# REVIEW

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# Findings from two large randomized controlled trials on renal replacement therapy in acute kidney injury

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# Abstract

Many issues regarding the management of renal replacement therapy (RRT) in acute kidney injury (AKI) including timing of initiation, selection of RRT modality, and optimal dosing remain to be resolved. Two major randomized controlled trials, the Veterans Affairs/National Institutes of Health (VA/NIH) Acute Renal Failure Trial Network (ATN) and the Randomized Evaluation of Normal versus Augmented Level (RENAL) studies, which were elaborately designed to evaluate the benefit for delivering RRT of higher intensity in critically ill patients, were characterized by their sophisticated design, large sample sizes, and comprehensive data collection. Although no beneficial effect of higher intensity RRT was demonstrated by these studies, many ancillary studies conducted subanalyses which provided additional findings on other important clinical questions that remained unsolved. This review aims to overview the useful information obtained by the VA/NIH ATN and the RENAL studies to gain useful insights for optimal RRT in AKI.

**Keywords:** Acute kidney injury, Renal replacement therapy, Subanalysis, The Veterans Affairs/National Institutes of Health (VA/NIH), Acute Renal Failure Trial Network (ATN) study, The Randomized Evaluation of Normal versus Augmented Level (RENAL) study

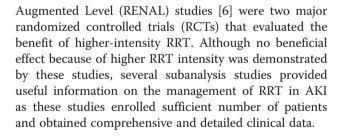
# Background

The incidence of acute kidney injury (AKI) in the intensive care unit (ICU) is unacceptably high, affecting approximately two thirds of the patients [1, 2]. Among those with severe AKI, 50–70 % eventually receive renal replacement therapy (RRT) [3]. Furthermore, AKI in the ICU is associated with higher mortality, particularly in patients receiving RRT with 50–60 % mortality [3]. Even after surviving hospitalization with AKI, dialysis dependence rate in these patients following discharge is between 5 and 20 % [3, 4]. In addition to these poor outcomes, many fundamental issues regarding the management of RRT in AKI need to be resolved to improve its prognosis.

The Veterans Affairs/National Institutes of Health (VA/NIH) Acute Renal Failure Trial Network (ATN) [5] and the Randomized Evaluation of Normal versus

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# Review

# Unanswered questions in RRT for treatment of AKI

The Kidney Disease: Improving Global Outcomes (KDIGO) Clinical Practice Guideline for Acute Kidney Injury [7] contains a list of the unanswered questions regarding RRT management for the treatment of AKI with research recommendations in Section 5 (Table 1). In addition to these issues, several topics including mortality prediction, optimal drug prescription and nutritional support, and long-term outcomes, such as renal recovery and quality of life, in dialysis-requiring AKI remain unresolved.



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Table 1 Top	ics of RRT in AKI	described in the	KDIGO guidelines

Timing of RRT in AKI Criteria for stopping RRT in AKI

Anticoagulation

Vascular access for RRT in AKI

Dialyzer membranes for RRT in AKI

Modality of RRT for patients with AKI

Buffer solutions for RRT in patients with AKI

Dose of RRT in AKI

*RRT* renal replacement therapy, *AKI* acute kidney injury, *KDIGO* Kidney Disease: Improving Global Outcome

Although no RCTs have been conducted to directly address these questions, the subanalysis of large clinical trials may provide useful information (Table 2).

# Dose of RRT in AKI

Dose of RRT in AKI has been investigated by two major RCTs, the VA/NIH ATN and the RENAL studies.

 Table 2
 Subanalysis of the VA/NIH ATN and the RENAL studies

KDIGO guideline recommends delivering a Kt/V of 3.9 per week for intermittent RRT or an effluent volume of 20-25 ml/kg/h for continuous renal replacement therapy (CRRT) in AKI based on the findings of these two trials. The VA/NIH ATN study was a multicenter, prospective, randomized, parallel group trial of two strategies for RRT in critically ill patients with AKI conducted at 27 VA and university-affiliated medical centers; 1124 critically ill patients with AKI and failure of at least one non-renal organ or sepsis were randomly assigned to receive either intensive or less intensive RRT. The primary endpoint was death from any cause by day 60. In the group receiving the intensive/less intensive therapy strategy, intermittent hemodialysis (IHD) and sustained low-efficiency dialysis (SLED) were provided three to six times per week, and continuous hemodiafiltration was prescribed to provide a total effluent flow rate of 35 or 20 ml/kg/h. The results showed no significant differences in the rate of death from any cause by day 60, the duration of RRT, the rate of recovery of

Topics		Author (year)	Ref	Main result
Dose VA/NIH ATN	Palevsky (2008)	[5]	No difference in 60-day mortality between the intensive and less intensive groups	
	RENAL	Bellomo (2009)	[6]	No difference in 90-day mortality between the higher and lower intensity groups
	RENAL	Bellomo (2013)	[8]	No difference in base excess correction between the higher and lower intensity groups. The higher intensity group had a greater increase in MAP and a decrease in norepinephrine dose.
	VA/NIH ATN	Overberger (2007)	[9]	Intermittent hemodialysis and CRRT were the most commonly used RRT modalities in the USA. Most practitioners neither dosed CRRT based on patient weight nor routinely assessed the delivered dosage of hemodialysis.
Timing	RENAL	Jun (2014)	[16]	Earlier commencement of continuous RRT relative to RIFLE-I AKI onset was not significantly associated with improved mortality.
Risk prediction	VA/NIH ATN	Demirjian (2011)	[20]	21 independent predictors of 60-day mortality were identified. The new risk model outperformed existing generic and disease-specific scoring systems in predicting 60-day mortality.
Drug	RENAL	Wang (2014)	[23]	The use of ACE-I during the study was not common and, after adjustment for time-dependent covariates, was not significantly associated with reductions in mortality
	RENAL	Roberts (2015)	[25]	CRRT dose did not influence overall (systemic) antibiotic clearance, volume of distribution, or half-life. The proportion of systemic clearance due to CRRT varied wide
Nutrition	RENAL	Bellomo (2014)	[36]	Mean DPI was markedly below current recommendations. On multivariate analysis, a lower DPI was not associated with increased 90-day mortality.
	RENAL	Bellomo (2014)	[32]	Mean DCI during treatment in ICU was low. On multivariable analysis, DCI was not associated with a significant difference in 90-day mortality.
Renal recovery	VA/NIH ATN	Srisawat (2011)	[41]	For predicting renal recovery, decreasing urine biomarkers in the first 14 days was associated with greater odds of renal recovery.
Quality of life	VA/NIH ATN	Johansen (2010)	[43]	Health utility was low in this cohort of patients after AKI, and intensity of dialysis did not affect subsequent health utility.
	VA/NIH ATN	Joyce (2012)	[44]	HRQOL was an independent predictor of mortality among survivors of AKI after adjusting for clinical risk variables.
	RENAL	Wang (2015)	[45]	Survivors of severe AKI in the study had lower physical and mental components of HRQOL compared with the general population, even after adjustment for reduced renal function.

ACE-I angiotensin-converting enzyme inhibitor, AKI acute renal failure, ATN Acute Renal Failure Trial, CRRT continuous renal replacement therapy, DCI delivery of caloric intake, DPI daily protein intake, HRQOL Health-related quality of life, MAP mean arterial pressure, ICU intensive care unit, RENAL Randomized Evaluation of Normal versus Augmented Level, RIFLE-I Risk, Injury, Failure, Loss, End-stage kidney disease (injury), VA/NIH The Veterans Affairs/National Institutes of Health

kidney function, or non-renal organ failure between the two groups [5].

The RENAL study was a prospective, randomized, parallel group trial designed to assess CRRT at two levels of intensity in critically ill patients with AKI. Eligible 1508 patients were randomly assigned to two groups based on delivery of CRRT with an effluent flow of 40 ml/kg/h (higher intensity) or 25 ml/kg/h (lower intensity). At 90 days after randomization, 322 deaths occurred in the higher intensity group, whereas 332 deaths were recorded in the lower intensity group; the difference between the two groups was not statistically significant [6].

Although these two RCTs could not prove the benefit of intensive RRT in terms of mortality and renal recovery, other non-primary outcomes including the need for vasopressor drugs, time on mechanical ventilation, or length of ICU and hospital stay were also evaluated. Bellomo and colleagues conducted a nested cohort study of 115 patients from two tertiary ICUs within the RENAL study to compare key aspects of acidosis, mean arterial pressure (MAP), and vasopressor therapy in patients treated with two different CRRT doses. They showed that lower and higher intensity CRRT had similar acid-base effects in patients with acidosis. However, higher intensity CRRT was associated with greater improvements in MAP and vasopressor requirements [8]. A potential mechanism could be a more efficient removal of biologic mediators responsible for hypotension and/or vasodilatation by higher intensity CRRT, which should be validated by future studies.

Most trials studying CRRT dose used the amount of effluent volume normalized by the patient weight. Overberger and colleagues pointed out that only 17.9 % of practitioners reported dosing CRRT according to patient weight and that 78.9 % of practitioners did not routinely assess the delivered hemodialysis dose based on the survey results from study sites of the VA/NIH ATN [9]. Due to daily changes in patient weight in the ICU, CRRT dose could not guaranteed to be equally prescribed throughout the study period when the body weight before the onset of acute illness (VA/NIH ATN study) or at the time of randomization (RENAL study) was used for prescription. Differences between the prescribed and delivered CRRT doses may have had some impact on the outcomes of both clinical trials. Claure-Del Granado and colleagues reported that prescribed clearance overestimated the actual delivered clearance by 23.8 % in 52 AKI patients treated by continuous hemodiafiltration [10]. Future trials need to more clearly determine the dosing parameters and recognize the frequent discrepancy between the prescribed and delivered doses of RRT.

### Timing of RRT in AKI

The time to start RRT is one of the top research priorities and a fundamental clinical question facing nephrologists and intensivists in most cases of severe AKI [11]. While there is still no agreement on the timing of RRT initiation, it is widely accepted that patients with severe hyperkalemia, severe acidosis, diuretic-resistant pulmonary edema, and uremic complications should be dialyzed immediately. Clinicians tend to delay RRT when they suspect that patients may recover on their own and due to concern of risks associated with RRT, including hypotension, arrhythmia, membrane bio-incompatibility, and complications from vascular access and anticoagulant administration. The KDIGO guideline recommended determining reproducible criteria to inform decision to start RRT in AKI patients by future research [7].

There are several observational study results showing positive results with early RRT commencement. One prospective, multicenter, observational cohort study analyzed dialysis initiation in 243 AKI patients. Initiation of RRT at higher blood urea nitrogen (BUN, >76 mg/dl) was associated with an increased risk of death (relative risk (RR), 1.85; 95 % confidence interval (CI), 1.16-2.96) after adjustment for clinical background and severity of illness [12]. In another prospective, multicenter observational study with a bigger sample size of 1238 ICU patients with severe AKI, investigators assessed the relationship between patient outcomes and the time to start RRT relative to ICU admission. After adjustment, late RRT (defined by initiation 5 days after ICU admission) was associated with an increase in the odds of death (odds ratio (OR), 2.20; 95 % CI, 1.44–3.37) [13].

A meta-analysis which included data from four RCTs, 1 quasi-RCT, and 18 observational studies suggested a potential advantage of early CRRT commencement, reporting a non-significant point estimate of 36 % mortality risk reduction (RR, 0.61; 95 % CI, 0.40-1.05) from RCT data [14]. The RCT with the highest quality score in this meta-analysis randomized 106 critically ill patients with AKI to early (n = 70) vs. late CRRT initiation (n = 36). The early initiation group started RRT within 12 h of oliguria (30 ml/h for 6 h, not responding to diuretics or hemodynamic optimization) or creatinine clearance of <20 ml/min. The late-initiation group started RRT when classic indications were met. The study did not find differences in ICU or hospital mortality or in renal recovery among survivors; however, their sample size might be too small to allow for definitive conclusions [15].

Jun and colleagues conducted a nested observational cohort study using data from the RENAL study [16]. Earlier commencement of CRRT relative to Risk, Injury, Failure, Loss, End-stage kidney disease-injury (RIFLE-I) AKI onset was not significantly associated with improved mortality; however, non-significant graded increases in the risk of death with progressively delayed CRRT were observed. The study also found a significant association of mortality with BUN levels at the commencement of RRT. The results of these previous clinical studies raise questions regarding the standard criteria used to evaluate early versus late RRT commencement. The ideal criteria might not be BUN, time from ICU admission, or AKI onset used in previous observational studies investigating CRRT efficacy. Additional future studies with larger sample sizes and randomization using elaborately designed criteria, potentially with new biomarker levels or systemic severity scores, will address these potential variables.

## Risk prediction in AKI

As widely recognized, the short-term prognosis of AKI patients in the ICU is very poor. Risk assessment and mortality prediction are important for developing new therapeutic interventions against AKI. The application of systemic severity scores for AKI patients has been problematic due to poor discrimination and suboptimal calibration [17, 18]. Chertow and colleagues developed models with the data from the Program to Improve Care in Acute Renal Disease (PICARD) cohort, a registry of critically ill patients with acute renal failure in the ICUs of five academic medical centers in the United States over a 31-month period (n = 618) [18]. They demonstrated marginally superior performance characteristics compared with other prediction models of Sepsis-related Organ Failure Assessment (SOFA) or Cleveland Clinic Foundation (CCF) scores, which reportedly could predict the survival of patients with renal failure [19]. The SOFA score was the best performer among all generic severity scores, whereas the CCF score was the best performer among all disease-specific severity scores [18].

Demirjian and colleagues analyzed the data from 1122 subjects enrolled in the VA/NIH ATN study to develop an AKI-specific predictive model for a 60-day mortality. The logistic regression model exhibited good discrimination, with an area under the receiver operating characteristics curve (AUC-ROC) of 0.85 (95 % CI, 0.83–0.88), and a derived integer risk score yielded an AUC-ROC of 0.80 (95 % CI, 0.77–0.83) [20]. The model can be used for risk evaluation and to potentially stratify prospective subjects in clinical AKI trials after further validation of the model in other cohorts.

# Optimal drug prescription in AKI

Although the renoprotective effect of angiotensinconverting enzyme inhibitors (ACE-I) or angiotensin receptor blockers (ARB) in chronic kidney disease (CKD) is well recognized for reducing proteinuria and slowing progression of renal dysfunction, the role of ACE-I or ARB in AKI remains uncertain. Theoretically, these drugs reduce intraglomerular pressure and glomerular filtration rate (GFR) and may worsen AKI severity by increasing serum creatinine level. In the setting of cardiac surgery, Benedetto and colleagues showed that preoperative ACE-I use until the day of on-pump coronary artery bypass grafting reduced the incidence of postoperative AKI [21]. However, Arora and colleagues reported preoperative use of ACE-I or ARB were independently associated with a higher risk of postoperative AKI [22]. Wang and colleagues examined the effect of ACE-I prescription on clinical outcomes in AKI by analyzing the RENAL study data. The use of ACE-I during the study was not common (9.7 %) and was not significantly associated with a reduction in mortality after adjusting for time-dependent covariates [23]. Further evaluation is required to optimize renin-angiotensin system blockade treatment in AKI.

Another important consideration in the setting of AKI requiring RRT is antibiotic use, as dose adjustment based on renal dysfunction and dialysis intensity is required. Fundamental aspects of pharmacokinetics including clearance (CL) and volume of distribution (Vd) are drastically changed in critically ill patients with AKI due to loss of renal clearance, volume expansion, and interventions such as vasopressors and RRT. As CRRTassociated variables, effluent flow (dialysate flow, ultrafiltration rate), membrane fouling, and filter clotting can influence extracorporeal CL during CRRT. So far, data on antibiotic pharmacokinetics during CRRT are limited [24]. Roberts and colleagues studied 24 patients in the RENAL study to assess the effect of CRRT on extracorporeal and systemic antibiotic CL and Vd. They showed that there was great variability in multiple antibiotic pharmacokinetics, with greater variation observed in systemic CL than in Vd. These changes were not affected significantly by CRRT dose, suggesting the need for individual therapeutic drug monitoring regardless of CRRT dose [25].

# Nutritional support in AKI

Achieving an adequate daily calorie intake (DCI) is considered beneficial in critically ill patients, especially in those complicated with AKI [26]. In an RCT that compared energy provision of 30 and 40 kcal/kg/day for AKI patients, the higher energy prescription did not induce a more positive nitrogen balance but was associated with a higher incidence of hyperglycemia and hypertriglyceridemia and a more positive fluid balance [27]. The KDIGO and the European Society for Clinical Nutrition and Metabolism (ESPEN) recommend achieving a total energy intake of 20-30 kcal/kg/day in patients with any stage of AKI [28]. Conversely, caloric restriction may be beneficial for critically ill patients with respiratory failure because of low CO2 production. However, two RCTs involving patients with acute lung injury or acute respiratory failure evaluated minimal or trophic enteral feeding (15-25 % of estimated caloric requirements)with no protein supplementation for up to 6 days and demonstrated that their outcomes were similar to those with standard enteral feeding [29, 30]. A systematic review that evaluated eight RCTs concluded that there was insufficient evidence to support the effectiveness of nutritional support for AKI [31]. Especially in severe AKI requiring CRRT, there is very limited data on current practices or on the association of energy intake with the outcomes.

Bellomo and colleagues described calorie administration in patients enrolled in the RENAL study and evaluated the association of DCI with clinical outcomes. In the RENAL study, mean DCI appeared to be low; the mean DCI during treatment in the ICU was low at only  $10.9 \pm 9$  kcal/kg/ day for non-survivors and  $11 \pm 9$  kcal/kg/day for survivors. Within the limits of such low caloric intake, greater DCI was not associated with improved clinical outcomes [32]. Higher level evidence is needed to better define the optimal DCI target in AKI patients.

Another issue regarding nutrition in AKI patients is the amount of protein intake. Delivering an adequate daily protein intake (DPI) is considered beneficial in critically ill patients in general and in patients with AKI specifically. The American Society for Parenteral and Enteral Nutrition (ASPEN) Guidelines recommend administering at least 1.0 g/kg/day to patients with AKI requiring RRT with a maximum of 1.7–2.5 g/kg/day [33]. Two prospective trials suggested a protein intake of 2.5 g/kg/day was necessary to achieve positive nitrogen balance in patients with AKI requiring RRT [34, 35]. However, no outcome data are currently available concerning the clinical efficacy and safety of such high protein intake. In addition, most studies on protein intake in AKI conducted so far were small, single-center trials and evaluated only the nitrogen balance.

Bellomo and colleagues conducted a secondary analysis of the RENAL study findings focusing on the relationship between DPI and clinical outcomes. Patients in the RENAL study received a low DPI (0.5 g/kg/day), markedly below current recommendations. However, a low DPI was not independently associated with a decreased risk of death at 90 days or an increase in mechanical ventilation, RRT, ICU, or hospital-free days [36]. Thus, as with DCI, data is not clear on the optimal DPI target in AKI patients. Further RCTs are needed to determine the optimal DCI and DPI targets according to the stage of AKI and RRT requirement.

# Long-term outcomes in AKI: renal recovery and quality of life

The long-term outcomes and renal recovery of AKI are important issues; however, they are not as wellcharacterized as short-term prognoses. Despite significant progress in the epidemiology of AKI, forecasting long-term outcomes and renal recovery in each AKI patient continues to be a challenge. Three RCTs comparing the efficacy of CRRT versus intermittent hemodialysis found that Acute Physiology, Age, Chronic Health Evaluation (APACHE) III score of >100 [37], low urine output, decrease in MAP after initiation of dialysis [38], and pre-existing renal impairment [39] were associated with poor renal recovery. Uchino and colleagues reported that baseline creatinine and urine output values at the time of RRT discontinuation were the strongest predictors of renal recovery based on the post hoc analysis of the Beginning and Ending Supportive Therapy for the Kidney (BEST Kidney) study [40], a large international epidemiological survey of acute renal failure in ICU patients [3].

Srisawat and colleagues conducted an ancillary study to the VA/NIH ATN study to determine whether biomarkers could aid in clinical risk prediction for recovery after AKI. They showed that decreased urinary neutrophil gelatinase-associated lipocalin (uNGAL) and urinary hepatocyte growth factor (uHGF) within the first 14 days after starting RRT were associated with greater odds of renal recovery. The best predictive model combined relative changes in biomarkers with clinical variables and had an AUC-ROC of 0.94 [41]. In addition to conventional clinical parameters, more accurate methods to predict renal recovery including the development and utilization of new AKI biomarkers are warranted to minimize the clinical uncertainty and complexity of medical decisionmaking during AKI treatment.

Health-related quality of life (HRQOL) after AKI is an area of great importance to patients. Noble and colleagues showed that quality of life (QOL) scores assessed by the Short Form-36 were significantly lower for overall physical health as well as for seven of the eight domains in AKI survivors than in general population [42]. Johansen and colleagues examined whether study treatment assignment (intensity of dialysis) and ongoing dialysis dependence at 60 days were potential determinants of HRQOL in the VA/NIH ATN study cohort. Health utility index score was low  $(0.40 \pm 0.37)$  in this AKI survivor cohort, and the intensity of dialysis did not affect subsequent health utility whereas the length of hospital and ICU stay did [43]. Using the VA/NIH ATN study cohort, Joyce and colleagues conducted another study revealing that HRQOL measured by Health Utilities Index Mark 3 (HUI3) was an independent predictor of mortality among survivors of AKI after adjusting for clinical risk variables [44].

Wang and colleagues conducted an extension study within the RENAL study cohort by following up AKI survivors to evaluate the impact of AKI on long-term HRQOL (3.5 years after the RENAL study randomization). Unadjusted analyses showed that AKI patients had lower physical component scores (PCS; mean score, 40.0 vs. 49.8, P < 0.0001) and lower mental component scores (MCS; mean score, 49.8 vs. 53.9, P < 0.0001) than the general population. Advanced age, reduced renal function,

and albuminuria were all strongly associated with lower PCS values [45]. The HRQOL may provide additional information to identify patients at high risk of mortality after surviving AKI.

# Perspectives

Recent clinical guidelines consist of a list of clinical guestions and answers. Strong evidence supported by numerous RCTs and high-quality meta-analysis studies are necessary to confirm the recommendations in the guidelines. However, economic, human resources, and ethical limitations hamper ideal RCTs designed to answer all clinical questions. Alternatively, clinically useful information can be retrieved from additional subanalyses or ancillary studies that use data from existing RCTs. Although the strength of evidence derived from the subanalysis studies described in this review was not sufficient compared with the two original RCTs (VA/NIH ATN and RENAL), the reported information by these subanalyses provide meaningful insights that can be applied to the clinical practice. It should be noted that the two RCTs have comprehensive and well-organized databases with large sample sizes.

# Conclusions

This review summarizes additional findings related to RRT in AKI patients derived from the two landmark RCTs, the VA/NIH ATN and the RENAL studies. Although these two RCTs demonstrated no beneficial effect with higher intensity RRT, additional useful information from subanalyses of these RCTs can be obtained.

# Ethics approval and consent to participate

Not applicable.

# Consent for publication

Not applicable.

#### Abbreviations

ACE-I: angiotensin-converting enzyme inhibitor; AKI: acute kidney injury; APACHE: Acute Physiology, Age, Chronic Health Evaluation; ARB: angiotensin receptor blocker; ASPEN: American Society for Parenteral and Enteral Nutrition; ATN: Acute Renal Failure Trial Network; AUC-ROC: area under the curve receiver-operating characteristic; BEST Kidney: The Beginning and Ending Supportive Therapy for the Kidney; BUN: blood urea nitrogen; CCF: Cleveland Clinic Foundation; CI: confidence interval; CL: clearance; CRRT: continuous renal replacement therapy; DCI: delivery of caloric intake; DPI: daily protein intake; ESPEN: European Society for Clinical Nutrition and Metabolism; GFR: glomerular filtration rate; HRQOL: health-related quality of life; HUI3: Health Utilities Index Mark 3; ICU: intensive care unit; IHD: intermittent hemodialysis; KDIGO: Kidney Disease: Improving Global Outcome; MAP: mean arterial pressure; MCS: mental component scores; OR: odds ratio; PCS: physical component scores; PICARD: Program to Improve Care in Acute Renal Disease; RCT: randomized controlled trial; RENAL: Randomized Evaluation of Normal versus Augmented Level; RIFLE-I: Risk, Injury, Failure, Loss, End-stage kidney disease (injury); RR: relative risk; RRT: renal replacement therapy; SLED: sustained low efficiency dialysis; uHGF: urinary hepatocyte growth factor; uNGAL: urinary neutrophil gelatinase-associated lipocalin; VA/NIH: Veterans Affairs/National Institutes of Health; Vd: volume of distribution.

#### **Competing interests**

The authors declare that they have no competing interests.

#### Authors' contributions

TY and KD wrote this review. YK, RM, YM, KY, EN, MN, and NY participated in the editing of this article. All authors read and approved the final manuscript.

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