



# Metric-affine cosmological models and the inverse problem of the calculus of variations. Part 1: variational bootstrapping – the method

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**Abstract** The method of variational completion allows one to transform an (in principle, arbitrary) system of partial differential equations – based on an intuitive “educated guess” – into the Euler–Lagrange one attached to a Lagrangian, by adding a canonical correction term. Here, we extend this technique to theories that involve at least two sets of dynamical variables: we show that an educated guess of the field equations with respect to one of these sets of variables only is sufficient to variationally complete these equations and recover a Lagrangian for the full theory, up to boundary terms and terms that do not involve the respective variables. Applying this idea to natural metric-affine theories of gravity, we prove that, starting from an educated guess of the metric equations only, one can find the full metric equations, together with a generally covariant Lagrangian, up to metric-independent terms. The latter terms (which can only involve the distortion of the connection) are then completely classified over 4-dimensional spacetimes, by techniques pertaining to differential invariants.

## 1 Introduction

General Relativity (GR) offers a model for gravity, based on Riemannian geometry, which is in excellent agreement with most observations, but still exhibits problems at the largest (e.g., rotational curves of galaxies or the accelerated expansion of the Universe) and the smallest scales (e.g., tensions with quantum theory). This indicates that it is necessary to look for a more general gravitational theory. Whereas there is an ongoing debate on which extensions of Riemannian

geometry are the most suitable, there is quite a wide consensus that the dynamics of such an extended model for gravity should be based on the principle of minimal action – i.e., on the calculus of variations.

Typically, in building extensions of GR, once the kinematics is fixed, the Lagrangian is *postulated*, the associated field equations and their consequences being subsequently derived from it. Examples of such modified theories include Horndeski gravity, where the spacetime geometry is still described by Riemannian geometry but an additional scalar degree of freedom is present, see [1–3], or metric-affine theories of gravity, where the gravitational degree of freedom comes from both the spacetime metric and an independent affine connection, see [4–7].

Yet, the choice of these Lagrangians is very often based on formal arguments, aimed to *indirectly* control the expected behaviour of the solutions of the associated Euler–Lagrange equations. While we would not argue against the soundness of this approach in itself, we note that it usually fails to single out a theory, or a class of theories, that stays closest to a desired phenomenological behaviour. One may then want to find a refined, or, at least, complementary, technique for selecting the best models.

With this aim, we propose to revert for a moment the roles and start from the *field equations*. More precisely, imagine we postulate an approximate form of the desired field equations – which may be variational or not – based on some physical principles or phenomenological considerations. A Lagrangian, together with a set of “corrected”, fully variational field equations obtained by adding a canonical correction term, can then be derived from this “educated guess”; the technique, called *canonical variational completion* (or

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briefly, *variational completion*), was introduced<sup>1</sup> in [8]. The said canonical correction term is expressed in terms of the *Helmholtz form*, [9], measuring the obstruction from variationality of the original equations; in this sense, the corrected equations are the closest variational equations to the original ones.

To give an idea on this technique, a motivating example was the historically first version of the Einstein field equations:

$$R_{\mu\nu} = \kappa T_{\mu\nu}, \quad (1)$$

which accurately predicted some physical facts, but was inconsistent with local energy–momentum conservation. In this case, the variational completion procedure gives precisely

$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = \kappa T_{\mu\nu} \quad (2)$$

as the “corrected” field equations.

This paper is the first of a two-part work aiming to select the metric-affine models that produce equations closest to those of the  $\Lambda$ CDM model of cosmology, based on the above procedure. More precisely, in this first part, we establish the necessary formal aspects, whereas in the forthcoming<sup>2</sup> Part 2, we concretely present its application to cosmology.

A first such necessary step, which we present here, is to extend the variational completion method to situations where one wants to build a theory depending on more than one dynamical variable, but only has an educated guess at the field equations of *one* of these dynamical variables, say  $y^A$ . In this case, we show in Theorem 2 that one can still canonically determine a Lagrangian, up to boundary terms and terms that have no dependence on  $y^A$  or its derivatives. Such a setup is particularly appealing for the construction of modified theories of gravity, since once can apply this procedure with  $y^A = g^{\mu\nu}$ , taking lessons from GR for the educated guess of the metric field equations.

Further, we apply this result to metric-affine theories of gravity, starting from an educated guess of the metric equations  $\mathfrak{G}_{\mu\nu} = 0$ . Here are our findings:

1. According to Theorem 2, all the terms in the Lagrangian containing the metric or its derivatives can be “bootstrapped” by variational completion. The corresponding metric field equations are then the closest variational equations to our initial guess.
2. Under the assumption that the Lagrangians we are looking for are generally covariant, terms that do not involve

the metric (and thus cannot be recovered by the above procedure) are then determined by specific techniques for finding differential invariants [10, 11]. More precisely, we show that these are of order at most one and are given by polynomial expressions of bounded degree in the distortion tensor components and their derivatives. A full classification of these possible terms, over 4-dimensional backgrounds, is presented in Appendix B.

The paper is structured as follows: Sect. 2 is a somewhat minimalist (though, we hope, self-contained) review of the method of variational completion. Section 3 then extends variational completion to the situation where one only has an educated guess at the form of a part of the equations; we also present one example showing how the procedure behaves in a well-known case. Further, in Sect. 4, we apply this method to the case of metric-affine theories, to find all possible metric-dependent terms in the Lagrangian, starting from an approximate form (educated guess) of the metric equations. All metric-independent, generally covariant Lagrangians on 4-dimensional spacetimes are then determined in Sect. 5. Finally, in Sect. 6, we summarize our findings and present further directions of research.

## 2 Variational completion of differential equations

This section presents in brief the method of canonical variational completion introduced in [8]. For more details on the formalism of the calculus of variations on fibered manifolds, we refer to the monographs [9, 12].

Consider an arbitrary PDE system of  $n$  equations of order  $r$ , in  $n$  dependent variables, say  $y^B = y^B(x^\mu)$ :

$$\mathcal{E}_A(x^\mu; \partial_\mu y^B; \dots, \partial_{\mu_1} \dots \partial_{\mu_r} y^B) = 0. \quad (3)$$

The inverse problem of the calculus of variations consists in finding out if the given system is (locally or globally) *variational*, i.e., if it arises (locally, respectively, globally) as the Euler–Lagrange system attached to some Lagrangian. The question of local variationality is usually answered by means of some differential relations involving  $\mathcal{E}_A$ , called the *Helmholtz conditions*. In the event of a negative answer, it is sometimes – under some quite strong constraints – possible to transform the given system into an equivalent one which is locally variational, by means of *variational multipliers*, see [13].

The method of variational completion proposes a completely different approach: it transforms the given PDE system (3) into a – generally, non-equivalent – variational one, by canonically adding a correction term. The canonical correction term, which is expressed in terms of the Helmholtz coefficients of the given system, is built so as to measure the obstructions from variationality of the original system

<sup>1</sup> Whereas a similar route had been taken in specific, isolated cases (e.g., Horndeski theories, [1]), a general and systematic procedure in this sense, was first introduced by D. Krupka together with the second author of the present paper, in [8].

<sup>2</sup> Currently under writing.

and can, with a few exceptions, always be constructed. The method can thus also act as an elegant way of checking variationality.

### 2.1 Geometric setup: fields, Lagrangians, Euler–Lagrange forms and source forms

In Lagrangian field theories, physical fields are understood as local sections of fibered manifolds.

A fibered manifold is a triple  $(Y, \pi, M)$ , where  $M$  and  $Y$  are smooth manifolds of dimensions  $m$  and  $m + n$  respectively, and  $\pi : Y \rightarrow M$  is a surjective submersion of class  $C^\infty$ , called the projection.  $Y$  is called the *total space* and  $M$  the *base manifold*. This structure allows one to find on  $Y$  an atlas consisting of *fibered charts*  $(V, \psi)$ ,  $\psi = (x^\mu, y^A)$ , in which  $\pi$  is represented as

$$\pi : (x^\mu, y^A) \mapsto (x^\mu); \tag{4}$$

here,  $(\pi(V), \psi_M)$ ,  $\psi_M := (x^\mu)$  is a chart on the base manifold  $M$ . Fibered manifolds are physically interpreted as configuration spaces of physical systems and include, as a subclass, fiber bundles.

Most typically, these configuration spaces actually belong to the more restrictive subclass of *natural bundles*, which are obtained via a “universal”, functorial construction  $\mathfrak{F} : M \mapsto Y := \mathfrak{F}M$  that applies to the whole category of  $m$ -dimensional manifolds (e.g., the tangent/cotangent bundle, tensor bundles, connection bundles). On natural bundles, each local coordinate system  $(x^\mu)$  on  $M$  induces so-called natural coordinates  $(x^\mu, y^A)$  on  $Y$ ; accordingly, each coordinate change on  $M$  induces a natural coordinate change on  $Y$  (e.g., by a tensor, or connection coefficients rule).

In the following, unless elsewhere specified, by  $(Y, \pi, M)$  we will denote a general fibered manifold, with no extra structure.

Local *sections*  $\gamma : U \rightarrow Y$ , which are smooth maps obeying  $\pi \circ \gamma = \text{Id}_U$  (where  $U \subset M$  is open) are described in fibered coordinates by  $(x^\mu) \mapsto (x^\mu, y^A(x^\mu))$ , i.e., by specifying a dependence between the (a priori independent) coordinates  $x^\mu$  and  $y^A$ :

$$y^A = y^A(x^\mu). \tag{5}$$

These are, as announced above, physically interpreted as *fields*.

Adding derivatives of the field variables (up to some order  $r$ ) into the picture is tantamount to considering jets of order  $r$  of sections: given a section  $\gamma : U \rightarrow Y$  and  $x \in U$ ,

$$J_x^r \gamma := (x^\mu; y^A(x^\mu); \partial_\nu y^A(x^\mu); \dots; \partial_{\nu_1} \dots \partial_{\nu_r} y^A(x^\mu)). \tag{6}$$

It is worth mentioning that the definition of the jet is independent of the choice of fibered charts, see [9].

The set  $J^r Y = \{J_x^r \gamma \mid \gamma : U \rightarrow Y \text{ -section, } x \in U\}$  of jets of all local sections of  $Y$ , at all points of their domains, is called the *jet bundle* of order  $r$  of  $Y$ . This is a smooth manifold, with coordinate charts  $(V^r, \psi^r)$ , with

$$V^r := J^r V, \quad \psi^r := (x^\mu, y^A, y^A_{\nu_1}, \dots, y^A_{\nu_1 \dots \nu_r}), \tag{7}$$

naturally induced by  $(V, \psi)$ . The coordinate functions  $y^A_{\nu_1 \dots \nu_s}$  (where  $\nu_1 \leq \dots \leq \nu_s$  and  $s \leq r$ ) can be interpreted as slots into which, inserting a *prolonged section*  $J^r \gamma : U \rightarrow J^r Y : x \mapsto J_x^r \gamma$ , one gets the partial derivatives up to order  $r$  at  $x$  of the functions  $y^A = y^A(x^\mu)$  associated with  $\gamma$ ; that is

$$y^A_{\nu_1 \dots \nu_s}(J_x^r \gamma) = \partial_{\nu_1} \dots \partial_{\nu_s} y^A(x^\mu). \tag{8}$$

Jet bundles  $J^r Y$  come naturally equipped with the structure of a fibered manifold (induced by that of  $Y$ ) in multiple ways:

1. With base  $M$ , with projection

$$\pi^r : J^r Y \rightarrow M : J_x^r \gamma \mapsto \pi^r(J_x^r \gamma) := x. \tag{9}$$

2. With base  $J^s Y$ , for  $s \leq r$ ; the projection

$$\pi^{r,s} : J^r Y \rightarrow J^s Y : J_x^r \gamma \mapsto \pi^{r,s}(J_x^r \gamma) := J_x^s \gamma, \tag{10}$$

“forgets all derivatives of order more than  $s$ ”.

In principle, a locally defined differential form on  $J^r Y$  is expressed, in fibered charts, as a linear combination of wedge products of the differentials  $dx^\mu, dy^A, dy^A_{\mu}, \dots, dy^A_{\mu_1 \dots \mu_r}$ . Yet, for our purposes, only two classes of such forms will be relevant:

1. *Horizontal  $k$ -forms*, with  $k \leq m$ , which can be written (in one, then, in any fibered chart) as linear combinations of wedge products  $dx^{\mu_1} \wedge \dots \wedge dx^{\mu_k}$  only. Their set will be denoted by  $\Omega_{k,M}(V^r)$ . The most important subclass is represented by *Lagrangians* of order  $r$ , which are defined as horizontal forms of maximal rank  $k = m$ . In coordinates:

$$\lambda = \mathcal{L} dx \in \Omega_{m,M}(V^r), \tag{11}$$

where  $dx := dx^0 \wedge \dots \wedge dx^{m-1}$  and  $\mathcal{L} = \mathcal{L}(x^\mu, y^A, y^A_{\nu_1}, \dots, y^A_{\nu_1 \dots \nu_r})$  is the Lagrangian density.

In particular, on a natural bundle  $\mathfrak{F}M$ , a *generally covariant*, or *natural*, Lagrangian of order  $r$  is a globally defined Lagrangian  $\lambda \in \Omega_{m,M}(J^r \mathfrak{F}M)$  which is invariant under changes of natural coordinates on  $J^r \mathfrak{F}M$  induced by completely arbitrary coordinate changes (local diffeomorphisms) of  $M$ ; in other words, a natural Lagrangian

is a Lagrangian that makes sense globally, with the same formula, over any  $m$ -dimensional spacetime manifold  $M$ .

2. *Source forms*, or *dynamical forms*, which are  $(m + 1)$ -forms expressible (in any fibered chart) as:

$$\mathcal{E} = \mathcal{E}_A dy^A \wedge dx \in \Omega_{m+1}(V^r), \tag{12}$$

where  $\mathcal{E}_A = \mathcal{E}_A(x^\mu, y^A, y^A_{v_1}, \dots, y^A_{v_1 \dots v_r})$ . For a coordinate-free definition of the concept, employing the notion of contact form and bundle projections, we refer to [9]. The most notorious example of a source form is the *Euler–Lagrange form*  $\mathcal{E}(\lambda)$  of a Lagrangian  $\lambda$ , given by

$$\mathcal{E}_A = \frac{\delta \mathcal{L}}{\delta y^A}. \tag{13}$$

More generally, any PDE system of  $m = \dim M$  equations of order  $r$ , in the unknowns  $y^A = y^A(x^\mu)$  can be encoded into a source form; for instance, Eq. (3) above can be encoded as:

$$\mathcal{E}_A \circ J^r \gamma = 0, \tag{14}$$

where  $\gamma$  is the local section of  $Y$  given by  $y^A = y^A(x^\mu)$ .

A source form  $\mathcal{E}$  on  $J^r Y$  is called *locally variational* if, for any chart domain  $V^r \subset J^r Y$ , there exists a Lagrangian  $\lambda_V$  whose Euler–Lagrange form is  $\mathcal{E}$ . Accordingly,  $\mathcal{E}$  is called *globally variational* if there exists a Lagrangian  $\lambda$  defined throughout  $J^r Y$ , such that  $\mathcal{E} = \mathcal{E}(\lambda)$ .

Before going further, we should introduce one operation allowing to build horizontal forms on jet bundles. The horizontalization operator is the unique mapping  $h : \Omega(J^r Y) \rightarrow \Omega(J^{r+1} Y)$ , compatible with the wedge product<sup>3</sup> (i.e.  $h$  is a morphism of exterior algebras) and obeying, in any fibered chart,

$$hf = f \circ \pi^{r+1,r} \quad \text{and} \quad hdf = d_\mu f dx^\mu, \tag{15}$$

for all  $f : J^r Y \rightarrow \mathbb{R}$ ; here,  $d_\mu f := \partial_\mu f + \frac{\partial f}{\partial y^A} y^A_\mu + \dots + \frac{\partial f}{\partial y^A_{v_1 \dots v_r}} y^A_{v_1 \dots v_r \mu}$  denotes the *total derivative* (of order  $r + 1$ ) with respect to  $x^\mu$ . As a direct consequence of (15), one has

$$\begin{aligned} hdx^\mu &= dx^\mu, \quad hdy^A = y^A_\mu dx^\mu, \dots, \\ hdy^A_{v_1 \dots v_k} &= y^A_{v_1 \dots v_k \mu} dx^\mu, \quad k = 1, \dots, r. \end{aligned} \tag{16}$$

Relations (16) and the compatibility with the wedge product then ensure that, given a form  $\rho \in \Omega_k(J^r Y)$ , the form  $h\rho \in \Omega_{k,M}(J^{r+1} Y)$  is horizontal.

<sup>3</sup> That is, given  $\theta, \rho$  differential forms on  $J^r Y$ , the differential form (on  $J^{r+1} Y$ )  $h(\theta \wedge \rho) = h\theta \wedge h\rho$ .

Actually, the latter relations point out a natural differential operator, called the *horizontal* (or *formal*) exterior derivative, [14]:

$$d_H := h \circ d : \Omega(J^r Y) \rightarrow \Omega(J^{r+1} Y),$$

where  $d : \Omega(J^r Y) \rightarrow \Omega(J^r Y)$  is the exterior derivative on  $J^r Y$ . Intuitively,  $d_H$  tells us what remains of the exterior derivative of  $\rho \in J^r Y$  when evaluated along a prolonged section – in the sense that, for any section  $\gamma$  of  $Y$ :

$$d_M(J^r \gamma^* \rho) = J^{r+1} \gamma^* d_H \rho, \tag{17}$$

where  $d_M : \Omega(M) \rightarrow \Omega(M)$  is the exterior derivative on the base manifold  $M$ . As total derivatives commute, the formal exterior derivative obeys:

$$d_H \circ d_H \equiv 0. \tag{18}$$

Furthermore, a Lagrangian  $\lambda \in \Omega_{m,M}(V^r)$  is variationally trivial (that is, it has an identically vanishing Euler–Lagrange form) if and only if there exists a form  $\mu \in \Omega_{m-1}(V^{r-1})$  such that  $\lambda = d_H \mu$  [9, p. 134]. This requirement is equivalent to the condition that  $\lambda$  is given by a divergence expression i.e., for any fibered chart  $(V, \psi)$ , there exist functions  $g^\mu : V^r \rightarrow \mathbb{R}$  such that  $\lambda = (d_\mu g^\mu) dx$  [9, p. 134].

### 2.2 Canonical variational completion

In the following, we will only study *local* variationality; thus, we can assume with no loss of generality that  $V^r \subset \mathbb{R}^N$  (for an appropriate value of  $N$ ) and omit the explicit mention of  $\psi^r$ .

Consider now an arbitrary PDE system (3) and build, as in (12), the corresponding source form  $\mathcal{E} = \mathcal{E}_A dy^A \wedge dx \in \Omega_{m+1}(V^r)$ . We will assume from the beginning that the domain  $V^r$  is *vertically star-shaped* with center  $(x^\mu, 0, \dots, 0)$ ; that is, for every point  $(x^\mu, y^A, y^A_{v_1}, \dots, y^A_{v_1 \dots v_r}) \in V^r$ , the whole segment  $(x^\mu, ty^A, ty^A_{v_1}, \dots, ty^A_{v_1 \dots v_r})$ ,  $t \in [0, 1]$ , joining the center with the given point, lies in  $V^r$ .

Under this assumption, one can introduce on the given chart domain  $V^r$ , the *Vainberg–Tonti Lagrangian*  $\lambda_{\mathcal{E}} = \mathcal{L}_{\mathcal{E}} dx$ , where,<sup>4</sup> [9]:

$$\mathcal{L}_{\mathcal{E}} := y^A \int_0^1 \mathcal{E}_A \circ \chi_t dt, \tag{19}$$

where  $\chi_t : V^r \rightarrow V^r$  is given by

$$\chi_t(x^\mu, y^A, y^A_{v_1}, \dots, y^A_{v_1 \dots v_r}) := (x^\mu, ty^A, ty^A_{v_1}, \dots, ty^A_{v_1 \dots v_r}). \tag{20}$$

<sup>4</sup> The idea behind the Vainberg–Tonti Lagrangian construction is the same as the one in the proof of the Poincaré Lemma, relating closed differential forms to exact ones:  $\lambda_{\mathcal{E}}$  is actually the result of applying to  $\mathcal{E}$  a *homotopy operator* (the same as in the mentioned proof), see [9, 15].

**Remarks:**

1. The Vainberg–Tonti Lagrangian is, in general, just defined on a coordinate chart. Yet, on *tensor bundles*  $Y$  – which is our case of interest – if the quantities  $\mathcal{E}_A$  are tensor densities, then  $\mathcal{L}_\mathcal{E}$  is a scalar density and  $\lambda_\mathcal{E} = \mathcal{L}_\mathcal{E} dx$  is globally well defined. Actually, in this case,  $\lambda_\mathcal{E}$  is generally covariant provided that  $\mathcal{E}$  itself is so.
2. If the coordinate chart domain  $V^r$  is not vertically star-shaped with center  $(x^\mu, 0, \dots, 0)$  (which is obviously the case in gravity theories, where one cannot set all the field components  $g_{\mu\nu}$  to zero), the Vainberg–Tonti Lagrangian will be understood as a limit:

$$\mathcal{L}_\mathcal{E} := \lim_{a \rightarrow 0} y^A \int_a^1 \mathcal{E}_A \circ \chi_t dt \tag{21}$$

and it makes sense whenever this limit is finite, see [16].

The Vainberg–Tonti Lagrangian  $\lambda_\mathcal{E}$  gives rise to the Euler–Lagrange expressions  $\frac{\delta \mathcal{L}_\mathcal{E}}{\delta y^A}$ . These are related to the coefficients of  $\mathcal{E}$  by

$$\frac{\delta \mathcal{L}_\mathcal{E}}{\delta y^A} = H_A + \mathcal{E}_A, \tag{22}$$

where  $H_A$  are linear combinations of the coefficients  $H_{AB}, H_{AB}^v, \dots, H_{AB}^{v_1 \dots v_r}$  of the so-called *Helmholtz form*, [9, 13], whose vanishing is equivalent to the local variability of  $\mathcal{E}$  (see also Appendix A, for their precise expressions in the case  $r = 2$ ). Consequently:

- If the original system is locally variational, then  $\frac{\delta \mathcal{L}_\mathcal{E}}{\delta y^A} = \mathcal{E}_A$ , i.e., the Vainberg–Tonti Lagrangian  $\lambda_\mathcal{E}$  is a Lagrangian for the original system,
- The quantities  $H_A$  measure the “obstructions from variability” of  $\mathcal{E}_A$ .

The following definition thus makes sense:

**Definition 1** [8] Given a PDE system (3) (equivalently, (14)), its canonical variational completion is the Euler–Lagrange system

$$\frac{\delta \mathcal{L}_\mathcal{E}}{\delta y^A} \circ J^r \gamma = 0 \tag{23}$$

of its attached Vainberg–Tonti Lagrangian.

In other words, the canonical variational completion of a PDE system is obtained by adding, as correction terms, the “obstruction from local variability”,  $H_A$ , to the respective system; one Lagrangian for the “corrected” variational system is the Vainberg–Tonti Lagrangian (19).

**Example: Einstein tensor as canonical variational completion of the Ricci tensor, [8].**

As already mentioned in the introduction, a motivation for the above construction was given by the historically first variant of gravitational field equations proposed by Einstein:

$$R_{\mu\nu} = \kappa T_{\mu\nu}. \tag{24}$$

The configuration bundle is, in this case, the fibered manifold  $(\text{Met}(M), \pi, M)$ , where  $\text{Met}(M)$  is the set of symmetric and nondegenerate tensors of type  $(0, 2)$  over a given manifold  $M$ . Its sections are metric tensors  $g$ , locally described by  $(x^\rho) \mapsto (g_{\mu\nu}(x^\rho))$ . On the jet bundle  $J^2 \text{Met}(M)$ , one can thus consider as local coordinates  $(x^\mu; g_{\mu\nu}; g_{\mu\nu,\rho}; g_{\mu\nu,\rho\sigma})$ . With this choice, the left hand side of (24) can be encoded (after raising the indices and densitizing) into the invariant source form

$$\mathcal{E} = R^{\mu\nu} \sqrt{|\det g|} dg_{\mu\nu} \wedge dx \in \Omega_{m+1} \left( J^2 \text{Met}(M) \right), \tag{25}$$

where  $R^{\mu\nu}$  is the formal Ricci tensor (the word “formal” means that  $R^{\mu\nu}$  is calculated by the usual formula but, in this expression,  $g_{\mu\nu}, g_{\mu\nu,\rho}$  and  $g_{\mu\nu,\rho\sigma}$  are regarded as independent coordinate functions on  $J^2 \text{Met}(M)$ , not as functions of  $x^\mu$ ).

A direct calculation then shows that the Vainberg–Tonti Lagrangian of  $\mathcal{E}$  is actually the Einstein–Hilbert Lagrangian

$$\lambda_g := R \sqrt{|\det(g)|} dx, \tag{26}$$

where  $R$  is the formal Ricci scalar, leading to the Einstein equations (2).

Other applications of the canonical variational completion algorithm studied so far are, e.g., symmetrisation of canonical energy–momentum tensors in the special-relativistic limit, [8], Finsler gravity, [17], and Gauss–Bonnet gravity, [16].

**3 What if we only know a part of the equations?**

The above procedure is helpful as such in the case of purely metric theories of gravity. Yet, in theories of gravity employing a metric and another variable (e.g., a scalar field or a connection), one often has an “educated guess” on the form of the metric equations only – for instance, resemblance with the Einstein equations with a cosmological constant. For these situations, we prove below that one can recover the Lagrangian, up to boundary terms and terms that do not involve the metric or its derivatives; as a byproduct, we find the variationally completed metric equations, which are the closest variational equations to our initial guess. Possible Lagrangian terms that are independent of the metric remain to be found by other means.

More generally, assume one wants to build a variational theory involving *two* groups of dynamical variables, say

$y^A = y^A(x^\mu)$  and  $z^I = z^I(x^\mu)$ , but only have an educated guess about the field equations with respect to  $y^A$ :

$$\mathcal{E}_A(x^\mu; y^B, \partial_\nu y^B, \dots, \partial_{\nu_1} \dots \partial_{\nu_r} y^B; z^I, \partial_\nu z^I, \dots, \partial_{\nu_1} \dots \partial_{\nu_r} z^I) = 0, \tag{27}$$

where the number of equations is equal to the number of  $y^A$ -variables. In the following, we will try to recover the variationally completed  $y^A$ -equations and, in so far as possible, the missing  $z^I$ -equations.

### 3.1 Variational bootstrapping

The configuration manifold corresponding to the situation described above is a fibered product manifold

$$Y = Y_1 \times_M Y_2, \tag{28}$$

equipped with fibered charts  $(V, \psi)$ ,  $\psi = (x^\mu; y^A, z^I)$ . On the jet bundle  $J^r Y \equiv J^r Y_1 \times_M J^r Y_2$ , we will denote the naturally induced charts  $(V^r, \psi^r)$ , with  $\psi^r = (x^\mu; y^A, y^A_\nu, \dots, y^A_{\nu_1 \dots \nu_r}; z^I, z^I_\nu, \dots, z^I_{\nu_1 \dots \nu_r})$  and by

$$p_1 : J^r Y \rightarrow J^r Y_1, \quad p_2 : J^r Y \rightarrow J^r Y_2, \tag{29}$$

the projections onto the two factors of  $J^r Y$ .

Any source form on  $V^r$  is then uniquely written as a sum of two components

$$\mathcal{E} = \mathcal{E}_A dy^A \wedge dx + \mathcal{E}_I dz^I \wedge dx =: \mathcal{E}_{(1)} + \mathcal{E}_{(2)} \in \Omega_{m+1}(V^r), \tag{30}$$

where  $\mathcal{E}_{(1)}$  is  $p_2$ -horizontal (i.e., contains no terms in  $dz^I, \dots, dz^I_{\nu_1 \dots \nu_r}$ ) and  $\mathcal{E}_{(2)}$  is  $p_1$ -horizontal; the functions  $\mathcal{E}_A$  and  $\mathcal{E}_I$  each depend, in principle, on all the coordinates  $(x^\mu; y^B, y^B_\nu, \dots, y^B_{\nu_1 \dots \nu_r}; z^I, z^I_\nu, \dots, z^I_{\nu_1 \dots \nu_r})$ .

Our postulated equations (27) are thus completely encoded into the component  $\mathcal{E}_{(1)}$  of  $\mathcal{E}$ ; we do not have any input information about  $\mathcal{E}_{(2)}$ . Hence, the best thing we can do is to variationally complete  $\mathcal{E}_{(1)}$ , as follows:

Fix a vertically star-shaped fibered chart  $(V^r, \psi^r)$  on  $J^r Y$  and define the *partial fiber homothety*  $\chi_{t,1} := (\chi_t, \text{Id}) : V^r \rightarrow V^r$ , acting on the variables  $y^A, y^A_\nu, \dots, y^A_{\nu_1 \dots \nu_r}$  only

$$\begin{aligned} \chi_{t,1}(x^\mu; y^A, \dots, y^A_{\nu_1 \dots \nu_r}; z^I, \dots, z^I_{\nu_1 \dots \nu_r}) \\ := (x^\nu; t y^A, \dots, t y^A_{\nu_1 \dots \nu_r}; z^I, \dots, z^I_{\nu_1 \dots \nu_r}) \end{aligned} \tag{31}$$

and, accordingly, the partial Vainberg–Tonti Lagrangian  $\lambda_1 = \mathcal{L}_1 dx \in \Omega_{m,M}(J^r Y)$  as

$$\mathcal{L}_1 := y^A \int_0^1 \mathcal{E}_A \circ \chi_{t,1} dt. \tag{32}$$

Having realized these, we then obtain quite easily:

**Theorem 2** (Variational bootstrapping) *If the partial differential system (27) is locally variational and the Vainberg–Tonti-type Lagrangian  $\lambda_1 = \mathcal{L}_1 dx$  as in (32) can be defined, then:*

1.  $\lambda_1$  is a locally defined Lagrangian for (27);
2. Any other Lagrangian  $\lambda$  producing (27) as its  $y^A$ -field equations can only differ from  $\lambda_1$  by a  $y^A$ -independent term  $\lambda_2$  and a boundary term  $\lambda_0$ :

$$\lambda = \lambda_1 + \lambda_2 + \lambda_0, \tag{33}$$

where  $\lambda_2 = \mathcal{L}_2(x^\mu; z^I, z^I_\mu, \dots, z^I_{\mu_1 \dots \mu_r}) dx$  (i.e.,  $\lambda_2$  is projectable onto the second factor  $J^r Y_2$ ) and  $\lambda_0 = (d_\mu f^\mu) dx$  is given by a divergence expression.

*Proof* As discussed above, the configuration system for (27) is the fibered product  $Y = Y_1 \times_M Y_2$ , as in (28). Fix a vertically star-shaped fibered chart domain  $V^r \subset J^r Y$  and encode the left-hand sides of our equations into the source form  $\mathcal{E}_{(1)} = \mathcal{E}_A dy^A \wedge dx$  on  $V^r$ .

1. Proving that  $\lambda_1$  is indeed a Lagrangian for  $\mathcal{E}_{(1)}$  is done by direct computation, in a completely similar way to, e.g., [9, Sec. 4.9], or [16] – with the only difference that here we have an extra variable  $z^I$ , which, yet, remains unaffected. For courtesy to the reader, we briefly reproduce in Appendix A the calculation for  $r = 2$ .
2. Since  $\lambda$  and  $\lambda_1$  should produce the same Euler–Lagrange expressions with respect to  $y^A$ , it follows that they can only differ by terms that do not contribute in any way to these expressions – that is, terms that do not have any dependence on  $y^A, y^A_\mu, \dots, y^A_{\mu_1 \dots \mu_r}$ , or divergence expressions.

□

If the given Eq. (27) are not locally variational, then, the partial variational completion procedure guarantees that the correction terms  $\frac{\delta \mathcal{L}_1}{\delta y^A} - \mathcal{E}_A$  still have the meaning of obstructions from  $y^A$ -variationality of  $\mathcal{E}_{(1)}$ .

### 3.2 A first example: Einstein–Klein–Gordon equations

Before passing to our case of interest, which are metric-affine theories of gravity, let us check how the variational bootstrapping method works in a case where a Lagrangian is already known. A straightforward such example is the one of a single real-valued scalar field  $\phi$  minimally coupled to the metric  $g$  in the context of General Relativity.

In this case, the dynamical variables are a metric tensor and a scalar field; the corresponding configuration space is

$$Y = \text{Met}(M) \times_M (M \times \mathbb{R}). \tag{34}$$

Accordingly, we can consider on  $J^2Y$  the naturally induced fibered coordinates  $(x^\mu; g_{\mu\nu}, g_{\mu\nu,\rho}, g_{\mu\nu,\rho\tau}; \phi, \phi_\rho, \phi_{\rho\tau})$ . This situation is notoriously described by the Einstein–Klein–Gordon Lagrangian  $\lambda_{\text{EKG}} = \mathcal{L}_{\text{EKG}}dx$ , with

$$\mathcal{L}_{\text{EKG}} = \left( \frac{1}{2\kappa}R - \frac{1}{2}g^{\alpha\beta}\phi_\alpha\phi_\beta - V(\phi) \right) \sqrt{|\det g|}, \tag{35}$$

(where  $\kappa \in \mathbb{R}_0$  is a constant and  $V = V(\phi)$  is a real-valued smooth function), which produces the field equations

$$\begin{cases} G_{\mu\nu} = \kappa T_{\mu\nu}^{(\phi)} \\ \square\phi = V'(\phi) \end{cases}, \tag{36}$$

where  $\square = g^{\mu\nu}\nabla_\mu\nabla_\nu$  is the covariant d’Alembertian and

$$T_{\mu\nu}^{(\phi)} = \phi_\mu\phi_\nu - \frac{1}{2}g^{\alpha\beta}\phi_\alpha\phi_\beta g_{\mu\nu} - V(\phi)g_{\mu\nu}. \tag{37}$$

Let us pretend for one moment to have no idea about the Lagrangian (35) and recover it from the metric equations (36) by  $\text{Met}(M)$ -variational completion. Considering  $g_{\mu\nu}$  as our dynamical variables, the relevant “partial” source form is  $\mathcal{E}_g = \mathcal{E}^{\mu\nu}dg_{\mu\nu} \wedge dx$ , where

$$\begin{aligned} \mathcal{E}^{\mu\nu} := & -\frac{1}{2}\left(\frac{1}{\kappa}G^{\mu\nu} - \phi^\mu\phi^\nu + \frac{1}{2}g^{\alpha\beta}\phi_\alpha\phi_\beta g^{\mu\nu} \right. \\ & \left. + V(\phi)g^{\mu\nu}\right) \times \sqrt{|\det g|}, \end{aligned} \tag{38}$$

with  $\phi^\alpha := g^{\alpha\beta}\phi_\beta$ . The Vainberg–Tonti Lagrangian  $\lambda_1 = \mathcal{L}_1dx$  is then given by:

$$\mathcal{L}_1 = g_{\mu\nu} \int_0^1 \mathcal{E}^{\mu\nu} \circ \chi_{t,1} dt, \tag{39}$$

where the lower integration point is understood as a limit and the partial fibered homotheties

$$\begin{aligned} \chi_{t,1} : & (x^\mu, g_{\mu\nu}, g_{\mu\nu,\rho}, g_{\mu\nu,\rho\tau}; \phi, \phi_\rho, \phi_{\rho\tau}) \\ \mapsto & (x^\mu, t g_{\mu\nu}, t g_{\mu\nu,\rho}, t g_{\mu\nu,\rho\tau}; \phi, \phi_\rho, \phi_{\rho\tau}) \end{aligned}$$

only affect  $g_{\mu\nu}$  and their derivatives. We then have

$$\begin{aligned} g^{\mu\nu} \circ \chi_{t,1} &= t^{-1}g^{\mu\nu}, \sqrt{|\det g|} \circ \chi_{t,1} \\ &= t^{m/2}\sqrt{|\det g|}, \mathring{\Gamma}_{\nu\rho}^\mu \circ \chi_{t,1} = \mathring{\Gamma}_{\nu\rho}^\mu, \end{aligned} \tag{40}$$

$$\begin{aligned} \mathring{R}_{\nu\rho\sigma}^\mu \circ \chi_{t,1} &= \mathring{R}_{\nu\rho\sigma}^\mu, \mathring{R}_{\nu\sigma} \circ \chi_{t,1} \\ &= \mathring{R}_{\nu\sigma}, \mathring{R}^{\nu\sigma} \circ \chi_{t,1} = t^{-2}\mathring{R}^{\nu\sigma}, \end{aligned} \tag{41}$$

where  $\mathring{\Gamma}_{\nu\rho}^\mu$ ,  $\mathring{R}_{\nu\rho\sigma}^\mu$  and  $\mathring{R}_{\mu\nu}$  are, respectively, the formal Christoffel symbols, formal curvature tensor and formal

Ricci tensor of the Levi-Civita connection associated to  $g$ . This leads to:

$$\begin{aligned} \mathcal{L}_1 = & -\frac{1}{2}g_{\mu\nu} \int_0^1 \left\{ \left( \frac{1}{\kappa}G^{\mu\nu} - \phi^\mu\phi^\nu + \frac{1}{2}g^{\alpha\beta}\phi_\alpha\phi_\beta g^{\mu\nu} \right) \right. \\ & \left. t^{\frac{m}{2}-2} + V(\phi)g^{\mu\nu}t^{\frac{m}{2}-1} \right\} \sqrt{|\det g|} dt \end{aligned} \tag{42}$$

$$= \left( \frac{1}{2\kappa}R - \frac{1}{2}g^{\alpha\beta}\phi_\alpha\phi_\beta - V(\phi) \right) \sqrt{|\det g|} = \mathcal{L}_{\text{EKG}}. \tag{43}$$

Moreover, according to Theorem 2, Lagrangian terms that cannot be recovered by  $\text{Met}(M)$ -variational completion are either variationally trivial (boundary terms), or independent of the metric and its derivatives. Let us investigate the second possibility, that is, we are looking for Lagrangians  $\lambda_2 = \mathcal{L}_2dx$  built using  $\phi$  and its partial derivatives only. The only *natural (generally covariant)* operators we can use, without employing the metric or its derivatives, are the exterior derivative and the wedge product (see also the discussion in the beginning of Sect. 5.2 below). From the coordinate function  $\phi$ , one can build the 1-form  $d_H\phi = \phi_\mu dx^\mu \in \Omega_{1,M}(J^1Y)$  but this does not allow to build forms of higher rank, considering that  $d_H(d_H\phi) \equiv 0$  and  $d_H\phi \wedge d_H\phi \equiv 0$ . In other words, if  $\dim(M) > 1$  (which is our case of interest), there are no natural Lagrangian terms  $\lambda_2$  that can be built from a scalar field and its partial derivatives alone.<sup>5</sup> It follows that the full Lagrangian of the theory is given, up to boundary terms, by (43). Variation with respect to  $\phi$  then allows to also recover the second equation of (36), the Klein–Gordon equation.

The above statement can be generalized to any theory employing as dynamical variables a metric and a single, real-valued scalar field  $\phi$  minimally coupled to it; in these theories, any natural Lagrangian can be fully recovered, up to boundary terms, from the metric equations only.

#### 4 The case of metric-affine theories

In metric-affine theories, the dynamical variables are, a priori, a metric  $g$  and an independent connection  $\Gamma$ . Yet, since, on the one hand, a metric automatically determines its Levi-Civita connection – which we will in the following denote by  $\mathring{\Gamma}$  – and, on the other hand, the difference between two connections is tensorial, it is customary to split  $\Gamma$  as

$$\Gamma = \mathring{\Gamma} + \mathbf{L}, \tag{44}$$

<sup>5</sup> Of course, one could think, e.g., of building an  $m$ -form by multiplication of (a function of)  $\phi$  by an invariant volume form  $\epsilon$ ; yet, there is no *natural* choice for such an  $m$ -form – at least, not without resorting to extra geometric structures such as a metric or a connection.

where  $\mathbf{L}$  is a tensor field of type  $(1, 2)$  over the spacetime manifold  $M$ , called the distortion tensor. This way, the problem of determining the pair  $(\mathbf{g}, \Gamma)$  is equivalent to the one of determining the pair  $(\mathbf{g}, \mathbf{L})$ . Such pairs are local sections of the fibered product:

$$Y = \text{Met}(M) \times_M T_2^1 M, \tag{45}$$

where  $T_2^1 M$  is the vector bundle of all tensors of type  $(1, 2)$  over  $M$ . Assuming that  $Y$  is equipped with local fibered coordinates  $(x^\mu; g_{\mu\nu}, L^\mu_{\nu\rho})$ , a general source form of order  $r$  will have a  $g_{\mu\nu}$ -part and an  $L^\mu_{\nu\rho}$  one:

$$\mathcal{E} = \mathcal{E}^{\mu\nu} dg_{\mu\nu} \wedge dx + \mathcal{E}^\mu{}^{\nu\rho} dL^\mu_{\nu\rho} \wedge dx =: \mathcal{E}_g + \mathcal{E}_L. \tag{46}$$

In the following, we assume that we have an educated guess for the metric equations  $\mathcal{E}_{\mu\nu} = 0$ , that is, we know

$$\mathcal{E}_g = \mathcal{E}^{\mu\nu} dg_{\mu\nu} \wedge dx \tag{47}$$

and we are only looking for *generally covariant* metric-affine Lagrangians.

The following result will be helpful in our quest:

**Theorem 3** (Janyska, [10]) *All generally covariant Lagrangians  $\lambda = \mathcal{L}dx$ , of order  $r$  in a metric tensor and another tensor variable  $\Phi$  are given by:*

$$\mathcal{L} = \mathcal{L} \left( \mathbf{g}, \overset{\circ}{\nabla}^{(r-2)} \mathbf{R}(\overset{\circ}{\Gamma}), \overset{\circ}{\nabla}^{(r)} \Phi \right), \tag{48}$$

where  $\overset{\circ}{\nabla}^{(r)} = \left( \text{Id}, \overset{\circ}{\nabla}, \overset{\circ}{\nabla}\overset{\circ}{\nabla}, \dots, \overset{\circ}{\nabla}^r \right)$  denotes covariant  $\overset{\circ}{\Gamma}$ -derivatives up to order  $r$  and  $\mathbf{R}(\overset{\circ}{\Gamma})$  is the (formal) curvature tensor of  $\overset{\circ}{\Gamma}$ .

Picking  $\Phi = \mathbf{L}$  leads to an immediate consequence:

**Corollary 4** *All the variationally nontrivial (non-boundary) terms of a generally covariant metric-affine Lagrangian, containing the metric tensor or its derivatives, can be recovered by  $\text{Met}(M)$ -variational completion. The only terms that cannot be recovered by this procedure are purely affine terms; these are built from the distortion tensor and its Levi-Civita covariant derivatives, in such a way that the Christoffel symbols (and their derivatives) eventually cancel out.*

Let us explore, in the following, all the possibilities of building natural purely affine Lagrangians, over 4-dimensional spacetimes.

### 5 Purely affine invariants

Fix  $\dim M = 4$ . We will determine all generally covariant (or *natural*) Lagrangians

$$\lambda = \mathcal{L}(L^\alpha_{\beta\gamma}, L^\alpha_{\beta\gamma,\mu}, \dots, L^\alpha_{\beta\gamma,\mu_1\dots\mu_r})dx \tag{49}$$

which can be built on 4-dimensional metric-affine backgrounds  $(M, \mathbf{g}, \Gamma)$ , from the components of the distortion tensor  $\mathbf{L}$  of the connection  $\Gamma = \overset{\circ}{\Gamma} + \mathbf{L}$  and their derivatives – briefly, on  $L, \partial L, \partial\partial L, \dots$  alone – i.e., that are completely independent of the metric  $\mathbf{g}$ . In other words, we are looking for a generally covariant differential form

$$\lambda \in \Omega_{4,M}(J^r T_2^1 M). \tag{50}$$

The technique we use below is the so-called algebraic method for finding differential invariants by Kolar et al. [11].

#### 5.1 The polynomial property

We will first prove that natural Lagrangians depending on distortion alone must actually be polynomial in the components of  $\mathbf{L}$  and their derivatives. To this aim, we start by using Theorem 3 in the previous section, which shows that any natural metric-affine Lagrangian  $\lambda$  must, apart from the metric tensor and derivatives of the curvature tensor  $\mathbf{R}(\overset{\circ}{\Gamma})$ , be expressed as a smooth function of  $L^\alpha_{\beta\gamma}$  and their  $\overset{\circ}{\Gamma}$ -(formal) covariant derivatives<sup>6</sup> up to some order  $r$ . According to Corollary 4, purely affine terms must be of the form:

$$\lambda = \hat{\mathcal{L}}(L^\alpha_{\beta\gamma}, \overset{\circ}{\nabla}_\mu L^\alpha_{\beta\gamma}, \dots, \overset{\circ}{\nabla}_{\mu_1} \dots \overset{\circ}{\nabla}_{\mu_r} L^\alpha_{\beta\gamma})dx. \tag{51}$$

The advantage of the latter writing is that all the building blocks of  $\lambda$  are tensor fields; in other words, we transfer our problem of finding a mapping  $\lambda$  defined on the fibers of a jet bundle, into one of finding a function defined on a Cartesian product of *vector spaces*. More precisely, the formal covariant differentiation operator  $\overset{\circ}{\nabla} : T_2^1 M \rightarrow T_2^1 M \otimes T^* M$  acts linearly on the fibers of  $T_2^1 M$  (to be even more precise,  $\overset{\circ}{\nabla}$  is a vector bundle morphism covering the identity of  $M$ ) and thus its image is again a vector bundle; similarly, the images of further iterations of  $\overset{\circ}{\nabla}$  are again vector bundles. That is, fixing an arbitrary point  $x \in M$ , and a chart around it, we obtain that the fibers

$$V_0 := \left( T_2^1 M \right)_x, \quad V_1 := \left( \overset{\circ}{\nabla} T_2^1 M \right)_x, \dots, \quad V_r := \left( \overset{\circ}{\nabla}^r T_2^1 M \right)_x, \tag{52}$$

where  $\overset{\circ}{\nabla}^r = \overset{\circ}{\nabla} \dots \overset{\circ}{\nabla}$  with  $r$  terms  $\overset{\circ}{\nabla}$ , are all finite dimensional real vector spaces, whereas the restriction of the Lagrangian density to these fibers becomes a mapping

$$f := \hat{\mathcal{L}}_x : V_0 \times V_1 \times \dots \times V_r \rightarrow \mathbb{R} \tag{53}$$

defined on a Cartesian product of vector spaces. This allows us to use the following result:

<sup>6</sup> By formal covariant derivatives, we mean expressions given by the same formulas as usual covariant derivatives, just, applied to the independent coordinate functions  $L^\alpha_{\beta\gamma}, L^\alpha_{\beta\gamma,\delta}, \dots$ , on  $J^r Y$ .



**Theorem 5** (Homogeneous Function Theorem [11]) *Let  $V_i$ ,  $i = 0, \dots, r$  be finite dimensional real vector spaces. If  $f : V_0 \times \dots \times V_r \rightarrow \mathbb{R}$  is a smooth function with the property that there exist  $b \in \mathbb{R}$  and  $a_i > 0$ ,  $i = 0, \dots, r$  such that:*

$$k^b f(v_0, \dots, v_r) = f(k^{a_0}v_0, \dots, k^{a_r}v_r), \quad \forall k > 0, \quad (54)$$

*then,  $f$  must be a sum of polynomials of degree  $d_i$  in  $v_i$ , where  $d_i \in \mathbb{N}$  satisfy the relation*

$$a_0d_0 + \dots + a_r d_r = b. \quad (55)$$

*If there are no non-negative integers  $d_0, \dots, d_r$  with the above property, then  $f$  is the zero function.*

Let us apply the homogeneous function theorem to our situation. The requirement that  $\lambda = \hat{L}dx$  should be invariant under any coordinate changes naturally induced by local coordinate changes  $x^\mu = x^\nu(x^\nu)$  on the base manifold implies, in particular, the invariance under homotheties (with constant factor  $k > 0$ )

$$x^\alpha = kx^{\alpha'}, \quad \alpha = 0, 1, 2, 3. \quad (56)$$

Under these transformations, the wedge product  $dx = dx^0 \wedge dx^1 \wedge dx^2 \wedge dx^3$  changes as

$$dx = k^4 dx'. \quad (57)$$

The invariance condition on  $\lambda = \hat{L}dx$  then implies

$$\hat{L}(L', \overset{\circ}{\nabla}L', \dots, \overset{\circ}{\nabla}^r L') = k^4 \hat{L}(L, \overset{\circ}{\nabla}L, \dots, \overset{\circ}{\nabla}^r L), \quad (58)$$

where primes on  $L$  denote the components of  $L$  and of its covariant derivatives in the new coordinates  $x^{\alpha'}$  (and we have omitted the indices for simplicity). Moreover, we have that

$$L' = kL, \overset{\circ}{\nabla}L' = k^2L, \dots, \overset{\circ}{\nabla}^r L' = k^{r+1} \overset{\circ}{\nabla}^r L,$$

which then leads to:

$$k^4 \hat{L}(L, \overset{\circ}{\nabla}L, \dots, \overset{\circ}{\nabla}^r L) = \hat{L}(kL, k^2 \overset{\circ}{\nabla}L, \dots, k^{r+1} \overset{\circ}{\nabla}^r L). \quad (59)$$

Now, fix an arbitrary point  $x \in M$ . The restriction of  $\hat{L}$  to the fiber at  $x$  of our configuration space is a smooth mapping defined on a Cartesian product of vector spaces (53). Applying to it the homogeneous function theorem, with

$$b = 4, \quad a_0 = 1, \quad a_1 = 2, \dots, a_r = r + 1, \quad (60)$$

it follows that  $\hat{L}$  must be a sum of  $r$  homogeneous polynomials in  $L, \overset{\circ}{\nabla}L$  etc., whose degrees  $d_i \in \mathbb{N}$  in the derivatives of order  $i$  in  $L$  satisfy

$$d_0 + 2d_1 + 3d_2 + \dots + (r + 1) d_r = 4. \quad (61)$$

In particular, we must have  $r \leq 3$ . We have then proven:

**Proposition 6** *Any smooth, generally covariant Lagrangian  $\lambda = \hat{L}dx$  depending only on the distortion tensor of an affine connection  $\Gamma$  and its derivatives is of order at most three.*

*Moreover, the Lagrangian density  $\hat{L}$  must be expressed as a sum of homogeneous polynomials of degrees  $d_0, d_1, d_2$  and, respectively,  $d_3$  in the variables  $L, \overset{\circ}{\nabla}L, \overset{\circ}{\nabla}\overset{\circ}{\nabla}L$  and, respectively,  $\overset{\circ}{\nabla}\overset{\circ}{\nabla}\overset{\circ}{\nabla}L$  satisfying:*

$$d_0 + 2d_1 + 3d_2 + 4d_3 = 4. \quad (62)$$

The next step is to realize that, under the hypothesis that  $\lambda$  cannot depend on the metric  $g$ , it cannot depend on either the coefficients  $\overset{\circ}{\Gamma}{}^\alpha_{\beta\gamma}$  or their derivatives; that is,  $\overset{\circ}{\Gamma}{}^\alpha_{\beta\gamma}$  and their derivatives must eventually cancel out in the expression of  $\hat{L}$ , giving

$$\begin{aligned} \hat{L}(L^\alpha_{\beta\gamma}, \overset{\circ}{\nabla}_\mu L^\alpha_{\beta\gamma}, \dots, \overset{\circ}{\nabla}_{\mu_1} \dots \overset{\circ}{\nabla}_{\mu_r} L^\alpha_{\beta\gamma}) \\ = \mathcal{L}(L^\alpha_{\beta\gamma}, L^\alpha_{\beta\gamma, \mu}, \dots, L^\alpha_{\beta\gamma, \mu_1 \dots \mu_r}). \end{aligned} \quad (63)$$

This leaves us with  $\mathcal{L}$  as a sum of homogeneous polynomials in  $L, \partial L, \partial\partial L$  and  $\partial\partial\partial L$ , of the same degrees as in (62). We thus obtain:

**Corollary 7** *Any smooth, generally covariant Lagrangian  $\lambda = \hat{L}dx$  depending only on the distortion tensor of an affine connection  $\Gamma$  and its derivatives is of order at most three. Moreover, it must be a sum of homogeneous polynomials, of degrees  $d_0, d_1, d_2$  and, respectively,  $d_3$  in the variables  $L, \partial L, \partial\partial L$  and, respectively,  $\partial\partial\partial L$  satisfying*

$$d_0 + 2d_1 + 3d_2 + 4d_3 = 4. \quad (64)$$

*Remark.* In the Homogeneous Function Theorem, the smoothness assumption on  $f$  on an entire Cartesian product of vector spaces  $V_0 \times \dots \times V_n$  (in particular, smoothness at its zero vector) is essential. As a consequence, the result *cannot* be applied to find Lagrangians depending on a metric tensor, since the condition  $\det g \neq 0$  forbids  $(g_{\alpha\beta})$  from being all zero; otherwise stated, we cannot pick any of the fibers of  $\text{Met}(M)$  as  $V_0$ , since these fibers are not vector spaces. This allows for non-polynomial natural Lagrangian forms in the metric, such as  $\sqrt{|\det g|}dx$ .

Yet, in our case, our configuration space  $T_2^1 M$  is a vector bundle, therefore the Homogeneous Function Theorem can be safely applied, ensuring that  $\lambda$  must be polynomial, as in (64). Actually, as we will see in the next subsection, relation (64) can be further simplified.

### 5.2 Classification of pure distortion Lagrangians

To find all generally covariant (natural), pure distortion Lagrangians  $\lambda \in \Omega_{4,M}(J^r T_2^1 M)$ , we will use Corollary 7 above, together with several known results in the literature, which we briefly review below:

1. Any natural Lagrangian (which is an equivariant mapping, under the action of the differential group), must be obtained as the result of a natural operator [10].

2. The only possible first order natural operators acting on differential  $p$ -forms on a manifold and returning a  $(p+1)$ -form, are constant multiples of the exterior derivative  $d$  [10, 11]. Moreover, natural (generally covariant) differential operators on arbitrary tensor bundles are (see, e.g., [18, p4]) compositions of exterior differentiation and invariant (natural) algebraic operators. Actually, when producing Lagrangians, which are *horizontal* differential forms on fibered manifolds, the appropriate operator is the horizontal (or formal) exterior derivative  $d_H = h \circ d$ . In particular, since  $d_H \circ d_H \equiv 0$ , there are no natural operators of order  $r > 1$  on tensor bundles, involving the tensor variables and their derivatives alone.
3. Natural algebraic operators on tensor bundles are, [18], only finite iterations of: permutations of indices, tensor product with invariant tensors, trace with respect to one subscript and one superscript and linear combinations of these.

Using the above mentioned results, together with Corollary 7, we find:

**Theorem 8** *Assume  $\dim(M) = 4$ . Then, all natural metric-affine Lagrangians depending on the distortion of the connection alone are of order at most one and must be expressed as a sum whose terms fall into one of the following classes:*

1. *Purely algebraic terms: These must be expressed as homogeneous polynomials of degree 4 in the components  $L^\alpha_{\beta\gamma}$  of the distortion tensor.*
2. *First order terms: These must be either quadratic in  $L$  and linear in  $\partial L$ , or quadratic in  $\partial L$  (and independent of  $L$ ). In any of the two cases, these must be obtained via horizontal exterior differentiation and/or wedge product, from differential forms of rank at most three, depending algebraically on  $L$ .*

*Proof* Assume  $\lambda = \mathcal{L}dx$  is a natural Lagrangian of order  $r$  on  $T_2^1M$ . According to Corollary 7, we must have  $r \leq 3$  and the density  $\mathcal{L} = \mathcal{L}(L, \partial L, \partial\partial L, \partial\partial\partial L)$  must be polynomial in all its variables, with the respective degrees  $d_0, d_1, d_2, d_3$  satisfying (64); but, taking into account remark no. 2 above, we must actually have

$$d_2 = d_3 = 0. \quad (65)$$

In other words,  $\lambda$  is of order at most one. Moreover, Eq. (64) becomes:

$$d_0 + 2d_1 = 4. \quad (66)$$

This leaves room for three possibilities:

1.  $d_0 = 4, d_1 = 0$ ,
2.  $d_0 = 2, d_1 = 1$ ,

$$3. d_0 = 0, d_1 = 2,$$

corresponding to the two situations in the statement of the theorem.

The statement on the exterior derivative/wedge product structure for the latter two cases follows again from the results recalled in the beginning of this subsection.  $\square$

A complete list of the possibilities of building such Lagrangians is given in Appendix B.

## 6 Conclusion

In the present paper, we have shown that, in any physical theory involving more than one dynamical variable, having an educated guess (which can be based, e.g., on some physical principle) at the field equations with respect to one such variable, say  $y^A$ , one can find in a systematic way, the closest variational equations  $\frac{\delta \mathcal{L}}{\delta y^A} = 0$  to our original guess. Using this procedure, all (non-boundary) terms in the Lagrangian density  $\mathcal{L}$  that involve in a way or another  $y^A$  or their derivatives can be recovered. The obtained Lagrangian density then also determines the Euler–Lagrange equations for the other dynamical variables, say  $z^I$ —again, up to terms that are completely independent of  $y^A$  and their derivatives.

As a first application, we studied the case of metric-affine theories. Assuming that one has a guess at the *metric* equations, one can recover the closest equations to these, that are Euler–Lagrange equations for some Lagrangian. In that case, we also classified the terms in the Lagrangian that cannot be recovered by our technique (i.e. terms that are completely independent of the metric tensor). We found that these are given by polynomial expressions (of degree at most 4) in the distortion of the connection and its first order derivatives.

In a forthcoming paper, we will apply this technique to determine the metric-affine models of gravity that give the closest metric equations to the  $\Lambda$ CDM model of cosmology. This will then further allow us to constrain the evolution equations for the connection (i.e., for the distortion tensor).

Of course, the procedure outlined in this paper is fully general and can thus be applied to a variety of other contexts, e.g., to scalar–tensor theories, or scalar–vector–tensor theories. To further extend our procedure it might also be interesting to study in detail cases where the convergence of the Vainberg–Tonti Lagrangian, as defined in this paper, cannot be guaranteed. We leave these and other questions for future works.

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### Appendix A: Helmholtz expressions and their relation to Vainberg–Tonti Lagrangian

In this Appendix, we present the explicit form of the Helmholtz expressions, encoding the obstruction to variationality of source forms, and the computations underlying the first statement of Theorem 2. For simplicity, we here display everything in the case of a second order source form. Reasonings unfold similarly in the general case.

#### 1. Helmholtz expressions

For a second order source form  $\mathcal{E} = \mathcal{E}_A dy^A \wedge dx$ , the local variationality conditions (Helmholtz conditions) read:

$$H_{AB}^{\mu\nu} := \frac{\partial \mathcal{E}_A}{\partial y_{\mu\nu}^B} - \frac{\partial \mathcal{E}_B}{\partial y_{\mu\nu}^A} = 0, \tag{A1}$$

$$H_{AB}^\mu := \frac{\partial \mathcal{E}_A}{\partial y_\mu^B} + \frac{\partial \mathcal{E}_B}{\partial y_\mu^A} - 2d_\nu \frac{\partial \mathcal{E}_B}{\partial y_{\mu\nu}^A} = 0, \tag{A2}$$

$$H_{AB} := \frac{\partial \mathcal{E}_A}{\partial y^B} - \frac{\partial \mathcal{E}_B}{\partial y^A} + d_\mu \frac{\partial \mathcal{E}_B}{\partial y_\mu^A} - d_\mu d_\nu \frac{\partial \mathcal{E}_B}{\partial y_{\mu\nu}^A} = 0. \tag{A3}$$

#### 2. Complement to the proof of Theorem 2

Let us now discuss the computations underlying the first statement of Theorem 2. To that purpose, we assume the same setup as in the statement of the theorem; yet, here – for conciseness of the expressions – we restrict our presentation to the case of a second order source form.

Assume that  $\mathcal{E}_{(1)} = \mathcal{E}_A dy^A \wedge dx$  is locally variational with respect to the variables  $y^A$ , that is, the corresponding Helmholtz expressions vanish. Denoting by  $\lambda_1 = \mathcal{L}_1 dx$  the Vainberg–Tonti Lagrangian of  $\mathcal{E}_{(1)}$  with respect to the variables  $y^A$  (32), we get:

$$\frac{\partial \mathcal{L}_1}{\partial y^B} = \int_0^1 \mathcal{E}_B \circ \chi_{t,1} dt + y^A \int_0^1 t \frac{\partial \mathcal{E}_A}{\partial y^B} \circ \chi_{t,1} dt,$$

which, after integration by parts in the first term, leads to:

$$\begin{aligned} \frac{\partial \mathcal{L}_1}{\partial y^B} &= t \mathcal{E}_B(x^\mu, ty^A, ty_\mu^A, ty_{\mu\nu}^A, z^I, z_{\mu}^I, z_{\mu\nu}^I) \Big|_0^1 \\ &+ y^A \int_0^1 t \left( \frac{\partial \mathcal{E}_A}{\partial y^B} - \frac{\partial \mathcal{E}_B}{\partial y^A} \right) \circ \chi_{t,1} dt \\ &- y_\mu^A \int_0^1 t \frac{\partial \mathcal{E}_B}{\partial y_\mu^A} \circ \chi_{t,1} dt - y_{\mu\nu}^A \int_0^1 t \frac{\partial \mathcal{E}_B}{\partial y_{\mu\nu}^A} \circ \chi_{t,1} dt. \end{aligned}$$

Similar computations yield:

$$\begin{aligned} d_\mu \left( \frac{\partial \mathcal{L}_1}{\partial y_\mu^B} \right) &= y_\mu^A \int_0^1 t \frac{\partial \mathcal{E}_A}{\partial y_\mu^B} \circ \chi_{t,1} dt \\ &+ y^A \int_0^1 t \left[ d_\mu \left( \frac{\partial \mathcal{E}_A}{\partial y_\mu^B} \right) \right] \circ \chi_{t,1} dt; \\ d_\mu d_\nu \left( \frac{\partial \mathcal{L}_1}{\partial y_{\mu\nu}^B} \right) &= y_{\mu\nu}^A \int_0^1 t \frac{\partial \mathcal{E}_A}{\partial y_{\mu\nu}^B} \circ \chi_{t,1} dt \\ &+ 2y_\mu^A \int_0^1 t \left[ d_\nu \left( \frac{\partial \mathcal{E}_A}{\partial y_{\mu\nu}^B} \right) \right] \circ \chi_{t,1} dt \\ &+ y^A \int_0^1 t \left[ d_\mu d_\nu \left( \frac{\partial \mathcal{E}_A}{\partial y_{\mu\nu}^B} \right) \right] \circ \chi_{t,1} dt. \end{aligned}$$

Summing up, we immediately find:

$$\begin{aligned} \frac{\delta \mathcal{L}_1}{\delta y^B} &:= \frac{\partial \mathcal{L}_1}{\partial y^B} - d_\mu \left( \frac{\partial \mathcal{L}_1}{\partial y_\mu^B} \right) + d_\mu d_\nu \left( \frac{\partial \mathcal{L}_1}{\partial y_{\mu\nu}^B} \right) \\ &= \mathcal{E}_B - \int_0^1 t [y^A (H_{BA} \circ \chi_{t,1}) \\ &\quad + y_\mu^A (H_{BA}^\mu \circ \chi_{t,1}) + y_{\mu\nu}^A (H_{BA}^{\mu\nu} \circ \chi_{t,1})] dt, \\ &= \mathcal{E}_B - \int_0^1 [y^A H_{BA} + y_\mu^A H_{BA}^\mu + y_{\mu\nu}^A H_{BA}^{\mu\nu}] \circ \chi_{t,1} dt, \end{aligned}$$

where  $H_{AB}^{\mu\nu}$ ,  $H_{AB}^\nu$ ,  $H_{AB}$  are the Helmholtz expressions (A1)–(A3).

Since, by hypothesis,  $\mathcal{E}_{(1)}$  is locally variational with respect to the variables  $y^A$ , we get that  $H_{AB} = 0$ ,  $H_{AB}^{\mu\nu} = 0$ ,  $H_{AB}^\nu = 0$ , which then implies:

$$\frac{\delta \mathcal{L}_1}{\delta y^B} = \mathcal{E}_B,$$

that is,  $\lambda_1$  is a Lagrangian for  $\mathcal{E}_{(1)}$ , as claimed.

### Appendix B: Classification of pure distortion Lagrangians

Assume  $\dim M = 4$ . According to Theorem 8, natural Lagrangians  $\lambda \in \Omega_{4,M}(J^r T_2^1 M)$  to be constructed from the distortion tensor  $\mathbf{L}$  only (with no contribution of the metric) are differential 4-forms that are polynomial and of order at most one in  $\mathbf{L}$ . More precisely, these must belong to one of the following classes:

1. Purely algebraic in  $L$  ( $d_0 = 4, d_1 = 0$ ):

$$\lambda_{L..L.L.L.} = L_{\mu\sigma(1)\tau_1}^{\mu_1} L_{\mu\sigma(2)\tau_2}^{\mu_2} L_{\mu\sigma(3)\tau_3}^{\mu_3} L_{\mu\sigma(4)\tau_4}^{\mu_4} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3} \wedge dx^{\tau_4}; \tag{B1}$$

$$\lambda_{L..L.L.L.} = L_{\tau_1\tau_2}^{\mu_1} L_{\mu\sigma(1)\mu\sigma(2)}^{\mu_2} L_{\mu\sigma(3)\tau_3}^{\mu_3} L_{\mu\sigma(4)\tau_4}^{\mu_4} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3} \wedge dx^{\tau_4}; \tag{B2}$$

$$\lambda_{L..L..L.L} = L_{\tau_1\tau_2}^{\mu_1} L_{\tau_3\tau_4}^{\mu_2} L_{\mu\sigma(1)\mu\sigma(2)}^{\mu_3} L_{\mu\sigma(3)\mu\sigma(4)}^{\mu_4} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3} \wedge dx^{\tau_4}, \tag{B3}$$

and all possibilities obtained by interchanging indices  $\mu_{\sigma(i)}$  with  $\tau_i$  in (B1)–(B3). In the above expressions,  $\sigma \in S_4$  is an arbitrary permutation and the number of dots next to each  $L$  in the denomination of  $\lambda$  indicates the number of summation indices  $\tau_i$  of the respective factor that correspond to one of the differentials  $dx^{\tau_i}$ .

2. First order in  $L$ : These can be quadratic in  $\partial L$  ( $d_0 = 0, d_1 = 2$ ), or quadratic in  $L$  and linear in  $\partial L$  ( $d_0 = 2, d_1 = 1$ ). In both cases, these must be obtained by (horizontal) exterior differentiation  $d_H = h \circ d$  and wedge products from lower rank differential forms which are purely algebraic in  $L$ .

In order to more easily keep track of all the independent terms, instead of interchanging  $\mu_{\sigma(i)}$  and  $\tau_i$  in (B1)–(B3), we will split the distortion tensor  $\mathbf{L}$  into its symmetric  $\mathbf{Q}$  and

antisymmetric  $\mathbf{T}$  parts<sup>7</sup>:

$$L^\tau{}_{\beta\gamma} = Q^\tau{}_{(\beta\gamma)} + T^\tau{}_{[\beta\gamma]}. \tag{B4}$$

All Lagrangians (B1)–(B3), can be eventually expressed as sums of polynomials in  $\mathbf{Q}$  and  $\mathbf{T}$  – where some of these polynomials (e.g., all  $Q_{..}$ -terms) will vanish due to the antisymmetry of the wedge product.

#### 1. Lower rank, algebraic invariants

A preliminary step is to classify lower rank invariant  $k$ -forms  $\rho = \rho_{\tau_1 \dots \tau_k}(T, Q) dx^{\tau_1} \wedge \dots \wedge dx^{\tau_k}$  ( $k \in \{1, 2, 3\}$ ) which are algebraic in  $T^\tau{}_{\beta\gamma}$  and  $Q^\tau{}_{\beta\gamma}$ . These are, as mentioned above, polynomial in  $\mathbf{T}$  and  $\mathbf{Q}$ ; moreover, invariance to arbitrary natural coordinate changes implies that the total degree of the polynomial must be precisely  $k$ .

- (a) **1-forms.** For  $k = 1$ , we get two independent forms:

$$\alpha_T = T^\mu{}_{\mu\tau} dx^\tau, \quad \alpha_Q = Q^\mu{}_{\mu\tau} dx^\tau \tag{B5}$$

(here we haven't used any dots next to  $T$  or  $Q$  in the left hand sides as there is no risk of confusion).

- (b) **2-forms.** We easily find four independent such forms:

$$\begin{aligned} \alpha_T \wedge \alpha_Q, \beta_{Q.T.} &= Q^{\mu_1}{}_{\mu_2\tau_1} T^{\mu_2}{}_{\tau_1\tau_2} dx^{\tau_1} \wedge dx^{\tau_2}, \\ \beta_{TT.} &= T^{\mu_1\mu_2}{}_{\tau_1\tau_2} dx^{\tau_1} \wedge dx^{\tau_2}, \\ \beta_{QT.} &= Q^{\mu_1\mu_2}{}_{\tau_1\tau_2} T^{\mu_2}{}_{\tau_1\tau_2} dx^{\tau_1} \wedge dx^{\tau_2}. \end{aligned} \tag{B6}$$

- (c) **Forms of rank 3.** These must belong to one of the following classes:

$$\gamma_{A.B.C.} = A^{\mu_1}{}_{\mu\sigma(1)\tau_1} B^{\mu_2}{}_{\mu\sigma(2)\tau_2} C^{\mu_3}{}_{\mu\sigma(3)\tau_3} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3}, \tag{B7}$$

$$\gamma_{A..BC.} = A^{\mu_1}{}_{\tau_1\tau_2} B^{\mu_2}{}_{\mu\sigma(1)\mu\sigma(2)} C^{\mu_3}{}_{\mu\sigma(3)\tau_3} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3}, \tag{B8}$$

where  $A, B, C \in \{Q, T\}$  and  $\sigma \in S_3$ . By direct inspection, we find 18 independent invariants:

✓ 6 wedge products:

$$\begin{aligned} \alpha_T \wedge \beta_{Q.T.}, \alpha_T \wedge \beta_{TT.}, \alpha_T \wedge \beta_{QT.}, \\ \alpha_Q \wedge \beta_{Q.T.}, \alpha_Q \wedge \beta_{TT.}, \alpha_Q \wedge \beta_{QT.}; \end{aligned} \tag{B9}$$

<sup>7</sup> Here,  $\mathbf{Q}$  (resp.  $\mathbf{T}$ ) denote just the symmetric (resp. antisymmetric) part of the distortion tensor  $\mathbf{L}$ ; whereas  $\mathbf{T}$  gives, up to a factor of  $-2$ , the torsion of the connection, the relation between our  $\mathbf{Q}$  and nonmetricity – sometimes also denoted by  $\mathbf{Q}$  in the literature – is a more sophisticated one.

✓ 4 indecomposable 3-forms  $\gamma_{A.B.C.}$ :

$$\gamma_{T.T.T.} = T^{\mu_1}_{\mu_2\tau_1} T^{\mu_2}_{\mu_3\tau_2} T^{\mu_3}_{\mu_1\tau_3} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3}, \tag{B10}$$

$$\gamma_{T.T.Q.} = T^{\mu_1}_{\mu_2\tau_1} T^{\mu_2}_{\mu_3\tau_2} Q^{\mu_3}_{\mu_1\tau_3} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3}, \tag{B11}$$

$$\gamma_{T.Q.Q.} = T^{\mu_1}_{\mu_2\tau_1} Q^{\mu_2}_{\mu_3\tau_2} Q^{\mu_3}_{\mu_1\tau_3} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3}, \tag{B12}$$

$$\gamma_{Q.Q.Q.} = Q^{\mu_1}_{\mu_2\tau_1} Q^{\mu_2}_{\mu_3\tau_2} Q^{\mu_3}_{\mu_1\tau_3} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3}, \tag{B13}$$

✓ 8 indecomposable 3-forms  $\gamma_{A..BC.}$  :

$$\gamma_{T..TT.} = T^{\mu_1}_{\tau_1\tau_2} T^{\mu_2}_{\mu_2\mu_3} T^{\mu_3}_{\mu_1\tau_3} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3}, \tag{B14}$$

$$\gamma_{T..QT.} = T^{\mu_1}_{\tau_1\tau_2} Q^{\mu_2}_{\mu_2\mu_3} T^{\mu_3}_{\mu_1\tau_3} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3}, \tag{B15}$$

$$\gamma_{T..TQ.} = T^{\mu_1}_{\tau_1\tau_2} T^{\mu_2}_{\mu_2\mu_3} Q^{\mu_3}_{\mu_1\tau_3} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3}, \tag{B16}$$

$$\gamma_{T..QQ.} = T^{\mu_1}_{\tau_1\tau_2} Q^{\mu_2}_{\mu_2\mu_3} Q^{\mu_3}_{\mu_1\tau_3} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3}, \tag{B17}$$

$$\tilde{\gamma}_{T..TT.} = T^{\mu_1}_{\tau_1\tau_2} T^{\mu_2}_{\mu_1\mu_3} T^{\mu_3}_{\mu_2\tau_3} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3}, \tag{B18}$$

$$\tilde{\gamma}_{T..QT.} = T^{\mu_1}_{\tau_1\tau_2} Q^{\mu_2}_{\mu_1\mu_3} T^{\mu_3}_{\mu_2\tau_3} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3}, \tag{B19}$$

$$\tilde{\gamma}_{T..TQ.} = T^{\mu_1}_{\tau_1\tau_2} T^{\mu_2}_{\mu_1\mu_3} Q^{\mu_3}_{\mu_2\tau_3} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3}, \tag{B20}$$

$$\tilde{\gamma}_{T..QQ.} = T^{\mu_1}_{\tau_1\tau_2} Q^{\mu_2}_{\mu_1\mu_3} Q^{\mu_3}_{\mu_2\tau_3} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3}. \tag{B21}$$

## 2. Zeroth order (algebraic) Lagrangians in L

These must be expressed as homogeneous polynomials of total degree 4 in  $T^{\tau}_{\beta\gamma}$  and  $Q^{\tau}_{\beta\gamma}$ . There are 65 such independent invariants, as follows:

✓ 33 wedge products of lower rank differential forms:

$$\alpha_T \wedge \gamma_{T.T.T.}, \dots, \alpha_T \wedge \tilde{\gamma}_{T..QQ.}, \alpha_Q \wedge \gamma_{T.T.T.}, \dots, \alpha_T \wedge \tilde{\gamma}_{T..QQ.}, \tag{B22}$$

$$\alpha_T \wedge \alpha_Q \wedge \beta_{Q.T.}, \alpha_T \wedge \alpha_Q \wedge \beta_{T.T.}, \alpha_T \wedge \alpha_Q \wedge \beta_{Q.T.}, \tag{B23}$$

$$\beta_{Q.T.} \wedge \beta_{Q.T.}, \beta_{Q.T.} \wedge \beta_{T.T.}, \beta_{Q.T.} \wedge \beta_{Q.T.}, \tag{B24}$$

$$\beta_{T.T.} \wedge \beta_{T.T.}, \beta_{T.T.} \wedge \beta_{Q.T.}, \beta_{Q.T.} \wedge \beta_{Q.T.}; \tag{B25}$$

and 32 indecomposable 4-forms, grouped as follows:

✓ 5 independent forms of type

$$\lambda_{T..T..AB} = T^{\mu_1}_{\tau_1\tau_2} T^{\mu_2}_{\tau_3\tau_4} A^{\mu_3}_{\mu_{\sigma(1)}\mu_{\sigma(2)}} B^{\mu_4}_{\mu_{\sigma(3)}\mu_{\sigma(4)}} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3} \wedge dx^{\tau_4}, \tag{B26}$$

where  $A, B \in \{T, Q\}$ , found for  $\sigma = (1, 2, 3, 4)$  and  $\sigma = (1, 4, 2, 3)$ :

$$\lambda_{T..T..QT} = T^{\mu_1}_{\tau_1\tau_2} T^{\mu_2}_{\tau_3\tau_4} Q^{\mu_3}_{\mu_1\mu_2} T^{\mu_4}_{\mu_3\mu_4} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3} \wedge dx^{\tau_4}, \tag{B27}$$

$$\lambda_{T..T..QQ} = T^{\mu_1}_{\tau_1\tau_2} T^{\mu_2}_{\tau_3\tau_4} Q^{\mu_3}_{\mu_1\mu_2} Q^{\mu_4}_{\mu_3\mu_4} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3} \wedge dx^{\tau_4}, \tag{B28}$$

$$\tilde{\lambda}_{T..T..TT} = T^{\mu_1}_{\tau_1\tau_2} T^{\mu_2}_{\tau_3\tau_4} T^{\mu_3}_{\mu_1\mu_4} T^{\mu_4}_{\mu_2\mu_3} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3} \wedge dx^{\tau_4}, \tag{B29}$$

$$\tilde{\lambda}_{T..T..TQ} = T^{\mu_1}_{\tau_1\tau_2} T^{\mu_2}_{\tau_3\tau_4} T^{\mu_3}_{\mu_1\mu_4} Q^{\mu_4}_{\mu_2\mu_3} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3} \wedge dx^{\tau_4}, \tag{B30}$$

$$\tilde{\lambda}_{T..T..QQ} = T^{\mu_1}_{\tau_1\tau_2} T^{\mu_2}_{\tau_3\tau_4} Q^{\mu_3}_{\mu_1\mu_4} Q^{\mu_4}_{\mu_2\mu_3} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3} \wedge dx^{\tau_4}. \tag{B31}$$

(the forms  $\lambda_{T..T..TT}, \lambda_{T..T..TQ}$  vanish for symmetry reasons).

✓ 24 independent forms of type:

$$\lambda_{T..A.B.C.} = T^{\mu_1}_{\tau_1\tau_2} A^{\mu_2}_{\mu_{\sigma(1)}\mu_{\sigma(2)}} B^{\mu_3}_{\mu_{\sigma(3)}\tau_3} C^{\mu_4}_{\mu_{\sigma(4)}\tau_4} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3} \wedge dx^{\tau_4}, \tag{B32}$$

with  $A, B, C \in \{T, Q\}$ . These can be sorted in three groups (giving 8 independent forms each) corresponding to  $\sigma \in \{(1, 3, 4, 2), (2, 3, 4, 1), (3, 4, 1, 2)\}$ :

$$\lambda_{T..A.B.C.} = T^{\mu_1}_{\tau_1\tau_2} A^{\mu_2}_{\mu_1\mu_3} B^{\mu_3}_{\mu_4\tau_3} C^{\mu_4}_{\mu_2\tau_4} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3} \wedge dx^{\tau_4}, \tag{B33}$$

$$\tilde{\lambda}_{T..A.B.C.} = T^{\mu_1}_{\tau_1\tau_2} A^{\mu_2}_{\mu_2\mu_3} B^{\mu_3}_{\mu_4\tau_3} C^{\mu_4}_{\mu_1\tau_4} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3} \wedge dx^{\tau_4}, \tag{B34}$$

$$\hat{\lambda}_{T..A.B.C.} = T^{\mu_1}_{\tau_1\tau_2} A^{\mu_2}_{\mu_3\mu_4} B^{\mu_3}_{\mu_1\tau_3} C^{\mu_4}_{\mu_2\tau_4} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3} \wedge dx^{\tau_4}, \tag{B35}$$

✓ 3 independent forms of type

$$\lambda_{A..B.C.D.} = A^{\mu_1}_{\mu_{\sigma(1)}\tau_1} B^{\mu_2}_{\mu_{\sigma(2)}\tau_2} C^{\mu_3}_{\mu_{\sigma(3)}\tau_3} D^{\mu_4}_{\mu_{\sigma(4)}\tau_4} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3} \wedge dx^{\tau_4}; \tag{B36}$$

indecomposable such forms are generated by *derangements*  $\sigma \in S_4$  (i.e.  $\sigma(i) \neq i, \forall i$ ); all these permutations lead, up to a sign, to the same results:

$$\lambda_{T..T..TQ} = T^{\mu_1}_{\mu_2\tau_1} T^{\mu_2}_{\mu_3\tau_2} T^{\mu_3}_{\mu_4\tau_3} Q^{\mu_4}_{\mu_1\tau_4} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3} \wedge dx^{\tau_4}, \tag{B37}$$

$$\lambda_{T..T..QQ} = T^{\mu_1}_{\mu_2\tau_1} T^{\mu_2}_{\mu_3\tau_2} Q^{\mu_3}_{\mu_4\tau_3} Q^{\mu_4}_{\mu_1\tau_4} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3} \wedge dx^{\tau_4}, \tag{B38}$$

$$\lambda_{T..Q..QQ} = T^{\mu_1}_{\mu_2\tau_1} T^{\mu_2}_{\mu_3\tau_2} T^{\mu_3}_{\mu_4\tau_3} Q^{\mu_4}_{\mu_1\tau_4} dx^{\tau_1} \wedge dx^{\tau_2} \wedge dx^{\tau_3} \wedge dx^{\tau_4}, \tag{B39}$$

the forms  $\lambda_{TTTT}$  and  $\lambda_{Q.Q.Q.Q}$  obtained this way vanish; also, the symmetry of the indices implies, e.g.,  $\lambda_{TTQT} \propto \lambda_{TTTQ}$ .

### 3. First order Lagrangians

We will now discuss natural Lagrangians on  $J^1T_2^1M$  that are first order in  $\mathbf{L}$  (i.e. that depend on  $\partial_\sigma L^\rho_{\mu\nu}$ ). These must be obtained from the lower rank algebraic invariants from Appendix B1 via (horizontal) exterior derivative and wedge products.

We then find 29 independent such terms:

- 3 independent Lagrangians quadratic in  $\partial L$ :

$$d_H\alpha_T \wedge d_H\alpha_T, \quad d_H\alpha_T \wedge d_H\alpha_Q, \quad d_H\alpha_Q \wedge d_H\alpha_Q. \tag{B40}$$

These are, yet, all total divergences as, e.g.,  $d_H\alpha_T \wedge d_H\alpha_T = d_H(\alpha_T \wedge d_H\alpha_T)$ . Thus, they produce *no* non-trivial Euler–Lagrange equations.

- 14 Lagrangians linear in  $\partial L$  involving  $\alpha$  and  $\beta$  terms:

$$d_H\alpha_T \wedge \alpha_T \wedge \alpha_Q, \tag{B41}$$

$$d_H\alpha_Q \wedge \alpha_T \wedge \alpha_Q, \tag{B42}$$

$$d_H\alpha_T \wedge \beta_{QT}, \quad \alpha_T \wedge d_H\beta_{QT}, \tag{B43}$$

$$d_H\alpha_Q \wedge \beta_{QT}, \quad \alpha_Q \wedge d_H\beta_{QT}, \tag{B44}$$

$$d_H\alpha_T \wedge \beta_{TT}, \quad \alpha_T \wedge d_H\beta_{TT}, \tag{B45}$$

$$d_H\alpha_Q \wedge \beta_{TT}, \quad \alpha_Q \wedge d_H\beta_{TT}, \tag{B46}$$

$$d_H\alpha_T \wedge \beta_{QT}, \quad \alpha_T \wedge d_H\beta_{QT}, \tag{B47}$$

$$d_H\alpha_Q \wedge \beta_{QT}, \quad \alpha_Q \wedge d_H\beta_{QT}. \tag{B48}$$

Of these, each pair (B43)–(B48) above is made by Lagrangians which differ by a total derivative,<sup>8</sup> hence, they produce the same Euler–Lagrange equations. We obtain, thus, 8 possibilities of producing different Euler–Lagrange equations. These correspond e.g. to the wedge products of the two first order rank 2 terms in  $\mathbf{L}$  ( $d_H\alpha_T$  and  $d_H\alpha_Q$ ) with the four algebraic rank 2 terms in  $\mathbf{L}$  (i.e.  $\alpha_T \wedge \alpha_Q$ ,  $\beta_{QT}$ ,  $\beta_{TT}$  and  $\beta_{QT}$ ).

- 12 Lagrangians linear in  $\partial L$  of type  $d_H\gamma_{ABC}$ .

These are all obtained by horizontal exterior differentiation; hence, their Euler–Lagrange expressions identically vanish.

To conclude, on 4-dimensional manifolds, one can build 65 algebraic invariants, but only 8 nontrivial first order, independent Lagrangians, using the distortion tensor alone.

<sup>8</sup> Indeed, since the  $\alpha_i$  terms are of rank 1,  $d_H(\alpha_i \wedge \beta_j) = d_H\alpha_i \wedge \beta_j - \alpha_i \wedge d_H\beta_j$ .

Interesting remark: there is only one algebraic invariant Lagrangian  $\alpha_Q \wedge \gamma_{Q.Q.Q}$  and no first order, nontrivial Lagrangians which can be built from the symmetric part of  $\mathbf{L}$  only.

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