



Uniporter substrate binding and transport: reformulating mechanistic questions

Xuejun C. Zhang^{1✉}, Lei Han¹

¹ National Laboratory of Biomacromolecules, CAS Center for Excellence in Biomacromolecules, Institute of Biophysics, Chinese Academy of Sciences, Beijing 100101, China

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Abstract Transporters are involved in material transport, signaling, and energy input in all living cells. One of the fundamental questions about transporters is concerned with the precise role of their substrate in driving the transport process. This is particularly important for uniporters, which must utilize the chemical potential of substrate as the only energy source driving the transport. Thus, uniporters present an excellent model for the understanding of how the difference in substrate concentration across the membrane is used as a driving force. Local conformational changes induced by substrate binding are widely considered as the main mechanism to drive the functional cycle of a transporter; in addition, reducing the energy barrier of the transition state has also been proposed to drive the transporter. However, both points of view require modification to allow consolidation with fundamental thermodynamic principles. Here, we discuss the relationship between thermodynamics and kinetics of uniporters. Substrate binding-induced reduction of the transition-state energy barrier accelerates the transport process in kinetic terms, while the chemical potential of the substrate drives the process thermodynamically.

Keywords Uniporter, GLUT1, Energy coupling, Kinetics

UNIPORTERS

Uniporters are integral membrane proteins that transport substrates across the cellular membrane by solely using the chemical potential of the substrates as their driving force (Naftalin and De Felice 2012). Depending on the direction of substrate concentration gradient, a uniporter can transport its substrate in either influx or efflux directions, yet the influx and efflux transports are usually of distinct kinetics. One of the most extensively studied eukaryotic uniporters is the glucose transporter, GLUT1 (Carruthers et al. 2009; Deng and Yan 2016). It was first purified and characterized in 1970s (Kasahara and Hinkle 1977), with its crystal structure reported only recently (Deng et al. 2014). Like other uniporters, GLUT1 can transport glucose in either direction. On the basis of these findings, a commonly asked question is how

substrate binding drives this transport. In our opinion, this question is fundamentally flawed, as it does not take into consideration the thermodynamics of transport.

In 1960s, Jardetzky proposed a general alternating-access model for transporters, including uniporters (Jardetzky 1966), the first attempt to hypothesize on the connection between thermodynamics and the structure of a transporter. This model assumes three characteristic features: (1) a transporter must contain a cavity in its interior that is sufficiently large to accommodate the substrate; (2) it must be able to assume two different conformations to allow for the molecular cavity to be open to one side of the membrane in one conformation and to the opposite side in the other; and (3) it must contain a binding site for substrates in the cavity, the substrate affinity of which may be different in the two conformations. In 2003, crystal structures of two transporters from the major facilitator superfamily (MFS), LacY and GltT, were reported in the inward-facing

✉ Correspondence: zhangc@ibp.ac.cn (X. C. Zhang),

conformation (C_{in}); and in 2010, the structure of FucP from the same superfamily was reported in the outward-facing conformation (C_{out}) (Abramson et al. 2003; Huang et al. 2003; Dang et al. 2010). More recently, crystal structures of GLUT uniporters from the MFS family have also been reported in both the C_{in} and C_{out} states (Deng et al. 2014; Deng et al. 2015; Nomura et al. 2015) (Fig. 1). These structural studies illustrate the impressive accuracy of the alternating-access model, which is specifically termed as a “rocker-switch” model for MFS transporters. From a theoretical point of view, the Jardetzky model is a typical example of the so-called two-state model in physics, which has found broad applications in biology (Phillips et al. 2009). While there are claims that a two-state model is too simple to describe the complex properties of uniporters, such as *trans*-acceleration and asymmetric transport (Carruthers et al. 2009; Naftalin and De Felice 2012), we believe that this model can provide mechanistic explanations to these seemingly complicated phenomena. There are more complicated cases in which the transport process of a uniporter may deviate from the two-state model, for example being allosterically regulated or containing loops in addition to the major reaction cycle. However, in most cases, the two-state model would be a good starting point to dissect the transport mechanism.

TWO-STATE MODEL FOR A TRANSPORT CYCLE

In the two-state model, each of the two conformations, C_{in} and C_{out} , of a given uniporter may have two sub-states,

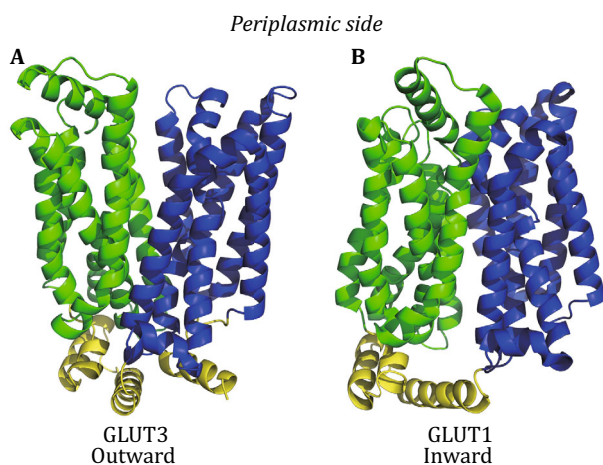


Fig. 1 Crystal structures of representative MFS uniporters. **A** GLUT3 structure in the outward-facing state (PDB ID: 4ZWC). **B** GLUT1 structure in the inward-facing state (PDB ID: 4PYP). The N and C domains are colored *green* and *blue*, respectively, in both the structures, with the intracellular domain colored *yellow*

i.e., being either occupied or unoccupied by the substrate. Thus, a transport cycle of the uniporter can be presented with a two-state, four-step King–Altman plot (Fig. 2). In thermodynamic terms, such a cycle can be described by just three independent parameters, which can be chosen from $f(0)$, $f(\infty)$, $[S]_{in}/K_{d,in}$, $[S]_{out}/K_{d,out}$, ΔG_E , or ΔG_D (Zhang et al. 2015). These parameters are introduced in the next three paragraphs, followed by examples of GLUT transporters.

The partition function $f([S])$ ($\equiv ([C_{out}] + [C_{out}S])/([C_{in}] + [C_{in}S])$) describes the ratio of C_{out} to C_{in} as a function of substrate concentration, and $f(0)$ and $f(\infty)$ are the corresponding values at zero and saturated substrate concentration, respectively (Zhang et al. 2015). The curve of $f([S])$ vs $[S]$ can be measured experimentally, using techniques such as single-molecule Förster resonance energy transfer (smFRET) or double electron–electron resonance (DEER) (Smirnova et al. 2007; Akyuz et al. 2015; Heng et al. 2015).

Among the above-mentioned parameters, those favored by biochemists are perhaps the dissociation constants $K_{d,in}$ and $K_{d,out}$ in the C_{in} and C_{out} states, respectively (see Appendix 1). These parameters can be calculated from $f([S])$, provided that $f(0) \neq f(\infty)$ (Zhang et al. 2015). Most substrate-binding assays used in studying transporters, such as surface plasma resonance (SPR), isothermal titration calorimetry (ITC), or scintillation proximity assay (SPA), provide an apparent dissociation constant, $K_{d,app}$, which is neither $K_{d,in}$ nor $K_{d,out}$, but is a weighted average value of both (Zhang et al. 2015). In addition, a possibility that the “transition” state can be stabilized by substrate in the *in vitro* assay may further complicate interpretations of the results from such a K_d measurement.

Free-energy terms ΔG_E and ΔG_D are the most important parameters in the two-state physics model (Phillips et al. 2009). ΔG_E ¹ is the free-energy difference between C_{in} and C_{out} in the absence of the substrate. The corresponding conformational change is referred to as transition-0 (Figs. 2, 3). ΔG_E ($\equiv -RT\ln(f(0))$) is directly related to the concentration ratio of the two conformations at zero substrate concentration, and a negative value would indicate that C_{out} represents a more stable state than C_{in} . Furthermore, the differential binding energy, ΔG_D , is defined as $RT\ln(K_{d,in}/K_{d,out})$. On the one hand, ΔG_D is an intrinsic property of the transporter in a sense that its value is independent of the substrate concentration. The concept of ΔG_D has been implied in the Jardetzky’s

¹ Originally, the subscript “E” in ΔG_E depicted “elastic” conformational energy stored in the non-resting state (Zhang et al. 2015). However, since this energy does not follow Hook’s law, it is not elastic in nature. Thus, the subscript “E” better refers to “empty”, i.e., unloaded states of the transporter.

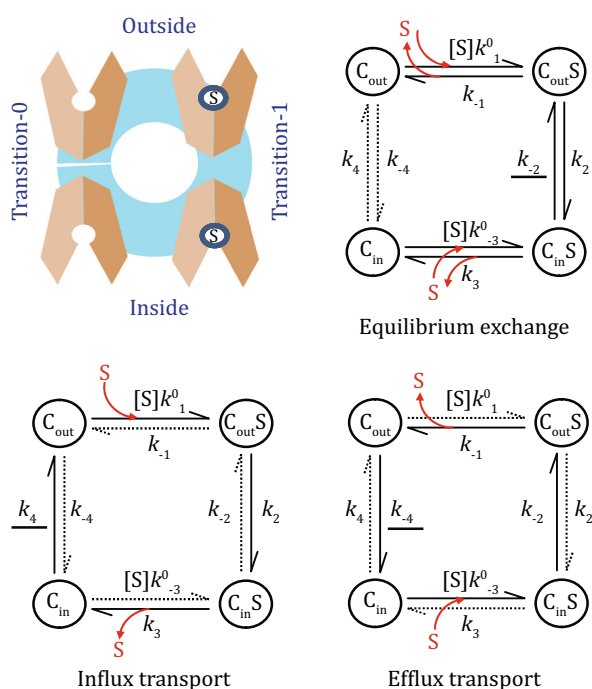


Fig. 2 Two-state four-step model. The *top-left panel* is a schematic presentation of the two-state model, and the remaining are its King–Altman diagrams in different types of transport. In each type of transport, dominant paths are shown in *solid lines*, and the rate-limiting step (for GLUT1) is *underlined*

original model. On the other hand, ΔG_D can be considered as part of the chemical potential ($\Delta\mu_S$) of the substrate, and the value of ΔG_D determines whether $\Delta\mu_S$ contributes positively or negatively to the driving force for the substrate-carrying conformational change, which is referred to as transition-1. Furthermore, $\Delta\mu_S$ ($\equiv RT\ln([S]_{in}/[S]_{out})$, for influx transport) can be divided into three terms, namely (1) free energy of loading (L), ΔG_L ($\equiv RT\ln(K_{d,out}/[S]_{out})$); (2) free energy of releasing (R), ΔG_R ($\equiv RT\ln([S]_{in}/K_{d,in})$); and (3) the differential binding energy ΔG_D (Zhang et al. 2015). For a given $\Delta\mu_S$ determined by the experimental condition, a favorable change in ΔG_D (e.g., by a mutation in the transporter) is necessarily accompanied by unfavorable changes in ΔG_L and/or ΔG_R , in terms of facilitating the transport process. In addition, the combined term $\Delta G_D - \Delta G_E$ (i.e., $-RT\ln(f(\infty))$) is the free-energy change associated with the substrate-carrying conformational change, transition-1. Thus, in principle, all of the above thermodynamic parameters can be calculated solely from values of the partition function $f([S])$ measured at three or more substrate concentrations. These parameters are sufficient to describe the thermodynamic cycle of a two-state model, which may serve as the basis for more sophisticated mathematical models for transporters.

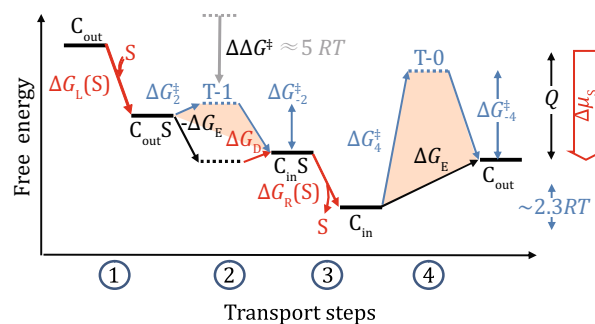


Fig. 3 Schematics of the free-energy landscape of influx transport by GLUT1. A free-energy landscape plot describes the thermodynamic relationship between different states. The plot must satisfy the First and Second Laws of thermodynamics. Horizontal lines represent states. Tilted lines represent transitions between states. *Red arrows* are associated with the chemical potential of the substrate. Subscripts “L,” “R,” “D,” and “E” stand for energy terms associated with loading, releasing, differential binding, and empty carrier, respectively. The starting and ending states are identical, only being differed by the release of heat (Q) during one transport cycle. Experimental raw data from Lowe and Walmsley (1986) are reflected in the relative scales of the free-energy terms, but derived values of energy barriers of transition-1 (T-1) and transition-0 (T-0) are significantly reduced in the current plot (see Appendix 5). Note that for each and every ten-fold change in either population (such as life time or concentration) or kinetic rate, the corresponding free-energy change is $2.3 RT$ (i.e., $RT\ln(10)$). In addition, since $\Delta G_D \approx 0$, for the substrate binding-induced reductions of the energy barrier $\Delta\Delta G_{D1}^{\ddagger} \approx \Delta\Delta G_{D0}^{\ddagger}$ (denoted as $\Delta\Delta G^{\ddagger}$). Assuming that a hydrogen bond contributes $2 RT$ (~ 5 kJ/mol) free energy, the $5 RT$ reduction in ΔG^{\ddagger} is equivalent to 2–3 hydrogen bonds

Based on previously reported data at 0°C , ΔG_E and ΔG_D of human GLUT1 are estimated to be $+2.8 RT$ and $+0.2 RT$, respectively (Lowe and Walmsley 1986). The small value of ΔG_D suggests that $K_{d,in}$ and $K_{d,out}$ are nearly identical and that differential binding energy contributes almost zero to the driving force for GLUT1. Thus, what actually drives the transport process in this case can only originate from the ΔG_L and ΔG_R terms, by favoring the forward movement and/or preventing the backward movement (Zhang and Han 2016). In addition, in both the absence and presence of substrate, GLUT1 stays predominantly in the C_{in} state (with $f(0) = 0.06$ and $f(\infty) = 0.07$), which is in agreement with the above-mentioned positive value of ΔG_E . A recently reported 1.5-\AA crystal structure of GLUT3 (PDB ID: 4ZW9) (Deng et al. 2015) revealed that a substrate glucose molecule forms multiple hydrogen bonds with amino acid residues from the central cavity of the transporter, and the binding site is relatively narrow. The hydrogen bonds (i.e., the enthalpy term in ΔG_L or ΔG_R) contribute favorably to both substrate affinity and selectivity. However, while the narrow binding site contributes positively to the substrate selectivity, it negatively affects the affinity because of a decrease in

entropy (see Appendix 1). Then, how these thermodynamic parameters are related to the kinetic properties of a uniporter remains to be discussed.

KINETICS

Apart from considering thermodynamic parameters, understanding the precise mechanisms of substrate transport of a uniporter requires further kinetic information, i.e., the parameters k_1^0 , k_{-1} , and so on as shown in the King-Altman plot (Fig. 2). However, not all of these kinetic parameters act independently from each other; on the contrary, they are related via thermodynamic parameters (e.g., $\Delta G_E = RT \ln(k_{-4}/k_4)$). One major obstacle in studying kinetics of transport is that the precise measurement of kinetic parameters presents a far more daunting technical challenge than measuring thermodynamic parameters.

A free-energy landscape plot (Fig. 3) is a useful tool to visually represent the transport process, for instance whether a step is thermodynamically favorable (Zhang et al. 2015; Zhang and Han 2016). While the vertical dimension of the plot represents Gibbs free energy, the horizontal dimension can be considered as an alternative expression of the King-Altman plot. Depending on the depth (or focus) of the analysis, multiple steps in a free-energy landscape may be merged as a single one. In addition, every step in the free-energy landscape plot may be further divided into more sub-steps (see Appendix 1 for an example). In particular, each (non-diffusion limiting) step in a free-energy landscape may contain a local transition state which is related to the kinetics of the given step (see Appendix 2). Such transition states are schematically shown in Fig. 3 for both transition-1 and transition-0. A general procedure to construct a free-energy landscape plot of the two-state model, in order to comprehend relationships between functions of a uniporter and both its thermodynamic and kinetic properties, is outlined in Appendix 3.

For an influx transport process, the transport cycle runs in the clockwise direction in Fig. 2; and for an efflux transport, the cycle runs in the counter-clockwise direction. In the discussion below, we will use the kinetic parameters to name the associated “reaction” steps whenever appropriate. For example, the k_2 step denotes the substrate-carrying, C_{out} -to- C_{in} transition-1, and the associated free-energy barrier is denoted as ΔG_2^\ddagger . Since all steps in the transport cycle are mutually

exclusive, the minimum time required by the influx (efflux) cycle is denoted as $\tau_{influx,min}$ ($\tau_{efflux,min}$).

$$\tau_{influx,min} = \tau_1 + \tau_2 + \tau_3 + \tau_4, \quad (1)$$

$$\tau_{efflux,min} = \tau_{-4} + \tau_{-3} + \tau_{-2} + \tau_{-1}, \quad (2)$$

where τ_1 , τ_2 , and so on are the minimum time spent by the transporter at each step. Therefore, the maximum rate of influx transport (e.g., under so-called zero-*trans* conditions (Krupka and Deves 1981)), simply denoted as V_{influx} , satisfies the following relationship:

$$\frac{1}{V_{influx}} \approx \frac{1}{[S]_L k_1^0} + \frac{1}{k_2} + \frac{1}{k_3} + \frac{1}{k_4}. \quad (3)$$

Similarly, the maximum rate of efflux transport, V_{efflux} , satisfies the following relationship:

$$\frac{1}{V_{efflux}} \approx \frac{1}{k_{-4}} + \frac{1}{[S]_L k_{-3}^0} + \frac{1}{k_{-2}} + \frac{1}{k_{-1}}. \quad (4)$$

For a uniporter of molecular weight ≥ 50 kDa, its major conformation changes are most likely to be slower than the diffusion-dominated substrate loading and releasing. In other words, the substrate loading (k_1^0 and k_{-3}^0) and releasing (k_{-1} and k_3) steps are usually much faster than those of the transition-0 (k_4 and k_{-4}) and transition-1 (k_2 and k_{-2}) steps, as long as the substrate concentration on the loading side is sufficiently high ($\gg K_{d,L}$) and that on the releasing side is low ($\ll K_{d,R}$, implicating relatively large k_{-1} and/or k_3). Thus, the terms corresponding to steps k_1^0 , k_{-1} , k_3 , and k_{-3}^0 in the above equations can be omitted. In other words, depending on the experimental setup as well as properties of the transporter, the rate-limiting step(s) is very likely to be at either transition-0 or transition-1 (or sometimes both).

As an example, it has been shown that for a full cycle of glucose uptake by GLUT1, the energy barrier of the substrate-free transition-0 is higher than that of the substrate-carrying transition-1 (Lowe and Walmsley 1986). Thus, in a zero-*trans* influx (efflux) assay (i.e., under the condition of $[S]_L \gg K_M$ and $[S]_R \approx 0$) the transport rate is dominated by the kinetic parameter, k_4 (k_{-4}), at transition-0. Therefore, the following is true:

$$\frac{V_{influx}}{V_{efflux}} \approx \frac{k_4}{k_{-4}} = f(0). \quad (5)$$

Shown in the free-energy landscape plot (Fig. 3), the above results may be interpreted in such a way that transport in the direction of a lower energy barrier at the rate-limiting step is running faster than transport in the opposite direction. This phenomenon is called

asymmetric transport. The logic presented here to interpret asymmetric transport, which was also employed in earlier work by others (Lowe and Walmsley 1986), is conceptually more straight forward than a model proposed recently (Zhang and Han 2016). For GLUT1, it was estimated that at 0 °C V_{efflux} is more than 10 times faster than V_{influx} (Lowe and Walmsley 1986). In addition, the inward-facing conformation is thermodynamically favored in the absence of substrates ($f(0) = 0.06$), strongly indicating that C_{in} represents the resting state. These observations are consistent with the function of rapid glucose efflux of GLUT1, e.g., in erythrocytes delivering glucose to places of high energy demand such as the brain, though the tendency to transport asymmetrically may become less pronounced at physiological temperatures (Lowe and Walmsley 1986, Carruthers et al. 2009). Under the condition that transition-0 is the rate-limiting step, the ratio of V_{influx} to V_{efflux} is determined by ΔG_E (or $f(0)$), which in turn is influenced by interactions between the transporter and the membrane (e.g., by electric charges carried by the transporter and the electrostatic membrane potential). In general, if a uniporter is adapted to mainly transport substrates in one direction, such transporter is likely to have a value of ΔG_E compatible with such a function.

Moreover, equilibrium exchange studies on GLUT1, where influx of radiolabeled glucose was coupled with efflux of non-labeled glucose, showed that the influx rate of the radiolabeled glucose (V_{ee}) is ~ 100 times faster than V_{influx} (i.e., in the absence of a coupled efflux) (Lowe and Walmsley 1986). Similar to Eq. 3, the following holds true for V_{ee} :

$$\frac{1}{V_{\text{ee}}} \approx \frac{1}{k_2} + \frac{1}{k_{-2}}. \quad (6)$$

Equation 6 reflects the fact that, for equilibrium exchange, transition-0 is no longer the rate-limiting step (Fig. 2). In addition, it was estimated that $k_2/k_{-2} \approx 10$ (i.e., $f(\infty) = 0.07$) (Lowe and Walmsley 1986). Thus, the rate-limiting step of the equilibrium exchange is the efflux of the non-labeled glucose, and $V_{\text{ee}} \approx k_{-2}$. Since the rate constant of a reaction step is reciprocally related to the forward energy barrier of its local transition state by the Arrhenius equation (Appendix 2), the $V_{\text{ee}}/V_{\text{influx}}$ ($\approx k_{-2}/k_4$) ratio of 100 suggests that the energy barrier of substrate-carrying transition-1 (in particular ΔG_{-2}^\ddagger) is $\sim 5 RT$ (i.e., $RT \ln(100)$) lower than that of substrate-free transition-0 (ΔG_4^\ddagger). This point will be discussed further in the last section.

Trans-acceleration is a phenomenon that uptake of radiolabeled substrate is enhanced by the existence of (other types of) non-radiolabeled substrates at the

opposite side of the membrane. While GLUT1 and GLUT3 display characteristics of *trans*-acceleration, GLUT4 and GLUT2 lack such trait (Nishimura et al. 1993). Similar to the above discussion, *trans*-acceleration in GLUT1 can be explained by the fact that $k_{-2} \gg k_4$, given the argument that, once the second substrate is added on the *trans*-side, the rate-limiting step switches from the k_4 step to the k_{-2} step in the King–Altman plot. In contrast, absence of *trans*-acceleration suggests that GLUT4 has an energy barrier for the substrate-carrying transition-1 (the k_{-2} step) comparable with transition-0 (the k_4 step), such that the $V_{\text{ee}}/V_{\text{influx}}$ ($\approx k_{-2}/k_4$) ratio becomes close to 1 (assuming the remaining profile of thermodynamic parameters of GLUT4 are the same as that of GLUT1). Consistent with its *trans*-acceleration property, GLUT1 also shows asymmetry in *zero-trans* influx/efflux assays as mentioned above; in contrast, GLUT4 displays kinetic symmetry (Taylor and Holman 1981). In particular, for GLUT1, the rate-limiting steps for *zero-trans* influx and efflux are k_4 and k_{-4} , respectively, and thus $V_{\text{influx}}/V_{\text{efflux}}$ ($\approx k_4/k_{-4}$, Eq. 5) equals to $\sim 1/10$, indicating asymmetry. In contrast, because of equal heights for both transition-0 and -1 in GLUT4, its ratio of $V_{\text{influx}}/V_{\text{efflux}}$ ($\approx k_4/k_{-2}$) becomes close to 1, indicating symmetry. Interestingly, studies with chimeric constructs showed that transmembrane helix 6 (TM6) of GLUT4 is responsible for a lowering of the energy barrier at transition-0 compared with that of GLUT1 (Vollers and Carruthers 2012). It is noted that an MFS transporter contains two domains, N- and C-domain, which are related by a pseudo two-fold symmetry (Fig. 1). TM6 is located on the surface of the N-domain, directly contacting with the lipid bilayer. However, it is not involved in the inter-domain interface where substrates are bound and conformational changes occur. As a certain degree of intra-domain flexibility is required by the function of an MFS transporter (Quistgaard et al. 2016), in GLUT4 the interface between TM6 and other TM helices within the N-domain may be more frictionless, rendering the transition-0 state more flexible and thus less strained during the conformational change.

Therefore, both its thermodynamic and kinetic properties are essential for proper functioning of a uniporter. It is important to understand how external free energy, including electrochemical potential of the substrate, drives the thermodynamic process of the transporter, and how the substrate binding affects the kinetic property of the transporter. The simple, two-state, four-step model described here should provide meaningful interpretations in both aspects, at least qualitatively.

REDUCTION OF THE ENERGY BARRIER OF THE TRANSITION STATE

On the cell surface, various potential substrates/ligands may exist in the surroundings of a uniporter, and they compete for the uniporter or cooperate with each other for utilizing the transporter. For instance, both glucose and lactate (a product of glycolysis) compete for transport by GLUT1 (Simpson et al. 2007). In general, these substances may be divided into three classes: those (1) whose binding increases the transition rate relative to transition-0; (2) whose binding has no effect on the transition rate; and (3) whose binding reduces the transition rate. Borrowing terminology from receptor-mediated signaling (Zhang et al. 2016), in terms of promoting the conformational transition of the uniporter, these three types of ligands may be considered as agonists, antagonists, and (partial) inverse agonists. While glucose transported by GLUT1 belongs to the first type of ligands, glucose transported by GLUT4 seems to belong to the second (Nishimura et al. 1993). In addition, aspartic acid (and Na^+) transported by Glt_{ph} seems to belong to the third type (Akyuz et al. 2015). Clearly, not all ligands of the third type are necessarily inhibitors. In addition, it is hypothetically feasible to imagine another type of inhibitors, namely one that over-stabilizes a transition state in a manner that the transition state simply becomes a deep energy valley, mimicking a classical transition-state analog inhibitor that traps the enzyme at the transition state (sometimes by forming a covalent bond). The affinity of such an inhibitor must be so strong that it would over-compensate ΔG^\ddagger . Nevertheless, such inhibitors for transporters remain to be discovered. Therefore, whether a given ligand is a good substrate for a transporter may not only depend on its affinity strength in the loading step, but also on how it affects the energy barrier of the transition state.

Proper functioning of a uniporter depends on the balance between efficient transport and prevention of potential leakage of non-specific ligands. Substrate binding-induced reduction of the energy barrier results in such a balance, thus making biological sense (Klingenberg 2007). This is especially true for those transporters that are more or less constitutively expressed at the cell surface (such as GLUT1). It may be of less importance, however, for transporters that are dynamically regulated by other mechanisms, for example for insulin-induced cell surface expression of GLUT4 (James et al. 1989). On the one hand, transition-0 is more likely to be the rate-limiting step, so that the transporter would not switch freely between the C_{out} and C_{in} states, thus limiting incidental leakage. On the other hand, in order for the transport cycle to proceed, the energy barrier of transition-0 (which is part of the transport

cycle of the uniporter) must be reasonably low, in order to render the barrier accessible to thermal motion. An “ideal” substrate of a given uniporter could be defined as a ligand that decreases the energy barrier of transition-1 relative to transition-0, thus (1) increasing the rate of conformational transition as well as the transport cycle and (2) competing more effectively with other potential substances in utilizing the transporter.

Hypothetically, there may be numerous ways for a substrate to affect the energy barrier (ΔG^\ddagger). Lowering ΔG^\ddagger of a uniporter does not consume extra energy input, including the chemical potential of the substrate. Instead, substrate binding per se plays a role in reducing ΔG^\ddagger . The substrate binding-induced reduction of ΔG^\ddagger was termed as intrinsic binding energy (Klingenberg 2006). We would like to emphasize that this “driving” energy is gained from substrate binding in the first half of the transition but is immediately released in the second half of the same transition. Since the transition rate is mainly determined by the forward kinetic rate, the overall effect of the ΔG^\ddagger reduction at the rate-limiting step is acceleration of transport. It is well known that substrate binding may induce so-called occluded conformations, which have been captured in a number of reported crystal structures (Deng et al. 2015). Thus, the occluded conformations are likely to have higher affinity towards substrates than the C_{in} and C_{out} states (Quistgaard et al. 2016), at least under the in vitro conditions. In lipid bilayers where both mechanical membrane tension and electrostatic membrane potential may be present, such an occluded conformation may or may not be thermodynamically stable. However, as long as it is not over-stabilized relatively to the following substrate-releasing state, an occluded state would not prevent proceeding of the transport. The two-state model remains valid should the transient occluded state be merged with neighboring sub-steps of the transition. Furthermore, the transition-state functions as a mechanism for strong substrate selectivity. Analogously, stabilization of the transition state of an enzyme–substrate complex is a common mechanism in enzyme catalysis as well as selectivity. For transporters, such a mechanism has been specifically termed as induced transition fit (Klingenberg 2007). It should be stressed that reduction of ΔG^\ddagger is not driven by the chemical potential of the substrate, because during the transition-1 the substrate has already bound to the transporter thus being irrelevant to the external concentration(s) of the substrate. Detailed structure studies of uniporters may provide information on the mechanism of substrate binding-mediated reduction of ΔG^\ddagger , as exemplified in mechanistic discussion on GLUT1 crystal structure (Deng et al. 2014). Similar mechanisms may

also exist for secondary active transporters, for example for members of the MFS family (Quistgaard et al. 2016), where external energy provides an additional driving force to overcome ΔG^\ddagger (Zhang et al. 2015).

Using the free-energy landscape plot (Fig. 3) as a tool, we will discuss the substrate binding-induced reduction of the energy barrier of the transition-1 relative to transition-0 in more detail. Let's first compare $C_{\text{out-to-}}C_{\text{in}}$ transitions with and without a bound substrate. The substrate binding-induced reduction of the energy barrier is denoted as $\Delta\Delta G_{01}^\ddagger \equiv \Delta G_2^\ddagger - \Delta G_{-4}^\ddagger$. A negative value of $\Delta\Delta G_{01}^\ddagger$ would indicate that $k_2 > k_{-4}$. In general, the three above-mentioned ligand types correspond to the three situations whereby $\Delta\Delta G_{01}^\ddagger$ is either smaller, equal to, or larger than zero. The energy reduction may also be presented as $RT\ln(K_{d,T}/K_{d,\text{out}})$. Here, $K_{d,T}$ is a hypothetical dissociation constant at the transition state, which is an intrinsic property of the transporter for a given substrate. An "ideal" substrate would have a $K_{d,T}$ value smaller than that of $K_{d,\text{out}}$, thus lowering the energy barrier of the transition state by $|\Delta\Delta G_{01}^\ddagger|$. Consequently, the transition rate of the k_2 step would increase by a factor of $K_{d,\text{out}}/K_{d,T}$ relative to that of the k_{-4} step. The difference between $K_{d,T}$ and $K_{d,\text{out}}$ is likely to be contributed mainly by the corresponding enthalpy difference (Appendix 1), for example a variation of the number of hydrogen bonds induced by substrate binding. Similarly, for a $C_{\text{in-to-}}C_{\text{out}}$ transition the reduction is denoted as $\Delta\Delta G_{10}^\ddagger (\equiv \Delta G_{-2}^\ddagger - \Delta G_4^\ddagger)$ and equals to $RT\ln(K_{d,T}/K_{d,\text{in}})$. A negative value of $\Delta\Delta G_{10}^\ddagger$ would indicate that $k_{-2} > k_4$. Therefore, $\Delta\Delta G_{01}^\ddagger$ does not necessarily equate to $\Delta\Delta G_{10}^\ddagger$, and their difference ($\Delta\Delta G_{01}^\ddagger - \Delta\Delta G_{10}^\ddagger$) is exactly the same as the differential binding energy, ΔG_D . For instance, for a transporter of negative ΔG_D , the substrate binding-induced reduction of the energy barrier in the efflux direction would be less significant than that in the influx direction.

Given the picture presented here on their thermodynamics and kinetics, structural studies on uniporters should focus on understanding how the 3D structures implement the energy landscape suitable for efficient transport. To improve our understanding of the structure–function relationship in uniporters, especially in relation to the function of substrate binding and energy coupling, a number of questions require urgent attention: What is the structural basis of substrate specificity and affinity (K_d)? How does substrate binding lower the energy barrier (ΔG^\ddagger) of transition-1 relative to

transition-0? How may a regulatory ligand (or mutation) affect the free-energy landscape including energy barriers of the transition states? Finding answers to these important questions should enable critical rethinking of the role of substrate binding for transporter function.

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Compliance with Ethical Standards

Conflict of Interest Xuejun C. Zhang and Lei Han declare that they have no conflict of interest.

Human and Animal Rights and Informed Consent This article does not contain any studies with human or animal subjects performed by any of the authors.

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APPENDIX

Appendix 1: Dissociation constant

If the dissociation constant K_d is determined experimentally, the substrate binding may be represented as one step in the energy landscape plot. Instead, if both the on- and off-rate constants, k_{on} and k_{off} , are determined, the kinetics of the above binding step may be modeled as forward and backward steps separated by a "local" transition state (Fig. 4). Such a local transition state may have relatively high forward and backward energy barriers ($\Delta G_{\text{on}}^\ddagger$ and $\Delta G_{\text{off}}^\ddagger$), associated with the on and off rates of the "reaction" step. By definition, a transition state is hard to be captured experimentally, because its life time (τ^\ddagger) is short for the technique being used.

$$K_d \equiv k_{\text{off}}/k_{\text{on}} = \exp(\Delta H_b)/v, \quad (7)$$

where ΔH_b (<0) is the binding enthalpy and v can be considered as the specific volume occupied by the substrate in the binding site (if the degrees of rotation freedom can be ignored);

$$\Delta G_L \equiv -RT\ln([S]/K_d) = \Delta H_b - T\Delta S_b = \Delta G_{\text{on}}^\ddagger - \Delta G_{\text{off}}^\ddagger, \quad (8)$$

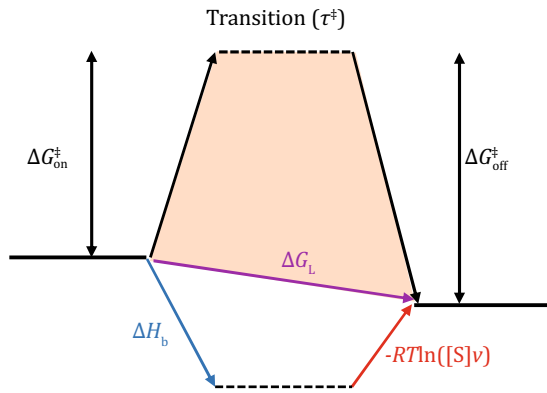


Fig. 4 Dissection of the substrate binding step

where ΔS_b ($\equiv R\ln([S]v)$) is the entropy change during the binding;

$$\Delta G_{on}^\ddagger = -RT\ln(\tau^\ddagger k_{on}[S]) = \Delta G_0^\ddagger - T\Delta S_b, \quad (9)$$

where ΔG_0^\ddagger ($\equiv -RT\ln(\tau^\ddagger k_{on}/v)$) is independent of $[S]$. In kinetic terms, $1/(k_{on}[S])$ may be considered as the life time of “ground” state before jumping to the transition state which has a life time of τ^\ddagger (Note that ΔG_0^\ddagger and τ^\ddagger are inter-dependent)

$$\Delta G_{off}^\ddagger = -RT\ln(\tau^\ddagger k_{off}) = \Delta G_0^\ddagger - \Delta H_b. \quad (10)$$

ΔG_L contains two terms, namely the entropy contribution $-RT\ln([S]v)$ and enthalpy (ΔH_b). In most biochemical assays, it holds true that $[S] \ll 1/v$. Thus, the entropy change is negative during binding, and its contribution to the binding free energy is thermodynamically unfavorable. As $[S]$ increases, ΔG_L decreases (becoming less unfavorable). When $[S]$ reaches K_d , ΔG_L becomes zero. When $[S]$ approaches to $1/v$, ΔG_L is dominated by the enthalpy term.

Appendix 2: Arrhenius equation

For non-diffusion-limiting reaction, for example the transition-0 in the two-state model (Fig. 1), the following Arrhenius equations hold true.

$$k_4 = \exp(-\Delta G_4^\ddagger/RT)/\tau_4^\ddagger, \quad (11)$$

$$k_{-4} = \exp(-\Delta G_{-4}^\ddagger/RT)/\tau_4^\ddagger. \quad (12)$$

Here, $1/k_4$ and $1/k_{-4}$ can be considered as the life time of empty C_{in} and C_{out} states, respectively. Note that τ_4^\ddagger and ΔG_4^\ddagger are coupled, and thus cannot be determined independently.

Microscopic activation rates, k_4 and k_{-4} , are independent of substrate concentration. However, macroscopic rates, such as V_{influx} and V_{efflux} , follow the Michaelis-Menten kinetics. When the transporter is not fully occupied by substrate, all rates of first-order reaction steps should be modified by a factor of $[S]/([S] + K_d)$. From Eq. 3, we have a more accurate equation for V_{influx}

$$\begin{aligned} \frac{1}{V_{influx}} &= \frac{1}{[S]k_1^0} + \left(\frac{1}{k_2} + \frac{1}{k_3} + \frac{1}{k_4}\right) \left(\frac{[S] + k_{d,out}}{[S]}\right) \\ &= \frac{1}{k'} \left(\frac{k'}{[S]k_1^0}\right) + \frac{1}{k'} \left(\frac{[S] + K_{d,influx}}{[S]}\right) \\ &= \frac{1}{k'} \left(1 + \frac{K_{M,influx}}{[S]}\right), \end{aligned} \quad (13)$$

where $\frac{1}{k'} \equiv \frac{1}{k_2} + \frac{1}{k_3} + \frac{1}{k_4}$, and $K_{M,influx} \equiv \frac{k_{-1} + k'}{k_1^0}$,

$$\therefore V_{influx} = k' \frac{[S]_L}{[S]_L + K_{M,influx}}. \quad (14)$$

Under the conditions of zero-trans influx (i.e., $[S]_R \approx 0$ and $[S]_L \gg K_{M,influx}$), V_{influx} equals to k' ($\approx k_4$, assuming $k_2, k_3 \gg k_4$). In addition, the formula of K_M may partially explain a common observation on transporters, namely that an increase in transport rate (k') is often accompanied by a reduction in affinity (i.e., increase in K_M), the so-called Haldane relationship (Lowe and Walmsley 1986).

Appendix 3: General strategy to construct the free-energy landscape plot for a uniporter

The free-energy landscape plot is a useful tool to comprehend relationships between functions of a uniporter and both its thermodynamic and kinetic properties. A general procedure to construct such a plot from experimental data is outlined below.

- (i) Use techniques such as smFRET to measure the partition function $f([S])$ of the transporter between the two conformations (C_{in} and C_{out}), including its values under the extreme conditions $f(0)$ and $f(\infty)$. These data should allow determination of other thermodynamic parameters ΔG_D , ΔG_E , $K_{d,in}$, $K_{d,out}$, and $K_{d,app}$ (Zhang et al. 2015). In case that $f(0) = f(\infty)$, both $K_{d,in}$ and $K_{d,out}$ equal to $K_{d,app}$ which can be measured with more traditional techniques such as SPR or ITC. It should be noted that $f([S])$ measured under a non-membrane condition may differ from that in the presence of membrane tension and membrane potential. This is especially true when the

transporter and/or substrate carry electric charges. Ideally, $f([S])$ should be measured under conditions mimicking the real cellular membrane.

- (ii) Use kinetic methods to determine V_{ee} , V_{influx} , V_{efflux} , $K_{M,influx}$, and $K_{M,efflux}$, as functions of temperature (Lowe and Walmsley 1986). Determine whether transition-1 or transition-0 is the rate-limiting step. For example, if the rate of equilibrium exchange (V_{ee}) is significantly faster than the rate of influx transport (V_{influx}), the transition-0 is likely to be the rate-limiting step in the influx transport. Transition rate may also be estimated from dwelling time (τ) of smFRET assays, e.g., in the absence and presence of saturated substrate. The values of $1/\tau$ represent the transition rates (See Appendix 1 for an example).
- (iii) Further, use the van't Hoff-Arrhenius plot (i.e., $\ln(V_{ee})$, $\ln(V_{influx})$, or $\ln(V_{efflux})$ vs $1/T$) to estimate the corresponding transition-state energy barriers (ΔG^\ddagger), in particular the enthalpy terms (Appendix 5).
- (iv) Construct the free-energy landscape plot of the uniporter using parameters obtained from the steps described above.

Appendix 4: Glt_{ph} transporter

The following discussion serves as an example of how to use experimental data to build a two-state model (Fig. 5). It is based on smFRET data from Akyuz et al.(2015). We will discuss the R276S/M395R mutant of Glt_{ph}, because the WT Glt_{ph} has some bizarre behaviors (see below).

- (i) In the absence of substrate but the presence of detergent, the ratio of C_{out} and C_{in} population is close to 1:1. Thus $\Delta G_E \approx 0$.
- (ii) In the presence of both substrates and detergent, C_{in} state becomes dominant. Assuming that the partition function $f(\infty) < 1/10$, one may estimate that $\Delta G_D < -2.3 RT$.
- (iii) In proteoliposomes, the average time of a transition "cycle" in the absence of substrate (τ_{free}) is 15 s ($=2/(0.13 s^{-1})$), where the factor 2 comes from the two FRET signals in each cycle. In the presence of substrate gradient, the observed average time of a transition "cycle" (τ_{obs}) is 20 s ($=2/(0.1 s^{-1})$), and the average time of a substrate uptake cycle (τ_{influx}) is 30 s ($=1/(0.03 s^{-1})$). Assume that α fraction of transporters bind with substrates.

$$\tau_{obs} = \alpha\tau_{influx} + (1 - \alpha)\tau_{free}, \quad (15)$$

$$\therefore \alpha = (\tau_{obs} - \tau_{free})/(\tau_{influx} - \tau_{free}) = 1/3, \quad (16)$$

$$[S]/K_{d,out} = \alpha/(1 - \alpha) = 1/2. \quad (17)$$

Thus, the transporters were not saturated under the experimental condition.

- (iv) The observed uptake rate (V_{influx}) of substrate (radiolabeled aspartic acid) is 0.03/s; and the transition rate ($k_4 \approx k_{-4}$) in the absence of substrate is 0.13/s. Because only 1/3 of transporters are occupied by substrates on the loading side, according to Eq. 13, both the rates k_2 and k_4 should be modified by a factor of 1/3 (see Eq. 14).

$$V_{influx}^{-1} \approx 3 \left((k_2)^{-1} + (k_4)^{-1} \right), \quad (18)$$

$$\therefore k_2 \approx 0.3/s.$$

Thus, the rate at transition-1 is slightly faster than that at transition-0, and the ratio of k_2 to k_4 ($\approx k_{-4}$) is ~ 2.3 . It indicates that the ΔG_2^\ddagger barrier is marginally lower (by 0.8 RT) than the ΔG_{-4}^\ddagger barrier. In contrast, because $\Delta G_D < -2.3 RT$, the ΔG_{-2}^\ddagger barrier is higher (by $> 1.5 RT$) than the ΔG_4^\ddagger barrier.

Note that the behaviors of the WT Glt_{ph} are significantly different from the R276S/M395R mutant. In particular, for WT the rate at transition-1 is 10 times slower than that at transition-0 state, indicating a 2.3 RT increase at transition-1 barrier compared to transition-0. Further, it suggests that one effect of the mutation (R276S/M395R) is to reduce the free-energy barrier of the transition-1 relative to WT.

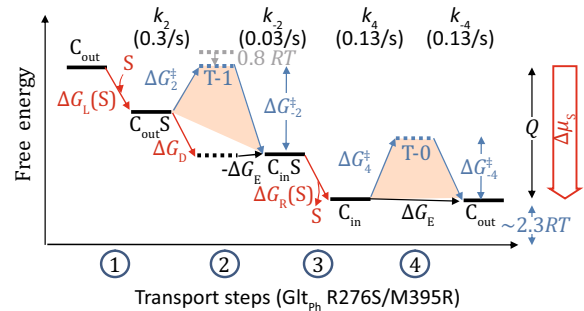


Fig. 5 Free energy landscape of transport steps (Glt_{ph} R276S/M395R)

Appendix 5: van't Hoff equation

Arrhenius equation on the relationship between transition rate V and activation energy ΔG^\ddagger ($\equiv \Delta H^\ddagger - T\Delta S^\ddagger$) is the following:

$$V = \exp\left(-\Delta G^\ddagger/RT\right)/\tau^\ddagger. \quad (19)$$

Assuming that τ^\ddagger , ΔH^\ddagger , and ΔS^\ddagger are independent of temperature T , the van't Hoff equation on the relationship between enthalpy ΔH^\ddagger and variation of V as temperature changes is the following:

$$\Delta H^\ddagger = \Delta(\ln V)RT^2/\Delta T. \quad (20)$$

Since the transition state is usually more rigid than the "ground" state, the corresponding entropy term may further increase the transition-state energy barrier. An example of applying this method is shown in Lowe and Walmsley (1986). However, the estimations of ΔG^\ddagger (ΔH^\ddagger) values shown there, for the energy barriers at the k_4 , k_{-4} , k_{-2} , and k_2 steps, were 173, 127, 88, and 32 kJ/mol (or 72, 53, 37, and 13 times of RT), respectively. They are obviously too high for activation energy of a uniporter which does not have external energy input except substrate chemical potential. One probable reason for this over-estimation is that the assumption for the van't Hoff equation (that activation enthalpy is independent of temperature) might not hold true for some membrane proteins. This problem may be particularly serious at low temperature, because of significant change of the flexibility of lipid bilayer (and even physical phase of lipids) with temperature. Another explanation may be that the experimentally determined V_{influx} and V_{efflux} (under zero-*trans* condition) also include other terms in addition to the rate constant of the rate-limiting step such that Eq. 19 was not true.

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