# SYSTEMATIC REVIEW

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Superior capsule reconstruction, partial cuff repair, graft interposition, arthroscopic debridement or balloon spacers for large and massive irreparable rotator cuff tears: a systematic review and meta-analysis

Andrew Davies<sup>1\*</sup>, Prashant Singh<sup>2</sup>, Peter Reilly<sup>2</sup>, Sanjeeve Sabharwal<sup>2</sup> and Amar Malhas<sup>3</sup>

# Abstract

**Background:** Multiple non-arthroplasty surgical techniques are described for the management of large and massive irreparable rotator cuff tears. There is currently no consensus on the best management strategy. Our aim was to compare clinical outcomes following arthroscopic debridement, arthroscopic partial cuff repair, superior capsule reconstruction, balloon spacers or graft interposition for the management of large and massive irreparable rotator cuff tears.

**Methods:** A comprehensive search was performed of the following databases: Medline, Embase, CINAHL and Cochrane Database of Systematic Reviews. Data were extracted from relevant studies published since January 2000 according to the pre-specified inclusion criteria. The primary outcome was the post-operative improvement in shoulder scores. Meta-analysis of the primary outcome was performed. Secondary outcomes included retear rates and complications.

**Results:** Eighty-two studies were included reporting the outcomes of 2790 shoulders. Fifty-one studies were included in the meta-analysis of the primary outcome. The definition of an irreparable tear varied. All procedures resulted in improved shoulder scores at early follow-up. Shoulder scores declined after 2 years following balloon spacers, arthroscopic debridement and partial cuff repair. High retear rates were seen with partial cuff repairs (45%), graft interposition (21%) and superior capsule reconstruction (21%).

**Conclusions:** Large initial improvements in shoulder scores were demonstrated for all techniques despite high retear rates for reconstructive procedures. Shoulder scores may decline at mid- to long-term follow-up.

Keywords: Rotator cuff, Rotator cuff tears, Injuries, tendon, Shoulder

# Background

Rotator cuff tears are a common cause of shoulder pain and can lead to significant functional impairment [1]. A primary consideration for large and massive tears is whether the tendon can be repaired. A tendon may be considered irreparable when it cannot be mobilised to the anatomical footprint despite mobilisation techniques

\*Correspondence: a.davies20@imperial.ac.uk

<sup>1</sup> Cutrale Perioperative and Aging Group, Department of Bioengineering, Imperial College London, 86 Wood Lane, London W120BZ, UK Full list of author information is available at the end of the article



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such as interval slide and marginal convergence [2]. Poor tendon architecture with a high degree of fatty infiltration will also reduce the likelihood of a successful repair.

Several surgical techniques have evolved for the management of large and massive irreparable tears in adult patients without significant arthritic disease. Partial cuff repair (PCR) is an option where complete repairs are not possible [3]. Reconstruction of infraspinatus and teres minor is prioritised to re-establish the transverse force couple. Further techniques have evolved including subacromial balloon spacers, superior capsule reconstruction (SCR) and the use of autografts, allografts, xenografts or synthetic grafts to bridge residual cuff defects [2].

SCR aims to prevent superior migration of the humeral head and restore normal shoulder biomechanics; it involves passing a graft from the superior tubercle of the glenoid to the greater tuberosity [4, 5]. Similarly, balloon spacers are designed to prevent superior migration by deploying biodegradable saline-filled spacers underneath the acromion. Several large medical device companies have invested in the technology, and there has been a rapid expansion in data from case series [6].

Several tendon transfer techniques have been described to restore shoulder function according to tear configuration [7]. Posterosuperior tears may be managed with latissimus dorsi or trapezius tendon transfers [7, 8]. These have been shown to be effective in select groups; they are most commonly used in younger, highdemand patients and require specialised rehabilitation programmes [7, 8]. As a consequence, the patient populations managed with tendon transfers will likely be different compared to the other procedures in this review and tendon transfers will not be considered further. Reverse total shoulder replacement can lead to significant improvement in function and pain in patients with concurrent arthritis; however, concern about the complication and revision rates has limited its application in those without advanced arthritis, particularly in younger patients [9].

Despite the significant impact on patients' quality of life, socio-economic considerations and protracted rehabilitation periods, a consensus on the optimal strategy has not been reached. Studies have demonstrated positive patient-reported outcome measures (PROM), functional scores and reduced pain for arthroscopic debridement, arthroscopic PCR, SCR, subacromial balloon spacers and graft interposition.

#### Aim

The aim of this review is to answer the following research question: In adult patients with a large or massive irreparable tear of the rotator cuff does arthroscopic debridement, arthroscopic PCR, SCR, subacromial balloon spacer or graft interposition lead to the greatest improvement in shoulder scores and the lowest risk of complications? Randomised trials and observational studies were considered. Our hypothesis is that SCR, balloon spacer and graft interposition do not result in superior shoulder scores compared to arthroscopic PCR.

#### Methods

The review protocol was registered on the International Prospective Register of Systematic Reviews (PROSPERO) database. The review was conducted according to the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) Statement [10].

#### Search strategy

The following databases were used: Medline, EMBASE, CINAHL and the Cochrane database of systematic reviews, from inception until May 2021. Trial registries were searched to highlight ongoing work. A scoping literature review was performed prior to protocol submission to optimise the search terms and inclusion criteria. The search terms are related to the population (rotator cuff tear, supraspinatus, infraspinatus, subscapularis, teres minor tears, AND massive, large, irreparable) and the interventions (superior capsule reconstruction, partial cuff repair, balloon spacer, graft bridging, debridement). Reference lists were scrutinised for further work. The full search terms are available in the Appendix.

#### **Eligibility criteria**

The review included adult patients with large or massive rotator cuff tears that were considered irreparable. The reparability of the cuff was determined by the authors of each study based on pre-operative imaging and intraoperative assessment. Across all studies, this was defined as a tear where the tendon could not be brought back to the tendon insertion without excessive tension on the tendon.

A minimum tear size was required; large or massive tears were included. Large and massive tears were defined as 3 cm or greater in any dimension or involving two or more tendons. The studies must report on pre-operative and post-operative shoulder scores for any of the included procedures: arthroscopic debridement, arthroscopic PCR, SCR, subacromial balloon spacers and graft interposition of incomplete repairs. Graft interposition was defined as cases where a graft was used to bridge a remaining tendon defect. It did not include cases where grafts were used in addition to a complete repair. In SCR, the graft is anchored into the superior glenoid and the greater tuberosity. Articles published no earlier than 2000 were considered. All types of graft material for SCR and

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graft interposition were accepted. Randomised trials and observational studies with multiple or single intervention groups of ten or more patients were included. Where trials compared one or more techniques that matched our inclusion criteria against alternative procedures, data from the relevant treatment arm were extracted.

Articles were excluded if they reported on tears associated with additional trauma including fractures or dislocations and patients with documented cuff tear arthropathy, SLAP tears, or advanced glenohumeral osteoarthritis. Small and medium tears (<3 cm) were excluded alongside procedures that involved additional bone marrow infiltration, complete repair or augmentation of a complete repair. Animal or in vitro work, editorials, conference abstracts, case reports, case series of less than 10 patients and letters were also excluded. We included studies where patients may have undergone a previous failed rotator cuff repair. Finally, we required a minimum of 12 months of follow-up. We excluded studies with less than 12 months follow-up, where earlier outcome data could not be separated.

#### Outcomes

The primary outcomes were PROMs and functional scores including, but not limited to the Constant-Murley score, American Shoulder and Elbow Score (ASES), Oxford Shoulder Score (OSS), University of California in Los Angeles (UCLA) Shoulder Score, the Disabilities of Arm, Shoulder and Hand score (DASH), Subjective Shoulder Value (SSV), Simple Shoulder Test (SST) or the Japanese Orthopaedic Association (JOA) shoulder score. Secondary outcomes include retear rates and complications.

#### Study selection

Two authors (AD and PS) independently assessed trials for eligibility. Search results were organised using Covidence<sup>®</sup> systematic review management software. Duplicates were removed, and articles were selected according to the inclusion criteria. Conflicts were discussed between reviewers; a third reviewer (SS) was available as required to arbitrate.

#### **Data extraction**

A standardised form guided data extraction in four areas: study characteristics, pre-operative information, details of the interventions and post-operative outcomes (Table 1). Data were extracted by AD and PS, and the results were checked independently by both authors. In order to be included in the quantitative synthesis, studies must have reported PROMs as mean ( $\pm$  standard deviation). To avoid error, data were not extracted from graphs. To pool PROMs across studies, outcome duration was grouped into 1 year, 2 years, 3 years and 5 or more years. The durations of followup were frequently presented as a mean and range. To standardise the analysis, all patients within a group must have achieved the minimum follow-up duration in order to be included.

#### Quality and bias assessment

A risk-of-bias assessment was performed in all studies by two authors (AD and PS) with consensus reached by discussion where necessary (Appendix 4). The Methodological Index for Non-randomized Studies (MINORS) instrument was chosen following publication of the protocol because all except 1 study were observational and the majority were case series [11]. This instrument includes an eight-item assessment of non-comparative studies. The instrument can be extended to 12 items in order to include comparative studies.

#### Data analysis

Pre-operative and post-operative PROMs and functional scores were extracted. The difference between the preoperative and post-operative scores was calculated at 1, 2, 3 and 5 years post-procedure. Direct comparison between procedures was not made given the quality of the available literature, outcomes for each procedure were pooled independently. To account for the different scores, the standardised mean difference (SMD) was calculated and weighted according to sample size. A random effects model was selected due to the variability in study design.

 Table 1
 Data extraction. OSS—Oxford Shoulder Score, JOA—Japanese Orthopaedic Association score

| Study<br>characteristics   | Study design, institutions, no. of relevant procedures, no. of centres, date of publication, number of patients, funding source, sampling methods |
|----------------------------|---|
| Pre-operative information  | Patient demographics and co-morbidities, pre-operative functional scores, quality of life assessments, diagnostic criteria, pre-operative imaging |
| Intervention<br>details    | The surgical approaches, types of grafts and implants, patient position, method of insertion  |
| Post-operative<br>outcomes | PROMs and functional scores, complication rates, post-operative management  |



To facilitate interpretation of the SMD, this was reexpressed in the units of the Constant-Murley score. This was the score most commonly used across included studies. Transformation was performed according to the Cochrane handbook [12]. We used the pooled standard deviation of all studies included in the quantitative synthesis that reported the Constant-Murley score. Analysis was performed using Review Manager 5.3. Mean

differences were calculated for the continuous data. Heterogeneity was assessed using the  $I^2\ Chi^2$  and  $Tau^2\ statistics.$ 

#### Results

The search strategy revealed 4145 results; 5 further potential studies were identified through screening of references. After removal of duplicates, 2377 articles were available for screening (Fig. 1). Screening of titles and abstracts excluded 2124 articles. One hundred and seventy-one articles were excluded on full-text review leaving 82 studies for qualitative synthesis. Fifty-one studies were included in the quantitative synthesis of the primary outcome.

#### **Study characteristics**

Of the 82 eligible studies, 60 were case series, 21 were cohort studies and 1 was a randomised controlled trial (Tables 2, 3, 4, 5 and 6). The surgical management of 2790 shoulders was reported. The majority of studies were performed in a single centre; 5 involved patients from two or more institutions. Sufficient data for quantitative synthesis were provided in 51 studies. This includes 37 case series and 14 comparative studies (13 cohort studies and 1 randomised trial), where one or more of the treatment groups were included. In four cohort studies and in the randomised trial, one or more groups did not meet the inclusion criteria and data were extracted for the relevant management arm.

Twenty-six of the included studies reported shoulder scores following partial cuff repair, and 21 studies reported scores following SCR. Eighteen articles recorded results for graft interposition and outcomes for arthroscopic debridement were reported in 15 studies. However, in one cohort study comparing PCR and arthroscopic debridement, the series in the debridement arm was not included due to there being fewer than 10 patients in this treatment group. Ten studies reported shoulder scores for balloon spacers. The criteria used to define large and massive tears were documented in 58 studies. In the remaining articles, the authors described the tears as "large" or "massive" without further details. Magnetic resonance imaging (MRI) was the most favoured imaging modality; 74 papers reported the use of pre-operative MRI or magnetic resonance arthrogram in diagnosis and classification. The remaining either used ultrasound imaging or an intra-operative assessment. The age range of participants across all studies was 33–90. The Hamada classification of rotator cuff arthropathy was the most frequently used. Several studies were excluded due to lack of reporting of tear size, the majority of these were for balloon spacers.

The terminology used to describe graft interposition varied between studies and included "bridging", "interposition" and "augmentation". Careful review of the description of the technique was necessary to ensure the grafts were used to fill the remaining defect after the best possible repair. The most popular interposition graft was human dermal allograft (7 studies). In contrast, fascia lata autograft was preferred in superior capsule reconstruction (9 studies). Post-operative management was well reported in the majority of studies; 70 described a progressive rehabilitation programme.

The pre-operative management of patients was described in 28 studies, the majority of these documented a minimum of 6 months of failed conservative therapy. All surgical procedures were performed in the beach chair or lateral decubitus position. In the case of bridging repairs and superior capsule reconstruction, allografts, autografts, xenografts and synthetic grafts were used (Tables 2, 3, 4, 5 and 6).

In all cases of debridement and balloon spacer insertion, pendular and passive range of motion exercises were commenced within 4 weeks, with the majority initiating range of motion exercises from the first day post-op. Sling use varied, it was used for a maximum of 3–4 weeks following these two procedures. In contrast, following arthroscopic PCR, SCR or graft interposition, patients were immobilised in a sling for up to 6 weeks followed by passive and active range of motion exercises. Full strengthening work may not begin for 6 months.

#### Partial cuff repair

See Table 2.

| Author and date                | Design   | Mean age                  | No. of shoulders at f/u—<br>PROMs | Follow-up duration<br>(months) | Study results<br>pooled | Functional/PROMs<br>outcomes measured |
|--------------------------------|--|---------------------------|-----------------------------------|--------------------------------|-------------------------|---------------------------------------|
| Baverel [13]                   | Cohort-PCR vs LDTT                                   | 68.5                      | 26                                | 16–29                          | Yes                     | Constant, ASES, SSV, SST              |
| Berth [14]                     | Cohort-PCR vs debridement                            | 63.4                      | 21                                | 21–28                          | Yes                     | Constant, QuickDASH                   |
| Besnard [15]                   | Cohort–PCR vs complete                               | 59.3                      | 20                                | 24-120                         | Yes                     | Constant                              |
| Cavalier [16]                  | Cohort-PCR vs debridement                            | 67                        | 61                                | 12                             | No                      | Constant, ASES, SSV                   |
| Chen [17]                      | Case series  | 60.3                      | 37                                | 25-59                          | Yes                     | ASES                                  |
| Cuff [18]                      | Case series  | 65.2                      | 28                                | 06-09                          | Yes                     | ASES, SST                             |
| Di Benedetto [19]              | Case series  | 67                        | 31                                | 79                             | Yes                     | Constant                              |
| Farazdaghi [20]                | Cohort–PCR vs complete                               | 63.7                      | 14                                | 95                             | No                      | ASES, Penn                            |
| Franceschi [21]                | Cohort–PCR vs debridement                            | 62                        | 34                                | 60-108                         | Yes                     | NCLA                                  |
| Galasso [22]                   | Case series  | 62.7                      | 95                                | 24-148                         | Yes                     | Constant, SST                         |
| Greiner [23]                   | Cohort–PCR vs SCR                                    | 62.3                      | 20                                | 47–79                          | No                      | Constant, DASH                        |
| Heuberer [24]                  | Cohort-PCR vs debridement                            | 67                        | 22                                | 23-70                          | No                      | Constant, QuickDASH                   |
| Holtby [25]                    | Cohort–PCR vs complete                               | 67                        | 73                                | 24                             | No                      | Constant, ASES                        |
| Jeong [26]                     | Cohort–PCR vs complete                               | 61.9                      | 33                                | 60-120                         | Yes                     | UCLA, ASES, SSV                       |
| Kim [27]                       | Case series  | 62.3                      | 27                                | 36-52                          | Yes                     | Constant, SST                         |
| Kim [28]                       | Cohort–PCR vs complete                               | 61.5                      | 19                                | 24                             | Yes                     | UCLA, ASES, SST                       |
| Lee [29]                       | Case series  | 61.2                      | 42                                | 31–78                          | Yes                     | UCLA, constant                        |
| Malahias [30]                  | Cohort–PCR vs PCR and balloon                        | 69.7                      | 16                                | 12                             | Yes                     | Constant, ASES                        |
| Mori [31]                      | Cohort–PCR vs graft interposition                    | 65.7                      | 24                                | 35.6                           | No                      | UCLA, Constant, ASES                  |
| Moser [32]                     | Cohort-PCR vs complete vs debridement (<10 patients) | 62.5                      | 11                                | 24                             | No                      | SPADI                                 |
| Pandey [33]                    | Cohort–PCR vs graft interposition                    | 58                        | 13                                | 24–60                          | Yes                     | Constant, OSS                         |
| Park [34]                      | Cohort–PCR vs augmentation                           | 63.8                      | 37                                | 24-51                          | Yes                     | Constant, ASES, KSS                   |
| Paribelli [35]                 | Cohort–PCR vs LDTT                                   | 64.9                      | 20                                | 12-60                          | Yes                     | NCLA                                  |
| Porcellini [36]                | Case series  | 63                        | 67                                | 60                             | Yes                     | Constant, SST                         |
| Shon [37]                      | Case series  | 65.9                      | 31                                | 40土 14.9                       | Yes                     | ASES, SST                             |
| Wellmann [38]                  | Case series  | 65                        | 38                                | 12                             | No                      | Constant                              |
| Partial cuff repair—included s | tudies. PCR—partial cuff repair, LDTT—latissimus c   | dorsi tendon transfer, cc | mplete-complete cuff repair. Cor  | istant—Constant-Murley Sco     | re, QuickDASH—Disabilit | ies of the Arm, Shoulder              |

 Table 2
 Partial cuff repair—included studies

and Hand Score, ASES—American Shoulder and Elbow Score, SSV—Subjective Shoulder Value, SST—Simple Shoulder Test, SPADI—Shoulder Pain and Disability Index, UCLA—The University of California at Los Angeles Shoulder Score, KSS—Morean Shoulder Score, OSS—Oxford Shoulder Score, JOA—Japanese Orthopaedic Association score. For comparative studies, the number of shoulders for the relevant treatment arm is reported

# Graft interposition

See Table 3.

| Author and date          | Design                                 | Mean age | No. of<br>shoulders at<br>f/u—PROMs | Follow-up<br>duration<br>(months) | Study<br>results<br>pooled | Graft type used             | Functional/<br>PROMs outcomes<br>measured |
|--------------------------|--|----------|-------------------------------------|-----------------------------------|----------------------------|-----------------------------|---|
| Audenaert [39]           | Case series                            | 67       | 39                                  | 24-86                             | No                         | Mersilene mesh              | Constant                                  |
| Badhe [40]               | Case series                            | 66       | 10                                  | 36–60                             | Yes                        | Porcine dermal<br>xenograft | Constant                                  |
| Bond [41]                | Case series                            | 54.4     | 16                                  | 12–38                             | Yes                        | Human dermal<br>allograft   | UCLA, Constant                            |
| Dukan [42]               | Case series                            | 60.5     | 23                                  | 29.3                              | Yes                        | Porcine dermal<br>xenograft | Constant                                  |
| Gupta [43]               | Case series                            | 63       | 24                                  | 29–40                             | No                         | Human dermal<br>allograft   | Constant                                  |
| Gupta [44]               | Case series                            | 60       | 27                                  | 24–40                             | No                         | Porcine dermal<br>xenograft | Constant                                  |
| Kim [45]                 | Case series                            | 56       | 24                                  | 24–48                             | No                         | Human dermal<br>allograft   | UCLA, ASES, SST                           |
| Kokkalis [46]            | Case series                            | 58       | 21                                  | 33–72                             | Yes                        | Human dermal<br>allograft   | ASES                                      |
| Modi [47]                | Case series                            | 62.6     | 61                                  | 12–72                             | No                         | Human dermal<br>allograft   | OSS                                       |
| Mori [31]                | Cohort–graft interpo-<br>sition vs PCR | 65.7     | 24                                  | 35.6                              | No                         | Fascia lata autograft       | UCLA, Constant, ASES                      |
| Nada 2010[48]            | Case series                            | 66.5     | 21                                  | 36                                | No                         | Polyester fibre mesh        | Constant                                  |
| Pandey [33]              | Cohort–graft interpo-<br>sition vs PCR | 58       | 13                                  | 24–60                             | Yes                        | Human dermal<br>allograft   | Constant, OSS                             |
| Petrie [49]              | Case series                            | 67.2     | 31                                  | 24                                | No                         | Polyester fibre mesh        | OSS                                       |
| Rhee [50]                | Case series                            | 61       | 31                                  | 24–67                             | No                         | Biceps autograft            | UCLA, Constant                            |
| Rhee [51]                | Case series                            | 66.9     | 24                                  | 12                                | Yes                        | Biceps autograft            | ASES, QuickDASH                           |
| Sano [ <mark>52</mark> ] | Case series                            | 64       | 14                                  | 12-51                             | Yes                        | Biceps autograft            | JOA                                       |
| Dimitrios [53]           | Case series                            | 64.9     | 68                                  | 31–77                             | Yes                        | Fascia lata autograft       | Constant                                  |
| Wong [54]                | Case series                            | 53.6     | 45                                  | 24–68                             | No                         | Human dermal<br>allograft   | UCLA                                      |

 Table 3
 Graft interposition—included studies. OSS—Oxford Shoulder Score, JOA—Japanese Orthopaedic Association score

# Superior capsule reconstruction

See Table 4.

# Table 4 Superior capsule reconstruction—included studies

| Author and date              | Design   | Mean age | No. of<br>shoulders at<br>f/u—PROMs | Follow-up<br>duration<br>(months) | Study<br>results<br>pooled | Graft type used                               | Functional/<br>PROMs outcomes<br>measured |
|------------------------------|--|----------|-------------------------------------|-----------------------------------|----------------------------|---|---|
| Alarcon [55]                 | Case series                                    | 61       | 31                                  | 24-51                             | No                         | Fascia lata autograft                         | Constant                                  |
| Barth [56]                   | Cohort—SCR vs<br>complete vs aug-<br>mentation | 60       | 24                                  | 24–29                             | Yes                        | LHB autograft                                 | Constant, ASES, SST                       |
| Burkhart [57]                | Case series                                    | 64       | 41                                  | 24–50                             | Yes                        | Human dermal<br>allograft                     | ASES, SSV                                 |
| Denard [58]                  | Case series                                    | 62       | 59                                  | 12–29                             | Yes                        | Human dermal<br>allograft                     | ASES, SSV,                                |
| Ferrando [59]                | Case series                                    | 65       | 52                                  | 34                                | Yes                        | Porcine dermal<br>xenograft                   | ASES, SSV                                 |
| Greiner [23]                 | Cohort–SCR vs PCR                              | 62.3     | 20                                  | 47–79                             | No                         | Porcine dermal<br>xenograft                   | Constant, DASH                            |
| Kim [60]                     | Case series                                    | 58       | 45                                  | 24–48                             | No                         | LHB autograft                                 | Constant, ASES                            |
| Kocaoglu [ <mark>6</mark> 1] | Cohort–SCR vs SCR<br>and PCR                   | 63.7     | 26                                  | 18–40                             | Yes                        | Fascia lata autograft                         | Constant, QuickDASH                       |
| LaBelle [62]                 | Case series                                    | 62.5     | 28                                  | 24-41                             | Yes                        | Dermal allograft                              | SST, ASES                                 |
| Lacheta [ <mark>63</mark> ]  | Case series                                    | 56       | 21                                  | 24–36                             | No                         | Human dermal<br>allograft                     | ASES, QuickDASH                           |
| Lee and Min [64]             | Case series                                    | 60.9     | 36                                  | 24.8                              | No                         | Fascia lata autograft<br>and dermal allograft | Constant, ASES                            |
| Lim [65]                     | Case series                                    | 65.3     | 31                                  | 12-24                             | Yes                        | Fascia lata autograft                         | Constant, ASES                            |
| Mihata [5]                   | Case series                                    | 65.1     | 24                                  | 24-51                             | Yes                        | Fascia lata autograft                         | ASES, JOA                                 |
| Okamura [ <mark>66</mark> ]  | Cohort–SCR 1 layer<br>graft vs 3 layers        | 76       | 35                                  | 24–69                             | No                         | Teflon graft                                  | ASES                                      |
| Ohta [ <mark>67</mark> ]     | Case series                                    | 75.3     | 35                                  | 12–62                             | Yes                        | Fascia lata autograft                         | UCLA, JOA                                 |
| Ozturk [68]                  | RCT–SCR vs LDTT                                | 62.8     | 20                                  | 31                                | Yes                        | Fascia lata autograft                         | Constant, ASES                            |
| Pashuck Pashuck<br>2020      | Case series                                    | 58.9     | 14                                  | 23–25                             | Yes                        | Human dermal<br>allograft                     | ASES                                      |
| Pennington [70]              | Case series                                    | 59.4     | 88                                  | 16–28                             | Yes                        | Human dermal<br>allograft                     | ASES                                      |
| Polacek [71]                 | Case series                                    | 60       | 20                                  | 12                                | Yes                        | Porcine dermal<br>xenograft                   | SPADI                                     |
| Polacek [72]                 | Case series                                    | 61.3     | 24                                  | 12                                | Yes                        | Fascia lata autograft                         | SPADI                                     |
| Takayama [73]                | Cohort–SCR mini<br>open vs arthro-<br>scopic   | 69.7     | 46                                  | 24–66                             | Yes                        | Fascia lata autograft                         | ASES                                      |

#### Arthroscopic debridement

See Table 5.

#### Table 5 Arthroscopic debridement—included studies

| Author and date | Design                    | Mean age | No. of shoulders<br>at f/u—PROMS | Follow-up<br>duration<br>[months] | Study<br>results<br>pooled | Functional/PROMs outcomes<br>measured |
|-----------------|---------------------------|----------|----------------------------------|-----------------------------------|----------------------------|---------------------------------------|
| Berth [14]      | Cohort–Debridement vs PCR | 63.4     | 21                               | 21-28                             | Yes                        | Constant, QuickDASH                   |
| Blanke [74]     | Case series               | 63.4     | 59                               | 24–36                             | No                         | Constant                              |
| Boileau [75]    | Case series               | 68       | 72                               | 24–76                             | Yes                        | Constant                              |
| Cavalier [16]   | Cohort–Debridement vs PCR | 67       | 26                               | 12                                | No                         | Constant, ASES, SSV                   |
| Franceschi [21] | Cohort–Debridement vs PCR | 62       | 34                               | 60–108                            | Yes                        | UCLA                                  |
| Heuberer [24]   | Cohort–Debridement vs PCR | 67       | 23                               | 23–70                             | No                         | Constant, QuickDASH                   |
| Klinger [76]    | Case series               | 69       | 33                               | 24–46                             | No                         | Constant                              |
| Lee [77]        | Case series               | 62.4     | 32                               | 24–63                             | No                         | Constant, UCLA                        |
| Mirzaee [78]    | Case series               | 65       | 12                               | 12-24                             | Yes                        | UCLA                                  |
| Park [79]       | Case series               | 64       | 16                               | 84–126                            | Yes                        | UCLA, constant                        |
| Scheibel [80]   | Case series               | 69       | 22                               | 20–58                             | No                         | Constant                              |
| Vad [81]        | Case series               | 61.3     | 32                               | 24–84                             | Yes                        | Shoulder rating questionnaire         |
| Veado [82]      | Case series               | 72       | 12                               | 12–60                             | Yes                        | UCLA                                  |
| Verhelst [83]   | Case series               | 69.9     | 32                               | 21-52                             | Yes                        | Constant                              |

#### **Balloon spacer**

See Table 6.

 Table 6
 Balloon spacer—included studies

| Author and Date              | Design      | Mean age    | No. of shoulders at<br>f/u—PROMs | Follow-up<br>duration (months) | Study results pooled | Functional/PROMs<br>outcomes measured |
|------------------------------|-------------|-------------|----------------------------------|--------------------------------|----------------------|---------------------------------------|
| Basat [84]                   | Case series | 64.3        | 12                               | 38                             | Yes                  | Constant                              |
| Bakti [85]                   | Case series | 67          | 26                               | 12–60                          | No                   | OSS                                   |
| Deranlot [ <mark>86</mark> ] | Case series | 69.8        | 39                               | 12-36                          | Yes                  | Constant                              |
| Familiari [87]               | Case series | 63          | 51                               | 24–56                          | Yes                  | Constant                              |
| Gervasi [88]                 | Case series | 74.6        | 15                               | 12–14                          | No                   | Constant, ASES                        |
| Gervasi [89]                 | Case series | 73          | 40                               | 24                             | Yes                  | Constant, ASES                        |
| lban [90]                    | Case series | 69 [median] | 10                               | 24                             | No                   | Constant, SST, QuickDASH              |
| Lorente [91]                 | Case series | 69.4        | 15                               | 12                             | No                   | Constant                              |
| Maman [92]                   | Case series | Unknown     | 42                               | 12–40                          | No                   | Constant                              |
| Senekovic [93]               | Case series | 70.5        | 18                               | 18–36                          | Yes                  | Constant                              |

#### Function scores and patient-reported outcome measures

In total, 49 studies reported pre-operative and post-operative Constant-Murley scores. The ASES was the next most reported score (32 studies) followed by the UCLA Shoulder Score (15 studies).

In all studies, there was an improvement in postoperative PROMs data compared to pre-operative scores. Synthesis of the difference in pre-operative and post-operative PROMs scores was possible across 51 studies. The pooled standardised mean difference for each implant is given in Table 7. Data were available at 1 year and 2 years for all 5 procedures. Three-year data were available for balloon spacers only and 5-year data for arthroscopic partial cuff repair and arthroscopic

| operative PROMs scores. Number of articles pooled in brackets |              |              |             |             |  |  |  |  |
|---|--------------|--------------|-------------|-------------|--|--|--|--|
| Procedure   | 1 year       | 2 years      | 3 years     | 5 years     |  |  |  |  |
| Partial cuff repair   | 3.18<br>(8)  | 3.92<br>(10) |             | 3.42<br>(6) |  |  |  |  |
| Graft interposition   | 3.58<br>(7)  | 5.00<br>(3)  |             |             |  |  |  |  |
| Superior capsule recon  | 3.12<br>(10) | 4.04<br>(9)  |             |             |  |  |  |  |
| Arthro. debridement   | 4.48<br>(5)  | 2.63<br>(4)  |             | 3.21<br>(2) |  |  |  |  |
| Balloon spacer  | 1.36<br>(2)  | 4.53<br>(3)  | 1.66<br>(2) |             |  |  |  |  |

**Table 7** SMDs in the difference in pre-operative and postoperative PROMs scores. Number of articles pooled in brackets

debridement. The number of studies contributing to the pooled SMD is shown in brackets. The greatest number was available for partial cuff repair and superior capsule reconstruction. A study by Vad et al. demonstrated a very high risk of bias and an SMD far greater than similar studies [81]. Results were pooled with and without

inclusion of this study and omitted in the results given in Table 7. The full series of forest plots are available in the Appendix.

The SMD was re-expressed as a Constant-Murley score (Fig. 2); the mean standard deviation for the pre-operative Constant-Murley score was 10.9.

#### Secondary outcomes

The quality of reporting of revision procedures, retear rates and complications was mixed (results summarised in Tables 8 and 9 and the Appendix). Post-operative imaging was performed to a different extent across the studies. This may include a routine MRI or ultrasound (US) in all cases, a proportion of cases, post-operative imaging only when the patient was symptomatic or not at all.

Six studies reporting outcomes of PCR performed an MRI or US in all shoulders. Of 170 shoulders, 77 showed evidence of a retear (45%). Following graft interposition, nine studies reported post-operative imaging in all cases



Table 8 Revision procedures, retears and infections following PCR, graft interposition and SCR

| Procedure                       | No. of shoulders | Revision procedures for failure of procedure (%) | Post-op imaging<br>performed | Retears | Infections |
|---------------------------------|------------------|--|------------------------------|---------|------------|
| Partial cuff repair             | 733              | 2.9  | 170                          | 45.3%   | 0.55%      |
| Graft interposition             | 526              | 1.9  | 302                          | 21.2%   | 0.39%      |
| Superior capsule reconstruction | 732              | 3.0  | 469                          | 21.3%   | 0.14%      |

 Table 9 Revision
 procedures
 and
 infections
 following

 arthroscopic debridement and balloon spacer

| Procedure                     | No. of<br>shoulders | Revision procedures<br>for failure of<br>procedure (%) | Infections |
|-------------------------------|---------------------|--|------------|
| Arthroscopic debride-<br>ment | 438                 | 2.9  | 0.2%       |
| Balloon spacer                | 276                 | 7.2  | 0%         |

and further 6 studies scanned a proportion of patients. In total, 302 shoulders were scanned and 64 tears of the graft or tendon were identified (21%). After SCR, 12 studies reported imaging for all shoulders, and a further 5 scanned a majority of shoulders for a total of 469; 100 retears were found (21%).

Revision procedures for failure or symptom recurrence were poorly documented. Some studies may have only reported on patients that achieved the documented minimum follow-up period, resulting in an underestimation of the true revision rate. The greatest proportion of revision procedures was performed after balloon spacers (7.2%). The fewest revisions were reported following graft interposition (1.9%).

Reporting of additional complications was sparse; these are documented in the Appendix. Polacek et al. reported three cases of acute porcine dermal xenograft rejection which required urgent revision [71]. Two cases of donor site morbidity were reported in two studies where fascia lata autograft was used for a SCR, and one required revision surgery at the donor site [72, 73]. Twenty studies reported VAS scores. In all studies, there was improvement in the post-operative pain scores. The limited data on pain scores preclude meaningful comparison between techniques.

#### **Risk of bias**

A risk-of-bias assessment of the included studies was performed according to the MINORS instrument and is

|--|

summarised in Table 10 [11]. The cohort studies were generally of low quality. In order to maximise the opportunity for comparison between procedures, we extracted each relevant arm of the comparison separately and treated them as individual series. Consequently, the abbreviated 7-point MINORS criteria for non-comparative studies were calculated for all included studies. The results are summarised in Table 10. The full tables, including the results of the extended MINORS instrument for comparative studies, are available in the Appendix. For each procedure the mean risk of bias score across all seven domains was calculated.

The greatest risk of bias was seen in studies on balloon spacers. These frequently described significant numbers lost to follow-up, and similar to all studies in this review, outcome scores were only presented for patients that completed follow-up.

#### Discussion

This systematic review and meta-analysis compared common surgical techniques for the management of large and massive irreparable rotator cuff tears. Management of this pathology in the absence of glenohumeral arthritis is challenging. This work demonstrates that arthroscopic debridement, arthroscopic PCR, SCR, subacromial balloon spacers and graft interposition all lead to improved shoulder scores at early- to mid-term follow-up. It is encouraging for surgeons and patients that there are several potential surgical strategies which lead to improvements in shoulder function. However, we show that clinical improvement may decline over time for arthroscopic debridement, PCR and balloon spacers, and this is most apparent for balloon spacers. Across the included case series, the improvement in shoulder scores for PCR was comparable with graft interposition and SCR. Despite the additional cost of graft augmentation and the additional expertise required for SCR, they may not provide further clinical benefit in the early to mid-term period compared to PCR.

The number of studies and participants available for synthesis at each time point varied. Three hundred and

| Procedure                   | Clearly state<br>aim | Inclusion of<br>consecutive<br>patients | Prospective<br>data<br>collection | Unbiased<br>endpoint<br>assessment | Follow-up<br>appropriate | Loss to<br>f/u < 5% | Prospective<br>calculation<br>sample size | Mean score |
|-----------------------------|----------------------|---|-----------------------------------|------------------------------------|--------------------------|---------------------|---|------------|
| Partial cuff<br>repair      | 2                    | 1.46                                    | 1.62                              | 2                                  | 2                        | 1.54                | 0.23                                      | 1.55       |
| Graft interposi-<br>tion    | 1.72                 | 1.78                                    | 1.83                              | 2                                  | 2                        | 1.83                | 0   | 1.60       |
| Superior cap-<br>sule recon | 2                    | 1.86                                    | 1.62                              | 2                                  | 2                        | 1.67                | 0.19                                      | 1.62       |
| Arthro.<br>debridement      | 2                    | 1.15                                    | 1.62                              | 2                                  | 2                        | 1.46                | 0   | 1.46       |
| Balloon spacer              | 2                    | 1.3                                     | 2                                 | 2                                  | 2                        | 0.7                 | 0   | 1.43       |

ninety participants from 10 studies were included in the synthesis of SCR 12-month outcomes compared to 50 in two studies for arthroscopic debridement at 5 years. We required a minimum post-operative duration of all patients within a series to be included in the quantitative synthesis. The greatest volume of evidence was available for graft interposition, partial cuff repair and superior capsule reconstruction. The pooled PROMs scores for each of these procedures were similar up to 2 years.

The results of arthroscopic debridement and subacromial spacers should be interpreted with caution. The trajectory of improvement in the post-operative scores for arthroscopic debridement shown in Fig. 2 demonstrates a decline in scores at a minimum of 24 months followed by an improvement at 5 years. In the 5-year group, there were two studies with a moderate to high risk of bias and a total of only 52 patients. The studies reporting on subacromial spacers had a higher failure rate and loss to follow-up. A randomised trial comparing balloon spacer to arthroscopic debridement demonstrated superior shoulder scores following arthroscopic debridement at 12 months [94]. A further trial comparing subacromial spacers to PCR demonstrated non-inferiority of subacromial spacers at 12 months [95]. However, despite improvement in the first 12-24 months after PCR and subacromial spacers, our work shows a decline in PROMs after this point, which is most prominent following subacromial spacers.

Reverse shoulder arthroplasty (RSA) was not included in this review. The use of RSA is rapidly expanding. However, they are performed infrequently in the absence of glenohumeral osteoarthritis, particularly in younger patients. RSA can be effective at mid- to long-term follow-up in patients with massive tears, with or without arthritic disease [96-98]. Ernstbrunner et al. reported that improvement in shoulder scores may be sustained over 10 years in the presence of massive tears; however, survivorship was 85% at 5 years and 70% at 15 years [97]. Other series demonstrate a sustained improvement in shoulder scores and a lower incidence of complications in the absence of glenohumeral osteoarthritis; however, these remain a concern [98, 99]. The National Joint Registry of England, Wales and Northern Ireland report a risk of revision of 3.85% at 8 years in all patients who receive a RSA, with a median patient age of 76 years [100]. Current evidence would suggest caution and shared decisionmaking when considering RSA in younger patients without osteoarthritis.

Latissimus dorsi and trapezius tendon transfers show encouraging results in specific patient groups. Recent reviews report large increases in shoulder scores across several series [16, 101, 102]; improved shoulder function may persist over 10 years[103]. A comparative study by Cavalier demonstrated an inferior Constant score at 12 months with latissimus dorsi tendon transfer compared to PCR and RSA, and a randomised trial of 42 patients reported inferior functional scores for LDTT compared to SCR [16, 68].

This review included a broad and comprehensive search strategy and included a large number of patients not included in earlier work. Previous systematic reviews have attempted to compare procedures for irreparable or massive rotator cuff tears [104, 105]. We have captured multiple studies not included in previous reviews. This is the first study to include a quantitative synthesis across a large number of studies accounting for different outcome scores.

This study has limitations. The quality of included studies varied, and reliable conclusions cannot be made about the comparative effectiveness of each procedure. Although further well-constructed and appropriately powered randomised trails comparing these techniques are warranted, they are practically challenging given the varied nature of tendon reparability and tendon quality in patients with large and massive tears. Despite an accepted definition of an irreparable tendon as one that cannot be brought back to the tendon footprint without undue tension, intra-operative assessments may differ and the techniques used to bring the tendon back to the footprint, such as interval slide and marginal convergence, are not routinely described-the decision of whether a tendon can be brought to the greater tuberosity may influence outcomes in borderline cases. We did not classify studies according to pre-operative management. This was poorly reported; dedicated anterior deltoid strengthening work can improve shoulder function in patients with irreparable cuff tears [106]. We did investigate the change in shoulder scores, rather than postoperative score alone which would account for any large differences in pre-operative function.

#### Conclusion

In adult patients with large and massive irreparable rotator cuff tears arthroscopic debridement, arthroscopic PCR, SCR, subacromial balloon spacers and graft interposition lead to improved early- and mid-term patientreported outcomes and functional scores. Retear rates are 21% for SCR, 21% for graft interposition, and 45% for arthroscopic PCR. Mid- to long-term follow-up is necessary to further investigate whether there is a significant decline in shoulder function with time.

# Appendix

Complete results tables: shoulder scores *Partial cuff repair* 

See Table 11.

 Table 11
 Partial cuff repair—included studies

| Author and Date   | No. of shoulders<br>at f/u—PROMS | Study<br>results<br>pooled | Functional/<br>PROMs outcomes<br>pooled | Pre-op scores  | 12-month scores  | 24-month scores                          | X-month scores<br>(specified<br>minimum<br>duration in<br>months) |
|-------------------|----------------------------------|----------------------------|---|--|--|--|---|
| Baverel [13]      | 26                               | Yes                        | Constant,<br>ASES,<br>SSV,<br>SST       | 36.7 (16.6)<br>33.5 (16.4)<br>37.5 (15.4)<br>3.5 (2.6) | 64.8 (13.7)<br>78.3 (19.3)<br>73.3 (17.5)<br>8.3 (2.4) |  |   |
| Berth [14]        | 21                               | Yes                        | Constant,<br>QuickDASH                  | 45.9 (9.2)<br>64.6 (11.9)                              | 79.4 (17.5)<br>16 (16.1)                               | 72.8 (16)<br>23.8 (16.8)                 |   |
| Besnard [15]      | 20                               | Yes                        | Constant                                | 31 (9.2)   |  | 77.1 (13)                                | 72.8 (14.4)–85 m  |
| Cavalier [16]     | 67                               | No                         | Constant,<br>ASES,<br>SSV               | 51 (n/r)<br>34 (n/r)<br>42 (n/r)                       | 72 (n/r)<br>77.9 (n/r)<br>73 (n/r)                     |  |   |
| Chen [17]         | 37                               | Yes                        | Constant,                               | 45.95 (20.56)  |  | 78.59 (14.29)                            |   |
| Cuff [18]         | 28                               | Yes                        | ASES,<br>SST                            | 46.6 (6.9)<br>5.6 (1.3)                                | 84.2 (4.1)<br>10.2 (0.8)                               |  | 79.3 (7.8)–60 m<br>9.1 (1.4)–60 m                                 |
| Di Benedetto [19] | 31                               | Yes                        | Constant                                | 46.5 (11.5)  |  |  | 70.82 (14.66)–79 m  |
| Farazdaghi [20]   | 14                               | No                         | ASES<br>Penn                            | 41.2 (10.1)<br>42.0 (12.5)<br>Not PCR group<br>alone   |  |  | 70.6 (32.9)–60 m<br>71.1 (30.4)–60 m                              |
| Franceschi [21]   | 34                               | Yes                        | UCLA                                    | 8.6 (4.1)  |  | 32.2 (3.6)                               | 28.8 (4.2)–60 m   |
| Galasso [22]      | 95                               | Yes                        | Constant,<br>SST                        | 39.1 (8.4)<br>n/r                                      |  | 76.3 (9.7)<br>9.1 (2.2)                  |   |
| Greiner [23]      | 20                               | No                         | Constant,<br>DASH                       | 50.7 (n/r)<br>n/r                                      |  | 82.7 (8.4)<br>7.8 (11.1)                 |   |
| Heuberer [24]     | 41                               | No                         | Constant<br>QuickDASH                   | In graph form only                                     | 67.5 (9.9)<br>20.5 (14.4)                              |  |   |
| Holtby [25]       | 73                               | No                         | Constant,<br>ASES                       | 44.03 (n/r)<br>42.69 (n/r)                             |  | 73.73 (n/r)<br>71.42 (n/r)               |   |
| Jeong [26]        | 33                               | Yes                        | UCLA,<br>ASES,<br>SSV,                  | 15.1 (3)<br>40.9 (8.9)<br>38.4 (8.4)                   |  |  | 27.5 (2.8)–60 m<br>82.6 (7.1)–60 m<br>83.1 (6.8)–60 m             |
| Kim [27]          | 27                               | Yes                        | Constant,<br>SST                        | 43.6 (7.9)<br>5.1 (1.2)                                |  | 74.1 (10.6)<br>8.8 (2.1)                 |   |
| Kim [28]          | 19                               | Yes                        | UCLA,<br>ASES,<br>SST                   | 15.4 (2.9)<br>40.3 (9.3)<br>4.7 (1.4)                  |  | 28.4 (3.2)<br>85.6 (8.3)<br>8.8 (1.8)    |   |
| Lee [29]          | 42                               | Yes                        | UCLA,<br>Constant                       | 20.5 (4.2)<br>41.2 (6.7)                               |  | 30.9 (2.3)<br>88.8 (7.9)                 |   |
| Malahias [30]     | 16                               | Yes                        | Constant,<br>ASES                       | 41.7 (15.6)<br>51 (16.5)                               | 69.6 (19.7)<br>79.8 (18.8)                             |  |   |
| Mori [31]         | 48                               | No                         | UCLA,<br>Constant,<br>ASES              | 13.7 (3.1)<br>36.3 (9.9)<br>41.8 (11.3)                | 27.3 (6.1)<br>72.9 (16.8)<br>84.2 (19.7)               |  |   |
| Moser [32]        | 11                               | No                         | SPADI                                   | Collected but not<br>reported                          |  | 29.5 (n/r)                               |   |
| Pandey [33]       | 13                               | Yes                        | Constant,<br>OSS                        | 43.1 (3.9)<br>17.8 (3.6)                               |  | 70.8 (5.3)<br>37.1 (2.4)                 |   |
| Park [34]         | 37                               | Yes                        | Constant,<br>ASES,<br>KSS               | 78 (11.6)<br>51.5 (22.7)<br>62.2 (14.1)                | 87.4 (8.3)<br>72.8 (19.2)<br>77.4 (12.6)               | 91.0 (7.4)<br>78.5 (18.5)<br>82.2 (13.2) |   |

#### Table 11 (continued)

| Author and Date | No. of shoulders<br>at f/u—PROMS | Study<br>results<br>pooled | Functional/<br>PROMs outcomes<br>pooled | Pre-op scores                | 12-month scores              | 24-month scores             | X-month scores<br>(specified<br>minimum<br>duration in<br>months) |
|-----------------|----------------------------------|----------------------------|---|------------------------------|------------------------------|-----------------------------|---|
| Paribelli [35]  | 20                               | Yes                        | UCLA                                    | 7.3 (2.5)                    | 30.3 (4.2)                   |                             |   |
| Porcellini [36] | 67                               | Yes                        | Constant,<br>SST                        | 44 (14.1)<br>4.6 (2.3)       |                              |                             | 73 (11.9)–60 m<br>9.0 (1.8) –60 m                                 |
| Shon [37]       | 31                               | Yes                        | ASES,<br>SST                            | 41.97 (15.08)<br>3.61 (2.58) | 76.37 (17.01)<br>6.33 (2.58) | 73.78 (21.55)<br>6.07 (3.4) |   |
| Wellmann [38]   | 38                               | No                         | Constant                                | 56 (n/r)                     | 71 (n/r)                     |                             |   |

PCR-partial cuff repair, LDTT—latissimus dorsi tendon transfer, Constant—Constant-Murley Score, QuickDASH–Disabilities of the Arm, Shoulder and Hand Score, ASES—American Shoulder and Elbow Score, SSV—Subjective Shoulder Value, SST—Simple Shoulder Test, SPADI—Shoulder Pain and Disability Index, UCLA—The University of California at Los Angeles Shoulder Score, KSS—Korean Shoulder Score, OSS—Oxford Shoulder Score

# Graft interposition

See Table 12.

#### Table 12 Graft interposition—included studies

| Author and Date              | No. of<br>shoulders<br>at f/u | Study<br>results<br>pooled | Outcomes<br>measured | Pre-op scores (SD)                    | 12-month scores<br>(SD)                | 24-month scores<br>(SD)                                  | X-month scores—<br>specified duration<br>(SD) |
|------------------------------|-------------------------------|----------------------------|----------------------|---------------------------------------|--|--|---|
| Audenaert [39]               | 39                            | No                         | Constant             | 25.7 (n/r)                            |  | 72.1 (n/r)   |   |
| Badhe [40]                   | 10                            | Yes                        | Constant             | 41.5 (17.5)                           | 62.5 (14.2)                            |  | 62.2 (14.5)–36 m                              |
| Bond [41]                    | 16                            | Yes                        | Constant<br>UCLA     | 53.9 (10.6)<br>18.4 (4.2)             | 84 (8.9)<br>30.4 (4.0)                 |  |   |
| Dukan [42]                   | 23                            | Yes                        | Constant             | 34.7 (7.8)                            |  | 78.3 (16.5)  |   |
| Gupta [43]                   | 24                            | No                         | Constant             | 66.6 (n/r)                            |  | 88.7 (17.7)  |   |
| Gupta [44]                   | 27                            | No                         | Constant             | 62.7 (n/r)                            |  | 91.8 (13.3)  |   |
| Kim [45]                     | 24                            | No                         | UCLA, ASES,<br>SST   | 17 (n/r)<br>50 (n/r)                  |  | 30 (n/r)<br>83 (n/r)                                     |   |
| Kokkalis [ <mark>46</mark> ] | 21                            | Yes                        | ASES                 | 25.2 (6.78)                           | 74.4 (16.13)                           | 14 pts 24 months<br>(pre-op 25.5, 6.38)<br>(72.4, 14.23) |   |
| Modi [47]                    | 61                            | No                         | OSS                  | 26 median (n/r)                       | 42 median (n/r)                        |  |   |
| Mori [31]                    | 48                            | No                         | UCLA, Constant, ASES | 14.3 (2.9)<br>37.4 (8.1)<br>40.8 (13) | 28.6 (4.3)<br>73.6 (6.6)<br>84.9 (8.1) |  |   |
| Nada [48]                    | 21                            | No                         | Constant             | 46.7 (n/r)                            |  |  | 36 months-84.5 (n/r)                          |
| Pandey [33]                  | 13                            | Yes                        | Constant, OSS        | 41.2 (3.1)<br>14.9 (3.5)              |  | 83.9 (6)<br>43.9 (2.4)                                   |   |
| Petrie [49]                  | 31                            | No                         | OSS (old score)      | 46.7 (n/r)                            |  | 30.6 (n/r)   |   |
| Rhee [50]                    | 31                            | No                         | UCLA, Constant       | 12.5 (n/r)<br>48.4 (n/r)              |  | 31.1 (n/r)<br>81.8 (n/r)                                 |   |
| Rhee [51]                    | 24                            | Yes                        | ASES, QuickDASH,     | 45.4 (19.1)<br>50 (17.9)              | 81.6 (17.6)<br>14.2 (20)               |  |   |
| Sano [52]                    | 14                            | Yes                        | JOA                  | 54.6 (9.3)                            | 83.1 (7.5)                             |  |   |
| Dimitrios [53]               | 68                            | Yes                        | Constant             | 32.5 (8.74)                           | 88.7 (7.44)                            |  |   |
| Wong [54]                    | 45                            | No                         | UCLA                 | 18.4 (n/r)                            |  | 27.5 (n/r)   |   |

JOA—Japanese Orthopaedic Association Score

# Superior capsule reconstruction

See Table 13.

| Author and Date             | No. of shoulders<br>at f/u—PROMS | Study<br>results<br>pooled | Functional/<br>PROMs outcomes<br>measured | Pre-op scores               | 12-month scores            | 24-month scores             | X-month scores<br>(specified)                                     |
|-----------------------------|----------------------------------|----------------------------|---|-----------------------------|----------------------------|-----------------------------|---|
| Alarcon [55]                | 31                               | No                         | Constant                                  | 36.0 (n/r)                  |                            | 78.7 (n/r)                  |   |
| Barth [56]                  | 24                               | Yes                        | Constant,<br>ASES,<br>SST                 | 50 (13)<br>45 (19)<br>9 (2) |                            | 77 (10)<br>80 (15)<br>8 (3) |   |
| Burkhart [57]               | 41                               | Yes                        | ASES,<br>SSV                              | 52 (3)<br>39 (n/r)          | 90 (1)<br>88 (n/r)         | 89 (2)<br>83 (n/r)          |   |
| Denard [58]                 | 59                               | Yes                        | ASES,<br>SSV,                             | 43.6 (18.6)<br>35.0 (19.9)  | 77.5 (22)<br>76.3 (25.2)   |                             |   |
| Ferrando [59]               | 52                               | Yes                        | ASES,<br>SSV                              | 41 (19)<br>39 (17)          | 86 (16)<br>80 (18)         | 90 (9)<br>80 (11)           |   |
| Greiner [23]                | 20                               | No                         | Constant,<br>DASH                         | 49.7 (n/r)<br>n/r           |                            | 77.1 (10.5)<br>15.6 (15.4)  |   |
| Kim [60]                    | 45                               | No                         | Constant,<br>ASES                         | 64.9 (10.9)<br>60.9 (12.7)  | 80.0 (9.4)<br>82.2 (9.2)   | 80.0 (9.1)<br>82.7 (9.3)    | Healed and<br>unhealed reported<br>separately<br>throughout paper |
| Kocaoglu [61]               | 26                               | Yes                        | ASES<br>QuickDASH                         | 48.5 (15.5)<br>53.6 (15.2)  | 82.6 (15)<br>12.5 (5)      |                             |   |
| LaBelle [62]                | 28                               | Yes                        | SST,<br>ASES                              | 21.6 (17.6)<br>28.3 (10.1)  |                            | 66.6 (22.6)<br>68.2 (19.2)  |   |
| Lacheta [ <mark>63</mark> ] | 21                               | No                         | ASES,<br>QuickDASH                        | 54 (n/r)<br>37.6 (n/r)      |                            | 83.9 (n/r)<br>16.2 (n/r)    |   |
| Lee and Min [64]            | 36                               | No                         | Constant,<br>ASES                         | 56.3 (8.8)<br>50.9 (8.9)    | 84.3 (4.5)<br>85.1 (4.4)   |                             | Healed and<br>unhealed reported<br>separately<br>throughout paper |
| Lim [65]                    | 31                               | Yes                        | Constant,<br>ASES                         | 51.7 (13.9)<br>54.4 (17.9)  | 63.7 (8.1)<br>73.7 (10.8)  |                             |   |
| Mihata [5]                  | 24                               | Yes                        | ASES,<br>JOA                              | 23.5 (14.4)<br>48.3 (13)    |                            | 92.9 (11.3)<br>92.6 (9)     |   |
| Okamura [66]                | 35                               | No                         | ASES                                      | 42.4 (n/r)                  |                            | 63.2 (n/r)                  |   |
| Ohta [67]                   | 35                               | Yes                        | UCLA,<br>JOA                              | 15.3 (3.77)<br>62.3 (9.49)  | 30.1 (3.11)<br>84.6 (5.66) |                             |   |
| Ozturk [68]                 | 20                               | Yes                        | Constant,<br>ASES                         | 36.6 (12.5)<br>23.2 (12.7)  |                            | 81.1 (11.3)<br>81.7 (12.3)  |   |
| Pashuck [69]                | 14                               | Yes                        | ASES                                      | 55 (17)                     | 83.3 (16)                  | 86.5 (9)                    |   |
| Pennington [70]             | 88                               | Yes                        | ASES                                      | 52.2 (19.3)                 | 81.56 (10.21)              | 85.3 (n/r)                  |   |
| Polacek [71]                | 20                               | Yes                        | SPADI                                     | 51.3 (19.2)                 | 10.4 (8.8)                 |                             |   |
| Polacek [72]                | 24                               | Yes                        | SPADI                                     | 59.0 (19.4)                 | 9.7 (12.3)                 |                             |   |
| Takayama [73]               | 46                               | Yes                        | ASES                                      | 52.4 (12.6)                 |                            | 86.1 (13.8)                 |   |

# Table 13 Superior capsule reconstruction—included studies

# Arthroscopic debridement

See Table 14.

# Table 14 Arthroscopic debridement—included studies

| Author and Date             | No. of shoulders<br>at f/u—PROMS | Study<br>results<br>pooled | Functional/<br>PROMs<br>outcomes<br>measured | Pre-op scores                     | 12-month scores                    | 24-month scores          | X-month scores<br>(specified)       |
|-----------------------------|----------------------------------|----------------------------|--|-----------------------------------|------------------------------------|--------------------------|-------------------------------------|
| Berth [14]                  | 21                               | Yes                        | Constant,                                    | 37 (13.6)                         | 61.3 (19.9)                        | 24.2 m 50.4 (15.3)       |                                     |
|                             |                                  |                            | QuickDASH                                    | 69.6 (10.5)                       | 29.7 (19.7)                        |                          | 35.3 (18.6)                         |
| Blanke [74]                 | 59                               | No                         | Constant                                     | 33.9 (range only)                 |                                    | 54.5 (range only)        |                                     |
| Boileau [75]                | 72                               | Yes                        | Constant                                     | 46.3 (11.9)                       |                                    | 66.5 (16.3)              |                                     |
| Cavalier [16]               | 67                               | No                         | Constant,<br>ASES,<br>SSV                    | 52 (n/r)<br>30.5 (n/r)<br>43(n/r) | 62 (n/r)<br>59.9 (n/r)<br>65 (n/r) |                          |                                     |
| Franceschi [21]             | 34                               | Yes                        | UCLA   | 7.6 (2.6)                         |                                    | 23.2 (2.8)               | 21.4 (3.7)–60 m                     |
| Heuberer [24]               | 41                               | No                         | Constant<br>QuickDASH                        | In graph form only                | 65.8 (14.7)<br>24.1 (20.6)         |                          |                                     |
| Klinger [ <mark>76</mark> ] | 33                               | No                         | Constant                                     | 37 (n/r)                          |                                    | 67 (n/r)                 |                                     |
| Lee [77]                    | 32                               | No                         | Constant,<br>UCLA                            | 47.6 (n/r)<br>15.4 (n/r)          |                                    | 70.4 (n/r)<br>27.1 (n/r) |                                     |
| Mirzaee [78]                | 12                               | Yes                        | UCLA   | 9.2 (0.8)                         | 27.5 (0.8)                         |                          |                                     |
| Park [79]                   | 16                               | Yes                        | UCLA,<br>Constant                            | 10.3 (2.7)<br>39.6 (7.6)          | 26.8 (5.4)<br>58.8 (9.7)           |                          | 28.3 (4.6)–60 m<br>60.3 (10.2)–60 m |
| Scheibel [80]               | 22                               | No                         | Constant                                     | 65.9 (n/r)                        | 90.6 (n/r)                         |                          |                                     |
| Vad [81]                    | 32                               | Yes                        | Shoulder rat-<br>ing question-<br>naire      | 42.3 (1.4)                        |                                    | 81.4 (1.3)               |                                     |
| Veado [82]                  | 12                               | Yes                        | UCLA   | 14.9 (4.6)                        | 29.9 (3.2)                         | 23.7 (3.3)               |                                     |
| Verhelst [83]               | 32                               | Yes                        | Constant                                     | 34.9 (11.6)                       | 84 (11.6)                          |                          |                                     |

# Balloon spacer

See Table 15.

 Table 15
 Balloon spacer—included studies

| Author and Date              | No. of<br>shoulders at<br>f/u—PROMS | Study<br>results<br>pooled | Functional/<br>PROMs<br>outcomes<br>measured | unctional/ Pre-op scores 12<br>ROMs<br>utcomes<br>reasured |                                   | 24-month scores                     | X-month scores<br>(specified) |
|------------------------------|-------------------------------------|----------------------------|--|--|-----------------------------------|-------------------------------------|-------------------------------|
| Basat [84]                   | 12                                  | Yes                        | Constant                                     | 25.8 (5.31)  |                                   | 75.4 (6.05)                         |                               |
| Bakti [ <mark>85</mark> ]    | 26                                  | No                         | OSS—in graph<br>form only                    |  |                                   |                                     |                               |
| Deranlot [ <mark>86</mark> ] | 39                                  | Yes                        | Constant                                     | 40 (14.6)  | 59 (13.7)                         |                                     | 64 (3 year) (13.6)            |
| Familiari [87]               | 51                                  | Yes                        | Constant                                     | 27 (7.4)   |                                   | 77 (15)                             |                               |
| Gervasi [ <mark>88</mark> ]  | 15                                  | No                         | Constant,                                    | 31.9 (n/r)   | 69.8 (n/r)                        |                                     |                               |
|                              |                                     |                            | ASES   | 24.5 (n/r)   | 76 (n/r)                          | 72.5 (n/r)                          |                               |
| Gervasi [89]                 | 40                                  | Yes                        | Constant,<br>ASES                            | 28.6 (11.6)<br>24.4 (11.8)                                 |                                   | 67.9 (16.7)<br>84.2 (21.0)          |                               |
| lban [90]                    | 10                                  | No                         | Constant,<br>SST,<br>QuickDASH               | 35 median (n/r)<br>3 median (n/r)<br>37 median (n/r)       | 62.5 (n/r)<br>5 (n/r)<br>30 (n/r) | 53.5 (n/r)<br>6 (n/r)<br>27.5 (n/r) |                               |
| Lorente [91]                 | 15                                  | No                         | Constant                                     | 30 (range)   | 47 (range)                        |                                     |                               |
| Maman [92]                   | 42                                  | No                         | Constant                                     | 36 (n/r)   | 65.8 (n/r)                        | 70.8 (n/r)                          |                               |
| Senekovic [93]               | 18                                  | Yes                        | Constant                                     | 33.41(13.34)<br>20 pts                                     | 60.46 (22.98)<br>18 pts           |                                     | 65.42 (25.23)–36 m<br>16 pts  |

# **Forest plots**

PCR 12 months

|   | Р     | ost-op |       |       | Pre-op |       | :      | Std. Mean Difference | Std. Mean Difference                     |
|---|-------|--------|-------|-------|--------|-------|--------|----------------------|--|
| Study or Subgroup   | Mean  | SD     | Total | Mean  | SD     | Total | Weight | IV, Random, 95% CI   | IV, Random, 95% CI                       |
| Baverel 2020  | 64.8  | 13.7   | 26    | 36.7  | 16.6   | 26    | 13.2%  | 1.82 [1.16, 2.47]    | +  |
| Berth 2010  | 79.4  | 17.5   | 21    | 45.9  | 9.2    | 21    | 12.9%  | 2.35 [1.55, 3.15]    |  |
| Cuff 2016   | 84.2  | 4.1    | 28    | 46.6  | 6.9    | 28    | 11.5%  | 6.53 [5.17, 7.89]    |  |
| Malahlas 2020   | 69.6  | 19.7   | 16    | 41.7  | 15.6   | 16    | 12.9%  | 1.53 [0.73, 2.33]    |  |
| Mori 2013   | 73.6  | 6.6    | 24    | 37.4  | 8.1    | 24    | 12.1%  | 4.82 [3.66, 5.97]    |  |
| Paribelli 2015  | 30.3  | 4.2    | 20    | 7.3   | 2.5    | 20    | 10.8%  | 6.52 [4.89, 8.15]    |  |
| Park 2018   | 87.4  | 8.3    | 37    | 78    | 11.6   | 37    | 13.4%  | 0.92 [0.44, 1.40]    | +  |
| Shon 2015   | 76.37 | 17.01  | 31    | 41.97 | 15.08  | 31    | 13.2%  | 2.11 [1.48, 2.74]    | +  |
| Total (95% CI)  |       |        | 203   |       |        | 203   | 100.0% | 3.18 [2.03, 4.33]    | •  |
| Heterogeneity: $Tau^2 = 2.51$ ; $Ch^2 = 116.59$ , $df = 7$ ( $P < 0.00001$ ); $P = 94\%$<br>Test for overall effect: $Z = 5.42$ ( $P < 0.00001$ ) |       |        |       |       |        |       |        |                      |  |
|   |       |        |       |       |        |       |        |                      | Favours (experimental) Favours (control) |

# PCR 24 months

|                         | Р         | ost-op   |        | 1        | Pre-op  |        | :                    | Std. Mean Difference | Std. Mean Difference                                      |
|-------------------------|-----------|----------|--------|----------|---------|--------|----------------------|----------------------|---|
| Study or Subgroup       | Mean      | SD       | Total  | Mean     | SD      | Total  | Weight               | IV, Random, 95% CI   | IV, Random, 95% CI  |
| Berth 2010              | 72.8      | 16       | 21     | 45.9     | 9.2     | 21     | 10.5%                | 2.02 [1.27, 2.78]    | +   |
| Besnard 2020            | 77.1      | 13       | 20     | 31       | 9.2     | 20     | 9.6%                 | 4.01 [2.90, 5.13]    |   |
| Chen 2017               | 78.59     | 14.29    | 37     | 45.95    | 20.56   | 37     | 10.7%                | 1.82 [1.28, 2.37]    | -   |
| Franceschi 2015         | 32.3      | 3.6      | 34     | 8.6      | 4.1     | 34     | 9.7%                 | 6.07 [4.92, 7.23]    |   |
| Galasso 2017            | 76.3      | 9.7      | 90     | 39.1     | 8.4     | 90     | 10.8%                | 4.08 [3.57, 4.60]    | +   |
| Kim 2012                | 74.1      | 10.6     | 27     | 43.6     | 7.9     | 27     | 10.4%                | 3.22 [2.39, 4.04]    | -   |
| Kim 2013                | 85.6      | 8.3      | 19     | 40.3     | 9.3     | 19     | 9.3%                 | 5.03 [3.68, 6.39]    | _ <del></del>   |
| Lee 2020                | 88.8      | 7.9      | 42     | 41.2     | 6.7     | 42     | 9.9%                 | 6.44 [5.35, 7.52]    |   |
| Pandey 2017             | 70.8      | 5.4      | 13     | 43.1     | 3.9     | 13     | 8.2%                 | 5.70 [3.85, 7.54]    |   |
| Shon 2015               | 73.78     | 21.55    | 31     | 41.97    | 15.08   | 31     | 10.7%                | 1.69 [1.10, 2.27]    | +   |
| Total (95% CI)          |           |          | 334    |          |         | 334    | 100.0%               | 3.92 [2.88, 4.96]    | •   |
| Heterogeneity: Tau2 -   | - 2.53; 0 | hr² = 14 | 4.53.  | df = 9 ( | P < 0.0 | 0001); | r <sup>2</sup> = 94% |                      |   |
| Test for overall effect | : Z = 7.4 | 1 (P < ( | 0.0000 | 1)       |         |        |                      |                      | –10 –5 0 5 10<br>Favours [experimental] Favours [control] |

# PCR 5 years

|   | P       | ost-op    |       |       | Pre-op |       | :      | Std. Mean Difference | Std. Mean Difference                     |
|---|---------|-----------|-------|-------|--------|-------|--------|----------------------|--|
| Study or Subgroup                               | Mean    | SD        | Total | Mean  | SD     | Total | Weight | IV, Random, 95% CI   | IV, Random, 95% CI                       |
| Besnard 2020                                    | 72.8    | 14.4      | 20    | 31    | 9.2    | 20    | 16.2%  | 3.39 [2.39, 4.39]    |  |
| Cuff 2016                                       | 79.3    | 7.6       | 28    | 46.6  | 6.9    | 28    | 16.2%  | 4.38 [3.39, 5.37]    |  |
| DiBenedetto 2017                                | 70.82   | 14.66     | 31    | 46.52 | 11.54  | 31    | 17.5%  | 1.82 [1.22, 2.42]    | -  |
| Franceschi 2015                                 | 28.8    | 4.2       | 34    | 7.6   | 2.6    | 34    | 15.6%  | 6.00 [4.86, 7.14]    |  |
| Jeong 2020                                      | 27.5    | 2.8       | 33    | 15.1  | 3      | 33    | 16.6%  | 4.22 [3.34, 5.11]    |  |
| Porcellini 2011                                 | 73      | 11.9      | 67    | 44    | 14.1   | 67    | 17.9%  | 2.21 [1.78, 2.64]    | +  |
| Total (95% CI)                                  |         |           | 213   |       |        | 213   | 100.0% | 3.61 [2.46, 4.76]    | •  |
| Heterogeneity: Tau <sup>2</sup> =               | 1.86; C | :hl² = 61 |       |       |        |       |        |                      |  |
| Test for overall effect: Z = 6.16 (P < 0.00001) |         |           |       |       |        |       |        |                      | Favours [experimental] Favours [control] |

# Graft interposition 12 months

|   | Exp                  | eriment               | tal              | с            | ontrol  |        | 5      | itd. Mean Difference                                      | Std. Mean Difference |
|---|----------------------|-----------------------|------------------|--------------|---------|--------|--------|---|----------------------|
| Study or Subgroup   | Mean                 | SD                    | Total            | Mean         | SD      | Total  | Weight | IV, Random, 95% CI  | IV, Random, 95% CI   |
| Badhe 2008  | 62.5                 | 14.2                  | 10               | 41.5         | 17.5    | 10     | 14.3%  | 1.26 [0.28, 2.24]   |                      |
| Bond 2008   | 64                   | 8.9                   | 16               | 53.9         | 10.6    | 16     | 14.2%  | 3.00 [1.95, 4.04]   |                      |
| Dimitrios 2015  | 88.7                 | 7.4                   | 68               | 32.5         | 8.7     | 68     | 14.5%  | 6.92 [6.02, 7.82]   |                      |
| Kokkalis 2014   | 74.4                 | 16.13                 | 21               | 25.2         | 6.78    | 21     | 14.2%  | 3.90 [2.84, 4.97]   |                      |
| Mori 2013   | 73.6                 | 6.6                   | 24               | 37.4         | 8.1     | 24     | 14.0%  | 4.82 [3.66, 5.97]   |                      |
| Rhee 2017   | 81.6                 | 17.6                  | 24               | 45.4         | 19.1    | 24     | 14.6%  | 1.94 [1.24, 2.63]   | -                    |
| Sano 2010   | 83.1                 | 7.5                   | 14               | 54.6         | 9.3     | 14     | 14.0%  | 3.28 [2.09, 4.46]   |                      |
| Total (95% CI)  |                      |                       | 177              |              |         | 177    | 100.0% | 3.58 [2.07, 5.10]   | ◆                    |
| Heterogeneity: Tau <sup>2</sup> =<br>Test for overall effect: | · 3.91; (<br>Z = 4.6 | Chl² = 1<br>i4 (P < I | 01.31,<br>0.0000 | df = 6<br>1) | (P < 0. | .00001 | -      | -10 -5 0 5 10<br>Favours (experimental) Favours (control) |                      |

Graft interposition 24 months

|  | P       | ost-op   |         | P        | re-op   |       | :        | Std. Mean Difference | Std. Mean              | Difference        |
|--|---------|----------|---------|----------|---------|-------|----------|----------------------|------------------------|-------------------|
| Study or Subgroup                              | Mean    | SD       | Total   | Mean     | SD      | Total | Weight   | IV, Random, 95% CI   | IV, Rando              | m, 95% Cl         |
| Dukan 2019                                     | 78.3    | 16.5     | 23      | 34.7     | 7.8     | 23    | 38.3%    | 3.32 [2.41, 4.24]    |                        |                   |
| Kokkalis 2014                                  | 72.4    | 14.23    | 14      | 25.2     | 6.78    | 14    | 35.5%    | 4.11 [2.73, 5.49]    |                        |                   |
| Pandey 2017                                    | 83.9    | 6        | 13      | 41.2     | 3.1     | 13    | 26.1%    | 8.66 [5.99, 11.33]   |                        | <b>_</b>          |
| Total (95% CI)                                 |         |          | 50      |          |         | 50    | 100.0%   | 5.00 [2.72, 7.27]    |                        | -                 |
| Heterogeneity: Tau <sup>2</sup> =              | 3.29; ( | Chi² = 1 | 3.84, d | f = 2 (f | P = 0.0 |       | -10 -5 - |                      |                        |                   |
| Test for overall effect: Z = 4.31 (P < 0.0001) |         |          |         |          |         |       |          |                      | Favours [experimental] | Favours [control] |

## SCR 12 months

|                                   | P       | ost-op             | 0       | Pre-op   |        |        |            | Std. Mean Difference | Std. Mean Difference                     |
|-----------------------------------|---------|--------------------|---------|----------|--------|--------|------------|----------------------|--|
| Study or Subgroup                 | Mean    | SD                 | Total   | Mean     | SD     | Total  | Weight     | IV, Random, 95% CI   | IV, Random, 95% CI                       |
| Burkhart 2020                     | 90      | 1                  | 41      | 52       | 3      | 41     | 5.4%       | 16.83 [14.16, 19.51] | •  |
| Denard 2018                       | 77.5    | 22                 | 59      | 43.6     | 18.6   | 59     | 11.0%      | 1.65 [1.23, 2.07]    | -  |
| Ferrando 2020                     | 86      | 16                 | 52      | 41       | 19     | 52     | 10.6%      | 2.54 [2.02, 3.06]    | -  |
| Kocaoglu 2020                     | 82.6    | 15                 | 26      | 48.5     | 15.5   | 26     | 10.5%      | 2.20 [1.50, 2.90]    |  |
| Lim 2019                          | 73.7    | 10.8               | 31      | 54.4     | 17.9   | 31     | 10.6%      | 1.29 [0.74, 1.84]    |  |
| Ohta 2020                         | 30.1    | 3.11               | 35      | 15.3     | 3.77   | 35     | 10.1%      | 4.24 [3.37, 5.10]    |  |
| Pashuck 2020                      | 83.3    | 16                 | 14      | 55       | 17     | 14     | 10.1%      | 1.66 [0.79, 2.54]    |  |
| Pennington 2018                   | 81.6    | 10.2               | 66      | 52.2     | 19.3   | 66     | 11.1%      | 1.90 [1.54, 2.25]    | +  |
| Polacek 2019                      | 89.6    | 8.6                | 20      | 48.7     | 19.2   | 20     | 10.1%      | 2.68 [1.81, 3.56]    |  |
| Polacek 2020                      | 90.3    | 12.3               | 24      | 41       | 19.4   | 24     | 10.2%      | 2.99 [2.14, 3.83]    |  |
| Total (95% CI)                    |         |                    | 390     |          |        | 390    | 100.0%     | 3.12 [2.26, 3.98]    | •  |
| Heterogeneity: Tau <sup>2</sup> = | 1.72; ( | Cht <sup>2</sup> = | 163.53  | , df = 1 | 9 (P < | 0.0000 | )1); F = 9 | 4%                   |  |
| Test for overall effect:          | Z = 7.0 | )9 (P <            | : 0.000 | 01)      |        |        |            |                      | Favours [experimental] Favours [control] |

#### SCR 24 months

|   | Pe                 | ost-op            | )      | P   | re-op |       |        | Std. Mean Difference | Std. Mean Difference |
|---|--------------------|-------------------|--------|---|-------|-------|--------|----------------------|----------------------|
| Study or Subgroup   | Mean               | SD                | Total  | Mean  | SD    | Total | Weight | IV, Random, 95% CI   | IV, Random, 95% CI   |
| Barth 2020  | 80                 | 15                | 24     | 45  | 19    | 24    | 11.6%  | 2.01 [1.31, 2.72]    |                      |
| Burkhart 2020   | 89                 | 2                 | 41     | 52  | 3     | 41    | 8.3%   | 14.38 [12.08, 16.67] | •                    |
| Ferrando 2020   | 90                 | 9                 | 52     | 41  | 19    | 52    | 11.9%  | 3.27 [2.68, 3.87]    |                      |
| LaBelle 2021  | 68.2               | 19.2              | 28     | 28.3  | 10.1  | 28    | 11.7%  | 2.56 [1.85, 3.28]    |                      |
| Mihata 2013   | 92.9               | 11.3              | 24     | 23.5  | 14.4  | 24    | 10.6%  | 5.27 [4.04, 6.51]    |                      |
| Ozturk 2021   | 81.1               | 11.3              | 20     | 36.6  | 12.5  | 20    | 11.2%  | 3.66 [2.61, 4.71]    |                      |
| Pashuck 2020  | 86.5               | 9                 | 14     | 55  | 17    | 14    | 11.3%  | 2.25 [1.27, 3.22]    |                      |
| Takayama 2021   | 86.1               | 13.8              | 20     | 52.4  | 12.6  | 20    | 11.5%  | 2.50 [1.65, 3.35]    |                      |
| Takayama 2021   | 91                 | 7.8               | 26     | 54.9  | 12.2  | 26    | 11.5%  | 3.47 [2.59, 4.35]    |                      |
| Total (95% CI)  |                    |                   | 249    |   |       | 249   | 100.0% | 4.04 [2.88, 5.20]    | •                    |
| Heterogeneity: Tau <sup>2</sup> =<br>Test for overall effect: | 2.85; (<br>Z = 6.0 | Chl² =<br>83 (P < | 122.74 | -4 -2 0 2 4<br>Favours [experimental] Favours [control] |       |       |        |                      |                      |

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# Arthroscopic debridement 12 months

|   | Post-                           | op                       | Pre-op  |       |        | Std. Mean Difference | Std. Mean Difference |
|---|---------------------------------|--------------------------|---|-------|--------|----------------------|----------------------|
| Study or Subgroup   | Mean S                          | D Total M                | Mean SD   | Total | Weight | IV, Random, 95% CI   | IV, Random, 95% CI   |
| Berth 2010  | 61.3 19.                        | 9 21                     | 37 13.6   | 21    | 24.1%  | 1.40 [0.72, 2.08]    | *                    |
| Mirzaee 2019  | 27.5 0.                         | 6 12                     | 9.2 0.8   | 12    | 6.6%   | 22.09 [15.21, 28.97] |                      |
| Park 2016   | 26.8 5.                         | 4 16 1                   | 10.3 2.7  | 16    | 22.6%  | 3.77 [2.56, 4.97]    | +                    |
| Veado 2010  | 29.9 3.                         | 2 15 1                   | 14.9 4.6  | 15    | 22.8%  | 3.68 [2.45, 4.91]    | +                    |
| Verheist 2010   | 64 11.                          | 6 32 3                   | 34.9 11.6   | 32    | 23.7%  | 4.18 [3.29, 5.08]    | •                    |
| Total (95% CI)  |                                 | 96                       |   | 96    | 100.0% | 4.48 [2.42, 6.53]    | ◆                    |
| Heterogeneity: Tau <sup>2</sup> =<br>Test for overall effect: | • 4.43; Chl² •<br>: Z = 4.27 (P | = 59.93, df<br>< 0.0001) | -20 -10 0 10 20<br>Favours [experimental] Favours [control] |       |        |                      |                      |

# Arthroscopic debridement 24 months

|  | Po   | ost-op | ,     | P    | re-op |       |        | Std. Mean Difference | Std. Mean Difference  |
|--|------|--------|-------|------|-------|-------|--------|----------------------|---|
| Study or Subgroup  | Mean | SD     | Total | Mean | SD    | Total | Weight | IV, Random, 95% CI   | IV, Random, 95% CI  |
| Berth 2010   | 50.4 | 15.3   | 21    | 37   | 13.6  | 21    | 22.4%  | 0.91 [0.27, 1.55]    | •   |
| Bolleau 2007   | 66.5 | 16.3   | 72    | 46.3 | 11.9  | 72    | 22.6%  | 1.41 [1.04, 1.77]    | •   |
| Franceschi 2015  | 23.2 | 2.8    | 34    | 7.6  | 2.6   | 34    | 21.6%  | 5.71 [4.61, 6.80]    | -   |
| Vad 2002   | 81.4 | 1.3    | 32    | 42.3 | 1.4   | 32    | 11.5%  | 28.59 [23.46, 33.73] |   |
| Veado 2010   | 23.7 | 3.3    | 12    | 12.1 | 4.7   | 12    | 21.7%  | 2.76 [1.59, 3.93]    | +   |
| Total (95% CI)   |      |        | 171   |      |       | 171   | 100.0% | 5.66 [3.17, 8.14]    | •   |
| Heterogeneity: Tau <sup>2</sup> = 7.04; Chi <sup>2</sup> = 167.76, df = 4 (P < 0.00001); i <sup>2</sup> = 98%<br>Test for overall effect: Z = 4.47 (P < 0.00001) |      |        |       |      |       |       |        |                      | -20 -10 0 10 20<br>Favours [experimental] Favours [control] |

|   | Po                 | ost-op   | )                      | P      | re-op  |               | 5                    | Std. Mean Difference | Std. Mean Difference                     |
|---|--------------------|----------|------------------------|--------|--------|---------------|----------------------|----------------------|--|
| Study or Subgroup   | Mean               | SD       | Total                  | Mean   | SD     | Total         | Weight               | IV, Random, 95% CI   | IV, Random, 95% CI                       |
| Berth 2010  | 50.4               | 15.3     | 21                     | 37     | 13.6   | 21            | 25.8%                | 0.91 [0.27, 1.55]    |  |
| Bolleau 2007  | 66.5               | 16.3     | 72                     | 46.3   | 11.9   | 72            | 26.5%                | 1.41 [1.04, 1.77]    | -  |
| Franceschi 2015   | 23.2               | 2.8      | 34                     | 7.6    | 2.6    | 34            | 24.0%                | 5.71 [4.61, 6.80]    |  |
| Veado 2010  | 23.7               | 3.3      | 12                     | 12.1   | 4.7    | 12            | 23.6%                | 2.76 [1.59, 3.93]    |  |
| Total (95% CI)<br>Heterogeneity: Tau <sup>2</sup> =<br>Test for overall effect: | 2.60; (<br>Z = 3.1 | Chi² = : | 139<br>62.80,<br>0.002 | df = 3 | (P < 0 | 139<br>.00001 | 100.0%<br>); F = 957 | 2.63 [0.99, 4.27]    |  |
|   |                    |          |                        |        |        |               |                      |                      | Favours [experimental] Favours [control] |

# Arthroscopic debridement 5 years

|   | Post-                                | op                  | Pre   | e-op | ,     | 5      | Std. Mean Difference | Std. Mean Difference |
|---|--------------------------------------|---------------------|---|------|-------|--------|----------------------|----------------------|
| Study or Subgroup   | Mean SE                              | Total               | Mean  | SD   | Total | Weight | IV, Random, 95% CI   | IV, Random, 95% CI   |
| Franceschi 2015   | 21.4 3.3                             | 34                  | 7.6   | 2.6  | 34    | 50.1%  | 4.27 [3.39, 5.14]    |                      |
| Park 2016   | 58.8 9.3                             | 16                  | 39.6  | 7.6  | 16    | 49.9%  | 2.15 [1.26, 3.04]    |                      |
| Total (95% CI)  |                                      | 50                  |   |      | 50    | 100.0% | 3.21 [1.13, 5.28]    |                      |
| Heterogeneity: Tau <sup>2</sup> =<br>Test for overall effect: | 2.04; Chl <sup>2</sup><br>Z = 3.03 ( | = 11.00<br>• = 0.00 | -4 -2 0 2 4<br>Favours [experimental] Favours [control] |      |       |        |                      |                      |

# Balloon 12 months

|   | Post-op Pre-op |       |       |       | Std. Mean Difference | Std. Mean Difference |        |                    |  |
|---|----------------|-------|-------|-------|----------------------|----------------------|--------|--------------------|--|
| Study or Subgroup   | Mean           | SD    | Total | Mean  | SD                   | Total                | Weight | IV, Random, 95% CI | IV, Random, 95% CI                       |
| Derankot 2017   | 59             | 13.7  | 39    | 40    | 14.6                 | 39                   | 68.2%  | 1.33 [0.84, 1.82]  |  |
| Senekovic 2013  | 60.46          | 22.98 | 18    | 33.41 | 13.34                | 20                   | 31.6%  | 1.43 [0.71, 2.15]  |  |
| Total (95% CI)  |                |       | 57    |       |                      | 59                   | 100.0% | 1.36 [0.95, 1.77]  |  |
| Heterogenety: Tau <sup>2</sup> = 0.00; Ch <sup>2</sup> = 0.05, df = 1 (P = 0.82); $l^2 = 0\%$ |                |       |       |       |                      |                      |        |                    |  |
| Test for overall effect: Z = 6.55 (P < 0.00001)   |                |       |       |       |                      |                      |        |                    | Favours [experimental] Favours [control] |

# Balloon 24 months

|   | Post-o                                    | р                    | Pre-op           |   | :      | Std. Mean Difference | Std. Mean Difference |
|---|---|----------------------|------------------|---|--------|----------------------|----------------------|
| Study or Subgroup   | Mean SD                                   | Total                | Mean SD          | Total   | Weight | IV, Random, 95% CI   | IV, Random, 95% CI   |
| Basat 2017  | 75.4 6.05                                 | 12                   | 25.8 5.31        | 12  | 21.7%  | 8.41 [5.69, 11.14]   |                      |
| Familiari 2020  | 77 15                                     | 51                   | 27 7.4           | 51  | 38.9%  | 4.20 [3.49, 4.90]    | +                    |
| Gervasi 2021  | 67.9 16.7                                 | 40                   | 28.6 11.6        | 40  | 39.4%  | 2.71 [2.09, 3.32]    | * · · · · ·          |
| Total (95% CI)  | -   | 103                  | Anan Maria Maria | 103   | 100.0% | 4.53 [2.68, 6.38]    | •                    |
| Heterogeneity: Tau <sup>2</sup> =<br>Test for overall effect: | 2.16; Chi <sup>2</sup> =<br>Z = 4.80 (P · | 22.66, d<br>< 0.0000 | -                | -10 -5 0 5 10<br>Favours [experimental] Favours [control] |        |                      |                      |

# Balloon 3 years

|  | Р                      | Post-op Pre-op          |                       |                | :        | Std. Mean Difference | Std. Mean Difference |                    |   |
|--|------------------------|-------------------------|-----------------------|----------------|----------|----------------------|----------------------|--------------------|---|
| Study or Subgroup  | Mean                   | SD                      | Total                 | Mean           | SD       | Total                | Weight               | IV, Random, 95% CI | IV, Random, 95% CI                                      |
| Derankot 2017  | 64                     | 13.6                    | 39                    | 40             | 14.6     | 39                   | 68.4%                | 1.68 [1.16, 2.20]  |   |
| Senekovic 2013   | 65.42                  | 25.23                   | 16                    | 33.41          | 13.34    | 20                   | 31.6X                | 1.61 [0.84, 2.37]  |   |
| Total (95% CI)<br>Heterogeneity: Tau <sup>2</sup> -<br>Test for overall effect | = 0.00; C<br>: Z = 7.5 | :hi² = ().<br>6 (P < () | 55<br>03, df<br>00000 | = 1 (P =<br>L) | • 0.87); | 59<br>F = 0%         | 100.0%               | 1.66 [1.23, 2.09]  | -4 -2 0 2 4<br>Favours [experimental] Favours [control] |

# Complications

Partial cuff repair

| Author and Date                | Number of<br>shoulders in<br>PCR group for<br>which f/u data<br>available | No. of revision<br>procedures for<br>failure procedure | Post-op imaging<br>performed—no. of<br>patients | Number<br>retears on<br>imaging | Infections   | Additional<br>complications |
|--------------------------------|---|--|---|---------------------------------|--------------|-----------------------------|
| Baverel [13]                   | 26  | 0  | 0   | n/a                             | 0            | 0                           |
| Berth [14]                     | 21  | 1  | 21  | 11                              | 0            | 0                           |
| Besnard [15]                   | 20  | 4  | 0   | n/a                             | n/r          | n/r                         |
| Cavalier [16]                  | 61  | 0  | 0   | n/a                             | 1            | 1 anchor migration          |
| Chen [17]                      | 37  | 0  | Unknown   | Unknown                         | n/r          | n/r                         |
| Cuff [18]                      | 28  | 3  | 0   | n/a                             | 0            | 0                           |
| DiBenedetto [19]               | 31  | 0  | 0   | n/a                             | n/r          | n/r                         |
| Farazdaghi [ <mark>20</mark> ] | 14  | 4  | 0   | n/a                             | 0            | 0                           |
| Franceschi [21]                | 34  | n/r  | 0   | n/a                             | n/r          | n/r                         |
| Galasso [22]                   | 95  | 8  | 0   | n/a                             | n/r          | n/r                         |
| Greiner [23]                   | 20  | 0  | 0   | n/a                             | 0            | 0                           |
| Heuberer [24]                  | 22  | 1  | Unknown   | Unknown                         | 2 (washouts) | 0                           |
| Holtby [25]                    | 73  | n/r  | 0   | n/a                             | n/r          | n/r                         |
| Jeong [ <mark>26</mark> ]      | 33  | 0  | 33  | 28                              | n/r          | n/r                         |
| Kim [27]                       | 27  | 0  | 0   | n/r                             | n/r          | n/r                         |
| Kim [28]                       | 19  | 0  | 0   | n/r                             | n/r          | n/r                         |
| Lee [29]                       | 42  | 0  | 42  | 10                              | 0            | 0                           |
| Malahias [30]                  | 16  | 0  | 0   | n/a                             | 1            | 0                           |
| Mori [31]                      | 24  | 0  | 24  | 10                              | 0            | 0                           |
| Moser [32]                     | 11  | 0  | 0   | n/a                             | 0            | 0                           |
| Pandey [33]                    | 13  | 0  | 13  | 4                               | 0            | 0                           |

| Author and Date | Number of<br>shoulders in<br>PCR group for<br>which f/u data<br>available | No. of revision<br>procedures for<br>failure procedure | Post-op imaging<br>performed—no. of<br>patients | Number<br>retears on<br>imaging | Infections | Additional<br>complications       |
|-----------------|---|--|---|---------------------------------|------------|-----------------------------------|
| Park [34]       | 37  | 0  | 37  | 14                              | 0          | 0                                 |
| Paribelli [35]  | 20  | 0  | 0   | n/a                             | n/r        | n/r                               |
| Porcellini [36] | 67  | 0  | 0   | n/a                             | 0          | 2 stiffness (capsular<br>release) |
| Shon [37]       | 31  | 0  | 0   | n/a                             | n/r        | n/r                               |
| Wellmann [38]   | 38  | 0  | 0   | n/a                             | n/r        | n/r                               |

# Graft interposition

| Author and Date              | Number of<br>shoulders in<br>graft group for<br>which f/u data<br>available | No. of revision<br>procedures | Post-op imaging<br>performed—no. of<br>patients | Number of<br>retears on<br>imaging | Infections  | Additional<br>complications         |
|------------------------------|---|-------------------------------|---|------------------------------------|-------------|-------------------------------------|
| Audernaert [39]              | 39  | 0                             | 39  | 4                                  | 0           | 0                                   |
| Badhe [40]                   | 10  | 0                             | 10  | 2                                  | 0           | 0                                   |
| Bond [41]                    | 16  | 0                             | 16  | 3                                  | 0           | 0                                   |
| Dukan [42]                   | 23  | 5                             | 18  | 9                                  | 0           | 2 intraop partial glenoid fractures |
| Gupta [ <mark>43</mark> ]    | 24  | 0                             | 19  | 5                                  | 0           | 0                                   |
| Gupta [44]                   | 27  | 0                             | 22  | 6                                  | 0           | 0                                   |
| Kim [45]                     | 24  | 0                             | 24  | 5                                  | 0           | 0                                   |
| Kokkalis [ <mark>46</mark> ] | 21  | 0                             | 0   | n/a                                | 0           | 0                                   |
| Modi [47]                    | 61  | 1                             | 14  | 2                                  | 1 (washout) | 0                                   |
| Mori [31]                    | 24  | 0                             | 24  | 5                                  | 0           | 0                                   |
| Nada [ <mark>48</mark> ]     | 21  | 1                             | 21  | 0                                  | 0           | 0                                   |
| Pandey [33]                  | 13  | 0                             | 13  | 1                                  | 0           | 0                                   |
| Petrie [49]                  | 31  | 2                             | 0   | n/a                                | 0           | 0                                   |
| Rhee [50]                    | 31  | 1                             | 14  | 5                                  | 0           | 0                                   |
| Rhee [51]                    | 24  | 0                             | 24  | 13                                 | n/r         | n/r                                 |
| Sano [52]                    | 14  | 0                             | 14  | 1                                  | 0           | 0                                   |
| Dimitrios [53]               | 68  | 0                             | 30  | 3                                  | 0           | 0                                   |
| Wong [54]                    | 45  | 0                             | 0   | n/a                                | 1 (washout) | 0                                   |

# Superior capsule reconstruction

| Author and Date              | Number of<br>shoulders in SCR<br>group for which<br>f/u data available | No. of revision<br>procedures       | Post-op imaging<br>performed—no. of<br>patients | Number of<br>retears on<br>imaging | Infections | Additional<br>complications                     |
|------------------------------|--|-------------------------------------|---|------------------------------------|------------|---|
| Alarcon [55]                 | 31   | 0                                   | 29  | 4                                  | 0          | 1 haematoma                                     |
| Barth [56]                   | 24   | 0                                   | 24  | 2                                  | n/r        | n/r   |
| Burkhart [57]                | 41   | 2 (post-falls)                      | 26  | 4                                  | 0          | 1 stroke<br>1 tear biceps tenodesis             |
| Denard [58]                  | 59   | 0                                   | 20  | 11                                 | 1          | 1 biceps pain                                   |
| Ferrando [59]                | 56   | 4                                   | 56  | 14                                 | n/r        | n/r   |
| Greiner [23]                 | 20   | 0                                   | 20  | 1                                  | n/r        | n/r   |
| Kim [60]                     | 45   | 0                                   | 45  | 6                                  | 0          | 1 skin dehiscence, 2<br>Popeye sign             |
| Kocaoglu [ <mark>61</mark> ] | 26   | 0                                   | 12  | 2                                  | n/r        | n/r   |
| LaBelle [62]                 | 35   | 4                                   | 21  | 13                                 | n/r        | n/r   |
| Lacheta [63]                 | 22   | 1                                   | 21  | 5                                  | n/r        | n/r   |
| Lee and Min [64]             | 36   | 0                                   | 36  | 13                                 | n/r        | n/r   |
| Lim [65]                     | 31   | 0                                   | 31  | 9                                  | 0          | 1 PE  |
| Mihata [5]                   | 24   | 0                                   | 24  | 4                                  | 0          | 0   |
| Okamura [ <mark>66</mark> ]  | 35   | 0                                   | 35  | 2                                  | 0          | 1 synovitis                                     |
| Ohta [67]                    | 35   | 1                                   | 35  | 7                                  | 0          | 1 severe synovitis<br>1 anchor dislodgement     |
| Ozturk [68]                  | 20   | 0                                   | 20  | 1                                  | 0          | 1 significant stiffness                         |
| Pashuck [69]                 | 14   | 1                                   | 14  | 2                                  | 0          | 0   |
| Pennington [70]              | 88   | 1                                   | Only with Sx                                    | -                                  | n/r        | n/r   |
| Polacek [71]                 | 20   | 5                                   | Only with Sx                                    | -                                  | 0          | 3 acute graft rejection                         |
| Polacek [72]                 | 24   | 3 incl. 1 fascia lata site revision | Only with Sx                                    | -                                  | 0          | 1 fascia lata muscle pro-<br>lapse. 1 haematoma |
| Takayama [73]                | 46   | 0                                   | Only with Sx                                    | -                                  |            | 1 swelling at donor site<br>1 shoulder swelling |

# Debridement

| Author and Date         | Number of shoulders in<br>debridement group for which<br>f/u data available | No. of revision<br>procedures | Infections  | Additional complications   |
|-------------------------|---|-------------------------------|-------------|--|
| Berth [14]              | 21  | 1                             | 0           | 0  |
| Blanke [74]             | 59  | 0                             | 0           | 0  |
| Boileau [75]            | 72  | 3                             | 1 (washout) | 2 persistent pain. 1 shoulder<br>stiffness, 2 GHJ OA, 3 pseudo<br>paralysis      |
| Cavalier [16]           | 26  | 0                             | 0           | 0  |
| Franceschi [21]         | 34  | n/r                           | n/r         | n/r  |
| Heuberer [24]           | 23  | 1                             | 0           | 0  |
| Klinger [76]            | 41  | 0                             | 0           | 3 pseudoparalysis 2 pain 1 stiff-<br>ness. 2 GHJ OA. 1 humeral head<br>migration |
| Lee [77]                | 32  | 4                             | n/r         | n/r  |
| Mirzaee [78]            | 12  | 0                             | 0           | 2 GHJ OA   |
| Park [79]               | 16  | 1                             | 0           | 0  |
| Scheibel [80]           | 23  | 1                             | 0           | 1 haematoma  |
| Vad [ <mark>81</mark> ] | 32  | 0                             | n/r         | n/r  |
| Veado [82]              | 15  | 0                             | n/r         | n/r  |
| Verhelst [83]           | 32  | 2                             | n/r         | n/r  |

| Author and Date   | Number of shoulders in<br>balloon spacer group for<br>which f/u data available | No. of revision procedures | Infections | Additional complications |
|-------------------|--|----------------------------|------------|--------------------------|
| Basat [84]        | 12   | 0                          | n/r        | n/r                      |
| Bakti [85]        | 26   | 1                          | 0          | 0                        |
| Deranlot [86]     | 39   | 1                          | 0          | 0                        |
| Familiari [87]    | 51   | 6                          | 0          | 0                        |
| Gervasi [88]      | 15   | 1                          | 0          | 0                        |
| Gervasi [89]      | 40   | 3                          | 0          | 0                        |
| Iban [90]         | 16   | 5                          | 0          | 0                        |
| Lorente 2017 [91] | 15   | 3                          | n/r        | n/r                      |
| Maman [92]        | 42   | 0                          | n/r        | n/r                      |
| Senekovic [93]    | 20   | 0                          | 0          | 0                        |

# **Balloon spacer**

# **Risk of bias**

All arms of relevant non-comparative and comparative studies were treated as individual series. The risk-of-bias results for the abbreviated 7-point MINORS instrument

for non-comparative studies were applied to all articles and presented here. The results of the extended MINORS instrument for comparative studies are presented in the final section of this document.

#### PCR

| Author and Date  | Clearly<br>state aim | Inclusion of<br>consecutive<br>patients | Prospective data collection | Unbiased<br>endpoint<br>assessment | Follow-up<br>appropriate | Loss to<br>f/u < 5% | Prospective<br>calculation of<br>sample size |
|------------------|----------------------|---|-----------------------------|------------------------------------|--------------------------|---------------------|--|
| Baverel [13]     | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Berth [14]       | 2                    | 1                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Besnard [15]     | 2                    | 2                                       | 1                           | 2                                  | 2                        | 0                   | 0  |
| Cavalier [16]    | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2 <b>0</b>          |  |
| Chen [17]        | 2                    | 1                                       | 1                           | 2                                  | 2                        | 1                   | 0  |
| Cuff [18]        | 2                    | 1                                       | 1                           | 2                                  | 2                        | 1                   | 0  |
| DiBenedetto [19] | 2                    | 2                                       | 1                           | 2                                  | 2                        | 2                   | 0  |
| Farazdaghi [20]  | 2                    | 1                                       | 1                           | 2                                  | 2                        | 1                   | 2  |
| Franceschi [21]  | 2                    | 1                                       | 2                           | 2                                  | 2                        | 2                   | 2  |
| Galasso [22]     | 2                    | 2                                       | 2                           | 2                                  | 2                        | 0                   | 0  |
| Greiner [23]     | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 2  |
| Heuberer [24]    | 2                    | 1                                       | 2                           | 2                                  | 2                        | 0                   | 0  |
| Holtby [25]      | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Jeong [26]       | 2                    | 1                                       | 1                           | 2                                  | 2                        | 1                   | 0  |
| Kim [27]         | 2                    | 2                                       | 1                           | 2                                  | 2                        | 2                   | 0  |
| Kim [28]         | 2                    | 2                                       | 1                           | 2                                  | 2                        | 2                   | 0  |
| Lee [29]         | 2                    | 1                                       | 1                           | 2                                  | 2                        | 0                   | 0  |
| Malahias [30]    | 2                    | 1                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Mori [31]        | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Moser [32]       | 2                    | 1                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Pandey [33]      | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Park [34]        | 2                    | 1                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Paribelli [35]   | 2                    | 1                                       | 2                           | 2                                  | 2                        | 2                   | 0  |

| Author and Date | Clearly<br>state aim | Inclusion of<br>consecutive<br>patients | Prospective data collection | Unbiased<br>endpoint<br>assessment | Follow-up<br>appropriate | Loss to<br>f/u < 5% | Prospective<br>calculation of<br>sample size |
|-----------------|----------------------|---|-----------------------------|------------------------------------|--------------------------|---------------------|--|
| Porcellini [36] | 2                    | 2                                       | 2                           | 2                                  | 2                        | 1                   | 0  |
| Shon [37]       | 2                    | 1                                       | 1                           | 2                                  | 2                        | 2                   | 0  |
| Wellmann [38]   | 2                    | 1                                       | 1                           | 2                                  | 2                        | 2                   | 0  |

# Graft interposition

| Author and<br>Date           | Clearly<br>state aim | Inclusion of<br>consecutive<br>patients | Prospective data collection | Unbiased<br>endpoint<br>assessment | Follow-up<br>appropriate | Loss to<br>f/u < 5% | Prospective<br>calculation of<br>sample size |
|------------------------------|----------------------|---|-----------------------------|------------------------------------|--------------------------|---------------------|--|
| Audernaert [39]              | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Badhe [40]                   | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Bond [41]                    | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Dukan [42]                   | 2                    | 2                                       | 2                           | 2                                  | 2                        | 1                   | 0  |
| Gupta [ <mark>43</mark> ]    | 1                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Gupta [44]                   | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Kim [45]                     | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Kokkalis [ <mark>46</mark> ] | 1                    | 2                                       | 1                           | 2                                  | 2                        | 2                   | 0  |
| Modi [47]                    | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Mori [31]                    | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Nada [48]                    | 1                    | 0                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Pandey [33]                  | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Petrie [49]                  | 2                    | 2                                       | 2                           | 2                                  | 2                        | 1                   | 0  |
| Rhee [50]                    | 2                    | 1                                       | 2                           | 2                                  | 2                        | 1                   | 0  |
| Rhee [51]                    | 1                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Sano [ <mark>52</mark> ]     | 2                    | 1                                       | 0                           | 2                                  | 2                        | 2                   | 0  |
| Varvitsiotis [53]            | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Wong [54]                    | 1                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |

# Superior capsule reconstruction

| Author and Date             | Clearly<br>state aim | Inclusion of<br>consecutive<br>patients | Prospective data collection | Unbiased<br>endpoint<br>assessment | Follow-up<br>appropriate | Loss to<br>f/u < 5% | Prospective<br>calculation of<br>sample size |
|-----------------------------|----------------------|---|-----------------------------|------------------------------------|--------------------------|---------------------|--|
| Alarcon [55]                | 2                    | 2                                       | 1                           | 2                                  | 2                        | 2                   | 0  |
| Barth [56]                  | 2                    | 2                                       | 1                           | 2                                  | 2                        | 1                   | 0  |
| Burkhart [57]               | 2                    | 2                                       | 1                           | 2                                  | 2                        | 1                   | 0  |
| Denard [58]                 | 2                    | 1                                       | 2                           | 2                                  | 2                        | 1                   | 0  |
| Ferrando [59]               | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Greiner [23]                | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 2  |
| Kim [ <mark>60</mark> ]     | 2                    | 2                                       | 1                           | 2                                  | 2                        | 1                   | 0  |
| Kocaoglu [61]               | 2                    | 2                                       | 1                           | 2                                  | 2                        | 2                   | 0  |
| LaBelle [62]                | 2                    | 2                                       | 1                           | 2                                  | 2                        | 1                   | 0  |
| Lacheta [ <mark>63</mark> ] | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Lee and Min [64]            | 2                    | 2                                       | 1                           | 2                                  | 2                        | 1                   | 0  |
| Lim [65]                    | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Mihata [5]                  | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Okamura [ <mark>66</mark> ] | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 2  |

| Author and Date | Clearly<br>state aim | Inclusion of<br>consecutive<br>patients | Prospective data collection | Unbiased<br>endpoint<br>assessment | Follow-up<br>appropriate | Loss to<br>f/u < 5% | Prospective<br>calculation of<br>sample size |
|-----------------|----------------------|---|-----------------------------|------------------------------------|--------------------------|---------------------|--|
| Ohta [67]       | 2                    | 1                                       | 1                           | 2                                  | 2                        | 1                   | 0  |
| Ozturk [68]     | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Pashuck [69]    | 2                    | 1                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Pennington [70] | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Polacek [71]    | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Polacek [72]    | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Takayama [73]   | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |

# **Balloon spacer**

| Author and<br>Date            | Clearly state<br>aim | Inclusion of<br>consecutive<br>patients | Prospective data collection | Unbiased<br>endpoint<br>assessment | Follow-up<br>appropriate | Loss to<br>f/u < 5% | Prospective<br>calculation of<br>sample size |
|-------------------------------|----------------------|---|-----------------------------|------------------------------------|--------------------------|---------------------|--|
| Basat [84]                    | 2                    | 1                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Batki [85]                    | 2                    | 1                                       | 2                           | 2                                  | 2                        | 0                   | 0  |
| Deranlot [86]                 | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Familiari [ <mark>87</mark> ] | 2                    | 1                                       | 2                           | 2                                  | 2                        | 0                   | 0  |
| Gervasi [ <mark>88</mark> ]   | 2                    | 1                                       | 2                           | 2                                  | 2                        | 0                   | 0  |
| Gervasi [ <mark>89</mark> ]   | 2                    | 1                                       | 2                           | 2                                  | 2                        | 1                   | 0  |
| lban [90]                     | 2                    | 2                                       | 2                           | 2                                  | 2                        | 0                   | 0  |
| Lorente [91]                  | 2                    | 2                                       | 2                           | 2                                  | 2                        | 1                   | 0  |
| Maman [ <mark>92</mark> ]     | 2                    | 1                                       | 2                           | 2                                  | 2                        | 0                   | 0  |
| Senekovic [93]                | 2                    | 1                                       | 2                           | 2                                  | 2                        | 1                   | 0  |

# Debridement

| Author and<br>Date | Clearly state<br>aim | Inclusion of<br>consecutive<br>patients | Prospective data collection | Unbiased<br>endpoint<br>assessment | Follow-up<br>appropriate | Loss to<br>f/u < 5% | Prospective<br>calculation of<br>sample size |
|--------------------|----------------------|---|-----------------------------|------------------------------------|--------------------------|---------------------|--|
| Berth [14]         | 2                    | 1                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Blanke [74]        | 2                    | 1                                       | 1                           | 2                                  | 2                        | 2                   | 0  |
| Boileau [75]       | 2                    | 1                                       | 1                           | 2                                  | 2                        | 2                   | 0  |
| Cavalier [16]      | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Franceschi [21]    | 2                    | 1                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Heuberer [24]      | 2                    | 1                                       | 2                           | 2                                  | 2                        | 0                   | 0  |
| Klinger [76]       | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Lee [77]           | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Mirzaee [78]       | 2                    | 2                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Park [79]          | 2                    | 1                                       | 1                           | 2                                  | 2                        | 1                   | 0  |
| Scheibel [80]      | 2                    | 1                                       | 2                           | 2                                  | 2                        | 2                   | 0  |
| Vad [81]           | 2                    | 0                                       | 0                           | 2                                  | 2                        | 0                   | 0  |
| Veado [82]         | 2                    | 0                                       | 2                           | 2                                  | 2                        | 1                   | 0  |
| Verhelst [83]      | 2                    | 1                                       | 2                           | 2                                  | 2                        | 1                   | 0  |

# Additional full risk-of-bias table for comparative studies

| Author<br>and Date                | Comparisons                            | Clearly<br>state aim | Inclusion of<br>consecutive<br>patients | Prospective<br>data<br>collection | Unbiased<br>endpoint<br>assessment | Follow-up<br>appropriate<br>t | Loss to<br>e f/u < 5% | Prospective<br>calculation of<br>sample size | Adequate<br>control<br>group | e Contemporar<br>groups | yBaseline<br>equivalence<br>of groups | Adequate<br>statistical<br>analyses |
|-----------------------------------|--|----------------------|---|-----------------------------------|------------------------------------|-------------------------------|-----------------------|--|------------------------------|-------------------------|---------------------------------------|-------------------------------------|
| Baverel [13                       | ] PCR vs LDTT                          | 2                    | 2                                       | 2                                 | 2                                  | 2                             | 2                     | 0  | 2                            | 2                       | 2                                     | 2                                   |
| Berth [14]                        | PCR vs debride<br>ment                 | 2-2                  | 1                                       | 2                                 | 2                                  | 2                             | 2                     | 0  | 2                            | 2                       | 2                                     | 2                                   |
| Besnard<br>[15]                   | PCR vs com-<br>plete                   | 2                    | 2                                       | 1                                 | 2                                  | 2                             | 0                     | 0  | 2                            | 2                       | 0                                     | 2                                   |
| Cavalier<br>[16]                  | PCR vs debride<br>ment                 | 2-2                  | 2                                       | 2                                 | 2                                  | 2                             | 2                     | 0  | 2                            | 2                       | 1                                     | 2                                   |
| Farazdaghi<br>[ <mark>20</mark> ] | PCR vs com-<br>plete                   | 2                    | 1                                       | 1                                 | 2                                  | 2                             | 1                     | 2  | 2                            | 2                       | 0                                     | 2                                   |
| Franceschi<br>[21]                | PCR vs debride<br>ment                 | 2-2                  | 1                                       | 2                                 | 2                                  | 2                             | 2                     | 2  | 2                            | 2                       | 2                                     | 2                                   |
| Greiner [23                       | ] PCR vs SCR                           | 2                    | 2                                       | 2                                 | 2                                  | 2                             | 2                     | 2  | 2                            | 2                       | 2                                     | 2                                   |
| Heuberer<br>[24]                  | PCR vs debride<br>ment                 | 2-2                  | 1                                       | 2                                 | 2                                  | 2                             | 0                     | 0  | 2                            | 2                       | 1                                     | 2                                   |
| Holtby [25]                       | PCR vs com-<br>plete                   | 2                    | 2                                       | 2                                 | 2                                  | 2                             | 2                     | 0  | 2                            | 2                       | 1                                     | 2                                   |
| Jeong [26]                        | PCR vs com-<br>plete                   | 2                    | 1                                       | 1                                 | 2                                  | 2                             | 1                     | 0  | 2                            | 2                       | 1                                     | 2                                   |
| Kim [28]                          | PCR vs com-<br>plete                   | 2                    | 2                                       | 2                                 | 2                                  | 2                             | 2                     | 0  | 2                            | 2                       | 2                                     | 2                                   |
| Malahias<br>[ <mark>30</mark> ]   | PCR vs PCR and<br>balloon              | d <i>2</i>           | 1                                       | 2                                 | 2                                  | 2                             | 2                     | 0  | 2                            | 2                       | 0                                     | 2                                   |
| Mori [31]                         | PCR vs graft interposition             | 2                    | 2                                       | 2                                 | 2                                  | 2                             | 2                     | 0  | 2                            | 2                       | 2                                     | 2                                   |
| Moser [ <mark>32</mark> ]<br>2007 | PCR vs<br>complete vs<br>debridement   | 2                    | 1                                       | 2                                 | 2                                  | 2                             | 2                     | 0  | 2                            | 2                       | 2                                     | 2                                   |
| Pandey [33                        | ] PCR vs graft<br>interposition        | 2                    | 2                                       | 2                                 | 2                                  | 2                             | 2                     | 0  | 2                            | 2                       | 0                                     | 2                                   |
| Park [34]                         | PCR vs aug-<br>mentation               | 2                    | 1                                       | 2                                 | 2                                  | 2                             | 2                     | 0  | 2                            | 2                       | 2                                     | 2                                   |
| Paribelli<br>[35]                 | PCR vs LDTT                            | 2                    | 1                                       | 2                                 | 2                                  | 2                             | 2                     | 0  | 2                            | 2                       | 2                                     | 2                                   |
| Barth [ <mark>56</mark> ]         | SCR vs<br>complete vs<br>augmentation  | 2                    | 2                                       | 1                                 | 2                                  | 2                             | 1                     | 0  | 2                            | 2                       | 2                                     | 2                                   |
| Kocaoglu<br>[ <mark>61</mark> ]   | SCR vs SCR and<br>PCR                  | d 2                  | 2                                       | 1                                 | 2                                  | 2                             | 2                     | 0  | 2                            | 2                       | 2                                     | 2                                   |
| Okamura<br>[ <mark>66</mark> ]    | SCR <b>1</b> layer<br>graft vs 3 layer | 2<br>s               | 2                                       | 2                                 | 2                                  | 2                             | 2                     | 2  | 2                            | 2                       | 2                                     | 2                                   |
| Ozturk [68]                       | SCR vs LDTT                            | 2                    | 2                                       | 2                                 | 2                                  | 2                             | 2                     | 0  | 2                            | 2                       | 2                                     | 2                                   |

# Search strategy

- 1 Rotator cuff/
- 2 Rotator Cuff Injuries/
- 3 1 or 2
- 4 (massive or large or irreparable).ti,ab.
- 5 3 and 4
- 6 ((massive or large or irreparable) adj3 (rotator cuff\* adj3 (tear\* or rupture\* or injur\*))).ti,ab.
- 7 ((massive or large or irreparable) adj3 (supraspinatus adj3 (tear\* or rupture\* or injur\*))).ti,ab.
- 8 ((massive or large or irreparable) adj3 (infraspinatus adj3 (tear\* or rupture\* or injur\*))).ti,ab.
- 9 ((massive or large or irreparable) adj3 (subscapularis adj3 (tear\* or rupture\* or injur\*))).ti,ab.
- 10 ((massive or large or irreparable) adj3 (teres minor adj3 (tear\* or rupture\* or injur\*))).ti,ab.
- 11 ((massive or large or irreparable) adj3 (posterosuperior adj3 (tear\* or rupture\* or injur\*))).ti,ab.

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12 6 or 7 or 8 or 9 or 10 or 11 13 5 or 12 14 Arthroscopy/ 15 Debridement/ 16 arthroscop\*.ti,ab. 17 debridement\*.ti,ab. 18 Superior capsul\* reconstruction\*.ti,ab. 19 capsul\* reconstruction\*.ti,ab. 20 Reconstructive Surgical Procedures/ 21 Reconstruct\*.ti,ab. 22 Repair\*.ti,ab. 23 Tenotomy/ 24 Tenodesis/ 25 tenotom\*.ti.ab. 26 tenodesis.ti.ab. 27 partial\*.ti,ab. 28 14 or 15 or 16 or 17 or 18 or 19 or 20 or 21 or 22 or 23 or 24 or 25 or 26 or 27 29 balloon\*.ti.ab. 30 spacer\*.ti,ab. 31 29 or 30 32 graft\*.ti,ab. 33 graftjacket.ti,ab. 34 patch\*.ti,ab. 35 allografts/ 36 autografts/ 37 surgical mesh/ 38 bioprosthesis/ 39 tissue scaffolds/ 40 extracellular matrix/ 41 acellular dermis/ 42 allograft\*.ti,ab. 43 autograft\*.ti,ab. 44 surgical mesh.ti,ab. 45 bioprosthe\*.ti,ab. 46 tissue scaffold\*.ti,ab. 47 extracellular matrix.ti,ab. 48 acellular dermal matrix.ti.ab. 49 bioartificial tendon\*.ti,ab. 50 augment\*.ti,ab. 51 "Zimmer Collagen Repair Patch".ti,ab. 52 "Permacol".ti,ab. 53 "TissueMend".ti.ab. 54 "BioBlanket".ti.ab. 55 "Conexa".ti.ab. 56 "AlloPatch".ti,ab. 57 "Shelhigh Encuff Patch".ti,ab. 58 "OrthADAPT".ti.ab. 59 "Restore".ti.ab. 60 "CuffPatch".ti.ab. 61 "Polytape".ti,ab.

62 "SportMesh".ti,ab. 63 "Arthelon".ti,ab. 64 "Gore-tex".ti.ab. 65 "BioFiber".ti.ab. 66 "STR Grafts".ti,ab. 67 "Lars Ligament".ti,ab. 68 "X-repair".ti,ab. 69 32 or 33 or 34 or 35 or 36 or 37 or 38 or 39 or 40 or 41 or 42 or 43 or 44 or 45 or 46 or 47 or 48 or 49 or 50 or 51 or 52 or 53 or 54 or 55 or 56 or 57 or 58 or 59 or 60 or 61 or 62 or 63 or 64 or 65 or 66 or 67 or 68 70 13 and 28 71 13 and 31 72 13 and 69 73 70 or 71 or 72 74 exp animals/ not humans.sh. 75 73 not 7472 13 and 69

73 70 or 71 or 72 74 exp animals/ not humans.sh. 75 73 not 74

#### Abbreviations

CINAHL: Cumulative index to nursing and allied health literature; CT: Computed tomography; MRA: Magnetic resonance arthrogram; PCR: Partial cuff repair; SCR: Superior capsule reconstruction; PROM: Patient-reported outcome measures; PROSPERO: International prospective register of systematic reviews; PRISMA: Preferred Reporting Items for Systematic Reviews and Meta-Analyses; SLAP: Superior labral tear from anterior to posterior; ASES: American Shoulder and Elbow Score; OSS: Oxford Shoulder Score; UCLA: University of California in Los Angeles Shoulder Score; DASH: Disabilities of arm, shoulder and hand score; JOA: Japanese Orthopaedic Association Shoulder Score; MINORS: Methodological index for non-randomized studies; SMD: Standardised mean difference; MRI: Magnetic resonance imaging; LDTT: Latissimus dorsi tendon transfer; QuickDASH: Disabilities of the arm shoulder and hand score; SSV: Subjective shoulder value; SST: Simple shoulder test; SPADI: Shoulder pain and disability index; UCLA: The University of California at Los Angeles shoulder score; KSS: Korean Shoulder Score; SD: Standard deviation; US: Ultrasound; VAS: Visual analogue scale; RSA: Reverse shoulder arthroplasty.

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#### Author's contributions

AD developed the research question, performed the study screening, extracted the data, analysed the data and wrote the review. PS performed the study screening, extracted the data, analysed the data and wrote the review. PR developed the research question and edited the manuscript. SS performed the study screening, analysed the data and edited the manuscript. AM developed the research question and edited the manuscript. All authors read and approved the final manuscript.

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#### Availability of data and materials

Further data are provided in the Appendix and full datasets can be provided by the corresponding author upon reasonable request.

#### Declarations

#### Ethics approval and consent to participate

According to the United Kingdom Health Research Authority, ethical approval was not required for this systematic review.

#### **Consent for publication**

Not applicable.

#### **Competing interest**

The authors declare that they have no competing interests.

#### Author details

<sup>1</sup>Cutrale Perioperative and Aging Group, Department of Bioengineering, Imperial College London, 86 Wood Lane, London W120BZ, UK. <sup>2</sup>Department of Orthopaedics, Imperial College Healthcare NHS Trust, London, UK. <sup>3</sup>Department of Orthopaedics, Royal Berkshire NHS Foundation Trust, Reading, UK.

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