PGR had been removed by using ICE-5G model, and the TP uplift of 1.2 mm/yr had been taken into consideration in the figure.

GRGS regularization solution gives more detailed mass change in the middle TP and the Qaidam Basin where the rate is greater than 10 mm/yr, as seen in Fig. 3.

It is clear that different filter methods will lead to different results and this is also true for different GRACE SHCs. GRGS processes its own K-band range rates to generate GARCE models instead of using those provided by the GRACE Project (Swenson and Wahr 2011). CSR RL05 data were estimated by the least squares technique whereas GRGS RL03 data were computed using TSVD which made the post-processing (*i.e.*, the filter method) unnecessary (the constraints are mainly made for the high degree; >30).

We considered the effect of uplift (about 1.2 mm/yr based on Sun *et al.* (2009)) in TP and Himalayas. This uplift may be inappropriate because Sun *et al.* (2009) only used observation data from three stations. In some studies, the effect of uplift was excluded due to the mass loss beneath TP. It is prob-

Fig. 4. Linear trends of EWHs derived from GRACE SHCs without the uplift effect: (a) CSR combined filter with window of 300 km, (b) CSR DDK2 filter, (c) GRGS regularization solutions truncated to degree 60 without any filter, and (d) GRGS solutions truncated to degree 60 with the Gaussian filter of 300-km window. The effect of PGR had been removed by using ICE-5G model, and no TP uplift had been taken into consideration in the figure.

able that the large scale tectonic uplift would be compensated by the mass loss which consequently makes no significant contributions to GRACE results (Jacob *et al.* 2012). The uplift of 1.2 mm/yr is roughly equivalent to EWHs of 3–4 mm/yr (Wahr *et al.* 2000). Figure 4 shows the EWH change trends without the uplift effect. In the north TP, the mass increasing rate from CSR with the combined filter is more than 10 mm/yr. In the middle TP and Qaidam Basin, this rate determined by GRGS (Fig. 4c, no filter applied) is about 20 mm/yr. It is apparent that the mass change rate from GRGS became smaller through the Gaussian filtering shown in Fig. 4d. The reason we applied the Gaussian filter to GRGS SHCs is that we want to determine the detailed signal comes from high degree or low degree. Figure 4d indicates that the concentration of mass rate in Fig. 4c mainly comes from low degree.

GRACE mission can measure the total water storage changes, including soil moisture, groundwater, surface water, ice/snow, and glacier. It is necessary to interpret the geophysical mechanism of water storage increase over

Fig. 5. Effects of PGR and soil moisture: (a) correction for GRACE by ICE-5G model, and (b) soil moisture rate from GLDAS model with the Gaussian filter of 300-km window.

TP. Since PGR may have the positive effect on GRACE-derived EWHs, we use ICE-5G model to evaluate it. In TP, PGR contributes about 2.2–2.3 mm/yr (Fig. 5a) to GRACE-derived results and this value has been corrected. Due to the limitation of data availability, we only computed the surface water using GLDAS model (Fig. 5b).

We used the 300-km Gaussian filter to process GLDAS model (see Fig. 2) to agree with the GRACE resolution. In the north TP, GLDAS had an increasing rate with 4–6 mm/yr, which was consistent with GRACE products. We can conclude that water increase in the north TP may be caused by the surface water. Of course, this conclusion is not rigorous since water changes are very complicated.

4. REGIONAL WATER STORAGE CHANGES

EWHs of five regions selected in TP and surrounding area (see Fig. 1) will be analyzed in detail. Five series of EWHs are shown in Fig. 6 where CSR means the results derived by the combined filter and GRGS results are filtered by the Gaussian smoothing with the window of 300 km. Table 1 gives the linear and period changes determined by the least square fitting.

Region ‘a’ is located in the Karakoram Mountain where the mass increase rate is about 6 mm/yr and the rate difference between CSR and GRGS results is very small (see Table 1). Comparing to the mass loss in the Pamir Plateau, the mass increase in the Karakoram is called the Karakoram anomaly which is mainly caused by the glacier accumulation. Water storages over the Qaidam Basin (region ‘b’) and the interior TP (region ‘c’) are all increasing with the rates of 9.1 and 9.7 mm/yr, respectively, from the CSR results with the combined filter, see Table 1.

Fig. 6. EWHs in five selected regions: (a) Karakoram, (b) Qaidam, (c) the middle TP, (d) Hengduan, and (e) the middle of Himalayas. Here CSR means the combined filter results and GRGS were filtered by the Gaussian filter with window of 300 km. The data processing same as in Fig. 5.

Table 1

Least squares spectral analysis of water storages in five selected regions:

a – Karakoram, b – Qaidam, c – the middle TP, d – Hengduan,
and e – the middle of Himalayas (see Fig. 1)

Region	Data	Rate [mm/yr]	AA [mm]	AP [month]	SA [mm]	SP [month]
a	CSR	6.2	17	-1.2	4	0.2
	GRGS	5.8	19	-1.6	5	0.3
b	CSR	9.1	18	-1.9	6	0.4
	GRGS	10.5	16	-1.8	5	-0.1
c	CSR	9.7	16	-2.9	5	-0.3
	GRGS	8.6	16	-2.7	12	-1.7
d	CSR	-18.6	102	-2.5	9	-1.6
	GRGS	-19.3	95	-2.3	20	-2.1
e	CSR	-20.2	143	-2.9	31	-0.6
	GRGS	-21.4	146	-3.1	30	-0.8

Explanations: AA stands for the annual amplitude, AP is the annual phase, SA is the semi-annual amplitude, SP is the semi-annual phase; CSR was filtered by the combined filter and GRGS was processed by the 300-km Gaussian filter.

From Fig. 6 and Table 1, we can find that the linear trends of EWH over regions 'a', 'b', and 'c' are very significant and the annual amplitudes are relatively small. AAs over regions 'a', 'b', and 'c' are less than 20 mm. Region 'b' is located in the Qaidam Basin and region 'c' is at the middle TP where there are lots of lakes. Considering the geographical environments of regions 'b' and 'c', we can infer that the water storage increase in regions 'b' and 'c' may be mainly caused by the surface water (soil moisture, lake water, and runoff) instead of the glacier, which is consistent with Song *et al.* (2013).

The annual variations and the linear trends of EWHs in regions 'd' (Hengduan Mountain) and 'e' (the middle Himalayas) are very obvious as shown in Fig. 6 and Table 1. AAs in regions 'd' and 'e' are greater than 90 and 140 mm, respectively, which indicates that the thaw and freezing of glacier are very strong. This may be related to the warming trend, especially in summer. Except for AA, the mass loss rates of regions 'd' (−18.6 and −19.3 mm/yr inferred from CSR and GRGS, respectively) and 'e' (−20.2 and −21.4 mm/yr inferred from CSR and GRGS, respectively) are also triggered by the warming trends.

One point should be noted, namely, that the regional water storage changes with the strong geophysical signal, *i.e.*, EWH anomaly, detected by different GRACE products and methods may have little differences as compared to TP investigated in this paper. On one hand, the geophysical signal (*e.g.*, water mass changes which is our interests), uplift of TP, GIA, and mass loss beneath TP, are hard to be independently separated from GRACE products without other auxiliary information. On the other hand, the filter method for SHCs and the data processing during Level-1B may also contaminate the feeble signals.

5. DISCUSSION

As revealed in this paper and others (*e.g.*, Jacob *et al.* 2012 and Yi and Sun 2014), GRACE shows that the water mass over TP was increasing for the period 2003–2012. Other independent remote data also confirmed this conclusion. In the interior TP, the satellite altimetry technique demonstrated that the water levels of most lakes were gradually increasing (Song *et al.* 2013, Zhang *et al.* 2011). Satellite imageries also revealed that the number of lakes, especially glacial lakes, is increasing (Wang *et al.* 2013). This tells us that the positive signal in TP was not only from the soil moisture but also from lakes (Zhang *et al.* 2013). This leads to another interesting question: where does the lake water or the soil moisture comes from? The previous studies demonstrated that TP had been experiencing the warming trends since the 1980s (Rangwala *et al.* 2009). The surface air warming, moistening

and solar dimming would trigger the more convective precipitation, especially over the central TP (Yang *et al.* 2014). Of course, the relation of precipitation and water increase needs to be examined by using more data.

In theory, the filter method is equal to the regularization solution (Swenson and Wahr 2011). The difference between these two techniques is to constrain the SHC before or after deriving SHC (Save *et al.* 2012, Eshagh *et al.* 2013). But in practice, different choices of parameter and solution approach will generate different results (Werth *et al.* 2009), *e.g.*, the water storage change in TP, as shown in this paper. It is easy to understand that feeble signal is very sensitive to the filter parameter and method. The merit of our combined filter is that it can keep the linear and annual signal because of the usage of statistical method (Davis *et al.* 2008). Our estimates of water increase rate for TP (5.3 Gt/yr, based on our combination filter method) agree more with Jacob *et al.* (2012; 7Gt/yr) than Yi and Sun (2014; 30Gt/yr). Given the precipitation data and other remote sensing data, the increase rate of 30 Gt/yr is hard to interpret reasonably. One possible reason for the 30 Gt/yr is that the observation grids used in Yi and Sun (2014) might be inappropriate (one degree grids over the entire Asia may overestimate the ice mass change, see more details in Yi and Sun 2014).

In the Himalaya, different GRACE products and methods agree generally better than in other regions (Fig. 4). But the EWHs estimated by GRGS are bigger than CSR (no matter filtered by combined filter or DDK filter). One possible explanation is that CSR data might have been over-smoothed. A simple test is to use the lower smooth radius (*e.g.*, 150 km Gaussian filter) to process CSR data (Jacob *et al.* 2012). If this procedure is introduced, an extra examination or comparison (with other independent data) must be done to make sure that the result is reliable.

6. CONCLUSIONS

GRACE data have revealed that the water storage over TP was increasing during 2003–2012. The combined filter strategy presented in this paper is more practical than the traditional Gaussian filter because the trend signal would be less attenuated. Compared with the mass loss of 30 Gt/yr in TP given by Yi and Sun (2014), our result of loss rate 5.3 Gt/yr was close to 7 Gt/yr (Jacob *et al.* 2012).

Different GRACE products and filter methods will lead to different results when the regional signal is relatively small, especially in TP, as shown in this paper. Compared with CSR data, GRGS regularization solutions give more detailed changes over TP (two centered mass changes in interior of TP) and the post-processing strategy is not necessary for GRGS. The geodynamics over TP is very complicated. The uplift of TP, effect of PGR, and mass

loss beneath the TP will amplify the uncertainties of GRACE results. One potential technique for better understanding the glacier variations over TP is the reconciled estimate method (Gardner *et al.* 2013), which of course depends on the data availability.

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