

DELIVERY ROOM MANAGEMENT OF VERY LOW BIRTH WEIGHT INFANTS IN GERMANY, AUSTRIA AND SWITZERLAND – A COMPARISON OF PROTOCOLS

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Abstract

Background: Surveys from the USA, Australia and Spain have shown significant inter-institutional variation in delivery room (DR) management of very low birth weight infants (VLBWI, <1500g) at birth, despite regularly updated international guidelines.

Objective: To investigate protocols for DR management of VLBWI in Germany, Austria and Switzerland and to compare these with the 2005 ILCOR guidelines.

Methods: DR management protocols were surveyed in a prospective, questionnaire-based survey in 2008. Results were compared between countries and between academic and non-academic units. Protocols were compared to the 2005 ILCOR guidelines.

Results: In total, 190/249 units (76%) replied. Protocols for DR management existed in 94% of units. Statistically significant differences between countries were found regarding provision of 24hr in house neonatal service; presence of a designated resuscitation area; devices for respiratory support; use of pressure-controlled manual ventilation devices; volume control by respirator; and dosage of Surfactant. There were no statistically significant differences regarding application and monitoring of supplementary oxygen, or targeted saturation levels, or for the use of sustained inflations. Comparison of academic and non-academic hospitals showed no significant differences, apart from the targeted saturation levels (SpO_2) at 10 min. of life. Comparison with ILCOR guidelines showed good adherence to the 2005 recommendations.

Summary: Delivery room management in German, Austrian and Swiss neonatal units was commonly based on written protocols. Only minor differences were found regarding the DR setup, devices used and the targeted ranges for SpO_2 and FiO_2 . DR management was in good accordance with 2005 ILCOR guidelines, some units already incorporated evidence beyond the ILCOR statement into their routine practice.

Key words: delivery room management, preterm, neonate, VLBWI, guidelines, surfactant, oxygen, saturation, monitoring.

Abbreviations: AU = Austria; BW = birth weight; CO_2 = carbon dioxide; CPAP = continuous positive airway pressure; CH = Switzerland; DE = Germany; DR = delivery room; ERC = European Resuscitation Council; FI-bag = flow-inflating bag; FiO_2 = fraction of inspired oxygen; GA = gestational age; ILCOR = International Liaison Committee on Resuscitation; NICU = neonatal intensive care unit; n.s. = not statistically significant; O_2 = oxygen; RR = respiratory rate; SI-bag = self-inflating bag; SpO_2 = peripheral oxygen saturation; VLBWI = very low birth weight infant (birth weight less than 1500g)

BACKGROUND

Survival of very low birth weight infants (VLBWI, birth weight less than 1500g) is dependent on professional perinatal management [4]. For successful delivery room (DR) management various aspects of the postnatal adaption process need to be considered such as the support of the thermal adaptation, airway management, breathing, circulation and metabolism [17]. The consistent provision of high quality care in a field as challenging and stressful as neonatal resuscitation has been shown to be improved by the adherence to standardized protocols [26]. An up to date, evidence based protocol and modern set-up of the DR, recently referred to as „the delivery room neonatal care unit“ (DR NICU, as by Vento et al.), helps ensure a successful and coordinated, patient centred team effort [12, 28]. Thanks to the extensive research interest in neonatal resuscitation, good quality evidence has become available from an increasing number of large randomized controlled trials on almost all fields of DR management over the course of the past decade [9, 29].

Different international organizations have dedicated their work towards the provision of up to date recommendations on the DR management of neonates, namely the European Resuscitation Council [3] and the International Liaison Committee on Resuscitation Council [7]. In seeking to provide up to date recommendations on the management and on the best

equipment used during resuscitation, ILCOR engages more than 500 physicians, collaborating to evaluate the best available evidence from over 20.000 papers in search of the best evidence. These recommendations are distributed through the scientific literature [1]. Furthermore, the practice of DR management is widely being taught in various internationally recognized training programmes (neonatal advanced life support = NALS, neonatal resuscitation program = NRP) (see Leone [10]).

Despite the above efforts to standardize delivery room management of VLBWI neonates, national surveys from Australia, the USA, Italy and Spain have shown wide and significant inter-institutional variations in DR management of VLBWIs. These were found regarding, for instance, the equipment used for resuscitation and for monitoring, or regarding the targeted parameters during resuscitation [8, 10, 13, 27].

The aim of our study was to investigate the current state of DR management of infants with birth weight <1500g at birth in German speaking countries (Germany (DE), Austria (AU) and Switzerland (CH)). We wanted to know to which extent the above named recommendations were incorporated in local treatment protocols and whether there was a differences in the implementation between the countries and between academic and non-academic hospitals.

METHODS

We conducted a questionnaire survey on DR management of German, Austrian and Swiss neonatal units. Between October and December 2008 a total of 249 units were approached (DE: 193, AU: 14 CH: 42). The questionnaire was developed in our clinic and pretested on our Department (Charité Universitätsmedizin Berlin, Germany). Elements from published questionnaires were incorporated to ensure comparability to published data from other surveys [10].

QUESTIONNAIRE

The questionnaire contained four sections:

- *Characterization of the institution:* Information was obtained on the level of care and the teaching status of the institution, number of in house births below 1500g/year, presence of 24hr neonatal in house service and number of admissions to the neonatal intensive care unit (NICU) per year. We distinguished between academic children's hospitals or academic teaching hospitals and non-academic children's hospitals.
- *Perinatal management:* information on late cord clamping, thermal management in DR, and presence of a designated resuscitation area, protocols or guidelines for DR management.
- *DR management equipment:* Types of ventilator equipment used, means of non-invasive respiratory support systems (bags, T-piece resuscitators, ventilators), use of CO₂-detectors, oxygen blenders, pulse oximetry.
- *Targeted values:* The use of oxygen (O₂) during DR management, the expected SpO₂ saturations at 10

min of age and the titration strategy for adapting FiO₂ treatment.

- *Surfactant therapy:* Protocols for Surfactant administration and dosage.

PROTOCOL

The questionnaire was sent by posted mail to all German, Austrian and Swiss children's hospitals with NICU facilities, as identified through the address database of the „Gesellschaft für neonatale und pädiatrische Intensivmedizin“ (GNPI = society for neonatal and paediatric intensive care medicine). The questionnaire was sent to the head of the neonatal department of each unit. Four weeks after the initial sending, a reminder questionnaire was sent out to the non-compliant institutions, followed by both Email and telephone contact.

STATISTICAL METHODS

The reported characteristics are described by incidences and the differences between countries and academic and non-academic institutions were compared by chi-square test or the exact Fischer test, as appropriate. Chi-square was not calculated if total frequency numbers were <5. For statistical evaluation the software Statgraphics (Vers. 5.0, Manugistics Inc., U.S.A.) was used. A p-level of <0.05 was accepted as statistically significant.

RESULTS

A total of 190 of the 249 approached neonatal units replied, the mean response rate was 76% (DE: 153/193 (79%), AU: 11/14 (79%), CH: 26/42 (62%). Of the received questionnaires the incidence of missing data due to unanswered items was in the median 3.4% (range 0% to 23.7%). Therefore, in all tables the total number of answers was given. The highest incidence of missing data was regarding the question about presence of a neonatal resuscitation room, the use of flow-inflating bags (FI-bags) and the surfactant treatment.

The characteristics of the responding units are shown in Table 1. Forty-eight units (25%) were academic children's hospitals or teaching hospitals, and 142 (75%) were non-academic units. Almost all units (94%) had written protocols for DR management. There were no statistically significant differences found between the countries' units regarding most items, except for the provision of a 24hr neonatal in house service and the presence of designated resuscitation area (a special room or cubicle) (p = 0.016 and 0.019, respectively) (Table 1).

With regards to the clinical practice of DR management, no statistically significant differences between countries were found regarding the measures for thermal control, circulatory volume and O₂-monitoring, as shown in Table 2. However, there were differences between countries with respect to the equipment used for DR management (Table 2). Flow-inflating bags are rarely used in DE (2%) but in more than 20% of AU and CH units (p<0.001). In contrast, the use of self-

Table 1. Demographics of the participating institutions (absolute numbers and percent (%) in parenthesis).

	Germany N = 153	Austria N = 11	Switzerland N = 26	All countries N = 190	Differences between countries p-value
Academic unit	35/153 (23)	4/11 (36)	9/26 (35)	48/190 (25)	0.304
Births per year >1000	122/150 (81)	7/10 (70)	18/26 (69)	147/186 (79)	0.290
>50 VLBWI neonates per year	64/152 (42)	5/11 (45)	12/26 (46)	81/189 (43)	0.914
24hr in house neonatal service	148/153 (97)	11/11 (100)	21/25 (84)	180/189 (95)	0.016
Designated neonatal resuscitation room	95/117 (81)	6/9 (67)	10/19 (53)	111/145 (77)	0.019
Neonatal treatment guidelines	140/149 (94)	11/11 (91)	24/26 (92)	174/186 (94)	0.889

VLBWI = very low birth weight neonate

Table 2. Clinical practice and equipment used for resuscitation of VLBWI (absolute numbers and percent (%) in parenthesis).

	Germany N = 153	Austria N = 11	Switzerland N = 26	All countries N = 190	Differences between countries p-value
Circulatory volume- and temperature control					
Late cord clamping	62/145 (43)	5/10 (50)	11/24 (46)	78/179 (44)	0.879
Polyethylen foil	93/151 (62)	8/10 (80)	16/26 (62)	117/187 (63)	0.504
Head cover	126/151 (83)	7/10 (70)	19/26 (73)	152/187 (81)	0.294
Devices for pressure or volume control					
FI-bag	2/119 (2)	2/9 (22)	6/26 (23)	10/154 (6)	<0.001
SI-bag	113/136 (83)	8/9 (89)	25/26 (96)	146/171 (85)	0.215
with PEEP valve	98/136 (72)	4/9 (44)	20/26 (77)	122/171 (71)	0.164
with manometer	34/136 (27)	1/8 (13)	2/25 (8)	37/169 (22)	0.093
Neopuff®	56/136 (41)	8/10 (80)	5/25 (20)	69/171 (40)	0.004
Respirator	66/136 (49)	2/10 (20)	4/25 (16)	72/171 (42)	0.004
Other pressure control devices	5/136 (4)	0/10 (0)	2/25 (8)	7/171 (4)	0.459
Devices with volume control (respirator)	59/148 (40)	4/10 (40)	5/25 (20)	68/183 (37)	0.161
Devices without any pressure / volume control	1/147 (1)	0/11 (0)	2/26 (8)	3/183 (2)	-
O₂-therapy and monitoring					
Pulse oximetry	149/150 (99)	9/10 (90)	26/26 (100)	184/186 (99)	-
O ₂ -blenders	148/152 (97)	11/11 (100)	24/25 (96)	183/188 (97)	0.248
CO ₂ -detectors	12/149 (8)	4/11 (36)	3/26 (11)	19/186 (10)	0.011
Gas heating and humidification	62/150 (41)	2/11 (18)	13/24 (54)	17/185 (42)	0.132

FI-bag = flow-inflating bag; SI-bag = self-inflating bag; PEEP = positive end expiratory pressure

inflating bags (SI-bags) was common, with 85% for all countries, without statistically significant differences. In particular, they were used in 83% of DE, 89% of AU and 96% CH units. SI-bags were often used to-

gether with PEEP valves (71% for all countries). Less than a quarter of all units used pressure manometers together with SI-bags. Pressure controlled manual resuscitation devices (T-piece resuscitators) were used in

Table 3. Primary target values and parameter settings (absolute numbers and percent (%)) in parenthesis).

	Germany N = 153	Austria N = 11	Switzerland N = 26	All countries N = 190	Differences between countries p-value
Oxygen therapy					
FiO ₂					
0.21	47/147 (32)	1/11 (9)	8/25 (32)	56/183 (31)	
0.22 - 0.5	81/147 (55)	10/11 (91)	14/25 (56)	105/183 (57)	0.241
0.51 - 1.0	19/147 (13)	0/11 (0)	3/25 (12)	3/183 (12)	
Target SpO ₂					
<85%	21/145 (14)	1/7 (14)	8/24 (33)	30/176 (17)	
85-90%	108/145 (74)	5/7 (71)	13/24 (54)	126/176 (72)	0.231
>90%	16/145 (11)	1/7 (14)	3/24 (13)	20/176 (11)	
High FiO ₂ , taper down	31/152 (20)	2/11 (18)	2/25 (20)	38/188 (20)	
Low FiO ₂ , taper up	12/152 (80)	9/11 (82)	20/25 (80)	150/188 (80)	0.984
Non-invasive respiratory support					
Prolonged inflations >5sec	41/148 (28)	3/11 (27)	3/25 (12)	47/184 (26)	0.248
Starting CPAP					
≤3 cmH ₂ O	9/149 (6)	0/0 (0)	1/21 (5)	10/180 (6)	
4-5 cmH ₂ O	114/149 (77)	6/10 (60)	19/21 (90)	139/180 (77)	0.170
>5 cmH ₂ O	26/149 (17)	4/10 (40)	1/21 (5)	31/180 (17)	
Invasive respiratory support					
INSURE yes	43/142 (30)	4/9 (44)	4/19 (21)	51/170 (30)	0.444
Surfactant					
100mg/kg	129/145 (89)	3/7 (43)	11/14 (79)	143/166 (86)	0.002
150-200mg/kg	16/145 (11)	4/7 (57)	3/14 (21)	23/166 (14)	

FiO₂ = fraction of inspired oxygen

CPAP = continuous positive airway pressure

INSURE = intubate, surfactant application, extubate immediately

SpO₂ = peripheral saturation of oxygen

40% of all units, the highest distribution was seen in AU units (80%) compared to 41% (DE) and only 20% in CH units ($p = 0.004$) (Table 2). No information was obtained on whether the units preferred one device over another.

Table three shows the comparison of the targeted values. The only identified significant difference between the countries was regarding the Surfactant treatment: while DE and CH primarily used 100ml/kg as a starting dose, the majority of AU units (57%) preferred 150-200 ml/kg ($p = 0.002$) (Table 3).

Only minor differences between academic and non-academic units were found (Table 4 and 5). While head covers were significantly less often used ($p < 0.001$) in academic units, SI-bags were more often used here ($p = 0.006$), and devices for volume control were used less frequently in academic units ($p = 0.042$). Regarding the comparison of targeted values, protocols of academic and non-academic units were widely

comparable, except in a weak, however statistically significant difference for the targeted values for SpO₂ ($p = 0.023$) (Table 5).

Comparison of the DR management of VLBWI from our studied units showed good accordance to the latest ILCOR guidelines 2005, with the exception to the use of CO₂-detectors (Table 2). However, we also have observed the widely used incorporation of very recent, high quality evidence as for instance delayed cord clamping [17], gas conditioning or oxygen therapy, irrespective of the lack of a clear statement in the ILCOR 2005 publication [1] (Table 2).

DISCUSSION

The results from 190 neonatal units from Germany, Austria and Switzerland showed that DR management in these countries was performed at a similar standard and in good accordance with the 2005 ILCOR guide-

Table 4. Comparison by level of care (academic vs. non-academic clinic) in clinical practice and equipment used for resuscitation of VLBWI (absolute numbers and percent (%) in parenthesis).

	Academic Hospital N = 48	Non-Academic Hospital N = 142	Differences between institutions p-value
Circulatory volume- and temperature control			
Late cord clamping	25/48 (52)	53/131 (41)	0.165
Polyethylen foil	30/48 (63)	87/139 (63)	0.991
Head cover	31/48 (65)	121/139 (87)	<0.001
Devices for pressure or volume control			
FI-bag	2/41 (5)	8/113 (7)	0.624
SI-bag	44/45 (98)	102/126 (81)	0.006
with PEEP valve	32/44 (73)	90/127 (71)	0.814
with manometer	6/43 (14)	31/116 (27)	0.091
Neopuff®	21/44 (48)	48/127 (38)	0.247
Respirator	15/44 (34)	57/127 (45)	0.212
Other pressure control devices	1/47 (2)	2/136 (1)	1.000
Devices without any pressure control	1/40 (3)	2/112 (2)	0.875
Devices with volume control (respirator)	12/48 (25)	46/135 (42)	0.042
O₂-therapy and monitoring			
Pulse oximetry	48/48 (100)	136/138 (99)	1.000
O ₂ -blenders	45/48 (94)	138/140 (99)	0.106
CO ₂ -detectors	5/48 (10)	14/138 (10)	0.957
Gas heating and humidification	16/48 (33)	61/137 (45)	0.176

FI-bag = flow-inflating bag

Neopuff® = most commonly used T-piece resuscitator

SI-bag = self-inflating bag

PEEP = positive end expiratory pressure

lines. Guidelines for DR management existed in almost all units. Only minor differences were found between countries regarding the provision of a 24hr neonatal service and presence of a designated resuscitation area, the means for thermal support, the equipment used for giving ventilatory support and regarding the targeted values, or the initial dosage of Surfactant. Apart from the use of head covers and use of devices for volume control (most prevalent in non-academic units) and SI-bags (more prevalent in academic teaching units), as well as different target levels for SpO₂ at 10 min. of life, there were no statistically significant differences between academic and non-academic units.

The clinical practice of DR management, as reflected in our survey of protocols of German, Austrian and Swiss neonatal units is discussed below. The protocols were related to the recommendations given by ILCOR in 2005 [1]. With regards to measures for thermal control, significant differences were found between the protocols in German speaking countries and the 2005 ILCOR recommendations: only 63% of responding units used polyethylen wrappings but 81% used head covers. Taking into account that these procedures require only inexpensive equipment and little time, and despite good evidence and clear ILCOR recommendations towards their use, it is not clear why these measures were not universally employed [1, 23,

30]. However conversely, although no clear-cut recommendations on late cord clamping were given for preterm neonates in the 2005 ILCOR guidelines, according to our survey 44% of units already advise to perform late cord clamping (>30 sec), much in line with evidence from a recent meta-analysis [15].

Regarding the use of devices for non-invasive manual ventilatory support, ILCOR 2005 is open towards the use of SI-bags, FI-bags or T-piece resuscitation devices. All devices were considered useful, without specification [1]. However, recent experimental evidence stresses the preference of pressure-controlled devices over SI-bags for giving manual ventilatory support to VLBWIs [2, 18]. From the results of our survey, we can see that T-piece, pressure controlled ventilation devices are becoming well established for use in the DR (40%). Use of a pressure manometer together with an SI-bag was current practice in 22% of units. Regarding ventilation strategies during resuscitation, it is mentioned in the ILCOR guidelines that there was insufficient data to support or refute the routine use of CPAP/PEEP during or immediately after resuscitation in the delivery room [1]. However, although not specified in the 2005 ILCOR guidelines, use of PEEP was commonly employed, the median starting CPAP/PEEP pressure was 5 cmH₂O. This value has already been recognized as the median starting CPAP

Table 5. Comparison by level of care (academic vs. non-academic clinic) in primary target values and parameter settings (absolute numbers and percent (%)) in parenthesis).

	Academic Hospital N = 48	Non-Academic Hospital N = 142	Differences between institutions p-value
Oxygen therapy			
FiO ₂			
0.21	15/45 (33)	41/138 (30)	
0.22 - 0.5	26/45 (58)	79/138 (57)	0.728
0.51 - 1.0	4/45 (9)	18/138 (13)	
Target SpO ₂			
<85%	12/47 (26)	18/129 (14)	
85-90%	34/47 (72)	92/129 (71)	0.023
>90%	1/47 (2)	19/129 (15)	
High FiO ₂ , taper down	7/48 (15)	31/140 (22)	
Low FiO ₂ , taper up	41/48 (85)	109/110 (78)	0.260
Non-invasive respiratory support			
Prolonged inflations >5sec	11/46 (24)	36/138 (26)	0.770
Starting CPAP			
≤3 cmH ₂ O	3/45 (7)	7/135 (5)	
4-5 cmH ₂ O	31/45 (69)	108/135 (80)	0.288
>5 cmH ₂ O	11/45 (24)	20/135 (15)	
Invasive respiratory support			
INSURE yes	12/47 (26)	39/123 (32)	0.432
Surfactant			
100mg/kg	30/39 (77)	113/127 (89)	
150-200mg/kg	9/39 (13)	14/127 (11)	0.109

CPAP = continuous positive airway pressure

FiO₂ = fraction of inspired oxygen

SpO₂ = peripheral saturation of oxygen

INSURE = intubate, surfactant application, extubate immediately

level for most German NICUs [19]. Another interesting observation is the discrepancy between the guidelines and common practice as exemplified by the administration of sustained inflations: while mentioned in ILCOR, but not formally suggested in 2005 [1], as many as 26% of units from the German speaking countries do administer sustained inflations <5 sec. during DR management of VLBWI. It can be assumed that those units act on the basis of evidence from two small trials, illustrating some positive effects of sustained inflations [11, 25]. A further deviation from ILCOR 2005 was found regarding the use of CO₂ detectors. Such kits can be used for confirming endo-tracheal tube placement and are being recommended for this purpose in the 2005 ILCOR statement [1]. Strikingly, while qualitative and quantitative CO₂ detectors were already described to be used by 32% of North American NICUs in Leone's paper in 2006, two years later, only 10% of the NICUs from our survey claimed to use these to confirm tracheal tube placement [10]. The reasons for this remain speculative and may warrant further investigation [5]. With respect to gas conditioning, although not specified in the ILCOR guidelines, and as so far only experimental

data is available [14], as many as 42% of units claim to already use heated and humidified gas in the DR. Despite these fine differences to the recommendations by ILCOR 2005, common practice of DR airway management within the German speaking countries is widely in line with the most recently reviewed advances in care of the newly born preterm lung [21, 22].

The particular issue of oxygen administration and peripheral monitoring of oxygenation and the shortcomings of the ILCOR guidelines were already discussed in detail by other colleagues [8, 6]. In short, while the ILCOR guideline says the supplementation of oxygen should be considered „if central cyanosis was persistent during resuscitation and hyperoxia should be avoided“ [1]. Several recent meta-analyses have helped to educate us on a more judicious use of O₂ in the context of delivery room management [24, 16, 20]. The discrepancy between guidelines and most recent evidence on the use of O₂ was addressed in a recent publication, aimed for the German readership [6]. According to our survey, only 31% of the units quoted a starting FiO₂ of 0.21, while 57% would use a FiO₂ of 0.22-0.55 and just 12% would use a FiO₂ of

1.0. Also, around 80% of the surveyed units preferred to start with a low FiO_2 and then increase FiO_2 if necessary (step up), 20% would use a step down approach. The ILCOR and ERC guidelines also recommend the use of an incremental approach [1].

A comparison of our results to data on DR management in other European countries yields interesting results. Differences were observed in particular with respect to the airway management and control of oxygen delivery [8, 27]. When compared to Spanish and Italian data, it becomes obvious that the German speaking countries act consistently on the basis of a written protocol. This was very recently confirmed by the study of Schmölzer et al. [22]. It is not known from the literature whether written protocols were used in other European countries. However, according to Iriondo and co-workers, every neonatal team in Spain employs a neonatologist trained under the national neonatal training scheme, hence a common national DR procedure can be expected [8]. We believe the observed institutional and national differences are very interesting with regards to the question how best evidence is distributed and how it can be most effectively be incorporated in to local guidelines. Data from other European countries should also be obtained in order to survey the local practice guidelines; a copy of our questionnaire is available found in the appendix of this paper.

Further, means to distribute the best available evidence on neonatal resuscitation in order to incorporate it in to common practice should be investigated. Spain, where a common national training programme for neonatologists exists and its completion is compulsory before physicians take over responsibilities in the NICU, may act as a leading example. Other means to keep up to date would be by the use of the internet, with the installation of an evidence-based website with particular focus on neonatal resuscitation. Such a project is currently under construction (www.neonatologie.org).

In conclusion, DR management is based on written protocols and is being operated almost similarly throughout German, Austrian and Swiss neonatal units, and in academic and non-academic units. We found only minor differences regarding the DR setup and equipment used, as well as for targeted values of SpO_2 and FiO_2 . Protocols were in good accordance with the recent 2005 ILCOR guidelines. Where available, emerging high quality evidence that was not in the 2005 ILCOR statement has been adopted into local protocols of many units.

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