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PD-1/PD-L1 expression profiles within intrahepatic cholangiocarcinoma predict clinical outcome

Lingyu Tian^{1†}, Jiaqiang Ma^{1†}, Lijie Ma^{2†}, Bohao Zheng³, Longzi Liu⁴, Danjun Song¹, Yining Wang¹, Zhao Zhang⁵, Qiang Gao¹, Kang Song^{1*} and Xiaoying Wang^{1*} 

Abstract

Objective: Immunotherapy targeting the programmed cell death protein-1 (PD-1)/programmed cell death protein ligand 1 (PD-L1) pathway has been observed to be efficient in several solid tumors. We aim to investigate the prognostic significance of PD-1/PD-L1 expression profile in intrahepatic cholangiocarcinoma (ICC).

Materials and methods: We investigated the expression of PD-1, PD-L1, CD8⁺ T cells, and CD68⁺ macrophages in paired tumor and adjacent normal tissues from 322 ICC patients using tyramide signal amplification (TSA)-based multiplexed immunohistochemistry.

Results: We found that high proportion of tumor-infiltrating CD8⁺ PD-1^{High} within CD8⁺ PD-1⁺ T cells significantly correlated with advanced TNM stage ($P = 0.035$). ICC patients with high proportion of CD8⁺ PD-1^{High} in CD8⁺ PD-1⁺ had worse postoperative survival than low proportion patients ($P = 0.0037$), which was an independently prognostic factor for OS ($P = 0.025$). The density of CD68⁺ PD-L1⁺ significantly and positively correlated with the density of CD8⁺ PD-1^{High} ($P < 0.0001$, $r = 0.5927$). The proportion of CD68⁺ PD-L1⁺ within CD68⁺ ICC was the risk factor for OS and TTR but not an independently factor for prognosis. The CD68⁺ PD-L1⁺ macrophages and CD8⁺ PD-1^{High} T cells may cooperatively play a role in inhibiting anti-tumor immunity.

Conclusion: CD68⁺ PD-L1⁺ macrophages and CD8⁺ PD-1^{High} T cells predict unfavorable prognosis, which could also bring new progress about immune target therapy in ICC research.

Keywords: Intrahepatic cholangiocarcinoma, Programmed cell death protein-1, Programmed cell death protein ligand 1, Immunotherapy, Tumor immune micro-environment

Synopsis

This research addressed the prognostic value of PD-1/PD-L1 expression on CD8⁺ T cells and CD68⁺ macrophages in intrahepatic cholangiocarcinoma. The CD68⁺ PD-L1⁺ macrophages and CD8⁺ PD-1^{High} T cells may cooperatively play a role in inhibiting anti-tumor immunity.

Immune target therapy may bring better oncological outcomes for ICC patients.

Introduction

Intrahepatic cholangiocarcinoma (ICC), a highly aggressive biliary epithelial malignancy, roughly accounts for 10–15% of primary liver cancer, with an increasing disease morbidity and mortality worldwide [1, 2]. Risk factors of ICC mainly include cholangitis, biliary cirrhosis, hepatolithiasis, and viral hepatitis B/C, indicating that chronic inflammation may facilitate ICC development

* Correspondence: song.kang@zs-hospital.sh.cn; xiaoyingwang@fudan.edu.cn

[†]Lingyu Tian, Jiaqiang Ma and Lijie Ma contributed equally to this work.

¹Department of Liver Surgery and Transplantation, Liver Cancer Institute, Zhongshan Hospital and Key Laboratory of Carcinogenesis and Cancer Invasion, Fudan University, 180 Fenglin Road, Shanghai 200032, China
Full list of author information is available at the end of the article



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[3, 4]. Currently, hepatectomy remains the only potentially curative treatment for ICC patients, but the clinical outcomes of ICC are dismal, with the 5-year postoperative overall survival (OS) rate ranging from 20 to 40% [5, 6]. For those unresectable tumors, the current treatment effects on tumor control in patients remain limited. Therefore, effective therapeutic strategies are urgently needed.

As an inflammatory response, immune response can recognize and kill tumor cells in the process of immune surveillance [7]. Tumor cells naturally or adaptively express immunosuppressive molecules to evade the immune attack, which makes immune escape and immunosuppression play a vital role in the progression of tumor [8, 9]. Recently, immunotherapy that normalizes the immune response in the tumor microenvironment (TME), especially through targeting programmed cell death (PD) pathways, has been shown to achieve high response rates in several malignant tumors [10]. The TME is a complex multicellular functional compartment that includes tumor cells, stromal cells, and immune cells [11]. Macrophages and CD8⁺ T lymphocytes, as part of immune cells in tumor microenvironment, are common in intrahepatic cholangiocarcinoma, and significantly affect the occurrence of intrahepatic cholangiocarcinoma [12]. A previous study has demonstrated that tumor infiltrating lymphocytes (TILs) are associated with prognosis in patients with ICC, and CD8⁺ infiltration is associated with better survival and lower recurrence [13]. Furthermore, CD68⁺ macrophages can affect the progression of tumors with conflicting results. Some studies have reported that high levels of tumor-associated macrophages (TAMs) are associated with better survival in patients with ICC [14], while others showed opposite trends [15].

Immune checkpoints maintain self-tolerance and protection of normal tissues during the immune response, but these checkpoints are often altered by cancer cells to avoid epidemic surveillance [16]. The programmed cell death protein-1 (PD-1)/programmed cell death protein ligand 1 (PD-L1) interactions between tumor cells and CD8⁺ T cells were discovered in hepatocellular carcinoma (HCC), where it was found that an increased distribution of CD8⁺ PD-1⁺ T cells in tumors predicted poor disease progression and postoperative recurrence [17]. Furthermore, the expression of PD-1 in TAMs inhibits phagocytosis and tumor immunity has been reported [18].

There are only a few data available concerning ICC immunotherapy [12]. One previous study has revealed that PD-1/PD-L1 was overexpressed in ICC, and the expression of PD-L1 in the frontier of tumors was associated with a 60% reduction in survival [19]. One case report shows that two recent ICC patients achieved complete remission after PD-1 blockade [20]. In the tumor microenvironment of ICC, there is limited research on the clinical impact of immune cells such as

macrophages, lymphocytes, and immune checkpoints. In this study, we investigated CD68⁺ in macrophages, CD8⁺ T cells, and PD-1/PD-L1, as well as immune cell subgroups classified on PD-1/PD-L1 expression, for their association with clinical features and prognosis of ICC patients, providing some meaningful information for ICC oncological research.

Materials and methods

Patient selection

A total of 322 paraffin-embedded ICC tumor and matched non-tumor tissues were collected from the Liver Cancer Institute, Zhongshan Hospital, Fudan University (Shanghai, China), from 2005 to 2011 [21]. The processing of all sample information meets the requirements of the Declaration of Helsinki. No distant metastases were found in this series, and no prior anti-tumor treatments were performed before surgery. Clinicopathologic variables include age, gender, HBsAg history, liver cirrhosis, carbohydrate antigen 19-9 (CA19-9), Child-Pugh classification, tumor number, size, lymph node metastasis, tumor TNM stage, and microscopic vascular invasion (MVI), which were detailed in Supplementary Table 1. All patients provided signed informed consent.

Follow-up strategy

Follow-up strategy after surgery was along the lines of our previous standard [22]. Overall survival (OS) was the duration from the day of surgery to death of the patients. Nevertheless, if patients were still living by the time of our last follow-up, data of ICC patients were censored [21]. Time to recurrence (TTR) was described as the duration from the date of surgery to confirm the recurrence of the tumor in the relapsed patients, or from the date of surgery to the date of the last observation of the non-relapsed patients [23]. The deadline of the follow-up data collection is October 31, 2016.

Tissue microarray (TMA) construction and multiplex immunohistochemistry

Duplicated tissue cores of 1-mm diameter were obtained from a different area of the same tissue block in tumor and peri-tumor based on H&E staining (designated as intra-tumor and peri-tumor, respectively, a total of four cores). TMA were constructed as previously described [24]. Multispectral immunohistochemistry was performed as previously described in detail in the PerkinElmer Opal kit^R protocol. The antigenic binding sites were visualized using Opal dyes: Opal-570, Opal-540, Opal-650, and Opal-620 were applied to each antibody (Supplementary Figure 1A).

Tissue imaging and image analysis

The PerkinElmer Vectra platform (Vectra 2.07 and 3.03; PerkinElmer) was utilized to exam multiplexed immunostaining TMA slides [25]. The inForm Advanced Image Analysis Software (inForm 2.2.1; PerkinElmer) was implemented to unmixing each reagent from the multi-spectral photographs by utilizing the spectral archives formed from single-colored photographs [25]. R script was applied for quantification of positively stained cell. The gating or statistical analysis strategies were described as previously [17].

Statistical analysis

All statistical calculations were implemented by using SPSS statistic software (v20, IBM, Armonk, NY) and Prism Graphpad 8.0 (GraphPad Soft Inc., San Diego, CA). Paired *t* test, McNemar chi-square test, and Fisher's exact test were applied to determine statistically significant differences between paired data. On the basis of outcome (death and recurrence), we utilized ROC curves and Youden index to delimit the optimal cut-off values. OS and TTR was estimated by Kaplan-Meier curves, and differences between groups were compared by log-rank tests. The Cox regression model was applied to evaluate the univariate and multivariate analyses. Variables with a *P* value < 0.05 according to univariate analyses and those considered to be clinically relevant were included in the multivariate analyses. A two-tailed *P* < 0.05 was regarded as statistically significant.

Results

Baseline characteristics of ICC patients

The clinicopathological characteristics of 322 ICC patients were described in Supplementary Table 1. The median OS time was 27.2 months (range 2.6–60.0 months), and the median TTR time was 12.8 months (range 1.0–60.0 months). The overall cumulative survival rates at 1, 3, 5 years after surgery were 75% (95% CI 71.08–78.92), 45% (95% CI 39.12–50.88), and 34% (95% CI 28.12–39.88%), respectively. The cumulative disease-free survival rates at 1, 3, and 5 years after operation were 55% (95% CI 49.12–60.88%), 38% (95% CI 32.12–43.88%), and 35% (95% CI 29.12–40.88%), respectively. The follow-up data collection was completed on October 31, 2016. The median follow-up time was 27.0 months (range 1.0–60.0 months).

Expression pattern and prognostic value of PD-1 and PD-L1 in ICC

To fully investigate the expression pattern, staining of PD-1/PD-L1 in ICC tissues was performed using TSA-IHC and analyzed using the inForm system (Fig. 1a). Quantitatively, the comparison of PD-1 expression between the whole tumor and non-tumor cores showed

significantly higher expression in tumor tissues than in peri-tumor tissues ($P < 0.0001$). Similarly, PD-L1 expression was higher in tumor tissues than in peri-tumor tissues ($P = 0.0123$) (Fig. 1b). The PD-1 was solely expressed on the non-tumor cells; however, the PD-L1 was primarily expressed on both tumors and non-tumor cells.

To evaluate the clinical importance of PD-1/PD-L1, we estimated the Youden index of PD-1/PD-L1 expression and classified the ICC patients into high and low expression groups on the basis of a cut-off value of positive immunostaining in tumor tissue. As detailed in Table 1, high expression of PD-1 in tumor correlated with low CA19-9 level ($P = 0.006$) and smaller tumor size ($P = 0.015$). High expression of PD-L1 in tumor correlated with the presence of lymph node metastasis ($P = 0.006$) and advanced TNM stage ($P = 0.025$).

We found that ICC patients with high expression of PD-1 has better OS (logrank test: $P < 0.0001$) and prolonged TTR (logrank test: $P < 0.0001$). However, high expression of PD-L1 neither correlated with ICC patients' OS (logrank test: $P = 0.0618$) nor TTR (logrank test: $P = 0.1141$) (Fig. 1c, d).

In the univariate analyses, CA19-9 ($P = 0.001$), tumor size ($P = 0.003$), number ($P = 0.002$), lymph node metastasis ($P < 0.001$), TNM stage ($P < 0.001$), and PD-1 ($P < 0.001$) were found to correlate with OS. In the multivariate analyses, PD-1 ($P < 0.001$, HR = 0.457, 95% CI 0.337–0.619) continued to be the prognostic factor of OS (Table 2).

In terms of TTR, in the univariate analyses, tumor size ($P = 0.006$), number ($P < 0.001$), lymph node metastasis ($P < 0.001$), TNM stage ($P < 0.001$), MVI ($P = 0.026$), and PD-1 ($P < 0.001$) were found to correlate to TTR. In the multivariate analyses, PD-1 ($P < 0.001$, HR = 0.554, 95% CI 0.402–0.763) continued to be the prognostic factor of TTR (Supplementary Table 2).

Collectively, our data showed that PD-1 expression was an independent prognostic factor for OS and TTR, but PD-L1 failed to be a valuable prognostic factor for OS and TTR.

Infiltrating and prognosis value of T cells, macrophages in human ICC

Next, we evaluated the clinical significance of T cells and macrophages. The density of CD8⁺ T cells was higher in peri-tumor cores than in tumor cores ($P < 0.0001$). In addition, the density of CD68⁺ macrophages was more abundant in the tumor than in the peri-tumor ($P < 0.0001$) (Fig. 1b).

The association between the clinicopathological factors and CD8⁺ T cells/CD68⁺ macrophages was presented in Table 1. Youden index was used to categorize high and low expression of CD8 and CD68. High density of CD8⁺ T cells correlated with lower CA19-9 ($P = 0.014$), lower

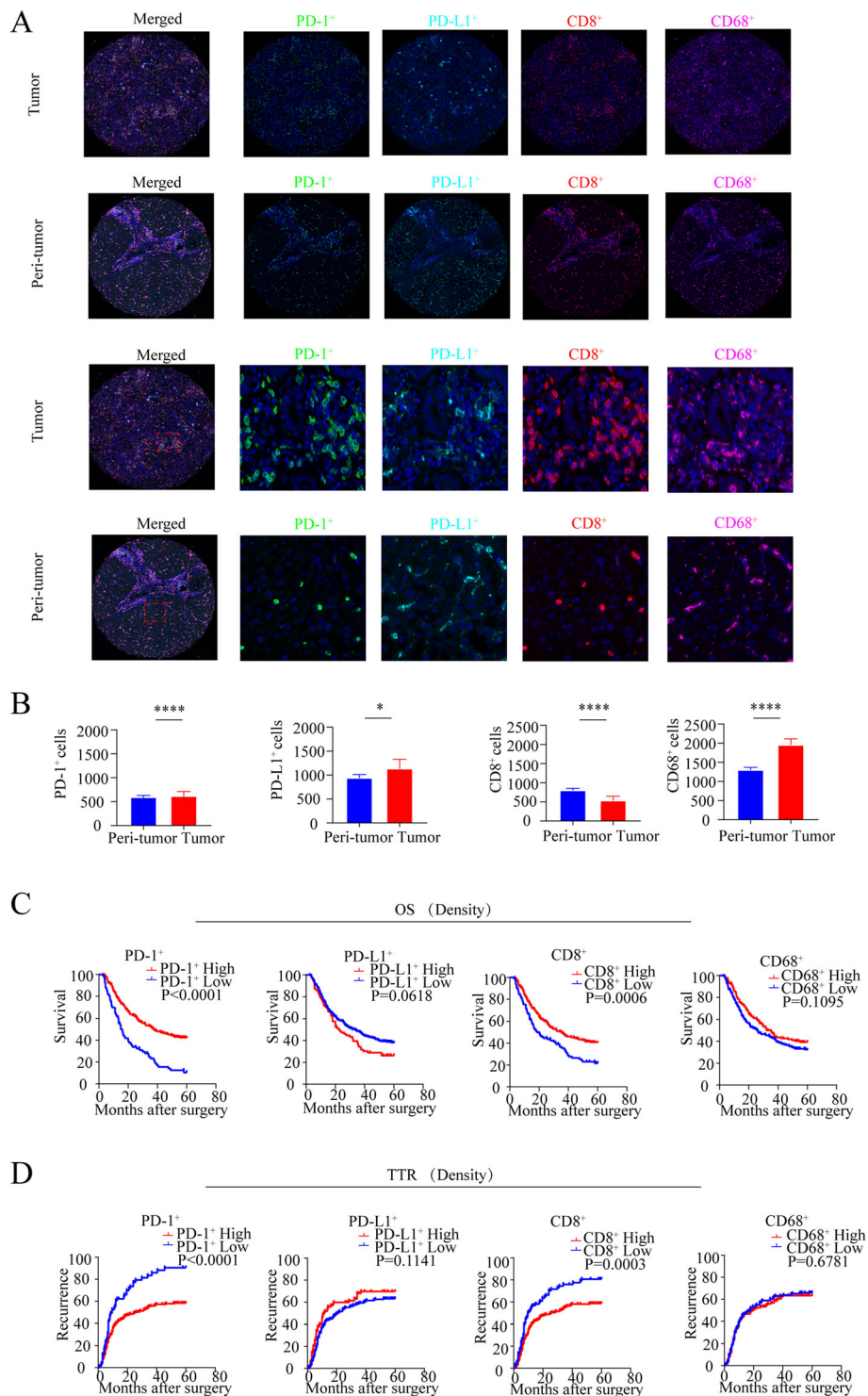


Fig. 1 Distribution and prognostic significance of PD-1, PD-L1, CD8, and CD68 in tumor and peri-tumor by multiplex immunohistochemistry in ICC patients. **a** Representative multiplex immunofluorescence staining with the indicated immune markers in ICC tumor and peri-tumor tissues: green (PD-1), cyan (PD-L1), red (CD8), purple (CD68), and blue (DAPI), respectively. Scale bar, 50 μ m. **b** Quantitative comparisons analysis of the density of PD1⁺, PD-L1⁺, CD8⁺, and CD68⁺, between paired tumor tissues (T) and peri-tumor tissues (P) (Wilcoxon signed-rank test). **c, d** Kaplan-Meier analysis of overall survival (OS) (**c**) and relapse-free survival (RFS) (**d**) in ICC according to the density of PD1⁺, PD-L1⁺, CD8⁺, and CD68⁺ in the 322 ICC patients

Table 1 Correlation between the expression of PD-1, PD-L1, CD8, and CD68 and clinicopathological features

Characteristics	PD-1 ⁺			PD-L1 ⁺			CD8 ⁺			CD68 ⁺		
	Low	High	P	Low	High	P	Low	High	P	Low	High	P
Age, years												
< 60	41	132	0.965	124	49	0.120	54	119	0.646	100	73	0.551
≥ 60	35	114		118	31		43	106		91	58	
Gender												
Female	36	92	0.121	110	18	0.000	49	79	0.010	87	41	0.010
Male	40	154		132	62		48	146		104	90	
HBsAg												
Negative	55	144	0.030	153	46	0.361	67	132	0.078	125	74	0.104
Positive	21	102		89	34		30	93		66	57	
Cirrhosis												
No	58	178	0.495	181	55	0.290	72	164	0.803	142	94	0.606
Yes	18	68		61	25		25	61		49	37	
CA19-9												
Low (≤ 37)	28	135	0.006	128	35	0.156	39	124	0.014	96	67	0.876
High (> 37)	48	111		114	45		58	101		95	64	
Child-Pugh												
A	75	236	0.250	236	75	0.107	97	214	0.027	185	126	0.743
B	1	10		6	5		0	11		6	5	
Tumor size (cm)												
≤ 5	25	120	0.015	107	38	0.609	33	112	0.009	73	72	0.003
> 5	51	126		135	42		64	113		118	59	
Tumor number												
Single	57	187	0.857	188	56	0.164	72	172	0.670	154	90	0.014
Multiple	19	59		54	24		25	53		37	41	
LN invasion												
No	61	205	0.537	208	58	0.006	81	185	0.781	157	109	0.815
Yes	15	41		34	22		16	40		34	22	
TNM stage												
I	55	192	0.306	193	54	0.025	74	173	0.907	144	103	0.500
II–III	21	54		49	26		23	52		47	28	
MVI												
No	63	213	0.422	208	68	0.833	83	193	0.960	164	112	0.926
Yes	13	33		34	12		14	32		27	19	

Chi-square test and Fisher's exact test were performed

HBsAg hepatitis B surface antigen, CA19-9 carbohydrate antigen 19-9, LN lymph node, TNM tumor-nodes-metastasis, MVI microvascular invasion

Child-Pugh stage ($P = 0.027$), and smaller tumor size ($P = 0.009$). High density of CD68⁺ macrophages correlated with smaller tumor size ($P = 0.003$) and tumor number ($P = 0.014$) (Table 1). Furthermore, patients with high density of CD8⁺ T cells has better OS (logrank test: $P = 0.006$) and favorable TTR (logrank test: $P = 0.003$). But CD68⁺ macrophages failed to stratify the OS (logrank test: $P = 0.1095$) and TTR (logrank test: $P = 0.6781$) (Fig. 1c, d). In the multivariate analyses, CD8⁺ T cells were an independent prognostic factor of OS ($P = 0.002$, HR =

0.629, 95% CI 0.470–0.842) and TTR ($P = 0.001$, HR = 0.614, 95% CI 0.454–0.848) (Table 2, Supplementary Table 2).

To sum up, these data identified that CD8⁺ T cells were valuable independent risk factors for both OS and TTR.

The characterization and prognostic implication of PD-1 expression on CD8⁺ T cells

As illustrated in Supplementary Figure 1B, the PD-1 expression is positively correlated with the infiltration of

Table 2 Univariate and multivariate analyses of prognostic features and overall survival

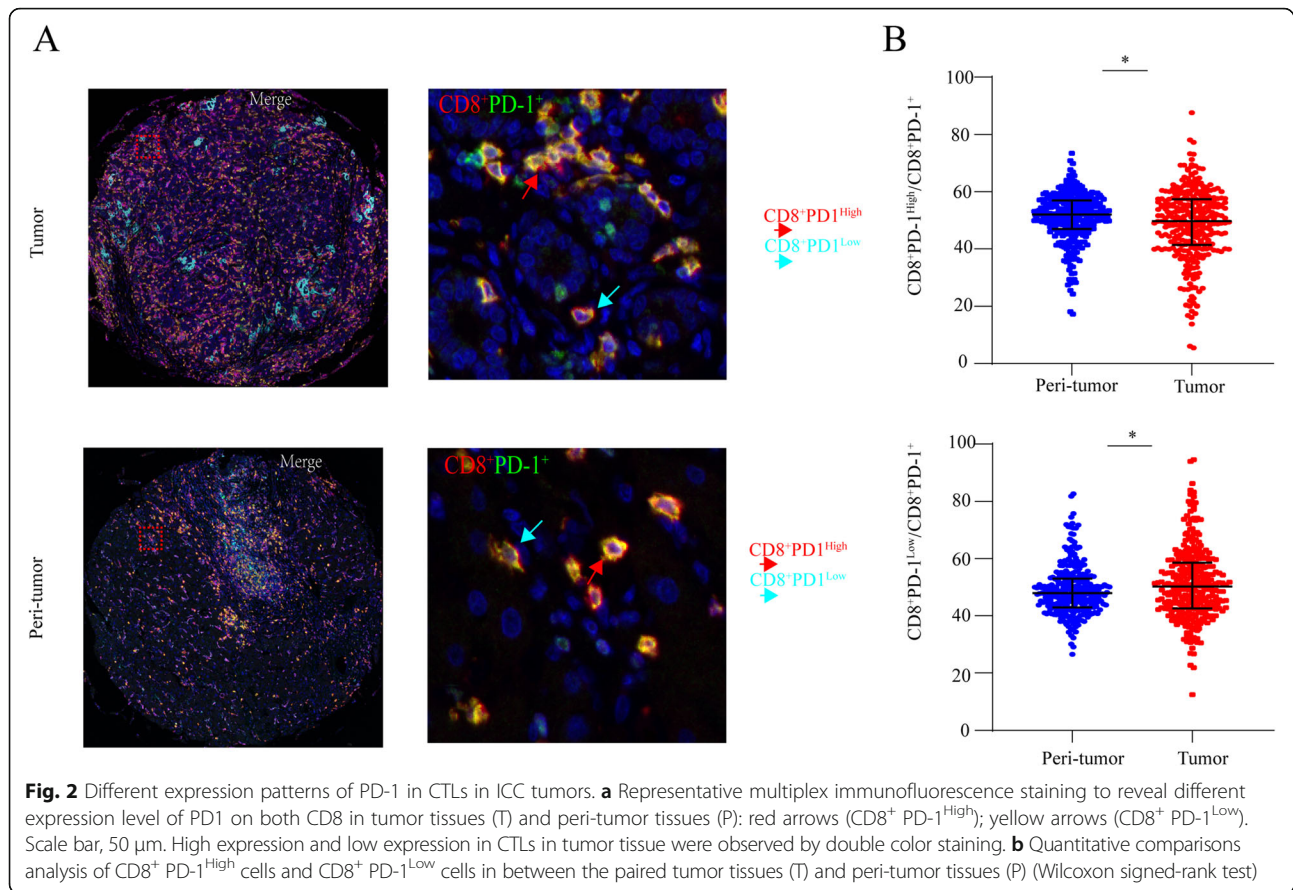
Variable	OS		OS	
	Univariate analysis		Multivariate analyses	
	HR (95%CI)	P	HR (95%CI)	P
Age (years)				
<60 vs. ≥ 60	1.014 (0.770–1.335)	0.920		
Gender				
Male vs. female	1.144 (0.863–1.519)	0.350		
HBsAg				
Negative vs. positive	0.786 (0.590–1.046)	0.099		
Cirrhosis				
No vs. yes	1.195 (0.883–1.617)	0.249		
CA19-9				
Low (≤ 37) vs. high (> 37)	1.564 (1.188–2.059)	0.001		
Child-Pugh				
A vs. B	0.879 (0.390–1.981)	0.756		
Size (cm)				
≤ 5 vs. > 5	1.521 (1.149–2.013)	0.003		
Number				
Single vs. multiple	1.626 (1.196–2.209)	0.002		
LN invasion				
No vs. yes	2.752 (1.984–3.818)	0.000		
TNM stage				
I vs. II–III	2.310 (1.711–3.120)	0.000		
MVI				
No vs. yes	1.196 (0.816–1.752)	0.360		
PD-1				
Low vs. high	0.431 (0.320–0.580)	0.000	0.457 (0.337–0.619)	0.000^a
PD-L1				
Low vs. high	1.332 (0.982–1.806)	0.650	NA	NA
CD8				
Low vs. high	0.615 (0.462–0.819)	0.001	0.629 (0.470–0.842)	0.002^b
CD68				
Low vs. high	0.795 (0.599–1.055)	0.112	NA	NA
CD8PD1^{High}/CD8PD1				
Low vs. high	1.736 (1.184–2.547)	0.005	1.557 (1.057–2.292)	0.025^c
CD8PD1^{Low}/CD8PD1				
Low vs. high	0.576 (0.393–0.845)	0.005	0.642 (0.436–0.946)	0.025^d
CD68PDL1/CD68				
Low vs. high	1.388 (1.022–1.884)	0.036	1.111 (0.809–1.527)	0.514 ^e

Cox regression model was performed

HBsAg hepatitis B surface antigen, CA19-9 carbohydrate antigen 19-9, LN lymph node, TNM tumor-nodes-metastasis, MVI microvascular invasion
a, b, c, d, and e CA19-9, size, number, LN invasion, and TNM stage were adjusted in multivariate analysis

CD8⁺ T cells in both tumor ($P < 0.0001$, $r = 0.5790$) and peri-tumor ($P < 0.0001$, $r = 0.9375$). Subsequently, we analyzed the staining of PD-1 and CD8 in tumor and peri-tumor cores which could divide the sample into

two subgroups by PD-1 expression on CD8⁺ T cells. Therefore, the cut-off value was applied to classify the patients into CD8⁺ PD-1^{High} and CD8⁺ PD-1^{Low} according to the expression level of PD-1 (Fig. 2a). The



proportion of $CD8^+ PD-1^{High}$ cells within $CD8^+ PD-1^+$ T cells was higher in peri-tumor cores than in tumor cores ($P = 0.011$), and the proportion of $CD8^+ PD-1^{Low}$ cells within the $CD8^+ PD-1^+$ T cells was higher in tumor cores than in peri-tumor cores ($P = 0.011$) (Fig. 2b). Furthermore, the proportion of $CD8^+ PD-1^{High}$ ($P = 0.035$) within $CD8^+ PD-1^+$ T cells in tumor correlated with advanced TNM stage (Supplementary Table 3). ICC patients with high proportion of $CD8^+ PD-1^{High}$ in tumor had worse OS than low proportion patients (logrank test: $P = 0.0037$). In addition, the proportion of $CD8^+ PD-1^{High}$ (logrank test: $P = 0.035$) in tumor failed to stratify the TTR in ICC patients (Fig. 3). Univariate and multivariate analyses showed the proportion of $CD8^+ PD-1^{High}$ ($P = 0.025$, HR = 1.557, 95% CI 1.057–2.292) within $CD8^+ PD-1^+$ T cells in tumor was the independent prognostic factor for OS in ICC patients (Table 2).

From the data above, we can conclude that $CD8^+ PD-1^{High}$ within $CD8^+ PD-1^+$ T cells in tumor was independent prognostic factor for postoperative survival.

The prevalence $CD68^+ PD-L1^+$ macrophages cells and their correlation with $CD8^+ PD-1^{High}$ T cells

The presence of $CD68^+ PD-L1^+$ macrophages was illustrated in Fig. 4a for both tumor tissue and peri-tumor

tissue. The proportion of $CD68^+ PD-L1^+$ cells within $CD68^+$ macrophages was higher in peri-tumor ($P < 0.0001$) (Fig. 4b). The proportion of $CD68^+ PD-L1^+$ within $CD68^+$ macrophages correlated with aggressive the clinicopathological factors such as high level of CA19-9 ($P = 0.027$), higher Child-Pugh stage ($P = 0.017$), lymph node metastasis ($P = 0.027$), microscopic vascular invasion ($P = 0.029$), and advanced TNM stage ($P = 0.036$) (Supplementary Table 3). High proportion of $CD68^+ PD-L1^+$ cells within $CD68^+$ macrophages in ICC patients had shorter OS (logrank test: $P = 0.0344$) and worse TTR (logrank test: $P = 0.0380$) (Fig. 4c). In the multivariate analyses, the proportion of $CD68^+ PD-L1^+$ cells within $CD68^+$ macrophages in ICC failed to be an independent prognostic factor for OS and TTR in ICC patients (Table 2, Supplementary Table 2).

Furthermore, we found that the density of $CD68^+ PD-L1^+$ macrophages positively correlated with the density of $CD8^+ PD-1^{High}$ T cells ($P < 0.0001$, $r = 0.5927$) (Supplementary Figure 1C). As a whole, the $CD68^+ PD-L1^+$ macrophages and $CD8^+ PD-1^{High}$ T cells may cooperatively play a role in inhibiting anti-tumor immunity.

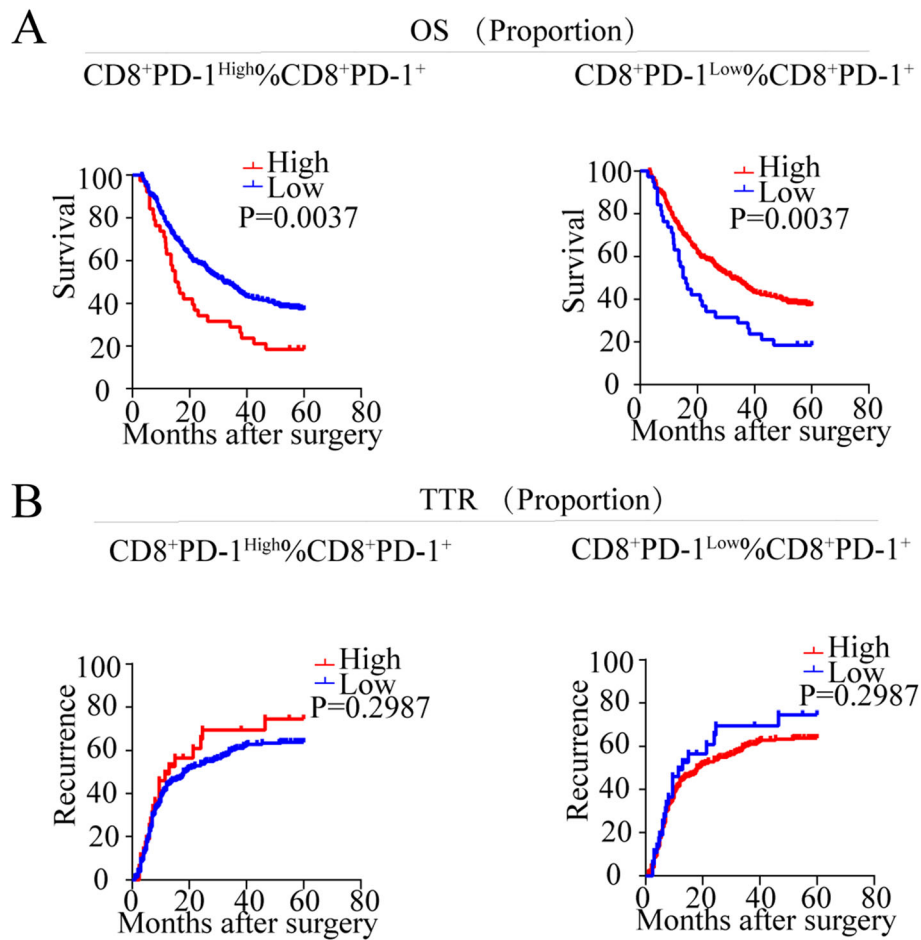


Fig. 3 Prognostic implication of different expression patterns of PD-1 in CD8⁺ T cells in ICC patients. **a, b** Kaplan-Meier analysis of overall survival (OS) (**a**) and relapse-free survival (RFS) (**b**) in ICC according to the frequency of CD8⁺ PD-1^{High} within CD8⁺ PD-1⁺, CD8⁺ PD-1^{Low} within CD8⁺ PD-1⁺

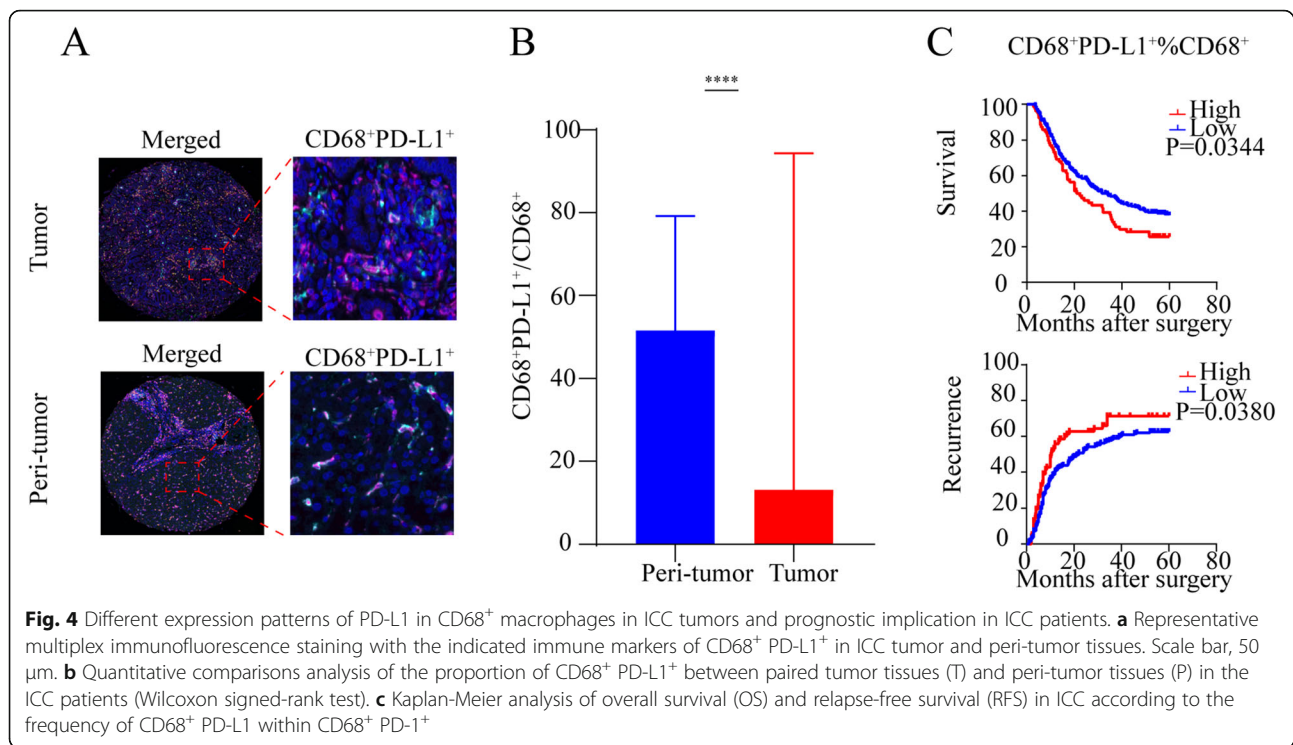
Discussion

Currently, immune checkpoints within the TME have been identified as potential therapeutic targets, but the role of PD-1/PD-L1 in the TME remains largely undetermined for ICC [26]. This investigation is to simultaneously analyze the expression patterns of PD-1/PD-L1 in CD8⁺ T cells and CD68⁺ macrophages, as well as examine their association with the clinical significance of ICC patients. Our analyses provide further investigation into the significance of the TME in the prognoses of solid tumors.

Our study found that the expression of PD-1 in ICC tissues correlated with better OS. The favorable value of PD-1 has also been reported in other solid tumors, such as ovarian cancer [27]. Furthermore, high expression of PD-1 was positively correlated with lower CA19-9 level, smaller tumor size, and HBsAg in our research, and it has been shown that CA19-9 could predict postoperative survival for ICC patients in a previous study [28]. In our study, it is found that PD-L1 expression was higher in tumor tissues than in peri-tumor tissues (*P* = 0.0123),

which is consistent with previous studies [29]. Our data illustrated that the expression of PD-L1 in ICC tumors is not an independent prognostic factor, but the different prognosis of PD-L1 expression is reported in other studies. A research by Tan et al. suggests that high PD-L1 expression is an indicator of a favorable prognosis in ovarian cancer [27]. However, Jung et al. reported the opposite result, whereby over-expression of PD-L1 in liver cancer suggests a poor prognosis [30].

To explore PD-1 expression in CD8⁺ T cells in the TME, multicolor-staining was utilized to assess the expression of PD-1 in immune cells, using PD-1 and CD8 by mIHC to analyze simultaneously, which helps to make predictions and accurately stratify patients compared with only CD8 staining or only PD-1 staining in ICC study [31]. We further demonstrated that the percentage of CD8⁺ PD-1^{High} and CD8⁺ PD-1^{Low} in CD8⁺ PD-1⁺ are independent prognostic factors for OS in patients with ICC. Patients with a high percentage of CD8⁺ PD-1^{High} had a poor postoperative survival. This is consistent with previous reports from other tumors, such as



gastric cancer [32], pancreatic cancer [33], and breast cancer [34]. This may be due to PD-1^{High} expression indicating CD8⁺ T cells are highly activated, but exhibit a severe dysfunction phenotype and impaired IFN- γ secretion and negatively affect clinical outcomes [35]. Our results demonstrate that among the activated CD8⁺ PD-1⁺ cells, a high proportion of CD8⁺ PD-1^{High} causes exhaustion of CD8⁺ T cells and a poor prognosis. CD8⁺ PD-1^{High} T cells comprised TNF- α and IL-2 expression, indicating that the production of cytokines and anti-tumor ability were generally defective, while CD8⁺ PD-1^{High} T cells upregulated the expression of immunosuppressive cytokine IL-10, suggesting that CD8⁺ PD-1^{High} T cells may obtain the ability to inhibit the immune response in HCC [17]. CD8⁺ PD-1^{High} T cells in human lung cancer shows profound metabolic changes, and the limitation of metabolic intermediates necessary for anti-tumor activity may further increase the dysfunction of CD8⁺ PD-1^{High} T cells [36]. Moreover, when the proportion of CD8⁺ PD-1^{Low} in CD8⁺ PD-1⁺ is low, patients with less CD8⁺ T cell exhaustion have a better prognosis. Targeting the PD-1 may block the suppression of anti-cancer immunity by inhibiting the exhaustion of CD8⁺ T cells and reverse the dysfunction of tumor-infiltrating lymphocytes in HCC [37]. In ICC, it is also suggested that blocking PD-1 could be a potential target [38].

The expression of CD68 or PD-L1 has no prognostic value in our study, respectively, which is consistent with the previous result [10, 13]. Some reports suggest that

PD-L1 is expressed in tumor cells and immune cells, and it has different biological and clinical significance, which may be the loss of prognostic value due to the different expression of PD-L1 in different cells [39]. We further explore the prognostic significance of PD-L1 expression on macrophages, rather than the overall analysis of PD-L1 expression and macrophage infiltration as. In our study, the expression of PD-L1 on CD68⁺ macrophages has a frustrated role for both postoperative survival and recurrence for ICC patients. One study in oral squamous cell carcinoma showed that PD-L1 expression on TAMs suppressed the anti-tumor immunity, which is in line with our study [40]. Intriguingly, Zheng et al. found that PD-L1⁺ expressed on TAMs correlated with better prognosis of HCC patients because TAMs-PD-L1⁺ tumors showed a high expression of genes involved in the immune responses and lymphocyte activation leading to anti-tumor activity in the TME [39]. The results of the contradiction may be due to the opposite effects of M1 and M2 macrophages [41].

More interestingly, we found that the expression of CD68⁺ PD-L1⁺ was correlated with the expression of CD8⁺ PD-1^{High}, and both CD68⁺ PD-L1⁺ cells and CD8⁺ PD-1^{High} cells had unfavorable prognosis. One similar study in HCC found that there is an intimate spatial relationship between the CD8⁺ exhausted T cells and PD-L1⁺ tumor-associated macrophages in HCC TME [17]. Thus, we speculate that the interaction of the CD68⁺ PD-L1⁺ cells and CD8⁺ PD-1^{High} cells plays a role in

inhibiting the anti-cancer response. PD-L1 engenders constitutive signaling impacts on macrophages, resulting in restraint of activation and decreasing survival, and PD-L1 antibodies can reshape the macrophages to enhance their ability to secrete the inflammatory cytokine and promote T cell proliferation and activation [42–44].

The current study has several limitations. First, the data in this study was derived from only one hepatobiliary center. Second, only CD8⁺ T cells and CD68⁺ macrophages were taken into consideration in our study, which does not reflect all the characteristics of the immune environment of ICC; other kinds of immune cells should be taken into consideration in the future. Finally, a prospective study is required to validate the results of this retrospective study. In future research, we will expand the varieties of immune cells and conduct research across multiple hepatobiliary centers.

In summary, a high percentage of CD8⁺ PD-1^{High} serves as an unfavorable prognostic factor in patients with ICC. High expression of CD8⁺ PD-1^{High} is associated with CD68⁺ PD-L1⁺, suggesting that CD68⁺ PD-L1⁺ could cooperate with CD8⁺ PD-1^{High} to cause the suppression of anti-cancer immunity.

Supplementary Information

The online version contains supplementary material available at <https://doi.org/10.1186/s12957-020-02082-5>.

Additional file 1: Supplementary Figure 1. A CD8 and CD68 cell subsets are defined by four-color multiplexed immunohistochemistry in HCC. Digital scanning displayed bright-field image and multispectral image (MSI) of one TMA core from ICC tumor or peri-tumor tissues. **B** Correlation of the density of CD8⁺ T cells and PD-1⁺ in the tumor. **C** Correlation of the density of CD68⁺ PD-L1⁺ and CD8⁺ PD-1^{High} in the tumor

Additional file 2: Supplementary Table 1. Patient characteristics in 322 patients

Additional file 3: Supplementary Table 2. Univariate and multivariate analyses of prognostic features and time to recurrence

Additional file 4: Supplementary Table 3. Correlation between the proportion of CD8⁺ PD-1^{High}, CD8⁺ PD-1^{Low}, CD68⁺ PD-L1 and clinicopathological features

Code availability

Not applicable.

Authors' contributions

W.X.Y, S.K, and G.Q contributed to the conception and design. T.L.Y, M.J.Q, and M.L.J were responsible for the drafting of the manuscript. T.L.Y, M.J.Q, Z.B.H, and L.L.Z were responsible for the data acquisition and analysis of data. M.L.J, S.D.J, W.Y.N, and Z.Z contributed to the collection and assembly of data. W.X.Y and G.Q provided professional writing services for papers. All authors read and approved the final manuscript.

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Availability of data and materials

Please contact the corresponding authors if necessary.

Ethics approval and consent to participate

The patients signed the consent before surgery, including the use of tissues and clinical information.

Consent for publication

Consent for publication was obtained from the signed consent before surgery.

This retrospective study was approved by the ethics committee of Zhongshan Hospital, Fudan University. Due to the retrospective nature, the requirement for informed consent was waived by Zhongshan Hospital, Fudan University.

Competing interests

The authors have declared that no competing interest exists.

Author details

¹Department of Liver Surgery and Transplantation, Liver Cancer Institute, Zhongshan Hospital and Key Laboratory of Carcinogenesis and Cancer Invasion, Fudan University, 180 Fenglin Road, Shanghai 200032, China.

²Department of General Surgery, Zhongshan Hospital (South), Shanghai Public Health Clinical Center, Fudan University, Shanghai 200083, China.

³Department of General Surgery, Zhongshan Hospital, Fudan University, 180 Fenglin Road, Shanghai 200032, China. ⁴Department of General Surgery, The First Affiliated Hospital of Nanchang University, Nanchang 330000, Jiangxi, China. ⁵Department of Hepatobiliary Surgery, The First Affiliated Hospital of Fujian Medical University, Fuzhou 350001, Fujian, China.

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