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Lithium metasilicate and lithium disilicate nanomaterials: optical properties and density functional theory calculations

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Abstract

UV-vis and photoluminescence spectra of the hydrothermally synthesized crystalline lithium metasilicate ($Li_2Si_2O_5$) and lithium disilicate ($Li_2Si_2O_5$) nanomaterials are studied. The intensity of the bands in the emission spectra increases with increasing reaction time in both compounds. The electronic band structure along with density of states calculated by the density functional theory (DFT) method indicates that Li_2SiO_3 and $Li_2Si_2O_5$ have an indirect energy band gap of 4.575 and 4.776 eV respectively. The optical properties, including the dielectric, absorption, reflectivity, and energy loss spectra of the compounds, are calculated by DFT method and analyzed based on the electronic structures.

Keywords: Lithium silicates, Nanomaterials, Optical properties, DFT calculations

Background

Silicates are the most abundant and most complicated class of minerals on earth that have tremendous technological applications in fields such as catalysis, microelectronics, biomedicine, photonics, and traditional glass and ceramic industries [1]. In particular, the crystalline lithium silicates are present as important phases in silicate glass ceramics [2] and are of research interest because of their technological applications in areas such as CO₂ captures [3-12], lithium battery cathode materials [13], fast ion conductors [14], optical waveguides [15], and tritium breeding materials [16,17].

Synthesis of lithium silicates has been achieved using different methods, such as solid state reaction, precipitation, sol–gel method, extrusion-spherodisation process, rotating/melting procedures, combustion, electrochemical method, and recently via hydrothermal method. However, most of the time, a mixture of Li_2SiO_3 , $Li_2Si_2O_5$, Li_4SiO_4 , and SiO_2 were obtained [13,15,18-21]. On the other hand, the synthesis of nanocrystalline ceramic materials imposes a challenge on the traditional solid state synthesis methods which fail to offer a sufficiently narrow size distribution

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and desired homogeneity at the nanometer level [22]. However, the hydrothermal synthesis method has an advantage for the production of highly crystalline and pure nanoparticles [23].

Moreover, despite of some significant experimental achievements, our knowledge on the electronic structure and optical properties of the crystalline lithium silicates is still rather limited. The electronic structure of the lithium metasilicate (Li_2SiO_3) and lithium disilicates ($Li_2Si_2O_5$) are previously calculated [1]. However, the predicted band gaps are wider than even those experimentally measured for the related nanocrystals described in this research work. Moreover, the optical properties of these materials are not calculated.

Recently, we have reported the synthesis of highly crystalline and pure lithium metasilicate and lithium disilicate nanomaterials through a mild condition via hydrothermal method [24]. Herein, we will report the powder X-ray diffraction (PXRD) and scanning electron microscopy (SEM) analysis results in more details. In addition, the UV–vis and photoluminescence spectra of the obtained materials will be discussed. Moreover, we will present the electronic and optical properties of the synthesized materials through the density functional theory calculations.

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Methods

The synthesis procedures are reported previously [24]. Phase identifications were performed on a powder X-ray diffractometer Siemens D5000 (Siemens AG, Munich, Germany) using Cu- K_{α} radiation. The morphology of the obtained materials was examined with a Philips XL30 scanning electron microscope (North Billerica, MA, USA). Absorption and photoluminescence spectra were recorded on a Jena Analytik Specord 40 (AnalytikJena UK, Wembley, UK) and a PerkinElmer LF-5 spectrometer (PerkinElmer, Waltham, MA, USA) respectively.

Computational details

The electronic band structures along with the density of states (DOS) of the compounds are calculated by density functional theory (DFT) using one of the three non-local gradient-corrected exchange-correlation functionals (generalized gradient approximation-Perdew-Burke-Ernzerhof parametrization, GGA-PBE). Calculations were performed with the CASTEP code [25,26], which uses a plane wave basis set for the valence electrons and norm-conserving pseudopotential [27] for the core electrons. The number of plane waves included in the basis was determined by a cutoff energy Ec of 500.0 eV. The summation over the Brillouin zone was carried out with a k-point sampling using a Monkhorst-Pack grid [28] with parameters of 5 × 5×5 and $4 \times 5 \times 2$ for Li₂SiO₃ and Li₂Si₂O₅, respectively. Pseudoatomic calculations were performed for Li- $2s^2$, Si-3 $s^2 3p^2$, O- $2s^2 2p^4$. The parameters used in the calculations and convergence criteria were set by the default values of the CASTEP code, e.g., reciprocal space pseudo-potentials representations, eigen-energy convergence tolerance of 1×10^{-6} eV, Gaussian smearing scheme with the smearing width of 0.1 eV, and Fermi energy convergence tolerance of 1×10^{-7} eV.

Results and discussion PXRD analysis

Figure 1 represents the PXRD patterns of the obtained Li₂SiO₃ nanomaterials after reaction times of 48, 72, and 96 h. The PXRD measurements confirm that when the Li/Si molar ratio in the reaction mixture is 1:2, a pure phase of the orthorhombic Li₂SiO₃ (space group of Cmc2₁ [29-35]) is formed. In contrast, as shown in Figure 2, with the Li/Si molar ratio of 1:3 in the reaction mixture, a mixture of meta-stable Li₂Si₂O₅ (space group of *Pbcn* [36,37]) and Li₂SiO₃ is obtained after 48 h. By increasing the reaction time to 72, 96, or 120 h, a pure highly crystalline phase of meta-stable Li₂Si₂O₅ is obtained. A stable form of this compound crystallizes in the space group of Ccc2 [38]. However, most papers refer to a monoclinic cell [19,39-43] despite noticing a discrepancy in diffraction peak intensities between the experiment and calculation. The monoclinic cell has a Page 2 of 11



different symmetry but the same size as the *Ccc*² stable form ($\beta = 90^{\circ}$) [44]. Particle sizes that were measured via Debye-Sherrer equation are as follows: Lithium metasilicate particle sizes are 26.12, 26.82, and 24.58 nm for 48, 72, and 96 h reaction times, respectively. Lithium disilicate particle sizes are 20.696, 22.50, and 23.86 nm for 72, 96, and 120 h reaction times, respectively. Also, interplanar spacing in the crystalline material are calculated via Bragg's law ($n\lambda = 2d_{hkl}$ sin θ)). Thus compared to those of the nanoparticles of pure lithium silicates, with increasing the reaction time, the diffraction lines in the powder XRD patterns of the nanoparticles of lithium metasilicates shift to higher 2θ values ($\Delta 2\theta = 26.84(48 \text{ h}) - 26.88(96 \text{ h}) = 0.04^{\circ}$ and $\Delta d = 3.3177 \text{ Å}$ (48 h) - 3.3128 Å (96 h) = 0.0049 Å; and with increasing the reaction time, the diffraction lines in



the powder XRD patterns of the nanoparticles of lithium disilicates shift to lower 2θ values $\Delta 2\theta = 30.66(72 \text{ h}) - 30.59(120 \text{ h}) = 0.07^{\circ}$, $\Delta d = 2.9190 \text{ Å} (120 \text{ h}) - 2.9125 \text{ Å} (72 \text{ h}) = 0.065 \text{ Å}$. According to above measurements, particle sizes measured with Debye-Sherrer equation are in good agreement with interplanar spacing in the crystalline material measured via Bragg's law.

Microstructure analysis

The SEM images of the synthesized Li₂SiO₃ nanomaterials are given in Figure 3. With the reaction time of 48 h, ununiform sheet-like nanoparticles of Li₂SiO₃ are obtained (Figure 3a). The thicknesses, widths, and lengths of the resultant sheets are approximately 100 nm, 600 nm and 2 μ m, respectively. With increasing the reaction time to 72 h, the morphology of the obtained materials has been changed to the very compact sheets with heterogeneous morphology (Figure 3b). This is while with the reaction time of 96 h, uniform flower-like nanoparticles are obtained (Figure 3c).

Figure 4 represents the SEM images of the synthesized $Li_2Si_2O_5$ nanomaterials. After 48 h, the morphology of the obtained material is sponge-like, consisting of sheet-like and flower-like nanoparticles (Figure 4a). With increasing the reaction time to 72, 96, and 120 h, the morphology of

the obtained materials has been changed to the rectangular sheets and high homogeny in the size is achieved. According to Figure 3 with image magnification of 15,000, it is clear that with increasing reaction time, the grain size has been decreased. Moreover, according to Figure 4, with image magnification of 15,000, it is clear that with increasing the reaction time the grain size has been increased. So Figures 3 and 4 are in agreement with the calculated particle size and interplanar spacing in the crystalline material measured in PXRD analysis section.

Spectroscopic studies

The electronic absorption spectra and also the emission spectra of the synthesized Li_2SiO_3 and $\text{Li}_2\text{Si}_2\text{O}_5$ nanomaterials are given in Figures 5 and 6, respectively. An intense absorption band at 276, 275, and 275 nm is observed in the electronic absorption spectra of the Li_2SiO_3 nanomaterials obtained after 48, 72, and 96 h at 180°C respectively. A similar intense absorption band is observed at 272, 274, and 277 nm in the electronic absorption spectra of the $\text{Li}_2\text{Si}_2\text{O}_5$ nanomaterials obtained after 48, 72, and 96 h at 180°C respectively.

In the excitation spectrum of the synthesized Li_2SiO_3 and $Li_2Si_2O_5$ nanomaterials, a band is observed with maxima at 360 and 250 nm, respectively. Accordingly, in



Figure 3 The SEM images of the synthesized Li₂SiO₃ nanomaterials obtained after different times at 180°C. (a) 48, (b) 72, and (c) 96 h.



the emission spectrum of the synthesized Li₂SiO₃ nanomaterials, an intense peak appears at 410.03 nm. In comparison, an intense peak at 291.45 nm is observed in the emission spectrum of the synthesized Li₂Si₂O₅ nanomaterials. With increasing in the reaction time, no shift is observed in the emission spectrum of the obtained Li₂SiO₃ and Li₂Si₂O₅ nanomaterials. However, increasing band intensities in the emission spectra of both compounds are observed with increasing reaction time.

Structural optimization

The crystal structure and locations of the atoms of the Li_2SiO_3 [45] and $Li_2Si_2O_5$ [36] determined from X-ray diffraction data are used as a starting point for total energy minimization. The optimized unit cells of the Li_2SiO_3 and $Li_2Si_2O_5$ are shown in Figures 3 and 4, respectively.

Optimization (relaxation) of the atomic positions and crystal cell parameters was performed before the main calculations of the electronic characteristics, total electronic energy, band energy dispersion, density of electronic states, and optical properties.

Electronic structures

The calculated band structure of the compounds along high symmetry points of the first Brillouin zone is plotted in Figure 7, where the labeled *k* points are present as G (0.000, 0.000, 0.000), Z (0.000, 0.000, 0.500), T (-0.500, 0.500, 0.500), Y (-0.500, 0.500, 0.000), S (0.000, 0.500, 0.000), and R (0.000, 0.500, 0.500) for Li₂SiO₃; and G (0.000, 0.000, 0.000), Z (0.000, 0.000), C (-0.500, 0.000, 0.500), Y (-0.500, 0.000), S (-0.500, 0.500, 0.000), X (0.000, 0.500, 0.000), U (0.000, 0.500, 0.500), and R



R (-0.500, 0.500, 0.500) for Li₂Si₂O₅. It is found that the top of the valence bands (VBs) has a small dispersion, whereas the bottom of the conduction bands (CBs) has a big dispersion for both Li₂SiO₃ and Li₂Si₂O₅. The lowest energy (4.575 eV) of the conduction bands (CBs) of Li₂SiO₃ is localized at the G point, and the highest energy (0.00 eV) of VBs is localized at the Z point. In the case of the Li₂Si₂O₅, the lowest energy (4.776 eV) of the conduction bands (CBs) is localized at the G point, and the highest energy (0.00 eV) of VBs is localized at the G point, and the highest energy (0.00 eV) of VBs is localized at the G point, and the highest energy (0.00 eV) of VBs is localized at the X point.

To our knowledge, the optical band gap of the bulk Li_2SiO_3 and $Li_2Si_2O_5$ has not been measured. It is well known that both local-density approximation and GGA density functional theory calculations systematically underestimate the band gap of insulators and semiconductors [1]. On the other hand, nanomaterials, compared to the corresponding bulk materials, have wider band gap and therefore show a blue shift in the electronic absorption and



photoluminescence spectra [46,47]. In the orthogonalized linear combination of atomic orbital calculations, the band gap of Li_2SiO_3 and $\text{Li}_2\text{Si}_2\text{O}_5$ was found to be 7.26 and 7.45 eV respectively [48]. Also, a band gap of 5.7 eV [1] and 5.36 eV [49] for Li_2SiO_3 and 5.5 eV [1] for $\text{Li}_2\text{Si}_2\text{O}_5$ is predicted by DFT calculations using the GGA within Perdew and Wang (PW91) scheme. However, according to our calculations, the values of the calculated band gap for Li_2SiO_3 and $\text{Li}_2\text{Si}_2\text{O}_5$ are 4.575 and 4.776 eV respectively, which are comparable with the experimental values (4.49 and 4.56 eV obtained for Li_2SiO_3 and $\text{Li}_2\text{Si}_2\text{O}_5$ nanomaterials obtained after 96 h at 180°C) measured from the electronic absorption spectrum of the synthesized nanomaterials.

The total density of states and partial densities of states for Li₂SiO₃ and Li₂Si₂O₅ are shown in Figures 8 and 9 respectively. The VBs at -19.42 to -15.00 eV for Li₂SiO₃ and at -19.61 to -15.00 eV for Li₂Si₂O₅ have significant contributions from O-2*s* states; however, small contributions from Si-3*s*, 3*p* and Li-2*s*, O-2*p* states still can be observed at these energy intervals.

The most complex VBs are from -8.07 eV in Li_2SiO_3 and -8.84 eV in $\text{Li}_2\text{Si}_2\text{O}_5$ to the Fermi level (0.0 eV). According to the partial density of states, it is confirmed



that the valence bands at these energy intervals are essentially formed by O-2p for both compounds, along with small admixture Li-2s, while the contributions from Si-3 s, 3p states in Li₂Si₂O₅ are significant and cannot be neglected. Such characteristic indicates that covalent bonds could be formed among O-2p and Si-3 p, 3s states in Li2Si2O5. However, in the case of Li₂SiO₃, these contributions are weaker. The valence bands at these energy ranges can be further divided into two parts. Such a splitting characteristic of valence bands reflects different bonding behaviors. The first parts located at -8.84 to -5.19 eV (for Li₂SiO₃) and -8.07 to -4.62 eV (for Li₂Si₂O₅) are due to the bonding between Si-3 s, 3p, Li-2 s orbits and O-2p orbits, while the second part from -5.19 to -4.62 eV for Li₂SiO₃ and Li₂Si₂O₅, respectively, to the Fermi level (0.0 eV) indicates the small interaction between Si-3p, Li-2s orbits and O-2p orbits. Analyzing the PDOS also suggests ionic interactions between Si-2 s, 2*p* orbits and O-2*s*, 2*p* orbits.

The conduction bands between 4.23 and 14.61 eV for Li₂SiO₃ come from Si-3 *s*, 3*p* states, Li-2 *s* states, and O-3 *s*, 3*p* states. In comparison, the bands between 4.23 and 10.00 eV for Li₂Si₂O₅ come primarily from Si-3*p* states, with small contribution from Si-3 *s* states, Li-2 *s* states, and O-2 *s*, 2*p* states. The hybridization between Si-3 *s*, 3*p* orbits and O-2 *s*, 2*p* orbits at upper valence bands is the important structural character of the two compounds.

Optical properties

The optical properties can be gained from the complex dielectric function [50,51]:

$$\varepsilon(\omega) = \varepsilon_1(\omega) + i \ \varepsilon_2(\omega). \tag{1}$$

This is mainly connected with the electronic structures and characterizes the linear response of the material to an electromagnetic radiation, and therefore governs the propagation behavior of radiation in a medium. The imaginary part of the dielectric function $\varepsilon_2(\omega)$ represents the optical absorption in the crystal, which can be calculated from the electronic structure through the joint density of states and the momentum matrix elements between the occupied and the unoccupied wave functions within the selection rules and is given

$$\mathring{a}_{2} = \frac{2e^{2}\delta}{\dot{U}\mathring{a}_{0}} \sum_{k,\nu,c} |\langle \mathscr{O}_{k}^{c} | \, \hat{u} \times r | \mathscr{O}_{k}^{V} \rangle|^{2} \, \ddot{a} \big[\big(E_{k}^{c} - E_{k}^{\nu} \big) - E \big],$$
⁽²⁾

where *e* is the electronic charge, and ϕ_k^c and ϕ_k^v are the conduction band and valence band wavefunctions at *k*, respectively.

The real part $\varepsilon_1(\omega)$ is evaluated from the imaginary part $\varepsilon_2(\omega)$ by the Kramers-Kronig transformation. The other optical constants such as the refractive index $n(\omega)$, extinction coefficient $k(\omega)$, optical reflectivity $R(\omega)$ absorption coefficient $\alpha(\omega)$, energy loss spectrum $L(\omega)$, and the complex



conductivity function $\sigma(\omega)$ can be computed from the complex dielectric function $\varepsilon_1(\omega)$, through the following relations [49,50]:

$$n(\dot{u}) = \sqrt{|\dot{a}(\dot{u})| + \dot{a}_1}(\dot{u})/2$$
 (3)

$$k(\dot{u}) = \sqrt{(|\dot{a}(\dot{u})| - \dot{a}_1(\dot{u})/2)}$$
(4)

$$R(\dot{u}) = \frac{(n-1)^2 + k^2}{(n-1)^2 + k^2}$$
(5)

$$\dot{a}(\dot{u}) = 2k\dot{u}/c \tag{6}$$

$$L(\dot{u}) = \text{Im}\left(\frac{-1}{\dot{a}(\dot{u})}\right) = \frac{\dot{a}_2(\dot{u})}{\dot{a}_1^2(\dot{u}) + \dot{a}_2^2(\dot{u})}$$
(7)

$$\dot{o}(\dot{u}) = \dot{o}^{1(\dot{u})} + i\dot{o}^{2(\dot{u})} = -i\frac{\dot{u}}{4\check{o}} [\dot{a}(\dot{u}) - 1]$$
(8)

The dielectric functions of Li₂SiO₃ and Li₂Si₂O₅ were calculated based on the electronic structure. The $\varepsilon_1(\omega)$ and $\varepsilon_2(\omega)$ as a function of the photon energy are shown in Figure 10 for Li₂SiO₃ and Li₂Si₂O₅.

The imaginary part of $\varepsilon(\omega)$ in Li₂SiO₃ has three intense bands located at 9.02, 11.11, and 14.35 eV. The first peak corresponds mainly to the transition from O-2*p* states (VBs) to the empty Li-2*s* and Si-3*s* states (CBs) above the Fermi level. The second and third peaks are mainly due to the transitions from O-2*p* states (VBs) to the Si-3*p* and Li-2*s* states (CBs) above the Fermi level. In contrast, Li₂Si₂O₅ has a prominent absorption peak, located at the photon energies of 9 eV and two weaker bands located at



11.74 and 15 eV. The main peak at the 9 eV is due to strong interband transitions between the O-2*p* states (VBs) and Si-3*p* empty states (CBs). It is noted that a peak in $\varepsilon_2(\omega)$ does not correspond to a single interband transition since many direct or indirect transitions may be found in the band structure with an energy corresponding to the same peak [52]. The peak amplitudes of Li₂SiO₃ are larger than those of the Li₂Si₂O₅ crystals, due to the fact that the band structures for the two compounds are not similar.

For the real part $\varepsilon_1(\omega)$ of the dielectric function $\varepsilon(\omega)$, the most important quantity is the 0 frequency limit ε_1 (0), which is the electronic part of the static dielectric constant and depends strongly on the band gap. A smaller energy gap yields a larger $\varepsilon_1(0)$ value. This could be explained on the basis of the Penn model [52]:

$$\varepsilon_1(0) \approx 1 + \left(h\omega_p/E_g\right)^2. \tag{9}$$



The energy gap (E_g) could be determined from this expression by using the values of $\varepsilon_1(0)$ and the plasma energy $h\omega_p$. The calculated and experimental E_g and also the calculated static dielectric constants $\varepsilon_1(0)$ of Li₂SiO₃ and Li₂Si₂O₅ are listed in Table 1.

The calculated results on the absorption, reflectivity, and energy loss spectra by norm-conserving pseudo-potentials were shown in Figures 11, 12, 13. According to the absorption spectra, the absorption edges are located at 9.11, 11.85, and 14.70 eV for lithium metasilicate and at 8.2, 11.60, and 15 eV for lithium disilicate. The absorption coefficients decrease rapidly in the low-energy region, which is the representative character of the semiconductors and insulators.

The calculated reflectivity for lithium metasilicate at 0 to 5 eV is lower than 10% and a maximum value of roughly 35.0% is calculated at about 17.53 eV. In comparison, the reflectivity for lithium disilicate at 0 to 5 eV is calculated to be lower than 2%. The calculated reflectivity spectrum of lithium disilicate shows a maximum value of about 15% at 9.9 eV. According to the absorption and reflectivity spectra, it is concluded that lithium metasilicate and lithium disilicate are transmitting for frequencies of <4.00 eV.

The energy loss spectrum describes the energy loss of a fast electron traversing in the material [53]. The main peak is generally defined as the bulk plasma frequency [54]. At energies smaller than 5.0 eV, no distinct peak is calculated due to the fact that $\varepsilon_2(\omega)$ is still large at these energy values. The main peaks of energy loss spectra, as shown in Figure 13, are calculated at about 12.82 and 15.55 eV for lithium disilicate and 19.5 eV for lithium metasilicate. Such calculations may stimulate the experimental investigations.

Conclusions

This study describes the hydrothermal synthesis of highly crystalline and pure lithium metasilicate and lithium disilicate nanoparticles. The PXRD patterns indicate that the pure lithium metasilicate and lithium disilicate

Table 1 Theoretical and experimental energy gaps (E_g) and the calculated average static dielectric constant of Li₂SiO₃ and Li₂Si₂O₅

	Li ₂ SiO ₃		Li ₂ Si ₂ O ₅	
	Calculated	Experimental	Calculated	Experimental
Pseudo- potentials	Norm- conserving	-	Norm- conserving	-
E_g (eV)	4.575	4.49 (a)	4.776	4.56 (a)
		4.51 (b)		4.53 (b)
		4.51 (c)		4.48 (c)
$\varepsilon_1(0)$	2.39	-	1.70	-

The experimental E_g values calculated from the UV–vis spectra for the synthesized Li₂SiO₃ and Li₂Si₂O₅ nanomaterials after (a) 48, (b) 72 and (c) 96 h at 180°C.



crystallized well under hydrothermal condition. SEM images show the reaction time effect on the morphology and homogeneity of the synthesized materials. The intensity of the bands in the emission spectra increases with increasing reaction time in both lithium metasilicate and lithium disilicate.

The electronic band structure along with DOS calculated by the DFT method indicates that Li_2SiO_3 and $Li_2Si_2O_5$ have indirect energy band gaps of about 4.575 and 4.776 eV, respectively. The hybridized interactions between Si-3 *s*, 3*p* orbits and O-2*p* orbits are revealed as the important structural characteristics of the two compounds, which leads to large band gaps.

The optical properties, including the dielectric function, absorption coefficient, reflectivity and energy loss spectra, also have been calculated by DFT methods. According to the calculated absorption and reflectivity spectra, Li₂SiO₃ and Li₂Si₂O₅ are theoretically transmitting for frequencies of <4.00 eV. Therefore, Li₂SiO₃ and Li₂Si₂O₅ are the excellent visible and IR transparent materials, which have been





experimentally proved. Furthermore, for both compounds, the imaginary part $\varepsilon_2(\omega)$ of the dielectric function $\varepsilon(\omega)$ has been discussed in detail according to the band structure. It is found that the peak intensities in Li₂SiO₃ are obviously enhanced compared to that in Li₂Si₂O₅.

Competing interests

The authors declare that they have no competing interest.

Authors' contributions

All authors, AA, SK, SWJ, MD, and AB, participated in the experiments and read and approved the final manuscript.

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