
Evaluation of Matrix-Assisted Laser Desorption Ionization Mass Spectrometry for Studying the *sec*-butyllithium and *n*-butyllithium Initiated Ring-Opening Polymerization of Hexamethylcyclotrisiloxane (D_3)

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Matrix assisted laser desorption ionization (MALDI) was used to study the organolithium initiated ring-opening polymerization of hexamethylcyclotrisiloxane (D_3) in a mixed solvent system. The mass spectral peak intensities were monitored to determine the effects of polymerization time, initiator concentration, and reaction temperature on the formation of the mono, di, and trisiloxanolate initiator species and the extent of chain redistribution. The three initiator species were formed by reacting *n*-butyllithium and *sec*-butyllithium with D_3 in nonpolar solvent. The mass spectral results showed that *sec*-butyllithium and *n*-butyllithium form different populations of initiator species under the same conditions and that the measured mass spectral peak intensities do not accurately represent the population of siloxanolate initiator species prior to propagation. The changes in peak intensities were attributed to chain redistribution. (J Am Soc Mass Spectrom 2003, 14, 95–101) © 2003 American Society for Mass Spectrometry

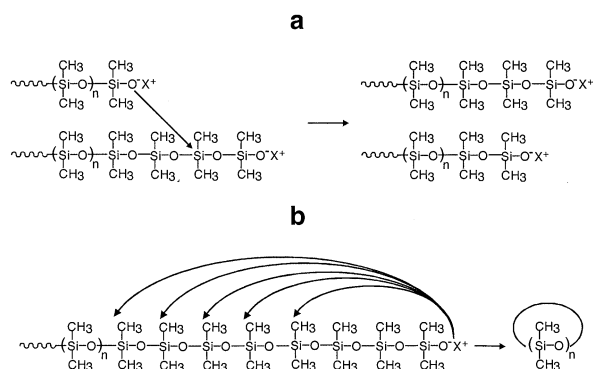
Linear polydimethylsiloxane (PDMS) is primarily synthesized by either the condensation of difunctional silanols or the cyclo-addition polymerizations of three (hexamethylcyclotrisiloxane, D_3) or four (octamethylcyclotetrasiloxane, D_4) membered siloxane rings [1, 2]. The molecular weight and product purity in these systems are difficult to control because both methods are susceptible to side reactions that broaden the polydispersity (M_w/M_n). The most common side reactions are chain redistribution and cyclization shown in Scheme 1. Chain redistribution occurs when a propagating chain reacts with another chain to remove a segment of polymer without terminating the reaction. Cyclization occurs when a propagating chain reacts with itself to form cyclic species (D_3 , D_4 , D_5 , etc.). This type of side reaction is commonly referred to as chain backbiting. Lee et al. [3] first reported a new method for producing narrow molecular weight PDMS that was free of cyclic impurities. It was shown that *n*-butyllithium opened the D_3 ring in nonpolar solvents to form lithium siloxanolate initiators but did not propagate

without the addition of a small amount (1–3%) of base promoting solvent (in this case these include polar aprotic solvents such as DMSO and THF). The control of the initiator basicity coupled with the high reactivity of the D_3 monomer significantly reduced chain redistribution and eliminated the formation of cyclic impurities.

The reaction between organolithium initiators and D_3 is not well understood due in large part to the unique behavior of the initiators and the lithium counterions in solution. Organolithium compounds associate in nonpolar solvents to form aggregated species of various degrees due to the electron deficient nature of the lithium atom [4]. *N*-butyllithium, for example, forms a hexameric aggregate [5] whereas *sec*-butyllithium forms a tetrameric aggregate [6] in hydrocarbon solvents. Studies involving the reaction of organolithium species with styrene [7, 8] and dienes [8, 9] have shown that the degree of association directly affects the reactivity. The less associated organolithium species are found to be generally more reactive. Upon reacting with D_3 , it has been proposed that the lithium counter ions associate to form lithium siloxanolate aggregates. The degree to which these proposed structures are associated and the mechanism that allows a D_3 molecule to react with the aggregated lithium siloxanolate end is

Published online January 6, 2003

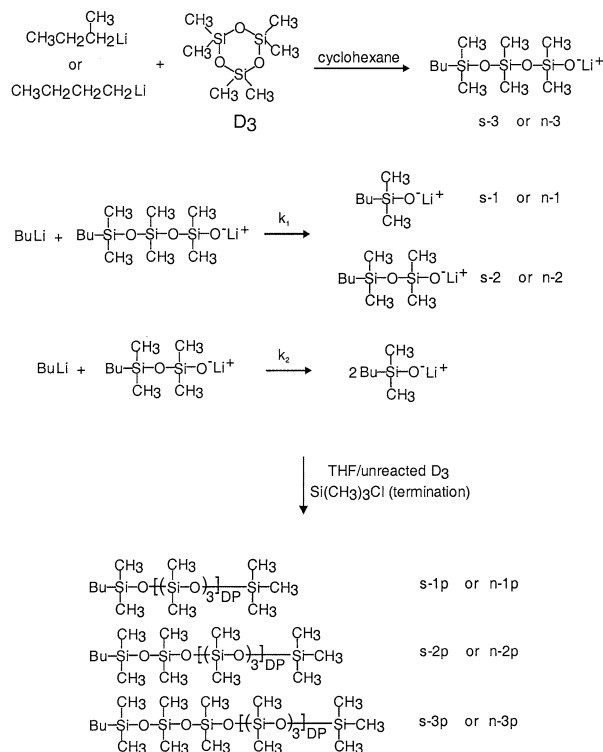
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Scheme 1. Chain redistribution (a) and chain backbiting (b) mechanisms for anionically synthesized PDMS.

unclear. Thus, the formation and reaction kinetics of the lithium siloxanolate initiating species has been an active area of research for more than thirty years.

Frye et al. [10] studied the reactions between several organolithium reagents and a series of siloxane monomers in an attempt to identify the lithium siloxanolate initiator species. The gel permeation chromatography results showed that *n*-butyllithium reacted with D_3 to form three lithium siloxanolate species that are shown in Scheme 2. When the *n*-butyllithium and D_3 were mixed in a 3:1 ratio in hexane, the monosiloxanolate (*n*-1) species was found to form exclusively. Fessler et al. [11] determined the relative oligomerization rates of *n*-1 towards D_3 and D_4 in various solvents. The study by Wilczek et al. [12] investigated the aggregation phe-



Scheme 2. Sec-butyllithium and *n*-butyllithium initiated ring-opening polymerization of hexamethylcyclotrisiloxane (D_3).

nomena associated with the organolithium/ D_3 system and showed that the degree of aggregation dominated the kinetics of polymerization for a variety of temperatures.

A new approach for studying these systems was introduced by Hunt et al. [13, 14] where time of flight secondary ion mass spectrometry (TOF-SIMS) was used to determine the relative amounts of initiator species (Scheme 2: s-1, -2, and -3) from the sec-butyllithium initiated ring-opening polymerization of D_3 . Unlike the earlier studies that were restricted to analyzing low molecular weight oligomers, Hunt and coworkers analyzed the higher mass final polymer product. The resulting mass spectra showed a repeating pattern every three repeat units (74 *m/z*) that correlated to the relative population of initiator species. Similar repeat patterns were observed by Yan et al. while analyzing a series of commercially obtained PDMS samples by TOF-SIMS, matrix assisted laser desorption ionization mass spectrometry (MALDI), and electrospray ionization mass spectrometry (ESI-MS) [15]. The mass spectral results for different molecular weight PDMS samples suggested that sec-butyllithium formed different amounts of siloxanolate initiator compared with the earlier findings on *n*-butyllithium initiated systems. Haddleton et al. [16] recently reported on MALDI results from *n*-butyllithium initiated D_3 polymerizations in THF. This study confirms that there are different populations of siloxanolate species and that they change as a function of reaction conditions. This change in peak intensities was attributed to side reactions such as chain redistribution and backbiting. Other studies have also used mass spectrometry to determine structural and mechanistic information on polymeric systems that would not be possible with other techniques [17–20].

This study addresses a number of fundamental issues in organolithium initiated polymerizations of D_3 by using MALDI mass spectrometry. The first goal of this study was to establish a quantitative way of representing the mass spectral peak populations in order to make empirical comparisons between spectra. This is essential for extracting kinetic information. Secondly, we wanted to determine whether the relative peak intensities at each degree of polymerization in the mass spectra were true representations of the siloxanolate initiator populations. The earlier mass spectral studies did not establish this [13–15]. The third issue addressed in this study was to evaluate the changes in peak intensities as a function of reaction conditions such as the polymerization time, initiator concentration, and the reaction temperature. Unlike Haddleton's work that looked at the effects of polymerization time for *n*-butyllithium initiated D_3 in neat THF, we were interested in a mixed solvent system that allowed the initiators to form before polymerization started (i.e., before the base promoting THF was added to the cyclohexane, Scheme 2). The final objective was to

directly compare the behavior of sec-butyllithium and n-butyllithium under identical reaction conditions.

Experimental

Materials

Hexamethylcyclotrisiloxane (D_3) (Gelest, Tullytown, PA, 98%) was dried over calcium hydride for 24 h in an argon atmosphere. The cyclohexane and tetrahydrofuran was dried over sodium/benzophenone in an argon environment for at least three days prior to use. Sec-butyllithium (Aldrich, Milwaukee, WI, 1.3 M in cyclohexane) and n-butyllithium (Aldrich, 1.6 M in cyclohexane) were used as received and titrated at least three times before each experiment using the method described by Gilman [21]. The diethylether (Mallinkrodt, Paris, KY) was dried over sodium/benzophenone in argon for at least three days and the dibromoethane (Aldrich) was dried over phosphorous pentoxide (Fisher, Pittsburgh, PA) for 12 h prior to use. The terminating species (trimethylchlorosilane, Gelest) was used as received. All glassware was dried overnight in an oven at 110 °C. All of the dried reagents were transferred via a vacuum transfer line or gas-tight syringes.

PDMS Synthesis (Time)

Two separate 54 mL solutions of 0.6 M D_3 /cyclohexane were prepared and stirred over a positive pressure of argon at 26 °C. A 1.60 mL (8.0×10^{-4} moles) aliquot of a 0.50 ± 0.04 M sec-butyllithium/cyclohexane solution was added to one of the D_3 /cyclohexane solutions and allowed to stir for 2 h over argon. A 0.38 mL (8.1×10^{-4} moles) aliquot of a 2.13 ± 0.02 M n-butyllithium/cyclohexane solution was added to the second D_3 /cyclohexane solutions and stirred for 2 h over argon. After two h of stirring, 6.0 mL of dry THF was added to both solutions over vigorous stirring. The polymerizations were carried out at 26 °C over an argon atmosphere. 10.0 mL aliquots were removed from these two solutions via a gas-tight syringe at 3, 6, 12 and 24 h and transferred to separate argon charged flasks and stirred. After transferring each 10 mL aliquot to the argon charged flasks, 0.50 mL of trimethylchlorosilane was added to terminate the polymerization. Excess reagents were removed by vacuum and the resulting residue was immediately dissolved in methylene chloride. The organic solution was washed three times with distilled water. The methylene chloride was then removed by vacuum.

PDMS Synthesis (Concentration)

Two separate 30.0 mL 0.6 M D_3 /cyclohexane solutions were prepared and stirred over a positive pressure of argon at 26 °C. For each initiator, three flasks were prepared with 9.0 mL aliquots of the 0.6 M solution. For the sec-butyllithium; 0.45 mL (7.2×10^{-4} moles), 0.30

mL (4.8×10^{-4} moles), and 0.15 mL (2.4×10^{-4} moles) of a 1.61 ± 0.04 M sec-butyllithium/cyclohexane solution were added to each flask via a gas-tight syringe over vigorous stirring. For the n-butyllithium, 0.40 mL (7.5×10^{-4} moles), 0.27 mL (5.0×10^{-4} moles), and 0.13 mL (2.5×10^{-4} moles) of a 1.88 ± 0.03 M n-butyllithium/cyclohexane solution were added to each flask via a gas-tight syringe over vigorous stirring. After 2 h of stirring over argon, 1.0 mL of dry THF was added to each flask and the polymerizations were allowed to proceed for 48 h. The polymerizations were carried out at 26 °C over an argon atmosphere. At the end of 48 h, the polymerizations were terminated with trimethylchlorosilane and purified by the aforementioned method.

PDMS Synthesis (Temperature)

Two separate 72.0 mL 0.6 M D_3 /cyclohexane solutions were prepared and stirred over a positive pressure of argon at 26 °C. For each initiator, three flasks were prepared with 9.0 mL aliquots of the 0.6 M solution. Each flask was immersed in temperature-controlled baths that were held at 0, 30, and 45 °C and stirred for 20 min. For the sec-butyllithium, 0.50 mL (1.4×10^{-4} moles) of a 0.29 ± 0.01 M sec-butyllithium/cyclohexane solution was added to each solution via a gas-tight syringe. For the n-butyllithium, 0.50 mL (1.4×10^{-4} moles) of a 0.29 ± 0.02 M n-butyllithium/cyclohexane solution was added to each flask via a gas-tight syringe. The solutions were stirred over argon for 2 h before adding 1.0 mL of dry THF to each flask. The polymerizations were terminated with trimethylchlorosilane after 12 h. The final PDMS product was purified in the same way as described previously.

Instrumentation and Sample Preparation

The polymer samples were analyzed on a Perseptive Biosystems (Foster City, CA) Voyager D-7 matrix assisted laser desorption ionization mass spectrometer (MALDI-TOF) equipped with a 337 nm N_2 laser and a time of flight analyzer. The instrument was operated in the positive ion linear mode with an accelerating voltage of 25 kV. The samples were prepared by depositing 2.0 μ l of a 30 mg/mL 2,5-dihydroxybenzoic acid (DHB)/THF solution onto a gold coated sample plate. The THF was allowed to evaporate prior to depositing 1.0 μ l of a 0.2 to 1.8 mg/mL PDMS/THF solution depending on the molecular weight of the polymer sample. The sample was introduced into the instrument after allowing the THF to evaporate for 10 min.

Results and Discussion

The degree of polymerization (DP) is defined as the number of monomer units in a polymer chain. In the organolithium initiated ring-opening polymerization of D_3 , a degree of polymerization includes three siloxane

units ($[\text{Si}(\text{CH}_3)_2\text{O}]_3$). This addition, however, occurs in the presence of three separate initiator species (Scheme 2) whose masses differ by 74 Da. Therefore, each three peak repeating pattern in a mass spectrum corresponds to a degree of polymerization. Scheme 2 shows the formation of the three initiator species prior to the addition of THF and their respective labels denoted as s-1, -2, or -3 and n-1, -2, or -3. The label prefixes (“s” or “n”) represent either sec-butyllithium or n-butyllithium. The polymer chains are labeled as s-1p, -2p, or -3p and n-1p, -2p, or -3p. The mass spectral peaks corresponding to polymer chains with $\text{Bu}[\text{Si}(\text{CH}_3)_2\text{O}]_1\{[\text{Si}(\text{CH}_3)_2\text{O}]_3\}_{\text{DP}}\text{Si}(\text{CH}_3)_3$ are abbreviated as either s- or n-1p, chains with $\text{Bu}[\text{Si}(\text{CH}_3)_2\text{O}]_2\{[\text{Si}(\text{CH}_3)_2\text{O}]_3\}_{\text{DP}}\text{Si}(\text{CH}_3)_3$ are abbreviated as s- or n-2p, and chains with $\text{Bu}[\text{Si}(\text{CH}_3)_2\text{O}]_3\{[\text{Si}(\text{CH}_3)_2\text{O}]_3\}_{\text{DP}}\text{Si}(\text{CH}_3)_3$ are abbreviated as s- or n-3p.

The relative intensities of the s- or n-1p, -2p and -3p polymer chains were determined by normalizing the intensities of the mass spectral peaks for each degree of polymerization.

$$(\text{Normalized } 1\text{p})_{\text{DP}} = 1\text{p}_{\text{DP}} / (1\text{p} + 2\text{p} + 3\text{p})_{\text{DP}}$$

$$(\text{Normalized } 2\text{p})_{\text{DP}} = 2\text{p}_{\text{DP}} / (1\text{p} + 2\text{p} + 3\text{p})_{\text{DP}}$$

$$(\text{Normalized } 3\text{p})_{\text{DP}} = 3\text{p}_{\text{DP}} / (1\text{p} + 2\text{p} + 3\text{p})_{\text{DP}}$$

The normalized intensities of the mass spectral peaks for each degree of polymerization were averaged across all degrees of polymerization in order to minimize mass discrimination effects that dominate in more polydisperse polymers [22, 23].

$$\text{Average } 1\text{p} = [(\text{Normalized } 1\text{p})_{\text{DP}} + (\text{Normalized } 1\text{p})_{\text{DP}+1} + \dots + (\text{Normalized } 1\text{p})_{\text{DP}+n}] / (n + 1)$$

$$\text{Average } 2\text{p} = [(\text{Normalized } 2\text{p})_{\text{DP}} + (\text{Normalized } 2\text{p})_{\text{DP}+1} + \dots + (\text{Normalized } 2\text{p})_{\text{DP}+n}] / (n + 1)$$

$$\text{Average } 3\text{p} = [(\text{Normalized } 3\text{p})_{\text{DP}} + (\text{Normalized } 3\text{p})_{\text{DP}+1} + \dots + (\text{Normalized } 3\text{p})_{\text{DP}+n}] / (n + 1)$$

These three values were then averaged with the other two mass spectral data and reported in this study. Figure 1 shows two representative spectra for sec-butyllithium initiated PDMS (Figure 1a) and n-butyllithium initiated PDMS (Figure 1b). It is clear that there are different peak intensities for each degree of polymerization. Figure 2 shows the effect of polymerization time on the peak intensities for sec-butyllithium at a DP of 6 and for n-butyllithium at a DP of 9. The polymerization time dependence on the mass spectral peak intensities was measured to determine if the intensity values were true representations of the siloxanolate initiator populations. The mass spectra of polymers initiated with sec-butyllithium showed significant populations of s-1p and s-2p peaks with smaller contributions from s-3p after 3 h of polymerization. As the polymerization continued, the s-3p peak intensity increased while the s-1p peak intensity decreased. The

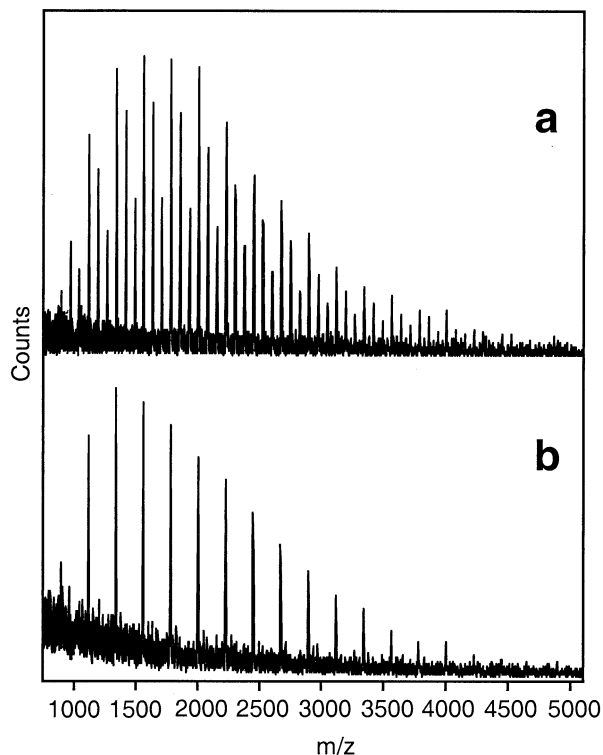


Figure 1. Positive ion spectra for sec-butyllithium (a) and n-butyllithium (b) initiated polymerizations of PDMS.

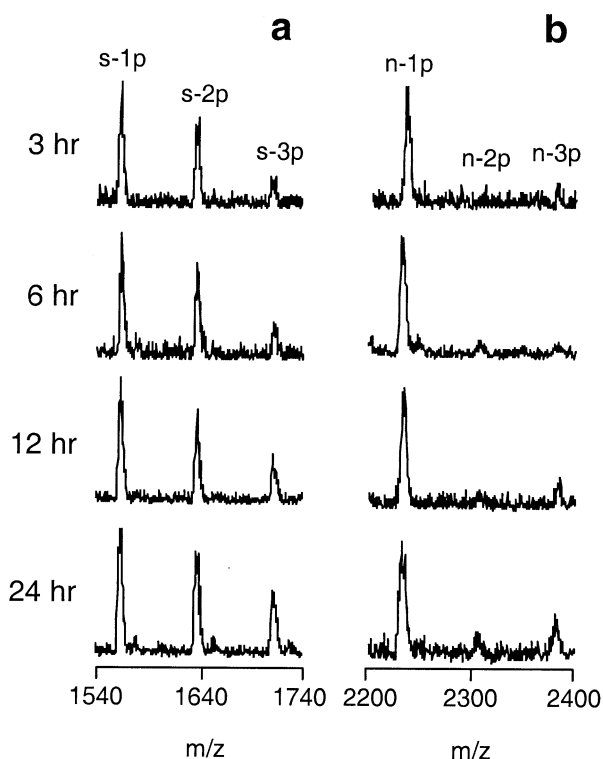


Figure 2. Positive ion spectra of one degree of polymerization (DP) for both sec-butyllithium (a), (DP = 6) and n-butyllithium (b), (DP = 9) initiated polymerizations after 3, 6, 12, and 24 h of polymerization.

n-butyllithium analogues behaved similarly in that the *n*-2p and *n*-3p intensities increased at the expense of the *n*-1p peaks. These results established that the populations of the three polymer chain types do not accurately represent the initial populations of initiator species (e.g., *s*-1 \neq *s*-1p).

The quantitative changes from the mass spectra of both the *n*-butyllithium and *sec*-butyllithium initiated PDMS are shown in Figure 3. Both initiator systems show statistically significant changes in the three types of polymer chains as the polymerization time increased. Previous studies on these systems in polar solvents found that approximately 90% of the D_3 was converted to polymer after 3 h above room temperature [2, 24]. After this time, less favorable side reactions become more prevalent as the reactive monomer concentration decreased. Based on these previous studies, we must assume that the conversion of D_3 to polymer occurs very rapidly and that the changes in Figure 3 cannot be attributed to the initiation step. The changes in Figure 3 are attributed to the redistribution of siloxane units from growing polymer chains. We conclude that if chain redistribution were not occurring, the polymer chains would only grow by three siloxane repeat units (i.e., the opening of the D_3 ring). However, it is clear from the mass spectral peak intensities after 3 h of polymerization that the *s*-1 and *n*-1 initiator species dominate the overall population early in the reaction. The exact extent of this dominance prior to propagation cannot be determined from the current data. However, it is clear from the data that the formation constants for the *sec*-butylsiloxanolate initiators are considerably different than for the *n*-butylsiloxanolate initiators. This difference is believed to be the result of steric hindrance. Efficient nucleophilic attack of the tri-siloxanolate by unreacted organolithium species (Scheme 2) favors the *n*-butyllithium [10] opposed to the more sterically hindered *sec*-butyllithium. The α -substituted *sec*-butyllithium slows the rate of *s*-3 cleavage and facilitates more time for D_3 ring-cleavage.

Figure 4 shows the quantitative changes in peak intensities as a function of initiator concentration. The *sec*-butyllithium systems showed changes in peak intensities whereas the *n*-butyllithium did not show any changes over the concentration range studied. Figure 4a shows that lower concentrations of *sec*-butyllithium produce more *s*-3p than at higher concentrations. This is attributed to the initial siloxanolate populations in solution prior to propagation. The lower amounts of *sec*-butyllithium allow more *s*-3 to survive whereas at higher concentrations there is more unreacted *sec*-butyllithium in solution to attack the *s*-3 and *s*-2 to form mono-siloxanolate (*s*-1). A more extensive study with a larger concentration window may yield changes in the *n*-butyllithium initiated systems.

Temperature is commonly used to vary polymerization rates and as such is an important reaction condition to study. Figure 5 shows the changes in peak intensities as a function of reaction temperature. Both the initiator

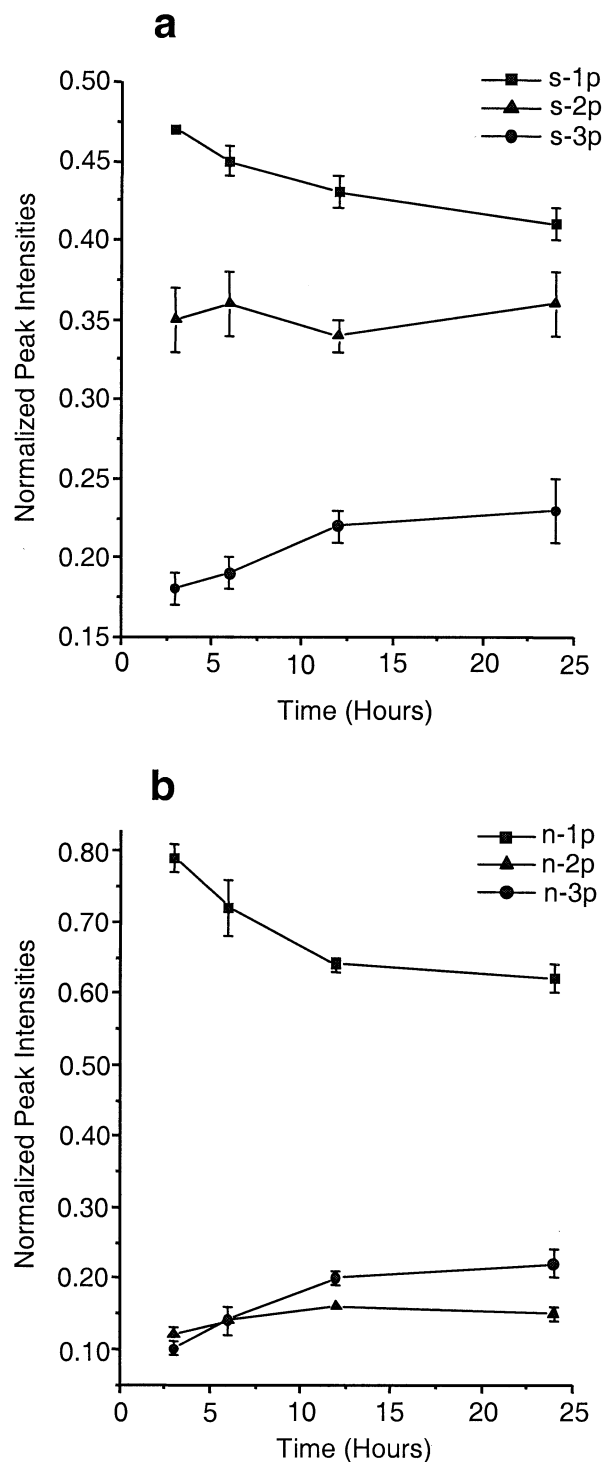


Figure 3. Average normalized mass spectral peak intensities plotted as a function of time: *sec*-butyllithium initiated polymerization (a) and *n*-butyllithium initiated polymerization (b).

and monomer concentrations were kept constant at each temperature. The *sec*-butyllithium and *n*-butyllithium show a sharp convergence between 30 and 45 °C. The *s*-1p and *n*-1p drastically decrease while *s*-2p, *s*-3p, *n*-2p, and *n*-3p all increase. The sharp convergence at 45 °C for both organolithium initiator species shows a

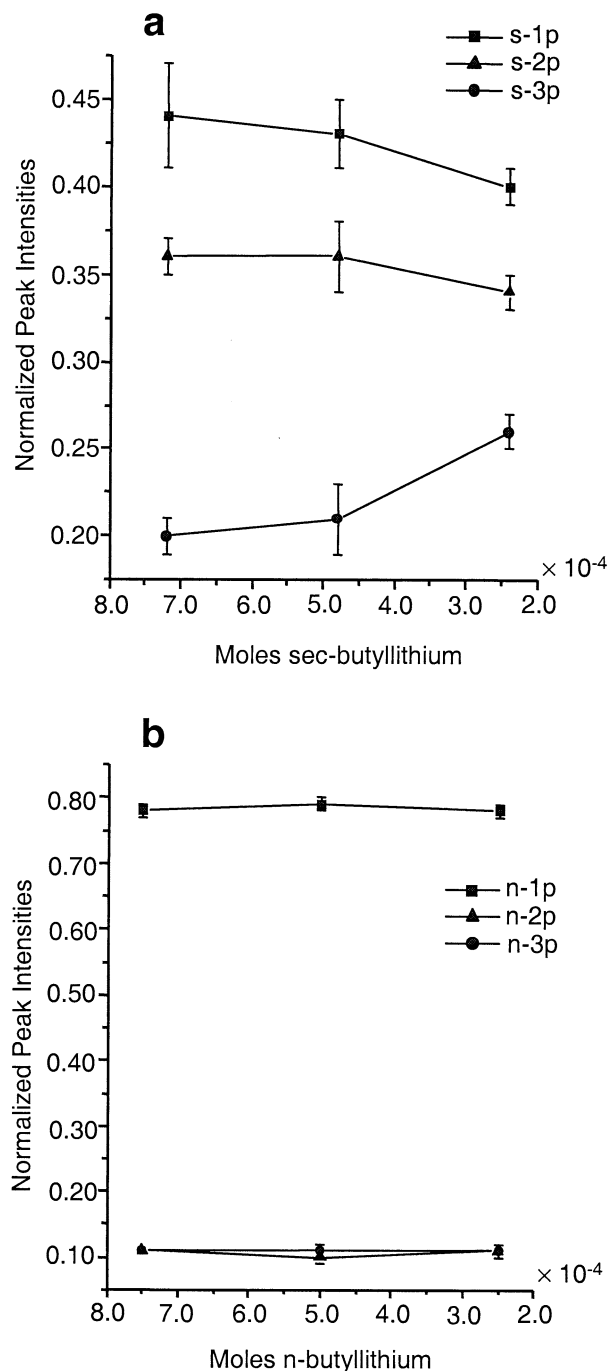


Figure 4. Average normalized mass spectral peak intensities plotted as a function of butyllithium concentration: sec-butyl-lithium initiated polymerization (a) and n-butyl-lithium initiated polymerization (b).

strong thermodynamic dependence for the rate of chain redistribution. The changes in peak intensities could be influenced by different initiator populations (i.e., n or s-1, 2, 3) but it is unlikely since an increase in temperature would favor more n-1 or s-1.

Another aspect of Figures 3, 4, and 5 worth noting is that with the exception of Figure 5, the s-2p peak intensities are much higher relative to s-3p and n-2p.

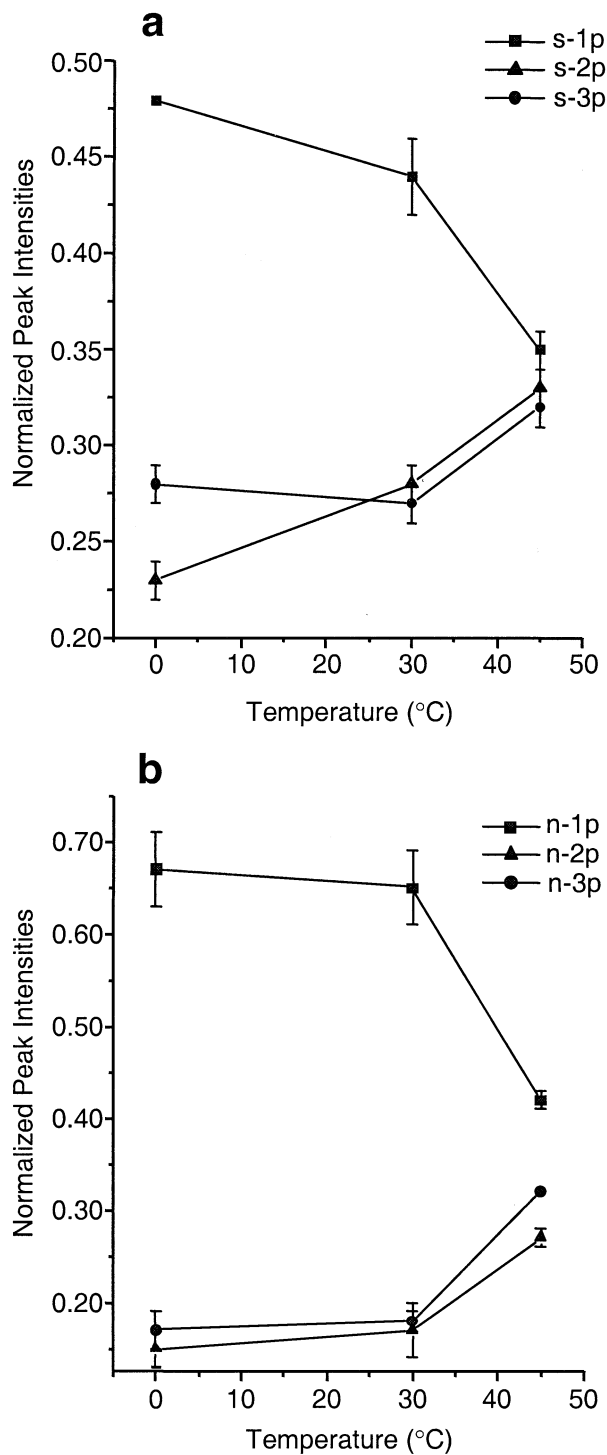


Figure 5. Average normalized mass spectral peak intensities plotted as a function of polymerization temperature: sec-butyl-lithium initiated polymerization (a) and n-butyl-lithium initiated polymerization (b).

For the n-butyl-lithium system, k_1 and k_2 (Scheme 2) are very fast [10]. This is corroborated by the data in Figures 3, 4, and 5 since both the n-2p and n-3p track parallel to one another and they are very small relative to n-1p. However, the high population of s-2p chains suggests that k_2 for the sec-butyl-lithium system is

limited relative to k_1 . There must be some limiting factor that preferentially keeps a free sec-butyllithium molecule from attacking a s-2 over a s-3. This result cannot be rationalized by initiator sterics since reaction sites on s-2 are sterically equivalent to s-3. It may be possible that there is a s-2 aggregated structure that inhibits nucleophilic attack by an unreacted sec-butyllithium. If this is the case, a time dependent study on the formation of these three initiator species prior to polymerization would elucidate a kinetic dependence on initiator populations.

Acknowledgments

The authors gratefully acknowledge the financial support from NSF. The authors thank Dr. Timothy Koloski for his invaluable assistance in the synthesis of the polymers used in this study. They also thank Dr. Wenyang Yan, Dr. Dan Ammon, Dr. Pete Maziarz, Dr. Dan Hook, and Dr. George Grobe for their generous support and assistance on the MALDI at Bausch and Lomb, Rochester, New York. They thank Bausch and Lomb for the generosity of donating instrument time.

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