



New constraints on Lorentz Invariance violation from Crab Nebula spectrum beyond 100 TeV

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Received: 18 July 2019 / Accepted: 29 November 2019 / Published online: 13 December 2019
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Abstract Recently two collaborations, Tibet and HAWC, presented new measurements of gamma-ray spectrum from Crab Nebula (Amenomori et al. in *Phys Rev Lett* **123**(5): 051101, 2019, [arXiv:1906.05521](https://arxiv.org/abs/1906.05521) [astro-ph.HE]; Abeysekara et al. [HAWC Collaboration] in *Astrophys. J.* **881**, 134, (2019), [arXiv:1905.12518](https://arxiv.org/abs/1905.12518) [astro-ph.HE]) which continues beyond 100 TeV. We use these data to establish two-sided constraints on parameters of Lorentz Invariance violation in quantum electrodynamics. The limits on Lorentz violating mass scale for quartic dispersion relation are 4.1×10^{14} GeV (photon splitting) and 1.9×10^{13} GeV (photon decay) for superluminal case, and 1.4×10^{12} GeV (suppression of shower formation) for subluminal case.

The Crab Nebula, pulsar wind nebula which is a remnant from supernova SN 1054, is one of the brightest and most studied galactic gamma ray sources. Since 1989 when the first TeV gamma rays from Crab Nebula were detected by Whipple collaboration [3], the Crab Nebula remains the source with the most energetic detected photons. In 2004, HEGRA collaboration reported the Crab Nebula spectrum collected over more than 10 years of operation [4]. In that report, the detection of 75 TeV photons was established with statistical significance 2.7 sigma. It took 15 years to extend the measurements to higher energies. This year two collaborations, Tibet and HAWC, presented the highest-energy Crab Nebula spectra [1, 2]; both spectra continue beyond 100 TeV. Besides improving the knowledge about the source, this detection allows us to better constrain some scenarios of new physics such as hypothetical violation of Lorentz Invariance (LI).

Violation of LI (LV for short) is motivated by several approaches to gravity quantization (see reviews [5, 6] and references therein) and usually considered in the matter sector in the framework of effective field theory [7–13]. LV in the photon sector modifies several processes responsible for creation, propagation and detection of photons. These include

photon decay [7, 8, 10, 11, 14], photon splitting [15, 16] and suppression of the Bethe–Heitler process [14, 17, 18]. Most of these effects would lead to a significant reduction of the observed photon flux, which is not seen in the data. However, some of the effects may lead to an increase in the observed photon flux, such as the suppression of pair production on background lights [19, 20].

We specify ourselves to the following model,¹

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} \mp \frac{1}{2M_{LV}^2}F_{ij}\Delta^2F^{ij} + i\bar{\psi}\gamma^\mu D_\mu\psi - m\bar{\psi}\psi. \quad (1)$$

In comparison with the standard QED Lagrangian, Eq. (1) contains a single extra LV term, suppressed by a certain mass scale M_{LV} ,² which is usually considered to be connected with the scale of quantum gravity. The Lagrangian (1) leads to a modification of the photon dispersion relation,

$$E_\gamma^2 = k_\gamma^2 \pm \frac{k_\gamma^4}{M_{LV}^2}. \quad (2)$$

The sign “+” in the dispersion relation is connected with superluminal case, while the sign “–” – with subluminal.³ The most important processes for superluminal case are photon decay $\gamma \rightarrow e^+e^-$ and photon splitting $\gamma \rightarrow 3\gamma$. Thus, a

¹ We assume that gauge, rotational and CPT symmetries are unbroken and consider LV operators of dimension larger than 4; additional requirements to the model are gathered in [14]. LV in the electron sector is not considered here since those constraints are more stronger than in the photon sector [22], see also discussion in [18].

² The mass scale M_{LV} corresponds to the parameter $c_{(I)00}^{(6)}$ of the most general model called non-minimal Standard Model Extension (SME) [13], $c_{(I)00}^{(6)} = -\sqrt{\pi}/M_{LV}^2$.

³ The subluminal type of LV for photons (Eq. (2), sign “–”) may be induced by radiative corrections caused by any charged particle with nonzero LV operators of dimension 4 [23].

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photon propagating from Crab Nebula to Earth, may decay via these two channels so the photon flux from Crab reduces before reaching Earth. On the other hand, in the subluminal case a photon lacks energy which suppresses the pair production on nuclei (Bethe–Heitler process), allowed in the LI case. This process is crucial for the formation of atmosphere showers used to detect TeV gamma-rays. Its suppression will again lead to a reduction of the measured flux.

Photon decay The photon decay $\gamma \rightarrow e^+e^-$ is a threshold process, which switches on if the effective photon mass $m_{\gamma,eff} \equiv \sqrt{E_\gamma^2 - k_\gamma^2} = E_\gamma/M_{LV}$ is larger than twice the electron mass, $m_{\gamma,eff} > 2m_e$. Once being allowed, the decay is very fast [14] so no photons with energy above the threshold reach Earth. Thus, even a single photon event with energy E_γ constrains M_{LV} to lie above

$$M_{LV} \geq \frac{E_\gamma^2}{2m_e} . \tag{3}$$

The statistical significance of the constraint coincides with the significance of the corresponding photon event. The current constraint on M_{LV} from the absence of photon decay is $M_{LV} > 2.8 \times 10^{12}$ GeV [24].

Photon splitting Another channel of the photon decay is the triple photon splitting $\gamma \rightarrow 3\gamma$. This process does not have a threshold and occurs whenever LV is superluminal. Due to the phase volume suppression, the width is small but nonzero [16],

$$\begin{aligned} \Gamma_{\gamma \rightarrow 3\gamma} &\simeq 1.2 \cdot 10^3 \left(\frac{2\alpha^2}{45}\right)^2 \frac{1}{2^8 3! \pi^4} \frac{E_\gamma^{19}}{m_e^8 M_{LV}^{10}} \\ &\simeq 5 \cdot 10^{-14} \frac{E_\gamma^{19}}{m_e^8 M_{LV}^{10}} . \end{aligned} \tag{4}$$

Note the strong dependence of the width on energy.

The probability for a photon not to split while traveling from Crab to Earth obeys exponential distribution,

$$P = e^{-\Gamma_{\gamma \rightarrow 3\gamma} \times L_{CRAB}} , \tag{5}$$

where $L_{CRAB} = 2$ kpc is the distance from Crab to Earth. The factor P denotes the suppression of a photon flux compared to the standard LI case,

$$\left(\frac{d\Phi}{dE}\right)_{LV} = P \times \left(\frac{d\Phi}{dE}\right)_{LI} . \tag{6}$$

The predicted photon flux $\left(\frac{d\Phi}{dE}\right)_{LV}$ can be tested against experimental data points. As a result of the test, a certain constraint on the suppression factor P will be established. The latter, following Eqs. (4), (5), transfers to the constraint on the mass scale M_{LV} ,

$$M_{LV} > 2.3 \times 10^{14} \text{ GeV} \cdot \left(\frac{E}{100 \text{ TeV}}\right)^{1.9} \cdot \left(\frac{1}{-\log P}\right)^{0.1} , \tag{7}$$

for the fixed value of E . Thus, the last bin of HEGRA data [4], centered at $E = 75$ TeV, gives the constraint $M_{LV} > 1.3 \times 10^{14}$ GeV [16]. We are going to see that the new data above 100 TeV photon energy allow us to improve this constraint. *Shower formation* Subluminal type of LV predicts the suppression of Bethe–Heitler process [14] which is responsible for the first interaction of an astrophysical photon in the atmosphere. Thus, in this case atmospheric showers initiated by photons would be deeper than in the standard case [18]. Very deep showers would escape registration in the experiment. Thus, the prediction for subluminal LV is similar to superluminal case: the suppression of photon flux for highest-energy photons.

If the depth X_0 of the photon first interaction in the atmosphere is larger than the total atmosphere depth X_{atm} ,⁴ the shower will not develop, and the event will not be detected. The probability for a photon to produce pair in the atmosphere reads,

$$P = \int_0^{X_{atm}} dX_0 \frac{e^{-X_0/\langle X_0 \rangle_{LV}}}{\langle X_0 \rangle_{LV}} = 1 - e^{-X_{atm}/\langle X_0 \rangle_{LV}} , \tag{8}$$

where the mean depth of the first interaction for LV case $\langle X_0 \rangle_{LV}$ is expressed via LI mean depth $\langle X_0 \rangle_{LI} = 57$ cm⁻², and the ratio of the Bethe–Heitler cross-sections in the standard and Lorentz violating theories,

$$\langle X_0 \rangle_{LV} = \frac{\sigma_{BH}}{\sigma_{BH}^{LV}} \langle X_0 \rangle_{LI} . \tag{9}$$

The latter is calculated in [14],

$$\frac{\sigma_{BH}^{LV}}{\sigma_{BH}} \simeq \frac{12m_e^2 M_{LV}^2}{7E_\gamma^4} \cdot \log \frac{E_\gamma^4}{2m_e^2 M_{LV}^2} ; \tag{10}$$

the expression inside the log in (10) we call A . As for the case of photon splitting, the detected photon flux from Crab Nebula would be suppressed as in (6) with P expressed by (8). The absence of such suppression in the data yields the constraint on P , which, in turn, transfers to the constraint on M_{LV} in the following way,

$$\begin{aligned} \frac{A}{\log A} &< \frac{12.78}{-\log(1-P)} , \\ A \equiv \frac{E^4}{2m_e^2 M_{LV}^2} &= 1.9 \cdot \left(\frac{E}{100 \text{ TeV}}\right)^4 \cdot \left(\frac{M_{LV}}{10^{13} \text{ GeV}}\right)^{-2} . \end{aligned} \tag{11}$$

⁴ Calculated taking into account the altitude and the maximal zenith angle of detection of the experiment.

The Eq. (11) is solved numerically for fixed P . The bound obtained from HEGRA data [4] reads $M_{LV} > 2.1 \times 10^{11}$ GeV [18]. The suppression grows with energy, see (10), (11) so we can expect stronger constraints from Tibet and HAWC.

Tibet. The Tibet collaboration has published the combined data from air shower ground array of detectors and underground array of muon detectors collected during 719 days of observation [1]. The altitude of Tibet array is 4300 m above the sea level, so the depth of the atmosphere at the Tibet location is not more than 780 g cm^{-2} for showers from the maximal zenith angle 40 degrees (events with larger zenith angles have not been considered in Tibet analysis [1]).

The statistical significance for each energy bin of Crab nebula photon spectrum was calculated by the likelihood ratio method following Li and Ma [25]. The last but one energy bin of Tibet data [1] (energy range 100–250 TeV, median energy 140 TeV) contains $N_{on} = 20$ on-source and $N_{off} = 94$ off-source photon events;⁵ the ratio of on-source and off-source exposures is $\alpha = 0.05$, the number of signal events is $N_s = N_{on} - \alpha N_{off} = 15.3$. The calculated statistical significance is 5.0σ . The last energy bin (250–630 TeV) contains only 4 photon-like on-source events,⁶ the corresponding statistical significance is 2.4σ . The statistics in the last bin is too low to infer any significant bounds on LV, so in our analysis we use the last but one bin.

We test the hypothesis that the photon flux (i.e. the number of signal events⁷) is suppressed by a factor P . The expectation value for the signal events $\langle N_s \rangle^{LI}$ is obtained by extrapolation of power-law fit of the low energy part of the spectrum (less than 20 TeV), to high energies. To be conservative, we use the power-law fit of HEGRA [4] data,

$$\left(\frac{d\Phi}{dE}\right)_{LI} = 2.83 \cdot 10^{-11} \cdot (E/\text{TeV})^{-2.62} \text{ TeV}^{-1} \text{ cm}^{-2} \text{ s}^{-1}. \tag{12}$$

In the presence of LV the expected signal gets suppression P , $\langle N_s \rangle^{LV} = P \times \langle N_s \rangle^{LI}$. In order to obtain the probability of the observed realization (N_{on}, N_{off}) for the expectation number of the signal events $\langle N_s \rangle^{LV}$ we use likelihood ratio method, marginalizing over unknown background; the details are similar to those presented in [18]. As a result, the suppression factor $P = 0.17$ is excluded at 95% CL.

⁵ In the article [1] the joint number of events in two last bins, and in the last bin are presented; these numbers are just subtraction.

⁶ Moreover, one of these events may be a cosmic ray event with a probability of 0.23 [1].

⁷ The coefficient of proportionality between the number of signal events and the photon flux is determined by their ratio in the last but one energy bin, $N_s = 15.3$ and $\left(\frac{d\Phi}{dE}\right) = 2.4 \cdot 10^{-17} \text{ TeV}^{-1} \text{ cm}^{-2} \text{ s}^{-1}$.

As we mentioned before, the suppression factor P may be caused either by the photon splitting or Bethe–Heitler suppression. For numerical results we take $E = 140$ TeV. The constraint (7) from the absence of photon splitting (superluminal case) reads,

$$\text{(superluminal)} \quad M_{LV} > 4.1 \times 10^{14} \text{ GeV}, \quad 95\% \text{ CL}. \tag{13}$$

The constraint (11) from non-suppression of the Bethe–Heitler process (subluminal case) reads ,

$$\text{(subluminal)} \quad M_{LV} > 1.4 \times 10^{12} \text{ GeV}, \quad 95\% \text{ CL}. \tag{14}$$

Let us also give the constraint from the photon decay $\gamma \rightarrow e^+e^-$. The bound (3) applied to the bin of Tibet data centered at $E = 140$ TeV, reads (remember that the significance of the bin gives the significance for the bound)

$$\text{(superluminal)} \quad M_{LV} > 1.9 \times 10^{13} \text{ GeV}, \quad 5\sigma. \tag{15}$$

This constraint is an order of magnitude weaker than the splitting constraint (13). However, the constraint (15) is of very high statistical significance. Moreover, the photon decay bound (15) does not rely on any additional assumption such as maximal zenith angle or the shape of Crab Nebula spectrum. HAWC HAWC observatory is an array of water Cerenkov detectors located in Mexico at the altitude 4100 meters. The maximal atmosphere depth corresponding to the maximal zenith angle 45 degrees (see [21]) is 865 g cm^{-2} . The last energy bin in which Crab Nebula was detected by HAWC [2], is 100–177 TeV . The energy reconstruction is performing two independent methods, “ground parameter” (GP) and neural network (NN). The reconstructed median energy of the last bin is 102 and 118 TeV for two methods respectively.

The photon decay bound (3) applied to the median energy of the last bin gives,

$$\text{(superluminal)} \quad M_{LV} > 1.0 (1.4) \times 10^{13} \text{ GeV}. \tag{16}$$

Here the first value corresponds to GP method while the value in the brackets – to NN method.

Since the HAWC collaboration does not provide the details of background [2], we are not allowed to perform statistical analysis based on the number of on-source and off-source events. Instead of that we perform analysis based on the photon flux. Assuming Gaussian distribution (which is not in fact true for small number of events) with given mean value and dispersion for the measured flux in the energy bin 100–177 TeV, we apply Pearson’s chi-squared criterion to test a hypothesis of photon flux $\left(\frac{d\Phi}{dE}\right)_{LV} = P \cdot \left(\frac{d\Phi}{dE}\right)_{LI}$ against measured flux. We take $\left(\frac{d\Phi}{dE}\right)_{LI}$ as power-law extrapolation

of HEGRA spectrum (12), the same as for the Tibet data analysis. As a result, suppression factors excluded at 95% CL read $P = 0.09$ and $P = 0.18$ for GP and NN method respectively.

Let us show our estimation for 95% CL bound on M_{LV} . First, we start from the splitting constraint (7) which is connected with superluminal LV. The constraint reads,

$$\text{(superluminal) } M_{LV} > 2.2 \text{ (3.0)} \times 10^{14} \text{ GeV, } 95\% \text{ CL,} \quad (17)$$

here two values correspond with two reconstruction methods as previously. Further, let us provide the estimated constraint (11) based on the absence of shower suppression (subluminal type of LV),⁸

$$\text{(subluminal) } M_{LV} > 4.7 \text{ (9.7)} \times 10^{11} \text{ GeV, } 95\% \text{ CL,} \quad (18)$$

The bounds (17), (18) are worse than the Tibet ones because the HAWC median energy is less than the Tibet one, and the statistics is less as well.

Discussion By the analysis of the Crab Nebula spectra reported by Tibet and HAWC collaborations, we have obtained bounds on the LV mass scale in the photon sector. For the superluminal type of LV, the best of our constraints which are based on Tibet data, read

$$\begin{aligned} \text{photon decay} \quad M_{LV} &> 1.9 \times 10^{13} \text{ GeV} \quad 5\sigma, \\ \text{photon splitting} \quad M_{LV} &> 4.1 \times 10^{14} \text{ GeV} \quad 95\% \text{ CL.} \end{aligned}$$

These bounds are several times better than the previous ones $M_{LV} > 1.3 \times 10^{14}$ GeV [16] (photon splitting), $M_{LV} > 2.8 \times 10^{12}$ GeV [24] (photon decay), based on HEGRA data. The splitting constraint is the best in the literature for the superluminal case. Although the photon decay constraint is an order of magnitude weaker, it is the most robust bound which does not rely on any astrophysical assumption (intrinsic spectrum of the source, zenith angle, etc.).

For the subluminal case we improve the bound from shower formation [18] with Tibet data by an order of magnitude,

$$M_{LV} > 1.4 \times 10^{12} \text{ GeV, } 95\% \text{ CL.}$$

It is worth comparing it with another bound that exists in the subluminal case and arises from pair production by extragalactic photons on extragalactic background light (EBL). In the presence of LV of subluminal type, the TeV photons would propagate through the extragalactic medium without

significant suppression, which contradicts observational data [19,20]. The current limits on M_{LV} from pair production on EBL are 7.8×10^{11} GeV [26] and 2.4×10^{12} GeV [27] (both 95% CL), which are of the same order as our shower suppression constraints (14), (18).

There is also another bound of this type, based on current non-observation of ultra-high-energy (UHE) photons (energy $\sim 10^{19}$ eV) which are awaited to be one of the products of GZK process [28,29] – pion production of UHE cosmic rays on cosmic microwave background (CMB). These photons, if created, produce pairs on CMB and radio backgrounds; in the presence of LV of subluminal type the process of pair production is suppressed. Current non-detection of such photons sets the bound $M_{LV} > 10^{22}$ GeV [30–33]. However, this bound strictly rely on the chemical composition of cosmic rays, which is still not clear [34], as well as on the spectral shape and source evolution (see discussion in [33]).

Let us note that aforementioned constraints referred only to quartic LV corrections to photon dispersion relation (2). However, the constraints referred to EBL suppression, as well as to GZK photons, are made also for cubic correction to dispersion relation. The generalization of the splitting and shower formation bounds to cubic LV is not straightforward, the calculation of corresponding cross-sections in the appropriate model (see [12]) is necessary.

Acknowledgements The author thanks Dmitry Kirpichnikov, Grigory Rubtsov, Sergey Sibiryakov and Sergey Troitsky for helpful discussions and Jim Linnemann for useful comments. The research was supported by the state assignment number 0031-2014-0066 of the INR RAS.

Data Availability Statement This manuscript has no associated data or the data will not be deposited. [Authors' comment: There is no special associated data since there is only a small number of data (number of photon events or photon flux in two last energy bins) taken from Refs. [1,2]. All procedures applied to these data are described in the text of the article.]

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Funded by SCOAP³.

⁸ Let us also provide the bounds based on chi-squared criterium applied to two last bins of HAWC data instead of the last one: $M_{LV} > 4.4 \text{ (6.9)} \times 10^{11} \text{ GeV, } 95\% \text{ CL.}$

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