RESEARCH ARTICLE



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Improving the performance of β -turn prediction using predicted shape strings and a two-layer support vector machine model

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Abstract

Background: The β -turn is a secondary protein structure type that plays an important role in protein configuration and function. Development of accurate prediction methods to identify β -turns in protein sequences is valuable. Several methods for β -turn prediction have been developed; however, the prediction quality is still a challenge and there is substantial room for improvement. Innovations of the proposed method focus on discovering effective features, and constructing a new architectural model.

Results: We utilized predicted secondary structures, predicted shape strings and the position-specific scoring matrix (PSSM) as input features, and proposed a novel two-layer model to enhance the prediction. We achieved the highest values according to four evaluation measures, i.e. $Q_{total} = 87.2\%$, MCC = 0.66, $Q_{observed} = 75.9\%$, and $Q_{predicted} = 73.8\%$ on the BT426 dataset. The results show that our proposed two-layer model discriminates better between β -turns and non- β -turns than the single model due to obtaining higher $Q_{predicted}$. Moreover, the predicted shape strings based on the structural alignment approach greatly improve the performance, and the same improvements were observed on BT547 and BT823 datasets as well.

Conclusion: In this article, we present a comprehensive method for the prediction of β -turns. Experiments show that the proposed method constitutes a great improvement over the competing prediction methods.

Background

The β -turn is a secondary protein structure type that plays an important role in protein configuration and function. A β -turn consists of four consecutive residues in a protein chain that does not form an α -helix, and the distance between $C_{\alpha}(i)$ and $C_{\alpha}(i+3)$ is less than 7 Å, where $C_{\alpha}(i)$ denotes the alpha-carbon of an amino acid residue [1,2]. On average, β -turns account for approximately 25% of the globular protein residues [3]. β -turns are usually described as the orienting structure, because they orient α -helices and β -sheets, defining indirectly the topology of proteins. They are also involved in the biological activity of peptides as the bioactive structures that interact with other molecules, such as receptors, enzymes, or antibodies [4]. Formation of the β -turn is also a vital stage during the process of protein folding [5]. Therefore, development of accurate prediction methods to identify β -turns in protein

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The β -turn prediction methods developed from the beginning until now can be divided into two categories: those based on statistical methods and those based on machine-learning approaches. In statistical approaches, a series of positional frequencies and conformational parameters are derived from each position in β -turns. They include the Chou-Fasman method [6], Thornton's algorithm [7], GORBYURN [8], the 1-4 & 2-3 correlation models [9], and the sequence-coupled model [10]. More recently, the COUDES method based on propensities and multiple alignments has been proposed [11]. The position-specific scoring matrix (PSSM), which is calculated with PSI-BLAST [12] and secondary structure information predicted by PSIPRED [13] and SSPRO2 [14] were utilized by COUDES to improve the accuracy of prediction. The second category, based on machine-learning approaches, includes BTPRED [15], BetaTPred2 [16,17],



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and MOLEBRNN [18], which are based on artificial neural networks (ANN), Kim's method [19] based on K-nearest neighbor (KNN), as well as the most recent prevailing method based on support vector machines (SVMs) [4,20-28]. Inclusion of secondary structure information and PSSM in ANNs and SVMs has been shown to improve prediction performance [29,30]. The best SVM-based predictor according to Q_{total} that was developed by Zheng and Kurgan utilized window-based information extracted from four predicted, three-state secondary structures, together with a selected set of PSSM values as an input [27]. They achieved the following results: $Q_{total} = 80.9\%$, $Q_{observed} = 55.6\%$ and MCC = 0.47. However, the quality of the prediction is still a challenge, and there is substantial room for improvement.

In this paper, we propose a comprehensive method for protein β -turn prediction. Our innovations focus on discovering effective features and constructing a new architectural model. Besides generating effective features, a two-layer SVM model based on a clustering approach is proposed in this paper. Seven-fold cross validation tests on the BT426 dataset achieve a result of $Q_{total} = 87.2\%$, $Q_{observed} = 75.9\%$ and MCC = 0.66, which demonstrate that the proposed approach can achieve significant improvement over the competing β -turn prediction methods.

Methods

Datasets

The dataset of 426 protein sequences (denoted by BT426), which was developed by Guruprasad and Rajkumar [4], was chosen to train and test our method. The structure of each protein chain in this dataset has been determined by X-ray crystallography at better than 2.0 Å resolution, and no two protein chains have > 25% identity. The program PROMOTIF [31] was implemented to identify the observed β -turns in these crystal structures. Each chain contained at least one β -turn. After finding the optimal input sheme and kernel parameters, we utilized two additional datasets to validate the performance of the method. The datasets consist of 547 and 823 protein chains and are denoted as BT547 and BT823, respectively. They were constructed using PDBSELECT list published in June 2000 and October 2003 [32], respectively, by Fuchs and Alix [33]. They share the same characteristics as BT426 dataset.

Features

PSSMs

In the proposed approach, PSSMs are utilized as input features, since they have been shown to contribute significantly to the accuracy of β -turn prediction [27-30]. The PSSM is computed using two rounds of PSI-BLAST searches against NCBI non-redundant (nr) amino acid

sequence databases, with default parameters [12]. The PSSM is a matrix of N×20 elements, where N is the number of residues of the query sequence. The PSSM values are scaled within the range [0 1] using the standard logistic function:

$$f(x) = \frac{1}{1 + \exp(-x)}$$
(1)

where x is the matrix value that stands for the propensity of that particular residue substitution at that position.

Predicted secondary structures

Three secondary structure predictors, PHD [34], Jpred [35], and PROTEUS [36], are considered in this paper. PHD and Jpred are based on the amino acid sequence, while PROTEUS is developed by using both sequence and structural alignment. As the size of the protein sequence database gets larger and larger, the probability of a newly identified sequence having a structural homologue is actually high. Experiments show that PROTEUS achieves higher prediction accuracy on the BT426 dataset than the other two predictors. Therefore, we utilized PROTEUS to predict secondary structure in our final model.

The protein secondary structures were predicted as three structures: helix, strand and coil. The predicted secondary structure information of each residue was encoded as: helix \rightarrow (1 0 0), strand \rightarrow (0 1 0), coil \rightarrow (0 0 1).

Predicted shape strings

Since the classical three-state secondary structure did not indicate precisely the backbone protein structure, another type of one-dimensional string of symbols representing the clustered regions of Φ , Ψ torsion angle pairs, called shape string [37], was considered as a new feature of our predictor. Predicted dihedral angles have been applied successfully for secondary structure prediction [38,39], β -turn prediction [26] and three-dimensional structure of protein fragments [40]. Recently, shape string was successfully used in gamma-turn prediction [41]. In this work, shape strings were predicted from a predictor constructed based on structural alignment approach and shown useful in predicting β -turns. Shape strings were represented by eight states, i.e. S, R, U, V, K, A, T and G. For a sequence in the PDB database, the shape string can be calculated according to its three dimensional structures determined by experimental measurements. For a sequence whose structure is unknown, the shape string can be predicted using amino acid sequence information. Here we constructed a shape string predictor based on structural alignment (as shown in Figure 1).

We constructed a non-redundant dataset of nr-PDB (Sep. 2010) (download from ftp://ftp.ncbi.nlm.nih.gov/ blast/db), cutting at 30% sequence identity containing 13609 protein entries using CD-HIT [42] (426 sequences



were removed from the original dataset). The shape string of each entry was obtained from the web server [43].

For a given target sequence, the PSI-BLAST program [12] was carried out on the reduced dataset to find its homologous sequences. Then, matched sequences whose e-value was below a given threshold (1×10^{-5}) were ranked according to the e-value in ascending order. Those sequences were judged one by one. The shape string of the matched part of the sequence was assigned to the target sequence. Only the unmatched part of the target sequence was considered when matching with the next ranked sequence. When finishing the assignment, there would still be some unmatched parts of the target sequence, and therefore, this part would have no shape string information. At the encoding stage, we used \times to represent those empty positions. Nine characters of shape string information were encoded in an orthogonal manner, e.g. $S \rightarrow (1 \ 0 \ 0 \ 0 \ 0)$ 0 0 0), $R \rightarrow (0 \ 1 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0)$.

Two-layer scheme

The overall architecture of the proposed system is shown in Figure 2. We built a two-layer SVM predictor using the probability estimates of all samples from two clustered models in the first layer as the input of the second layer.

Features mentioned above were calculated when a window of 8 AA sliced from the N-terminal end to the C-terminal end of a protein sequence. Each window was tagged with a label of β -turn (positive) or non- β -turn (negative), according to whether consecutive, centered four residues form a β -turn or not. Feature vectors were fed into two clustered models to compute the probability



estimates. Then, the probability estimates of each sample from two models were combined as the input of a second-layer SVM predictor to make a final prediction.

Clustered model

The remarkable feature of our approach is the clustered model. In our trial experiments, we found that if the positive set was divided into a few subsets by a suitable clustering algorithm, the prediction accuracy of N-fold cross validation of each positive subset with randomly selected negative samples was promoted significantly when the ratio of positive to negative was kept the same (i.e. 1:3). We conjecture that this is because the distribution of the positive samples in a subset is centralized and compacted, and it means that good performance would be expected when a multi-model could be used in the whole prediction. However, there was still a barrier to overcome at this stage. That is, one does not know which model should be used in practice when predicting unknown samples. If an incorrect subset model is used, the performance would be unsatisfactory. Therefore, we used these clustered models as variable generators, and, furthermore, constructed a two-layer learning machine.

At the very beginning, the whole positive set was divided into two subsets by a K-means clustering algorithm using original variables. The distribution of those two clusters is shown in Figure 3. The two centralized and compacted subsets are utilized to build SVM models with randomly selected negative samples, whose size is



three times the positive subsets. The two clustered models, of course, cannot be utilized directly in the prediction, but they can be considered as variable generators, and are named "clustered model 1" and "clustered model 2." During training and prediction stages, these clustered models are unchanged, and all the samples enter both clustered models. Probability estimates of samples for being positive/negative are generated for the next step. Such variable generators often appear in published papers, for example the PHD predictor [34] for protein secondary structure, in which the first layer of ANN is just a variable generator, and the probabilities of three states are outputs for the next layer. We clearly understand that, for one clustered model the output probability estimates for being positive/negative are not all correct; however, there are always some correct pairs. The judgment and weighting task is left to next layer SVM modeling.

Secondary layer modeling

As mentioned above, two clustered models in the first layer were utilized as variable generators to generate probability estimates. Therefore, the input of the second-layer predictor was a 2×2 vector. Seven-fold cross validation was used to perform tests on the dataset. The folds were created by randomly selecting equal numbers of samples which were produced by the sliding window technique. Each sample was only predicted once, and never involved in corresponding model construction of both layers. The overall prediction accuracy is the percentage of correctly predicted samples.

In this work, we employed the support vector machine (SVM) [44] classifier in both layers. LibSVM [45], a popular SVM software package, was employed for the training and testing of the SVM classifiers. RBF SVM was used in our prediction. Two parameters, C and gamma,

were optimized using the default grid-search approach to achieve the optimized predictive performance. The optimized parameters (*C*, gamma) for clustered model 1 and clustered model 2 were both (0.5, 0.0078125). That for secondary layer model was (32, 8).

Evaluation

Four measures, Q_{total} , $Q_{predicted}$, $Q_{observed}$, and MCC are commonly used to evaluate the quality of prediction [11]. During the cross validation test, the confusion matrix, which comprises true positive (TP), false positive (FP), true negative (TN) and false negative (FN) was used to calculate these measures:

1) Q_{total} is defined as the percentage of correctly classified β -turns

$$Qtotal = \frac{TP + TN}{TP + TN + FP + FN} \times 100$$
(2)

2) $Q_{predicted}$ is the percentage of correctly predicted β -turns among the predicted β -turns

$$Qpredicted = \frac{TP}{TP + FP} \times 100 \tag{3}$$

3) $Q_{observed}$ is the percentage of correctly predicted β -turns among the observed β -turns

$$Qobserved = \frac{TP}{TP + FN} \times 100 \tag{4}$$

4) Matthew's Correlation Coefficient (MCC) [46] is calculated

$$MCC = \frac{TP \times TN - FP \times FN}{\sqrt{(TP + FP) \times (TP + FN) \times (TN + FP) \times (TN + FN)}}$$
(5)

The MCC value takes account of both over- and under-predictions and is between -1 and 1.

We also adopt the receiver operating characteristics (ROC) and report the area under the ROC curve to measure the ability of a method to correctly classify β -turns and non- β -turns.

Results and Discussion

Comparison with competing prediction methods

The selected features, i.e. PSSMs, secondary structures predicted by PROTEUS and shape string predicted by our structural alignment approach, and two-layer SVM scheme were applied in the proposed prediction model. The 7-fold cross validation test results on the BT426 dataset are summarized and compared with competing methods in Table 1. The results are organized in descending order by the values of Q_{total} .

Table 1 shows that the proposed method achieved the highest values according to four evaluation measures on the BT426 dataset. The Q_{total} was 6.3% higher than that

Table 1 Comparison of the proposed and the competing methods on the BT426 dataset

Predictor	Q _{total}	MCC	Qobserved	Qpredicted
This paper	87.2	0.66	75.9	73.8
Zheng and Kurgan [27]	80.9	0.47	55.6	62.7
Hu and Li [24]	79.8	0.47	68.9	55.6
DEBT [26]	79.2	0.48	70.1	54.8
BTSVM [21]	78.7	0.45	62.0	56.0
MOLEBRNN [18]	77.9	0.45	66.0	53.9
Zhang et al. [20]	77.3	0.45	67.0	53.1
BETAPRED2 [17]	75.5	0.43	72.3	49.8
Kim [19]	75.0	0.40	66.7	46.5
COUDES [11]	74.8	0.42	69.9	46.5
BTPRED [30]	74.4	0.35	57.3	48.3

Note: Results of other $\beta\text{-turn}$ prediction methods are obtained from the paper which proposed DEBT method.

obtained with Zheng and Kurgan's method, which was based on an ensemble of predicted secondary structures and multiple alignments, and was the first to break the 80% barrier among the existing competing methods. The MCC value of the proposed method was 0.19 higher than their method. The Qobserved and Qpredicted were higher by 20.3% and 11.1%, respectively. In comparison with the most recently developed method (i.e. the DEBT method that predicts β -turns from multiple sequence alignments, predicted secondary structures, and for the first time, predicted dihedral angles), the Qtotal and MCC of our method were higher by 8.0% and 0.18, respectively. This outstanding result indicates that the proposed prediction model can better discriminate between β -turns and non- β -turns when compared with the competing methods.

The effect of two-layer scheme

The proposed two-layer scheme is different from existing two-stage classifiers for protein structure prediction [47-49]. We built the two-layer model based on a clustering approach. The probability estimates of first-layer models are fed to the second layer to make a final prediction. We performed several experiments using different feature combinations, as well as a single SVM predictor. The results are shown in Table 2. The Qtotal of the two-layer model is 2.8% higher than the single model when using three predicted secondary structures and PSSMs as input features. The Q_{predicted} is higher by 7.2%. When using predicted secondary structures from PROTEUS instead, the Q_{total} of the two-layer model was 1.9% higher than the single model, while the Q_{predicted} was higher by 6.2%. In comparison with predictors using the same kind of information, the Q_{total} of the two-layer model was higher than the best value as reported [27]. Two-layer model can also achieve higher value of Q_{pre-} dicted. Higher Q_{predicted} value means that a larger fraction

Table 2 Results of different sets of features performed on both single and two-layer models

Features	Predictor	Q _{total}	MCC	Qobserved	Q _{predicted}
PSS (PHD, Jpred, PROTEUS) PSSMs	Single	78.3	0.52	79.7	54.5
	Two-layer	81.1	0.51	64.8	61.7
PSS (PROTEUS) PSSMs	Single	80.8	0.58	84.1	58.0
	Two-layer	82.7	0.55	69.5	64.2
PSS (PROTEUS) PSSMs Predicted Shape Strings	Single	87.3	0.69	86.5	69.8
	Two-layer	87.2	0.66	75.9	73.8

Note: PSS refers to predicted secondary structure.

of the predicted β -turns are in fact β -turns. This indicates that the two-layer model can better discriminate between β -turns and non- β -turns than the single model. We note that the MCC value was lower when the twolayer model was applied but still higher than the best value as reported [26]. To obtain higher predictive accuracy and lower false positive rate, we preferred the twolayer model in this paper. When predicted shape string was incorporated into the input features, both single model and two-layer model achieved great improvements. In this situation the Qtotal of the single model reached 87.3%, 0.1% higher than that of the two-layer model. The Q_{predicted} of two-layer model was 4.0% higher than the single model. The comparison between single and two-layer model on MCC value remained the same as we mentioned above.

Performance on additional datasets

Besides BT426 dataset used for training and testing our method, we utilized two additional datasets, i.e. BT547 and BT823 datasets, to validate the performance of the method. The obtained results show that when using the predicted secondary structures from PROTEUS, predicted shape strings and PSSMs as input features, both single model and two-layer model achieved high overall accuracy. Since the single model was less time-consuming, we chose the single model with those input features to perform tests on additional datasets. Shape strings were predicted by our structural alignment approach against non-redundant dataset of nr-PDB cutting at 30% sequence identity after removing identical sequences of BT547 and BT823 dataset. The parameters C and Gamma were set to the same as that optimized from BT426 dataset. Seven-fold cross validation was performed. The predictive performance of our method with other competing methods on BT547 and BT823 dataset was compared in Table 3. Our achieved results were the

Table 3 Comparison with other competing methods on additional datasets

Dataset	Predictor	Q _{total}	МСС	Q observed	Q _{predicted}
BT547	this paper	87.3	0.69	86.5	69.8
	DEBT [26]	80.0	0.49	68.7	55.9
BT823	Zheng and Kurgan [27]	80.5	0.45	54.2	61.6
	COUDES [11]	74.6	0.42	70.4	48.7
	Hu and Li [24]	76.6	0.43	70.2	47.6
	this paper	88.7	0.73	88.1	72.6
	DEBT [26]	80.9	0.48	66.1	55.9
	Zheng and Kurgan [27]	80.6	0.45	54.6	60.8
	COUDES [11]	74.2	0.41	69.6	47.5
	Hu and Li [24]	76.8	0.45	72.3	53.0

Note: Results of other $\beta\text{-turn}$ prediction methods are obtained from the paper which proposed DEBT method.

best around the methods reported to date. All four measures were remarkably higher than other methods.

More accurate predicted secondary structures

Throughout the preceding research on β -turn prediction, predictors based on machine learning method emphasize selecting proper features to improve prediction performance. Now secondary structures and PSSMs are widely used in the predictions, and have been proven to be the most helpful features. It is possible to improve the accuracy of β -turn prediction using more accurately predicted secondary structures, for example, in this work, PROTEUS [36].

We observed that when using predicted secondary structures from PROTEUS, four evaluation measures of both the single model and the two-layer model were better than using three integrated predicted secondary structures. We calculated the accuracy of secondary structure prediction on the BT426 dataset performed by three predictors, i.e. PHD, Jpred and PROTEUS. The accuracy of PROTEUS on the BT426 dataset was 82.0%, which was 2.4% higher than Jpred, and 3.1% higher than PHD. PROTEUS performs structure-based sequence alignments as part of the secondary structure prediction process. It attained high prediction accuracy by integrating structural alignment with conventional (sequencebased) secondary structure methods. This observation indicates that by mapping the structure of a known homologue onto the query protein sequence, it is possible to predict a portion of the structure of the query protein's structure.

Newly introduced predicted shape strings

The results in Table 2 show that when the shape string predicted by our method was introduced into the model, the accuracy of both the single model and the two-layer model improved significantly. When using the predicted shape string as the only input feature, the single model achieved a result of $Q_{total} = 85.3\%$, MCC = 0.65, $Q_{observed} = 83.5\%$, and $Q_{predicted} = 66.3\%$. These results already outperformed other existing predictors. This was mainly because shape strings contain much richer conformation than secondary structures, and the precise protein structure could be reconstructed from shape strings [50]. Figure 4 illustrates the ROC curves for β -turn prediction before and after using predicted shape strings on the BT426 dataset. The improvement of corresponding areas under the curves (AUC) highlighted the effect of predicted shape strings. The AUC value after using predicted shape string is 0.94, 0.05 higher than that before using predicted shape strings.

In our approach the predicted shape strings were of great role undoubtedly, we inferred that there was a strong relationship between shape strings and β -turns. In Figure 5 the distributions of shape strings of sliding window fragments are shown for both β -turns and non- β -turns. This indicates that the distributions of shape strings in β -turns and non- β -turns are quite different. There are three types of shape strings, A (α -helices), S (β -sheets) and R (poly Pro II), which occupy a great proportion in both β -turns and non- β -turns. However, T (turns, also called right-handed helix,) and K (3_10-helices) represents a large percentage in the 5th and 6th positions of β -turn fragments. We analyzed the 5th position of fragments. The proportion of G (Glycine, amino





acid), C (coil, secondary structure) and T (turns, shape string) which are relatively rich in their types existing in the 5th position of β -turns and non- β -turns are shown in Figure 6(a). Figure 6(b) denotes the proportion ratios of β -turns to non- β -turns. It is obvious that the proportion ratio of T between β -turns and non- β -turns is larger than

that of C and G. This great difference indicates the reason that the shape string feature performed much better than those predictors without shape string.

The DEBT method predicted β -turns using predicted backbone dihedral angles and secondary structures. The dihedral angles employed in this method were predicted by DISSPred [51] using a partition of seven clusters, which is similar to shape strings. DISSPred utilizes PSSMs as its input features, while our method predicted shape strings using structural alignment approach with the help of PSI-BLAST program. Through our simple shape string assignment approach, the accuracy of the shape string prediction was 79.4%. There is still a room for improving the shape string prediction. We did experiments by using real shape strings as the input feature. It achieved a result of Q_{total} = 88.0%, MCC = 0.71, Q_{observed} = 88.3% and $Q_{predicted}$ = 70.8%. The ROC curves for β turn prediction using predicted shape strings and real shape strings were illustrated in Figure 7. The corresponding areas under the curves were 0.91 and 0.94, respectively. The gap of the results between predicted shape strings and real shape strings indicates that more accurate β -turn prediction will be achieved when we improve the accuracy of the shape string prediction.

A recent survey has found that less than 3% of new protein structures deposited into the PDB have a totally novel fold [52]. In other words, the vast majority of newly solved proteins could find homologues from





pre-existing sequences in the PDB. In fact, for a given target sequence, there is a slight probability that we could not find any homologues from our reduced dataset. This will be our focus in the near future. In situations where no homologue is found, or only a portion of the query sequence could be predicted by the structural alignment method, a sequence-based shape string predictor could be constructed to cover the unpredicted portion. In other words, we could generate profiles using a window-based segment-matching approach. Machine learning methods will be utilized to make a prediction. We believe that these methods will better predict shape strings and further enhance protein structure prediction.

Conclusions

In this article, we presented a comprehensive method for the prediction of β -turns. Our method utilized predicted secondary structures, predicted shape strings and PSSMs as input features, and proposed a novel twolayer model to enhance the prediction. The Q_{total} of 87.2%, achieved for β -turn/non- β -turn prediction on the BT426 dataset, is 6.3% higher than the second best method. All other measures, the MCC of 0.66, the Q_{ob} served of 75.9% and the Qpredicted of 73.8%, are also significantly higher than other methods. These results show that our method is more accurate than other β -turn prediction methods. It has been proven that the introduction of predicted shape string contributed significantly to our improvements. Moreover, the new architectural two-layer model is guite useful when a single model cannot achieve remarkable performance and can better discriminate between β -turns and non- β turns due to obtaining higher Qpredicted which means lower false positive rate. To further improve the predictions, we will focus in the future on obtaining a more precise shape string prediction.

Overall, protein structure prediction has come into a new stage based on structural alignment strategy. Several predictors based on structural alignment were developed for prediction of protein structure recently, for example, PROTEUS [36] for secondary structure prediction, and Frag1D [50] for one-dimensional protein structure prediction. In this study, shape string predictor was also based on structural alignment approach. With the growing protein structure databases, we believe that structural alignment approach will be the mainstream and make great progress in protein structure prediction in the future.

Availability

The shape string predictor named "ShapeString_Pred", original data and standard input files for single and two-layer SVM model are accessible at an anonymous ftp site: ftp://cheminfo.tongji.edu.cn/BetaTurnPrediction/.

Acknowledgements

The authors would like to thank financial supports by the National Natural Science Foundation of China (20675057, 20705024).

Authors' contributions

ZT computed the features, generated the prediction model, performed experimental comparison and drafted the manuscript. TL participated in the design of the study and helped to draft the manuscript. RL helped with the preparation of the dataset and computed part of the features. WX and JS helped with the conception and design of the prediction method. YZ and GC participated in the design and coordination of the study. All authors have read and approved the final manuscript.

Competing interests

The authors declare that they have no competing interests.

Received: 30 December 2010 Accepted: 13 July 2011 Published: 13 July 2011

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doi:10.1186/1471-2105-12-283

Cite this article as: Tang et al.: Improving the performance of β -turn prediction using predicted shape strings and a two-layer support vector machine model. *BMC Bioinformatics* 2011 12:283.

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