

## Article

# Integrated pharmacokinetic/viral dynamic model for daclatasvir/asunaprevir in treatment of patients with genotype 1 chronic hepatitis C

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### Abstract

In order to develop an integrated pharmacokinetic/viral dynamic (PK/VD) model to predict long-term virological response rates to daclatasvir (DCV) and asunaprevir (ASV) combination therapy in patients infected with genotype 1 (GT1) chronic hepatitis C virus (HCV), a systematic publication search was conducted for DCV and ASV administered alone and/or in combination in healthy subjects or patients with GT1 HCV infection. On the basis of a constructed meta-database, an integrated PK/VD model was developed, which adequately described both DCV and ASV PK profiles and viral load time curves. The  $IC_{50}$  values of DCV and ASV were estimated to be 0.041 and 2.45  $\mu\text{g/L}$ , respectively, in GT1A patients. A sigmoid  $E_{\text{max}}$  function was applied to describe the antiviral effects of DCV and ASV, depending on the drug concentrations in the effect compartment. An empirical exponential function revealed that  $IC_{50}$  changing over time described drug resistance in HCV GT1A patients during DCV or ASV monotherapy. Finally, the PK/VD model was evaluated externally by comparing the expected and observed virological response rates during and post-treatment with DCV and ASV combination therapy in HCV GT1B patients. Both the rates were in general agreement. Our PK/VD model provides a useful platform for the characterization of pharmacokinetic/pharmacodynamic relationships and the prediction of long-term virological response rates to aid future development of direct acting antiviral drugs.

**Keywords:** chronic hepatitis C; daclatasvir; asunaprevir; pharmacokinetics; viral dynamics; modeling and simulation; NONMEM

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### Introduction

More than 185 million people globally have been estimated to be chronically infected with the hepatitis C virus (HCV), which is one of the leading causes of cirrhosis and liver failure<sup>[1]</sup>. For the past two decades, until 2011, PEGylated interferon- $\alpha$  (Peg-IFN- $\alpha$ ) in combination with ribavirin (RBV) was recommended as a standard-of-care (SOC) treatment for chronic hepatitis C<sup>[2]</sup>. However, fewer than 50% of patients infected with HCV genotype 1 (GT1, the most prevalent and difficult-to-cure genotype in the western world) treated with SOC achieve successful HCV treatment outcomes, *ie*, sustained virological response (SVR)<sup>[3–5]</sup>. Recently, novel direct-

acting antiviral agents (DAAs) have been developed to target specific nonstructural proteins in the HCV life cycle, including NS3/4A protease inhibitors, NS5A protein inhibitors, and NS5B polymerase inhibitors<sup>[6]</sup>. The current approved IFN-based regimen and Peg-IFN- and RBV-free (PR-free) regimen show high potency, favorable tolerability profile, a higher barrier to resistance, shorter treatment duration, all-oral regimen, pan-genotypic character, fewer drug interactions and a decreased pill burden, as compared with the previous standard treatment<sup>[7]</sup>. A combination of DAA therapy, which has a good safety profile and does not include IFN or RBV that lack cross-resistance, will probably be the new recommended regimen and may possibly eradicate HCV worldwide in the near future<sup>[7]</sup>.

Asunaprevir (BMS650032, ASV) is a selective NS3 protease inhibitor that is rapidly absorbed over time and subse-

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quently reaches a maximum plasma concentration ( $t_{\max}$ ) of 2–4 h and is eliminated primarily via cytochrome P450 (CYP) 3A4-mediated hepatic oxidative metabolism and fecal excretion, with an elimination half-life ( $t_{1/2}$ ) of 15–20 h<sup>[8]</sup>. Daclatasvir (BMS790052, DCV) is a first-in-class, highly selective NS5A replication complex inhibitor, which is rapidly absorbed with a  $t_{\max}$  of 1–2 h and is mainly metabolized by hepatic CYP3A4 and excreted in fecal matter, with an elimination  $t_{1/2}$  of 13–15 h<sup>[9, 10]</sup>. A drug-drug interaction (DDI) study in healthy subjects has suggested that coadministration of ASV and DCV does not result in a clinically meaningful pharmacokinetic (PK) interaction. ASV has shown activity against HCV GT1 and 4 in combination with Peg-IFN/RBV, or as part of all-oral DAA combinations in current ongoing Phase III clinical trials<sup>[11–13]</sup>. DCV was initially approved in Europe, Japan and Brazil for use in combination with other medicinal products across genotypes 1, 2, 3 and 4 for the treatment of HCV infection in adults. In July 2014, the DCV+ASV dual regimen was approved as the first all-oral, PR-free anti-HCV regimen for previously null responders to PR-treatment in Japan. In a recent global Phase III study, this all-oral dual therapy has been found to provide high rates of SVR in treatment-naïve (90%), non-responder (82%), and ineligible, intolerant, or ineligible and intolerant (82%) patients with GT1B infection<sup>[14]</sup>.

Modeling of PK/viral dynamics (VD) has helped to elucidate the dynamic parameters governing infection, reproduction and death of virions, production and death of infected and healthy hepatocytes, as well as the antiviral effects of DAAs<sup>[15]</sup>, and to investigate the possible interaction between the combined drugs<sup>[16]</sup>. HCV dynamic models have provided a means to compare outcomes in varied treatment regimens and in different patient populations<sup>[15, 17]</sup>. Although many HCV dynamic models have been established for IFN or/and RBV treatment<sup>[18–20]</sup>, DAA monotherapy<sup>[21–24]</sup> and combination therapy using PR and DAA agents<sup>[25, 26]</sup>, few studies have explored and predicted the combined efficacy of DAA agents by using a PK/VD modeling approach.

In this article, we developed an integrated PK/VD model to examine the dynamics of HCV infection under DCV monotherapy and ASV monotherapy; we also used the model to predict long-term virological response rates during and post-treatment of DCV and ASV combination therapy in patients with GT1 HCV infection.

## Materials and methods

### Literature search and database construction

A systematic search of available information published in English in or before February 2017 was performed in PubMed, Google Scholar and the websites of National AIDS Treatment Advocacy Project (NATAP)<sup>[27]</sup> and ClinicalTrials.gov by using “BMS650032”, “asunaprevir”, “BMS790052” and “daclatasvir” as keywords. Clinical studies on the PK or efficacy of DCV or ASV treatment alone, as well as these two drugs used in combination, in healthy subjects or HCV patients were included in this analysis. Additional trials were identified by cross-referencing articles.

Two independent reviewers (He-chuan WANG & Yue QIU.) constructed the dataset manually by collecting information in text or tables and extracting data from graphics, by using GetData Graph Digitizer (Version 2.24). In cases of disagreement, consensus was reached between the reviewers via discussion. The database was cross-checked by a third reviewer (Liang LI). The initial dataset consisted of viral load data, presented either as a change from the baseline and/or the actual viral load measurements, depending on the information provided in the publication. For the purpose of model analysis, the change from the baseline data was converted to actual viral load measurements for all records by adding the mean baseline value of the corresponding treatment arm.

### PK/VD modeling

A sequential PK/VD model was developed by using a nonlinear mixed-effects modeling approach. The PK models were built separately for DCV and ASV, and this was followed by a VD model describing the interaction between the virus and the target cells, as depicted in Figure 1.

### Software

Population analysis was performed by using a first-order conditional estimation with interaction (FOCEI) method in NONMEM (Version 7.3.0) with PsN (Version 4.2.0) and a gFORTRAN compiler (Version 4.8.2). The complete modeling process was conducted in the interface software Pirana (Version 2.8.2). Graphical data visualization, evaluation of NONMEM outputs, construction of goodness-of-fit plots and graphical model comparisons were conducted using R (Version 2.15.0).

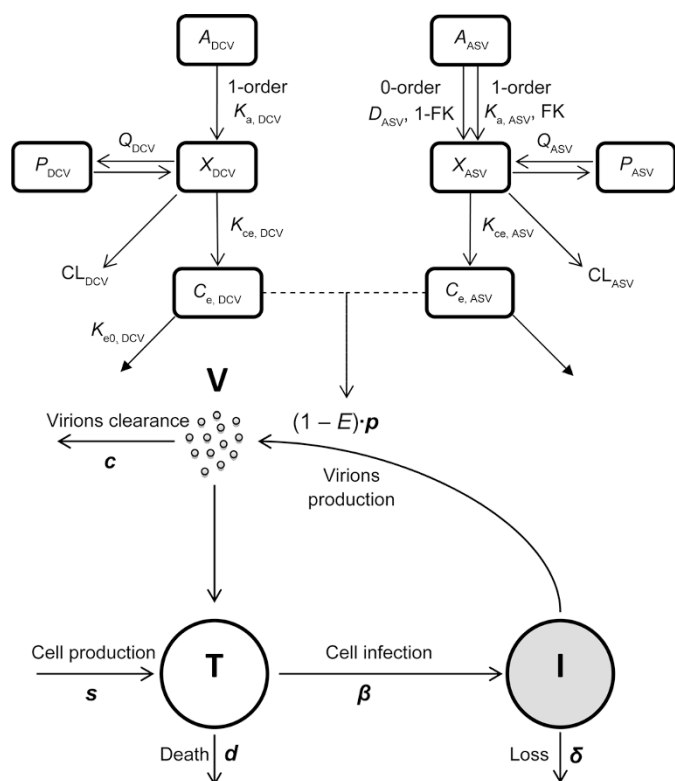
### PK models

Given the availability of only study-level aggregate PK data in our meta-database, a population-based meta-analysis approach was used to develop PK models for DCV and ASV separately. A disposition model for the drug was determined by testing a one-, two-, and three-compartment model with linear clearance. Zero-order, first-order, Michaelis-Menten saturable, and simultaneous zero- and first-order absorption processes were investigated to capture the absorption phase. Structural model selection was based on Akaike Information Criterion (AIC) and goodness-of-fit plots. A log-normal random effect model (Equation 1) was chosen to describe the inter-arm variability (IAV) for all parameters. The additive residual error (Equation 2) was weighed by the sample size ( $n_{mk}$ ) of arm  $m$  for drug  $k$ :

$$\theta_{mk} = \hat{\theta}_k \cdot \exp(\eta_{\theta_{mk}}) \quad (1)$$

$$Y_{mjk} = \ln F_{mjk} + \frac{\varepsilon_{mjk}}{\sqrt{n_{mk}}} \quad (2)$$

where  $\theta_{mk}$  is the estimated parameter value of arm  $m$  for drug  $k$ ,  $\hat{\theta}_k$  is the typical value of the population parameter and  $\eta_{\theta_{mk}}$  is specific IAV of parameter  $\hat{\theta}_k$ .  $Y_{mjk}$  is the  $j^{\text{th}}$  natural log-transformed observation in arm  $m$  for drug  $k$ ,  $F_{mjk}$  is the model prediction, and  $\varepsilon_{mjk}$  is the residual error.



**Figure 1.** Schematic of the integrated PK/VD model structure. HCV virions (V) infect target cells (T), producing infected cells (I) at rate of  $\beta \cdot VT$ . Target cells are produced at rate constant  $s$  and die at rate constant  $d$ . Infected cells loss at rate of  $\delta \cdot I$ , and at rate of  $p \cdot I$  produce virions (V), which are cleared at rate  $c \cdot V$ .  $A_i$  depot compartment of drug  $k$  ( $k$  represents DCV or ASV, the same hereinafter);  $X_k$  central compartment;  $P_k$  peripheral compartment;  $C_{e,k}$  drug concentration in effect compartment;  $Q_k$  inter-compartmental clearance;  $K_{a,k}$  first-order absorption rate constant;  $K_{ce,k}$  elimination rate constant from central compartment to effect compartment;  $K_{e0,k}$  elimination rate constant of effect compartment;  $CL_k$  elimination clearance from central compartment;  $D_{ASV}$  duration of zero-order absorption for ASV; FK fraction of ASV dose absorbed by the first-order mechanism; the inhibition efficacy of viral replication  $E$  is driven by the drug concentration in effect compartment.

### VD model

The VD model (Figure 1) was developed on the basis of the work of Neumann *et al*<sup>[28]</sup> to describe the biphasic decline of HCV RNA after DCV monotherapy or ASV monotherapy treatment of HCV. The model included three variables (Equations 3–5): uninfected target cells (T), productively infected cells (I), and free virus (V). Viral infection was assumed to occur at a rate proportional to the product of the densities of virus and target cells,  $\beta \cdot V \cdot T$  (the law of mass action). Infected cells were lost by either natural death or immune attack at a rate of  $\delta \cdot I$ . Virus was released from productively infected cells at a rate of  $p \cdot I$  and cleared at a rate of  $c \cdot V$ . DCV or ASV was assumed to inhibit viral production with efficacy  $E_{ijk}$ .

$$\frac{dT}{dt} = s - dT - \beta VT \quad (3)$$

$$\frac{dI}{dt} = \beta VT - \delta I \quad (4)$$

$$\frac{dV}{dt} = (1 - E_{ijk})pI - cV \quad (5)$$

The viral dynamics was assumed to be at steady state prior to the initiation of therapy; therefore the initial values of the viral load (Equation 6), target cells (Equation 7) and infected cells (Equation 8) can be derived from Equations 3–5:

$$V_0 = \frac{s \cdot p}{\delta \cdot c - \beta} \quad (6)$$

$$T_0 = \frac{\delta \cdot c}{\beta \cdot p} \quad (7)$$

$$I_0 = \frac{c}{p} \cdot V_0 \quad (8)$$

It was also assumed that DCV and ASV shared the same systemic parameters in the VD model. This assumption was tested by allowing for different parameter estimates for the two drugs. The maximum number of hepatocytes ( $T_{MAX}$ ), death rate of target cells  $d$ , infected cell production rate  $\beta$  and virion production rate  $p$  were fixed to values from the literature<sup>[15]</sup>.

The VD model was linked to the PK models via an effect compartment (Equation 9) to account for the possible time delay observed between plasma drug concentrations and antiviral activity, which corresponded with previously reported results<sup>[29]</sup>. Because only aggregate PK data were available in our analysis, the population drug concentrations in the specific arm  $m$  ( $C_{mjk}$ ) predicted from PK models were assumed to distribute to the individual effect compartment  $C_{e,ijk}$ ;  $k_{e,k}$  is the rate constant governing the disposition of the drug  $k$  from the central compartment to effect compartment.

$$\frac{dC_{e,ijk}}{dt} = k_{e,k}(C_{mjk} - C_{e,ijk}) \quad (9)$$

The inhibitory effect of production of virions  $E_{ijk}$  can be described by using a sigmoid  $E_{max}$  function expressed by the concentration at the effect compartment  $C_{e,ijk}$  assuming a maximum inhibition of 100%

$$E_{ijk} = \frac{C_{e,ijk}^{\gamma_{ik}}}{C_{e,ijk}^{\gamma_{ik}} + IC_{50,ijk}^{\gamma_{ik}}} \quad (10)$$

where  $IC_{50,ijk}$  is the  $j^{th}$  concentration of the  $i^{th}$  subject in the effect compartment, which results in 50% inhibition of the virus production for the drug  $k$ , and  $\gamma_{ik}$  is the shape factor. To capture the rebound of viral load observed in the MAD studies, which was due to the rapid emergence of viral resistance<sup>[30]</sup>, an empirical exponential function with a coefficient of  $K_{r,ik}$  revealing  $IC_{50,ijk}$  was used. The value changed over time during therapy in the model:

$$IC_{50,ijk} = IC_{50,t=0,ik} \cdot e^{K_{r,ik} \cdot t} \quad (11)$$

Inter-individual variability (IIV) of parameters in the VD model was assumed to have a log-normal distribution. The viral load data ( $V_{obs,ijk}$ ) and model predictions ( $V_{pred,ijk}$ ) were  $\log_{10}$ -transformed for the modeling analysis, and their residual variability ( $\varepsilon_{ijk}$ ) was modeled by using an additive error model, as shown in Equation 12.

$$\log_{10} V_{obs,ijk} = \log_{10} V_{pred,ijk} + \varepsilon_{ijk} \quad (12)$$

#### Covariate model

Potential covariates were tested for statistical significance for both the PK and VD models by using the stepwise forward addition method, and this was followed by a stepwise backward elimination procedure. OFV changes of 3.84 ( $\chi^2$ , df=1,  $\alpha=0.05$ ) or 7.88 ( $\chi^2$ , df=1,  $\alpha=0.005$ ) were used as a cutoff criterion for the inclusion and exclusion of the covariate, respectively. An improvement in the precision of the parameter estimate (relative standard error), and decrease in inter-arm or inter-individual and residual variability were also used to determine the importance of the covariate as a predictor.

#### Model evaluation and validation

A goodness-of-fit plot was assessed to reveal the model capacity for describing aggregate PK data or individual viral load data. The predictive capacity of the model was also tested by performing 500 simulations based on the parameter estimates to predict the observed virological response rates during and after combination therapy with DCV and ASV in the external validation study. In each simulation, 100 virtual subjects were generated for each of three combination dosing regimens: 1) DCV 60 mg QD+ASV 600 mg BID for 24 weeks in patients with GT1A HCV; 2) DCV 60 mg QD+ASV 200 mg QD for 24 weeks in patients with GT1B HCV and 3) DCV 60 mg QD+ASV 200 mg BID for 24 weeks in patients with GT1B HCV. The combined antiviral efficacy  $E_{COMB,ij}$  of DCV and ASV was assumed to take an additive form:

$$\frac{E_{COMB,ij}}{1-E_{COMB,ij}} = \frac{E_{DCV,ij}}{1-E_{DCV,ij}} + \frac{E_{ASV,ij}}{1-E_{ASV,ij}} \quad (13)$$

In the simulation, cure or complete virion eradication was determined from the viral dynamics via implementation of a viral eradication boundary. At the timepoint at which treatment drives the system to less than one infected hepatocyte, the production of virions was set to zero. Simulated response rates were calculated as the proportion of patients whose HCV RNA measurements were below the predefined lower limit of detection (LLOD) of 10 IU/mL. Parameter uncertainty was not considered in the simulations.

## Results

#### Included studies

A total of 26 clinical trials, representing 72 treatment arms with 362 healthy subjects and 1368 HCV-infected patients, as presented in Table 1, were included in this analysis. Of these, 18 trials provided aggregate concentration data for PK models, four trials provided individual viral load data for VD models

and 7 trials provided virological response information for model evaluation. Eight trials reported DCV and ASV treated in combination, whereas results for DCV and ASV treated alone were available for 7 and 11 trials, respectively. Table 2 shows a summary of the available baseline demographic information for each study. In those 4 studies for VD modeling analysis, 82.6% of 72 HCV infected patients were treatment-naïve for PR. Only patients infected with GT1 HCV were included in these trials, and 77% of them had HCV GT1A, whereas others had GT1B. The median baseline viral load was  $6.76 \times 10^6$  IU/mL.

#### PK model

A total of 465 aggregate DCV plasma concentrations from 198 subjects in 30 unique arms of 7 clinical trials and a total of 602 ASV aggregate concentrations from 290 subjects in 35 treatment arms of 11 clinical trials were used for PK modeling analyses. The dispositions of DCV and ASV were each well described by a two-compartment distribution model with linear elimination. The DCV absorption phase was best captured by a first-order absorption model. The SAD study in healthy subjects indicated that ASV exposure increased when it was administered as a solution (*vs* suspension) or with a high-fat meal, and the effect was greater for  $C_{max}$  than AUC. This result suggested a saturable first-pass process, although the mechanism remains to be defined. The simultaneous zero- and first-order absorption model best described the absorption phase for ASV. The population PK parameter estimates are summarized in Table 3. All PK parameters were estimated with reasonable precision, with relative standard errors less than 30%. The goodness-of-fit plots (Figure 2) showed good agreement between the arm-level predictions and the observed plasma concentrations for both DCV and ASV. Figure 3 shows that the estimated DCV and ASV PK time profiles adequately captured the observed concentrations after single dose and multiple dose administration in healthy subjects or in HCV-infected patients.

#### PK/VD model

A total of 952 viral load measurements from 72 patients with GT1A or GT1B HCV infection receiving DCV or ASV in 2 single- and 2 multiple-ascending dose studies were pooled together to perform population VD modeling analysis. The estimated PK parameters were utilized to drive the pharmacodynamic modeling.

A summary of population pharmacodynamic parameters obtained from the final VD model is listed in Table 4. Owing to the complexity of the model, the available viral load data did not contain sufficient information to estimate all parameters precisely. Therefore, most VD system parameters were fixed to the values from the literature<sup>[15]</sup>, except for virion clearance rate constant  $c$  and virion production rate constant  $p$ , which were estimated to be 20.4 per day and 148 virions/cells/day, with relative standard errors of 22.1% and 141.1%, respectively. The inclusion of an effect compartment for both DCV and ASV in this model resulted in a significant decrease

Table 1. Overview of studies included in the PK/PD model.

Study	Design	Subject	n	Dose (mg)	Duration	Formulation	Fed/fasted	Data	Data type	Data usage
<b>DCV</b>										
AI444001 <sup>[31]</sup>	RD, DB, PC, SAD	HV	36	1, 10, 25, 50, 100, 200	1 day	Tablet	Fasted	PK	Aggregate	Model development
AI444002 <sup>[31]</sup>	RD, DB, PC, SAD	PAT	16	1, 10, 100	1 day	Tablet	Fasted	PK/VL	Aggregate/Individual	Model development
AI444003 <sup>[32]</sup>	RD, DB, PC, MAD	HV	6	30 QD	7 days	Tablet	Fasted	PK	Aggregate	Model development
AI444004 <sup>[33]</sup>	RD, DB, PC, MAD	PAT	24	1, 10, 30, 60, 100 QD; 30 BID	14 days	Tablet	Fasted	PK/VL	Aggregate/Individual	Model development
AI444007 <sup>[34]</sup>	RD, DB, PC, SAD	HV (Japan)	30	1, 10, 50, 100, 200	1 day	Solution	Fasted	PK	Aggregate	Model development
AI444007 <sup>[34]</sup>	RD, DB, PC, MAD	HV (Japan)	18	1, 10, 100 QD	14 day	Solution	Fasted	PK	Aggregate	Model development
AI444013 <sup>[35]</sup>	OL, SD, hepatic impairment	HCV-uninfected	30	30	1 day	Tablet	Fasted	PK	Aggregate	Model development
<b>ASV</b>										
AI447001 <sup>[36]</sup>	RD, DB, PC, SAD	HV	42	10, 50, 100, 200, 400, 600, 1200	1 day	Suspension	Fasted	PK	Aggregate	Model development
AI447002 <sup>[37, 38]</sup>	RD, DB, PC, SAD	PAT	20	10, 50, 200, 600	1 day	Suspension	Fasted	PK/VL	Aggregate/Individual	Model development
AI447003 <sup>[36]</sup>	RD, DB, PC, MAD	HV	36	10, 50, 100, 200, 400, 600 BID	14 days	Capsule	Fasted	PK	Aggregate	Model development
AI447004 <sup>[38]</sup>	RD, DB, PC, MAD	PAT	12	200, 400, 600 BID	3 days	Capsule	Fasted	VL	Individual	Model development
AI447005 <sup>[34]</sup>	RD, DB, PC, SAD	HV (Japan)	30	200, 400, 600, 900, 1200	1 day	Capsule	Fasted	PK	Aggregate	Model development
AI447005 <sup>[34]</sup>	RD, DB, PC, MAD	HV (Japan)	18	200, 400, 600 BID	14 days	Capsule	Fasted	PK	Aggregate	Model development
AI447010 <sup>[36]</sup>	OL, SD, ADME	HV	6	50, 200	1 day	Solution	Fasted	PK	Aggregate	Model development
AI447014 <sup>[39]</sup>	DDI	HV	19	200 BID	14 days	Tablet	Fed	PK	Aggregate	Model development
AI447015 <sup>[40]</sup>	DDI	HV	20	200 BID	1 day	Tablet	Fed	PK	Aggregate	Model development
AI447018 <sup>[39]</sup>	DDI	HV	19	200 BID	14 days	Tablet	Fed	PK	Aggregate	Model development
AI447033 <sup>[41]</sup>	OL, MD, renal impairment	HCV-uninfected	24	100 BID	7 days	Capsule	Fasted	PK	Aggregate	Model development
<b>DCV+ASV</b>										
AI447009 <sup>[42]</sup>	RD, OL, MD, PK interaction	HV	28	DCV 30 QD + ASV 200 BID	14 days	DCV tablet; ASV capsule	Fasted	PK	Aggregate	Model development
AI447011 Sentinel Cohort <sup>[43]</sup>	RD, OL, Phase 2a	PAT	11	DCV 60 QD + ASV 600 BID	24 weeks	DCV tablet; ASV capsule	Fasted	VR	Aggregate	Model evaluation
AI447011 Expansion Cohort <sup>[44]</sup>	RD, OL, Phase 2a	PAT	38	DCV 60 QD + ASV 200 QD or 200 BID	24 weeks	DCV tablet; ASV capsule	Fasted	VR	Aggregate	Model evaluation
AI447017 <sup>[45-47]</sup>	RD, OL, Phase 2a	PAT (Japan)	43	DCV 60 QD + ASV 200 BID	24 weeks	DCV tablet; ASV tablet	Fasted	VR	Aggregate	Model evaluation
AI447026 <sup>[48]</sup>	OL, Phase 3	PAT (Japan)	259	DCV 60 QD + ASV 100 BID	24 weeks	DCV tablet; ASV capsule	Fasted	VR	Aggregate	Model evaluation
AI447028 <sup>[44]</sup>	RD, OL, Phase 3	PAT	645	DCV 60 QD + ASV 100 BID	24 weeks	DCV tablet; ASV capsule	Fasted	VR	Aggregate	Model evaluation
AI447031 <sup>[49]</sup>	RD, Phase 3	PAT (Japan)	141	DCV 60 QD + ASV 100 BID	24 weeks	DCV tablet; ASV capsule	Fasted	VR	Aggregate	Model evaluation
AI447036 <sup>[50]</sup>	OL, Phase 3	PAT (Asian)	159	DCV 60 QD + ASV 100 BID	24 weeks	DCV tablet; ASV capsule	Fasted	VR	Aggregate	Model evaluation

DCV: dactatasvir; ASV: asunaprevir; RD: randomized; OL: open-label; DB: double-blind; PC: placebo-controlled; SD: single dose; MD: multiple dose; SAD: single ascending dose; MAD: multiple ascending dose; DDI: drug-drug interaction; ADME: absorption, distribution, metabolism and excretion; PAT: HCV patients; HV: healthy volunteers; QD: once daily; BID: twice daily; NA: not available; PK: pharmacokinetics; VL: viral load; VR: virological response.



**Table 2.** Baseline demographics of subjects in each study.

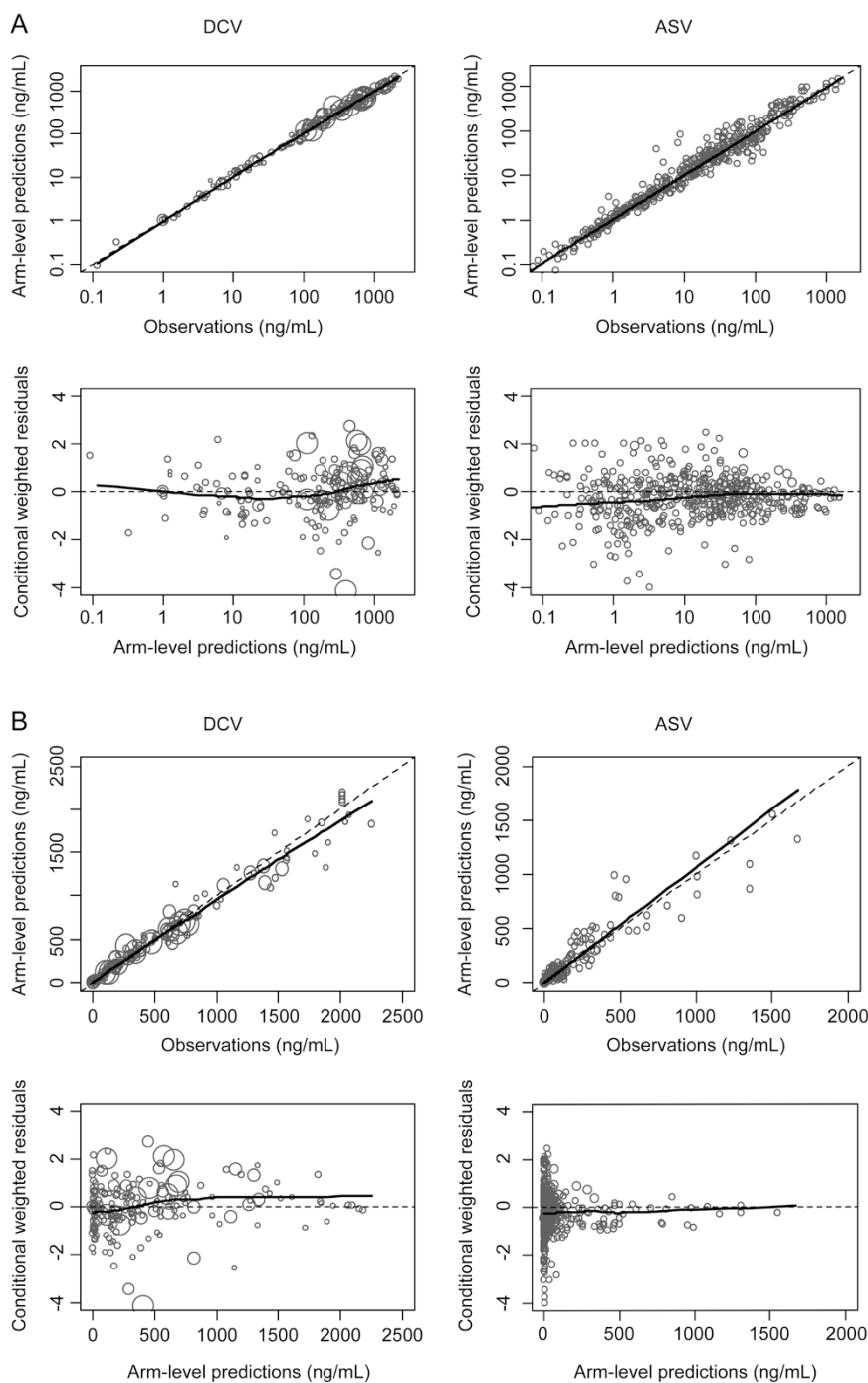
Study	Age (year)	Male (%)	BMI (kg/m <sup>2</sup> )	Caucasian (%)	Baseline RNA (IU/mL×10 <sup>5</sup> )	Treatment naïve (%)	Genotype 1A (%)
<b>DCV</b>							
AI444001	NA	NA	NA	NA	-	-	-
AI444002	41 [23, 49]	56	27 [21, 34]	75	66 [1.16, 376]	78	72
AI444003	NA	NA	NA	NA	-	-	-
AI444004	43 [29, 54]	83	29 [19, 35]	79	63 [0.06, 244]	100	71
AI444007	30 [20, 39]	100	22 [19, 25]	0	-	-	-
AI444013	NA	NA	NA	NA	-	-	-
<b>ASV</b>							
AI447001	32 [21, 49]	100	26 [20, 31]	27	-	-	-
AI447002	48 (4.94)	80	28 (3.52)	90	100 (22)	55	85
AI447003	35 [19, 48]	72	26 [19, 32]	78	-	-	-
AI447004	45 (13.4)	83	26 (4.22)	50	25 (22)	100	83
AI447005	29 [20, 49]	100	21 [19, 25]	0	-	-	-
AI447010	NA	NA	NA	NA	-	-	-
AI447014	NA [18, 49]	NA	NA [18, 32]	NA	-	-	-
AI447015	30 [23, 31]	100	23 [20, 26]	0	-	-	-
AI447018	NA [18, 49]	NA	NA [18, 30]	NA	-	-	-
AI447033	NA	NA	NA	NA	-	-	-
<b>DCV+ASV</b>							
AI447009	NA	NA	NA	NA	-	-	-
AI447011	54 [36, 61]	82	NA	82	79 (35)	0	82
Sentinel Cohort							
AI447011	55	63	NA	63	44 (24)	0	0
Expansion Cohort							
AI447017	65 [52, 70]	33	NA	0	50 (21)	0	0
AI447026	63 [24, 75]	35	NA	0	40 (25)	0	0
AI447028	58 [20, 83]	48	NA	70	NA	32	0
AI447031	57 [20, 75]	39	NA	0	73.5 (42)	84	0
AI447036	56 [20, 74]	35	NA	0	55.0 [0.1, 631]	0	0

DCV: daclatasvir; ASV: asunaprevir; NA: not available. Age, BMI and baseline RNA are expressed as median [range] or mean (standard deviation) if available.

**Table 3.** Population parameter estimates for the PK model of DCV and ASV.

Parameters	Description	DCV		ASV	
		Estimate (%RSE)	IAV CV% (%RSE)	Estimate (%RSE)	IAV CV% (%RSE)
CL (L/h)	Elimination clearance	5.24 (4.60)	23.2 (14.9)	432 (16.7)	43.8 (13.5)
V <sub>c</sub> (L)	Volume of central compartment	42.9 (6.80)	22.6 (15.2)	1720 (19.8)	64.3 (42.6)
Q (L/h)	Inter-compartment clearance	2.62 (17.7)	-	237 (20.2)	-
V <sub>p</sub> (L)	Volume of peripheral compartment	25.0 (12.7)	32.4 (17.3)	20.5 (25.4)	22.6 (16.8)
K <sub>a</sub> (h <sup>-1</sup> )	First-order absorption rate constant	1.17 (12.8)	28.3 (14.3)	0.0352 (8.53)	-
D (h)	Duration of zero-order absorption	-	-	2.58 (9.41)	39.2 (12.5)
FK <sub>Cap/Tab</sub>	Fraction of dose absorbed by the zero-order mechanism for capsule and tablet formulations	-	-	0.184 (14.8)	65.0 (23.7)
FK <sub>Sus/Sol</sub>	Fraction of dose absorbed by the zero-order mechanism for suspension and solution formulations	-	-	0.334 (18.9)	65.0 (23.7)
σ <sub>Prop</sub> <sup>2</sup>	Proportional residual error	42.2% (16.8)	-	49.5% (16.5)	-
σ <sub>Add</sub> <sup>2</sup>	Additive residual error	-	-	0.217 (20.1)	-

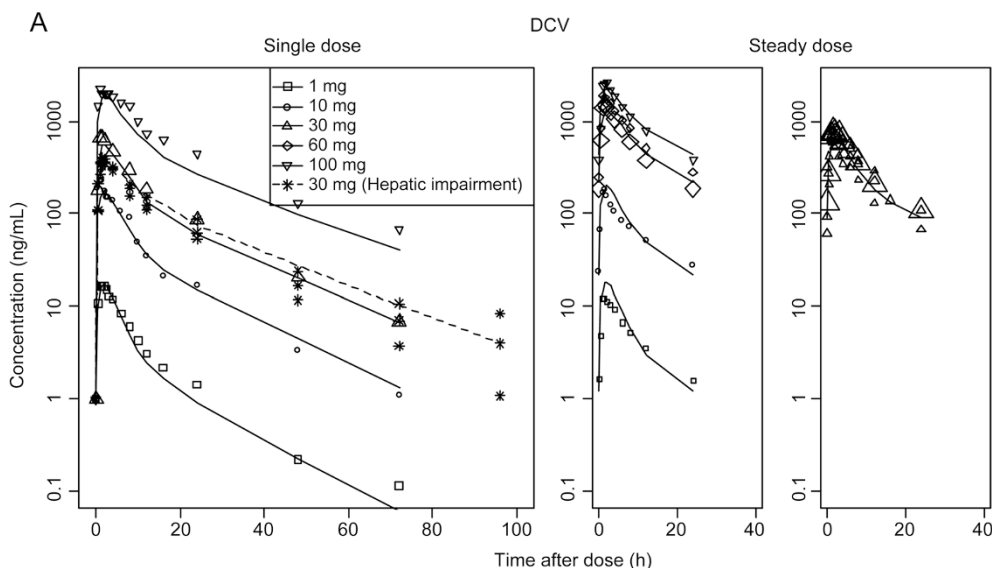
DCV: daclatasvir; ASV: asunaprevir; RSE: relative standard error; IAV: inter-arm variability; CV: coefficient of variation.



**Figure 2.** Goodness of fit plots in log-transformed scale (A) and normal scale (B) for the PK models of DCV and ASV. Circles represent observed concentrations. Solid and dashed lines are lowest regression lines and unity lines, respectively. The size of the symbol is proportional to the precision; a larger symbol indicates a more precise (*i.e.*, based on a larger sample size) observation.

in the objective function value ( $\Delta\text{OFV}=-92.3$ ,  $\text{df}=2$ ). The rate constants determining the equilibration process between the plasma and effect site were 0.0041 per day and 1.19 per day for DCV and ASV, respectively. The DCV concentration in the effect site was only 11% of plasma concentration after 7 days of treatment. This result was consistent with a previous finding by Ke *et al*<sup>[29]</sup> indicating that the active tissue concentration of DCV was 10-fold lower than its plasma concentration.

A significant improvement of the fit to the data was observed for both DCV and ASV when a sigmoid  $E_{\text{max}}$  model, rather than the  $E_{\text{max}}$  model, was applied for the exposure and antiviral effect relationship. Given the limited sample size ( $n=40$  for DCV,  $n=32$  for ASV) and unbalanced distribution of population by genotype ( $n=55$  for GT1A,  $n=17$  for GT1B) in the built-in modeling database, it was difficult to estimate the  $\text{IC}_{50}$  of DCV and ASV for both HCV GT1A and GT1B. The dif-



**Figure 3A.** Estimated vs observed DCV (A) PK profiles after single-dose (left panels) and multiple-dose (right panels, steady state) administration in healthy subjects, HCV patients and hepatic impairment subjects. Each symbol represents the observed mean plasma concentration at each time point in an arm of a trial and is symbol-coded by dose level. The size of the symbol is proportional to the precision; a larger symbol indicates a more precise (ie, based on a larger sample size) observation. The lines are the predicted PK time profiles based on developed PK models.

ferences in fold for the  $IC_{50}$  for GT1B vs GT1A were fixed to the values (0.18 for DCV and 0.3 for ASV) reported in previous preclinical studies<sup>[13, 51]</sup>. The  $IC_{50}$  of DCV was estimated to be 0.041  $\mu\text{g/L}$  (55.5 pmol/L, RSE 50.3%) and 0.0074  $\mu\text{g/L}$  (10.0 pmol/L) for GT1A and GT1B replicons, respectively, thus indicating that DCV has significant potency against both the GT1A and GT1B HCV replicons. This result confirmed a previous estimate of  $IC_{50}$  toward the GT1 replicons (50 pmol/L against GT1A, 9 pmol/L against GT1B)<sup>[51]</sup>. In *in vitro* studies, ASV demonstrated significant antiviral activity in the HCV replicon cell systems representing GT1A and GT1B, with  $IC_{50}$  values of 4.0 and 1.2 nmol/L, respectively<sup>[13]</sup>. The  $IC_{50}$  of ASV in our model was estimated to be similar: 2.45  $\mu\text{g/L}$  (3.2 nmol/L, RSE 96.4%) and 0.74  $\mu\text{g/L}$  (0.98 nmol/L) for GT1A and GT1B replicons, respectively. The coefficients of the exponential function describing the  $IC_{50}$  of DCV changing over time for GT1A and GT1B replicons were estimated to be 0.43 and 0.13, respectively. This result revealed that the  $IC_{50}$  of DCV would increase up to 423- and 6.2-fold for GT1A and GT1B replicons after 2 weeks of DCV monotherapy, thus potentially accounting for the viral rebound experienced in most patients on or before day 7 of treatment. Because no obvious resistance-associated viral rebound emerged in ASV SAD and MAD studies, the  $IC_{50}$  of ASV for both GT1A and GT1B replicons would only increase 3.1-fold, even with 24 weeks of ASV monotherapy treatment, according to our prediction. The large inter-individual variability of parameter estimates might be due to the lack of individual-level plasma concentrations, the inter-study variability of viral load data and the difference in the preexistence of drug-resistant mutants within a patient population.

The goodness-of-fit plots showed no evidence of model

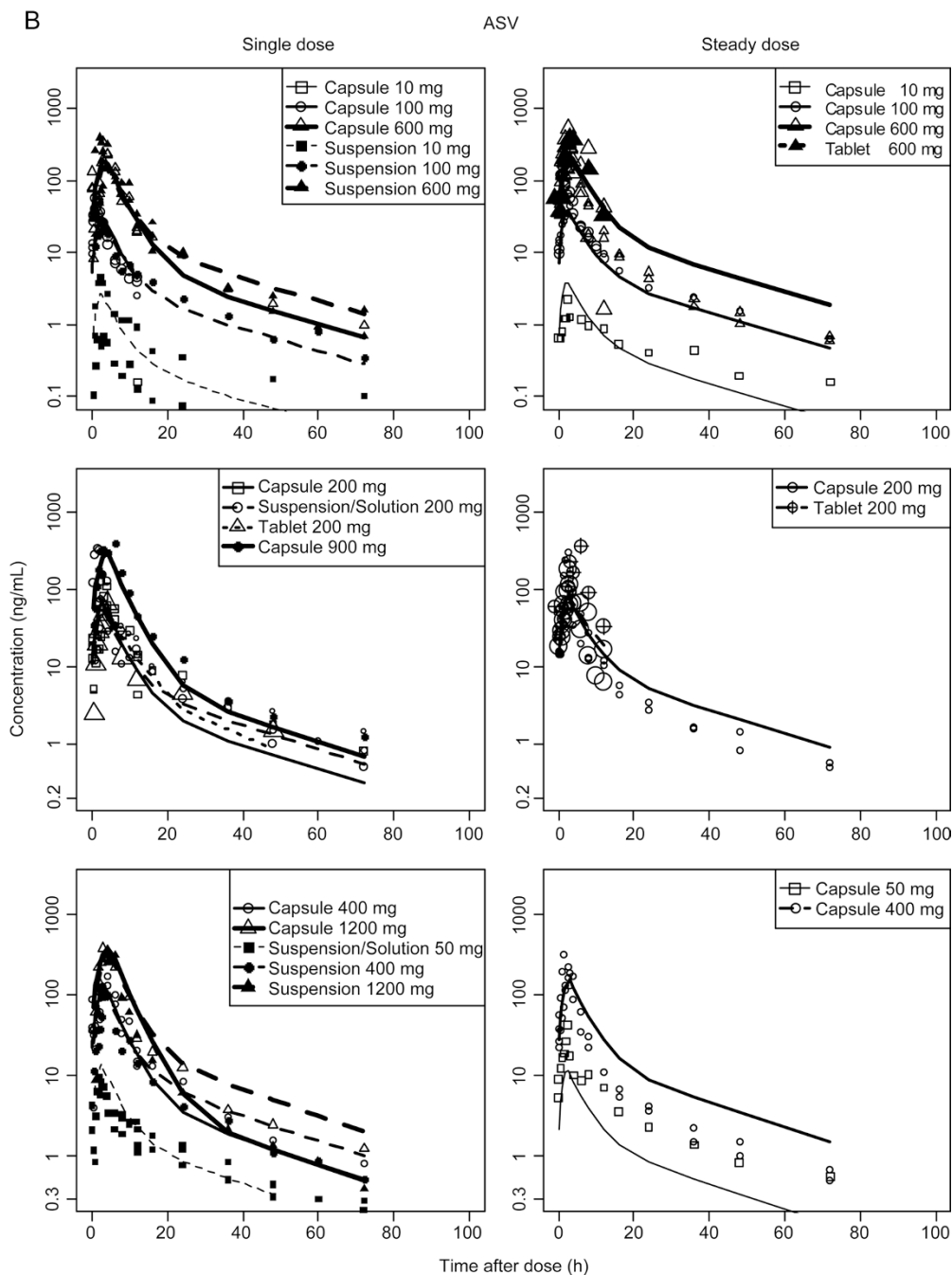
misspecification (Figure 4), and individual prediction plots (supplementary Figures S1–S4) showed that the developed HCV VD model described not only the sustained viral decline and viral breakthrough during treatment but also the viral rebound after therapy.

#### Model evaluation

The predictive performance of the model was assessed through external validation by comparing the expected and observed virological response rates in 2 Phase IIa studies (AI447011<sup>[43, 44]</sup> and AI447017<sup>[45–47]</sup>), 3 Asian Phase III studies (AI447026<sup>[48]</sup>, AI447031<sup>[49]</sup> and AI447036<sup>[50]</sup>) and 1 global Phase III study AI447028<sup>[14]</sup>.

The comparison between the predicted response rates of DCV and ASV combination therapy in patients with GT1A and GT1B HCV and observations in the validation studies are shown in Figure 5. Because the 100 mg BID of the ASV softgel capsule without regard to meals used in Phase III produced similar exposures relative to 200 mg BID of Phase II tablet<sup>[52]</sup>, the observed virological response rates of dual treatment with DCV 60 mg QD and ASV 200 mg BID tablet or 100 mg BID softgel capsule in patients with GT1B HCV infection were pooled and evaluated in the same simulated scenario, as shown in Figure 5, panel 1. In general, the observed response rates from treatment-naïve patients, null responders or patients intolerant/ineligible to PR therapy fell within the 95% confidence intervals of the median. The simulation scenario of dual treatment of DCV 60 mg QD+ASV 200 mg QD in HCV GT1B patients intolerant to, or medically ineligible for, PR therapy is shown in Figure 5, panel 2. Although the observed complete early virological response (EVR) rate at week 12 and the end-of-treatment response (ETR) rate at week





**Figure 3B.** Estimated vs observed ASV PK profiles after single-dose (left panels) and multiple-dose (right panels, steady state) administration in healthy subjects, HCV patients and hepatic impairment subjects. Each symbol represents the observed mean plasma concentration at each time point in an arm of a trial and is symbol-coded by dose level. The size of the symbol is proportional to the precision; a larger symbol indicates a more precise (*ie*, based on a larger sample size) observation. The lines are the predicted PK time profiles based on developed PK models.

24 were lower than the predictions, the model provided a good prediction of the rapid virologic response (RVR) rates at week 4 and SVR rates 4, 12 and 24 weeks after the end of the 24-week treatment. In HCV GT1A patients intolerant to, or medically ineligible for, PR therapy in the AI447011 sentinel cohort treated with DCV 60 mg QD+ASV 600 mg BID for 24 weeks, the observed response rates were below the 95% confidence interval therapy (Figure 5, panel 3). This result is most

probably due to the small sample size ( $n=11$ ) in the AI447011 sentinel cohort and to our model having been developed with viral load data derived mostly from treatment-naïve patients (approximately 80%).

## Discussion

In this article, we propose a PK/VD model to quantify changes in viral load with respect to changing DCV or ASV concentra-

**Table 4.** Population parameters of the HCV VD model and the corresponding parameter estimates obtained by 1000 bootstrap re-samplings in the HCV infected patients receiving DCV or ASV monotherapy.

Parameter	Description	Unit	Estimate (%RSE)	IIV CV%
$K_{ce, DCV}$	Rate constant from the central compartment to the effect compartment for DCV	Per day	0.0041 (36.5)	-
$K_{ce, ASV}$	Rate constant from the central compartment to the effect compartment for ASV	Per day	1.19 (8.4)	43.2
$T_{MAX}$	Maximum number of hepatocytes	Cells/mL	$18.5 \times 10^6$ (FIX)	-
$d$	Death rate constant of target cells	Per day	0.003 (FIX)	-
$R_0$	Basic reproductive ratio		7.15 (FIX)	-
$\delta$	Loss rate constant of infected cells	Per day	0.139 (FIX)	-
$c$	Clearance rate constant of virions	Per day	20.4 (6.8)	22.1
$p$	Production rate constant of virions	Virions/cells per day	148 (22.1)	141.1
$IC_{50, DCV, GT1A}$	$IC_{50}$ of DCV blocking production of GT1A virions	$\mu\text{g/L}$	0.041 (50.3)	219.4
$SCL_{IC50,DCV}$	Scaling factor for $IC_{50}$ of DCV between GT1B and GT1A virions	-	0.18 (FIX)	-
$\gamma_{DCV}$	Shape factor of the sigmoidal $E_{max}$ model for DCV	-	2.25 (36.8)	29.3
$K_r, DCV, GT1A$	Coefficient of exponential function describing $IC_{50, DCV, GT1A}$ changing over time	Per day	0.43 (19.2)	68.2
$K_r, DCV, GT1B$	Coefficient of exponential function describing $IC_{50, DCV, GT1B}$ changing over time	Per day	0.13 (12.4)	68.2
$IC_{50, ASV, GT1A}$	$IC_{50}$ of ASV blocking production of GT1A virions	$\mu\text{g/L}$	2.45 (78.2)	96.4
$SCL_{IC50,ASV}$	Scaling factor for $IC_{50}$ of ASV between GT1A and GT1B virions	-	0.30 (FIX)	-
$\gamma_{ASV}$	Shape factor of the sigmoidal $E_{max}$ model for ASV	-	2.01 (40.6)	-
$K_r, ASV$	Coefficient of exponential function describing $IC_{50, ASV}$ changing over time	Per day	0.007 (20.1)	-
$\sigma_{DCV}^2$	Additive residual error for DCV	-	0.27 (10.8)	-
$\sigma_{ASV}^2$	Additive residual error for ASV	-	0.29 (9.3)	-

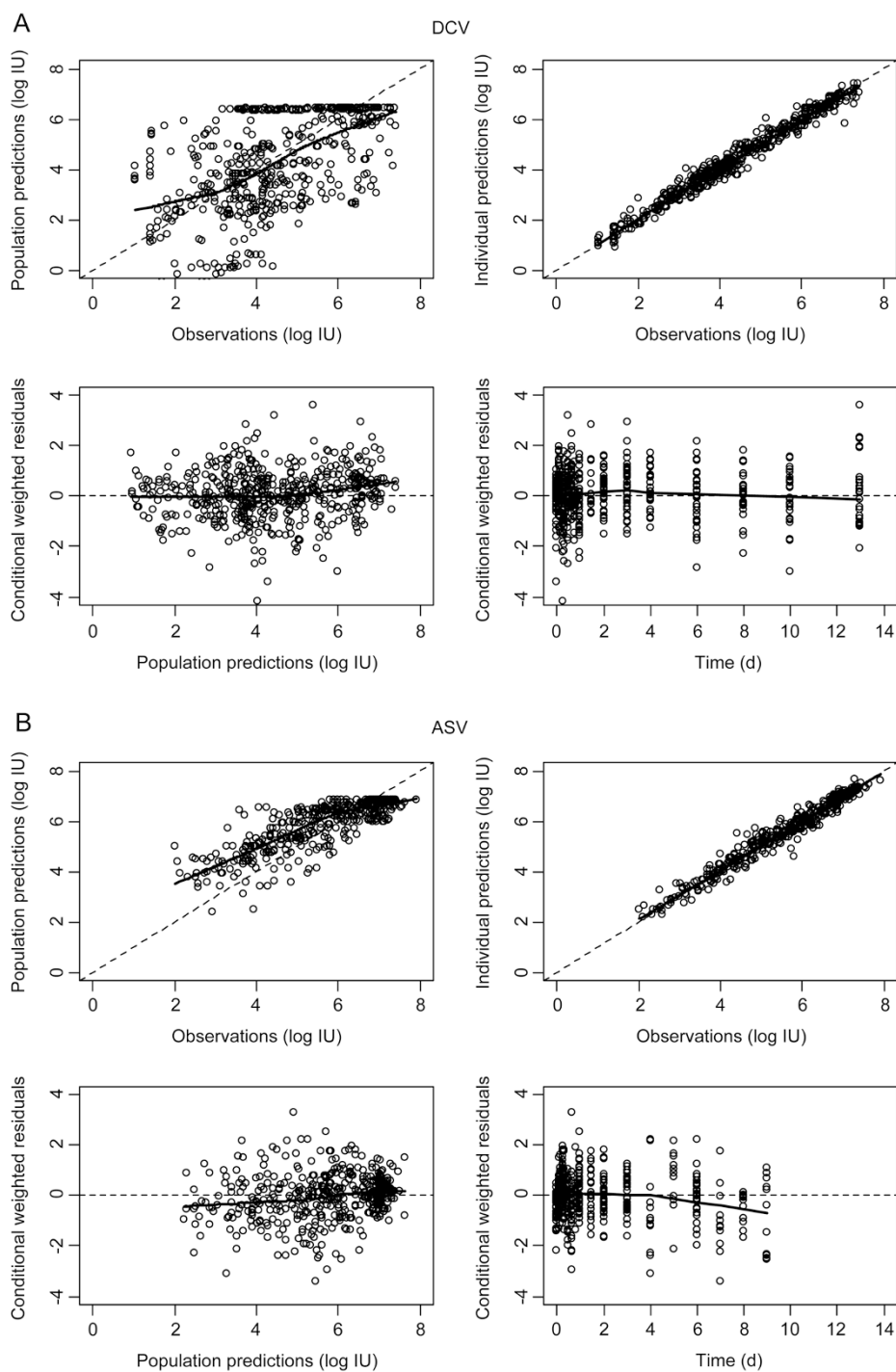
DCV: daclatasvir; ASV: asunaprevir; RSE: relative standard error; IIV: inter-individual variability; CV: coefficient of variation.

tions in patients with GT1 HCV. This study demonstrates a suitable strategy to predict the clinical endpoints of DCV and ASV combination therapy with early phase PK and viral load data.

To our knowledge, no previous publications have described a PK/VD model for ASV. Guedj *et al*<sup>[21]</sup> has presented a multiscale model of HCV viral dynamics for DCV that can predict the extremely rapid decline of HCV RNA, which decreases approximately 2 logs within 6 h post-dose and is followed by a slower phase of decline. However, this multiscale model was not able to describe the viral breakthrough or viral rebound associated with persistence of DCV-resistant HCV variants observed in a MAD study<sup>[53]</sup>. To interpret the rapid emergence of drug-resistant HCV variants in patients treated with telaprevir, another NS3/4A protease inhibitor, Rong *et al*<sup>[25]</sup> have developed a VD model with five variables: target cells, drug-sensitive virus, drug-resistant virus, cells infected with drug-sensitive virus, and cells infected with resistant virus. Cells infected with drug-sensitive virus were assumed to generate drug-resistant virus with low probability. The preexistence and generation of drug-resistant mutants during therapy, which were less sensitive to the drug, resulted in viral rebound and relapse. We attempted to use Rong's model to fit our data but found that this model was too complicated to converge and too time consuming in NONMEM. Therefore, a simple generalized VD model with three variables and an increasing  $IC_{50,ijk}$  changing over time during therapy was chosen for our analysis.

According to theory<sup>[54]</sup>, the first phase of decline is due to treatment effectiveness in blocking viral production,  $E$ , and results in a decline in viral load at a rate near the virion clear-

ance rate,  $c$ , with the magnitude of viral decline dependent on  $E$ . With lower amounts of virus, less *de novo* infection occurs, and the infected cells are not efficiently replaced, thereby generating a second phase of viral decline at a rate determined mainly by the loss rate of infected cells,  $\delta$ . Thus,  $c$  and  $\delta$  were the most important and sensitive parameters to capture a biphasic decline in viral load. However, only the first rapid decline phase during the first 24 h has been observed in the monotherapy studies (supplementary Figure S1 and Figure S3). After that, the viral load rebounds to the baseline level, which is mainly determined by the virion production rate  $p$ . Therefore,  $c$  and  $p$  were re-estimated for best fitting to the data, and the rest of the system-specific parameters in this VD model were fixed to the literature values. Dahari *et al*<sup>[55]</sup> have extended this generalized model through the inclusion of homeostatic proliferation of both uninfected and infected cells, to explain the "shoulder phase" (4–28 days) of a triphasic decline in which the viral load decays slowly or remains constant. Nevertheless, such triphasic declines have been reported only in patients treated with IFN or IFN plus RBV<sup>[56]</sup>; moreover, these declines were not observed in our study and do not occur frequently in common clinical practice<sup>[57]</sup>. Furthermore, Rong *et al*<sup>[25]</sup> have found that the proliferation of infected hepatocytes is negligible in fitting the data of another protease inhibitor, telaprevir. A sensitivity analysis of the inclusion of proliferation rates for neither, one or both uninfected and infected hepatocytes in our model was conducted. The results showed that removing the proliferation rate for both uninfected and infected cells did not result in significant changes in OFV and model performance. For simplicity, proliferation rates for hepatocytes were excluded in our VD

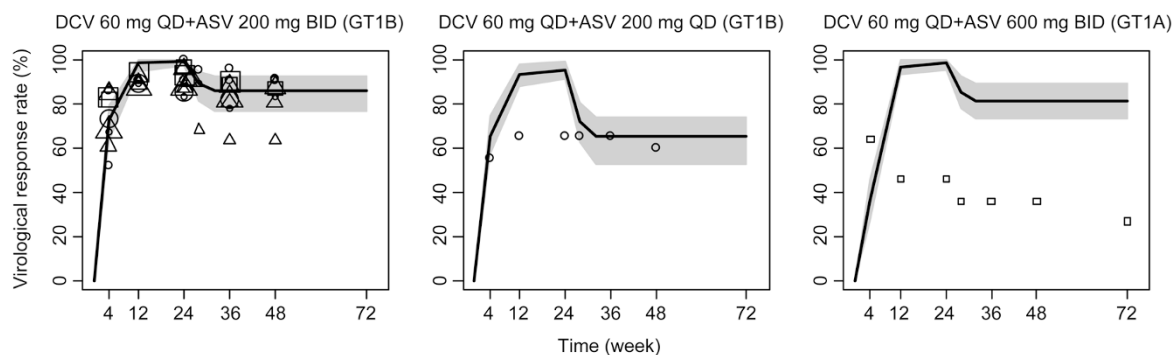


**Figure 4.** Goodness-of-fit plots of the final VD models for (A) DCV and (B) ASV.

model.

The prediction of later-phase virological response rate from early phase outcomes is a critical and challenging issue for the development of HCV antiviral agents. RVR<sup>[58, 59]</sup> and EVR<sup>[60]</sup> are considered useful and important predictors of SVR across genotypes in patients with chronic HCV infection. However, these methods are often relatively rough and inaccurate. Many studies<sup>[15, 23-26]</sup> have revealed that PK/VD modeling anal-

ysis is a better, more accurate approach to predict virological response from viral load data. A common drawback of most these previous studies is that their models do not exhibit the prediction capability of virological response beyond the time range of the viral load observations. The simulation of three scenarios with different dosing regimens and populations showed that our approach provides the possibility to extrapolate predictions of external response rates during therapy and



**Figure 5.** Predictive performance of the PK/VD model for observed response rates of combination therapy of DCV and ASV in patients with GT1A and GT1B HCV in external validation studies. Black lines: predicted response rate curves; Gray area: 95% confidence intervals of predicted response rates; Squares: PR treatment-naïve population; Cycles: PR null responders; Triangles: PR ineligible/intolerant population. The size of the symbol is proportional to the precision; a larger symbol indicates a more precise (*ie*, based on a larger sample size) observation.

SVR rates post-treatment for HCV GT1B null responders only, by using PK and viral load data from studies no longer than 2 weeks in duration.

In the current era of anti-HCV drug therapy, combining multiple DAAs from different classes is a primary approach for improving antiviral effects, decreasing the doses of individual drugs, limiting the side effects of antiviral drugs and preventing the emergence of drug-resistant viruses<sup>[16]</sup>. Mathematical models of viral infection dynamics provide an ideal tool for optimizing the benefits of multi-drug combinations via the investigation of interactions between the combined drugs. Biological research exploring the mechanism of drug combination effects indicates that the relationship between two DAAs targeting different viral proteins is intermediate between additive and synergistic<sup>[61]</sup>. Thus, a simulation was also performed by assuming that the combined efficacy of DCV and ASV was based on the Bliss independence theory<sup>[16]</sup>. However, over-predictions were observed in all simulated scenarios. Ideally, intensive viral load data from a DCV and ASV combination therapy study could be used to investigate the potential pharmacodynamic interactions between DCV and ASV, which might improve prediction of response rates to combination therapy. Unfortunately, the currently available individual-level viral load data obtained from AI447011 and AI447017 were too sparse to estimate the synergistic factor between DCV and ASV.

In conclusion, the integrated PK/VD model developed in this study is the first effort to explain the complex relationships among PK exposure, short-term viral load changes and long-term virological response in HCV GT1 infected patients receiving monotherapy or combination therapy with DCV and ASV. This modeling and simulation approach may provide a useful tool for the preliminary evaluation of later-phase long-term antiviral effects of treatments with a combination of direct acting antiviral agents with different mechanisms of action in HCV patients, on the basis of the information in the PK profile and the effect on viral load changes for each antiviral agent at an early development stage.

### Author contribution

Liang LI, Wei LU, Gai-Ling LI, Jenney ZHENG and Tian-yan ZHOU designed research; Liang LI, He-chuan WANG, Yu-peng REN, Yue QIU and Jenny ZHENG performed research; Liang LI, He-chuan WANG, Yu-peng REN analyzed data; and Liang LI, He-chuan WANG, Wei LU, Gai-ling LI, Chuan-pu HU wrote the paper.

### Supplementary information

Supplementary information is available at the website of Acta Pharmacologica Sinica.

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