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Improving and Predicting the Surface Roughness and the Machining Accuracy in Ultrasonic Vibration-Assisted Milling

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Abstract

The present research work amis to develop a controlled vibratory system for improving the performance of slotting process based on the vibration-assisted milling technique. In addition, the establishment of a statistical model for predicting the process efficiency was a key aim target.

In the current investigation, an ultrasonic vibratory device was designed to provide vibration oscillations in the cutting feed direction to the 7075 aluminum alloy workpiece side at 34.7 kHz frequency and 10 µm amplitude. A LabView code has been developed to control the input vibration parameters. A full factorial design of experiments with 4 factors and 2 levels was conducted. An in-depth statistical analysis is then implemented to study the effect of machining and vibration parameters on the process performance. Then, a statistical model for predicting the process response in terms of cutting force, surface roughness, and machining accuracy has been established.

Some experiments showed a significant reduction in cutting force up to 50% in the feed direction. Additionally, an improvement in workpiece surface roughness was recorded. Regarding the machined surface accuracy, introducing ultrasonic vibrations significantly reduces the produced slot width error compared to Conventional Milling (CM).

The developed statistical model shows a very well agreement with the experimental results. Therefore, the developed VAM technique is suitable for manufacturing parts that require high geometrical and dimensional accuracy.

Keywords Vibration-assisted machining (VAM) \cdot 7075 aluminum alloy \cdot Cutting force \cdot Surface roughness \cdot Dimensional accuracy

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Introduction

Transport industry including automotive, aerospace, and marine structures, are relying on 7075 aluminum alloy as essential material for more than 80 years due to its high strength-to-weight ratio and high corrosion resistance [1–3]. While aluminum alloys has an acceptable cutting forces response compared to the other metallic alloys such as steel and titanium alloys, it has some defects regarding the surface roughness and geometrical accuracy [4, 5]. Hence, VAM process has been introduced to improve the machining performance from several aspects such as machined surface accuracy, surface roughness, and tool life [6–8]. The challenge to characterise and optimise the wide range of machining and vibration parameters during VAM attracted researchers to investigate and develop the unique promising technique of VAM.

In this concern, Ding et al. established 2 dimensions vibration platform to investigate the vibration-assisted

micro end-milling process. The study's outcomes pointed out that utilising the 2 dimensions vibration enhanced the prolongation of tool life. It was recommended that larger amplitude and higher frequency were more helpful for reducing the tool wear [9].

To address the effect of cutting speed during VAM, Zarchi et al. derived a formula of the critical cutting speed in 1D VAM in which the positive impact of VAM turns on to be a negative aspect. Experimentally, a 1D VAM setup has been fabricated to deliver the ultrasonic vibration to the workpiece side in the feed direction. It was observed that cutting forces reduced significantly as the cutting speed was below the critical cutting speed [10]. Afterwards, the authors studied the influence of feed rate and milling strategy (up or down) during the side milling process. Results concluded that the workpiece surface quality had been improved in VAM when high cutting speeds, low feed rates, and up milling technique were used [11]. Janghorbanian et al. investigated the tool life during the process of VAM. The experimental results showed that, at cutting speeds between 500 and 2000 rpm, the tool life in VAM was less than in CM. However, the tool life in VAM increased significantly when the cutting speed was higher than 3150 rpm due to the change in the tool wear mechanism [12].

Regarding the high machining temperature issue, Luo and Maroju experimently investigated the impact of vibration assistance on cutting temperature. It was found that the increase in cutting parameters led to the increase of cutting temperature while introducing vibration assistance decreased significantly the cutting temperature [8, 13]. Elhami et al. studied the impact of introducing a concentrated plasma heat source during ultrasonic-assisted milling of hardened AISI 4140. They developed an analytical model in which the vibration effect on instantaneous chip thickness was considered. Consequently, the temperature field in the workpiece and reduction in cutting forces due to thermal softening can be predicted by the model [14].

To investigate the VAM cutting mechanism mathematically, Ding et al. developed a 2D Vibration-Assisted Micro End Milling (2D VAMEM) cutting force model that estimated the chip thickness based on the tooltip trajectory. The model has been validated experimentally through a 2D vibrating worktable and an aluminum alloy workpiece. The established cutting force model results showed a reasonable agreement with the experimental investigation [15]. Moreover, an integrated model was proposed to simulate the surface generation during the 2D VAMEM. It was recognised that the surface roughness was improved with the increase in the feed rate. Experimentally, it was realised that the proposed model precisely present the investigated 2D VAMEM process [16]. Although it can be concluded that the machining process can benefit from introducing ultrasonic vibration, there is an impedimental research gap regarding the desired optimal combination of different process parameters (vibration and machining) and the interaction effect between them. Moreover, The previous literature did not consider measuring the product geometrical and dimensional accuracy despite its significant impact on the product assembly process.

Consequently, the current investigation is anchored with the following principles: Firstly, an ultrasonic-assisted milling system was designed to provide the workpiece with ultrasonic vibrations in the feed direction. The vibration frequency and amplitude values were controlled through a developed LabView code. Secondly, a full factorial design of the experiment was established to investigate the effect of interactions between investigated parameters in addition to the main effects of these parameters. Cutting force, surface roughness, and machined surface accuracy were observed, and an in-depth analysis of the results was conducted. Finally, a statistical model for predicting the observed VAM process responses was established and validated with some extra experiments.

The current investigation presents a VAM technique that can be applied to manufacturing industries that require precision and high accuracy. In addition, the developed statistical model is an essential tool that successfully controls the use of the VAM process according to the applied vibration and machining parameters.

Kinematics of 1-Direction Vibration-Assisted Milling

Fundamentally, the tool edge trajectory in Conventional Milling (CM) is generated by the tool rotary motion and the machine work table feed motion. Thus, the exact tooltip locus for 2 flutes milling cutter in the CM process is originally considered, as shown in Fig. 1(a). Solid and dashed curves illustrate the 2 flutes trajectories. The tooltips position can be mathematically described as follows [17]:

$$x_{i} = v_{f}t + R\sin\left(\frac{n\pi t}{30} + i\pi\right)$$

$$y_{i} = R\cos\left(\frac{n\pi t}{30} + i\pi\right)$$
(1)

Where x_i and y_i are the Cartesian coordinates of the tool edge position, *i* indicates the two cutting edges (0 and 1), V_f is the cutting feed in (mm/s), *n* is the spindle rotational speed in (rev/min), *R* is the tool radius in (mm), and *t* is the cutting time (sec).



Fig. 1 Fundamentals of tooltip trajectory (a) CM (b) VAM (c, d, and e) different amplitude value

Consequently, When a sinusoidal vibration signal with f_x frequency in (Hz) and A_x amplitude in (μ m) is applied to the milling process in the feed direction (X-axis direction), the relative tool tip trajectory can be expressed as follows [18]:

$$x_{i} = v_{f}t + R\sin\left(\frac{n\pi t}{30} + i\pi\right) + A_{x}\sin\left(2\pi f_{x}t + \varnothing_{x}\right)y_{i} = R\cos\left(\frac{n\pi t}{30} + i\pi\right)$$
(2)

Where φ_x is the initial vibration phase angle. According to Eq. (2), distinctive adjacent tool paths are generated, as shown in Fig. 1(b).

Moreover, the effective cutting amplitude is the amplitude at which the effect of interrupted cutting mechanism occurs because the vibration amplitude becomes larger than the feed per tooth. Thus, the calculation of the effective cutting amplitude is necessary to select the suitable amplitude level during the experiments. The effective cutting amplitude should be higher or at least equal to the feed per tooth, which can be determined according to the following equation [19]:

$$a_{eff} \ge \frac{500v_f}{n} \tag{3}$$

Where a_{eff} is the effective cutting amplitude in (µm), v_f is the cutting feed in (mm/min). Figure 2 illustrates the relation between cutting feed and the rotational speed using which the selection of effective amplitude leads to the interrupted cutting mechanism.

Experimental Procedure

The current research work developed an ultrasonic-assisted milling system to provide ultrasonic vibration to the workpiece side. A LabView code was established to control the vibration frequency and amplitude. The design of the experiment was implemented to investigate the effect of the cutting parameters on the machined surface. Cutting force, surface roughness, and geometrical accuracy of the machined surface were observed, and the results were analysed.

Experimental Setup

The experimental work was implemented on a DMG-MORI ECOMILL 600 V Machining Centre. A vibration generation system consisted of NI PXI-1031, including Data Acquisition System (DAQ Sys.), EPA 104–115 Piezo Linear Amplifier and an electrical control circuit. The vibration waves were transferred to the workpiece side through an ultrasonic piezoelectric transducer. The applied working frequency during the experiments was set at 34.7 kHz, and the vibration wave amplitude was 10 µm. A Lab view code was established to control the applied vibration parameters, as shown in Fig. 3(a). A sample of the amplitude-time plot response of the vibration system is given in Fig. 3(b). The details of transducer design and calibration process can be found in reference [20].

Moreover, cutting forces were measured using Kistler dynamometer type 9272 equipped with a charge amplifier and DAQ Sys. The measuring range for feed and normal to feed forces is -5 to 5 kN, while it is -5 to 20 kN for the axial force. The used workpiece material was 7075 aluminum alloy rectangles (Al) of 60 mm×50 mm×10 mm. The used cutting tool was a 4 mm diameter carbide endmill with 2

Fig. 2 Effective amplitude



Fig. 3 (a) LabView Code Block Diagram (b) amplitude-time plot





Fig. 4 Experimental setup



Table 1 Experimental Work Parameters

| Level | Parameter | | | | |
|-------|--------------------|--------------|-------------|---------------|----------------|
| | Vibration | | Machining | | |
| | Frequency (KHz) | Amp. (µm) | DOC (mm) | Feed (mm/min) | Speed (rpm) |
| Low | 0 | 0 | 0.1 | 5 | 1000 |
| High | 34.7 | 10 | 0.7 | 30 | 6000 |

flutes (DORMER Inc.). All details of the experimental setup are presented in Fig. 4.

To investigated and compare the slotting process performance in both Conventional Milling (CM) and Ultrasonic Milling (USM), the slot dimensional and geometrical accuracy, in addition to the machined surface roughness were observed. The surface roughness R_a and R_z values were measured using (Surface Roughness Tester TR-200), where 3 readings were measured along 15 mm of the slot length. In addition, a microscope (Olympus LEXT OLS4100) was used to observe the surface topography.

The actual-depth of-cut (DOC), width, and flatness error for the machined slot were measured to represent the process accuracy. The measurements were performed by a Coordinate Measuring Machine (CMM) (Mitutoyo CRYSTA -Apex S 544) of resolution: 0.0001 mm and a microscope (MARVISION MM 320) of resolution=0.001 mm.

Design of Experiments

According to 4 factors and 2 levels full factorial design of experiments, the machining trials were implemented. The investigated input parameters of the process were the spindle speed (rpm), the feed motion (mm/min), the depth of cut (mm) and the ultrasonic vibration parameters. This proposed design of the experimental technique is the most efficient because it includes all possible combinations of the investigated factors levels. The total number of tests were 48, in which each experiment was replicated three times randomly. The selected slot milling parameters and their levels are given in Table 1. Moreover, dry cutting conditions were applied during all the experiments. To implement the statistical analysis and to explore the significant process parameters and their interaction behaviour, Minitab software has been used.

Results and Discussion

Recording the cutting forces during CM and USM is essential to predict the required cutting power, obtain tight tolerances, and achieve minimum tool wear [21]. The slotting process forces combine three components: feed F_y , the normal to feed F_x , and the axial F_z . The force directions are along X, Y, and Z directions illustrated in Fig. 1(a). A sample of the recorded cutting forces in USM and CM is presented in Fig. 5. It can be seen that the force components are reduced in USM due to the effect of the interrupted cutting mechanism between the tool and workpiece.

In the current investigation study, the mean value of each force component in X, Y, and Z directions was measured three times along the slot length. Additionally, the slot quality and accuracy were presented in terms of actual DOC, flatness error, and surface roughness.

Feed and Normal to Feed Forces (F_y, F_x)

From the measurement of the feed force F_y and the normal to feed force F_x , it was observed that both force components have very similar behaviour. Therefore, the dissection of both forces is gathered in the same section. The normal to feed force F_x in the case of USM and CM for the desired experimental parameters is given in Fig. 6(a), while the feed force F_y is illustrated in Fig. 6(b).





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Rotational speed = 1000 rpm | Rotational speed = 6000 rpm 1 0

Fig. 6 (a) Normal to feed force F_x (b) Feed force F_y in case of USM and CM

Generally, there is a noticeable reduction in the cutting forces in the X and Y direction in the case of USM. In conventional milling, The low rotational speed increases the cutting forces because the tool-workpiece interaction process increases. The increase in the rotational speed leads to an increase in the cutting velocity, which causes a higher shear rate and heat generation. Thus, the shear strength of the material is reduced due to the thermal softening resulting from the generated heat in the shear zone [22].

The same behaviour is expected to occur in USM, but the reduction in the cutting forces in X and Y directions is expected to be higher than that of CM because it is accompanied in USM by the interrupted cutting mechanism. In particular, the feed force F_v has been significantly reduced in USM because the vibration is applied in the feed direction. At a low level of feed, DOC, and spindle speed, it was observed that, by applying USM, the reduction in force was up to 50% and 30% in feed and normal to feed forces, respectively, when compared to CM. In contrast, at a high level of cutting feed and DOC and low level of spindle speed, the feed force is decreased by 7% while there is an increase in the normal to feed force by 5% in USM when compared to CM. The contribution effects of machining and vibration parameters on the horizontal forces F_x and F_y are illustrated in Fig. 7(a) and (b) respectively.

Feed (mm/min)

30

30

In Fig. 7, the depth-of-cut (C) is found to be the dominant parameter for both F_x and F_y forces, and it is then followed by the feed (A). The interaction effect of the depth-of-cut and the feed (AC) is also significant for both F_x and F_y forces. The effect of ultrasonic vibration (D) significantly affects the force in the feed direction while it can be found in the interaction with the feed (AD) in the normal to feed direction. Accordingly, the regression equations to predict the normal to feed F_x and the feed F_y forces with R^2 of 96.21% and 87.77%, respectively, are presented as follows:

$$F_x = -0.260 + 0.0029 A + 0.000054 B + 4.00 C - 0.098 D$$

- 0.000000 AB + 0.4275 AC + 0.0035 AD
- 0.000344 BC + 0.000024 BD + 1.82 CD - 0.000066 ABC
- 0.000001 ABD - 0.0715 ACD - 0.000210 BCD
+ 0.000006 ABCD (4)

$$F_{y} = 0.007 - 0.0060 A + 0.000059 B + 3.330 C + 0.164 D$$

+ 0.000002 AB + 0.1109 AC + 0.0000 AD - 0.000249 BC
- 0.000097 BD + 0.637 CD - 0.000019 ABC + 0.000005 ABD
- 0.0213 ACD + 0.000072 BCD - 0.000004 ABCD (5)



Fig. 7 The normal probability plot (a) Normal to feed force F_x (b) Feed force F_y



Fig. 8 The main effect and interaction plots (a,c) Normal to feed force F_x (b,d) Feed force F_y

The main effect and interaction plots for the horizontal force components F_x and F_y are presented in Fig. 8. In the conducted experiments, the ultrasonic vibration were applied in the feed direction, and that can be directly observed as F_y is declining in Fig. 8(b). The effect of vibration on F_x is not so high as shown in Fig. 8(a) and this pattern is reasonable

because vibration direction is normal to the F_x direction. Although, the major interaction impact on the cutting forces behaviour is due to the interaction between feed and DOC, the interaction between feed and other machining parameters as well as feed and vibration are affected the cutting forces behaviour in limited pattern as shown in Fig. 8(c, d).



Fig. 9 The contour plots in USM and CM (a,b) Normal to feed force F_x (c,d) Feed force F_y



Fig. 10 Axial cutting force (F_z) in case of USM and CM

Moreover, it can be observed from the contour plot in Fig. 9(a) and (b) that the effect of ultrasonic vibration on F_x reduction occurs at a DOC 0.4 mm with a lower reduction in force value. The same behaviour is found for F_y with a much higher force reduction, as shown in Fig. 9(c) and (d). This contour plot is developed according to the regression model given in Eqs. (4) and (5). Experimental validation of the developed stastical model has been done at cutting speed

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of 6000 rpm, feed rate of 10 mm/min, and 0.4 mm DOC. The experimentaly validated results are illustrated in Fig. 9.

Axial Force (F_z)

Overall, the influence of ultrasonic vibration on the axial cutting force (F_z) is totally different compared to its effect on the horizontal force components $(F_x \text{ and } F_y)$ as illustrated Fig. 10. This behaviour would be referred to the fact that the higher DOC the larger contact area between the cutting tool and the aluminum workpiece, which is a material characterized by its high plasticity, so that a large amount of the applied vibration energy is consumed by damping in the workpiece material [23]. The vibration absorption by the workpiece material decreases the interrupted cutting mechanism and leads to an extra contact between the cutting tool and the workpiece resulting from the application of vibration.

However, at high levels of cutting speed (6000 rpm) and feed (30 mm/min), the introduction of ultrasonic vibration contributes to the reduction of the axial cutting force by a percentage of 45% and 30% for 0.1 and 0.7 mm DOC, respectively due to the effect of thermal softening at higher cutting speed [22]. Moreover, it can be observed from Fig. 11(a) that DOC (C) has the main effect on the axial



Fig. 11 The axial force $F_{z}(\mathbf{a})$ the normal probability plot (**b**) the main effect plot



Fig. 12 The contour plots of axial cutting force F_z in (a) USM and (b) CM

force F_z and it is then followed by cutting speed (B). The effect of ultrasonic vibration (D) occurs in the interaction with feed and speed (ABD). Moreover, Fig. 11(b) shows the main effects of the machining and vibration parameters on the axial force value. Form the analysis of F_z results, it is statistically estimated at 0.4 mm DOC that the force value will be much higher in USM than CM for moderate and high cutting speeds and for low and moderate cutting feed. Consequently and based on the proposed regression model, the contour plots of F_z in the case of USM and CM are presented in Fig. 12 and F_z for 0.4 mm DOC is investigated experimentally.

Consequently, the regression model for estimate the axial cutting force (F_z) with R^2 of 72.26% is given as follows:

$$F_z = -0.192 + 0.0436 A + 0.000281 B + 4.09 C + 0.001 D$$

$$-0.000010 AB - 0.1139 AC - 0.0122 AD + 0.000219 BC$$

+ 0.000000 BD + 0.07 CD - 0.000005 ABC + 0.000004 ABD

$$\begin{array}{l} -0.000010 \ AD = 0.1103 \ AC = 0.0122 \ AD + 0.000013 \ BC \\ + 0.000000 \ BD + 0.07 \ CD - 0.000005 \ ABC + 0.000004 \ ABD \\ - 0.0027 \ ACD - 0.000462 \ BCD + 0.000015 \ ABCD \end{array}$$
(6)

Cutting Chips Formation

The cutting forces behaviour in USM and CM at various cutting parameters can be interpreted through the chip geometry formation, as shown in Fig. 13. The increase in the DOC depth-ofcut extended the contact length between the tool and the workpiece, which led to an enlargement in the chip cross-sectional area, and the cutting forces are increased [24]. For instance, when the DOC changed from 0.1 to 0.7 mm, the average chip cross-sectional area increases from $120 \times 1000 \,\mu\text{m}$ to $950 \times 1600 \,\mu\text{m}$ at $n = 1000 \,\text{rpm}$ and f = 5 mm/rev. Moreover, a high level of cutting feed (30 mm/ min) increases the material removal rate and results in a greater cutting forces. Introducing the ultrasonic vibration improves the slotting process characteristics through the interrupted cutting mechanism. This leads to reducing the toolworkpiece interaction, which consequently, decreases the chips size and the cutting forces.









Fig. 14 Surface Roughness (a) R_a and (b) R_z in case of USM and CM

Surface Roughness and Topography

In the current investigation, average roughness R_a of the slot bottom surface were measured at three distinct profiles across 15 mm of the slot length with cut off distance of 2.5 mm using a surface roughness tester (TR-200). The average of the recorded surface roughness R_a and R_z at different cutting conditions are presented in Fig. 14(a) and (b) respectively. Generally, the surface roughness R_a and R_z in USM was observed to have less value than in CM in most cases. Introducing the USM in the experiments reduces the contact time between the tool and the workpiece which produces better surface roughness [25]. Moreover, increasing the spindle speed to 6000 rpm produces smooth surface at low cutting depth of 0.1 mm and high feed rate of 30 mm/ min.

Regarding the process parameters, it can be observed from Fig. 15(a) that DOC (C) has the main effect on the surface roughness R_a . The effect of ultrasonic vibration (D) appears in the interaction with feed and speed (ABD) as well as with feed interaction (AD). Furthermore, Fig. 15(b) shows the main effects of the machining and vibration parameters on the surface roughness value. On one hand, increasing the DOC from 0.1 to 0.7 mm leads to an increase in the surface roughness R_a that is referred to the increase of the axial cutting force F_z due to the large contact between the tool and workpiece as well as high friction.



Fig. 15 Roughness Average $R_a(a)$ normal probability plot (b) main effect plot

Fig. 16 Surface topography observed by Olympus LEXT OLS4100 at (5 mm/min) feed and (6000) rpm cutting speed (a,b) DOC=0.1 mm and (c,d)DOC=0.7 mm



On the other hand, the lower DOC contributes in improving the surface topography, as shown in Fig. 16(a) and (b). The vibration effect on the workpiece surface is observed through the non-uniform tool marks in Fig. 16(a) and (c). The observed USM tool marks are agreed with the cutting tool path which is illustrated in Fig. 1. Similar behaviour has been documented [26]. Observed surface from Olympus has been processed by TalyMap software and presented in Fig. 17. The conducted statistical analysis included a statistical model for surface roughness that can be used to estimate its value at different cutting conditions through the contour plot, as shown in Fig. 18. For instance, this figure presents the output bottom surface roughness attributed to the interaction between feed and speed in USM and CM at 0.4 mm DOC.



Fig. 18 Surface roughness (a) R_a in USM (b) R_a in CM (c) R_z in USM (d) R_z in CM



Fig. 19 (a) Actual slot depth (DOC) and (b) Actual slot width in case of USM and CM



Fig. 20 Slot flatness error in case of USM and CM

Dimensional Accuracy

The variation of slot depth at different machining conditions is illustrated in Fig. 19(a). While, Fig. 19(b) shows the average measured actual slot width. For each slot, depth and width were measured nine times using the CMM and the average values were calculated. Experimental results show that there is a slight impact of USM on changing the actual slot depth. For 0.1 mm DOC, it was found that the best fit slot depth was 10% over the absolute depth value and achieved by USM at a low cutting feed of 5 mm/min and high speed of 6000 rpm. Moreover, the best fit slot depth for 0.7 actual DOC was 2% over the absolute value and produced by USM at a low cutting feed of 5 mm/min and a high speed of 6000 rpm. Therefore, it can be deduced that applying the USM at a low cutting feed and a high rotational speed would produce a better fit slot depth.

It is worth to mention that the used tool diameter is 4 mm and thus the theoretical slot width is to be 4 mm. in USM, the vibration movement is working as a sharpener for the slot wall edges which reduces the burr hight and improves the slot width accuracy [27, 28].

The slot bottom surface flatness error was obtained by conducting measurements at 11 points of the surface. This process was fully repeated three times for each slot. The average bottom surface flatness errors are plotted in Fig. 20. Generally, the flatness error in the case of USM is higher than CM.

Conclusions

A comparison between CM and USM slotting performance of 7075 aluminum alloy has been made and an improvement in the product accuracy has been realised in USM. To achieve this, a vibratory device, including the piezoelectric transducer was designed, fabricated, and calibrated. Subsequently, a LabView code has been developed to control the input vibration parameters. Experimentally, full factorial design of the experiment was conducted, and statistical analysis was then implemented to study the output results. The following key findings were deduced from the experiments:

- The increase in the rotational speed leads to an increase in the cutting velocity, which causes a higher shear rate and heat generation. Thus, the shear strength of the material is reduced due to the thermal softening resulting from the generated heat in the shear zone.
- 2. The feed force Fy significantly decreases in USM because the vibrations are applied in the feed direction due to the intermittent cutting mechanism.
- Broken chips were observed in USM compared to CM due to the effect of interrupted contact between the tool and the workpiece.

- 4. Introducing the USM in the experiments reduces the contact time between the tool and the workpiece which produces better surface roughness.
- 5. Applying the USM at a low cutting feed and a high rotational speed would produce a better fit slot depth.
- 6. the vibration movement is working as a sharpener for the slot wall edges which reduces the burr hight and improves the slot width accuracy.
- 7. The developed statistical model has been investigated experimentally, and it shows a good efficiency in predicting the process output response.

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