# Production of $a_{1}$ in heavy meson decays 

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Received: 23 November 2015 / Accepted: 18 January 2016 / Published online: 3 February 2016
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#### Abstract

In this work, we study various decays of heavy $B / D$ mesons into the $a_{1}(1260)$, based on the form factors derived in different nonperturbative or factorization approaches. These decay modes are helpful to explore the dynamics in the heavy to light transitions. Meanwhile they can also provide insights to a newly discovered state, the $a_{1}(1420)$ with $I^{G}\left(J^{P C}\right)=1^{-}\left(1^{++}\right)$observed in the $\pi^{+} f_{0}(980)$ final state in the $\pi^{-} p \rightarrow \pi^{+} \pi^{-} \pi^{-} p$ process. Available theoretical explanations include tetraquark or rescattering effects due to $a_{1}$ (1260) decays. If the $a_{1}$ (1420) were induced by the rescattering, its production rates are completely determined by those of the $a_{1}(1260)$. Our numerical results for decays into the $a_{1}(1260)$ indicate that there is a promising prospect to study these decays on experiments including BES-III, LHCb, Babar, Belle, and CLEO-c, the forthcoming Super-KEKB factory and the under-design Circular Electron-Positron Collider.


## 1 Introduction

Since Gell-Mann proposed the concept of quarks in 1964 [1], quark model has achieved indisputable successes: most of the established mesons and baryons on experimental side can be well accommodated in the predicted scheme [2]. However, recently there have been experimental observations of resonance-like structures with quantum numbers hardly to be placed in the quark-antiquark or three-quark schemes [39]. This leads one to the suspect that the hadron spectrum is much richer than the simple quark model [10].

In the quark model, the possible quantum numbers $J^{P C}$ for orbitally excited axial-vector mesons are $1^{++}$or $1^{+-}$, depending on different spin couplings of the two quarks. Heavy meson decays offer a promising opportunity to investigate these axial-vector mesons. Since the observation of the

[^0]$B \rightarrow J / \psi K_{1}$ [11] and $D^{*} a_{1}(1260)$ [12] decays, there are increasing experimental studies on $B$ meson decays involving a p-wave axial-vector meson in the final state [13]. One purpose of this work is to provide the theoretical results for branching ratios of various $B$ or $D$ decays into the $a_{1}(1260)$. As we will show later, the results are very different in distinct nonperturbative or factorization approaches, and thus measurements in the future may be capable to clarify these differences. Some previous theoretical studies can be found in the literature [14-25].

Recently the COMPASS collaboration [26,27] has reported the observation of a light resonance-like state with quantum numbers $I^{G}\left(J^{P C}\right)=1^{-}\left(1^{++}\right)$in the $P$-wave $f_{0}(980) \pi$ final state with $f_{0}(980) \rightarrow \pi^{+} \pi^{-}$. The signal was also confirmed by the VES experiment [28] in the $\pi^{-} \pi^{0} \pi^{0}$ final state. The new state was tentatively called $a_{1}(1420)$ with the mass $m_{a_{1}} \approx 1.42 \mathrm{GeV}$ and width $\Gamma_{a_{1}} \approx 0.14 \mathrm{GeV}$. The interpretation of this state as a new $\bar{q} q$ meson is challenging, since it could hardly be accommodated as the radial excitation of the $a_{1}(1260)$, which is expected to have a mass above 1650 MeV . Therefore, this state has been interpreted as a tetraquark [29] or some dynamical effects arising from final state interactions [30,31]. An illustration of the rescattering mechanism is shown in Fig. 1.

The deciphering of the internal structure of the $a_{1}$ (1420) can proceed not only through the detailed analysis of the pole position, but also through the decay and production characters. In this work, we propose that semileptonic and nonleptonic heavy meson decays can be used to examine the rescattering interpretation. In particular, an intriguing property in the rescattering picture is that the production rates of $a_{1}(1420)$ are completely determined by those of the $a_{1}(1260)$. In this case, the ratios

$$
\begin{align*}
& R\left(B \rightarrow a_{1} X\right) \\
& \quad=\frac{\mathcal{B}\left(B \rightarrow a_{1}^{ \pm}(1420) X\right) \mathcal{B}\left(a_{1}^{ \pm}(1420) \rightarrow f_{0}(980) \pi^{ \pm}\right)}{\mathcal{B}\left(B \rightarrow a_{1}^{ \pm}(1260) X\right) \mathcal{B}\left(a_{1}^{ \pm}(1260) \rightarrow 2 \pi^{ \pm} \pi^{\mp}\right)} \tag{1}
\end{align*}
$$



Fig. 1 Illustration of the $a_{1}^{ \pm}(1260) \rightarrow \pi^{ \pm} f_{0}(980)$

$$
\begin{align*}
& R\left(D \rightarrow a_{1} Y\right) \\
& \quad=\frac{\mathcal{B}\left(D \rightarrow a_{1}^{ \pm}(1420) Y\right) \mathcal{B}\left(a_{1}^{ \pm}(1420) \rightarrow f_{0}(980) \pi^{ \pm}\right)}{\mathcal{B}\left(D \rightarrow a_{1}^{ \pm}(1260) Y\right) \mathcal{B}\left(a_{1}^{ \pm}(1260) \rightarrow 2 \pi^{ \pm} \pi^{\mp}\right)} \tag{2}
\end{align*}
$$

would be insensitive to the production mechanism, and reduced to a constant. In the above equations, the $X, Y$ correspond to certain leptonic/hadronic final states, and more explicitly we suggest to study in the charm sector the $D \rightarrow$ $a_{1} \ell^{+} v$ and $D^{0} \rightarrow \pi^{ \pm} a_{1}^{\mp}$, and in the bottom sector the $B \rightarrow a_{1} \ell^{-} \bar{\nu}, B \rightarrow D a_{1}, \pi^{ \pm} a_{1}^{\mp}$, the $B_{c} \rightarrow J / \psi a_{1}$ and $\Lambda_{b} \rightarrow \Lambda_{c} a_{1}$ decays. The value for the ratios is estimated to be at percent level in Ref. [30]. Testing the universality of these ratios is a straightforward way to substantiate the rescattering interpretation.

The rest of this paper is organized as follows. In Sect. 2, we will concentrate on the $B \rightarrow a_{1}(1260)$ decays, including the transition form factors, semileptonic and nonleptonic decay modes. We will subsequently discuss the production of the $a_{1}(1260)$ in semileptonic and nonleptonic $D / D_{s}$ decays in Sect. 3. The last section contains our summary.

## $2 B$ decays into $a_{1}$

### 2.1 Form factors

Unless specified in the following, we will use the abbreviation $a_{1}$ to denote the $a_{1}(1260)$ for simplicity. The Feynman diagram for semileptonic $\bar{B}^{0} \rightarrow a_{1}^{+} \ell^{-} \bar{v}$ decays is given in Fig. 2. After integrating out the off-shell $W$ boson, one obtains the effective Hamiltonian
$\mathcal{H}_{\mathrm{eff}}=\frac{G_{F}}{\sqrt{2}} V_{u b}\left[\bar{u} \gamma_{\mu}\left(1-\gamma_{5}\right) b\right]\left[\bar{\ell} \gamma^{\mu}\left(1-\gamma_{5}\right) \nu_{\ell}\right]$.
Here the $V_{u b}$ is the CKM matrix element, and $G_{F}$ is the Fermi constant.

Hadronic effects are parametrized in terms of the $B \rightarrow a_{1}$ form factors:
$\left\langle a_{1}\left(p_{a_{1}}, \epsilon\right)\right| \bar{u} \gamma^{\mu} \gamma_{5} b\left|\bar{B}\left(p_{B}\right)\right\rangle$


Fig. 2 Feynman diagram for the semileptonic $B \rightarrow a_{1} \ell \bar{v}$ decay

$$
\begin{align*}
&=-\frac{2 i A\left(q^{2}\right)}{m_{B}-m_{a_{1}}} \epsilon^{\mu v \rho \sigma} \epsilon_{v}^{*} p_{B \rho} p_{a_{1} \sigma} \\
&\left\langle a_{1}\left(p_{a_{1}}, \epsilon\right)\right| \bar{u} \gamma^{\mu} b\left|\bar{B}\left(p_{B}\right)\right\rangle \\
&=-2 m_{a_{1}} V_{0}\left(q^{2}\right) \frac{\epsilon^{*} \cdot q}{q^{2}} q^{\mu}-\left(m_{B}-m_{a_{1}}\right) V_{1}\left(q^{2}\right) \\
& \times\left[\epsilon^{* \mu}-\frac{\epsilon^{*} \cdot q}{q^{2}} q^{\mu}\right]+V_{2}\left(q^{2}\right) \frac{\epsilon^{*} \cdot q}{m_{B}-m_{a_{1}}} \\
& \times\left[\left(p_{B}+p_{a_{1}}\right)^{\mu}-\frac{m_{B}^{2}-m_{a_{1}}^{2}}{q^{2}} q^{\mu}\right] \tag{4}
\end{align*}
$$

with $q=p_{B}-p_{a_{1}}$, and $\epsilon^{0123}=+1$.
The $B \rightarrow a_{1}(1260)$ form factors have been studied in the covariant light-front quark model (LFQM) [14], lightcone sum rules (LCSR) [15] and perturbative QCD approach (PQCD) [16]. The corresponding results are collected in Table 1. In order to access the form factors in the full kinematics region, one has adopted the dipole parametrization [14-16]:
$F\left(q^{2}\right)=\frac{F(0)}{1-a\left(q^{2} / m_{B}^{2}\right)+b\left(q^{2} / m_{B}^{2}\right)^{2}}$.
In the PQCD approach [16], the form factor $V_{2}$ is parametrized as
$V_{2}\left(q^{2}\right)=\frac{1}{\eta}\left[\left(1-r_{a_{1}}\right)^{2} V_{1}\left(q^{2}\right)-2 r_{a_{1}}\left(1-r_{a_{1}}\right) V_{0}\left(q^{2}\right)\right]$.
with $\eta=1-q^{2} / m_{B}^{2}$, and $r_{a_{1}}=m_{a_{1}} / m_{B}$.
From Table 1, we can see the three approaches, LFQM, LCSR, and PQCD, give very different results for the form factors and accordingly for branching fractions as we will show later. In the light-front quark model, a hadron is formed by the constituent quarks with the distribution in momentum space described by light-front wave functions, while the transition form factors are expressed as the overlap of two wave functions. In this framework, there is not hard-gluon exchange at the leading order in $\alpha_{s}$. The LCSR starts from the quark-hadron duality, and can express the form factors

Table 1 Results for the $B \rightarrow a_{1}$ (1260) form factors calculated in the covariant light-front quark model (LFQM) [14], light-cone sum rules (LCSR) [15] and perturbative QCD approach (PQCD) [16]

| $F(0)$ | LFQM | LCSR | PQCD | $a$ | LFQM | LCSR | PQCD | $b$ | LFQM | LCSR |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $A$ | 0.25 | $0.48 \pm 0.09$ | $0.26_{-0.05-0.01-0.03}^{+0.06+0.00+0.03}$ | $A$ | 1.51 | 1.64 | $1.72_{-0.05}^{+0.05}$ | $A$ | 0.64 | 0.986 |
| $V_{0}$ | 0.13 | $0.30 \pm 0.05$ | $0.34_{-0.07-0.02-0.08}^{+0.07+01+0.08}$ | $V_{0}$ | 1.71 | 1.77 | $1.73_{-0.06}^{+0.05}$ | $V_{0}$ | 1.23 | $0.66_{-0.06}^{+0.07}$ |
| $V_{1}$ | 0.37 | $0.37 \pm 0.07$ | $0.43_{-0.09-0.01-0.05}^{+0.10+0.01+0.05}$ | $V_{1}$ | 0.29 | 0.645 | $0.75_{-0.05}^{+0.05}$ | $V_{1}$ | 0.14 | $0.66_{-0.08}^{+0.06}$ |
| $V_{2}$ | 0.18 | $0.42 \pm 0.08$ | $0.13_{-0.03-0.01-0.00}^{+0.03+0.00+0.00}$ | $V_{2}$ | 1.14 | 1.48 | - | $-0.12_{-0.02}^{+0.05}$ |  |  |

as a convolution of the hard kernel with the light-cone distribution amplitudes of the light hadron in the final state. The dominant contributions are also soft-overlapping type, and the most essential inputs are the LCDA of the $a_{1}$ meson. The PQCD approach is based on the $k_{T}$ factorization, in which the soft-overlapping contributions are suppressed by the Sudakov factor. This guarantees the perturbative nature since the dominant contributions are from the hard-gluon exchange.

The fact that the three methods provide dramatically different predictions strongly calls for the clarification on experimental side. We believe the future measurements of various decays into the $a_{1}$, especially semileptonic $B \rightarrow a_{1} \ell \bar{v}$ decays including the differential decay distributions, are capable to accomplish this goal.

### 2.2 Semileptonic $\bar{B}^{0} \rightarrow a_{1}^{+}(1260) \ell^{-} \bar{v}_{\ell}$ decays

Decay amplitudes for the $\bar{B}^{0} \rightarrow a_{1}^{+}(1260) \ell^{-} \bar{v}_{\ell}$ can be divided into hadronic and leptonic sectors. Each of them are expressed in terms of the Lorentz invariant helicity amplitudes. The hadronic amplitude is obtained by evaluating the matrix element:
$i \mathcal{A}_{\lambda}^{1}=\sqrt{N_{a_{1}}} \frac{i G_{F}}{\sqrt{2}} V_{u b} \epsilon_{\mu}^{*}(h)\left\langle a_{1}\right| \bar{u} \gamma^{\mu}\left(1-\gamma_{5}\right) b|\bar{B}\rangle$,
with $\hat{m}_{l}=m_{l} / \sqrt{q^{2}}, \beta_{l}=\left(1-m_{l}^{2} / q^{2}\right)$, and

$$
\begin{align*}
N_{a_{1}} & =\frac{8}{3} \frac{\sqrt{\lambda} q^{2} \beta_{l}^{2}}{256 \pi^{3} m_{B}^{3}}, \quad \lambda \equiv \lambda\left(m_{B}^{2}, m_{a_{1}}^{2}, q^{2}\right) \\
& =\left(m_{B}^{2}+m_{a_{1}}^{2}-q^{2}\right)^{2}-4 m_{B}^{2} m_{a_{1}}^{2} \tag{8}
\end{align*}
$$

In the above, $\epsilon_{\mu}(h)$ with $h=0, \pm, t$ is an auxiliary polarization vector for the lepton pair system. The polarized decay amplitudes are evaluated as

$$
\begin{aligned}
i \mathcal{A}_{0}^{1}= & -\sqrt{N_{a_{1}}} \frac{N_{1} i}{2 m_{a_{1}} \sqrt{q^{2}}}\left[\left(m_{B}^{2}-m_{a_{1}}^{2}-q^{2}\right)\left(m_{B}-m_{a_{1}}\right)\right. \\
& \left.\times V_{1}\left(q^{2}\right)-\frac{\lambda}{m_{B}-m_{a_{1}}} V_{2}\left(q^{2}\right)\right] \\
i \mathcal{A}_{ \pm}^{1}= & \sqrt{N_{a_{1}}} N_{1} i
\end{aligned}
$$

$$
\begin{align*}
& \times\left[\left(m_{B}-m_{a_{1}}\right) V_{1}\left(q^{2}\right) \mp \frac{\sqrt{\lambda}}{m_{B}-m_{a_{1}}} A\left(q^{2}\right)\right]  \tag{9}\\
i \mathcal{A}_{t}^{1}= & -i \sqrt{N_{a_{1}}} N_{1} \frac{\sqrt{\lambda}}{\sqrt{q^{2}}} V_{0}\left(q^{2}\right) \tag{10}
\end{align*}
$$

with $N_{1}=i G_{F} V_{u b} / \sqrt{2}$. For the sake of convenience, we use
$i \mathcal{A}_{\perp / \|}^{1}=\frac{1}{\sqrt{2}}\left[i \mathcal{A}_{+}^{1} \mp i \mathcal{A}_{-}^{1}\right]$,
$i \mathcal{A}_{\perp}^{1}=-i \sqrt{N_{a_{1}}} \sqrt{2} N_{1} \frac{\sqrt{\lambda} A\left(q^{2}\right)}{m_{B}-m_{a_{1}}}$,
$i \mathcal{A}_{\|}^{1}=i \sqrt{N_{a_{1}}} \sqrt{2} N_{1}\left(m_{B}-m_{a_{1}}\right) V_{1}\left(q^{2}\right)$.
The differential decay width for $\bar{B}^{0} \rightarrow a_{1}^{+} \ell^{-} \nu_{\ell}$ is then derived as

$$
\begin{equation*}
\frac{\mathrm{d} \Gamma}{\mathrm{~d} q^{2}}=\frac{3}{8}\left[2 I_{1}-\frac{2}{3} I_{2}\right] \tag{12}
\end{equation*}
$$

with the $I_{i}$ having the form

$$
\begin{align*}
I_{1}= & {\left[\left(1+\hat{m}_{l}^{2}\right)\left|\mathcal{A}_{0}^{1}\right|^{2}+2 \hat{m}_{l}^{2}\left|\mathcal{A}_{t}^{1}\right|^{2}\right] } \\
& +\frac{3+\hat{m}_{l}^{2}}{2}\left[\left|\mathcal{A}_{\perp}^{1}\right|^{2}+\left|\mathcal{A}_{\|}^{1}\right|^{2}\right] \\
I_{2}= & -\beta_{l}\left|\mathcal{A}_{0}^{1}\right|^{2}+\frac{1}{2} \beta_{l}\left(\left|\mathcal{A}_{\perp}^{1}\right|^{2}+\left|\mathcal{A}_{\|}^{1}\right|^{2}\right) . \tag{13}
\end{align*}
$$

With the above formulas at hand, we present our results for differential branching ratios $\mathrm{d} \mathcal{B} / \mathrm{d} q^{2}$ (in units of $10^{-4} / \mathrm{GeV}^{2}$ ) in Fig. 3. The left and right panels correspond to $\ell=(e, \mu)$ and $\ell=\tau$, respectively. The dotted, dashed, and solid curves are obtained using the LFQM [14], LCSR [15] and PQCD [16] form factors. The other input parameters are taken from the Particle Data Group (PDG) [2] as follows:
$m_{B}=5.28 \mathrm{GeV}, \quad \tau_{B^{0}}=1.52 \times 10^{-12} \mathrm{~s}, \quad m_{a_{1}}=1.23 \mathrm{GeV}$,
$m_{e}=0.511 \mathrm{MeV}, \quad m_{\mu}=0.106 \mathrm{GeV}, \quad m_{\tau}=1.78 \mathrm{GeV}$,
$G_{F}=1.166 \times 10^{-5} \mathrm{GeV}^{-2}, \quad\left|V_{u b}\right|=(3.28 \pm 0.29) \times 10^{-3}$.

As for the $\left|V_{u b}\right|$, we have quoted the value extracted from the exclusive $B \rightarrow \pi \ell \bar{v}_{\ell}$ for self-consistence; see Refs. [32,33] for discussions on the so-called $\left|V_{u b}\right|$ puzzle.


Fig. 3 Differential branching fractions $\mathrm{d} \mathcal{B} / \mathrm{d} q^{2}$ (in units of $10^{-4} / \mathrm{GeV}^{2}$ ) for the decay $\bar{B}^{0} \rightarrow a_{1}^{+} \ell^{-} \bar{v}$. The left panel corresponds to $\ell=(e, \mu)$ and the right panel corresponds to $\ell=\tau$. The dotted, dashed and solid curves are obtained using the form factors calculated in LFQM, LCSR, and PQCD approach

Table 2 Integrated branching ratios for the $\bar{B}^{0} \rightarrow a_{1}^{+}(1260) \ell^{-} \bar{v}$ decays (in units of $10^{-4}$ ) with the form factors from the LFQM [14], LCSR [15] and PQCD [16]

| $\ell=(e, \mu)$ | $\mathcal{B}_{\mathrm{L}}$ | $\mathcal{B}_{\mathrm{T}}$ | $\mathcal{B}_{\text {total }}$ | $\mathcal{B}_{\mathrm{L}} / \mathcal{B}_{\mathrm{T}}$ | $\ell=\tau$ | $\mathcal{B}_{\mathrm{L}}$ | $\mathcal{B}_{\mathrm{T}}$ | $\mathcal{B}_{\text {total }}$ | $\mathcal{B}_{\mathrm{L}} / \mathcal{B}_{\mathrm{T}}$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| LFQM | 0.22 | 0.65 | 0.87 | 0.33 | LFQM | 0.09 | 0.28 | 0.38 |  |
| LCSR | $0.74_{-0.26}^{+0.31}$ | $1.60_{-0.54}^{+0.66}$ | $2.34_{-0.80}^{+0.97}$ | 0.46 | LCSR | $0.16_{-0.05}^{+0.06}$ | $0.67_{-0.23}^{+0.28}$ | $0.83_{-0.28}^{+0.34}$ |  |
| PQCD | $1.42_{-0.72}^{+0.99}$ | $1.21_{-0.50}^{+0.71}$ | $2.63_{-1.21}^{+1.71}$ | 1.17 | PQCD | $0.61_{-0.31}^{+0.42}$ | $0.58_{-0.24}^{+0.34}$ | $1.19_{-0.54}^{+0.77}$ | 1.05 |

Integrating over the $q^{2}$, one obtains the longitudinal and transverse contributions to branching fractions of $\bar{B}^{0} \rightarrow$ $a_{1}^{+} \ell^{-} \bar{v}$ decays, and our results are given in Table 2. Uncertainties shown in the table arise from the ones in the $B \rightarrow a_{1}$ form factors. We can see from this table that branching fractions for the $\bar{B}^{0} \rightarrow a_{1}^{+} \ell^{-} \bar{v}$ are of the order $10^{-4}$. These values are comparable to the data by Belle collaboration on branching fractions for the semileptonic $B$ decays into a vector meson [34]:

$$
\begin{align*}
\mathcal{B}\left(B^{-} \rightarrow \rho^{0} \ell^{-} \bar{v}\right) & =(1.83 \pm 0.10 \pm 0.10) \times 10^{-4}  \tag{15}\\
\mathcal{B}\left(\bar{B}^{0} \rightarrow \rho^{+} \ell^{-} \bar{v}\right) & =(3.22 \pm 0.27 \pm 0.24) \times 10^{-4}  \tag{16}\\
\mathcal{B}\left(B^{-} \rightarrow \omega \ell^{-} \bar{v}\right) & =(1.07 \pm 0.16 \pm 0.07) \times 10^{-4} \tag{17}
\end{align*}
$$

Babar collaboration [35] also gives similar results for the $B^{-} \rightarrow \omega \ell^{-} \bar{v}$ :
$\mathcal{B}\left(B^{-} \rightarrow \omega \ell^{-} \bar{\nu}\right)=(1.19 \pm 0.16 \pm 0.09) \times 10^{-4}$.
Currently, there is no experimental analysis of the $\bar{B}^{0} \rightarrow$ $a_{1}(1260)^{+} \ell^{-} \bar{v}$, but the two B factories at KEK and SLAC have accumulated about $10^{9}$ events of $B^{0}$ and $B^{ \pm}$. The branching fractions $\mathcal{O}\left(10^{-4}\right)$ correspond to about $10^{5}$ events for the signal. The above estimate may be affected by the detector efficiency, but an experimental search would very presumably lead to the observation of this decay mode. In addition, sizable branching fractions as shown in Table 2 also
indicate a promising prospect at the ongoing LHC experiment [36], the forthcoming Super-KEKB factory [37] and the under-design Circular Electron-Positron Collider (CEPC) [38].

### 2.3 Nonleptonic $B$ decays into $a_{1}$

Since our main goal in this work is to investigate the internal structure of the $a_{1}$ (1420), we will focus on the decay modes which can be handled under the factorization approach. These decay modes are typically dominated by tree operators with effective Hamiltonian

$$
\begin{align*}
\mathcal{H}_{\mathrm{eff}}= & \frac{G_{F}}{\sqrt{2}} V_{Q b} V_{Q^{\prime} d}^{*}\left\{C_{1}\left[\bar{Q}_{\alpha} \gamma^{\mu}\left(1-\gamma_{5}\right) b_{\beta}\right]\right. \\
& \times\left[\bar{d}_{\beta} \gamma_{\mu}\left(1-\gamma_{5}\right) Q_{\alpha}^{\prime}\right]+C_{2}\left[\bar{Q}_{\alpha} \gamma^{\mu}\left(1-\gamma_{5}\right) b_{\alpha}\right] \\
& \left.\times\left[\bar{d}_{\beta} \gamma_{\mu}\left(1-\gamma_{5}\right) Q_{\beta}^{\prime}\right]\right\}, \tag{19}
\end{align*}
$$

where $C_{1}$ and $C_{2}$ are the Wilson coefficients. The $\alpha$ and $\beta$ are the color indices. Here $Q=u, c$ and $Q^{\prime}=u, c$ denote the up type quarks. $V_{u b}, V_{c b}, V_{u d}$, and $V_{c d}$ are the corresponding CKM matrix elements.

With the definitions of decay constants,

$$
\begin{align*}
& \left\langle a_{1}(p, \epsilon)\right| \bar{d} \gamma_{\mu} \gamma_{5} u|0\rangle=i f_{a_{1}} m_{a_{1}} \epsilon_{\mu}^{*}, \\
& \langle J / \psi(p, \epsilon)| \bar{c} \gamma_{\mu} c|0\rangle=f_{J / \psi} m_{J / \psi} \epsilon_{\mu}^{*}, \\
& \left\langle\pi^{-}(p)\right| \bar{d} \gamma_{\mu} \gamma_{5} u|0\rangle=-i f_{\pi} p_{\mu}, \tag{20}
\end{align*}
$$

we expect the factorization formula to have the form

$$
\begin{align*}
& i \mathcal{A}\left(\bar{B}^{0} \rightarrow D^{+} a_{1}^{-}\right)=(-i)^{2} \frac{G_{F}}{\sqrt{2}} V_{c b} V_{u d}^{*} a_{1} f_{a_{1}} F_{1}^{B \rightarrow D}\left(m_{a_{1}}^{2}\right) \\
& \times m_{B}^{2} \sqrt{\lambda\left(1, r_{D}^{2}, r_{a_{1}}^{2}\right)},  \tag{21}\\
& i \mathcal{A}\left(\bar{B}^{0} \rightarrow \pi^{+} a_{1}^{-}\right)=(-i)^{2} \frac{G_{F}}{\sqrt{2}} V_{u b} V_{u d}^{*} a_{1} f_{a_{1}} F_{1}^{B \rightarrow \pi}\left(m_{a_{1}}^{2}\right)  \tag{27}\\
& \times m_{B}^{2} \sqrt{\lambda\left(1, r_{\pi}^{2}, r_{a_{1}}^{2}\right)},  \tag{22}\\
& i \mathcal{A}\left(\bar{B}^{0} \rightarrow \pi^{-} a_{1}^{+}\right)=(-i)^{2} \frac{G_{F}}{\sqrt{2}} V_{u b} V_{u d}^{*} a_{1} f_{\pi} V_{0}^{B \rightarrow a_{1}}\left(m_{\pi}^{2}\right) \\
& \times m_{B}^{2} \sqrt{\lambda\left(1, r_{\pi}^{2}, r_{a_{1}}^{2}\right)},  \tag{23}\\
& i \mathcal{A}_{L}\left(\bar{B}^{0} \rightarrow D^{*+} a_{1}^{-}\right)=\frac{(-i)^{3} G_{F}}{\sqrt{2}} V_{c b} V_{u d}^{*} a_{1} f_{a_{1}} m_{B}^{2} \frac{1}{2 r_{D^{*}}}  \tag{28}\\
& \times\left[\left(1-r_{D^{*}}^{2}-r_{a_{1}}^{2}\right)\left(1+r_{D^{*}}\right) A_{1}^{B \rightarrow D^{*}}\left(m_{a_{1}}^{2}\right)\right. \\
& \left.-\frac{\lambda\left(1, r_{D^{*}}^{2}, r_{a_{1}}^{2}\right)}{1+r_{D^{*}}} A_{2}^{B \rightarrow D^{*}}\left(m_{a_{1}}^{2}\right)\right],  \tag{29}\\
& i \mathcal{A}_{N}\left(\bar{B}^{0} \rightarrow D^{*+} a_{1}^{-}\right)  \tag{30}\\
& =\frac{(-i)^{3} G_{F}}{\sqrt{2}} V_{c b} V_{u d}^{*} a_{1} f_{a_{1}} m_{B}^{2}\left(1+r_{D^{*}}\right) r_{a_{1}} A_{1}^{B \rightarrow D^{*}}\left(m_{a_{1}}^{2}\right), \\
& i \mathcal{A}_{T}\left(\bar{B}^{0} \rightarrow D^{*+} a_{1}^{-}\right)=\frac{-i G_{F}}{\sqrt{2}} V_{c b} V_{u d}^{*} a_{1} f_{a_{1}} r_{a_{1}} m_{B}^{2}  \tag{31}\\
& \times \frac{\sqrt{\lambda\left(1, r_{a_{1}}^{2}, r_{D^{*}}^{2}\right)}}{\left(1+r_{D^{*}}\right)} V^{B \rightarrow D^{*}}\left(m_{a_{1}}^{2}\right),  \tag{24}\\
& i \mathcal{A}_{L}\left(B^{-} \rightarrow a_{1}^{-} J / \psi\right)=\frac{(-i)^{3} G_{F}}{\sqrt{2}} V_{c b} V_{c d}^{*} a_{2} f_{J / \psi} m_{B}^{2} \frac{1}{2 r_{a_{1}}}  \tag{32}\\
& \times\left[\left(1-r_{J / \psi}^{2}-r_{a_{1}}^{2}\right)\left(1-r_{a_{1}}\right) V_{1}^{B \rightarrow a_{1}}\left(m_{J / \psi}^{2}\right)\right. \\
& \left.-\frac{\lambda\left(1, r_{J / \psi}^{2}, r_{a_{1}}^{2}\right)}{1-r_{a_{1}}} V_{2}^{B \rightarrow a_{1}}\left(m_{J / \psi}^{2}\right)\right], \\
& i \mathcal{A}_{N}\left(B^{-} \rightarrow a_{1}^{-} J / \psi\right)=\frac{(-i)^{3} G_{F}}{\sqrt{2}} V_{c b} V_{c d}^{*} a_{2} f_{J / \psi} m_{B}^{2} r_{J / \psi} \\
& \times\left(1-r_{a_{1}}\right) V_{1}^{B \rightarrow a_{1}}\left(m_{J / \psi}^{2}\right), \\
& i \mathcal{A}_{T}\left(B^{-} \rightarrow a_{1}^{-} J / \psi\right)=\frac{-i G_{F}}{\sqrt{2}} V_{c b} V_{c d}^{*} a_{2} f_{J / \psi} m_{B}^{2} r_{J / \psi}  \tag{33}\\
& \times \frac{\sqrt{\lambda\left(1, r_{J / \psi}^{2}, r_{a_{1}}^{2}\right)}}{1-r_{a_{1}}} A^{B \rightarrow a_{1}}\left(m_{J / \psi}^{2}\right),
\end{align*}
$$

with $a_{1}=C_{2}+C_{1} / N_{c}$ and $a_{2}=C_{1}+C_{2} / N_{c}\left(N_{c}=3\right)$. In the above, the amplitude for the $B \rightarrow J / \psi a_{1}$ has been decomposed according to the Lorentz structures
$\mathcal{A}=\mathcal{A}_{L}+\epsilon_{J / \psi}^{*}(T) \cdot \epsilon_{a_{1}}^{*}(T) \mathcal{A}_{N}+i \mathcal{A}_{T} \epsilon_{\alpha \beta \gamma \rho} \epsilon_{J / \psi}^{* \alpha} \epsilon_{a_{1}}^{* \beta}$
$f_{a_{1}}=(238 \pm 10) \mathrm{MeV}$.
It is necessary to stress that the Wilson coefficients are scale dependent which give rise to some uncertainties to our theoretical predictions. Fortunately, the scale dependence in $a_{1}$ is less severe, for instance, the leading order results with $\alpha_{s}$ in NLO will be changed from 1.024 to 1.086 when the scale runs from 5.0 to 1.5 GeV [40]. This will introduce at most about $10 \%$ to branching ratios, which are smaller than hadronic uncertainties in most cases. In the following, we will use the value corresponding to the typical factorization scale $\mu \sim \sqrt{\Lambda_{\mathrm{QCD}} m_{B}} \sim 1.7 \mathrm{GeV}$ [40],
$a_{1}=1.07$.
The situation is complicated for the Wilson coefficient $a_{2}$. First this coefficient has a significant scale dependence [40]. Second, after incorporating the sizable higher order QCD corrections, the effective $a_{2}$ becomes complex. In this work, we will assume that this quantity is the same for the $B \rightarrow$ $J / \psi K^{*}$ and $B \rightarrow J / \psi a_{1}$. Then we can make use of the data on the $B \rightarrow J / \psi K^{*}$ data [2] to extract its module:
$\left|a_{2}\right|=(0.234 \pm 0.006)$.

$$
\begin{equation*}
\times \frac{2 p_{J / \psi}^{\gamma} p_{a_{1}}^{\rho}}{\sqrt{\lambda\left(m_{B}^{2}, m_{J / \psi}^{2}, m_{a_{1}}^{2}\right)}} . \tag{26}
\end{equation*}
$$

The partial decay width of the $B \rightarrow a_{1} P$, where $P$ denotes a pseudoscalar meson, is given as
$\Gamma\left(B \rightarrow a_{1} P\right)=\frac{|\vec{p}|}{8 \pi m_{B}^{2}}\left|\mathcal{A}\left(B \rightarrow a_{1} P\right)\right|^{2}$,
with $|\vec{p}|$ being the three-momentum of the $a_{1}$ in the $B$ meson rest frame. For the $B \rightarrow a_{1} V$, the partial decay width is the summation of three polarizations,

$$
\begin{aligned}
\Gamma\left(B \rightarrow a_{1} V\right)= & \frac{|\vec{p}|}{8 \pi m_{B}^{2}}\left(\left|\mathcal{A}_{0}\left(B \rightarrow a_{1} V\right)\right|^{2}\right. \\
& \left.+2\left|\mathcal{A}_{N}\left(B \rightarrow a_{1} V\right)\right|^{2}+2\left|\mathcal{A}_{T}\left(B \rightarrow a_{1} V\right)\right|^{2}\right)
\end{aligned}
$$

We use the LFQM results [14] for all transition form factors and the other inputs are given as [2]
$\tau_{B^{-}}=\left(1.638 \times 10^{-12}\right) s, \quad \tau_{B_{s}}=\left(1.511 \times 10^{-12}\right)$
$\left|V_{c b}\right|=41.1 \times 10^{-3}, \quad\left|V_{u d}\right|=0.974, \quad\left|V_{c d}\right|=0.225$,

The $f_{\pi}$ and $f_{J / \psi}$ can be extracted from the $\pi^{-} \rightarrow \ell^{-} \bar{v}$ and $J / \psi \rightarrow \ell^{+} \ell^{-}$data [2]:
$f_{\pi}=130.4 \mathrm{MeV}, \quad f_{J / \psi}=(416.3 \pm 5.3) \mathrm{MeV}$.
We use QCD sum rules results for the $f_{a_{1}}$ [39]

As a result our theoretical results for branching ratios are given as

$$
\begin{align*}
\mathcal{B}\left(\bar{B}^{0} \rightarrow D^{+} a_{1}^{-}\right) & =(1.3 \pm 0.1) \%,  \tag{35}\\
\mathcal{B}\left(\bar{B}^{0} \rightarrow \pi^{+} a_{1}^{-}\right) & =(1.9 \pm 0.2) \times 10^{-5},  \tag{36}\\
\mathcal{B}\left(\bar{B}^{0} \rightarrow D^{*+} a_{1}^{-}\right) & =(1.6 \pm 0.2) \%, \tag{37}
\end{align*}
$$

where the errors come from the one in the $f_{a_{1}}$. For decay modes induced by the $B \rightarrow a_{1}$ transition, we have

$$
\begin{align*}
& \mathcal{B}\left(\bar{B}^{0} \rightarrow \pi^{-} a_{1}^{+}\right)= \begin{cases}0.13 \times 10^{-5}, & \text { LFQM } \\
\left(0.70_{-0.22}^{+0.25}\right) \times 10^{-5}, & \text { LCSR }, \\
\left(0.89_{-0.48}^{+0.68}\right) \times 10^{-5}, & \text { PQCD },\end{cases}  \tag{38}\\
& \mathcal{B}\left(B^{-} \rightarrow a_{1}^{-} J / \psi\right)= \begin{cases}3.6 \times 10^{-5}, & \text { LFQM } \\
\left(7.5_{-2.5}^{+3.1}\right) \times 10^{-5}, & \text { LCSR }, \\
\left(9.8_{-4.4}^{+6.3}\right) \times 10^{-5}, & \text { PQCD },\end{cases} \tag{39}
\end{align*}
$$

where the errors arise from those in form factors.
The Babar [41] and Belle [42] collaborations have reported the observation of $B^{0} \rightarrow a_{1}^{ \pm} \pi^{\mp}$ and their results for the branching fractions are given as

$$
\begin{align*}
& \mathcal{B}\left(\bar{B}^{0} \rightarrow \pi^{ \pm} a_{1}^{\mp}\right) \mathcal{B}\left(a_{1}^{ \pm} \rightarrow \pi^{ \pm} \pi^{\mp} \pi^{ \pm}\right) \\
& \quad= \begin{cases}(16.6 \pm 1.9 \pm 1.5) \times 10^{-6}, & \text { Babar, } \\
(11.1 \pm 1.0 \pm 1.4) \times 10^{-6}, & \text { Belle. }\end{cases} \tag{40}
\end{align*}
$$

The above results have been averaged by the PDG as [2]
$\mathcal{B}\left(\bar{B}^{0} \rightarrow \pi^{ \pm} a_{1}^{\mp}\right)=(2.6 \pm 0.5) \times 10^{-5}$.
As we can see, the averaged data is consistent with our theoretical results in Eqs. (38) and (39).

We also predict the branching ratios for $\bar{B}_{s}^{0} \rightarrow D_{s}^{+} a_{1}^{-}$and $\bar{B}_{s}^{0} \rightarrow D_{s}^{*+} a_{1}^{-}$:
$\mathcal{B}\left(\bar{B}_{s}^{0} \rightarrow D_{s}^{+} a_{1}^{-}\right)=(1.3 \pm 0.1) \%$.
$\mathcal{B}\left(\bar{B}_{s}^{0} \rightarrow D_{s}^{*+} a_{1}^{-}\right)=(1.7 \pm 0.2) \%$.
The numerical results given in Eqs. (35)-(43) indicate that there is a promising prospect to study these decays by the LHCb, Babar, and Belle collaborations, and on the forthcoming Super-KEKB factory and the CEPC.

## $3 D \rightarrow a_{1}$ decays

By replacing the corresponding form factors and CKM matrix elements, the analysis of $B$ decays in the last section can be straightforwardly generalized to the $D \rightarrow a_{1}$ decays. The $D \rightarrow a_{1}$ form factors are only available in LFQM [14] and we summarize these results in Table 3. We will use other input parameters as follows [2]:
$m_{D^{0}}=1.8648 \mathrm{GeV}, \quad\left|V_{c d}\right|=0.225$,

Table 3 The $D \rightarrow a_{1}$ form factors calculated in the covariant LFQM [14]

| $F$ | $F(0)$ | $a$ | $b$ |
| :--- | :--- | :--- | :--- |
| $A^{D \rightarrow a_{1}}$ | 0.20 | 0.98 | 0.20 |
| $V_{0}^{D \rightarrow a_{1}}$ | 0.31 | 0.85 | 0.49 |
| $V_{1}^{D \rightarrow a_{1}}$ | 1.54 | -0.05 | 0.05 |
| $V_{2}^{D \rightarrow a_{1}}$ | 0.06 | 0.12 | 0.10 |



Fig. 4 Differential branching fractions $\mathrm{d} \mathcal{B} / \mathrm{d} q^{2}$ (in units of $10^{-4} / \mathrm{GeV}^{2}$ ) for the decay $D^{0} \rightarrow a_{1}^{-} \ell^{+} \nu$. The dotted and solid curve corresponds to $\ell=e$ and $\ell=\mu$, respectively. The differences between the two curves arise from the lepton masses and can reach about 10 \%

Table 4 Integrated branching ratios for the $D^{0} \rightarrow a_{1}^{-}(1260) \ell^{+} v$ decays (in units of $10^{-4}$ )

|  | $\mathcal{B}_{\mathrm{L}}$ | $\mathcal{B}_{\mathrm{T}}$ | $\mathcal{B}_{\text {total }}$ | $\mathcal{B}_{\mathrm{L}} / \mathcal{B}_{\mathrm{T}}$ |
| :--- | :--- | :--- | :--- | :--- |
| $\ell=e$ | 0.21 | 0.20 | 0.41 | 1.05 |
| $\ell=\mu$ | 0.18 | 0.18 | 0.36 | 1.00 |

$\tau_{D^{0}}=0.410 \times 10^{-12} \mathrm{~s}, \quad \tau_{D_{s}}=0.500 \times 10^{-12} \mathrm{~s}$.
Our results for the differential branching ratios of the semileptonic $D^{0} \rightarrow a_{1}^{-} \ell^{+} \nu$ are given in Fig. 4, and the integrated branching fractions are presented in Table 4. In Fig. 4, the dotted and solid curve corresponds to $\ell=e$ and $\ell=\mu$, respectively. The differences in the two curves arise from the lepton masses and can reach about $10 \%$.

Recently, based on the $2.9 \mathrm{fb}^{-1}$ data of electron-positron annihilation data collected at a center-of-mass energy of $\sqrt{s}=3.773 \mathrm{GeV}$, BES-III collaboration has searched for the $D^{+} \rightarrow \omega \ell^{+} v$ decay [43] and the branching fraction is measured
$\mathcal{B}\left(D^{+} \rightarrow \omega \ell^{+} \nu\right)=(1.63 \pm 0.11 \pm 0.08) \times 10^{-3}$.
In this procedure, the $\omega$ meson is reconstructed by three pions, and it is interesting to notice that the neutral $a_{1}$ (1260)
should also be reconstructed by the same final state. Extending the analysis in Ref. [43] to higher mass region at round 1.23 GeV may discover the $D^{+} \rightarrow a_{1}^{0} \ell^{+} v$ transition. Actually, BES-III have collected about $10^{7}$ events of $D-\bar{D}$. The $10^{-4}$ branching fractions correspond to about $10^{3}$ events for the $D \rightarrow a_{1} \ell^{+} \nu$, which might be observed in the future analysis.

We can also study the nonleptonic $D / D_{s}$ decays into $a_{1}(1260)$ with the factorization amplitudes:

$$
\begin{align*}
i \mathcal{A}\left(D^{0} \rightarrow a_{1}^{+} \pi^{-}\right)= & (-i)^{2} \frac{G_{F}}{\sqrt{2}} V_{c d}^{*} V_{u d} a_{1} f_{a_{1}} F_{1}^{D \rightarrow \pi}\left(m_{a_{1}}^{2}\right) \\
& \times \sqrt{\lambda\left(m_{D}^{2}, m_{\pi}^{2}, m_{a_{1}}^{2}\right)},  \tag{46}\\
i \mathcal{A}\left(D^{0} \rightarrow \pi^{+} a_{1}^{-}\right)= & (-i)^{2} \frac{G_{F}}{\sqrt{2}} V_{c d}^{*} V_{u d} a_{1} f_{\pi} V_{0}^{D \rightarrow a_{1}}\left(m_{\pi}^{2}\right) \\
& \times \sqrt{\lambda\left(m_{D}^{2}, m_{\pi}^{2}, m_{a_{1}}^{2}\right)},  \tag{47}\\
i \mathcal{A}\left(D_{s}^{+} \rightarrow a_{1}^{+} K^{0}\right)= & (-i)^{2} \frac{G_{F}}{\sqrt{2}} V_{c d}^{*} V_{u d} a_{1} f_{a_{1}} F_{1}^{D_{s} \rightarrow K}\left(m_{a_{1}}^{2}\right) \\
& \times \sqrt{\lambda\left(m_{D_{s}}^{2}, m_{K}^{2}, m_{a_{1}}^{2}\right)}, \tag{48}
\end{align*}
$$

where the Wilson coefficient $a_{1}$ at a lower scale should be used. For instance, the value at $\mu=1 \mathrm{GeV}, a_{1}=1.14$, is about $7 \%$ larger than the one used in $B$ decays [40]. In this case, our theoretical results are given as
$\mathcal{B}\left(D^{0} \rightarrow a_{1}^{+} \pi^{-}\right)=(4.7 \pm 0.5) \times 10^{-3}$,
$\mathcal{B}\left(D^{0} \rightarrow \pi^{+} a_{1}^{-}\right)=9.3 \times 10^{-5}$,
$\mathcal{B}\left(D_{s}^{+} \rightarrow a_{1}^{+} K^{0}\right)=(2.6 \pm 0.3) \times 10^{-3}$,
where the errors arise from those in the decay constant $f_{a_{1}}$.
The FOCUS collaboration has measured the branching fraction for the $D^{0} \rightarrow a_{1}^{ \pm} \pi^{\mp}$ [44]:
$\mathcal{B}\left(D^{0} \rightarrow a_{1}^{ \pm} \pi^{\mp}\right)=(4.47 \pm 0.32) \times 10^{-3}$,
which is consistent with our theoretical results in Eqs. (49) and (50). The LHCb collaboration makes use of the $D^{0} \rightarrow$ $a_{1}^{ \pm} \pi^{\mp}$ to study CP violation [45], and it is also feasible to study this mode using the CLEO-c data [46]. The BES-III collaboration has accumulated about $10^{7}$ events of the $D^{0}$ and will collect about $3 \mathrm{fb}^{-1}$ data at the center-of-mass $\sqrt{s}=$ 4.17 GeV to produce the $D_{s}^{+} D_{s}^{-}[47,48]$. All these data can be used to study the charm decays into the $a_{1}$.

## 4 Conclusions

Experimental observations of resonance-like states in recent years have invoked theoretical research interest on exotic hadron spectroscopy. In particular, many of the established structures defy the naive quark model assignment as a $\bar{q} q$ or $q q q$ state. At the low-energy, the $a_{1}(1420)$ with $I^{G}\left(J^{P C}\right)=1^{-}\left(1^{++}\right)$observed in the $\pi^{+} f_{0}(980)$ final state
in the $\pi^{-} p \rightarrow \pi^{+} \pi^{-} \pi^{-} p$ process by COMPASS collaboration seems unlikely to be an ordinary $\bar{q} q$ mesonic state. Available theoretical explanations include tetraquark or rescattering effects due to $a_{1}(1260)$ decays. If the $a_{1}(1420)$ were induced by rescattering effects, its production rates are completely determined by those of the $a_{1}(1260)$.

In this work, we have studied various decays of heavy $B / D$ mesons into the $a_{1}(1260)$, based on the form factors derived in different approaches. These decay modes are helpful to explore the dynamics in heavy to light transitions. We have also proposed to study the ratios of branching fractions of decays into the $a_{1}(1420)$ and $a_{1}(1260)$, and testing the universality of these ratios would be a straightforward way to validate/invalidate the rescattering explanation. The decay modes include in the charm sector the $D^{0} \rightarrow a_{1}^{-} \ell^{+} v$ and $D \rightarrow \pi^{ \pm} a_{1}^{\mp}$, and in the bottom sector $B \rightarrow a_{1} \ell \bar{v}$ and $B \rightarrow D a_{1}, \pi^{ \pm} a_{1}^{\mp}$, and the $B_{c} \rightarrow J / \psi a_{1}$ and $\Lambda_{b} \rightarrow \Lambda_{c} a_{1}$. We have calculated the branching ratios for various decays into the $a_{1}(1260)$. Other decay modes like $\Lambda_{b} \rightarrow \Lambda_{c} a_{1}$ and $B_{c}^{-} \rightarrow J / \psi a_{1}^{-}$, measured by the LHCb collaboration [49] and CMS collaboration [50], in agreement with theoretical results based on the form factors [51,52], are also of helpful in this aspect.

Our results have indicated that there is a promising prospect to study these decays on experiments including BES-III, LHCb, Babar, Belle and CLEO-c, the forthcoming Super-KEKB factory and the under-design Circular Electron-Positron Collider. Experimental analyses in future will very probably lead to a deeper understanding of the nature of the $a_{1}(1420)$.

Acknowledgments The authors are grateful to Prof. Hai-bo Li, Dr. Jian-Ping Dai, and Yong Huang for enlightening discussions. This work was supported in part by National Natural Science Foundation of China under Grant No. 11575110, Natural Science Foundation of Shanghai under Grant Nos. 11DZ2260700, 15DZ2272100, and No. 15ZR1423100, by the Open Project Program of State Key Laboratory of Theoretical Physics, Institute of Theoretical Physics, Chinese Academy of Sciences, China (No. Y5KF111CJ1), and by Scientific Research Foundation for Returned Overseas Chinese Scholars, State Education Ministry.

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Funded by SCOAP ${ }^{3}$.

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