## **RESEARCH ARTICLE**

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# Relationship between changes in restingstate spontaneous brain activity and cognitive impairment in patients with CADASIL



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#### **Abstract**

**Background:** Cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy (CADASIL) mainly manifests with cognitive impairment. Cognitive deficits in patients with CADASIL are correlated with structural brain changes such as lacunar lesion burden, normalized brain volume, and anterior thalamic radiation lesions, but changes in resting-state functional brain activity in patients with CADASIL have not been reported.

**Methods:** This study used resting-state functional magnetic resonance imaging (fMRI) to measure the amplitude of low-frequency fluctuation (ALFF) in 22 patients with CADASIL and 44 healthy matched controls. A seed-based functional connectivity (FC) analysis was used to investigate whether the dysfunctional areas identified by ALFF analysis exhibited abnormal FC with other brain areas. Pearson's correlation analysis was used to detect correlations between the ALFF z-score of abnormal brain areas and clinical scores in patients with CADASIL.

**Results:** Patients with CADASIL exhibited significantly lower ALFF values in the right precuneus and cuneus (Pcu/CU) and higher ALFF values in the bilateral superior frontal gyrus (SFG) and left cerebellar anterior and posterior lobes compared with controls. Patients with CADASIL showed weaker FC between the areas with abnormal ALFF (using peaks in the left and right SFG and the right Pcu/CU) and other brain areas. Importantly, the ALFF z-scores for the left and right SFG were negatively associated with cognitive performance, including Mini-Mental State Examination (MMSE) and Montreal Cognitive Assessment scores (MoCA), respectively, whereas those of the right Pcu/CU were positively correlated with the MMSE score.

**Conclusions:** This preliminary study provides evidence for changes in ALFF of the right Pcu/CU, bilateral SFG and left cerebellar anterior and posterior lobes, and associations between ALFF values for abnormal brain areas and cognitive performance in patients with CADASIL. Therefore, spontaneous brain activity may be a novel imaging biomarker of cognitive impairment in this population.

Keywords: Amplitude of low-frequency fluctuation, CADASIL, Cognitive impairment, Resting-state fMRI

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#### **Background**

Cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy (CADASIL) has been recognized as the most common heritable cause of recurrent stroke and vascular dementia. This disease is caused by pathogenic mutations in the NOTCH3 gene on chromosome 19, which encodes a transmembrane receptor primarily expressed in vascular smooth muscle cells and pericytes of the small penetrating cerebral and leptomeningeal arteries [1]. Typical pathological changes of CADASIL include accumulation of a non-amyloid granular osmiophilic material and the NOTCH3 protein in the vessel wall. The majority of patients with CADA-SIL have a family history in the absence of vascular disease risk factors such as hypertension, diabetes, coronary heart disease, and smoking. The essential clinical manifestations of CADASIL include migraine with aura, subcortical ischemic events, mood disturbances, and cognitive impairment. It has been reported that 80% of patients with this disorder have cognitive deficits, principally in the domains of executive function, information processing speed, attention, and memory [1, 2].

Structural cerebral magnetic resonance imaging (MRI) in patients with CADASIL reveals age-dependent widespread white matter lesions, seen as large symmetrical hyperintensities on T2-weighted and fluid-attenuated inversion recovery (FLAIR) imaging in periventricular areas and in the centrum semiovale [3]. Furthermore, lacunar infarcts and microbleeds are frequently visible in the basal ganglia and brainstem [4, 5]. Studies employing diffusion tensor imaging (DTI), a quantitative measure of white matter integrity, have revealed extensive brain microstructural changes in patients with CADASIL [6], with different cognitive functions being correlated with white matter structural integrity at different sites [7]. Furthermore, other conventional MRI parameters, such as lacunar lesion burden and brain atrophy, have important impacts on cognitive function and have become essential predictors of cognitive decline in patients with CADASIL [8–13]. One study indicated that reaction time on a simple task may serve as a marker of early cognitive changes in patients with CADASIL [14]. Reaction time is negatively associated with corpus callosum area, determined on three-dimensional T1 MRI sequences using a validated methodology [15]. Collectively, these results demonstrate that MRI measures of brain structures have close associations with cognitive functioning in patients with CADASIL.

Resting-state functional magnetic resonance imaging (fMRI) has been widely used to investigate changes in global functional network connectivity and local spontaneous neuronal activity in the brain at rest. Cognitive functioning, including executive function and processing speed, depend on the integrity of the frontal–subcortical

and frontoparietal networks [16-18]. A preliminary study by Cullen et al. demonstrated that intrinsic frontoparietal functional networks are also detectable in patients with CADASIL and are associated with cognitive measures, particularly measures of executive ability and speed [19]. Although these abnormalities in functional network connectivity can be used to reveal the comprehensive and integrative characteristics of brain areas, that study was unable to assess the abnormalities in regional neuronal activity. The amplitude of low-frequency fluctuation (ALFF) is a method that has been used to evaluate local spontaneous neuronal activity in a resting-state fMRI analysis based on blood oxygenation level dependent (BOLD) signals. It reflects the amplitude of neuronal activity within a single voxel by calculating the square root of the power spectrum in a frequency range (typically 0.01-0.08 Hz) [20]. ALFF is effective for examining neuropsychiatric disease-related neuronal activity within specific brain regions [21]. Previous studies have shown the specific patterns of ALFF in mild cognitive impairment (MCI), Alzheimer's disease (AD) and subcortical ischemic vascular dementia (SIVD) and these alterations in ALFF have revealed significant correlations with cognitive performance in these patients [22-24]. Measurement of ALFF may aid in early diagnosis and illness monitoring of AD and may become a sensitive marker of AD pathology such as Aβ/pTau ratio regardless of clinical diagnosis, which can provide novel insights into the pathophysiological mechanisms of AD [25–27]. Thus, we assumed that brain functional activity is more likely to be altered in these patients in the resting-state based on the aforementioned abnormalities in cognitive behavior and brain structure in patients with CADASIL [1–5]. However, whether there are changes in ALFF values and whether these aberrant changes have impacts on cognitive impairment in patients with CADASIL remain poorly understood. In this study, we used ALFF analysis to investigate regional spontaneous neuronal activity in patients with CADASIL by resting-state fMRI and examined their correlations with cognitive performance.

## Methods

#### **Participants**

Twenty-two genetically confirmed patients with CADA-SIL were recruited from 11 families with CADASIL referred to the Department of Neurology at Shanghai Ninth People's Hospital between May 2015 and July 2017. The proband for each family was selected based on recurrent stroke and leukoencephalopathy, and each was eventually diagnosed genetically with CADASIL. Subsequently, each family member underwent genetic screening to search for other cases in the group and those with a genetic diagnosis of CADASIL were

included in this study. Among these cases, 4 patients had not any clinical symptoms and others manifested stroke, headache and memory impairment. Patients with severe untreated depression or anxiety or neurodegenerative disorders were excluded. Forty-four healthy controls of comparable age and sex were also enrolled for comparison. All the controls did not experience stroke, headache and cognitive impairment and their family members had not cerebrovascular diseases, headache or cerebrovascular risk factors. All neurological and psychiatric diseases were excluded based on both clinical examinations and MRI structured interviews including lacunae and white matter lesions for controls.

#### Clinical assessment

Clinical information including family history, age at first symptoms, history of previous stroke or transient ischemic attack (TIA), headache and cerebrovascular disease risk factors such as hypertension, diabetes, coronary heart disease, hyperlipidemia and smoking was recorded. Neurological deficits were assessed using the National Institute of Health Stroke Scale (NIHSS) and the modified Rankin scale (mRs) as assessments of disability.

The neuropsychological assessment was carried out by a clinical neuropsychologist. Cognitive scores including the Mini-Mental State Examination (MMSE) and Montreal Cognitive Assessment (MoCA) were recorded. State anxiety and depression were evaluated using the Hamilton Anxiety Scale (HAMA) and the Hamilton Depression Scale (HAMD), respectively.

#### MRI acquisition

Structural MRI and fMRI scans were performed at East China Normal University on a 3.0 Tesla Siemens Trio Tim system using a 12-channel head coil. All subjects' head movements were minimized with custom-fit foam pads. The structural MRI scan included T1- and T2-weighted and FLAIR imaging. The FLAIR sequence parameters were repetition time, 9000 ms; echo time, 93 ms; field of view,  $199 \times 220 \text{ mm}^2$ ; number of slices, 30; and slice thickness, 3.5 mm. The resting-state fMRI images were acquired using a T2\*-weighted gradient-echo echo-planar imaging pulse sequence with the following parameters: repetition time, 2000 ms; echo time, 30 ms; flip angle, 90°; field of view, 220 × 220 mm<sup>2</sup>; matrix size,  $64 \times 64$ ; number of slices, 33; slice thickness, 3.5 mm; and a total of 210 volumes. The whole-brain anatomical volume was obtained using a high-resolution T1-weighted three-dimensional magnetization-prepared rapid-acquisition gradient-echo pulse sequence with the following parameters: repetition time, 2530 ms; echo time; 2.34 ms; field of view,  $256 \times 256 \,\mathrm{mm}^2$ ; number of slices, 192; slice thickness, 1 mm; and flip angle, 7°.

#### Fazekas scale score

White matter hyperintensities (WMHs) were shown on T2-weighted or FLAIR sequences. The degree of WMH severity was assessed visually on axial FLAIR images using the modified Fazekas scale [28, 29], the most widely used scale to describe WMH severity. The scale divides WMHs into periventricular and deep WMHs. Periventricular WMHs were graded according to the following patterns: 0 = absent; 1 = capsor pencil-thin lining; 2 = smooth halo; and 3 = irregular WMHs extending into deep white matter. Deep WMHs were graded according to the following patterns: 0 = absent or single punctate foci; 1 = multiple punctate foci; 2 = beginning confluence of foci; and 3 = large fused foci. Total scores were acquired by adding the periventricular and deep WMH scores, and the results were compared using quantitative analyses.

## Resting-state fMRI data preprocessing

The resting-state fMRI data were preprocessed with Data Processing and Analysis of Brain Imaging (DPABI) software on a personal computer. DPABI is a newly developed toolbox which is loaded into statistical parametric mapping software (SPM12; http://www.fil.ion.ucl.ac. uk/spm/software/spm12), and is opened by MATLAB software (Math Works, Natick, MA, USA). It is designed to make data analysis require fewer manual operations. The first 10 volumes were discarded to avoid signal instability. The preprocessing steps included a slice-timing correction, realignment of the functional data to each participant's first images, and co-registration of the functional to structural images. The sessions were spatially normalized to the standard Montreal Neurological Institute space. Gaussian spatial smoothing [6 mm, full-width at half-maximum (FWHM)] was performed on the functional images. Finally, subjects with head movement > 2 mm were excluded from the analysis, and the signals from cerebral white matter and cerebrospinal fluid were also removed using a general linear model.

## **Data analysis**

#### **ALFF** analysis

The ALFF analysis was based on previous preprocessed results [20]. The time sequences for a given voxel were transformed to the frequency series by fast Fourier transform, and the square root of the power spectrum was calculated and filtered across 0.01–0.08 Hz. The average square root was considered to be the ALFF value. To reduce individual differences among subjects, the average ALFF value was subtracted from the ALFF value of each voxel and then divided by the standard deviation of the whole-brain ALFF map to obtain the standard ALFF value.

#### Functional connectivity (FC)

A seed-based FC analysis was used to investigate whether the dysfunctional areas shown in the ALFF results exhibited abnormal FC with other brain areas. The brain areas with significant differences in the ALFF analysis were the seed regions. The mean time series of the seed regions was correlated with the time series of each voxel in the whole brain to obtain FC maps, which were converted to z-score maps by Fisher's z-transformation to improve the normality of the data distribution.

#### Correlational analysis

Individual mean ALFF z-scores and z-transformed FC (zFC) values for the surviving CADASIL clusters were extracted for a Pearson's correlation analysis using the clinical data, including the Fazekas, MMSE, MoCA, NIHSS, and mRs scores. Furthermore, according to the different cognitive domains in MMSE and MoCA, a subanalysis was employed to detect a more specific correlation with the mean ALFF z-scores for the surviving clusters of CADASIL. Significant correlations were determined based on p-values less than 0.05.

## Statistical analysis

The maps of the significant differences in ALFF of the 22 CADASIL and the 44 controls were compared using voxel-wise two-sample t tests with age and gender as covariates. Additionally, the FC maps used voxe-wise two-sample t tests with age and gender as covariates within a brain mask. To address the issue of multiple comparisons, the ALFF and FC statistical maps were assigned thresholds at p < 0.001 (voxel level), and family-wise errors (FWE) were corrected to p < 0.05 at the cluster level. The surviving clusters were reported.

Pearson's correlation analysis was used to detect correlations with clinical scores in patients. Demographic data between two groups were compared using Pearson's chi-squared test for gender and independent-sample t tests for age, MMSE, MoCA, HAMD and HAMA. Significant differences were determined based on p < 0.05.

## Results

## Demographic and clinical data of the CADASIL and control groups

The demographic and clinical data of the CADASIL and control groups are presented in Table 1. Age, gender, and median anxiety and depression symptom scores did not differ between the CADASIL and control groups. However, the cognitive scores showed significant differences between two groups. The main clinical manifestations of patients included subcortical ischemic events, headache and cognitive impairment.

#### **ALFF**

Patients with CADASIL had significantly lower ALFF values in the right precuneus and cuneus (Pcu/CU) compared with controls (Fig. 1; Table 2). The ALFF values in the bilateral superior frontal gyrus (SFG) and left cerebellar anterior and posterior lobes were increased markedly in the patients with CADASIL compared with the values for controls (Fig. 1; Table 2).

#### Seed-based FC

To further investigate FC between the areas of abnormal ALFF and other brain areas, we compared the FC of the bilateral SFG, right Pcu/CU and left cerebellar anterior and posterior lobes with other brain regions in the CADASIL and control groups. The patients with CADASIL showed weaker FC between the left SFG and right precentral gyrus and between the left SFG and bilateral pons compared with controls (Fig. 2a; Table 3). Similarly, weaker FC between the right SFG and right precentral gyrus and between the right SFG and left postcentral gyrus was observed in the patients with CADASIL compared to the controls (Fig. 2b; Table 3). The results from the peak in the right Pcu/CU as the region of interest seed showed weaker FC between the right Pcu/CU and right CU and between the right Pcu/CU and right parahippocampal gyrus (PHG) in patients with CADASIL compared to controls (Fig. 2c; Table 3). However, no abnormal FC was found between left cerebellar anterior and posterior lobes and other brain regions in CADASIL compared to controls (Table 3).

#### Correlations with clinical scores

The ALFF z-scores in the brain areas where ALFF was increased, including the left and right SFG, were negatively associated with cognitive performance, including scores on the MMSE (r = -0.665, p = 0.001; r = -0.546, p = 0.009, respectively) and MoCA (r = -0.688, p = 0.000; r = -0.481, p = 0.023, respectively) (Fig. 3a, b, c and d). However, the ALFF z-scores for the right Pcu/CU, which showed a decreased ALFF value, were positively correlated with the MMSE scores (r = 0.528, p = 0.012) (Fig. 3e). Furthermore, ALFF z-scores of the left SFG (r =0.429, p = 0.046) and the left cerebellar anterior and posterior lobes (r = 0.432, p = 0.045) were positively correlated with Fazekas scores, and ALFF z-scores of the left and right SFG (r = 0.487, p = 0.021; r = 0.453, p = 0.034, respectively) were positively correlated with mRs scores. No correlation was found between the mean ALFF z-score in the significantly altered brain regions and the NIHSS scores.

According to the different cognitive domains in MMSE and MoCA, the ALFF z-scores for the right SFG were negatively correlated with the orientation and working memory scores in MMSE (r = -0.486, p =

**Table 1** Demographic and clinical characteristics of the CADASIL and control groups

	CADASIL group $(n = 22)$	Control group $(n = 44)$	<i>p</i> -values	
Male/Female	13/9	26/18	1	
Age at visit (years)	49.0 ± 14.2	48.5 ± 13.7	0.873	
Family history, n (%)	19 (86.4)	-	-	
Age at first symptom (years)	45.7 ± 13.3	-	-	
Previous stroke or TIA (times)	1.7 ± 2.7	-	-	
Vascular disease risk factors, n (%)	10 (45.5)	-	-	
Headache, n (%)	3 (13.6)	-	-	
Fazekas score	2.1 ± 1.2	-	-	
MMSE	$23.3 \pm 6.3$	28.6 ± 1.1	0.001	
MoCA	$20.7 \pm 7.9$	27.9 ± 1.4	0.000	
HAMD	$7.0 \pm 7.1$	$4.0 \pm 1.8$	0.067	
HAMA	$5.9 \pm 6.0$	$3.7 \pm 1.6$	0.108	
NIHSS	$1.2 \pm 1.9$	-	-	
mRs	1.3 ± 1.2	-	-	

Values are mean ± standard deviation (SD) or numbers and percentages. CADASIL cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy, MMSE Mini-Mental State Examination, MoCA Montreal Cognitive Assessment, HAMD Hamilton Depression Scale, HAMA Hamilton Anxiety Scale, NIHSS National Institute of Health Stroke Scale, mRs modified Rankin scale, SD standard deviation, TIA transient ischemic attack

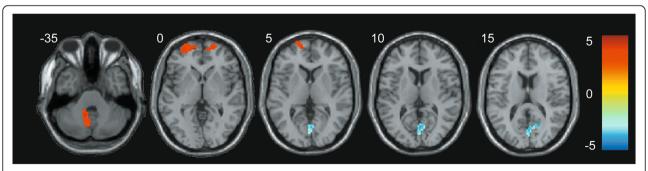
0.025; r = -0.513, p = 0.017, respectively). The negative associations had also been found between the ALFF values of the left cerebellar anterior and posterior lobes and the cognitive scores for the orientation and working memory in MMSE (r = -0.447, p = 0.042; r = -0.451, p = 0.040, respectively) and MoCA (r = -0.537, p = 0.012; r = -0.455, p = 0.038, respectively). Moreover, the ALFF z-scores for the left cerebellar anterior and posterior lobes were negatively correlated with attention and executive function scores in MoCA (r = -0.438, p = 0.047; r = -0.725, p = 0.000, respectively).

The zFC values of the right precentral gyrus were positively correlated with MMSE scores (r = 0.449, p = 0.036). There were no significant correlations between the mean zFC values within the clusters and the Fazekas, MoCA, NIHSS, and mRs scores in CADASIL.

## **Discussion**

CADASIL highly resembles sporadic SIVD. However, an ideal experimental model of sporadic SIVD has been absent until now. CADASIL could serve as a model for studying the relationship between cerebrovascular pathology and cognitive impairment in patients with sporadic SIVD and thereby become a model of pure vascular dementia [1, 2]. Therefore, research about the mechanisms underlying CADASIL will help in early recognition and prevention of CADASIL and sporadic SIVD.

This is the first study to investigate the aberrant changes in intrinsic, spontaneous brain activity in patients with CADASIL by measuring ALFF values from resting-state fMRI signals. The ALFF values revealed abnormal brain activity in the right Pcu/CU, bilateral SFG and left cerebellar anterior and posterior lobes, weak FC



**Fig. 1** Significant differences in ALFF between the CADASIL and control groups. The CADASIL group showed significantly lower ALFF values in the right Pcu/CU (in blue), and higher ALFF values in the bilateral SFG and left cerebellar anterior and posterior lobes (in red) compared with controls. The color-coded scale was referred to the t-values. ALFF: amplitude of low-frequency fluctuation; CADASIL: cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy; Pcu/CU: precuneus and cuneus; SFG: superior frontal gyrus

Table 2 Significant inter-group differences in ALFF among CADASIL and controls

Predominant regions in cluster	Cluster size	Peak T value	MNI coordinates			Cluster level P <sub>FWE-corr</sub>
			X	У	Z	
ALFF reduction in CADASIL						
Right Pcu/CU (BA 7/BA 17)	210	-5.31	6	-81	30	0.000
ALFF increase in CADASIL						
Left SFG (BA 10)	88	5.28	-12	60	3	0.008
Right SFG (BA 10)	62	4.82	21	60	-6	0.034
Left cerebellar anterior and posterior lobes	58	4.38	-9	- 51	- 36	0.044

The surviving clusters of ALFF were assigned thresholds of p < 0.001 and FWE-corrected to p < 0.05 at the cluster level ALFF amplitude of low-frequency fluctuation, CADASIL cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy, Pcu/CU precuneus and cuneus, SFG superior frontal gyrus, BA Brodmann's area, MNI Montreal Neurological Institute

between the areas with abnormal ALFF and other brain areas, and close associations between changes in ALFF values and cognitive performance.

In this study, a pronounced decease in ALFF in the right Pcu/CU was observed in patients with CADASIL. The Pcu and CU are located in the medial parietal and inferior occipital lobes, respectively [30, 31]. Three distinct FC patterns have been demonstrated in the Pcu based on resting- state fMRI data. The anterior Pcu is functionally connected with the sensorimotor region, the central Pcu with the cognitive/associative region, and

the posterior Pcu with adjacent visual cortical regions [30]. Therefore, the Pcu has been considered the hub of the frontoparietal central executive network, and it is thus a vulnerable brain region [32]. The Pcu is often activated during retrieval of episodic memory, and this activation is related to visual imagery during memory processes [33]. Furthermore, some findings have suggested that Pcu volume is associated with impaired anterograde memory due to posterior cortical atrophy, which is driven by well-recognized deficits in visual imagery processing [34]. The Pcu and CU are also involved

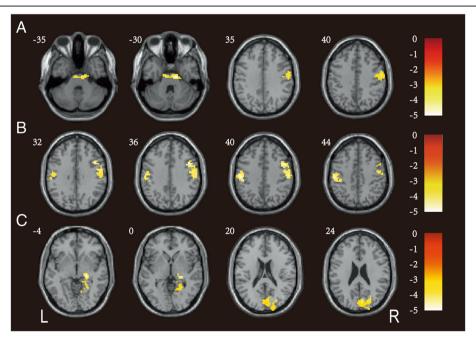


Fig. 2 FC of brain areas with abnormal ALFF values was compared with that in other brain areas between the CADASIL and control groups. a The CADASIL group had weaker FC between the left SFG and right precentral gyrus and between the left SFG and bilateral pons. b Weaker FC between the right SFG and right precentral gyrus was observed in the CADASIL group compared to the control group. c The patients with CADASIL displayed significantly weaker FC between the right Pcu/CU and right CU and between the right Pcu/CU and right PHG. The color-coded scale was referred to the t-values. FC: functional connectivity; ALFF: amplitude of low-frequency fluctuation; CADASIL: cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy; SFG: superior frontal gyrus; Pcu/CU: precuneus and cuneus; CU: cuneus; PHG: parahippocampal gyrus

Table 3 Significant inter-group differences in the FC analysis of patients with CADASIL and controls

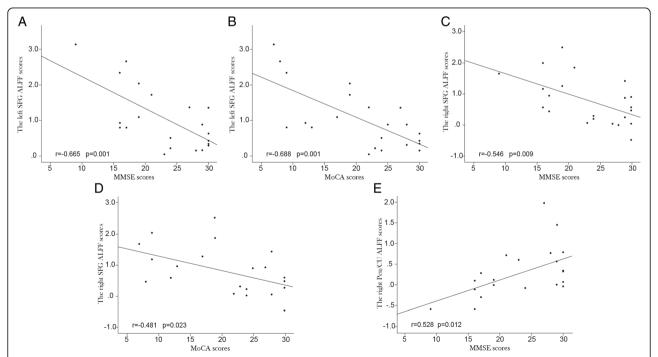
Predominant regions in cluster	Cluster size	Peak T value	MNI coor	dinates	Cluster level P <sub>FWE-corr</sub>	
			X	у	Z	
CADASIL < controls (seed region: le	eft SFG)					
Right precentral gyrus	116	-3.78	60	-6	36	0.037
Bilateral pons	115	-4.79	12	- 18	- 33	0.038
CADASIL < controls (seed region: ri	ght SFG)					
Right precentral gyrus	239	-4.14	48	9	39	0.001
Left postcentral gyrus	133	- 4.48	- 51	- 21	39	0.020
CADASIL < controls (seed region: ri	ght Pcu/CU)					
Right cuneus	239	-5.42	6	-87	36	0.002
Right PHG	184	- 5.35	24	-27	-3	0.007
CADASIL compared to controls (see	ed region: left cerebell	ar anterior and posterio	or lobes)			
Right pon	109	-4.93	9	-18	- 33	0.057
Left cerebrum	31	-3.84	-24	-15	-3	0.519

The surviving clusters of FC were assigned thresholds at p < 0.001 and FWE-corrected to p < 0.05 at the cluster level

FC functional connectivity, CADASIL cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy, SFG superior frontal gyrus, Pcu/CU precuneus and cuneus, PHG parahippocampal gyrus, MNI Montreal Neurological Institute

in several distinct domains, including cognitive reserve, self-awareness of memory, and strategic learning [31]. In this study, the decreased ALFF values in the right Pcu/CU suggest that patients with CADASIL might have the impaired Pcu/CU cognitive functions described above

and that Pcu/CU dysfunction might be involved in the pathogenic mechanisms underlying CADASIL. Here, the patterns of functional change in the Pcu/CU of patients with CADASIL were partially consistent with patients with SIVD, MCI or AD [22, 23, 35, 36]. Studies using



**Fig. 3** Significant correlations between the individual mean ALFF z-scores and cognitive measures for the surviving clusters in the CADASIL group. **a** The left SFG and MMSE; r = -0.665, n = 22, p = 0.001. **b** The left SFG and MoCA; r = -0.688, n = 22, p = 0.000. **c** The right SFG and MMSE; r = -0.546, n = 22, p = 0.009. **d** The right SFG and MoCA; r = -0.481, n = 22, p = 0.023. **e** The right Pcu/CU and MMSE; r = 0.528, n = 22, p = 0.012. ALFF: amplitude of low-frequency fluctuation; CADASIL: cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy; SFG: superior frontal gyrus; MMSE: Mini-Mental State Examination; MoCA: Montreal Cognitive Assessment; Pcu/CU: precuneus and cuneus

<sup>18</sup>F-fluorodeoxyglucose positron emission tomography in patients with CADASIL have shown hypometabolism in the Pcu/CU [37]. In addition, Craggs et al. used post-mortem brains from patients with CADASIL to observe severe vessel sclerosis in these two brain regions [38]. Similar results, such as cortical thinning, hypometabolism, hypoperfusion, and loss of synapses in the Pcu/CU, have also been reported in patients with AD by structural MRI, fMRI, and electron microscopy [39–41]. These findings may account for the low resting-state activation in the Pcu/CU of patients with CADASIL in the present study.

We also observed an increase in ALFF values in the bilateral SFG and left cerebellar anterior and posterior lobes in the patients with CADASIL. The SFG brain region belongs to Brodmann's area 10 (BA 10), which is the largest frontal brain region and is known as the anterior prefrontal cortex (PFC), frontopolar prefrontal cortex, or rostral prefrontal cortex [42]. BA 10 has been implicated in multiple integrative roles, including working memory, episodic memory retrieval, abstract reasoning, decision making, behavior control, monitoring of internal states, odor evaluation, nociceptive processing, and perceptual metacognition [42-44]. The cytoarchitecture of BA10 is characterized by more dendritic spines, a higher spine density, and a lower cell body density compared with other areas of the cortex, suggesting that BA 10 is more likely to accomplish these tasks of information integration [45]. In contrast, the cerebellum includes the cerebellar anterior and posterior lobes. Anatomical, neuroimaging, clinical, and behavioral studies have demonstrated that the cerebellum is engaged in the motor domain as well as higher-level cognitive and affective functions. It has been established that sensorimotor tasks activate the anterior lobe, whereas the posterior lobe is involved in higher-level tasks, including language and verbal working memory, executive functions, spatial tasks, and emotional processing. Therefore, a "sensorimotor" and a "cognitive" cerebellum has been proposed [46-48]. In particular, language and executive tasks activate the posterior lobe and are involved in prefrontal-cerebellar loops [46]. Taken together, the present data show an increase of ALFF activities in the bilateral SFG (BA 10) and left cerebellar anterior and posterior lobes in patients with CADASIL. Therefore, we inferred that the higher spontaneous activity in BA 10 and the cerebellum might be compensatory mechanisms for cognitive deficits in these patients, acting to enhance their functional connectivity. Similarly, increased brain activities in the SFG and posterior lobe of the cerebellum have also been reported in patients with AD and SIVD [22, 49].

In the present study, negative associations were found between ALFF values for the bilateral SFG (BA

10) and cognitive performance, including MMSE and MoCA scores, in patients with CADASIL. Conversely, there was a positive association between the ALFF value for the right Pcu/CU and MMSE scores in these patients. These results indicate that patients with greater global cognitive impairment may exhibit more obvious compensation for ALFF activity in the bilateral BA 10, while similar protective effects were not found in the Pcu/CU. Compensatory reactions in spontaneous brain activity have also been detected in patients with SIVD [22, 49]. According to the different cognitive domains in MMSE and MoCA, we also found negative associations between ALFF values for the right BA 10 and left cerebellar anterior and posterior lobes and orientation and working memory scores, and between ALFF values for the left cerebellar anterior and posterior lobes and attention and executive function scores. These results indicated that resting-state ALFF analysis may be predictive of cognitive deficits, particularly in the domains of orientation, working memory, attention and executive function in CADASIL. In our study, we also found positive associations of the ALFF values of the left BA 10 and left cerebellar anterior and posterior lobes with Fazekas scores and of ALFF values of the bilateral BA 10 with mRs scores, indicating that increased BA 10 and cerebellum brain activity could aid in detecting illness severity in patients with CADASIL.

It has been widely accepted that CADASIL is a subcortical disease with infarcts and leukoencephalopathy. However, postmortem neuropathological examinations and transcranial MRI have shown that patients with CADASIL have widespread neuronal apoptosis in the cerebral cortex, predominantly in layers 3 and 5, and cholinergic dysfunction in the motor cortex [50, 51]. These cortical deficits are related to subcortical infarcts and white matter lesions underlying the primary motor cortex. Therefore, CADASIL has been considered a disease of disconnection due to disruption of either the cortico-cortical or cortical-subcortical networks in the white matter of the frontal lobe, which may explain the executive dysfunction and motor deficits in patients with CADASIL [38, 52]. Recent evidence from resting-state FC highlights the connections between BA 10 and multiple cognitive networks, including the default mode network (DMN), the executive control network, the salience network, and the sensorimotor network. The DMN includes the medial PFC (mainly BA 10), the Pcu, the posterior cingulate cortex (PCC), and the PHG, and plays a vital role in internal process monitoring and memory retrieval [42]. Furthermore, DTI data have offered novel anatomical evidence for large BA 10 fibers contributing to the human cortico-ponto-cerebellar system in the pontine nuclei, indicating that BA 10 may

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play a vital role in increasing the connections between the cortico-cortical or cortical-subcortical networks by participating in the motor system [53]. Although weaker FC was observed between BA 10 and the major sensorimotor cortex, including the precentral gyrus and postcentral gyrus, and between BA 10 and the subcortical pons in the present study, we considered them as insufficient compensation. Therefore, we proposed the protective mechanism of BA 10 and the sensorimotor areas counteracting the disconnection between the cortical and subcortical networks in patients with CADASIL. Here, decreased FC between the Pcu/CU and CU and between the Pcu/CU and PHG was also detected, consistent with the aberrant connectivity in the occipitalparietal lobe in patients with MCI and AD [54]. Furthermore, we inferred that the dysfunction in the DMN, including BA 10, Pcu, PCC, and PHG, might be implicated in the pathological mechanism underlying CADASIL.

Some limitations of this study should be discussed. First, the relatively small sample of patients with CADASIL reduced the power to detect significant effects. Further study will be necessary with a larger sample size. Second, we did not classify the patients according to the degree of illness. In the future, we will observe functional changes in early- and late-stage patients. Third, a brief screening of cognitive function, including the MMSE and MoCA, was used in this study. Future studies will focus on more detailed cognitive domains, such as executive function, processing speed, attention, and working memory.

#### **Conclusions**

This study revealed spontaneous aberrant ALFF values and correlations of these values with cognitive functions in patients with CADASIL. It is well known that resting-state fMRI is a quick and repeatable method without radiation injury, and it has therefore been used to measure features of brain function for early detection and illness monitoring. Therefore, spontaneous brain activity may have the potential to become a predictor of cognitive status in patients with CADASIL. These findings will help to elucidate the causal mechanisms underlying CADASIL and to develop therapeutic strategies.

#### Abbreviations

ALFF: Amplitude of low-frequency fluctuation; CADASIL: Cerebral autosomal dominant arteriopathy with subcortical infarcts and leukoencephalopathy; DTI: Diffusion tensor imaging; FC: Functional connectivity; fMRI: functional magnetic resonance imaging; HAMA: Hamilton Anxiety Scale; HAMD: Hamilton Depression Scale; MMSE: Mini-Mental State Examination; MoCA: Montreal Cognitive Assessment scores; mRs: modified Rankin scale; NIHSS: National Institute of Health Stroke Scale; Pcu/CU: Precuneus and cuneus; SFG: Superior frontal gyrus

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#### Availability of data and materials

The datasets used and/or analysed during the current study are available from the corresponding author on reasonable request.

#### Authors' contributions

Study conception and design: JL, XD, YZ; acquisition of data: JS, LW, XC, FH, YT, HZ; analysis and interpretation of data: MW, SB; drafting the article: all authors; final approval of the version to be published: all authors.

#### Ethics approval and consent to participate

This study was approved by the East China Normal University Committee on Human Research (Project No. HR 062–2018) and by the Independent Ethics Committee of Shanghai Ninth People's Hospital (Project No. 2016–44-T1). All participants provided written informed consent.

#### Consent for publication

Not applicable.

#### Competing interests

The authors declare that they have no competing interests.

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