



An Approach to Distributed Systems from Orderings and Representability

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Abstract

In the present paper, we propose a new approach on ‘distributed systems’: the processes are represented through total orders and the communications are characterized by means of biorders. The resulting distributed systems capture situations met in various fields (such as computer science, economics and decision theory). We investigate questions associated to the numerical representability of order structures, relating concepts of economics and computing to each other. The concept of ‘quasi-finite partial orders’ is introduced as a finite family of chains with a communication between them. The representability of this kind of structure is studied, achieving a construction method for a finite (continuous) Richter–Peleg multi-utility representation.

Keywords Distributed systems · Biorders · Partial orders · Representability

Mathematics Subject Classification 06A06 · 06D05

1 Introduction and Motivation

In the present paper, we focus on an ordered structure known as *distributed system*. Although this concept belongs primarily to the field of computer science, its mathematical structure is common to many areas.

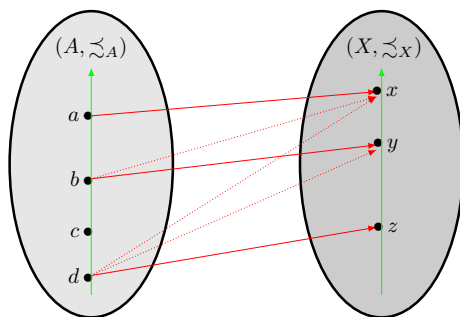
The representability issue appears too in a wide range of fields, such as economics and decision making [1, 2, 9, 12, 20, 28, 32, 34], computing [21, 26, 27, 29, 35, 38], and mathematical psychology [13–15, 31]. This interest on the representability of relations is usually due to maximization problems (in economics and decision making), the need to control non-linear processes that are being executed (computer science),

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Fig. 1 A biordered pair of totally preordered sets



the convenience of transform qualitative scales into quantitative ones (mathematical psychology), etc.

Hence, one of the goals of the present work is to bring to the computer field knowledge from other areas (order theory and decision theory in mathematics or economics, for instance) that may be helpful when dealing with distributed systems. For this purpose, first, we redefine the concepts of a distributed system. For that, we shall use the concept of biorder. This study was started in Ref. [16] for the particular case of distributed systems of two processes. However, in order to formalize completely the concept of distributed system, a further study is needed, linking biorders between n totally ordered sets.

The idea of a biorder was studied by Guttman (see *Guttman Scales* in Ref. [24]) and by Riguet under the name of *Ferrers relation* [37]. However, the concept was introduced for first time by André Ducamp and Jean-Claude Falmagne in 1969 in [15], and studied in depth in 1984 by Jean-Paul Doignon, André Ducamp and Jean-Claude Falmagne in Ref. [13]. It is defined as follows:

A biorder \mathcal{R} from A to X is a binary relation, with $\mathcal{R} \subseteq A \times X$, satisfying that for every $a, b \in A$ and $x, y \in X$ $(a \mathcal{R} x) \wedge (b \mathcal{R} y)$ implies $(a \mathcal{R} y) \vee (b \mathcal{R} x)$.

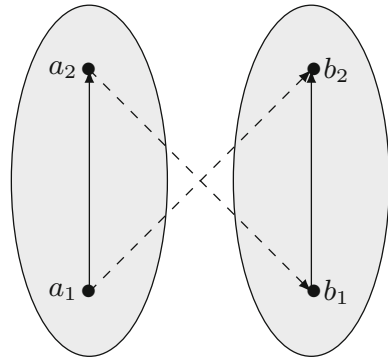
The concept of biorder can also be found just as a Ferrers relation [2, 13], that is, as a relation \mathcal{R} on a single set X such that for any $x, y, z, t \in X$ it holds that $x \mathcal{R} y$ with $z \mathcal{R} t$ implies that $x \mathcal{R} t$ or $z \mathcal{R} y$. Other kind of relations such as interval orders [2, 5, 6, 9, 22, 23, 39, 41] (i.e., the more restrictive case of a semiorder [11, 17, 18, 28]), may be considered particular cases of biorders [2, 13].

In the present paper, we focus on biorders defined between disjoint totally ordered sets (see Fig. 1), and we use them in order to redefine the concept of distributed system [21, 27, 29, 35].

In a distributed system, distinct computers are connected to each other in order to achieve a common goal, this is known as *distributed computing*.

These computers communicate with each other through messages that are sent and received. Each computer has its own internal (physical) clock, so that it is possible to assign a number (a time) to each event of the process. Thus, from a mathematical point of view, each computer (or *process*) is a totally ordered set (i.e., a chain) which can be represented through its local time. Hence, without a precise clock synchronization, it is not possible to capture the causality relation between events of distinct processes. Moreover, if an event b holds *later* (with respect to the time) than a , it does not imply

Fig. 2 The vertical arrows represent the sequence of events of each process, i.e., the direction of the time. The dashed arrows represent the sending of messages, from the sender to the receiver. Here, the causal ordering of messages is not satisfied



that a causally affects b . On the contrary, if a causally affects b , then b must hold *later* (with respect to an idyllic global time) than a . Finally, in these structures, the property called *causal ordering of messages* is usually¹ satisfied: if a computer i sends two messages m_1 and then m_2 (so, such that m_1 has been sent before m_2) to the same computer j ($i \neq j$), then message m_1 must be received before message m_2 (see Fig. 2). [26, 27]

The concept of a *distributed system* is usually defined as Lamport did [27]:

Definition 1.1 An *event* (illustrated by a point in Figs. 1 and 3) is a uniquely identified runtime instance of an atomic action of interest. It is an occurrence at a point in time, i.e., a happening at a cut of the timeline, which itself does not take any time. A *process* (illustrated by a vertical line in Figs. 1 and 3) is a sequence of totally ordered events, i.e., for any event a and b in a process, either a comes before b or b comes before a . A *distributed system* consists of a collection of distinct processes which are spatially separated, and which communicate with one another by exchanging messages (illustrated by red arrows in Fig. 1 and wavy arrows in Fig. 3). It is assumed that sending or receiving a message is an event.

Since each process consists of a sequence of events, each process is a totally ordered set, and the communication through messages between the processes will be defined by means of biorders.

Moreover, this communication between processes defines a causal relation known as ‘causal precedence’ or ‘happened before’ relation [21, 27, 29, 35] (common in causality too [33], but now related to the theory of relativity, see also [10, 25]). This causal relation was defined by Leslie Lamport in [27] as follows (see also [26]):

Definition 1.2 The *causal precedence* (denoted by \rightarrow) on the set of events of a distributed system is the smallest relation satisfying the following three conditions:

1. If a and b are events in the same process, and a comes before b , then $a \rightarrow b$.
2. If a is the sending of a message by one process and b is the receipt of the same message by another process, then $a \rightarrow b$.
3. If $a \rightarrow b$ and $b \rightarrow c$ then $a \rightarrow c$.

¹ Here, we say *usually* since it is a common property which is not implicit in Definition 1.1.

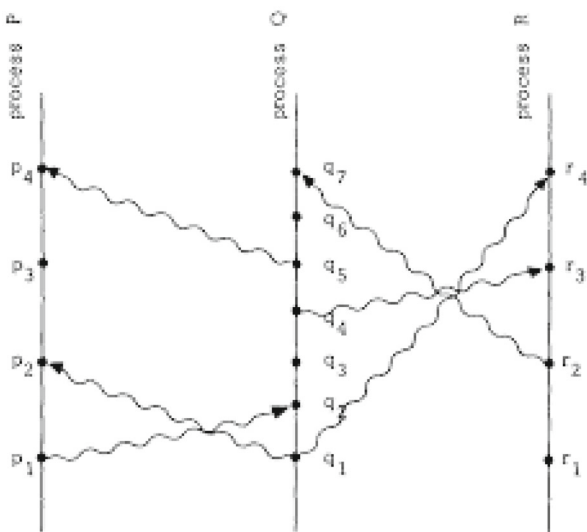


Fig. 3 Illustration of a distributed system, taken from the paper [27] of Leslie Lamport

If $a \rightarrow b$, then it is said that a *causally precedes* b .

This definition was introduced by Leslie Lamport in 1978 (see [27]) and it has been used until nowadays. In the present paper, we shall introduce a new definition of the concept through orderings. Graphically, $a \rightarrow b$ implies that there is a path of causality from event a to event b (moving in the direction of the arrows, see Figs. 1 or 3), i.e., b is reachable from a .

Two distinct events a and b are *concurrent* if $a \not\rightarrow b$ and $b \not\rightarrow a$, that is, if they in no way can causally affect each other, so in that case it is not known which event happened first. It is assumed that \rightarrow is irreflexive ($a \not\rightarrow a$ for any event a), so, in case there are no cycles, \rightarrow is a strict partial ordering on the set of all events in the system.

Finally, we shall focus too on finite Richter–Peleg multi-utility representations. The construction of these (continuous) representations for a given preorder may be a hard problem. For this purpose, the study of the (continuous, in case the sets are endowed with topologies) representability of biorders defined between totally preordered sets seems a right approach in order to achieve a (continuous and finite) Richter–Peleg multi-utility representation of the corresponding causal precedence or happened before relation. This idea consists in using (continuous) representations of distributed systems in order to represent (continuously) the corresponding causal precedence relation.

The structure of the paper goes as follows:

After this introduction, a section of preliminaries is included. Next, in Sect. 3, a new definition (and its motivation) of a distributed system is introduced, so that then the representability problem is studied in Sect. 4, achieving an aggregation result for the case of line communications. In this section, it is shown how to construct weak representations of distributed systems with line communications starting from pairs of functions that represent each biorder. Finally, in Sect. 5, we focus on quasi-finite partial orders as an interesting family of partial orders. For this kind of orderings, we

also include a technique in order to construct a finite (and continuous) Richter–Peleg multi-utility.

2 Notation and Preliminaries

From now on A, B and X as well as X_1, \dots, X_n will denote non-empty (maybe infinite) sets. When we speak of continuity of a real-valued mapping defined on a set S , we assume that some topology τ_S is given on S .

Definition 2.1 A binary relation \mathcal{R} from A to X is a subset of the Cartesian product $A \times X$. In particular, in the case that $A = X$, the binary relation \mathcal{R} is said to be defined on X , and it is a subset of the Cartesian product $X \times X$. Given two elements $a \in A$ and $x \in X$, we will use notation $a \mathcal{R} x$ to express that the pair (x, y) belongs to \mathcal{R} . Associated to a binary relation \mathcal{R} from A to X , its *negation* is the binary relation \mathcal{R}^c from A to X given by $(a, x) \in \mathcal{R}^c \iff (a, x) \notin \mathcal{R}$ for every $a \in A$ and $x \in X$.

Given two binary relations \mathcal{R} and \mathcal{R}' on a set X , it is said that \mathcal{R}' *extends* or *refines* \mathcal{R} if $x \mathcal{R} y$ implies $x \mathcal{R}' y$, that is, if \mathcal{R} is contained in \mathcal{R}' .

The *transitive closure* of a binary relation \mathcal{R} on a set X is the transitive relation \mathcal{R}^+ on set X such that \mathcal{R}^+ contains \mathcal{R} and \mathcal{R}^+ is minimal.

The *transitive reduction* of a binary relation \mathcal{R} on a set X is, in case it exists, the smallest relation having the transitive closure of \mathcal{R} as its transitive closure.

Given a binary relation \mathcal{R} on X , if two elements $x, y \in X$ cannot be compared, that is, $\neg(x \mathcal{R} y)$ as well as $\neg(y \mathcal{R} x)$, then it is denoted by $x \bowtie y$. We shall denote $x \mathcal{I} y$ whenever $x \mathcal{R} y$ as well as $y \mathcal{R} x$.

Sometimes (depending on the ordering or when different relations are mixed) the standard notation is different. We also include it here.

Definition 2.2 A *preorder* \preceq on X is a binary relation on X which is reflexive and transitive. An antisymmetric preorder is said to be an *order*. A *total preorder* \preceq on a set X is a preorder such that if $x, y \in X$ then $[x \preceq y] \vee [y \preceq x]$. A total order is also called a *linear order*, and a totally ordered set (X, \preceq) is also said to be a *chain*. Usually, an order that fails to be total is also said to be a *partial order* and it is also denoted by \preceq . A subset Y of a partially preordered set (X, \preceq) is said to be an *antichain* if $x \bowtie y$ for any $x, y \in Y$.

If \preceq is a preorder on X , then the associated *asymmetric* relation or *strict preorder* is denoted by $<$ and the associated *equivalence* relation by \sim and these are defined, respectively, by $[x < y \iff (x \preceq y) \wedge \neg(y \preceq x)]$ and $[x \sim y \iff (x \preceq y) \wedge (y \preceq x)]$. In the case of a finite partial order (also known as *poset*), it is quite common to denote \preceq by \sqsubseteq and $<$ by \sqsubset , respectively. The asymmetric part of a linear order (respectively, of a total preorder) is said to be a *strict linear order* (respectively, a *strict total preorder*).

Definition 2.3 A preorder \preceq on X is said to be *near-complete* if $\text{width}(X, \preceq) = n < \infty$. That is, if all antichains have cardinalities less or equal than n (for some $n \in \mathbb{N}$) as well as there is—at least—one antichain which cardinality is n .

Definition 2.4 A binary relation $<$ from A to X is a *biorder* if it is Ferrers, that is, if for every $a, b \in A$ and $x, y \in X$ the following condition holds:

$$(a < x) \wedge (b < y) \Rightarrow (a < y) \vee (b < x).$$

Related to $<$ we shall use the binary relation \succsim from X to A given by $x \succsim a \iff \neg(a < x)$, $a \in A$, $x \in X$. It is also common to use \succsim from A to X given by $a \succsim x \iff \neg(a < x)$, $a \in A$, $x \in X$.

Definition 2.5 Associated to a biorder $<$ defined from A to X , we shall consider two new binary relations [12, 13]. These binary relations are said to be the *traces* of $<$. They are defined on A and X , respectively, and denoted by $<^*$, $<^{**}$. They are defined as follows:

First, $a <^* b \iff a < z \succsim b$ for some $z \in X$ ($a, b \in A$), and, similarly, $x <^{**} y \iff x \succsim c < y$ for some $c \in A$ ($x, y \in X$).

Remark 2.6 In the case of interval orders (so $A = X$), the binary relations denoted by $<^*$ and $<^{**}$ coincide with the “left trace” and “right trace” of the interval order. The names “left trace” and “right trace” have been used in the case of biorders too [8], and other notations such as $<_A$ and $<_X$ or $<^l$ and $<^r$ can be found in literature [8, 13, 31].

Remark 2.7 We set $a \succsim^* b \iff \neg(b <^* a)$, $a \sim^* b \iff a \succsim^* b \succsim^* a$, $x \succsim^{**} y \iff \neg(y <^{**} x)$ and $x \sim^{**} y \iff x \succsim^{**} y \succsim^{**} x$.

These weak relations can be characterized as follows [2, 5, 13]:

$$a \succsim^* b \iff \{b < x \Rightarrow a < x\}, \text{ for any } x \in X.$$

$$x \succsim^{**} y \iff \{a < x \Rightarrow a < y\}, \text{ for any } a \in A.$$

As a matter of fact, both the binary relations \succsim^* and \succsim^{**} are total preorders on A and on X , respectively, if and only if the relation $<$ is a biorder [13]. Hence, in that case the indifference relations \sim^* and \sim^{**} are in fact equivalence relations so, it is possible to define the quotient set A/\sim^* and X/\sim^{**} [9, 13].

Next Definition 2.11 introduces the notion of representability² for total preorders and biorders. The goal of a representation is to convert a qualitative preference into a quantitative one, comparing real numbers instead of elements of a set.

Definition 2.8 Given a preorder \succsim on X , a real function $u: X \rightarrow \mathbb{R}$ is said to be *isotonic* or *increasing* if for every $x, y \in X$ the implication $x \succsim y \Rightarrow u(x) \leq u(y)$ holds true. In addition, if it also holds true that $x < y$ implies $u(x) < u(y)$, then u is said to be a *Richter–Peleg utility representation*.

A (not necessarily total) preorder \succsim on a set X is said to have a *multi-utility representation* [20] if there exists a family \mathcal{U} of isotonic real functions such that for all points $x, y \in X$ the equivalence $\{x \succsim y \Leftrightarrow \forall u \in \mathcal{U} (u(x) \leq u(y))\}$ holds.

This kind of representation always exists for every preorder \succsim on X (see Proposition 1 in [20]). It is also interesting to search for a *continuous multi-utility*

² Other notions of representability appear for instance in [2, 13, 17, 31].

representation of a preorder \preceq when the set X is endowed with a topology τ (cf., for instance, [1, 20]), as well as for multi-utility representations through a finite number of functions.

When all the functions of the family \mathcal{U} are *order-preserving* for the preorder \preceq (i.e., for all $u \in \mathcal{U}$, and $x, y \in X$, $x \prec y$ implies that $u(x) < u(y)$), then the representation is called *Richter–Peleg multi-utility representation* [30].

In case of a poset, we shall use too the following concept³.

Definition 2.9 Let (X, \sqsubseteq) be a finite partially ordered set with $|X| = n$. We shall say that a Richter–Peleg multi-utility representation \mathcal{U} is *bijective* when each function $u \in \mathcal{U}$ is a bijection from X to $\{1, \dots, n\}$.

Remark 2.10 The number of functions needed for a Richter–Peleg multi-utility coincides with the dimension of the partial order [40].

Definition 2.11 A total preorder \preceq on X is called *representable* if there is a real-valued function $u : X \rightarrow \mathbb{R}$ that is order-preserving, so that, for every $x, y \in X$, it holds that $[x \preceq y \iff u(x) \leq u(y)]$. The map u is said to be an *order-monomorphism* (also known as a *utility function* for \preceq).

A biorder \prec from A to X is said to be *representable* (as well as *realizable with respect to \prec*) if there exist two real-valued functions $u : A \rightarrow \mathbb{R}, v : X \rightarrow \mathbb{R}$ such that $a \prec x \iff u(a) < v(x)$ ($a \in A, x \in X$). In this case it is also said that the pair (u, v) *represents \prec* .

Although we will work with this definition of representability for biorders (realizable with respect to \prec), in Sect. 4 the following definition (introduced in [13]) is also needed:

A biorder \prec from A to X is said to be *representable with respect to \leq* (as well as *realizable with respect to \leq*) if there exist two real-valued functions $u : A \rightarrow \mathbb{R}, v : X \rightarrow \mathbb{R}$ such that $a \prec x \iff u(a) \leq v(x)$ ($a \in A, x \in X$). In this case we shall say that the pair (u, v) *represents \prec with respect to \leq* .

Definition 2.12 Let \prec be an asymmetric relation from a topological space (A, τ_A) to (X, τ_X) . The relation \prec is said to be τ_A -*continuous* if the strict contour set $L_\prec(x) = \{a \in A : a \prec x\}$ is a τ_A -open set, for every $x \in X$. Dually, it is said to be τ_X -*continuous* if the strict contour set $U_\prec(a) = \{x \in X : a \prec x\}$ is a τ_X -open set, for every $a \in A$. We shall say that the relation is *bicontinuous* if it is both τ_A -continuous and τ_X -continuous.

In particular, in the case of a single set X (that is, $A = X$) endowed with a single topology τ (so, $\tau = \tau_A = \tau_X$), the binary relation \prec is said to be *upper semi-continuous* if the strict contour set $L_\prec(x) = \{y \in X : y \prec x\}$ is τ -open, for every $x \in X$. Dually, it is said to be *lower semi-continuous* if the strict contour set $U_\prec(x) = \{y \in X : x \prec y\}$ is τ -open, for every $x \in X$. We shall say that the relation is τ -*continuous* if it is both upper and lower semi-continuous.

³ A similar term already exists in computer science under the name of *random structures* (see [38]).

Definition 2.13 A biorder \prec from A to X is said to be *continuously representable on* (A, τ_A) if it admits a representation (u, v) such that the function $u: A \rightarrow \mathbb{R}$ is continuous when A is given the topology τ_A and the real line is given its usual topology. Dually, \prec is said to be *continuously representable on* (X, τ_X) if it admits a representation (u, v) such that the function $v: X \rightarrow \mathbb{R}$ is continuous when X is given the topology τ_X and the real line is given its usual topology. We say that the biorder is *continuously representable* if it admits a representation (u, v) such that both functions u and v are continuous [3].

An example of a biorder (also related to the traces and the continuity of the representation) may be found in [16]. Let us recall now some characterizations of the representability, for total preorders and biorders.

Definition 2.14 A total preorder \preceq defined on X is said to be *perfectly separable* if there exists a countable subset $D \subseteq X$ such that for every $x, y \in X$ with $x \prec y$ there exists $d \in D$ such that $x \preceq d \preceq y$.

Let \prec be a biorder from A to X . A subset M of $A \cup X$ is said to be *strictly dense* (see [2, 13]) if for all $a \in A$ and $x \in X$, $a \prec x$ implies the existence of an element $m \in M$ such that either $m \in X$ and $a \prec m \preceq^{**} x$, or $m \in A$ and $a \preceq^* m \prec x$.

Remark 2.15 Notice that if the number of classes defined by the equivalence relation \sim^* (or \sim^{**}) of the trace \preceq^* (resp. \preceq^{**}) on A (resp. X) is countable, then the biorder has a trivial strictly dense subset made by means of the representatives of classes A/\sim^* (resp. X/\sim^{**}).

The following result is well known [9, 13].

Theorem 2.16 *Let A and X be two non-empty sets.*

- (a) *A total preorder \preceq on X is representable if and only if it is perfectly separable.*
- (b) *A biorder \prec from A to X is representable if and only if there exists a countable strictly dense subset.*

A similar study on representability of interval orders, semiorders (see also [11]) and total preorders but now in the extended real line $\bar{\mathbb{R}}$ appears in [17].

Given a biorder \prec from A to X , it is possible to define the corresponding quotient sets as well as a new relation (see [2]) $\hat{\preceq}$ from A/\sim^* to X/\sim^{**} by

$$\hat{a} \hat{\preceq} \hat{x} \iff a \prec x, \text{ for any } \hat{a} \in A/\sim^*, \hat{x} \in X/\sim^{**}.$$

It is known (see [2]) that this relation $\hat{\preceq}$ is well defined and that it is actually a biorder: the *quotient biorder*. It also holds true that $\hat{a} \hat{\preceq}^* \hat{b} \iff a \prec^* b$, and $\hat{x} \hat{\preceq}^{**} \hat{y} \iff x \prec^{**} y$, for any $\hat{a}, \hat{b} \in A/\sim^*$ and for any $\hat{x}, \hat{y} \in X/\sim^{**}$. Thus, any representation (\hat{u}, \hat{v}) of $\hat{\preceq}$ has the additional property that \hat{u} and \hat{v} also represent the traces $\hat{\preceq}^*$ and $\hat{\preceq}^{**}$, respectively.

Moreover, any representation (\hat{u}, \hat{v}) of $\hat{\preceq}$ delivers also a representation of \prec just defining $u(a) = \hat{u}(\hat{a})$ and $v(x) = \hat{v}(\hat{x})$ (for any $a \in A$ and $x \in X$). Therefore, if $\hat{\preceq}$ is representable then \prec is representable, too. The converse is also true as the following lemma shows [2]:

Lemma 2.17 *Let $<$ be a biorder from A to X and let $\hat{<}$ be the corresponding quotient biorder from A/\sim^* to X/\sim^{**} . Assume that $<$ admits a representation (u, v) . Then, $\hat{<}$ is also representable.*

The following definition was introduced by Nakamura in [31] and, as it is shown in Corollary 2.19 (see also [31] or Remark 1 in [8]), it is equivalent to the aforementioned order-denseness condition named ‘strictly dense’.

Definition 2.18 Let $<$ be a biorder from A to X . A pair of subsets $A^* \subseteq A$ and $X^* \subseteq X$ is said to be *jointly dense* for $<$ if for all $a \in A$ and $x \in X$, $a < x$ implies the existence of two elements $a^* \in A^*$ and $x^* \in X^*$ such that $a \lesssim^* a^* < x^* \lesssim^{**} x$.

Next corollary is well known [2, 8, 12, 31]:

Corollary 2.19 *Let $<$ be a biorder from A to X . The following statements are equivalent:*

- (i) *The biorder has a pair of jointly dense and countable subsets.*
- (ii) *The biorder has a countable strictly dense subset.*
- (iii) *The biorder is representable.*
- (iv) *The biorder is representable through a pair of functions (u, v) with the additional condition that u represents the trace \lesssim^* and v the trace \lesssim^{**} .*

3 A New Definition for Distributed System

In the following pages, we introduce a new definition for the concept of a distributed system with n processes (the original definitions of these concepts—an event, a process and a distributed system—have been introduced in Sect. 1). But first, we redefine the concepts of *causal precedence* and *communication*.

Since in the following pages many relations are going to appear, for the sake of clarity, from now, we shall use the symbol \mathcal{P} in order to refer to a biorder relation, whereas we shall use the symbol \lesssim for total preorders (also for the traces associated to a biorder).

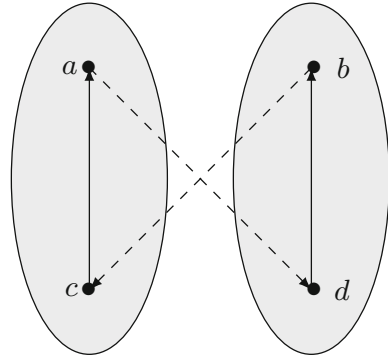
Definition 3.1 Let $\{(X_k, <_k)\}_{k \in K}$ be a finite family of strict partially ordered and disjoint sets (i.e., $X_i \cap X_j = \emptyset, \forall i \neq j$) and $\{\mathcal{P}_{ij}\}_{i \neq j}$ a family of relations from X_i to X_j (for any $i \neq j, i, j \in K$). The *causal precedence* corresponding to $\{<_k\}_{k \in K} \cup \{\mathcal{P}_{ij}\}_{i \neq j}$ on $X = \bigcup_{k \in K} X_k$ is the transitive closure of the union $(\bigcup_{k \in K} <_k) \cup (\bigcup_{i \neq j} \mathcal{P}_{ij})$.

This relation shall be denoted by \rightarrow :

$$\left\{ \left(\bigcup_{k \in K} <_k \right) \cup \left(\bigcup_{i \neq j} \mathcal{P}_{ij} \right) \right\}^+ \Rightarrow .$$

Remark 3.2 Notice that, with the definition above, the absence of cycles is not guaranteed, as it is shown the Fig. 4. The existence of this cycles implies an error in the computing, known as *deadlock* [26].

Fig. 4 A cycle in a distributed system: a deadlock



If there is no cycle, then the transitive closure is a strict partial order. In the present paper, we will assume that there is no error or deadlock in the distributed system, that is, we shall assume that the causal precedence is a strict partial order.

Now, recovering the idea of a distributed system in the spirit of Definition 1.1, we first mathematically formalize the idea of *communication* just as a finite relation between two distinct sets satisfying a ‘bijective’ condition.

Definition 3.3 Let A and B be two disjoint sets. A *communication from A to B* is a finite binary relation $\mathcal{P} \subseteq A \times B$ (i.e., $|\mathcal{P}| < \infty$) such that for any $(a, b) \in \mathcal{P}$ and $a' \in A, b' \in B$ the following *bijective condition* is satisfied:

$$(a', b) \in \mathcal{P} \Rightarrow a = a' \quad \text{as well as} \quad (a, b') \in \mathcal{P} \Rightarrow b' = b.$$

Here, the elements $a \in A$ such that $(a, b) \in \mathcal{P}$ (for some $b \in B$) are said to be the *senders*, whereas the elements $b \in B$ such that $(a, b) \in \mathcal{P}$ (for some $a \in A$) are said to be the *receivers*.⁴

Now, we focus on communications between ordered sets.

Definition 3.4 Let (A, \preceq_A) and (B, \preceq_B) be two disjoint partially ordered sets. We shall say that a binary relation \mathcal{P} is a *causal biorder from A to B* if $\mathcal{P} \subseteq A \times B$ and for any $a, c \in A, b, d \in B$ it holds that

$$(a\mathcal{P}b) \text{ and } (c\mathcal{P}d) \Rightarrow (a \rightarrow d) \text{ or } (c \rightarrow b),$$

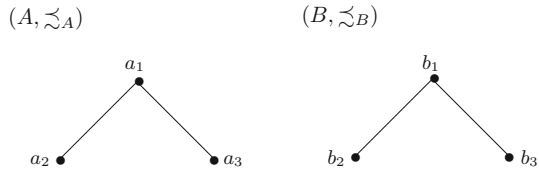
where \rightarrow denotes the corresponding causal precedence of $\preceq_A \cup \preceq_B \cup \mathcal{P}$ on $A \cup B$.

Example 3.5 Let (A, \preceq_A) and (B, \preceq_B) be two partially ordered sets as defined in Fig. 5. Let \mathcal{P} and \mathcal{P}' be two relations from A to B defined by

$$\mathcal{P} = \{(a_2, b_1), (a_3, b_3)\} \quad \text{and} \quad \mathcal{P}' = \{(a_2, b_2), (a_3, b_3)\}.$$

It is straightforward to see that \mathcal{P} is a causal biorder whereas \mathcal{P}' is not.

Fig. 5 Two partially ordered sets, (A, \lesssim_A) and (B, \lesssim_B)



Definition 3.6 Let (A, \lesssim_A) and (B, \lesssim_B) be two disjoint partially ordered sets and \mathcal{P} a communication from A to B . We define the relation $\overline{\mathcal{P}}$ from A to B by

$$a\overline{\mathcal{P}}b \iff a \lesssim_A a' \mathcal{P} b' \lesssim_B b, \text{ for some } a' \in A, b' \in B.$$

That is, $\overline{\mathcal{P}} = \lesssim_A \circ \mathcal{P} \circ \lesssim_B$.

Proposition 3.7 Let (A, \lesssim_A) and (B, \lesssim_B) be two disjoint partially ordered sets and \mathcal{P} any relation from A to B . If (A, \lesssim_A) or (B, \lesssim_B) is a chain, then:

- (i) \mathcal{P} is a causal biorder.
- (ii) $\overline{\mathcal{P}}$ is a biorder.

In particular, any communication from A to B is a causal biorder.

Proof Let $a, x \in A$ and $b, y \in B$ be elements such that $a\mathcal{P}b$ and $x\mathcal{P}y$. If (A, \lesssim_A) is a chain, then $a \lesssim_A x$ or $x \lesssim_A a$ is satisfied. Hence, it holds that $a \lesssim_A x\mathcal{P}yb$ or $x \lesssim_A a\mathcal{P}b$, thus, $a \rightarrow b$ or $x \rightarrow b$. Therefore, \mathcal{P} is a causal biorder. We reason dually if (B, \lesssim_B) is a chain.

Let $a, b \in A$ and $x, y \in B$ be points such that $a\overline{\mathcal{P}}x$ and $b\overline{\mathcal{P}}y$. Hence, by definition, there exist $a', b' \in A$ and $x', y' \in X$ such that $a \lesssim_A a' \mathcal{P} x' \lesssim_B x$ and $b \lesssim_A b' \mathcal{P} y' \lesssim_B y$. If (A, \lesssim_A) is a chain, we distinguish two cases:

- 1. If $a \lesssim_A b$, then $a \lesssim_A b' \mathcal{P} y' \lesssim_B y$, so $a\overline{\mathcal{P}}y$.
- 2. If $b \lesssim_A a$, then $b \lesssim_A a' \mathcal{P} x' \lesssim_B x$, so $b\overline{\mathcal{P}}x$.

Therefore, $\overline{\mathcal{P}}$ is a biorder. We reason dually in case (B, \lesssim_B) is a chain. □

Remark 3.8 (1) By Proposition 3.7, it is clear that a communication \mathcal{P} is a causal biorder as well as $\overline{\mathcal{P}}$ is a biorder.

(2) In order to keep close to the original definition given by Lamport (see Definition 1.1), where sending or receiving a message is an event, in Definition 3.3 is not allowed to send a message from a in A to more than one receiver in B . Dually, an element b in B cannot receive more than one message from A . From a mathematical point of view, it would be possible to generalize the idea of communication without restricting it to a finite cardinal, i.e., with $|\mathcal{P}| = \infty$. However, in the present paper, we shall work just on communications in the sense of Definition 3.3.

Now we are ready to propose a definition of a *distributed system of n sets* from a mathematical and theoretical point of view (the original definitions of these concepts—an event, a process and a distributed system—have been introduced in Sect. 1).

⁴ We call them senders/receivers in the spirit of Definition 1.1.

Definition 3.9 Let $\{(X_i, \preceq_i)\}_{i=1}^n$ be a family of disjoint and totally ordered sets and $\mathcal{P} = \{\mathcal{P}_{ij}\}_{i \neq j}$ (with $i, j \in \{1, \dots, n\}$) be a family of communications from X_i to X_j (with $i \neq j$). Each totally ordered set is said to be a *process*. Each element of the processes is said to be an *event*. The pair $(\bigcup_{i=1}^n (X_i, \preceq_i), \mathcal{P})$ is said to be a *distributed system*.

Remark 3.10 (1) In the previous definition, as it was in the original definition of L. Lamport (see Ref. [27], in particular page 559 and footnote 2), the messages may be received out of order (i.e., without satisfying the causal ordering of messages). Furthermore, with this definition there may be cycles with respect to the causal relation (see Fig. 4).

- (2) Notice that a communication may be empty, so that there is no sending of messages in one direction between two processes. In this case, we shall denote $\mathcal{P}_{ij} = \{\emptyset\}$.
- (3) It can be proved that each total order \preceq_i in X_i refines the traces \preceq_{ij}^* and \preceq_{ji}^{**} related to the biorders $\overline{\mathcal{P}}_{ij}$ and $\overline{\mathcal{P}}_{ji}$ (respectively), for any $j \neq i$. Actually, this property was used in Ref. [16] in order to define the concept of a distributed system of two processes. However, the use of the *communication* concept in order to define a distributed system is closer to reality, since it captures the idea of sending and receiving messages. Moreover, it derives in the new term of *causal biorder*, which seems interesting when dealing with a set endowed with more than one relation. Hence, Definition 3.9 has been written by means of communications.

Proposition 3.11 Let (A, \preceq_A) and (B, \preceq_B) be two disjoint totally ordered sets and \mathcal{P}_1 and \mathcal{P}_2 two communications from A to B . Let $((A, \preceq_A) \cup (B, \preceq_B), \mathcal{P}_1)$ and $((A, \preceq_A) \cup (B, \preceq_B), \mathcal{P}_2)$ be the corresponding distributed systems and \rightarrow_1 and \rightarrow_2 their causal relations, respectively. If the causal relations concur, i.e., $\rightarrow_1 = \rightarrow_2$, then the communications are also the same (i.e., $\mathcal{P}_1 = \mathcal{P}_2$) or the causal ordering of messages is not satisfied.

Proof Let $a \in A$ and $b \in B$ be such that $a\mathcal{P}_1b$. Then, $a \rightarrow_1 b$, that means $a \rightarrow_2 b$, i.e., there exist a_1, b_1 such that $a \preceq_A a_1\mathcal{P}_2b_1 \preceq_B b$. Thus, $a\mathcal{P}_1b$ implies $a\overline{\mathcal{P}}_2b$.

Suppose now that $a\overline{\mathcal{P}}_2b$ but $\neg(a\mathcal{P}_2b)$. Then, there must exist $a_2 \in A, b_2 \in B$ such that $a \prec_A a_2\mathcal{P}_2b_2 \preceq_B b$ or $a \preceq_A a_2\mathcal{P}_2b_2 \prec_B b$. Assume that $a \prec_A a_2\mathcal{P}_2b_2 \preceq_B b$ is satisfied (we reason analogously for the dual case), then it holds that $a_2 \rightarrow_2 b$ with $a \prec_A a_2$, that is, $a_2 \rightarrow_1 b$ with $a \prec_A a_2$. Therefore, $a_2\overline{\mathcal{P}}_1b$, i.e., $a \prec a_2 \preceq_A a_3\mathcal{P}_1b_3 \preceq_B b$ for some $a_3 \in A, b_3 \in B$.

Here, we distinguish two cases. If $b_3 = b$, then \mathcal{P}_1 fails to be a communication since we have that $a_3\mathcal{P}_1b$ as well as $a\mathcal{P}_1b$, with $a \neq a_3$. If $b_3 \prec b$, then the causal ordering of messages is not satisfied, since we have that $a_3\mathcal{P}_1b_3$ and $a\mathcal{P}_1b$ as well as $a \prec_A a_2$ and $b_3 \prec_B b$ (see Fig. 2). This concludes the proof. \square

Now, we introduce the concept of *line communication*.

Definition 3.12 Let $(\bigcup_{i=1}^n (X_i, \preceq_i), \mathcal{P})$ be a distributed system, where $\mathcal{P} = \{\mathcal{P}_{ij}\}_{i \neq j}$ (with $i, j \in \{1, \dots, n\}$) is the family of communications from X_i to X_j (with $i \neq j$). It is said that \mathcal{P} is a *line communication* if $\mathcal{P}_{ij} = \{\emptyset\}$ for any $j \neq i + 1$, for each $i = 1, \dots, n - 1$.

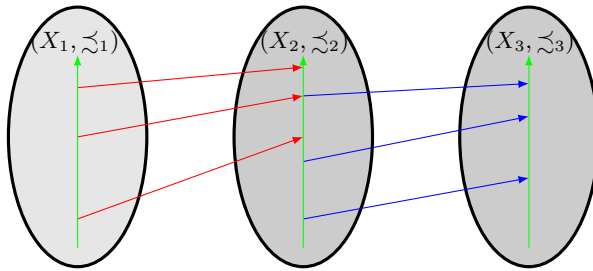


Fig. 6 A distributed system of three processes with line communication

Hence, when the processes are endowed with a line communication, these computers or processes are ordered in a sequence (i.e., totally ordered) such that each computer only sends messages to the next one (see Fig. 6).

Proposition 3.13 Let $(\bigcup_{i=1}^n (X_i, \lesssim_i), \mathcal{P} = \bigcup_{i \neq j} \mathcal{P}_{ij})$ and $(\bigcup_{i=1}^n (X_i, \lesssim_i), \mathcal{P}' = \bigcup_{i \neq j} \mathcal{P}'_{ij})$ be two distributed systems with the same processes and both with line communication. Assume that the causal ordering of messages is always satisfied as well as there is no cycles (i.e., the \rightarrow is a strict partial order). Then, the corresponding causal precedences coincide ($\rightarrow_1 = \rightarrow_2$) if and only if $\mathcal{P}_{ij} = \mathcal{P}'_{ij}$ for each $i \neq j$, that is, if and only if they have the same communications.

Proof \Rightarrow : If the corresponding causal precedences coincide, then they also coincide when we restrict the relation to a subset $X_i \cup X_{i+1}$ (for any $i = 1, \dots, n - 1$). Moreover, since \mathcal{P} is a line communication, it holds that $\rightarrow_{1|X_i \cup X_{i+1}} = (\lesssim_i \cup \lesssim_{i+1} \cup \mathcal{P}_{i,i+1})^+$, that is, the restriction of the causal relation \rightarrow_1 to $X_i \cup X_{i+1}$ is just the causal relation of the distributed system $((X_i, \lesssim_i) \cup (X_{i+1}, \lesssim_{i+1}), \mathcal{P}_{i,i+1})$. Dually, it holds that $\rightarrow_{2|X_i \cup X_{i+1}} = (\lesssim_i \cup \lesssim_{i+1} \cup \mathcal{P}'_{i,i+1})^+$. Therefore, by Proposition 3.11, the communications $\mathcal{P}_{i,i+1}$ and $\mathcal{P}'_{i,i+1}$ also coincide, and that for any $i = 1, \dots, n - 1$. \Leftarrow : This implication is trivial. □

Remark 3.14 Notice that the decomposition of a partially ordered set in n disjoint chains is not unique. Therefore, it may be possible to construct distinct distributed systems such that the corresponding causal relation coincides with the initial partial order. In order to show that we include the following example:

Example 3.15 Let \lesssim be a partial order defined on $X = \{a, b, c, d\}$ by $\{c \lesssim b \lesssim a, b \lesssim d\}$. Then, the partial order can be characterized by means of the following distributed systems (among others) of 2 processes (see Fig. 7):

- (1) $(X_1, \lesssim_1) = (\{a, b, c\}, c <_1 b <_1 a)$ and $(X_2, \lesssim_2) = (\{d\}, \{\emptyset\})$, with communication $\mathcal{P}_{12} = \{(b, d)\}$.
- (2) $(X_1, \lesssim_1) = (\{a, c\}, c <_1 a)$ and $(X_2, \lesssim_2) = (\{b, d\}, b <_2 d)$, with communications $\mathcal{P}_{12} = \{(c, b)\}$ and $\mathcal{P}_{21} = \{(b, a)\}$.

Remark 3.16 Hence, given a preorder, it seems interesting to study the existence and uniqueness of distributed systems that characterize (through its causal precedence)

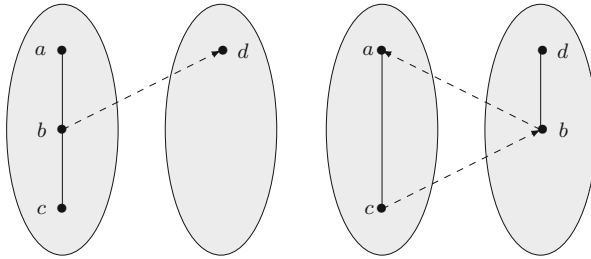


Fig. 7 A partial order represented through two distinct distributed systems

the preorder with some additional properties such as that the length of the processes is minimal, or that the number of messages is minimal. For instance, in the example of Fig. 7, in the first case, the length of the longest process is three and the number of messages is one, whereas in the second case, these values are two and two, respectively.

4 Representability of Distributed Systems

Since it is possible to add a new process to a distributed system (that is, connecting another computer to the system, including also the corresponding communication), it is interesting to study how to create a new representation of the distributed system that arise from the union of two distributed systems, but now aggregating the representations before.

In this paper we do not achieve the answer to this question but, at least, we are able to construct weak representations of distributed systems with line communications starting from pairs of functions that represent each biorder.

We shall assume that the causal ordering of messages is satisfied, as well as there are no cycles. Thus, we assume that our distributed systems are such that the causal relation \rightarrow is a strict partial order.

The following definitions introduce the concept of representability for a distributed system of n processes.

Definition 4.1 Let $(\bigcup_{i=1}^n (X_i, \lesssim_i), \mathcal{P} = \bigcup_{i \neq j} \mathcal{P}_{ij})$ be a distributed system. We say that it is *weakly representable* if there exists a family $\{u_i\}_{i=1}^n$ (called *weak representation*) of real functions $u_i: X_i \rightarrow \mathbb{R}$ such that (u_i, u_j) weakly represents the biorder $\overline{\mathcal{P}}_{ij}$ with respect to $<$ (that is, $x_i \overline{\mathcal{P}}_{ij} x_j \Rightarrow u_i(x_i) < u_j(x_j)$, for any $x_i \in X_i, x_j \in X_j$) as well as each u_i represents the total order \lesssim_i (i.e., $x \lesssim_i y \iff u_i(x) \leq u_i(y), x, y \in X_i$), for any $i, j \in \{1, \dots, n\}$ and $i \neq j$.

If each set X_i is endowed with a topology τ_i , then we will say that the distributed system is *continuously weakly representable* if there exists a continuous weak representation.

Remark 4.2 (1) Notice that, for any $x \in X_i$ and $y \in X_j$ such that $x \rightarrow y$, it holds that $u_i(x) < u_j(y)$, for any $i, j \in \{1, 2, \dots, n\}$.

- (2) The aforementioned functions u_i are known as *Lamport clocks* (see [27]). In fact, a Lamport clock is a function \mathcal{C} satisfying that $a \rightarrow b$ implies $\mathcal{C}(a) < \mathcal{C}(b)$, for any $a, b \in X$. On the other hand, $\mathcal{C}(a) < \mathcal{C}(b)$ does not imply $a \rightarrow b$.

Definition 4.3 Let $(\bigcup_{i=1}^n (X_i, \lesssim_i), \mathcal{P} = \bigcup_{i \neq j} \mathcal{P}_{ij})$ be a distributed system. We say that it is (finitely) *representable* if there exists a (finite) family of weak representations $\{\{u_i^k\}_{i=1}^n\}_{k \in \mathcal{K}}$ such that $x_i \overline{\mathcal{P}}_{ij} x_j$ iff $u_i^k(x_i) < u_j^k(x_j)$ for any $k \in \mathcal{K}$, for any $x_i \in X_i, x_j \in X_j$ and $i \neq j$.

If each set X_i is endowed with a topology τ_i , then we will say that the distributed system is *continuously representable* if there exists a continuous representation.

As following Proposition 4.4 shows, the term before is analogous to the Richter–Peleg multi-utility representation used for preorders. [4, 7, 20, 34, 36]

Proposition 4.4 A distributed system $(\bigcup_{i=1}^n (X_i, \lesssim_i), \mathcal{P} = \bigcup_{i \neq j} \mathcal{P}_{ij})$ is (finitely) *representable* if and only if the corresponding causal relation \rightarrow is (finitely) *Richter–Peleg multi-utility representable*.

Proof Given a representation $\{\{u_i^k\}_{i=1}^n\}_{k \in \mathcal{K}}$ of the distributed system, the family of functions $\{w_k\}_{k \in \mathcal{K}}$ defined by

$$w_k(x) = u_i^k(x) \text{ if } x \in X_i, \text{ with } k \in \mathcal{K},$$

is a Richter–Peleg multi-utility representation of the strict partial order \rightarrow .

Dually, starting from a Richter–Peleg multi-utility representation $\{w_k(x)\}_{k \in \mathcal{K}}$ of the causal relation \rightarrow of a distributed system $(\bigcup_{i=1}^n (X_i, \lesssim_i), \mathcal{P} = \bigcup_{i \neq j} \mathcal{P}_{ij})$, then the following representation $\{\{u_i^k\}_{i=1}^n\}_{k \in \mathcal{K}}$ arises:

$$u_i^k(x) = w_k(x), \text{ when } x \in X_i, \text{ with } k \in \mathcal{K}, \text{ for each } i = 1, \dots, n.$$

□

Remark 4.5 Notice that the main difference is the domain of the corresponding functions. In the case of distributed systems, the functions are defined on the processes, whereas in the case of preorders they are defined on the all set (which would be the union of the processes). This difference may be relevant when dealing with continuity and topological spaces.

Now we introduce another kind of representation in bijection with the concept of multi-utility [4, 7, 20, 34, 36], and that it is actually common and known in computing by *vector clock representation* (see [29, 35]). Due to that coincidence (and in order to distinguish it from the definition before), we shall call it by *vector representation*.

Definition 4.6 Let $(\bigcup_{i=1}^n (X_i, \lesssim_i), \mathcal{P} = \bigcup_{i \neq j} \mathcal{P}_{ij})$ be a distributed system. We say that it is *vector representable* if there exists a family of weak representations with respect to \leq (called *vector clocks*) $\{\{u_i^k\}_{i=1}^n\}_{k \in \mathcal{K}}$ such that $x_i \overline{\mathcal{P}}_{ij} x_j$ iff $u_i^k(x_i) \leq u_j^k(x_j)$ for any $k \in \mathcal{K}$, as well as there exists an index $l \in \mathcal{K}$ such that $u_i^l(x_i) < u_j^l(x_j)$ (for any $x_i \in X_i, x_j \in X_j$ and $i \neq j$).

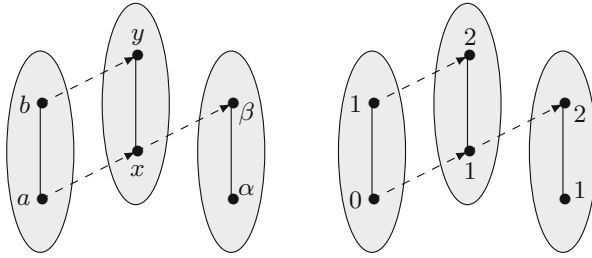


Fig. 8 A distributed system. Each process contains just two events. The dashed arrows represent the communication between processes

If each set X_i is endowed with a topology τ_i , then we will say that the distributed system is *continuously vector representable* if there exists a continuous vector representation.

Remark 4.7 In computing, these vector clocks are constructed through *timestamps* algorithms (see [29, 35]).

The problem of aggregating representations is not trivial. In order to illustrate that, we include the following example.

Example 4.8 Let \mathcal{P}_{12} and \mathcal{P}_{23} two communications between $A = \{a, b\}$ (with $a <_A b$) and $X = \{x, y\}$ (with $x <_X y$) and $\Lambda = \{\alpha, \beta\}$ (with $\alpha <_\Lambda \beta$), respectively, defined as follows:

$$a\mathcal{P}_{12}x\mathcal{P}_{23}\beta, \quad a\mathcal{P}_{12}y \quad \text{and} \quad b\mathcal{P}_{12}y.$$

Now we define the tuple (u, v, w) by $u(a) = 0, u(b) = 1, v(x) = 1, v(y) = 2, w(\alpha) = 1$ and $w(\beta) = 2$. Then, the pairs (u, v) and (v, w) are representations of the distributed systems defined on $A \cup X$ and on $X \cup \Lambda$, respectively. However, the tuple (u, v, w) fails to represent the distributed system made up by the three processes: $u(a) = 0 < w(\alpha) = 1$ but $\neg(a \rightarrow \alpha)$ (Fig. 8).

In the following lines, it is shown how to construct weak representations of distributed systems with line communications starting from pairs of functions that represent each biorder. For more clearness, before introduce the general case, first we include here the particular case of a distributed system of three processes with a linear communication.

Proposition 4.9 Let $(\bigcup_{i=1}^3 (X_i, \lesssim_i), \mathcal{P} = \bigcup_{i=1}^2 \mathcal{P}_{i\ i+1})$ a distributed system of three processes with a linear communication such that for every $i \in \{1, 2\}$ the pair (u_i, v_i) is a representation of the biorder $\overline{\mathcal{P}}_{i\ i+1}$.⁵ Then, the tuple $(u = u_1 + u_{u_2}, v = v_1 + u_2, w = v_2 + v_{v_1})$ is a weak representation of the distributed system, where u_{u_2} and v_{v_1} are defined as follows:

$$u_{u_2}(x) = \inf\{u_2(y) : x\overline{\mathcal{P}}_{12}y ; y \in X_2\}; \text{ for any } x \in X_1,$$

⁵ We may assume, without loss of generality, that the codomain of the utilities is the interval $(0, 1)$ instead of the all real line.

$$v_{v_1}(x) = \sup\{v_1(y) : y \overline{\mathcal{P}}_{23}x ; y \in X_2\}; \text{ for any } x \in X_3,$$

on the assumption that $\inf\{\emptyset\} = 1$ and $\sup\{\emptyset\} = 0$.

Proof First, notice that since the utilities take values on $(0, 1)$, and taking into account that $\inf\{\emptyset\} = 1$ and $\sup\{\emptyset\} = 0$, the functions u_{u_2} and v_{v_1} are well defined (that is, the infimum and the supremum always exist).

Let x, y be two elements such that $x \overline{\mathcal{P}}_{12}y$. Then, it holds true that $u_1(x) < v_1(y)$ as well as $u_{u_2}(x) \leq u_2(y)$. Therefore, the condition $u(x) < v(y)$ is satisfied. We argue analogously for a pair of elements x, y such that $x \overline{\mathcal{P}}_{23}y$.

Since $x \succsim_1 x' \overline{\mathcal{P}}_{12}y$ implies $x \overline{\mathcal{P}}_{12}y$, the inequality $u_{u_2}(x) \leq u_{u_2}(x')$ is also satisfied. If $x \succsim_1 x'$ and there is no $y \in X_2$ such that $x' \overline{\mathcal{P}}_{12}y$, then $u_{u_2}(x') = \inf\{\emptyset\} = 1$ so, again, it holds that $u_{u_2}(x) \leq u_{u_2}(x')$. Thus, we conclude that $u_{u_2}(x) \leq u_{u_2}(x')$ is always satisfied for any $x, x' \in X_1$ such that $x \succsim_1 x'$. We argue analogously for a pair of elements $y, y' \in X_3$ such that $y \succsim_3 y'$. In addition, the functions v_1 and u_2 also represent the total order \succsim_2 , as well as u_1 and v_2 represent the total orders \succsim_1 and \succsim_3 , respectively. Hence, we deduce that the functions $u = u_1 + u_{u_2}, v = v_1 + u_2$ and $w = v_2 + v_{v_1}$ are representations of the total orders \succsim_1, \succsim_2 and \succsim_3 , respectively.

Let x, z be now two elements such that $x \overline{\mathcal{P}}_{12}y \overline{\mathcal{P}}_{23}z$, for some $y \in X_2$. Then, since it holds true that $u_1(x) < v_1(y) \leq v_{v_1}(z)$ and $u_{u_2}(x) \leq u_2(y) \leq v_2(z)$, the condition $u(x) < w(z)$ is satisfied.

Thus, we conclude that $(u = u_1 + u_{u_2}, v = v_1 + u_2, w = v_2 + v_{v_1})$ is a weak representation of the distributed system. □

Before generalize the proposition above to n processes, first we introduce the following operators.

Definition 4.10 Let $(\bigcup_{i=1}^2(X_i, \succsim_i), \mathcal{P})$ be a distributed system with a single communication \mathcal{P} from X_1 to X_2 . Let u and v be two (not necessarily strictly) increasing functions on X_1 and X_2 (respectively) that take values on $(0, 1)$. Assume that $\inf\{\emptyset\} = 1$ and $\sup\{\emptyset\} = 0$. Then, we define the following two operators:

$$\begin{aligned} \underline{op}(v)(x) &= \inf\{v(y) : x \overline{\mathcal{P}}y\}_{\{y \in X_2\}} ; \quad x \in X_1, \\ \overline{op}(u)(x) &= \sup\{u(y) : y \overline{\mathcal{P}}x\}_{\{y \in X_1\}} ; \quad x \in X_2. \end{aligned}$$

We shall call these operators *lower operator* and *upper operator*, respectively.

Remark 4.11 (1) Notice that, starting from a function u on X_1 , $\overline{op}(u)$ defines a new function on X_2 , and not in X_1 . Dually, starting now from a function v on X_2 , $\underline{op}(v)$ defines a new function on X_1 , and not in X_2 .

- (2) In fact, since sending and receiving messages is an event, the infimum (of a non-empty set) is a minimum and the suprema (of a non-empty set) is a maximum. Otherwise, the infimum of an empty set is the top of the ordered set, that is, 1, and the supremum is the bottom, i.e., 0.

Proposition 4.12 Let $(\bigcup_{i=1}^2(X_i, \succsim_i), \mathcal{P})$ be a distributed system with a single communication \mathcal{P} from X_1 to X_2 . Let u and v be two (not necessarily strictly) increasing

functions on X_1 and X_2 (respectively) that take values on $(0, 1)$. Then, the following properties are satisfied:

- (i) $\underline{op}(v)$ and $\overline{op}(u)$ are increasing in X_1 and X_2 , respectively.
- (ii) The pairs $(\underline{op}(v), v)$ and $(u, \overline{op}(u))$ represent the biorder $\overline{\mathcal{P}}$ with respect to \leq .
- (iii) $x_1 \sim^* y_1$ implies $\underline{op}(v)(x_1) = \underline{op}(v)(y_1)$, as well as $x_2 \sim^{**} y_2$ implies $\overline{op}(v)(x_2) = \overline{op}(v)(y_2)$, for any $x_1, y_1 \in X_1, x_2, y_2 \in X_2$.⁶

Proof (i) If $x \lesssim_1 y$, then it holds that $y\overline{\mathcal{P}}z$ implies $x\overline{\mathcal{P}}z$. Therefore, applying the definition of the lower operator, it follows that the inequality $\underline{op}(v)(x) \leq \underline{op}(v)(y)$ is satisfied, that is, $\underline{op}(v)$ is increasing with respect to \lesssim_1 on X_1 . We argue dually in order to prove that $\overline{op}(u)$ is increasing with respect to the total order \lesssim_2 defined on X_2 .

- (ii) If $x\overline{\mathcal{P}}y$, then applying the definition of the lower operator, it is clear that the inequality $\underline{op}(v)(x) \leq v(y)$ is satisfied. We argue analogously for the pair $(u, \overline{op}(u))$. On the other hand, suppose that $\underline{op}(v)(x) \leq v(y)$. Since v takes values on $(0, 1)$, $\underline{op}(v)(x) = r \in (0, 1)$, which means that (by definition of $\underline{op}(v)$) there exists $z \in X_2$ such that $x\overline{\mathcal{P}}z$ with $v(z) = r$ (here take into account Remark 4.11 (2)). Thus, $v(z) = r \leq v(y)$ and then, $z \lesssim_2 y$. Therefore, we conclude that $x\overline{\mathcal{P}}y$. Hence, the pair $(\underline{op}(v), v)$ represents the biorder with respect to \leq .
- (iii) If $x_1 \sim^* y_1$, then $x_1\overline{\mathcal{P}}z$ holds if and only if $y_1\overline{\mathcal{P}}z$ is satisfied, for any $z \in X_2$. Therefore, by the definition of the lower operator, the equality $\underline{op}(v)(x_1) = \underline{op}(v)(y_1)$ holds true. We argue analogously for the indifference \sim^{**} .

□

Remark 4.13 (1) Dealing with a distributed system $(\bigcup_{i=1}^n (X_i, \lesssim_i), \mathcal{P} = \bigcup_{i \neq j} \mathcal{P}_{ij})$ of n processes, since—by Proposition 4.12 (i)— $\underline{op}(u_i)$ and $\overline{op}(u_i)$ are increasing in their corresponding sets $(X_{i-1}$ and X_{i+1} , respectively), it is possible to apply an operator more than once. Therefore, starting from an increasing function u_i in X_i , we shall denote by $\underline{op}^2(u_i)$ the function $\underline{op}(\underline{op}(u_i))$ defined in X_{i-2} . This notation is generalized to $\underline{op}^k(u_i)$, achieving a function in X_{i-k} . We shall use the same notation for the upper operator \overline{op} . Since the hypothesis of Proposition 4.12 are again satisfied (now for $\underline{op}^k(u_i)$ and $\overline{op}^k(u_i)$), the properties (i) and (ii) are also true for these iterations.

- (2) The fact that the functions u and v are strictly increasing (i.e., they represent the corresponding total preorder) does not guarantee that $\underline{op}(v)$ and $\overline{op}(u)$ are also.

Theorem 4.14 Let $(\bigcup_{i=1}^n (X_i, \lesssim_i), \mathcal{P} = \bigcup_{i=1}^{n-1} \mathcal{P}_{i,i+1})$ a distributed system of n processes with a line communication such that for every $i \in \{1, \dots, n - 1\}$ the pair (u_i, v_i) is a representation of the biorder $\overline{\mathcal{P}}_{i,i+1}$, with the additional property that u_i and v_i represent the total orders \lesssim_i and \lesssim_{i+1} , respectively. Then, (w_1, \dots, w_n) is a weak representation of the distributed system, where each function w_i is defined on X_i by a sum of $n - 1$ functions as follows:

⁶ Here, \sim^* and \sim^{**} denote the equivalence relations associated to the traces \lesssim^* and \lesssim^{**} of the biorder $\overline{\mathcal{P}}$, respectively.

$$\begin{array}{lcl}
 w_1 & = & u_1 + \sum_{k=1}^{n-2} \underline{op}^k(u_{k+1}) \\
 w_2 & = & v_1 + u_2 + \sum_{k=1}^{n-3} \underline{op}^k(u_{k+2}) \\
 w_3 & = & \overline{op}(v_1) + v_2 + u_3 + \sum_{k=1}^{n-4} \underline{op}^k(u_{k+3}) \\
 \vdots & & \vdots \\
 w_j & = & \sum_{k=1}^{j-2} \overline{op}^{j-1-k}(v_k) + v_{j-1} + u_j + \sum_{k=1}^{n-j-1} \underline{op}^k(u_{k+j}) \\
 \vdots & & \vdots \\
 w_n & = & v_{n-1} + \sum_{k=1}^{n-2} \overline{op}^k(v_{n-1-k})
 \end{array}$$

Proof First, in the following table, we recover the distinct functions defined on each process: Therefore, then, each function w_i is the sum of all these $n - 1$ functions defined

X_1	X_2	X_3	\dots	X_{n-1}	X_n
u_1	v_1	$\overline{op}(v_1)$	\dots	$\overline{op}^{n-3}(v_1)$	$\overline{op}^{n-2}(v_1)$
$\underline{op}(u_2)$	u_2	v_2	\dots	$\overline{op}^{n-4}(v_2)$	$\overline{op}^{n-3}(v_2)$
$\underline{op}^2(u_3)$	$\underline{op}(u_3)$	u_3	\dots	$\overline{op}^{n-5}(v_3)$	$\overline{op}^{n-4}(v_3)$
\dots	\dots	\dots	\dots	\dots	\dots
$\underline{op}^{n-2}(u_{n-1})$	$\underline{op}^{n-3}(u_{n-1})$	$\underline{op}^{n-4}(u_{n-1})$	\dots	u_{n-1}	v_{n-1}

on the set X_i . Let us see now that this tuple (w_1, \dots, w_n) is a weak representation of the distributed system.

Since—by Proposition 4.12 (i)—all the functions defined on each set X_i (for each $i \in \{1, \dots, n\}$) are increasing (with respect to the corresponding total order \lesssim_i) and there is—at least—one which is strictly increasing (u_i and/or v_{i-1}), we conclude that the sum of all of them (denoted by w_i) is a representation of the total order \lesssim_i .

Finally, taking into account Proposition 4.12 (ii) and that (u_i, v_i) is a representation of the biorder $\overline{\mathcal{P}}_{i+1}$ (for each $i \in \{1, \dots, n - 1\}$) with respect to $<$, it is straightforward to check that if $x \overline{\mathcal{P}}_{i+1} y$ holds then $w_i(x) < w_{i+1}(y)$ is satisfied (for each $i \in \{1, \dots, n - 1\}$ and for any $x \in X_i, y \in X_{i+1}$). Therefore, we conclude that (w_1, \dots, w_n) is a weak representation of the distributed system. \square

5 Quasi-finite Partial Orders

In this section, a particular but interesting class of partial orders is studied: *quasi-finite partial orders*. This kind of structures includes all those partial orders that can be understood as a finite family of chains with a communication. The key of this section is to focus the research on the quotient sets (with respect to the traces of the biororders), which makes possible a discrete study of the representability, achieving results not only of the quotient structure but also of the original one. Thus, it is also possible to apply some techniques on finite posets as those introduced in [19].

In fact, given a distributed system $(\bigcup_{i=1}^n (X_i, \lesssim_i), \mathcal{P} = \bigcup_{i \neq j} \mathcal{P}_{ij})$, we may define an equivalence relation \mathcal{I}_i on X_i by means of the intersection of all the equivalence relations \mathcal{I}_{ij}^* and \mathcal{I}_{ji}^{**} (for any $i \neq j$) on X_i (i.e., the equivalence relation associated to the union of all the traces on X_i) (see Remark 2.7). Then, since the communication is a finite relation, the cardinal of each quotient set $\bar{X}_i = X_i / \mathcal{I}_i$ is finite, for any $i = 1, \dots, n$.

The goal of the present section is the attainment of a method to construct finite Richter–Peleg multi-utility representations for quasi-finite partial orders, i.e., a representation method for distributed systems. For more clarity, Example 5.4 is included in order to show this procedure.

Let us see how quasi-finite partial orders are defined.

Definition 5.1 We shall say that a partial order on a set is *quasi-finite* if it is the causal relation of a distributed system.

Remark 5.2 (1) By definition, quasi-finite partial orders are near-complete.

(2) Given a distributed system $(\bigcup_{i=1}^n (X_i, \lesssim_i), \mathcal{P} = \bigcup_{i \neq j} \mathcal{P}_{ij})$, we may be interested just in the communication \mathcal{P} and skip the remaining information, i.e., the total orders \lesssim_i . In that case, a finite poset $(\bigcup_{i=1}^n (X_i / \mathcal{I}_i, \bar{\lesssim}_i), \mathcal{P} = \bigcup_{i \neq j} \mathcal{P}_{ij})$ is achieved, where $\bar{\lesssim}_i$ is the total order on $\bar{X}_i = X_i / \mathcal{I}_i$ and now the communication \mathcal{P} is restricted to the quotient sets (see Example 11 in [16]).

The following proposition shows how to construct a Richter–Peleg multi-utility representation of a quasi-finite partial order, just starting from a bijective Richter–Peleg multi-utility representation (see Definition 2.9) of a finite poset and utilities of total preorders.

Theorem 5.3 Let \lesssim be a quasi-finite partial order on X that coincides with the causal relation associated to a distributed system $(\bigcup_{i=1}^n (X_i, \lesssim_i), \mathcal{P} = \bigcup_{i \neq j} \mathcal{P}_{ij})$. Let $\{w_i\}_{i=1}^n$ be a family of utilities⁷ $w_i : (X_i, \lesssim_i) \rightarrow (0, 1)$ and $\mathcal{U} = \{u_l\}_{l=1}^k$ a bijective Richter–Peleg multi-utility representation associated to $(\bigcup_{i=1}^n (X_i / \mathcal{I}_i, \bar{\lesssim}_i), \mathcal{P})$. Then, the family of functions $\mathcal{V} = \{v_l\}_{l=1}^k$ defined by

$$v_l(x) = u_l(\bar{x}) + w_i(x), \quad \text{if } x \in X_i, \forall x \in X$$

is a Richter–Peleg multi-utility representation of the quasi-finite partial order \lesssim .

Proof \Rightarrow : Let x, y be two elements in X such that $x < y$.

If x and y belong to the same process X_i , then $x <_i y$ so, $w_i(x) < w_i(y)$. Since $x <_i y$, it is also true that $x \lesssim_{ij}^* y$ as well as $x \lesssim_{ji}^{**} y$ for any trace defined on X_i . Therefore, $u_l(\bar{x}) \leq u_l(\bar{y})$ is satisfied, for any function $u_l \in \mathcal{U}$. Hence, we conclude that $v_l(x) < v_l(y)$ for any $v_l \in \mathcal{V}$.

If x and y belong to distinct processes, X_i and X_j respectively, then it holds that $x \bar{\mathcal{P}}_{ij} y$ so, $u_l(\bar{x}) < u_l(\bar{y})$ is satisfied for any $u_l \in \mathcal{U}$. Therefore, since $u_l(\bar{x}) + 1 \leq u_l(\bar{y})$

⁷ We may assume, without loss of generality, that the codomain of the utilities is the interval $(0, 1)$ instead of the all real line.

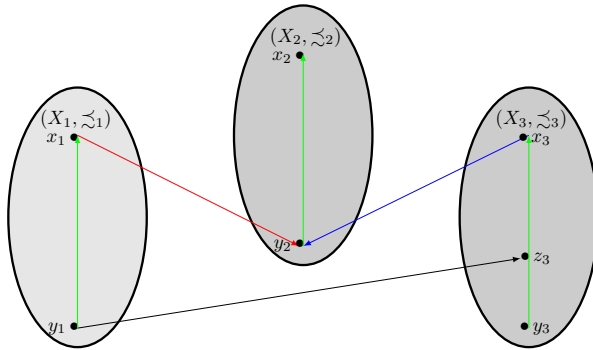


Fig. 9 The distributed system of three processes of Example 5.4

and the codomain of the utilities is the interval $(0, 1)$, we conclude that $v_l(x) < v_l(y)$ for any $v_j \in \mathcal{V}$.

\Leftarrow : Suppose that $v_l(x) < v_l(y)$ is satisfied for any $v_l \in \mathcal{V}$. Since $v_l(x) = u_l(\bar{x}) + w_i(x)$ (with $x \in X_i$, for any $i = 1, \dots, n$) and the codomain of the utilities is the interval $(0, 1)$, $v_l(x) < v_l(y)$ holds true if and only if $u_l(\bar{x}) \leq u_l(\bar{y})$ for any $u_l \in \mathcal{U}$.

Now, we distinguish two cases.⁸

1. $u_l(\bar{x}) < u_l(\bar{y})$ for any $u_l \in \mathcal{U}$. In that case, we distinguish again two cases.
 - (a) x and y belong to the same process X_i . In that case, since $u_l(\bar{x}) < u_l(\bar{y})$ for any $u_l \in \mathcal{U}$, there exists a trace \preceq_{ij}^* or \preceq_{ji}^{**} on X_i such that $x \prec_{ij}^* y$ or $x \prec_{ji}^{**} y$. Thus, we conclude that $x \prec_i y$ and, hence, $x \prec y$.
 - (b) x and y belong to distinct processes, X_i and X_j respectively. In that case, since $u_l(\bar{x}) < u_l(\bar{y})$ for any $u_l \in \mathcal{U}$, there exist elements $x_{k_1} \in X_{k_1}, \dots, x_{k_s} \in X_{k_s}$ (for some $k_1, \dots, k_s \in \{1, \dots, n\}$) such that $x \overline{\mathcal{P}}_{i k_1} x_{k_1} \overline{\mathcal{P}}_{k_1 k_2} \dots \overline{\mathcal{P}}_{k_{s-1} k_s} x_{k_s} \overline{\mathcal{P}}_{k_s j} y$. Thus, we conclude that $x \prec y$.
2. $u_l(\bar{x}) = u_l(\bar{y})$ for any $u_l \in \mathcal{U}$. In that case, x and y belong to the same quotient class and, therefore, to the same process. Thus, since $u_l(\bar{x}) = u_l(\bar{y})$ for any $u_l \in \mathcal{U}$ and $v_l(x) < v_l(y)$ for any $v_l \in \mathcal{V}$, it holds that $w_i(x) < w_i(y)$. Then, we conclude that $x \prec_i y$ and, hence, $x \prec y$. □

Example 5.4 Let (X_1, \preceq_1) , (X_2, \preceq_2) and (X_3, \preceq_3) be three totally ordered sets (they may be uncountable, but representable in any case) such that $x_i = \max\{(X_i, \preceq_i)\}$ and $y_i = \min\{(X_i, \preceq_i)\}$, for $i = 1, 2, 3$. Suppose that there is a communication between these sets (defined by \mathcal{P}_{12} , \mathcal{P}_{13} and \mathcal{P}_{32}) as it is shown in Fig. 9, such that $y_1 \mathcal{P}_{13} z_3$, $x_1 \mathcal{P}_{12} y_2$ and $x_3 \mathcal{P}_{32} y_2$.

⁸ Here notice that, since $\mathcal{U} = \{u_l\}_{l=1}^k$ is bijective Richter–Peleg multi-utility associated to the poset that arose from the quotient of the traces on the processes, $u_{l_0}(x) = u_{l_0}(y)$ holds true (for some index l_0) if and only if both elements belong to the same class (and so, also to the same process). As a matter of a fact, since they belong to the same class then it holds that $u_l(x) = u_l(y)$ not only for that index l_0 but also for any index l .

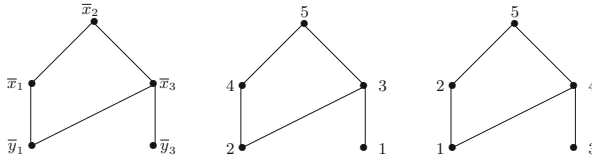


Fig. 10 Hasse diagram and the corresponding bijective Richter–Peleg multi-utility $\{u_1, u_2\}$ of the quotient associated to the distributed system of Fig. 9

If we focus on the quotient we achieve the poset of Fig. 10. Here, it is straightforward to check that $\bar{x}_1 = U_{\prec_1}(y_1) \subseteq X_1$, $\bar{y}_1 = L_{\succ_1}(y_1) \subseteq X_1$, $\bar{x}_2 = X_2$, $\bar{x}_3 = U_{\prec_3}(z_3) \subseteq X_3$ and $\bar{y}_3 = L_{\succ_3}(z_3) \subseteq X_3$.

Therefore, now, given w_1, w_2 and w_3 three representations (that take values on $(0, 1)$) of \prec_1, \prec_2 and \prec_3 , respectively, we can easily construct a representation of the distributed system through these functions and the utilities of the poset, as commented in Theorem 5.3:

$$v_1(x) = u_1(\bar{x}) + w_i(x), \quad \text{if } x \in X_i, \forall x \in X,$$

$$v_2(x) = u_2(\bar{x}) + w_i(x), \quad \text{if } x \in X_i, \forall x \in X.$$

It is straightforward to see that $\{v_1, v_2\}$ is also a Richter–Peleg multi-utility of the causal relation (see Proposition 4.4).

In the theorem before, the functions of the representation are defined through the sum of two functions. Hence, it is possible to study the continuity of the functions of the representation by means of the continuity of the other ones.

Theorem 5.5 *Let $(\bigcup_{i=1}^m X_i, \prec_i), \mathcal{P} = \bigcup_{i \neq j} \mathcal{P}_{ij}$ be a distributed system where each set X_i is endowed with a topology τ_i . Let \mathcal{L}_i be the equivalence relation on X_i emerged from the intersection of all the equivalence relations \sim_{ij}^* and \sim_{ji}^{**} (for any $i \neq j$) on X_i . Assume that the following conditions are satisfied for each $i = 1, \dots, n$:*

- (i) *The total orders \prec_i are τ_i -continuous and representable.*
- (ii) *Each class $\bar{x} = \{y \in X_i : y \mathcal{L}_i x\}$ is open in X_i , for any $x \in X_i$.*

Then, the distributed system is continuously representable.

Proof By Theorem 5.3, we may construct a representation of the distributed system such that each function v_i is defined in X_i by $v_i(x) = u_i(\bar{x}) + w_i(x)$ (as stated in Theorem 5.3). By hypothesis (i), we may assume that w_i is continuous [9], and by condition (ii) it is straightforward to see that u_i is continuous too. Hence, each function v_i is continuous in X_i , for any $i = 1, \dots, n$. □

Remark 5.6 The reciprocal of the theorem above is not true (see Example 11 and Remark 12 of [16]).

From Theorem 5.5 and Proposition 4.4 the following corollary is deduced, which may be useful if we are focusing on a quasi-finite partially ordered set (X, \prec) endowed with a topology.

Corollary 5.7 *Let \succsim be a quasi-finite partial order on (X, τ) . Assume that there exists a distributed system $(\bigcup_{i=1}^n (X_i, \succsim_i), \mathcal{P})$ such that the following conditions are satisfied for each $i = 1, \dots, n$:*

- (i) *The total orders \succsim_i are τ_i -continuous and representable, where $\tau_i = \tau|_{X_i}$.*
- (ii) *Each class $\bar{x} = \{y \in X_i : y \mathcal{I}_i x\}$ is open in X_i , for any $x \in X_i$.*
- (iii) *Any open set $U \in \tau_i$ is also open in τ .*

Then, there exists a continuous and finite Richter–Peleg multi-utility of the partial order \succsim on (X, τ) .

6 Further Comments

For a sake of brevity and clearness, in the present paper, we have argued on total orders and partial orders, however, it can be easily generalized to total preorders and preorders.

The sections related to representability (and the aggregation problem) may be implemented through partial functions, using the idea of partial representability (see [7]). In order to illustrate this final idea, we include the following result:

Proposition 6.1 *Let (X_1, \succsim_1) , (X_2, \succsim_2) and (X_3, \succsim_3) be three representable totally ordered sets and \mathcal{P}_{12} and \mathcal{P}_{23} two communications from X_1 to X_2 and from X_2 to X_3 , respectively. Thus, the structure that arise is a distributed system of three processes with line communication. Assume that each biorder is representable, such that:*

$$\begin{aligned} x\bar{\mathcal{P}}_{12}y &\iff u_1(x) < v_1(y), \text{ for any } x \in X_1, y \in X_2, \\ y\bar{\mathcal{P}}_{23}z &\iff v_2(y) < w_1(z), \text{ for any } y \in X_2, z \in X_3, \end{aligned}$$

as well as the biorder $\bar{\mathcal{P}}_{13}$ emerged from the composition $\bar{\mathcal{P}}_{12} \circ \bar{\mathcal{P}}_{23}$ (i.e., $x\bar{\mathcal{P}}_{13}z \iff x\bar{\mathcal{P}}_{12}y\bar{\mathcal{P}}_{23}z$, for some $y \in X_2$) is representable by (u_2, w_2) :

$$x\bar{\mathcal{P}}_{13}z \iff u_2(x) < w_2(z), \text{ for any } x \in X_1, z \in X_3.$$

(Here, we assume that the functions u_1, u_2, v_1, v_2, w_1 and w_2 takes values on $(0, 1)$, as well as they also represent the total order of the corresponding set). Then, the associated causal relation \rightarrow is partially representable (see [7]) through the functions $\{\sigma_1, \sigma_2, \sigma_3\}$ defined as follows:

$$\sigma_1(x) = \begin{cases} u_1(x) & ; x \in X_1 \\ v_1(x) & ; x \in X_2 \\ w_1(x) + 1 & ; x \in X_3 \end{cases} \quad \sigma_2(x) = \begin{cases} u_1(x) & ; x \in X_1 \\ v_2(x) + 1 & ; x \in X_2 \\ w_1(x) + 1 & ; x \in X_3 \end{cases}$$

$$\sigma_3(x) = \begin{cases} u_2(x) & ; x \in X_1 \\ \emptyset & ; x \in X_2 \\ w_2(x) & ; x \in X_3 \end{cases}$$

So that, $x \rightarrow y$ if and only if $\sigma(x) < \sigma(y)$ for some $\sigma \in \{\sigma_i\}_{i=1}^3$ as well as $\sigma_i(x) < \sigma_i(y)$ for any $i = 1, 2, 3$ such that σ_i is defined on both x and y .

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Data availability Any data of the paper is available.

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