

Regular Article - Experimental Physics

The Gerasimov-Drell-Hearn sum rule with nuclear targets

Steven D. Bass^{1,2,a}, Paolo Pedroni^{3,b}, Andreas Thomas^{4,c}

- ¹ Kitzbühel Centre for Physics, Kitzbühel, Austria
- ² Marian Smoluchowski Institute of Physics, Jagiellonian University, Krakow, Poland
- ³ INFN-Sezione di Pavia, Pavia, Italy
- ⁴ Institut für Kernphysik, University of Mainz, Mainz, Germany

Received: 6 August 2023 / Accepted: 1 October 2023 / Published online: 23 October 2023 © The Author(s) 2023 Communicated by Emiko Hiyama

Abstract Hadron properties are modified when the hadron is embedded in a nuclear medium. Here we discuss the Gerasimov-Drell-Hearn, GDH, sum rule for polarised photoproduction from a polarised nucleon within a polarised nuclear target. Strong enhancement is expected with the suppression of the proton and nucleon resonance masses and enhancement of the proton's anomalous magnetic moment in medium. This could be tested in polarised photoproduction experiments with interesting targets being polarised deuterons, 3 He, 6 Li and 7 Li. The largest contribution to the GDH sum rule comes from the Δ resonance excitation. In existing data with polarised deuterons and 3 He the Δ excitation is shifted to slightly lower energy when compared to model predictions where the Δ is treated with its free mass.

1 Introduction

Hadron properties are modified in medium with partial restoration of chiral symmetry [1–5]. Hadron masses, the pion decay constant, and the nucleon's axial charge and magnetic structure behave as dependent on the nuclear medium. In high energy deep inelastic scattering the EMC nuclear effect tells us that the QCD parton structure of the proton is modified when the proton is in a nucleus [6]. Via the Bjorken sum rule, quenching of the nucleon's axial charge $g_A^{(3)}$ in medium, $\approx 20\%$ in large nuclei [1,7,8], means that the nucleon's internal spin structure [9,10] will also be modified in medium; for recent discussion see, e.g., [11,12].

In this paper we focus on medium dependence of the nucleon's spin structure measured through polarised photoproduction. One expects nuclear dependence of the spindependent photoabsorption cross sections for photons scattering on bound nucleons. We make the first observation of a nuclear medium effect in present data with deuteron and ^3He targets. In the spin cross sections where the photon and target are polarised parallel one sees that the Δ resonance peak is shifted to lower energies by up to ≈ -20 MeV. The effect is qualitatively different to that expected from smearing due to Fermi motion of the bound nucleons and not seen in the spin averaged cross section. More generally, one also expects modification of the value of the Gerasimov-Drell-Hearn, GDH, sum rule for bound nucleons with circularly polarised real photon beams scattering from longitudinally polarised nuclear targets.

The GDH sum rule for polarised photoproduction reads [13,14]:

$$\int_{M^2}^{\infty} \frac{ds_{\gamma A}}{s_{\gamma A} - M^2} (\sigma_{\rm p} - \sigma_{\rm a}) = 4S\pi^2 \alpha_{\rm QED} \kappa^2 / M^2$$
 (1)

where σ_p and σ_a are the spin-dependent photoabsorption cross sections involving photons polarised parallel and antiparallel to the target's spin. Here $s_{\gamma A}$ is the photon-target centre-of-mass energy squared with κ the target's anomalous magnetic moment; M is the target mass and S is its spin. The GDH sum rule is derived from the very general principles of causality, unitarity, Lorentz and electromagnetic gauge invariance together with the single assumption that $\sigma_p - \sigma_a$ satisfies an unsubtracted dispersion relation.

For free protons with $\kappa = 1.79$ the GDH sum rule predicts a value of 205 μ b for the integral in Eq. (1). For neutrons with anomalous magnetic moment -1.91 the sum-rule predicts a GDH integral of 232 μ b.

When considering meson photoproduction on bound nuclei both sides of the GDH sum rule are expected to be enhanced in medium [10]. The sum rule involves the target anomalous magnetic moment squared divided by the target mass squared. The nucleon and P_{33} $\Delta(1232)$ resonance

^ae-mail: Steven.Bass@cern.ch (corresponding author)

^b e-mail: Paolo.Pedroni@pv.infn.it

c e-mail: thomand@uni-mainz.de

239 Page 2 of 8 Eur. Phys. J. A (2023) 59:239

masses are suppressed in medium [1,5,15–17]. Nucleon magnetic moments are expected to be enhanced [18] with possible evidence observed in JLab data [19]. Thus, medium dependence occurs four times on the right hand side of Eq. (1) making the GDH sum rule especially sensitive to possible medium effects. Reduction in the Δ mass means that the Δ excitation contribution to the spin cross section difference $\sigma_p-\sigma_a$ will occur at slightly lower incident photon energies. One expects a factor of up to about two enhancement in the GDH integral at nuclear matter density with sizeable effects that might be looked for also in finite nuclei in ongoing and future experiments.

The plan of this paper is as follows. Section 2 describes present measurements of the proton GDH sum rule. In Sect. 3 we describe the theoretical expectations for how the GDH sum rule might be modified in medium, e.g., with polarised photoproduction from a polarised nucleon in a polarised nucleus. Here careful control is needed in realistic experiments relating the spin of the struck nucleon to the polarisation of the nucleus. In Sect. 4 we discuss the situation with polarised deuteron, ${}^3\text{He}$, ${}^6\text{Li}$ and ${}^7\text{Li}$ as well as with possible heavier targets for use in possible future experiments, e.g., at Mainz, Bonn and JLab. In Sect. 5 we point to present data which suggest a possible shift of the Δ excitation contribution to the GDH integral to lower incident photon energies. Finally, in Sect. 6 we make our conclusions.

2 The GDH sum rule for the proton

So far GDH experiments have been done in fixed target mode, so it is common to work in the laboratory frame, $s_{\gamma p} = (q+l)^2 = 2 \, M \, v + M^2$, with v the photon energy, M the target proton mass and l and q the target and photon four-momenta.

Experiments at Bonn (ELSA) and Mainz (MAMI) have measured the GDH integrand for a proton target through the range of incident photon energies $\nu=0.2$ –0.8 GeV (MAMI) and 0.7–2.9 GeV (ELSA) [20–22]. The inclusive cross-section for the proton target $\sigma_p-\sigma_a$ is dominated by the Δ resonance excitation with contribution $\sim+190~\mu b$ to the GDH integral from photon energies between 200 and 400 MeV. This is primarily the spin-flip M1 (M_{1+}) magnetic transition which dominates over the electric quadrupole E2 (E_{1+}) amplitude. One also observes smaller resonance contributions from the D₁₃(1520) and F₁₅(1680) and F₃₅(1905) nucleon excitations [23–25].

 $^{^1}$ In the multipole notation inside the parentheses, the first subscript denotes the the orbital angular momentum l_π of the photoproduced pion in the $\gamma N \to \Delta \to N\pi$ reaction and the sign \pm refers to the two possibilities to construct the total $N\pi$ angular momentum $J=|l_\pi\pm 1/2|$.



The corresponding integral from 200 MeV up to 2.9 GeV, $\sqrt{s_{\gamma p}} = 2.5$ GeV, was thus extracted from proton fixed target experiments. One finds [22,24]:

$$\int_{0.2 \text{ GeV}}^{2.9 \text{ GeV}} \frac{d\nu}{\nu} (\sigma_{\rm p} - \sigma_{\rm a}) = +253.5 \pm 5 \pm 12 \ \mu \text{b}$$
 (2)

with ν the incident photon energy in the laboratory frame. The contribution to the sum rule from the unmeasured region close to threshold between 140 and 200 MeV is estimated from the MAID [25,26], SAID [27] and BNGA [28] models as $-29.5 \pm 2~\mu b$ when we average over the latest versions of the different model predictions.

For the higher energy part, one presently uses estimates deduced from high energy low Q^2 data with the most precise measurements coming from CLAS [29] and COMPASS [30] with photon-proton centre of mass energies between 2.5–2.9 and 11–15 GeV respectively. No Q^2 dependence is visible in finite, e.g. non-vanishing, proton asymmetries below $Q^2=0.5~{\rm GeV}^2$. From a Regge motivated fit to these low Q^2 data one estimates the high-energy contribution to the GDH sum rule from $\sqrt{s_{\gamma p}} \geq 2.5~{\rm GeV}$ to be [31]:

$$\int_{2.9 \text{ GeV}}^{\infty} \frac{d\nu}{\nu} (\sigma_{\rm p} - \sigma_{\rm a}) = -15 \pm 2 \,\mu\text{b}. \tag{3}$$

High energy deuteron asymmetries are consistent with zero in the low Q^2 data within the same kinematics [32,33] meaning that the high-energy contribution is coming predominantly from the isovector channel.

Combining Eq. (3) with the integral contributions from threshold up to photon energies of 2.9 GeV gives

$$\int_{\text{threshold}}^{\infty} \frac{d\nu}{\nu} (\sigma_{\rm p} - \sigma_{\rm a}) = +209 \pm 13 \ \mu \text{b} \tag{4}$$

for the proton GDH sum rule.

CLAS have made an independent check of the GDH sum rule by extrapolating inclusive data at low Q^2 , between 0.012 and 1 GeV², to the photon point. They obtain the result $+204\pm11\mu$ b [34]. GDH experiments have also been performed by the LSC collaboration with a main focus on inclusive photoproduction of π^0 with ν between 200 and 420 MeV [35].

3 The GDH sum rule in medium - theoretical considerations

Both sides of the GDH sum rule are expected to be enhanced in medium above pion production threshold. The nucleon and resonance, including the Δ , masses and the nucleon magnetic moments are expected to change in nuclei.

When discussing the GDH sum rule in medium one implicitly assumes the validity of the dispersion relation for

Eur. Phys. J. A (2023) 59:239 Page 3 of 8 239

bound nucleons not on their mass shell. The same assumption is made in almost all discussions of the EMC effect for deep inelastic scattering from nuclear targets, with the parton model built on the dispersion relation for forward Compton scattering, the light-cone operator product expansion and QCD factorisation, see, e.g., Ref [10].²

Chiral models give a Δ mass shift in medium of $-33 \times \rho/\rho_0$ MeV with ρ the nuclear density and ρ_0 the density of nuclear matter. In the same models the proton mass shift is about 1.5 times bigger with range expected in -50 to -40 MeV [1,15–17]. This nucleon- Δ mass shift is driven predominantly by the colour hyperfine interaction or one gluon exchange potential [37].

If one takes ~ -45 MeV as a good estimate of the nucleon mass change in medium at ρ_0 , then one picks up an enhancement factor of 1.1 on the right hand side of the GDH integral, Eq. (1), from the mass denominator. For the anomalous magnetic moment we make a first estimate relating medium changes in magnetic structure to the nucleon's axial charge with 20% quenching in $g_A^{(3)}$ at nuclear matter density. For the magnetic moment we assume the leading-order (before pion cloud effects) relation obtained in the quark meson coupling model [38],

$$\mu_N^*/\mu_N \sim g_A^{(3)}/g_A^{*(3)}$$
 (5)

where the superscript * denotes the in medium quantity and with caveat that the model gives just $\approx 10\%$ suppression of $g_A^{*(3)}$ at nuclear matter density. The key physics input here is that constituent quark mass decreases in medium so quarks behave as more relativistic and the lower P-wave component of the quark wavefunction is enhanced. Then with 20% quenching of $g_A^{(3)}$ at nuclear matter density one gets a 1.7 factor enhancement from the proton's anomalous magnetic moment squared, so giving a net enhancement of about 1.9 in the GDH integral.³

Given that the GDH sum rule is working for bound nucleons, medium modifications should also be manifest in the spin cross section $\sigma_p - \sigma_a$. The Δ excitation contribution to the GDH integral should be shifted to smaller Δ excitation energy, weighted by $1/\nu$ in the integral. Smaller, highermass, resonance contributions to the sum-rule will, in general, also be subject to mass shifts. The Δ width will be enhanced with the area under $\sigma_p - \sigma_a$ spread out in energy.

Above the resonance mass, the weight shifted to smaller energies will have a bigger effect in the GDH integral whereas the weight shifted to higher energies will have reduced effect in the integral. For the idealised case of Breit-Wigner these shifts are approximately symmetric in $\sigma_p - \sigma_a$ about the resonance mass but effects at smaller energies, closest to the $1/\nu$ "pole" in the GDH integrand, Eq. (2), will have the bigger effect in the integral. There will also be small contributions from change in the pion production threshold with change in the nucleon mass M. The experimental challenge is to measure these contributions. We explain in Sect. 5 what is so far seen in present data with deuteron and 3 He targets.

The Regge intercepts for high-energy polarised photoproduction are not expected to be target dependent and are properties of the exchanges rather than the targets. Regge intercepts describe the asymptotic high energy behaviour of scattering amplitudes. For unpolarised scattering target independence is illustrated in [41] where one observes the same intercepts describing photoproduction, pion-proton and proton-antiproton collisions.

4 Choice of target

Spin polarised targets have been investigated since decades to get the best figure of merit for particle physics experiments [42–45]. Technically spin polarised targets are realised as cryogenic solid-state targets using the dynamical nuclear polarisation (DNP) technique [46], by the "brute force" method in the "HD-ice" target [35], or the use of optical pumping for gas targets.

Besides the degree of polarisation, a very important parameter for a solid spin polarised target is the dilution factor, which is the ratio of polarisable nucleons to the background nucleons. To provide highly spin polarised solid targets, chemical compounds are most often used instead of pure elements. This led to the use of Butanol (C_4H_9OH , dilution factor 10/74 = 0.135) or Ammonia (NH₃, dilution factor 3/17 = 0.176) as proton targets. Small pieces of target material have to be filled into a target container and cooled by a cryogenic liquid (typically 50% of 4He and/or 3He), leading together with technical windows of the refrigerator to an additional dilution.

Natural choices as 'quasi-neutron' target are their deuterated equivalents. As an alternative a ³He gas target was used at MAMI for the measurement of the GDH observable on the neutron [47,48]. The low density was an important boundary condition, since the maximum photon flux was limited by the photon energy tagging system and the target length had to match the detector acceptance. On the other hand, the ratio of events produced on the ³He gas to the target cell windows had to be optimised, in other words the window thickness



² The GDH sum rule has been explicitly shown to work for a virtual polarised photon target with fixed virtuality to all orders in perturbation theory [36].

³ Here we have taken the change in magnetic properties as dependent on the nuclear density. With the less bound valence nucleons carrying the polarisation, one might wonder whether this affects theoretical predictions. For deep inelastic scattering smaller effects for valence nucleons were suggested in the EMC effect model of [39] whereas shadowing effects at small Bjorken *x* were found to be enhanced when a single nucleon carries the measured quantity [40].

239 Page 4 of 8 Eur. Phys. J. A (2023) 59:239

Table 1 GDH parameters for free nucleons and different target nuclei

	μ	К	I _{GDH} (μb)	P_p	P_n
p	+2.79	+1.79	205		
n	-1.91	-1.91	232		
d	+0.86	-0.14	0.65	0.925	0.925
³ He	-2.13	-8.37	498	-0.052	0.876
⁶ Li	+0.82	-0.55	1.08	0.848	0.848
⁷ Li	+3.26	+4.57	82.2	0.868	-0.038
¹²⁹ Xe	-0.78	-153.5	91.5	0.24	0.76

The magnetic moments μ are given in units of nuclear magnetons, κ are the anomalous magnetic moments and the GDH integrals I_{GDH} are quoted in units of μ b. The estimated effective nucleon polarisations P_p and P_n for protons and neutrons are quoted for the different target nuclei. Values for the deuteron (see Eq. 6) are evaluated assuming a D-state probability of 5%; ³He and ^{6,7}Li are taken from [55] and ¹²⁹Xe from [56]

had to be minimised for a target pressure optimal for highest spin polarisation.

The need for a better dilution factor and radiation resistance led to the development of Lithium compounds as target material, first in Saclay [49] and later for the CERN COMPASS [50] and the SLAC E155 [51] experiments. At CERN ⁶LiD was used for the experiment [52], ⁷LiH was investigated in parallel in the laboratory [53] to learn about the behaviour of the different spin species in the compound. This gives the possibility to use these light nuclei (⁶Li and ⁷Li) as target material for the investigation of the GDH observable in photon induced reactions.

One might consider also heavier nuclear targets. Many nuclei with non-zero spin can be polarised using the DNP technique. Additionally, optical pumping has been used to polarise heavy nuclei, notably Xenon. For heavier nuclei the polarisation is approximately carried by a valence nucleon leaving the net larger part of the nucleus spin independent. This leads to an additional 1/A spin dilution factor with A the atomic number. Experimentally, some compromise between the nuclear density and this dilution factor has to be made. For the JLab polarised deep inelastic experiment on nuclear targets, this compromise converged on 7 Li [54].

Table 1 summarises the more relevant properties of spinone deuteron, $J=\frac{1}{2}$ ³He and larger spin J=1 ⁶Li and $J=\frac{3}{2}$ ⁷Li target nuclei as well as ¹²⁹Xe as a representative heavy nucleus, giving their magnetic and anomalous magnetic moments and corresponding predictions for their GDH integrals. The anomalous magnetic moment κ for each target is related to the magnetic moment μ through $\mu=\frac{e}{M}(Q+\kappa)\mathbf{S}$, where Q,M and \mathbf{S} are the target charge, mass and spin. Table 1 also gives, for the same nuclei, the estimated effective degree of spin polarisation (i.e., the expectation value for the z component of the spin, summed over all particles of the same type) for both protons, P_p , and neutrons, P_n , which are reduced with respect to the free case due to the nuclear structure [55,56]. These spin polarisations are important in the selection of nuclear targets and extraction of the key observables.

For nuclei, the GDH sum rule receives contributions from both nuclear photo-disintegration processes and scattering from bound nucleons in medium. One needs to subtract off the photo-disintegration part to determine the part of the sum rule from scattering on bound nucleons, with energies above the pion production threshold sensitive to the bound proton and neutron structure. Medium effects on individual bound nucleons will be larger the bigger the target nucleus.

4.1 Deuterons

The deuteron anomalous magnetic moment in the GDH sum rule corresponds to both photo-disintegration of the deuteron as well as contributions from scattering on the bound proton and neutron. The latter part of GDH integral describing proton and neutron structure is given as

$$GDH^p + GDH^n \approx GDH^d/(1 - 1.5\omega_D)$$
 (6)

where $\omega_D = 5 \pm 1\%$ is a small D-state probability in the deuteron [57].

4.2^{-3} He

³He behaves, at first order, like a spin zero combination of two protons plus a spin half neutron carrying the spin of the nucleus with effective nucleon polarisations $P_n = 0.876$ and $P_p = -0.052$ determined by the combination of the predominant S-state (90% probability) with the lower probability S/ and D states with numbers quoted from variational Monte-Carlo calculations in [55].



Eur. Phys. J. A (2023) 59:239 Page 5 of 8 239

4.3 ⁶Li and ⁷Li

⁶Li behaves like a spin-zero α particle (with two protons and two neutrons in the 1 s shell) plus a proton and neutron carrying the spin one of the nucleus. These valence nucleons can also be in a P-state unlike for the deuteron. For ⁶Li one finds $P_p = P_n = 0.848$ for the polarisation of both protons and neutrons, so each carrying $\approx 42\%$ of the spin one of the nucleus [55].

For ^7Li one has two protons and two neutrons in the 1s shell with total spin zero with the other nucleons in the $1\text{P}_{3/2}$ shell. In standard shell model calculations [58] one finds $P_p = 13/15$ and $P_n = 2/15$. More precise microscopic model calculations give $P_p = 0.868$ and $P_n = -0.038$ [55]. With most of the nucleus' polarisation carried by the valence proton, ^7Li is most suitable for a direct comparison with free proton data, whereas ^6Li compares more directly with the isoscalar deuteron.

In nuclei the Δ width is significantly larger than in the free case and also the shape is distorted by final state interactions and other nuclear effects. Given the energy resolution already achieved with the existing photon tagging systems (~ 2 MeV, that can be lowered well below one MeV over a reduced energy range, see [59]), a 10–20 MeV peak shift can be detected in future experiments, similar to the situation observed with deuterium and 3 He targets discussed below.

As the larger nucleus, Lithium is closer to the domain of mean field model applications. For polarised deep inelastic scattering at large Q^2 a spin EMC effect is predicted for ⁷Li targets in [40,60,61] with $g_A^{(3)}$ quenching of about 36% the nuclear matter density prediction found in a confining Nambu-Jona-Lasinio, NJL, model calculation [60].

5 Existing data with deuterons and ³He

First photoproduction measurements on polarised nuclear targets have been carried out using deuterons and ³He. Incident photon energies ranged from 200 MeV up to about 1800 MeV with the deuteron [62] and up to 500 MeV with ³He [48].⁴

First Mainz data for the deuteron gave [62]:

$$\int_{0.2 \text{ GeV}}^{1.8 \text{ GeV}} \frac{d\nu}{\nu} (\sigma_{\text{p}} - \sigma_{\text{a}}) = +452 \pm 9 \pm 24 \ \mu\text{b}$$
 (7)

with tendency of a still slowly rising integral with increasing upper energy at the measured limit of 1.8 GeV. This compares with the theoretical prediction for the sum of proton and neutron GDH integrals in free space $\int_{\text{threshold}}^{\infty} \frac{d\nu}{\nu} (\sigma_p - \sigma_a) = +437 \ \mu \text{b}$. Taking into account the D-state ω_D factor gives

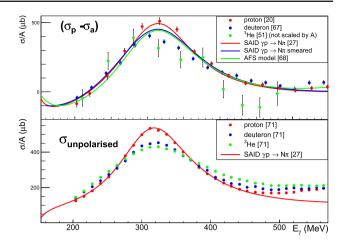


Fig. 1 Upper plot: The measured measured total inclusive helicity dependent cross sections for proton [20], deuteron [62] and 3 He [48] in the Δ resonance region are compared to the predictions of the deuteron AFS model [63] and to the results of the SAID $N\pi$ analysis [27] both for free proton and when the smearing due to deuteron Fermi motion is applied. Lower plot: The unpolarised total inclusive cross sections for proton, deuteron and 3 He [64] are shown together with the results of the SAID $N\pi$ analysis [27] for the free proton case

an expected contribution to the deuteron GDH integral from scattering on bound nucleons of 404 μ b. Close to threshold contributions are discussed in [63] involving extra channels compared to a proton target and cancellation between photo-disintegration and meson production contributions. From high energies one expects just a very small contribution based on the low Q^2 asymmetries measured by CLAS [32] and COMPASS [33].

Interestingly, in the published deuteron data [62] the Δ excitation contribution to $\sigma_p - \sigma_a$ appears to be shifted by incident photon energy up to ≈ -20 MeV compared to theoretical model predictions [63] where the proton and Δ masses are taken with their values in free space. This effect is shown in the upper plot of Fig. 1, which displays the helicity dependent total cross sections for proton, deuteron and 3 He targets. One observes that the Arenhövel et al model (AFS) [63] in the Δ region basically follows the "simple" smearing just due to Fermi motion but the data show a different behaviour. Even if statistics are poorer, with 3 He one also observes a small peak shift, ≈ -20 MeV to lower incident photon energies [48]. No clear peak shift is observed in the spin averaged cross section $(\sigma_p + \sigma_a)/2$ for both deuteron and 3 He, as shown in the lower plot of Fig. 1.

Figure 2 shows the spin-dependent cross sections σ_p and σ_a for the proton, deuteron and 3 He, as determined by combining the two previous observables. It can be noticed that the peak energy is shifted downwards in the parallel spin cross section σ_p associated with the Δ excitation in both the deuteron and 3 He data, while with no peak shift in the antiparallel spin cross section σ_a is visible.



⁴ We note also TUNL measurements up to 29 MeV below the pion production threshold with ³He [65].

239 Page 6 of 8 Eur. Phys. J. A (2023) 59:239

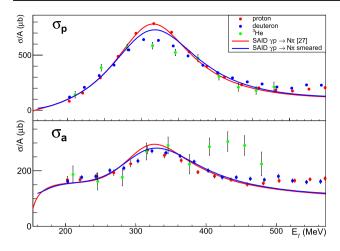


Fig. 2 The total inclusive cross sections σ_p and σ_a for proton, deuteron and 3 He are compared to the results of the SAID $N\pi$ analysis [27] both for free protons and when the smearing due to deuteron Fermi motion is applied

For heavy nuclei, the peak in the spin averaged cross section is shifted to higher energy with damping and increased width - see Fig. 3 which shows the unpolarised total inclusive cross sections for proton, ^{12}C and ^{208}Pb targets. This feature is explained by the so-called Δ -hole model [1,66], i.e. by the propagation of the Δ resonance inside the nuclear matter, with effect much more important than the one due to Fermi motion.

The downwards shift in the Δ peak energy observed in σ_p is a different effect to the Fermi smearing and Δ propagation in the nucleus. These observations suggest a challenge for new investigation: will the mass shift of the Δ excitation contribution survive more accurate data and might we see a more enhanced contribution to the GDH sum-rule with a larger polarised nuclear target like ^6Li or $^7\text{Li}?$ For the deuteron case binding effects, about 2 MeV, are smaller than the observed shift. Moreover, they play the same role in both the spin antiparallel and parallel cross sections.

Small, few percent, medium modifications of nucleon properties in light deuterons are also observed in experimental measurements of the EMC nuclear effect where parton distributions of bound nucleons in the deuteron are seen in experiment to be modified relative to free protons [67]. Also, while the deuteron is too small and difuse for application of mean field models, small changes in the values of the nucleon's axial and tensor charges in light nuclei including the deuteron are reported in recent lattice calculations [68]. A small shift in the Δ excitation is also seen in low energy processes involving proton-proton, proton-deuteron and pion-deuteron reactions, see e.g., [69,70].

JLab low Q^2 measurements on the deuteron [71] and ³He [72] when extrapolated to the photon point give a neutron GDH integral consistent with theory to 20% or 1σ accu-

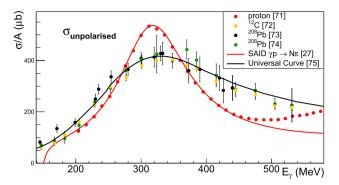


Fig. 3 The unpolarised total inclusive cross sections for proton [64], 12 C [73] and 208 Pb [74,75] are shown together with the results of the SAID $N\pi$ analysis [27] for the free proton case and the co-called "universal curve", an average behavior of several published results for medium and heavy nuclei [76]

racy. This uncertainty certainly includes the possible medium dependence discussed here within the experimental errors.

The GDH sum rule with nuclear targets is probing change in the nucleon's magnetic structure in medium. If we believe we understand the nucleon mass shift from, e.g., chiral models, then any change in the static right hand side of the sumrule would point to modifications of magnetic structure via the anomalous magnetic moment. Change in Δ resonance excitation contribution to the σ_p spin cross section corresponds to a change in the magnetic structure through the M1 magnetic transition.

6 Conclusions

The GDH sum-rule for polarised nucleons embedded in polarised nuclei might be enhanced by a factor up to about two at nuclear matter density, with sizable effects also waiting to be investigated in lighter nuclei from the deuteron up to $^7\mathrm{Li}$. The effect could be up to an order of magnitude larger than the quenching of $g_A^{(3)}$ relevant to the first moment of the proton's deep inelastic structure function measured at large O^2 .

There are hints in first deuteron and 3 He target data from Mainz of a shift in the Δ excitation contribution to lower incident photon energies. This effect in the parallel spin cross section signals a change in the structure and/or propagation of the Δ in medium beyond the smearing effect predicted by Fermi motion that is consistent with a downwards mass shift of the Δ and which needs to be further understood. New measurements with heavier polarised targets, e.g., 6 Li and 7 Li, might be used to study the A dependence of the effect connected to the M1 magnetic transition. Also, it would be good to extend the energy range of the experiment to study the effect of heavier resonance excitations, their mass shifts



and widths as well as any change in the pion production threshold from individual bound nucleons.

Acknowledgements We thank H. Arenhövel, M. Bashkanov and V. Metag for helpful discussions. We thank the ExtreMe Matter Institute EMMI at GSI, Darmstadt, for support in the framework of an EMMI Workshop EW22-03 Meson and Hyperon Interactions with Nuclei during which this work has been initiated. SDB thanks the Mainz Institute for Theoretical Physics (MITP) of the DFG Cluster of Excellence PRISMA*, Project ID 39083149, for its hospitality where part of this work was completed.

Data Availability Statement This manuscript has no associated data or the data will not be deposited. [Authors' comment: The data shown in Figs. 1–3 is published in articles referenced in the Figure captions.]

Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.

References

- 1. T.E.O. Ericson, W. Weise, Pions and Nuclei (Oxford UP, 1988)
- V. Metag, M. Nanova, E.Y. Paryev, Prog. Part. Nucl. Phys. 97, 199 (2017)
- 3. P. Kienle, T. Yamazaki, Prog. Part. Nucl. Phys. 52, 85-132 (2004)
- 4. S.D. Bass, P. Moskal, Rev. Mod. Phys. 91, 015003 (2019)
- K. Saito, K. Tsushima, A.W. Thomas, Prog. Part. Nucl. Phys. 58, 1 (2007)
- 6. I.C. Cloët et al., J. Phys. G 46, 093001 (2019)
- 7. M. Ericson, Acta Phys. Pol. B 29, 2349 (1998)
- 8. J. Suhonen, Acta Phys. Pol. B 49, 237 (2018)
- C.A. Aidala, S.D. Bass, D. Hasch, G.K. Mallot, Rev. Mod. Phys. 85, 655 (2013)
- 10. S.D. Bass, Rev. Mod. Phys. **77**, 1257 (2005)
- 11. A.W. Thomas, Int. J. Mod. Phys. E 27, 1840001 (2018)
- 12. S.D. Bass, Acta Phys. Pol. B 52, 43 (2021)
- S.B. Gerasimov, Sov. J. Nucl. Phys. 2, 430 (1966). (Yad. Fiz. 2 (1965) 598)
- 14. S.D. Drell, A.C. Hearn, Phys. Rev. Lett. 16, 908 (1966)
- 15. E. Oset, L.L. Salcedo, Nucl. Phys. A 468, 631 (1987)
- C. Garcia-Recio, E. Oset, L.L. Salcedo, D. Strottman, M.J. Lopez, Nucl. Phys. A 526, 685 (1991)
- 17. U. Mosel, arXiv:2007.10260 [nucl-th]
- D.H. Lu, K. Tsushima, A.W. Thomas, A.G. Williams, K. Saito, Phys. Rev. C 60, 068201 (1999)
- B. Plaster et al., Jefferson laboratory E93–038 collaboration. Phys. Rev. C 73, 025205 (2006)
- J. Ahrens et al., GDH and A2 collaborations. Phys. Rev. Lett. 87, 022003 (2001)
- H. Dutz et al., GDH collaboration. Phys. Rev. Lett. 91, 192001 (2003)
- 22. H. Dutz et al., Phys. Rev. Lett. 93, 032003 (2004)

- 23. K. Helbing, Prog. Part. Nucl. Phys. 57, 405 (2006)
- 24. P. Pedroni, Eur. Phys. J. ST 198, 181 (2011)
- 25. D. Drechsel, L. Tiator, Ann. Rev. Nucl. Part. Sci. 54, 69 (2004)
- 26. V. Kashevarov, L. Tiator, private communication (2023)
- W.J. Briscoe et al., A2 collaboration. Phys. Rev. C 100, 065205 (2019)
- 28. A.V. Anisovich et al., Phys. Rev. C 96, 055202 (2017)
- R. Fersch et al., CLAS collaboration. Phys. Rev. C 96, 065208 (2017)
- M. Aghasyan et al., COMPASS collaboration. Phys. Lett. B 781, 464 (2018)
- 31. S.D. Bass, M. Skurzok, P. Moskal, Phys. Rev. C 98, 025209 (2018)
- 32. N. Guler et al., CLAS. Phys. Rev. C 92, 055201 (2015)
- E.S. Ageev et al., COMPASS collaboration. Phys. Lett. B 647, 330 (2007)
- 34. X. Zheng et al., CLAS. Nature Phys. 17, 736 (2021)
- 35. S. Hoblit et al., LSC. Phys. Rev. Lett. **102**, 172002 (2009)
- 36. S.D. Bass, S.J. Brodsky, I. Schmidt, Phys. Lett. B 437, 417 (1998)
- F.E. Close, An Introduction to Quarks and Partons (Academic Press, 1978)
- 38. K. Saito, A.W. Thomas, Phys. Rev. C 51, 2757 (1995)
- C. Ciofi deglli Atti, L.P. Kaptari, S. Scopetta, Eur. Phys. J. A 5, 191 (1999)
- 40. V. Guzey, M. Strikman, Phys. Rev. C 61, 014002 (2000)
- 41. P. V. Landshoff, [arXiv:hep-ph/9410250 [hep-ph]]
- S. Goertz, W. Meyer, G. Reicherz, Prog. Part. Nucl. Phys. 49, 403 (2002)
- 43. A. Thomas, Eur. Phys. J. A 28(Suppl 1), 161 (2006)
- 44. A. Thomas, Eur. Phys. J. Spec. Top. 198, 171 (2011)
- 45. H. Dutz, S. Goertz, W. Meyer, EPJ Web Conf. 134, 05001 (2017)
- 46. S. Abragam et al., Phys. Lett. 2, 310 (1962)
- 47. J. Krimmer et al., Nucl. Instrum. Meth. A 48, 35 (2011)
- 48. P. Aguar Bartolome et al., Phys. Lett. B 723, 71 (2013)
- 49. J. Ball, Nucl. Instrum. Meth. A 526, 7 (2004)
- 50. S. Goertz et al., Nucl. Instrum. Meth. A 356, 20 (1995)
- 51. S.L. Bueltmann et al., Nucl. Instrum. Meth. A 425, 23 (1999)
- 52. J. Ball et al., Nucl. Instrum. Meth. A 498, 101 (2003)
- 53. A. Meier, PhD Thesis, Ruhr-Universität Bochum, Germany (2001)
- W. K. Brooks et al., The EMC effect in spin structure functions, JLab experiment proposal PR12-14-001
- R.B. Wiringa, R. Schiavilla, S.C. Pieper, J. Carlson, Phys. Rev. C 89, 024305 (2014)
- V.A. Dzuba, V.V. Flambaum, J.S.M. Ginges, Phys. Rev. A 76, 034501 (2007)
- 57. R. Machleidt, K. Holinde, C. Elster, Phys. Rep. 149, 1 (1987)
- 58. L.D. Landau, E.M. Lifshitz, *Quantum Mechanics: Non-Relativistic Theory* (Pergamon Press, Oxford, 1977), p.490
- A. Reiter, P.S. Lumsden, J. Ahrens, J.R.M. Annand, R. Beck, J.C. McGeorge, Eur. Phys. J. A 30, 461 (2006)
- 60. I.C. Cloët, W. Bentz, A.W. Thomas, Phys. Lett. B 642, 210 (2006)
- L. de Barbaro, K. J. Heller and J. Szwed, Jagiellonian University preprint TPJU-24/84
- 62. J. Ahrens et al., Phys. Lett. B 672, 328 (2009)
- H. Arenhövel, A. Fix, M. Schwamb, Phys. Rev. Lett. 93, 202301 (2004)
- 64. M. MacCormick et al., Phys. Rev. C 53, 41 (1996)
- 65. G. Laskaris et al., Phys. Rev. C 103, 034311 (2021)
- E. Oset, W. Weise, Nucl. Phys. A 368, 375 (1981). (erratum: Nucl. Phys. A 402 (1983) 612)
- 67. K.A. Griffioen et al., Phys. Rev. C 92, 015211 (2015)
- 68. E. Chang et al., NPLQCD. Phys. Rev. Lett. **120**, 152002 (2018)
- 69. N. Hoshizaki, Phys. Rev. C 45, R1424 (1992)
- C.H. Oh, R.A. Arndt, I.I. Strakovsky, R.L. Workman, Phys. Rev. C 56, 635 (1997)
- 71. K.P. Adhikari et al., CLAS. Phys. Rev. Lett. 120, 062501 (2018)



239 Page 8 of 8 Eur. Phys. J. A (2023) 59:239

 V. Sulkosky et al., Jefferson Lab E97–110. Phys. Lett. B 805, 135428 (2020)

- 73. B.A. Mecking, Lect. Notes Phys. 108, 382 (1979)
- 74. P. Carlos, H. Beil, R. Bergere, J. Fagot, A. Lepretre, A. de Miniac, A. Veyssiere, Nucl. Phys. A **431**, 573 (1984)
- 75. L. Ghedira, PhD thesis, University of Paris-Sud (1984)
- 76. M. MacCormick et al., Phys. Rev. C 55, 1033 (1997)

