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# Reduced mitochondrial D-loop methylation levels in sporadic amyotrophic lateral sclerosis



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# **Abstract**

**Background:** Mitochondrial dysregulation and aberrant epigenetic mechanisms have been frequently reported in neurodegenerative diseases, including amyotrophic lateral sclerosis (ALS), and several researchers suggested that epigenetic dysregulation in mitochondrial DNA (mtDNA) could contribute to the neurodegenerative process. We recently screened families with mutations in the major ALS causative genes, namely *C9orf72*, *SOD1*, *FUS*, and *TARDBP*, observing reduced methylation levels of the mtDNA regulatory region (D-loop) only in peripheral lymphocytes of *SOD1* carriers. However, until now no studies investigated the potential role of mtDNA methylation impairment in the sporadic form of ALS, which accounts for the majority of disease cases. The aim of the current study was to investigate the D-loop methylation levels and the mtDNA copy number in sporadic ALS patients and compare them to those observed in healthy controls and in familial ALS patients. Pyrosequencing analysis of D-loop methylation levels and quantitative analysis of mtDNA copy number were performed in peripheral white blood cells from 36 sporadic ALS patients, 51 age- and sex-matched controls, and 27 familial ALS patients with germinal mutations in *SOD1* or *C9orf72* that represent the major familial ALS forms.

**Results:** In the total sample, D-loop methylation levels were significantly lower in ALS patients compared to controls, and a significant inverse correlation between D-loop methylation levels and the mtDNA copy number was observed. Stratification of ALS patients into different subtypes revealed that both *SOD1*-mutant and sporadic ALS patients showed lower D-loop methylation levels compared to controls, while *C9orf72*-ALS patients showed similar D-loop methylation levels than controls. In healthy controls, but not in ALS patients, D-loop methylation levels decreased with increasing age at sampling and were higher in males compared to females.

**Conclusions:** Present data reveal altered D-loop methylation levels in sporadic ALS and confirm previous evidence of an inverse correlation between D-loop methylation levels and the mtDNA copy number, as well as differences among the major familial ALS subtypes. Overall, present results suggest that D-loop methylation and mitochondrial replication are strictly related to each other and could represent compensatory mechanisms to counteract mitochondrial impairment in sporadic and *SOD1*-related ALS forms.

**Keywords:** Amyotrophic lateral sclerosis, Epigenetics, Mitoepigenetics, D-loop mitochondrial region, Mitochondrial DNA methylation, Mitochondrial DNA copy number, Sporadic ALS, *SOD1*, *C9orf72* 

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# **Background**

Amyotrophic lateral sclerosis (ALS) is the most common type of motor neuron disease, characterized by the progressive degeneration of both upper and lower motor neurons, which leads to muscle atrophy, gradual paralysis, and finally death, usually as a result of respiratory failure 5 years after disease onset [1]. The pathological processes that lead to neuronal death are not yet completely understood, but numerous mechanisms, including oxidative stress, mitochondrial dysfunction, impaired axonal transport, protein and RNA aggregation, excitotoxicity, and neuroinflammation, have been linked to ALS [2]. The only ALS drugs available are riluzole, which slows the progression of symptoms and increases life expectancy by only about 3 months, and edaravone, a potent antioxidant compound that has only recently been approved by the United States Food and Drug Administration (USFDA) for use in ALS patients that slightly reduces the disease progression in a subgroup of patients with specific features [3-5].

Approximately 10% of ALS cases follow a Mendelian, mostly autosomal dominant, inheritance pattern (familial ALS), with at least two diagnoses in the family lineage [6]. To date, more than 20 genes have been linked to familial ALS, including C9orf72, superoxide dismutase 1 (SOD1), TAR DNA-binding protein (TARDBP), and fused in sarcoma (FUS) that account for the majority of the cases, and representing 40%, 20%, 1-5%, and 1-5% of cases, respectively. However, the majority of ALS (more than 90%) is sporadic (sporadic ALS), of whom 10-15% carrying one or two of the previously described gene alterations, likely resulting from complex geneenvironment interactions not yet completely clarified [2]. Dozens of genes and many non-genetic factors, including advanced age, being male, infections, intense physical activity, body mass index, nutritional state, and exposure to environmental factors, such as organophosphates and heavy metals, have been linked to sporadic ALS risk [7, 8]. Epigenetic mechanisms, dysregulated either by environmental factors or by the presence of mutations in ALS-causative genes, are increasingly believed to contribute to ALS pathogenesis and progression [9]. Particularly, several studies revealed global and gene-specific epigenetic changes in ALS animal models and in tissues derived from both familial and sporadic ALS patients [10-15]. Most of these studies were focused on nuclear genes and on the epigenetic landscape of the nuclear genome, but recent evidence suggests that epigenetic modifications to the mitochondrial genome could also contribute to neurodegeneration [16].

In this regard, recent studies have highlighted the potential role of dysregulation in mitochondrial DNA (mtDNA) methylation and hydroxymethylation levels in the etiology of several human diseases, including

cardiovascular diseases, cancer, and neurodegenerative diseases [16-18]. The majority of these studies investigated DNA methylation levels of the mtDNA regulatory region (D-loop), which plays a fundamental role in regulating mtDNA replication and transcription, revealing that D-loop methylation levels were dynamically dysregulated with disease progression in animal models of Alzheimer's disease (AD) and were significantly different from those seen in healthy controls in human postmortem AD brains and in peripheral blood cells of living AD patients [19, 20], as well as in transgenic AD mice [21]. A significant reduction of D-loop methylation levels was also observed post-mortem in the substantia nigra of individuals with Parkinson's disease compared to healthy controls [19]. Regarding ALS, reduced D-loop methylation levels were detected in spinal cord and skeletal muscle cells of human-SOD1 transgenic ALS mice [22]. Furthermore, we recently investigated ALS families with SOD1, C9orf72, FUS, or TARDBP pathogenetic variants, observing that the methylation levels of the mitochondrial D-loop region were significantly decreased only in peripheral blood lymphocytes of carriers of ALS-linked SOD1 variants, either ALS patients or pre-symptomatic carriers. Moreover, D-loop methylation levels resulted inversely correlated with the mtDNA copy number, suggesting that among familial ALS cases, changes in mitochondrial epigenetics leading to increased mtDNA replication could be particularly relevant for those with an impaired antioxidant defense, due to the presence of SOD1 mutations [23]. However, nothing is still known concerning the mitochondrial epigenetics of sporadic ALS cases, which represent the vast majority of ALS patients.

To further address the potential involvement of mtDNA methylation in ALS pathogenesis, in the current study, we quantified the DNA methylation levels at the D-loop regulatory region and the mtDNA copy number in peripheral white blood cells from sporadic ALS patients and compared their levels with healthy controls and familial ALS patients.

# **Results**

In the present study, a total of 114 individuals, including 63 ALS patients and 51 age- and sex-matched healthy controls, were enrolled (Table 1). The ALS group comprises 14 patients with pathogenic variants in the *SOD1* gene, 13 patients with a pathogenic *C9orf72* repeat expansion, and 36 sporadic cases negative for the presence of pathogenic alterations in the major ALS genes, namely *SOD1*, *C9orf72*, *FUS*, and *TARDBP*. Bisulfite pyrosequencing was used to quantify DNA methylation in the mitochondrial D-loop region, and quantitative PCR (qPCR) was used to evaluate the mtDNA copy number.

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Table 1 Demographic characteristics of the study population

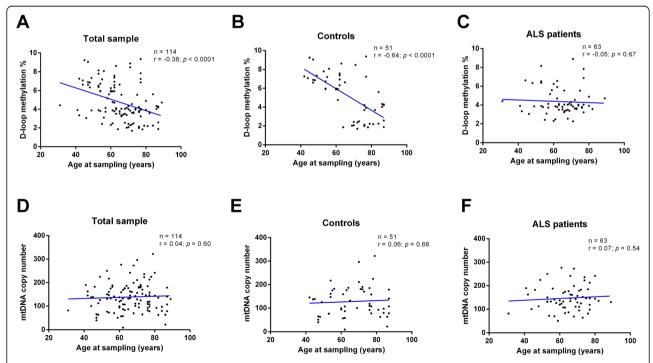
Main groups	ALS subtypes	Age at sampling	Sex (F/M)
Control subjects $(n = 51)$		67.0 ± 13.5	24/27
ALS patients ( $n = 63$ )	Sporadic ALS $(n = 36)$ SOD1-ALS $(n = 14)$ C9orf72-ALS $(n = 13)$	64.2 ± 11.1	30/33
p value		0.22 <sup>a</sup>	0.95 <sup>b</sup>

<sup>&</sup>lt;sup>a</sup>Student's t test

# Age at sampling and sex effect on D-loop methylation levels and on mtDNA copy number

Figure 1 shows the effect of age at sampling on D-loop methylation levels and on the mtDNA copy number. An inverse correlation between age at sampling and D-loop methylation levels (r = -0.38; p < 0.0001, 95% CI -0.52 to -0.21) was observed in the total sample (Fig. 1a). This correlation was driven by control subjects (r = -0.64; p < 0.0001, 95% CI -0.78 to -0.44; Fig. 1b), while no significant correlation between D-loop methylation levels and age at sampling was observed in ALS patients (r = -0.05; p = 0.67, 95% CI -0.29 to 0.19; Fig. 1c). No significant correlation between age at sampling and mtDNA copy number was detected (r = 0.04; p = 0.60, 95% CI -0.13 to 0.23) in the total sample (Fig. 1d), as well as in control subjects (r = 0.04; p = 0.60, 95% CI -0.21 to 0.33; Fig. 1e) and in ALS patients (r = 0.07; p = 0.54, 95% CI -0.17 to 0.31; Fig. 1f).

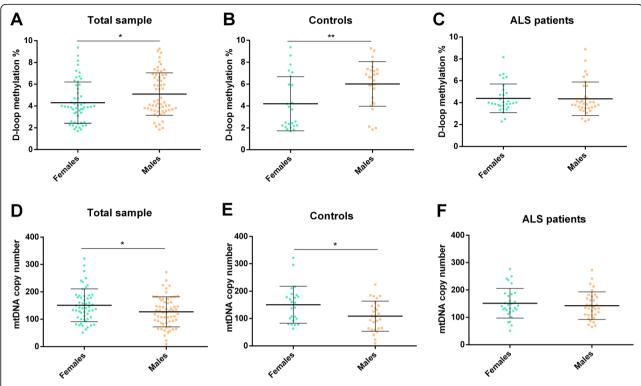
Figure 2 shows the effect of sex on D-loop methylation levels and on the mtDNA copy number. In the total sample (Fig. 2a), the D-loop methylation levels were higher in males (5.09  $\pm$  1.85%) compared to those in females (4.30  $\pm$  1.91%) in a statistically significant manner (p = 0.03, 95% CI -1.50 to -0.07). However, sample stratification into ALS patients and controls revealed that the sex effect was only seen in controls. Indeed, in control subjects (Fig. 2b), the D-loop methylation levels were higher in males compared to those in females (6.01 ± 2.23% vs.  $4.20 \pm 2.20\%$ ; p = 0.006, 95% CI - 3.07 to - 0.53), while in ALS patients (Fig. 2c), there was no statistical difference between males and females (4.35 ± 1.37% vs. 4.39 ± 1.42%; p = 0.91, 95% CI - 0.68 to - 0.76). Figure 2d shows that in the total sample, the mtDNA copy number was significantly higher in females compared to that in males  $(150.84 \pm 57.39 \text{ vs. } 127.22 \pm 57.38; p = 0.03, 95\% \text{ CI } 2.27$ 



**Fig. 1** Correlation between age at sampling and D-loop methylation levels in total sample  $(n = 114, \mathbf{a})$ , in control subjects  $(n = 51, \mathbf{b})$  and in ALS patients  $(n = 63, \mathbf{c})$ . Correlation between age at sampling and mtDNA copy number in total sample  $(n = 114, \mathbf{d})$ , in control subjects  $(n = 51, \mathbf{e})$ , and in ALS patients  $(n = 63, \mathbf{f})$ . The correlation between age at sampling and D-loop methylation levels was analyzed using Pearson's correlation coefficient

<sup>&</sup>lt;sup>b</sup>Fisher exact test

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**Fig. 2** Effect of sex on D-loop methylation levels in total sample (n = 114, **a**), in control subjects (n = 51, **b**), and in ALS patients (n = 63, **c**). Effect of sex on mtDNA copy number in total sample (n = 114, **d**), in control subjects (n = 51, **e**), and in ALS patients (n = 63, **f**). Statistical analysis was performed by means of ANCOVA, using age at sampling as covariate. Data are expressed as means  $\pm$  standard deviation (SD). \*p < 0.05; \*\*p < 0.05

to 44.96), but again the sex difference was driven by control subjects. Indeed, higher mtDNA copy number in females (150.10  $\pm$  61.38) compared to that in males (108.36  $\pm$  61.36) was observed in control subjects (p = 0.02, 95% CI 7.12 to 76.34; Fig. 2e), but not in ALS patients (Fig. 2f) in which, although the mtDNA copy number was slightly higher in females (151.44  $\pm$  52.36) than in males (142.65  $\pm$  52.33), the difference was not statistically significant (p = 0.50, 95% CI – 17.63 to 35.21).

# Correlation between D-loop methylation levels and the mtDNA copy number

A significant inverse correlation between D-loop methylation levels and mtDNA copy number was observed in the total sample (r=-0.34; p=0.0002, 95% CI -0.49 to -0.17) (Fig. 3a). This inverse correlation remained significant also in control subjects (r=-0.31; p=0.02, 95% CI -0.54 to -0.04) (Fig. 3b) and in the ALS patients (r=-0.35; p=0.004, 95% CI -0.55 to -0.11) (Fig. 3c).

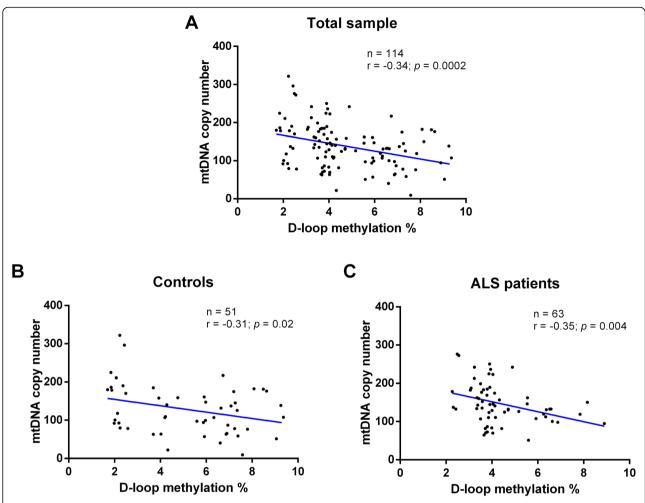
# D-loop methylation levels and mtDNA copy number in ALS patients and controls

Overall, we observed that D-loop methylation levels were significantly higher in control subjects than in ALS

patients (5.25  $\pm$  1.71% vs. 4.29  $\pm$  1.66%, p = 0.004, 95% CI 0.30 to 1.61) (Fig. 4a). The mtDNA copy number showed a trend to be higher in ALS patients compared to control subjects, although this was not statistically significant (147.04  $\pm$  57.22 vs. 127.75  $\pm$  57.27, p = 0.07, 95% CI - 40.75 to 2.16) (Fig. 4b).

We next investigated if D-loop methylation levels and mtDNA copy number varied in different ALS subtypes (Fig. 5). We observed that both SOD1 (2.98  $\pm$  1.60%) and sporadic ALS patients (4.22 ± 1.56%) had lower Dloop methylation levels compared to both control subjects (5.25  $\pm$  1.57%; p = 0.0001, 95% CI 0.94 to 3.57 and p = 0.02, 95% CI 0.09 to 1.95, respectively) and C9orf72 ALS patients (5.91  $\pm$  1.58%; p < 0.0001, 95% CI - 4.58 to -1.27 and p = 0.01, 95% CI 0.28 to 3.10, respectively). No significant difference was observed between healthy controls and C9orf72 ALS patients (p = 1.00, 95% CI – 2.02 to 0.68) (Fig. 5a). The mtDNA copy number showed the opposite direction of effect and was significantly higher in SOD1 ALS patients (175.02 ± 56.83) with respect to control subjects (127.75  $\pm$  56.27; p =0.04, 95% CI - 93.49 to - 1.04), while it showed a nonsignificant increase in sporadic ALS patients (145.33 ± 56.28; p = 0.91, -50.41 to 15.23) compared to controls, and was similar between controls and C9orf72 ALS

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**Fig. 3** Correlation between mtDNA copy number and D-loop region methylation levels in total sample (n = 114, **a**), in control subjects (n = 51, **b**), and in ALS patients (n = 63, **c**). The correlation between mtDNA copy number and D-loop methylation levels was analyzed using Pearson's correlation coefficient.

patients (121.66  $\pm$  56.85; p = 1.00, 95% CI -41.58 to 53.75) (Fig. 5b).

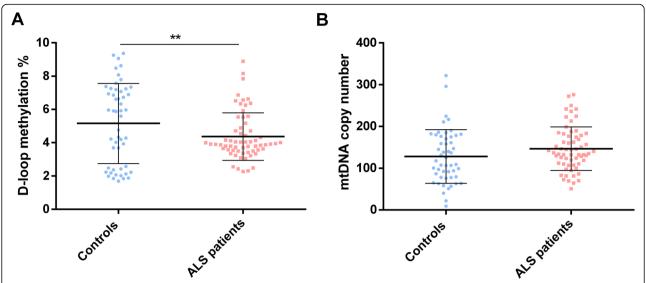
# Discussion

In the present study, we investigated D-loop methylation levels and mtDNA copy number in peripheral white blood cells from a group of 63 ALS patients, including 36 sporadic patients negative for the major ALS gene alterations and 27 familial cases with a germinal mutation in SOD1 or C9orf72 genes, and 51 healthy sex- and agematched controls. In the total sample, D-loop methylation levels were significantly lower in ALS patients compared to control subjects, and the mtDNA copy number showed a non-statistically significant tendency to be higher in the first group. Indeed, a significant inverse correlation between D-loop methylation levels and the mtDNA copy number was observed. We also observed that D-loop methylation levels decreased with increasing age at sampling and were higher in males compared to

females, while the mtDNA copy number was higher in females than in males; however, after stratification of the sample into ALS patients and controls, age and sex effects were evident in control subjects, but not in ALS patients. Stratification of the ALS samples into sporadic and familial cases revealed that both *SOD1*-mutant and sporadic ALS patients showed lower D-loop methylation levels compared to control subjects. By contrast, ALS *C9orf72*-expansion carriers showed similar D-loop methylation levels to healthy controls, and significantly higher than those observed in sporadic or *SOD1*-mutant ALS patients. The copy number of the mtDNA showed an opposite direction of effect to D-loop methylation levels, being significantly higher in *SOD1*-mutant ALS patients than in controls.

Accumulating evidence suggests impaired mtDNA methylation in patients with neurodegenerative diseases, and particularly in the regulatory D-loop region [19–23]. Indeed, altered D-loop methylation levels have been

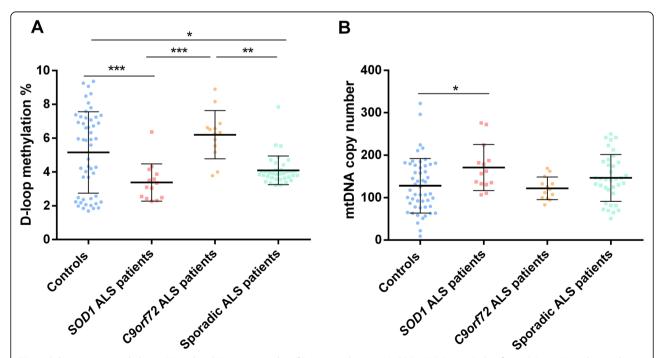
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**Fig. 4** D-loop region methylation levels (**a**) and mtDNA copy number (**b**) in controls (n = 51) and ALS patients (n = 63). Data are expressed as means  $\pm$  SD. Statistical analysis was performed by means of ANCOVA including age at sampling and sex as covariates. \*\*p < 0.01

observed in cortical regions of AD patients and transgenic AD mice [19, 21], in the *substantia nigra* of PD patients [19], and in the peripheral blood cells of sporadic AD patients [20]. Concerning ALS, altered methylation levels in the mitochondrial 16S rRNA gene and in the D-loop region were detected in the spinal cord and skeletal muscle of human-*SOD1* transgenic ALS mice,

and these changes were paralleled by a significant reduction of the mitochondrial DNA methyltransferase 3a (Dnmt3a) protein levels [22]. More recently, we investigated D-loop methylation levels in several ALS families with mutations in *SOD1*, *C9orf72*, *FUS*, or *TARDBP* genes and compared their levels among affected patients, pre-symptomatic carriers, and non-carrier family



**Fig. 5** D-loop region methylation (**a**) and mtDNA copy number (**b**) in controls (n = 51), SOD1-ALS (n = 14), C9orf72-ALS (n = 13), and sporadic ALS patients (n = 36). Data are expressed as means  $\pm$  SD. Statistical analysis was performed by means of ANCOVA, using age at sampling and sex as covariates. Only p values that survived correction for multiple comparisons are shown. \*p < 0.05; \*\*p < 0.01; \*\*\*p < 0.001

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members, observing that the methylation levels of the mitochondrial D-loop region were significantly decreased only in peripheral blood DNA of carriers of ALS-linked SOD1 mutations, either ALS patients or presymptomatic carriers, with respect to non-carriers of ALS-linked mutations; furthermore, an inverse correlation between D-loop methylation levels and the mtDNA copy number was observed [23]. The present study compared sporadic ALS patients, familial ALS patients, and unrelated healthy controls. We confirmed decreased D-loop methylation levels in SOD1-mutant ALS patients and revealed, for the first time, decreased mtDNA methylation in peripheral blood cells of sporadic ALS patients compared to age- and sex-matched control subjects. On the other hand, ALS C9orf72-expansion carriers showed similar D-loop methylation levels to healthy controls and significantly higher than those observed in sporadic or SOD1-mutant ALS patients. Some investigations demonstrated that different ALS-linked genes have diverse effects on mitochondrial dynamics and function [24, 25], and previous studies suggested that nuclear DNA methylation signatures too can differ among patients with a different ALS genetic etiology. Particularly, Ebbert and coworkers observed differential DNA methylation patterns in both the cerebellum and the frontal cortex of C9orf72-associated and sporadic ALS patients compared to those of control subjects [26]. In that study, it was also observed that, although some differentially methylated regions were shared between the two ALS patient sub-groups, the majority of the differentially methylated regions were unique to C9orf72-associated or sporadic ALS [26]. More recently, Tarr and coworkers (2019) analyzed the blood methylome and transcriptome of a longitudinal ALS-discordant cohort comprising monozygotic triplets and twins and representing the three most common types of ALS, namely C9orf72-linked ALS, SOD1-linked ALS, and sporadic ALS. Co-twin analyses revealed that older twins showed a consistent difference in DNA methylation patterns between the affected and the unaffected twins [14]. Moreover, by analyzing DNA methylation in the genes commonly altered, it was evident that sporadic ALS patients were more similar to SOD1-related cases (12 altered genes in common), than sporadic ALS with C9orf72-related (1 gene in common), or SOD1 and C9orf72 (0 genes in common) cases. Results from the present study suggest that the mtDNA D-loop methylation levels in sporadic ALS patients are more similar to SOD1-mutant patients than to C9orf72-ALS patients, given that both sporadic and SOD1-related ALS patients had lower mitochondrial methylation levels compared to control subjects and both differed significantly from the methylation levels observed in C9orf72-ALS patients. Present data also confirm our previous

investigation in familial ALS, revealing that among carriers of the major ALS-causative genes, namely SOD1, C9orf72, FUS, and TARDBP, D-loop methylation levels were significantly reduced only in SOD1 carriers [23]. Collectively, these studies suggest that each ALScausative gene contributes to nuclear and mitochondrial DNA methylation alteration in a different manner [14, 23, 26]. Furthermore, it has been reported that ALS-causative genes are able to interact with epigenetic writer and reader proteins [12]. Indeed, in vitro and in vivo studies reported that mutant SOD1, as well as FUS and C9orf72 genes, encode for proteins that are able to interact in different ways with histone acetyltransferases (HATs) and methyltransferases (HMTs), thus inducing global changes to the histone code [27-30], while TDP43, encoded by TARDBP gene, is involved in RNA-related metabolism, and it is directly implicated in miRNA biogenesis and in the regulation of long non-coding RNAs [31]. So, it is plausible that different ALS-causative genes induce specific epigenetic alterations in both the nuclear and mitochondrial genomes.

In the current study, we detected an inverse correlation between D-loop methylation levels and the mtDNA copy number, an observation that has been repeatedly reported in the literature [16]. Indeed, a significant inverse correlation between D-loop methylation levels and the copy number of the mtDNA has been observed in human and mouse cell cultures [32, 33], in human peripheral blood cells [23, 34-37], in colorectal cancer tissues [38], and in the human placenta [39]. Data obtained in the current study are in agreement with those studies, further suggesting that variations in Dloop methylation levels could modulate the mtDNA copy number. Indeed, the D-loop is a non-coding mitochondrial region of about 1.1 kb, critical for both mitochondrial replication and transcription [40], which contains the promoter regions of the mitochondrial genes and the origin of replication of the heavy mtDNA strand [41]. Moreover, it is widely accepted that D-loop facilitates mtDNA replication by maintaining an open structure of mtDNA and this makes the D-loop a likely candidate for epigenetic modifications, which can have a major impact on the expression and replication of mitochondria [32, 41]. In this way, it has been reported that DNA methyltransferase 1 (DNMT1), the enzyme responsible for maintenance of DNA methylation, is able to bind to the mitochondrial D-loop region inducing repression of transcription of mtDNA genes and reducing mtDNA copy number [42-44]. Further evidence of a potential contribution of D-loop methylation levels in regulating mtDNA copy number and gene expression comes from studies in colorectal cancer cell lines showing that demethylation of the D-loop region, induced by the

demethylating agent 5-aza-2'-deoxycytidine (5-Aza), was associated with an elevated mtDNA copy number [38, 45]. So it is likely that D-loop methylation could regulate its own activity, similarly to what occurs in the promoter of nuclear genes. Given that the mitochondria play fundamental roles in numerous cellular functions, and that their alterations are involved in several pathologies, numerous authors searched for altered mtDNA methylation in human diseases, and increasing evidence suggests that an increase in mtDNA copy number and mitochondrial transcription, resulting from mtDNA methylation changes, might be a compensatory mechanism to counteract oxidative stress and/or an increased ATP demand under conditions of oxidative stress and metabolic dysfunctions such as those resulting from placental insufficiency [46], cancer [38], obesity, and diabetes [37]. Indeed, we previously suggested that the reduced D-loop methylation levels leading to an increased mtDNA copy number in carriers of ALS-linked SOD1 mutations could represent a compensatory mechanism to counteract the increased oxidative stress, impaired mitochondrial respiration, and increased mtDNA damage in those subjects [23]. In this regard, it has also been recently demonstrated that DNA repair genes are hypomethylated and overexpressed to counteract oxidative DNA damage in human ALS motor neurons with SOD1 mutations [47]. Defective mitochondrial respiration and ATP production are also well documented in the spinal cord and lymphocytes of sporadic ALS patients, so that the reduced D-loop methylation levels that we observed in the present study could represent a compensatory mechanism to counteract the mitochondrial impairment in sporadic ALS too. So, the results of the present study further suggest that the methylation levels of mitochondrial D-loop region could regulate mtDNA replication due to mitochondrial impairment.

The analysis of mtDNA content revealed that the mtDNA copy number showed a tendency to be higher in peripheral blood of ALS patients compared to controls, although this difference was statistically significant only in SOD1-mutant carriers. Similarly, a previous study by us in ALS families revealed increased mtDNA content in the peripheral blood of the patients respect to their unaffected relatives [23]. Also previous evidence by other authors showed mitochondrial impairment, including mitochondrial depolarization, altered mtDNA gene expression, and increase in mtDNA platelet content, in peripheral blood of ALS patients [48-50]. It has been suggested that the increase in mtDNA content in ALS tissues could be a consequence of several mitochondrial alterations, including a compensatory phenomenon for altered activity of the electron transport chain, increase in oxidative stress, maladaptive of an insufficient homeostatic response to restore normal mitochondrial function, and altered mitochondrial fission and fusion [24, 50, 51]. Further studies should be performed to better understand the clinical significance of the mtDNA copy number evaluation in peripheral blood of ALS patients. We must also acknowledge that the relatively small sample size of ALS patients and controls used in the present study reduces the power to detect small mtDNA copy number differences among groups, so that future studies empowered in sample size are required to better address this issue.

In the current study, we also observed significant effects of age and sex on D-loop methylation levels. However, after stratification of the samples into ALS patients and controls, the contributions of age and sex on D-loop methylation levels were highly significant in control subjects, while they were not evident in ALS patients. This observation suggests that the mtDNA methylation and copy number impairment observed in ALS patients are strictly linked to the disease, and are probably driven by the necessity to counteract ALS-related mitochondrial impairments [1]. Carefully looking at Fig. 5 reveals that the distribution of D-loop methylation data in ALS patients follows clusters according to the ALS subtype, lower levels in SOD1-mutants, intermediate levels in sporadic ALS, and higher levels in C9orf72 mutants, suggesting that the disease subtype is the major determinant of those levels. By contrast, D-loop methylation levels in controls have a more widespread distribution and respond to age and sex effects. Several authors have reported a correlation between mtDNA methylation and age in healthy individuals, for example, Dzitoyeva et al. [52] observed that 5-hmC levels in the mtDNA decreased in the frontal cortex of mice during aging, and this event was associated with increased expression of various mtDNA genes. In a study performed in peripheral blood from 381 individuals across a range of ages (38-107 years), the methylation levels of the MT-RNR1 gene were positively associated with increasing age, and a 9-year-long follow-up survey showed that subjects with high methylation levels exhibit a mortality risk significantly higher than subjects with low MT-RNR1 methylation levels [53]. Another study performed in peripheral blood of 82 individuals aged 18-91 years identified two CpG sites located within the MT-RNR1 gene that are inversely correlated with subjects age [54]. Regarding gender, present results show that healthy females have lower mtDNA methylation levels compared to males. Interestingly, an inverse trend was observed for the mtDNA copy number analysis, which showed that healthy females have higher mtDNA copy number compared to males. These sex effects were not seen in ALS patients, suggesting the existence of compensatory mechanisms in male ALS patients to foster an increase in mtDNA copy number. The effect of sex on nuclear DNA methylation is well known, and it has been

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suggested that this difference could be largely due to hormonal factors [55]. Little is still known concerning sex differences in mtDNA methylation levels, even if D'Aquila and coworkers observed that although there were no differences in MT-RNR1 gene methylation levels between females and males, the detected age-related increase of MT-RNR1 methylation levels was more evident in women compared to men [53]. However, the estrogenic control of mitochondrial metabolism is well documented, and it is believed to be partially involved in sexbased differences in human diseases [56]. Indeed, in agreement with present data, previous studies in human blood cells have shown that females have higher mtDNA copy number than males, and this is likely resulting from hormonal differences fostering the antioxidant defense [57, 58].

Although DNA methylation impairment has been frequently reported in neurodegenerative diseases, including AD, PD, and ALS, the causality role of aberrant DNA methylation in the onset of these diseases remains unclear. In fact, it is still not established if the DNA methylation impairment is a cause or a consequence of the neurodegenerative process. However, it has been reported that early-life exposure to environmental risk factors are able to induce epimutations that lead to neurodegeneration in later life [59, 60], thus suggesting the causative role of epimutations in disease onset. Moreover, it is well known that mitochondria impairment is an early event of neurodegeneration. For example, gene expression analyses revealed that even in the early stages of AD, prior to the clinical diagnosis of the disease, many of the nuclear genes encoding subunits involved in oxidative phosphorylation (OXPHOS) are downregulated particularly in the brain regions most vulnerable to AD pathology [61, 62], and it has been observed a significant reduction in OXPHOS gene expression in white blood cells in subjects with mild cognitive impairment (MCI), some of which were subsequently found to have prodromal AD [63, 64]. Regarding ALS, mitochondrial alteration was reported to occur in early disease stages in in vivo models indicating that this is an upstream source of degeneration rather than a consequence of the disease [65, 66]. In our previous study, we observed a significant decrease in Dloop region methylation levels in both presymptomatic and symptomatic SOD1 mutant carriers, suggesting that D-loop methylation alteration is an early event at least in individuals with ALS-linked SOD1 mutations and precedes the onset of the disease symptoms and likely acting as a compensatory mechanism to counteract SOD1 deficiency in those individuals [23]. Studies in transgenic AD mice have also shown that D-loop methylation impairments are already detectable in the early stages of the disease in animal brains, but are also dynamically regulated as the disease progresses [19]. Many investigators have also shown that D-loop demethylation can result from impaired DNMT expression or activity, and is paralleled by an increased expression of mtDNA genes [22, 33, 35, 38]. Unfortunately, we do not have RNA samples from our patients to further address these issues. Longitudinal studies and cell culture studies are therefore required to investigate mtDNA methylation and copy number in ALS patients, their causative mechanisms, their functional consequences on gene expression and mitochondrial dynamics, and their potential clinical utility.

## **Conclusions**

In conclusion, the present study reveals a lower D-loop methylation level in sporadic ALS patients compared to healthy matched controls and confirms previous evidence of an inverse correlation between D-loop methylation levels and the mtDNA copy number as well as differences among major ALS subtypes. Further studies are required to clarify the functional consequences of these findings.

## Methods

# Study population

In the current study, blood samples were collected from 114 individuals, including 63 ALS patients and 51 healthy age- and sex-matched controls (Table 1). The ALS sample was composed by 27 patients with a family history of ALS, including 14 patients with mutation in the SOD1 gene (detailed in Supplementary Table 1) and 13 patients with a pathogenic C9orf72 repeat expansion, and by 36 sporadic cases, with no affected family members and negative for mutations in the major ALS genes, namely SOD1, C9orf72, FUS, and TARDBP (Table 1). All the included ALS patients fulfilled the El Escorial criteria [67] for probable or definite ALS and had been diagnosed by expert neurologists at the NEMO (NeuroMuscular Omnicentre) clinical center in Milan, Italy, or at the IRCCS Mondino Foundation in Pavia, Italy. Genomic DNA extraction for genetic analyses was performed using standard automated procedures. All the patients were offered genetic testing and counseling. The search for SOD1, TARDBP, FUS, and C9orf72 gene mutations was performed as described elsewhere [68-70]. As normal controls, we recruited healthy volunteer subjects matched for age, sex, and ethnic background, but with no relationship to the ALS patients used in our study. Family history of ALS was ascertained excluding all subjects with even one relative who developed ALS or any other neurodegenerative disorder. Informed and written consent was obtained from each subject before inclusion in the study, and the study was approved by the Ethics Committee of the Pisa University Hospital (Protocol number 14767/

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2018). The study was performed in accordance with the Declaration of Helsinki.

# D-loop methylation analysis

An aliquot of 1 ml of peripheral blood was collected from each subject in EDTA tubes and stored at -20 °C until DNA extraction. Genomic DNA was extracted using the OIAmp DNA blood Mini Kit (Oiagen, Milan, Italy, Catalog N° 51106) following the manufacturer's protocol. The extracted DNA was quantified using a Nano Drop ND 2000c spectrophotometer (NanoDrop Thermo scientific). Five hundred nanograms of DNA from each sample were treated with sodium bisulfite in order to convert all unmethylated cytosines into uracil. The bisulfite conversion was performed using the Bisulfite-Gold kit (Zymo research, USA, Catalog N° D5007), following the manufacturer's instructions. Pyrosequencing analyses were performed at the University of Exeter Medical School. Bisulfite pyrosequencing was used to quantify DNA methylation across three individual CpG sites in the Heavy (H)-strand of the D-loop region, spanning from nucleotide 16,417 to nucleotide 73 within mtDNA (GenBank: J01415.2). A single amplicon (226 bp) was generated using primers designed by means of the PyroMark Assay Design software 2.0 (Qiagen, UK) (Table 2). All samples were randomized to reduce batch effects. A 30 µl PCR was carried out using 3 µl of × 10 buffer B1, 2 μl of MgCl<sub>2</sub> (25 mM), 0.3 μl of dNTP mix (10 mM), 1.5 µl of forward and primer mix (10  $\mu$ M), 0.3  $\mu$ l of HOT FIRE Pol (5 U/ $\mu$ l), 50 ng of bisulfite converted DNA, and 20.9 µl of water. PCR amplifications were performed with the following protocol: 1 cycle of 95 °C for 15 min, 40 cycles of 95 °C for 45 s, annealing temperature (62 °C) for 45 s and 72 °C for 3 min, followed by a final extension at 72 °C for 10 min. PCR products were purified and sequenced by pyrosequencing using the sequencing primer. To monitor the intra- and inter-plate variation, universal genomic DNA (random genomic DNA) was added to each plate.

DNA methylation was quantified using the PyroMark Q48 system (Qiagen, UK) following the manufacturer's standard instructions and the PyroMark Q48 Autoprep 4.2.1 software. The methylation level is expressed using percentage 5-methylcytosine (5-mC), and, given the high correlation for methylation levels among the three CpGs sites analyzed (r=0.56, p<0.0001 between CpG1 and CpG2; r=0.78, p<0.0001 between CpG1 and CpG3; r=0.78, p<0.0001 between CpG1 and CpG3; r=0.78, p<0.0001

= 0.87, p < 0.0001 between CpG2 and CpG3), for data analysis purposes the mean methylation levels of the three CpG sites included in the amplicon was used.

# Assessment of mitochondrial DNA copy number

In order to evaluate mtDNA copy number, 10 ng of total cellular DNA was used as input for quantitative PCR (qPCR). Primers amplifying a nuclear DNA region (hemoglobin subunit β) and a mtDNA region (chrM:3, 313-3,322) were taken from the literature [71]. gPCR reactions were performed with a C1000™ Thermal Cycler equipped with a CFX 96™ Real-Time System (Bio-Rad) with the following conditions: 15 min at 95 °C, followed by 40 cycles of 30 s at 95 °C, 45 s at 55 °C, and 45 s at 72 °C. qPCR was performed in a final volume of 10 µl, containing 5 µl of master mix (Qiagen, Catalog No. 59445), 10 pmol of each primer, and 1 µl (10 ng) of DNA template. Each reaction was performed in triplicate. Threshold cycle (Ct) values were obtained with the Bio-Rad CFX Manager Software (Bio-Rad). To determine the mtDNA content relative to nDNA, the following equations were used [72]:

$$\Delta Ct = nDNA Ct - mtDNA Ct$$
 (1)

Relative mtDNA content = 
$$2 \times 2^{\Delta Ct}$$
 (2)

# Statistical analyses

D-loop methylation data and mtDNA copy number were tested for normality using the Kolmogorov-Smirnov test. Demographic data, such as age at sampling and sex, were compared between groups by means of Student's t test and Fisher's exact test, respectively. Analysis of covariance (ANCOVA), including age at sampling and sex as covariates, was used to investigate differences in D-loop methylation levels among groups, followed by post hoc Bonferroni's correction for multiple comparisons. Data are presented as mean ± SD. Pearson correlation coefficients were used to evaluate correlations between D-loop methylation levels, mtDNA copy number, and age at sampling. Statistical analyses were performed with STAT GRAPHICS 5.1 plus software package for Windows and the MedCalc statistical software v. 12.5. Figures were obtained with GraphPad PRISM version 6.01.

Table 2 Primer sequences, amplicon size, and number of CpGs analyzed by means of pyrosequencing

Region	Primer forward	Primer reverse	Sequencing primer	Amplicon size	Number of CpGs
D-loop	Bio-5' TAGGATGAGGTAGGAATTAAAGATAGATA- 3'	5' ACATCTAATTCCTACTTCAAAATCAT- 3'	5' CAAATCTATCACCCTATTAA- 3'	226 bp	3

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# **Supplementary information**

Supplementary information accompanies this paper at https://doi.org/10. 1186/s13148-020-00933-2.

**Additional file 1: Table S1.** Details of *SOD1* pathogenic variants (NM\_000454) in ALS patients.

#### Abbreviations

5-hmC: 5-hydroxymethylcytosine; 5-mC: 5-methylcytosine; AD: Alzheimer's disease; ALS: Amyotrophic lateral sclerosis; *C9orf72*: Chromosome 9 open reading frame 72; CpG: Cytosine-phosphate-guanine site; D-loop: Displacement loop; DNMT1: DNA methyltransferase 1; *Dnmt3a*: DNA methyltransferase 3a; *FUS*: Fused in sarcoma; miRNA: MicroRNA; mtDNA: Mitochondrial DNA; *MT-RNR1*: Mitochondrially encoded 12S rRNA; nDNA: Nuclear DNA; OXPHOS: Oxidative phosphorylation; PD: Parkinson's disease; q-PCR: Quantitative polymerase chain reaction; *SOD1*: Superoxide dismutase 1; *TARDBP*: TAR DNA-binding protein; TDP43: TAR DNA-binding protein 43

# Acknowledgments

We thank Associazione "lo corro con Giovanni" www.iocorrocongiovanni.org; info@iocorrocongiovanni.org; Paina di Giussano—Via IV Novembre 20—c/o Centro Associativo "Generazioni", for their initial support for starting our research in SOD1 and C9orf72-families.

### Authors' contributions

FC, LuMi, and AS designed the study. LoMo, AM, CL, FG, SG, and CC collected the blood samples and provided the clinical data of the patients. AS and ARS performed the experiments. AS, ARS, and KL generated the experimental data. FC and AS wrote the manuscript. All authors edited the paper and approved the final manuscript.

## Fundina

The present study was supported by the researcher's intramural funds (ATEN EO Funds, University of Pisa).

# Availability of data and materials

The datasets generated and/or analyzed during the current study are available from the corresponding author on reasonable request.

# Ethics approval and consent to participate

The study was approved by the Ethics Committee of the Pisa University Hospital (Protocol number 14767/2018). This study conformed to the guidelines proposed in the Helsinki Convention. After a full explanation of the entire study process, each subject signed an informed consent form before inclusion in the study.

# Consent for publication

Not applicable.

# Competing interests

The authors declare that they have no competing interests.

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# Received: 12 June 2020 Accepted: 1 September 2020 Published online: 11 September 2020

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