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# Relating Siegel cusp forms to Siegel–Maaß forms



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## Abstract

In this paper we generalize a well-known isomorphism between the space of cusp forms of weight  $k$  for a Fuchsian subgroup of the first kind  $\Gamma \subset \mathrm{SL}_2(\mathbb{R})$  and the space of certain Maaß forms of weight  $k$  for  $\Gamma$  to an isomorphism between the space of Siegel cusp forms of weight  $k$  for a subgroup  $\Gamma \subset \mathrm{Sp}_n(\mathbb{R})$ , which is commensurable with the Siegel modular group  $\mathrm{Sp}_n(\mathbb{Z})$ , and a suitable space of Siegel–Maaß forms of weight  $k$  for  $\Gamma$ .

**Keywords:** Siegel upper half-space, Siegel modular forms, Maaß forms, Maaß operators

## 1 Introduction

Let  $\mathbb{H} := \{z = x + iy \in \mathbb{C} \mid y > 0\}$  denote the upper half-plane and  $\Gamma \subset \mathrm{SL}_2(\mathbb{R})$  a Fuchsian subgroup of the first kind, which acts by fractional linear transformations on  $\mathbb{H}$ . Let  $\mathcal{S}_k(\Gamma)$  denote the space of cusp forms of weight  $k$  for  $\Gamma$  and let  $\mathcal{H}_k(\Gamma)$  denote the space of real-analytic automorphic forms of weight  $k$  for  $\Gamma$ , on which the Maaß Laplacian

$$\Delta_k := y^2 \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} \right) - iky \frac{\partial}{\partial x}$$

of weight  $k$  acts. Then, it is well-known that there is an isomorphism

$$\mathcal{S}_k(\Gamma) \cong \ker \left( \Delta_k + \frac{k}{2} \left( 1 - \frac{k}{2} \right) \mathrm{id} \right) \quad (1.1)$$

of  $\mathbb{C}$ -vector spaces, induced by the assignment  $f \mapsto y^{k/2}f$ , where the right-hand side consists of the Maaß forms in  $\mathcal{H}_k(\Gamma)$  with eigenvalue  $k/2(1-k/2)$  of  $\Delta_k$ . This identification of two types of automorphic forms for  $\Gamma$  has various useful applications. For example, in the article [2], the isomorphism (1.1) was crucial in relating the sup-norm bound problem for cusp forms of weight  $k$  for  $\Gamma$  to bounds for the heat kernel for  $\Delta_k$  on the quotient space  $\Gamma \backslash \mathbb{H}$ .

In this paper, we attempt a generalization of the isomorphism (1.1) to the Siegel modular setting, which, to our surprise, we could not find in the literature. Letting  $\mathrm{Sym}_n(\mathbb{C})$  be the set of complex symmetric  $(n \times n)$ -matrices, we let  $\mathbb{H}_n := \{Z = X + iY \in \mathrm{Sym}_n(\mathbb{C}) \mid Y > 0\}$

denote the Siegel upper half-space of degree  $n \geq 1$  and we let  $\Gamma \subset \mathrm{Sp}_n(\mathbb{R})$  denote a subgroup acting by generalized fractional linear transformations on  $\mathbb{H}_n$ , which is commensurable with the Siegel modular group  $\mathrm{Sp}_n(\mathbb{Z})$ . Then, let  $\mathcal{S}_k^n(\Gamma)$  denote the space of Siegel cusp forms of weight  $k$  and degree  $n$  for  $\Gamma$  and let  $\mathcal{H}_k^n(\Gamma)$  be the space of real-analytic automorphic forms of weight  $k$  and degree  $n$  for  $\Gamma$ , on which the Siegel–Maaß Laplacian

$$\Delta_k := \mathrm{tr} \left( Y \left( \left( Y \frac{\partial}{\partial X} \right)^t \frac{\partial}{\partial X} + \left( Y \frac{\partial}{\partial Y} \right)^t \frac{\partial}{\partial Y} \right) - ikY \frac{\partial}{\partial X} \right) \quad (1.2)$$

of weight  $k$  acts. As the main result of this paper, we show in Corollary 5.4 the isomorphism

$$\mathcal{S}_k^n(\Gamma) \cong \ker \left( \Delta_k + \frac{nk}{4}(n-k+1)\mathrm{id} \right), \quad (1.3)$$

of  $\mathbb{C}$ -vector spaces, induced by the assignment  $f \mapsto \det(Y)^{k/2}f$ , thereby generalizing the isomorphism (1.1) to the Siegel modular setting. The right-hand side of (1.3) now consists of the Siegel–Maaß forms in  $\mathcal{H}_k^n(\Gamma)$  with eigenvalue  $nk(n-k+1)/4$  of  $\Delta_k$ .

In case  $n = 1$ , the isomorphism (1.1) is obtained as a by-product of the proof of the symmetry of the Maaß Laplacian  $\Delta_k$  (see [6]). The most straightforward proof of the symmetry of  $\Delta_k$  is obtained by constructing a suitable  $\mathrm{SL}_2(\mathbb{R})$ -invariant differential form using the raising or the lowering operators on  $\mathbb{H}$ , and then integrating it over the quotient space  $\Gamma \backslash \mathbb{H}$  (see [1], p. 135). Generalizations of all these operators as well as their transformation behaviour under the action of the symplectic group  $\mathrm{Sp}_n(\mathbb{R})$  to the Siegel modular setting have been provided by Maaß in [3]. However, in spite of all these crucial ingredients being around for a long time, we could not find in the literature a precise proof of the symmetry of the Siegel–Maaß Laplacian  $\Delta_k$  of weight  $k$ . We provide a complete proof of the symmetry of  $\Delta_k$  in Theorem 5.1, where we construct the appropriate  $\mathrm{Sp}_n(\mathbb{R})$ -invariant differential form on  $\mathbb{H}_n$ , which, while computationally a bit cumbersome, is conceptually a rather straightforward piecing-together of Maaß's calculations. Our main result in Corollary 5.4 is then a consequence of Theorem 5.1.

As indicated above, the generalization of the isomorphism (1.1) will perspectively allow us, among others, to relate the sup-norm bound problem for Siegel cusp forms of weight  $k$  and degree  $n$  for  $\Gamma$  to bounds for the heat kernel for the Siegel–Maaß Laplacian  $\Delta_k$  on the quotient space  $\Gamma \backslash \mathbb{H}_n$ .

This paper is organized as follows: In Sect. 2, we provide a quick summary of the basics of the Siegel upper half-space and Siegel modular forms. In the subsequent two Sects. 3 and 4, we introduce and discuss the transformation behaviour of the Maaß operators  $K_\alpha$ ,  $\Lambda_\beta$ , and  $\Omega_{\alpha,\beta}$  in the Siegel modular setting that is central to our analysis. This material is already present in Chapter 15 of [3], but due to sub-optimal typesetting, at places, it is hard to decipher. The transformation behaviour of  $\Omega_{\alpha,\beta}$  can also be obtained via a somewhat different analysis on  $\mathbb{H}_n \times \mathbb{R}/2\pi\mathbb{Z}$  given in Chapter 8 of Maaß's later book [4]. As the two analyses turn out to be essentially equivalent, the transformation behaviours of  $K_\alpha$  and  $\Lambda_\beta$  can also be derived from the alternative method in [4]. However, for the transformation behaviours of  $K_\alpha$  and  $\Lambda_\beta$ , we stick to Maaß's original analysis in [3] as it is more direct and we redo these calculations for the reader's convenience, but to keep the exposition short, we refer to [4] for the transformation behaviour of  $\Omega_{\alpha,\beta}$ . Finally in Sect. 5, piecing together Maaß's results, we construct the appropriate  $\mathrm{Sp}_n(\mathbb{R})$ -invariant

differential form on  $\mathbb{H}_n$  to show the symmetry of the Siegel–Maaß Laplacian  $\Delta_k$ , and then use it to show the generalization (1.3) of the isomorphism (1.1).

## 2 Basic notations and definitions

For  $n \in \mathbb{N}_{>0}$  and a commutative ring  $R$ , let  $M_n(R)$  denote the set of  $(n \times n)$ -matrices with entries in  $R$  and  $\text{Sym}_n(R)$  the set of symmetric matrices in  $M_n(R)$ . The Siegel upper half-space  $\mathbb{H}_n$  of degree  $n$  is then defined by

$$\mathbb{H}_n := \{Z = X + iY \in M_n(\mathbb{C}) \mid X, Y \in \text{Sym}_n(\mathbb{R}) : Y > 0\}.$$

The symplectic group  $\text{Sp}_n(\mathbb{R})$  of degree  $n$  is defined by

$$\text{Sp}_n(\mathbb{R}) := \{\gamma \in M_{2n}(\mathbb{R}) \mid \gamma^t J_n \gamma = J_n\},$$

where  $J_n \in M_{2n}(\mathbb{R})$  is the skew-symmetric matrix

$$J_n := \begin{pmatrix} 0 & \mathbb{1}_n \\ -\mathbb{1}_n & 0 \end{pmatrix}$$

with  $\mathbb{1}_n$  denoting the identity matrix of  $M_n(\mathbb{R})$ . The group  $\text{Sp}_n(\mathbb{R})$  acts by the symplectic action

$$\mathbb{H}_n \ni Z \mapsto \gamma Z = (AZ + B)(CZ + D)^{-1} \quad (\gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \text{Sp}_n(\mathbb{R})) \tag{2.1}$$

on  $\mathbb{H}_n$ . Under this action  $\text{Im}(Z)$  transforms as

$$\text{Im}(\gamma Z) = (CZ + D)^{-t} \text{Im}(Z)(C\bar{Z} + D)^{-1}, \tag{2.2}$$

which, on taking determinants on both sides, gives

$$\det(\text{Im}(\gamma Z)) = \frac{\det(\text{Im}(Z))}{|\det(CZ + D)|^2}.$$

Similarly, taking matrix-differentials on both sides of the symplectic action (2.1), it is easy to see that under this action, the matrix-differential form  $dZ$  transforms as

$$d(\gamma Z) = (CZ + D)^{-t} dZ (CZ + D)^{-1}. \tag{2.3}$$

The arclength  $ds_n^2$  and the volume form  $d\mu_n$  on  $\mathbb{H}_n$  in terms of  $Z = (z_{j,k})_{1 \leq j \leq k \leq n} \in \mathbb{H}_n$  are given by

$$\begin{aligned} ds_n^2(Z) &= \text{tr}(Y^{-1} dZ Y^{-1} d\bar{Z}) & (Z = X + iY), \\ d\mu_n(Z) &= \frac{\bigwedge_{1 \leq j \leq k \leq n} dx_{j,k} \wedge dy_{j,k}}{\det(Y)^{n+1}} & (z_{j,k} = x_{j,k} + iy_{j,k}). \end{aligned}$$

From Eqs. (2.2) and (2.3) it is obvious that the arclength  $ds_n^2$  and the volume form  $d\mu_n$  on  $\mathbb{H}_n$  given by the above equations are invariant under the symplectic action. Corresponding to this metric, we have the Laplace–Beltrami operator  $\Delta$  on  $\mathbb{H}_n$ , called the Siegel Laplacian, which is also invariant under the symplectic action.

**Definition 2.1** Let  $\Gamma \subset \mathrm{Sp}_n(\mathbb{R})$  be a subgroup commensurable with  $\mathrm{Sp}_n(\mathbb{Z})$ , i.e., the intersection  $\Gamma \cap \mathrm{Sp}_n(\mathbb{Z})$  is a finite index subgroup of  $\Gamma$  as well as of  $\mathrm{Sp}_n(\mathbb{Z})$ . We let  $\gamma_j \in \mathrm{Sp}_n(\mathbb{Z})$  ( $j = 1, \dots, h$ ) denote a set of representatives for the left cosets of  $\Gamma \cap \mathrm{Sp}_n(\mathbb{Z})$  in  $\mathrm{Sp}_n(\mathbb{Z})$ . Then, a *Siegel modular form of weight  $k$  and degree  $n$  for  $\Gamma$*  is a function  $f: \mathbb{H}_n \rightarrow \mathbb{C}$  satisfying the following conditions:

- (i)  $f$  is holomorphic;
- (ii)  $f(\gamma Z) = \det(CZ + D)^k f(Z)$  for all  $\gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma$ ;
- (iii) given  $Y_0 \in \mathrm{Sym}_n(\mathbb{R})$  with  $Y_0 > 0$ , the quantities  $\det(C_j Z + D_j)^{-k} f(\gamma_j Z)$  are bounded in the region  $\{Z = X + iY \in \mathbb{H}_n \mid Y \geq Y_0\}$  for the set of representatives  $\gamma_j = \begin{pmatrix} A_j & B_j \\ C_j & D_j \end{pmatrix} \in \mathrm{Sp}_n(\mathbb{Z})$  ( $j = 1, \dots, h$ ).

Moreover, a Siegel modular form  $f$  as above is called a *Siegel cusp form of weight  $k$  and degree  $n$  for  $\Gamma$*  if condition (iii) above is strengthened to the condition

- (iii') given  $Y_0 \in \mathrm{Sym}_n(\mathbb{R})$  with  $Y_0 \gg 0$ , the quantities  $\det(C_j Z + D_j)^{-k} f(\gamma_j Z)$  become arbitrarily small in the region  $\{Z = X + iY \in \mathbb{H}_n \mid Y \geq Y_0\}$  for the set of representatives  $\gamma_j = \begin{pmatrix} A_j & B_j \\ C_j & D_j \end{pmatrix} \in \mathrm{Sp}_n(\mathbb{Z})$  ( $j = 1, \dots, h$ ).

*Remark 2.2* The sets of Siegel modular forms and Siegel cusp forms of weight  $k$  and degree  $n$  for  $\Gamma$  have the structure of  $\mathbb{C}$ -vector spaces, which we denote by  $\mathcal{M}_k^n(\Gamma)$  and  $\mathcal{S}_k^n(\Gamma)$ , respectively, and which turn out to be finite dimensional. Moreover, the space  $\mathcal{S}_k^n(\Gamma)$  is equipped with the so-called Petersson inner product given by

$$\langle f, g \rangle := \int_{\Gamma \backslash \mathbb{H}_n} \det(Y)^k f(Z) \bar{g}(Z) d\mu_n(Z) \quad (f, g \in \mathcal{S}_k^n(\Gamma)),$$

making  $\mathcal{S}_k^n(\Gamma)$  into a hermitian inner product space.

### 3 Siegel–Maaß Laplacian of weight $(\alpha, \beta)$

In this section, we will recall from [3] various differential operators acting on smooth complex valued functions defined on  $\mathbb{H}_n$ . In particular, we will define the Siegel–Maaß Laplacian of weight  $(\alpha, \beta)$ , where  $\alpha, \beta \in \mathbb{R}$ . Letting  $\alpha = k/2$  and  $\beta = -k/2$  will then lead us to the Siegel–Maaß Laplacian  $\Delta_k$  mentioned in formula (1.2) in the introduction. We point out that the Siegel Laplacian  $\Delta$  mentioned in the previous section and the Siegel–Maaß Laplacian  $\Delta_k$  are related by the formula

$$\Delta_k = \Delta - ik \operatorname{tr} \left( Y \frac{\partial}{\partial X} \right)$$

with the symmetric  $(n \times n)$ -matrix  $\partial/\partial X$  of partial derivatives being defined below.

Given  $Z = X + iY \in \mathbb{H}_n$ , we start by introducing the following symmetric  $(n \times n)$ -matrices of partial derivatives:

$$\begin{aligned} \text{(i)} \quad & \left( \frac{\partial}{\partial X} \right)_{j,k} := \frac{1 + \delta_{j,k}}{2} \frac{\partial}{\partial x_{j,k}}, \\ \text{(ii)} \quad & \left( \frac{\partial}{\partial Y} \right)_{j,k} := \frac{1 + \delta_{j,k}}{2} \frac{\partial}{\partial y_{j,k}}, \\ \text{(iii)} \quad & \frac{\partial}{\partial Z} := \frac{1}{2} \left( \frac{\partial}{\partial X} - i \frac{\partial}{\partial Y} \right), \\ \text{(iv)} \quad & \frac{\partial}{\partial \bar{Z}} := \frac{1}{2} \left( \frac{\partial}{\partial X} + i \frac{\partial}{\partial Y} \right), \end{aligned}$$

where  $\delta_{j,k}$  is the Kronecker delta symbol.

**Definition 3.1** Following Maaß [3], we define, using the above notations, for arbitrary real numbers  $\alpha, \beta \in \mathbb{R}$ , the following  $(n \times n)$ -matrices of differential operators acting on smooth complex valued functions on  $\mathbb{H}_n$ :

$$\begin{aligned} \text{(i)} \quad & K_\alpha := (Z - \bar{Z}) \frac{\partial}{\partial Z} + \alpha \mathbb{1}_n \\ \text{(ii)} \quad & \Lambda_\beta := (Z - \bar{Z}) \frac{\partial}{\partial \bar{Z}} - \beta \mathbb{1}_n \\ \text{(iii)} \quad & \Omega_{\alpha,\beta} := \Lambda_{\beta-(n+1)/2} K_\alpha + \alpha(\beta - (n+1)/2) \mathbb{1}_n, \\ \text{(iv)} \quad & \tilde{\Omega}_{\alpha,\beta} := K_{\alpha-(n+1)/2} \Lambda_\beta + \beta(\alpha - (n+1)/2) \mathbb{1}_n. \end{aligned}$$

Next, we want to expand  $\Omega_{\alpha,\beta}$  and  $\tilde{\Omega}_{\alpha,\beta}$  in terms of  $Z, \bar{Z}, \partial/\partial Z$ , and  $\partial/\partial \bar{Z}$ . For that we need the following lemma.

**Lemma 3.2** Let  $C, D: \mathbb{H}_n \rightarrow M_n(\mathbb{C})$  be smooth matrix valued functions depending on  $Z$  and  $\bar{Z}$ . Then, the following matrix operator identities hold:

(i) Assuming that  $\partial C/\partial Z = 0$  and  $\partial D/\partial Z = 0$ , we have

$$\frac{\partial}{\partial Z} (CZ + D)^t = \left( (CZ + D) \frac{\partial}{\partial Z} \right)^t + \frac{1}{2}(n+1)C^t.$$

(ii) Assuming that  $\partial C/\partial \bar{Z} = 0$  and  $\partial D/\partial \bar{Z} = 0$ , we have

$$\frac{\partial}{\partial \bar{Z}} (C\bar{Z} + D)^t = \left( (C\bar{Z} + D) \frac{\partial}{\partial \bar{Z}} \right)^t + \frac{1}{2}(n+1)C^t.$$

*Proof* Since part (ii) follows from part (i) by conjugation, we prove only (i). Let  $\Phi$  be a matrix depending on  $Z$  and  $\bar{Z}$  such that the product  $(CZ + D)^t \Phi$  makes sense. Then, writing the  $(j, k)$ -th entry of the matrix  $\partial/\partial Z (CZ + D)^t \Phi$  as the sum

$$\left( \frac{\partial}{\partial Z} (CZ + D)^t \Phi \right)_{j,k} = \sum_{l,m=1}^n \left( \frac{\partial}{\partial Z} \right)_{j,l} \left( (CZ + D)_{l,m}^t \Phi_{m,k} \right)$$

and noting that  $\partial Z/\partial z_{j,l} = (1 - \delta_{j,l})E_{j,l} + E_{l,j}$ , where  $E_{j,k} \in M_n(\mathbb{C})$  is the matrix with its  $(j, k)$ -th entry being 1 and the remaining entries being 0, elementary calculations lead us to the operator identity

$$\frac{\partial}{\partial Z}(CZ + D)^t = \left( (CZ + D) \frac{\partial}{\partial Z} \right)^t + \frac{1}{2}(n + 1)C^t,$$

which is what we needed to prove. □

**Corollary 3.3** *For  $Z \in \mathbb{H}_n$ , the following operator identities hold:*

- (i)  $\frac{\partial}{\partial Z}(Z - \bar{Z}) = \left( (Z - \bar{Z}) \frac{\partial}{\partial Z} \right)^t + \frac{1}{2}(n + 1)\mathbb{1}_n$
- (ii)  $\frac{\partial}{\partial \bar{Z}}(Z - \bar{Z}) = \left( (Z - \bar{Z}) \frac{\partial}{\partial \bar{Z}} \right)^t - \frac{1}{2}(n + 1)\mathbb{1}_n.$

*Proof* As  $\partial \bar{Z}/\partial Z = 0$ , we can choose  $C = \mathbb{1}_n$  and  $D = -\bar{Z}$  in Lemma 3.2 (i), from which the first claimed formula follows. The second formula follows analogously. □

Using the above corollary, one can expand  $\Omega_{\alpha,\beta}$  and  $\tilde{\Omega}_{\alpha,\beta}$  as

$$\begin{aligned} \Omega_{\alpha,\beta} &= (Z - \bar{Z}) \left( (Z - \bar{Z}) \frac{\partial}{\partial Z} \right)^t \frac{\partial}{\partial Z} + \alpha(Z - \bar{Z}) \frac{\partial}{\partial Z} - \beta(Z - \bar{Z}) \frac{\partial}{\partial \bar{Z}}, \\ \tilde{\Omega}_{\alpha,\beta} &= (Z - \bar{Z}) \left( (Z - \bar{Z}) \frac{\partial}{\partial \bar{Z}} \right)^t \frac{\partial}{\partial \bar{Z}} + \alpha(Z - \bar{Z}) \frac{\partial}{\partial \bar{Z}} - \beta(Z - \bar{Z}) \frac{\partial}{\partial Z}. \end{aligned}$$

Then,  $\Omega_{\alpha,\beta}$  and  $\tilde{\Omega}_{\alpha,\beta}$  are related by the identity

$$\tilde{\Omega}_{\alpha,\beta} = (Z - \bar{Z})((Z - \bar{Z})^{-1}\Omega_{\alpha,\beta})^t.$$

**Definition 3.4** The operator  $\Delta_{\alpha,\beta} := -\text{tr}(\Omega_{\alpha,\beta}) = -\text{tr}(\tilde{\Omega}_{\alpha,\beta})$  is called the *Siegel–Maaß Laplacian of weight  $(\alpha, \beta)$* .

#### 4 Transformation behaviour of Maaß operators

Recall that the symplectic action of  $\gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \text{Sp}_n(\mathbb{R})$  on the point  $Z \in \mathbb{H}_n$  is given by

$$\gamma Z = (AZ + B)(CZ + D)^{-1} = (CZ + D)^{-t}(AZ + B)^t;$$

to avoid cumbersome notation, we will use in this paper sometimes the shorthand  $Z^\gamma := \gamma Z$ . In this section, we will then study the transformation behaviour of the Maaß operators introduced in Definition 3.1 by expressing the operators  $K_\alpha^\gamma, \Lambda_\beta^\gamma, \Omega_{\alpha,\beta}^\gamma$  obtained by replacing  $Z, \bar{Z}$  by  $Z^\gamma, \bar{Z}^\gamma$  in  $K_\alpha, \Lambda_\beta, \Omega_{\alpha,\beta}$ , respectively, as they operate on smooth complex valued functions defined on  $\mathbb{H}_n$ .

We begin by investigating how the matrix differential operators  $\partial/\partial Z$  and  $\partial/\partial \bar{Z}$  transform under the symplectic action of  $\gamma$  on  $Z$ . From equation (2.3), we know that the differential  $dZ$  transforms like

$$dZ^\gamma = (CZ + D)^{-t} dZ (CZ + D)^{-1}.$$

Therefore, as the differential of a smooth function  $\varphi: \mathbb{H}_n \rightarrow \mathbb{C}$  depending only on  $Z$  is given by  $d\varphi = \text{tr}(\partial\varphi/\partial Z dZ)$ , we have

$$\frac{\partial\varphi}{\partial Z} = (CZ + D)^{-1} \frac{\partial\varphi}{\partial Z^\gamma} (CZ + D)^{-t},$$

i.e., the operator  $\partial/\partial Z$  transforms as

$$\frac{\partial}{\partial Z^\gamma} = (CZ + D) \left( (CZ + D) \frac{\partial}{\partial Z} \right)^t. \tag{4.1}$$

By conjugation, the operator  $\partial/\partial \bar{Z}$  transforms as

$$\frac{\partial}{\partial \bar{Z}^\gamma} = (C\bar{Z} + D) \left( (C\bar{Z} + D) \frac{\partial}{\partial \bar{Z}} \right)^t. \tag{4.2}$$

Next we need to know how to differentiate  $\det(Z - \bar{Z})$  and  $\det(CZ + D)$  with respect to  $Z$ , which we carry out in the next two lemmas.

**Lemma 4.1** *The matrix identity*

$$\frac{\partial \det(Z - \bar{Z})}{\partial Z} = \det(Z - \bar{Z})(Z - \bar{Z})^{-1}$$

holds.

*Proof* By writing  $\text{Im}(Z) = Y = (y_{j,k})_{1 \leq j \leq k \leq n} \in \text{Sym}_n(\mathbb{R})$  as before, we obtain from equation (140) in subsection 2.8.2 of [5] the equality

$$\frac{\partial \det(Y)}{\partial y_{j,k}} = \det(Y)(2 - \delta_{j,k})(Y^{-1})_{j,k}.$$

Now since  $((1 + \delta_{j,k})/2)(2 - \delta_{j,k}) = 1$ , we have  $\partial \det(Y)/\partial Y = \det(Y)Y^{-1}$ , which is equivalent to the identity stated in the lemma. □

**Lemma 4.2** *Let  $\gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \text{Sp}_n(\mathbb{R})$ . Then, the matrix identity*

$$\frac{\partial \det(CZ + D)}{\partial Z} = \det(CZ + D)(CZ + D)^{-1}C = \det(CZ + D)C^t(CZ + D)^{-t}$$

holds.

*Proof* By writing  $Z = (z_{j,k})_{1 \leq j \leq k \leq n} \in \text{Sym}_n(\mathbb{C})$ , we compute, using the chain rule of differentiation

$$\frac{\partial \det(CZ + D)}{\partial z_{j,k}} = \text{tr} \left( \left( \frac{\partial \det(CZ + D)}{\partial (CZ + D)} \right)^t \frac{\partial (CZ + D)}{\partial z_{j,k}} \right). \tag{4.3}$$

By equation (49) in subsection 2.1.2 of [5], we note

$$\frac{\partial \det(CZ + D)}{\partial (CZ + D)} = \det(CZ + D)(CZ + D)^{-t}. \tag{4.4}$$

Then, plugging (4.4) into (4.3), we arrive at

$$\begin{aligned} \frac{\partial \det(CZ + D)}{\partial z_{j,k}} &= \det(CZ + D) \operatorname{tr} \left( (CZ + D)^{-1} \frac{\partial(CZ + D)}{\partial z_{j,k}} \right) \\ &= \det(CZ + D) \sum_{l,m=1}^n (CZ + D)^{-1}_{l,m} \frac{\partial(CZ + D)_{m,l}}{\partial z_{j,k}}. \end{aligned}$$

Now, entrywise partial differentiation with respect to the entries  $z_{j,k}$  of  $Z$  followed by an elementary calculation with taking care of the ensuing Kronecker delta symbols leads us to the matrix identity

$$\frac{\partial \det(CZ + D)}{\partial Z} = \det(CZ + D)(CZ + D)^{-1}C = \det(CZ + D)C^t(CZ + D)^{-t},$$

which is what we needed to prove. □

Lemmas 4.1 and 4.2 prepare the groundwork for calculating the transformation behaviour of the Maaß operators, which we undertake one by one in the subsequent three propositions.

**Proposition 4.3** *Let  $\gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \operatorname{Sp}_n(\mathbb{R})$  and  $\varphi: \mathbb{H}_n \rightarrow \mathbb{C}$  be a smooth function. Then, the operator  $K_\alpha^\gamma$  obtained by replacing  $Z \in \mathbb{H}_n$  in  $K_\alpha$  by  $Z^\gamma = \gamma Z$  is related to the operator  $K_\alpha$  by the identity*

$$\begin{aligned} K_\alpha^\gamma &(\det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \varphi(Z)) \\ &= \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta (C\bar{Z} + D)^{-t} K_\alpha \varphi(Z) (CZ + D)^t. \end{aligned}$$

*Proof* From the definition of  $K_\alpha^\gamma$ , we have

$$\begin{aligned} K_\alpha^\gamma &(\det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \varphi(Z)) \\ &= \left( (Z^\gamma - \bar{Z}^\gamma) \frac{\partial}{\partial Z^\gamma} + \alpha \mathbb{1}_n \right) \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \varphi(Z). \end{aligned}$$

Then, expanding  $\partial / \partial Z^\gamma$  by means of equation (4.1) gives

$$\begin{aligned} K_\alpha^\gamma &(\det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \varphi(Z)) = \alpha \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \varphi(Z) \mathbb{1}_n \\ &+ (C\bar{Z} + D)^{-t} (Z - \bar{Z}) \frac{\partial}{\partial Z} (\det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \varphi(Z)) (CZ + D)^t. \end{aligned} \tag{4.5}$$

Now, focusing on the second line of the above equality and using Lemma 4.2, we get

$$\begin{aligned} \frac{\partial}{\partial Z} &(\det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \varphi(Z)) \\ &= \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \left( \alpha \varphi(Z) C^t (CZ + D)^{-t} + \frac{\partial \varphi}{\partial Z} \right). \end{aligned}$$

Multiplying the above equation from the left by  $(Z - \bar{Z})$  gives

$$\begin{aligned} (Z - \bar{Z}) \frac{\partial}{\partial Z} &(\det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \varphi(Z)) \\ &= \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \left( \alpha \varphi(Z) (ZC^t - \bar{Z}C^t) (CZ + D)^{-t} + (Z - \bar{Z}) \frac{\partial \varphi}{\partial Z} \right). \end{aligned}$$



Now writing  $(ZC^t - \bar{Z}C^t) = (CZ + D)^t - (C\bar{Z} + D)^t$  and using the definition of  $K_\alpha$  on the right-hand side of the above equation, we have

$$\begin{aligned} & (Z - \bar{Z}) \frac{\partial}{\partial Z} (\det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \varphi(Z)) \\ &= \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta (K_\alpha \varphi(Z) - \alpha \varphi(Z)(C\bar{Z} + D)^t (CZ + D)^{-t}). \end{aligned}$$

Therefore, multiplying on the left by  $(C\bar{Z} + D)^{-t}$  and on the right by  $(CZ + D)^t$ , we obtain

$$\begin{aligned} & (C\bar{Z} + D)^{-t} (Z - \bar{Z}) \frac{\partial}{\partial Z} (\det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \varphi(Z)) (CZ + D)^t \\ &= \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta ((C\bar{Z} + D)^{-t} K_\alpha \varphi(Z) (CZ + D)^t - \alpha \varphi(Z) \mathbb{1}_n). \end{aligned}$$

Combining the last equality with Eq. (4.5), leads to the identity

$$\begin{aligned} & K_\alpha^\gamma (\det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \varphi(Z)) \\ &= \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta (C\bar{Z} + D)^{-t} K_\alpha \varphi(Z) (CZ + D)^t, \end{aligned}$$

which is what we had set out to prove. □

**Proposition 4.4** *Let  $\gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \text{Sp}_n(\mathbb{R})$  and  $\varphi: \mathbb{H}_n \rightarrow \mathbb{C}$  be a smooth function. Then, the operator  $\Lambda_\beta^\gamma$  obtained by replacing  $Z \in \mathbb{H}_n$  in  $\Lambda_\beta$  by  $Z^\gamma = \gamma Z$  is related to the operator  $\Lambda_\beta$  by the identity*

$$\begin{aligned} & \Lambda_\beta^\gamma (\det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \varphi(Z)) \\ &= \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta (CZ + D)^{-t} \Lambda_\beta \varphi(Z) (C\bar{Z} + D)^t. \end{aligned}$$

*Proof* Since  $\bar{K}_\beta = -\Lambda_\beta$ , the required identity follows from Proposition 4.3 by complex conjugation. □

**Proposition 4.5** *Let  $\gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \text{Sp}_n(\mathbb{R})$  and  $\varphi: \mathbb{H}_n \rightarrow \mathbb{C}$  be a smooth function. Then, the operator  $\Omega_{\alpha,\beta}^\gamma$  obtained by replacing  $Z \in \mathbb{H}_n$  in  $\Omega_{\alpha,\beta}$  by  $Z^\gamma = \gamma Z$  is related to the operator  $\Omega_{\alpha,\beta}$  by the identity*

$$\begin{aligned} & \Omega_{\alpha,\beta}^\gamma (\det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \varphi(Z)) \\ &= \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta (CZ + D)^{-t} \Omega_{\alpha,\beta} \varphi(Z) (CZ + D)^t. \end{aligned} \tag{4.6}$$

*Proof* The proof of this proposition can be obtained by suitably combining Propositions 4.3 and 4.4 according to the definition of the operator  $\Omega_{\alpha,\beta}$ . Alternatively, the claimed transformation formula can also be found on p. 120 of [4]. □

*Remark 4.6* Let  $\gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \text{Sp}_n(\mathbb{R})$  and  $\varphi: \mathbb{H}_n \rightarrow \mathbb{C}$  be a smooth function. Taking traces on both sides of Eq. (4.6) leads to the following transformation behaviour of the Siegel–Maaß Laplacian  $\Delta_{\alpha,\beta}$

$$\Delta_{\alpha,\beta}^\gamma (\det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \varphi(Z)) = \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \Delta_{\alpha,\beta} \varphi(Z).$$

Now, if the smooth function  $\varphi$  satisfies the functional equation

$$\varphi(Z^\gamma) = \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \varphi(Z),$$

the transformation behaviour of  $\Delta_{\alpha,\beta}$  leads to the identity

$$\Delta_{\alpha,\beta}^\gamma \varphi(Z^\gamma) = \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \Delta_{\alpha,\beta} \varphi(Z).$$

**Definition 4.7** Let  $\Gamma \subset \mathrm{Sp}_n(\mathbb{R})$  be a subgroup commensurable with  $\mathrm{Sp}_n(\mathbb{Z})$ , i.e., the intersection  $\Gamma \cap \mathrm{Sp}_n(\mathbb{Z})$  is a finite index subgroup of  $\Gamma$  as well as of  $\mathrm{Sp}_n(\mathbb{Z})$ . We let  $\gamma_j \in \mathrm{Sp}_n(\mathbb{Z})$  ( $j = 1, \dots, h$ ) denote a set of representatives for the left cosets of  $\Gamma \cap \mathrm{Sp}_n(\mathbb{Z})$  in  $\mathrm{Sp}_n(\mathbb{Z})$ . We then let  $\mathcal{V}_{\alpha,\beta}^n(\Gamma)$  denote the space of all functions  $\varphi: \mathbb{H}_n \rightarrow \mathbb{C}$  satisfying the following conditions:

- (i)  $\varphi$  is real-analytic;
- (ii)  $\varphi(\gamma Z) = \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \varphi(Z)$  for all  $\gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma$ ;
- (iii) given  $Y_0 \in \mathrm{Sym}_n(\mathbb{R})$  with  $Y_0 > 0$ , there exist  $M \in \mathbb{R}_{>0}$  and  $N \in \mathbb{N}$  such that the inequalities

$$|\det(C_j Z + D_j)^{-\alpha} \det(C_j \bar{Z} + D_j)^{-\beta} \varphi(\gamma_j Z)| \leq M \mathrm{tr}(Y)^N$$

hold in the region  $\{Z = X + iY \in \mathbb{H}_n \mid Y \geq Y_0\}$  for the set of representatives  $\gamma_j = \begin{pmatrix} A_j & B_j \\ C_j & D_j \end{pmatrix} \in \mathrm{Sp}_n(\mathbb{Z})$  ( $j = 1, \dots, h$ ).

*Remark 4.8* For  $\varphi \in \mathcal{V}_{\alpha,\beta}^n(\Gamma)$ , we set

$$\|\varphi\|^2 := \int_{\Gamma \backslash \mathbb{H}_n} \det(Y)^{\alpha+\beta} |\varphi(Z)|^2 d\mu_n(Z),$$

whenever it is defined. In this way we obtain the Hilbert space

$$\mathcal{H}_{\alpha,\beta}^n(\Gamma) := \{\varphi \in \mathcal{V}_{\alpha,\beta}^n(\Gamma) \mid \|\varphi\| < \infty\}$$

equipped with the inner product

$$\langle \varphi, \psi \rangle = \int_{\Gamma \backslash \mathbb{H}_n} \det(Y)^{\alpha+\beta} \varphi(Z) \overline{\psi(Z)} d\mu_n(Z) \quad (\varphi, \psi \in \mathcal{H}_{\alpha,\beta}^n(\Gamma)).$$

We note that in order to enable  $\|\varphi\| < \infty$ , the exponent  $N \in \mathbb{N}$  in part (iii) of Definition 4.7 has to be 0. Moreover, we note that Remark 4.6 shows that the Siegel–Maaß Laplacian  $\Delta_{\alpha,\beta}$  acts on the Hilbert space  $\mathcal{H}_{\alpha,\beta}^n(\Gamma)$ .

**Definition 4.9** Let  $\Gamma \subset \mathrm{Sp}_n(\mathbb{R})$  be a subgroup commensurable with  $\mathrm{Sp}_n(\mathbb{Z})$ . The elements of the Hilbert space  $\mathcal{H}_{\alpha,\beta}^n(\Gamma)$  are called *automorphic forms of weight  $(\alpha, \beta)$  and degree  $n$  for  $\Gamma$* . Moreover, if  $\varphi \in \mathcal{H}_{\alpha,\beta}^n(\Gamma)$  is an eigenform of  $\Delta_{\alpha,\beta}$ , it is called a *Siegel–Maaß form of weight  $(\alpha, \beta)$  and degree  $n$  for  $\Gamma$* .

**Corollary 4.10** *Let  $\Gamma \subset \mathrm{Sp}_n(\mathbb{R})$  be a subgroup commensurable with  $\mathrm{Sp}_n(\mathbb{Z})$  and  $\varphi \in \mathcal{H}_{\alpha,\beta}^n(\Gamma)$ . Then, we have for all  $\gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma$*

- (i)  $K_\alpha^\gamma \varphi(Z^\gamma) = \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta (C\bar{Z} + D)^{-t} K_\alpha \varphi(Z)(CZ + D)^t,$
- (ii)  $\Lambda_\beta^\gamma \varphi(Z^\gamma) = \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta (CZ + D)^{-t} \Lambda_\beta \varphi(Z)(C\bar{Z} + D)^t,$
- (iii)  $\Omega_{\alpha,\beta}^\gamma \varphi(Z^\gamma) = \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta (CZ + D)^{-t} \Omega_{\alpha,\beta} \varphi(Z)(CZ + D)^t.$

*Proof* The proof is an immediate consequence of Propositions 4.3–4.5 and the definition of the Hilbert space  $\mathcal{H}_{\alpha,\beta}^n(\Gamma)$ . □

### 5 Symmetry of the Siegel–Maaß Laplacian of weight $(\alpha, \beta)$

Let  $dZ := (dz_{j,k})_{1 \leq j,k \leq n}$  denote the  $(n \times n)$ -matrix consisting of differential forms of degree 1 and let  $[dZ] := \bigwedge_{1 \leq j \leq k \leq n} dz_{j,k}$  denote the differential form of degree  $n(n+1)/2$  at  $Z \in \mathbb{H}_n$ . We introduce an  $(n \times n)$ -matrix  $\{dZ\}$  consisting of differential forms of degree  $(n(n+1)/2 - 1)$ , namely

$$\{dZ\}_{j,k} := \frac{1 + \delta_{j,k}}{2} \varpi_{j,k},$$

where  $\varpi_{j,k}$  is defined by

$$\varpi_{j,k} := \varepsilon_{j,k} \bigwedge_{\substack{1 \leq l \leq m \leq n \\ (l,m) \neq (j,k)}} dz_{l,m} \quad (1 \leq j \leq k \leq n)$$

in case  $j \leq k$  and  $\varpi_{j,k} = \varpi_{k,j}$  in case  $j > k$  with the sign  $\varepsilon_{j,k} = \pm 1$  determined by  $dz_{j,k} \wedge \varpi_{j,k} = [dZ]$ . It is easy to see that

$$dZ \wedge \{dZ\} = \frac{1}{2}(n+1)[dZ] \mathbb{1}_n.$$

Let now  $\gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \mathrm{Sp}_n(\mathbb{R})$ . Since we have  $dZ^\gamma = (CZ + D)^{-t} dZ (CZ + D)^{-1}$  and  $[dZ^\gamma] = \det(CZ + D)^{-(n+1)} [dZ]$ , we derive from the relation

$$dZ^\gamma \wedge \{dZ^\gamma\} = \frac{1}{2}(n+1)[dZ^\gamma] \mathbb{1}_n$$

that the matrix  $\{dZ\}$  has the transformation behaviour

$$\{dZ^\gamma\} = \det(CZ + D)^{-(n+1)} (CZ + D) \{dZ\} (CZ + D)^t.$$

Next we shall use these differential forms to show that the Siegel–Maaß Laplacian  $\Delta_{\alpha,\beta}$  acts as a symmetric operator on the Hilbert space  $\mathcal{H}_{\alpha,\beta}^n(\Gamma)$ .

**Theorem 5.1** *Let  $\Gamma \subset \mathrm{Sp}_n(\mathbb{R})$  be a subgroup commensurable with  $\mathrm{Sp}_n(\mathbb{Z})$  and let  $\varphi, \psi \in \mathcal{H}_{\alpha,\beta}^n(\Gamma)$  be compactly supported. Then, we have the formula*

$$\langle -\Delta_{\alpha,\beta} \varphi, \psi \rangle = \int_{\Gamma \backslash \mathbb{H}_n} \det(Y)^{\alpha+\beta} \mathrm{tr}(\Lambda_\beta \varphi(Z) \bar{\Lambda}_\beta \bar{\psi}(Z)) d\mu_n(Z) + n\beta(\alpha - (n+1)/2) \langle \varphi, \psi \rangle.$$

In particular, this formula establishes the relation

$$\langle \Delta_{\alpha,\beta} \varphi, \psi \rangle = \langle \varphi, \Delta_{\alpha,\beta} \psi \rangle,$$

which shows that the Siegel–Maaß Laplacian  $\Delta_{\alpha,\beta}$  acts as a symmetric operator on the Hilbert space  $\mathcal{H}_{\alpha,\beta}^n(\Gamma)$ .

*Proof* We start by considering the differential form

$$\omega(Z) := \det(Z - \bar{Z})^{\alpha+\beta-(n+1)} \bar{\psi}(Z) \operatorname{tr}(\Lambda_\beta \varphi(Z)(Z - \bar{Z})\{dZ\}) \wedge [d\bar{Z}].$$

Let  $\gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma$ . Then, the transformation formulas

- (a)  $\det(Z^\gamma - \bar{Z}^\gamma)^{\alpha+\beta-(n+1)}$   
 $= \det(CZ + D)^{-(\alpha+\beta-(n+1))} \det(C\bar{Z} + D)^{-(\alpha+\beta-(n+1))} \det(Z - \bar{Z})^{\alpha+\beta-(n+1)},$
- (b)  $\bar{\psi}(Z^\gamma) = \det(CZ + D)^\beta \det(C\bar{Z} + D)^\alpha \bar{\psi}(Z),$
- (c)  $\operatorname{tr}(\Lambda_\beta^\gamma \varphi(Z^\gamma)(Z^\gamma - \bar{Z}^\gamma)\{dZ^\gamma\})$   
 $= \det(CZ + D)^{\alpha-(n+1)} \det(C\bar{Z} + D)^\beta \operatorname{tr}(\Lambda_\beta \varphi(Z)(Z - \bar{Z})\{dZ\}),$
- (d)  $[d\bar{Z}^\gamma] = \det(C\bar{Z} + D)^{-(n+1)} [d\bar{Z}]$

show that  $\omega(Z^\gamma) = \omega(Z)$  for all  $\gamma \in \Gamma$ , i.e.,  $\omega(Z)$  is a  $\Gamma$ -invariant differential form on  $\mathbb{H}_n$ , and hence can be considered as a differential form on the quotient space  $\Gamma \backslash \mathbb{H}_n$ . Since the automorphic forms  $\varphi, \psi$  are real-analytic, the differential form  $\omega$  is a smooth differential form. Therefore, by Stokes' theorem, we have

$$\int_{\Gamma \backslash \mathbb{H}_n} d\omega(Z) = \int_{\partial \Gamma \backslash \mathbb{H}_n} \omega(Z).$$

As  $\varphi, \psi$  are compactly supported, the integral on the right-hand side of the above equation vanishes, which gives

$$\int_{\Gamma \backslash \mathbb{H}_n} d\omega(Z) = 0. \tag{5.1}$$

As we shall see, by explicitly computing  $d\omega(Z)$ , the vanishing of the above integral will lead to the formula claimed in the theorem.

For the computation of  $d\omega(Z)$ , we set  $\rho := \det(Z - \bar{Z})^{\alpha+\beta-(n+1)} \bar{\psi}(Z)$ ,  $P := \Lambda_\beta \varphi(Z)$ , and  $Q := (Z - \bar{Z})$ . Then, we obtain

$$\omega(Z) = \rho \operatorname{tr}(P Q \{dZ\}) \wedge [d\bar{Z}] = \sum_{j,k,l=1}^n \rho p_{j,k} q_{k,l} \{dZ\}_{l,j} \wedge [d\bar{Z}].$$

Taking exterior derivatives on both sides leads to

$$\begin{aligned}
 d\omega(Z) &= \sum_{j,k,l=1}^n \frac{\partial}{\partial z_{l,j}} (\rho p_{j,k} q_{k,l}) dz_{l,j} \wedge \frac{1 + \delta_{l,j}}{2} \varpi_{l,j} \wedge [d\bar{Z}] \\
 &= \sum_{j,k,l=1}^n \frac{1 + \delta_{l,j}}{2} \frac{\partial}{\partial z_{l,j}} (\rho p_{j,k} q_{k,l}) [dZ] \wedge [d\bar{Z}] \\
 &= \sum_{j,k,l=1}^n \left( \frac{\partial}{\partial Z} \right)_{l,j} (\rho p_{j,k} q_{k,l}) [dZ] \wedge [d\bar{Z}]. \tag{5.2}
 \end{aligned}$$

Now a term by term differentiation in the last expression on the right-hand side of the above equation allows us to write it as the sum of the three traces

$$\sum_{j,k,l=1}^n \left( \frac{\partial}{\partial Z} \right)_{l,j} (\rho p_{j,k} q_{k,l}) = \text{tr} \left( \frac{\partial \rho}{\partial Z} P Q \right) + \rho \text{tr} \left( \frac{\partial}{\partial Z} P Q \right) + \rho \text{tr} \left( P^t \frac{\partial}{\partial Z} Q \right), \tag{5.3}$$

which we calculate one by one next.

(i) We begin by considering

$$\frac{\partial \rho}{\partial Z} = \frac{\partial}{\partial Z} (\det(Z - \bar{Z})^{\alpha+\beta-(n+1)} \bar{\psi}(Z)),$$

which, by Lemma 4.1, calculates to

$$\begin{aligned}
 \frac{\partial \rho}{\partial Z} &= (\alpha + \beta - (n + 1)) \det(Z - \bar{Z})^{\alpha+\beta-(n+1)} (Z - \bar{Z})^{-1} \bar{\psi}(Z) \\
 &\quad + \det(Z - \bar{Z})^{\alpha+\beta-(n+1)} \frac{\partial \bar{\psi}(Z)}{\partial Z}.
 \end{aligned}$$

Now multiplying both sides of the above equation on the right by  $P Q = \Lambda_\beta \varphi(Z)(Z - \bar{Z})$  and taking the trace gives

$$\begin{aligned}
 \text{tr} \left( \frac{\partial \rho}{\partial Z} P Q \right) &= \det(Z - \bar{Z})^{\alpha+\beta-(n+1)} \left( (\alpha + \beta - (n + 1)) \text{tr} \left( (Z - \bar{Z})^{-1} \bar{\psi}(Z) \Lambda_\beta \varphi(Z)(Z - \bar{Z}) \right) \right. \\
 &\quad \left. + \text{tr} \left( \frac{\partial \bar{\psi}(Z)}{\partial Z} \Lambda_\beta \varphi(Z)(Z - \bar{Z}) \right) \right),
 \end{aligned}$$

which, upon rearranging the terms inside the traces on the right-hand side by cyclically permuting them, becomes

$$\begin{aligned}
 \text{tr} \left( \frac{\partial \rho}{\partial Z} P Q \right) &= \det(Z - \bar{Z})^{\alpha+\beta-(n+1)} \left( (\alpha + \beta - (n + 1)) \text{tr} (\Lambda_\beta \varphi(Z) \bar{\psi}(Z)) \right. \\
 &\quad \left. + \text{tr} \left( \Lambda_\beta \varphi(Z)(Z - \bar{Z}) \frac{\partial \bar{\psi}(Z)}{\partial Z} \right) \right). \tag{5.4}
 \end{aligned}$$

(ii) Next, we consider the second trace

$$\rho \text{tr} \left( \frac{\partial}{\partial Z} P Q \right) = \det(Z - \bar{Z})^{\alpha+\beta-(n+1)} \bar{\psi}(Z) \text{tr} \left( \frac{\partial}{\partial Z} \Lambda_\beta \varphi(Z)(Z - \bar{Z}) \right)$$

in Eq. (5.3), which, again through rearrangement of the terms inside the trace by a cyclical permutation, takes the form

$$\rho \operatorname{tr} \left( \frac{\partial}{\partial Z} P Q \right) = \det(Z - \bar{Z})^{\alpha+\beta-(n+1)} \operatorname{tr} \left( (Z - \bar{Z}) \frac{\partial}{\partial Z} \Lambda_\beta \varphi(Z) \bar{\psi}(Z) \right). \quad (5.5)$$

(iii) Finally, we consider the third trace

$$\rho \operatorname{tr} \left( P^t \frac{\partial}{\partial Z} Q \right) = \det(Z - \bar{Z})^{\alpha+\beta-(n+1)} \bar{\psi}(Z) \operatorname{tr} \left( (\Lambda_\beta \varphi(Z))^t \left( \frac{\partial}{\partial Z} (Z - \bar{Z}) \right) \mathbb{1}_n \right)$$

in Eq. (5.3). By the first operator identity in Corollary 3.3, we have the matrix identity

$$\left( \frac{\partial}{\partial Z} (Z - \bar{Z}) \right) \mathbb{1}_n = \left( (Z - \bar{Z}) \frac{\partial}{\partial Z} \right)^t \mathbb{1}_n + \frac{1}{2}(n+1) \mathbb{1}_n = \frac{1}{2}(n+1) \mathbb{1}_n$$

which gives, upon rearrangement of the scalar quantities, the identity

$$\rho \operatorname{tr} \left( P^t \frac{\partial}{\partial Z} Q \right) = \det(Z - \bar{Z})^{\alpha+\beta-(n+1)} \frac{1}{2}(n+1) \operatorname{tr} \left( \Lambda_\beta \varphi(Z) \bar{\psi}(Z) \right). \quad (5.6)$$

Now, adding up Eqs. (5.4)–(5.6), it follows from Eq. (5.3) that

$$\begin{aligned} & \sum_{j,k,l=1}^n \left( \frac{\partial}{\partial Z} \right)_{lj} (\rho p_{j,k} q_{k,l}) \\ &= \det(Z - \bar{Z})^{\alpha+\beta-(n+1)} \left( (\alpha + \beta - (n+1)/2) \operatorname{tr} \left( \Lambda_\beta \varphi(Z) \bar{\psi}(Z) \right) \right. \\ & \quad \left. + \operatorname{tr} \left( \Lambda_\beta \varphi(Z) (Z - \bar{Z}) \frac{\partial \bar{\psi}(Z)}{\partial Z} \right) + \operatorname{tr} \left( (Z - \bar{Z}) \frac{\partial}{\partial Z} \Lambda_\beta \varphi(Z) \bar{\psi}(Z) \right) \right). \end{aligned}$$

Rearranging terms on the right-hand side of the last expression, leads to

$$\begin{aligned} & \sum_{j,k,l=1}^n \left( \frac{\partial}{\partial Z} \right)_{lj} (\rho p_{j,k} q_{k,l}) \\ &= \det(Z - \bar{Z})^{\alpha+\beta-(n+1)} \left( \operatorname{tr} \left( \Lambda_\beta \varphi(Z) \left( (Z - \bar{Z}) \frac{\partial}{\partial Z} + \beta \mathbb{1}_n \right) \bar{\psi}(Z) \right) \right. \\ & \quad \left. + \operatorname{tr} \left( (Z - \bar{Z}) \frac{\partial}{\partial Z} + (\alpha - (n+1)/2) \mathbb{1}_n \right) \Lambda_\beta \varphi(Z) \bar{\psi}(Z) \right). \end{aligned}$$

Identifying the operator  $(Z - \bar{Z})\partial/\partial Z + \beta \mathbb{1}_n$  on the right-hand side of the above equation as  $-\bar{\Lambda}_\beta$  and the operator  $(Z - \bar{Z})\partial/\partial Z + (\alpha - (n+1)/2) \mathbb{1}_n$  as  $K_{\alpha-(n+1)/2}$ , we can rewrite the right-hand side of the above equation as

$$\det(Z - \bar{Z})^{\alpha+\beta-(n+1)} \left( -\operatorname{tr} \left( \Lambda_\beta \varphi(Z) \bar{\Lambda}_\beta \bar{\psi}(Z) \right) + \operatorname{tr} \left( K_{\alpha-(n+1)/2} \Lambda_\beta \varphi(Z) \bar{\psi}(Z) \right) \right),$$

which, by definition of  $\tilde{\Omega}_{\alpha,\beta}$ , is equal to

$$\begin{aligned} & \det(Z - \bar{Z})^{\alpha+\beta-(n+1)} \\ & \left( \operatorname{tr} \left( \tilde{\Omega}_{\alpha,\beta} - \beta(\alpha - (n+1)/2) \mathbb{1}_n \right) \varphi(Z) \bar{\psi}(Z) - \operatorname{tr} \left( \Lambda_\beta \varphi(Z) \bar{\Lambda}_\beta \bar{\psi}(Z) \right) \right). \end{aligned}$$

In total, we get

$$\begin{aligned} & \sum_{j,k,l=1}^n \left( \frac{\partial}{\partial Z} \right)_{l,j} (\rho p_{j,k} q_{k,l}) \\ &= \det(Z - \bar{Z})^{\alpha+\beta-(n+1)} \left( -\Delta_{\alpha,\beta} \varphi(Z) \bar{\psi}(Z) - \operatorname{tr} (\Lambda_\beta \varphi(Z) \bar{\Lambda}_\beta \bar{\psi}(Z)) \right. \\ & \quad \left. - n\beta(\alpha - (n + 1)/2) \varphi(Z) \bar{\psi}(Z) \right). \end{aligned}$$

Thus, substituting  $\sum_{j,k,l=1}^n (\partial/\partial Z)_{l,j} (\rho p_{j,k} q_{k,l})$  back into equation (5.2), we arrive at

$$\begin{aligned} d\omega(Z) &= \det(Z - \bar{Z})^{\alpha+\beta} \left( -\Delta_{\alpha,\beta} \varphi(Z) \bar{\psi}(Z) - \operatorname{tr} (\Lambda_\beta \varphi(Z) \bar{\Lambda}_\beta \bar{\psi}(Z)) \right. \\ & \quad \left. - n\beta(\alpha - (n + 1)/2) \varphi(Z) \bar{\psi}(Z) \right) \frac{[dZ] \wedge [d\bar{Z}]}{\det(Z - \bar{Z})^{n+1}}. \end{aligned}$$

Now, noting that the volume form

$$\det(Z - \bar{Z})^{\alpha+\beta} \frac{[dZ] \wedge [d\bar{Z}]}{\det(Z - \bar{Z})^{n+1}}$$

is just a constant multiple of  $\det(Y)^{\alpha+\beta} d\mu_n(Z)$ , it follows readily from the vanishing result (5.1) that

$$\begin{aligned} & \langle -\Delta_{\alpha,\beta} \varphi, \psi \rangle \\ &= \int_{\Gamma \backslash \mathbb{H}_n} \det(Y)^{\alpha+\beta} \operatorname{tr} (\Lambda_\beta \varphi(Z) \bar{\Lambda}_\beta \bar{\psi}(Z)) d\mu_n(Z) + n\beta(\alpha - (n + 1)/2) \langle \varphi, \psi \rangle, \end{aligned}$$

which is the claimed formula.

Using the latter formula, we compute

$$\begin{aligned} & \langle \varphi, -\Delta_{\alpha,\beta} \psi \rangle \\ &= \overline{\langle -\Delta_{\alpha,\beta} \psi, \varphi \rangle} \\ &= \int_{\Gamma \backslash \mathbb{H}_n} \det(Y)^{\alpha+\beta} \overline{\operatorname{tr} (\Lambda_\beta \psi(Z) \bar{\Lambda}_\beta \bar{\varphi}(Z))} d\mu_n(Z) + n\beta(\alpha - (n + 1)/2) \overline{\langle \psi, \varphi \rangle} \\ &= \int_{\Gamma \backslash \mathbb{H}_n} \det(Y)^{\alpha+\beta} \operatorname{tr} (\Lambda_\beta \varphi(Z) \bar{\Lambda}_\beta \bar{\psi}(Z)) d\mu_n(Z) + n\beta(\alpha - (n + 1)/2) \langle \varphi, \psi \rangle \\ &= \langle -\Delta_{\alpha,\beta} \varphi, \psi \rangle, \end{aligned}$$

which proves the claimed symmetry of the Siegel–Maaß Laplacian  $\Delta_{\alpha,\beta}$ . □

**Corollary 5.2** *Let  $\Gamma \subset \operatorname{Sp}_n(\mathbb{R})$  be a subgroup commensurable with  $\operatorname{Sp}_n(\mathbb{Z})$  and let  $\varphi \in \mathcal{H}_{\alpha,\beta}^n(\Gamma)$  be a Siegel–Maaß form of weight  $(\alpha, \beta)$  and degree  $n$  for  $\Gamma$ . Then, if  $\varphi$  is an eigenform of  $\Delta_{\alpha,\beta}$  with eigenvalue  $\lambda$ , we have  $\lambda \in \mathbb{R}$  and  $\lambda \geq n\beta(\alpha - (n + 1)/2)$ .*

*Furthermore,  $\varphi$  has eigenvalue  $\lambda = \beta(\alpha - (n + 1)/2)$  if and only if  $\varphi(Z) = \det(Y)^{-\beta} f(Z)$ , where  $f: \mathbb{H}_n \rightarrow \mathbb{C}$  is a holomorphic function satisfying*

$$f(\gamma Z) = \det(CZ + D)^{\alpha-\beta} f(Z)$$

for all  $\gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma$ . Moreover, if  $\beta < 0$ , then  $f$  is a Siegel cusp form of weight  $\alpha - \beta$  and degree  $n$  for  $\Gamma$ .

*Proof* Since  $\varphi \in \mathcal{H}_{\alpha, \beta}^n(\Gamma)$  is an eigenform of  $\Delta_{\alpha, \beta}$  with eigenvalue  $\lambda$ , i.e., we have  $(\Delta_{\alpha, \beta} + \lambda \text{id})\varphi = 0$ , we compute using Theorem 5.1

$$\begin{aligned} \lambda \langle \varphi, \varphi \rangle &= \langle -\Delta_{\alpha, \beta} \varphi, \varphi \rangle \\ &= \int_{\Gamma \backslash \mathbb{H}_n} \det(Y)^{\alpha + \beta} \operatorname{tr}(|\Lambda_{\beta} \varphi(Z)|^2) d\mu_n(Z) + n\beta(\alpha - (n+1)/2) \langle \varphi, \varphi \rangle. \end{aligned}$$

This immediately implies that  $\lambda \in \mathbb{R}$ . Furthermore, since  $\operatorname{tr}(|\Lambda_{\beta} \varphi(Z)|^2) \geq 0$ , we conclude that

$$\lambda \geq n\beta(\alpha - (n+1)/2).$$

To prove the second part of the corollary, we observe that the above equation shows that the equality  $\lambda = n\beta(\alpha - (n+1)/2)$  is equivalent to

$$\int_{\Gamma \backslash \mathbb{H}_n} \det(Y)^{\alpha + \beta} \operatorname{tr}(|\Lambda_{\beta} \varphi(Z)|^2) d\mu_n(Z) = 0.$$

Since  $\operatorname{tr}(|\Lambda_{\beta} \varphi(Z)|^2) \geq 0$ , the above integral vanishes if and only if  $\operatorname{tr}(|\Lambda_{\beta} \varphi(Z)|^2) = 0$ . Now, as the matrix

$$\Lambda_{\beta} \varphi(Z) = (Z - \bar{Z}) \frac{\partial \varphi}{\partial \bar{Z}} - \beta \varphi(Z) \mathbb{1}_n$$

is similar to the complex symmetric matrix

$$S(Z) := 2i Y^{1/2} \frac{\partial \varphi}{\partial \bar{Z}} Y^{1/2} - \beta \varphi(Z) \mathbb{1}_m$$

as we have the relation  $\Lambda_{\beta} \varphi(Z) = Y^{1/2} S(Z) Y^{-1/2}$ , the matrix  $|\Lambda_{\beta} \varphi(Z)|^2$  becomes similar to the positive semidefinite hermitian matrix  $S(Z) \bar{S}(Z)$ , which is diagonalizable with non-negative real eigenvalues. Therefore, the condition  $\operatorname{tr}(S(Z) \bar{S}(Z)) = \operatorname{tr}(|\Lambda_{\beta} \varphi(Z)|^2) = 0$  is equivalent to the vanishing of all the eigenvalues of  $S(Z) \bar{S}(Z)$ , which is equivalent to the vanishing of  $S(Z)$  and hence of  $\Lambda_{\beta} \varphi(Z)$ . All in all, this proves that the equality  $\lambda = n\beta(\alpha - (n+1)/2)$  is equivalent to the vanishing condition  $\Lambda_{\beta} \varphi = 0$ .

Continuing, we now set  $f(Z) := \det(Y)^{\beta} \varphi(Z)$ , and compute

$$\frac{\partial f}{\partial \bar{Z}} = \beta \det(Y)^{\beta-1} \frac{\partial \det(Y)}{\partial \bar{Z}} \varphi(Z) + \det(Y)^{\beta} \frac{\partial \varphi}{\partial \bar{Z}}.$$

Since we have

$$\frac{\partial \det(Y)}{\partial \bar{Z}} = \frac{1}{2} \left( \frac{\partial}{\partial X} + i \frac{\partial}{\partial Y} \right) \det(Y) = \frac{i}{2} \frac{\partial \det(Y)}{\partial Y} = \frac{i}{2} \det(Y) Y^{-1},$$



the above equality becomes

$$\begin{aligned} \frac{\partial f}{\partial \bar{Z}} &= \frac{i\beta}{2} \det(Y)^\beta Y^{-1} \varphi(Z) + \det(Y)^\beta \frac{\partial \varphi}{\partial \bar{Z}} \\ &= -\frac{i}{2} \det(Y)^\beta Y^{-1} \left( -\beta \varphi(Z) \mathbb{1}_n + 2iY \frac{\partial \varphi}{\partial \bar{Z}} \right) \\ &= -\frac{i}{2} \det(Y)^\beta Y^{-1} \left( (Z - \bar{Z}) \frac{\partial \varphi}{\partial \bar{Z}} - \beta \varphi(Z) \mathbb{1}_n \right) \\ &= -\frac{i}{2} \det(Y)^\beta Y^{-1} \Lambda_\beta \varphi(Z). \end{aligned}$$

In total, this shows that  $\partial f / \partial \bar{Z} = 0$ , i.e., the function  $f$  is holomorphic, if and only if  $\Lambda_\beta \varphi(Z) = 0$ , which, by the previous argument, is equivalent to  $\varphi \in \mathcal{H}_{\alpha, \beta}^n(\Gamma)$  being a Siegel–Maaß form with eigenvalue  $\lambda = \beta(\alpha - (n + 1)/2)$ .

Furthermore, as the function  $\varphi \in \mathcal{H}_{\alpha, \beta}^n(\Gamma)$  has the transformation behaviour

$$\varphi(\gamma Z) = \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \varphi(Z)$$

for all  $\gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma$ , the function  $f(Z) = \det(Y)^\beta \varphi(Z) = \det(\text{Im}(Z))^\beta \varphi(Z)$  has the transformation behaviour

$$\begin{aligned} f(\gamma Z) &= \det(\text{Im}(\gamma Z))^\beta \varphi(\gamma Z) \\ &= \left( \frac{\det(\text{Im}(Z))}{|\det(CZ + D)|^2} \right)^\beta \det(CZ + D)^\alpha \det(C\bar{Z} + D)^\beta \varphi(Z) \\ &= \det(CZ + D)^{\alpha - \beta} \det(\text{Im}(Z))^\beta \varphi(Z) \\ &= \det(CZ + D)^{\alpha - \beta} f(Z), \end{aligned}$$

as claimed.

Finally, letting  $\gamma_j = \begin{pmatrix} A_j & B_j \\ C_j & D_j \end{pmatrix} \in \text{Sp}_n(\mathbb{Z})$  ( $j = 1, \dots, h$ ) be a set of representatives for the left cosets of  $\Gamma \cap \text{Sp}_n(\mathbb{Z})$  in  $\text{Sp}_n(\mathbb{Z})$ , Remark 4.8 shows that given  $Y_0 \in \text{Sym}_n(\mathbb{R})$  with  $Y_0 > 0$ , the quantities

$$|\det(C_j Z + D_j)^{-\alpha} \det(C_j \bar{Z} + D_j)^{-\beta} \varphi(\gamma_j Z)|$$

have to be bounded in the region  $\{Z = X + iY \in \mathbb{H}_n \mid Y \geq Y_0\}$ . Therefore, if  $\beta < 0$ , this implies that given  $Y_0 \in \text{Sym}_n(\mathbb{R})$  with  $Y_0 \gg 0$ , the quantities

$$\begin{aligned} &|\det(C_j Z + D_j)^{-(\alpha - \beta)} f(\gamma_j Z)| \\ &= |\det(C_j Z + D_j)^{-\alpha} \det(C_j \bar{Z} + D_j)^{-\beta} \det(\text{Im}(\gamma_j Z))^\beta \varphi(\gamma_j Z)| \end{aligned}$$

will become arbitrarily small in the region  $\{Z = X + iY \in \mathbb{H}_n \mid Y \geq Y_0\}$ . In other words,  $f$  is indeed a Siegel cusp form of weight  $\alpha - \beta$  and degree  $n$  for  $\Gamma$ .

With all this, the proof of the corollary is complete. □

*Remark 5.3* For  $\Gamma \subset \mathrm{Sp}_n(\mathbb{R})$  a subgroup commensurable with  $\mathrm{Sp}_n(\mathbb{Z})$  and  $\alpha = k/2$ ,  $\beta = -k/2$  with  $k \in \mathbb{N}_{>0}$ , we denote the Hilbert space  $\mathcal{H}_{\alpha,\beta}^n(\Gamma)$  simply by  $\mathcal{H}_k^n(\Gamma)$ . Similarly, we write for the operator  $\Omega_{\alpha,\beta}$  simply  $\Omega_k$ , which becomes

$$\begin{aligned} \Omega_k &= (Z - \bar{Z}) \left( (Z - \bar{Z}) \frac{\partial}{\partial \bar{Z}} \right)^t \frac{\partial}{\partial Z} + \frac{k}{2} (Z - \bar{Z}) \frac{\partial}{\partial \bar{Z}} + \frac{k}{2} (Z - \bar{Z}) \frac{\partial}{\partial Z} \\ &= -Y \left( \left( Y \frac{\partial}{\partial X} \right)^t \frac{\partial}{\partial X} + \left( Y \frac{\partial}{\partial Y} \right)^t \frac{\partial}{\partial Y} \right) + ikY \frac{\partial}{\partial X}. \end{aligned}$$

Finally, we write for the operator  $\Delta_{\alpha,\beta}$  simply  $\Delta_k$  and call it the Siegel–Maaß Laplacian of weight  $k$ ; it is given as

$$\Delta_k = \mathrm{tr} \left( Y \left( \left( Y \frac{\partial}{\partial X} \right)^t \frac{\partial}{\partial X} + \left( Y \frac{\partial}{\partial Y} \right)^t \frac{\partial}{\partial Y} \right) - ikY \frac{\partial}{\partial X} \right).$$

We note that the transformation behaviour of a Siegel–Maaß form  $\varphi$  of weight  $k$  and degree  $n$  for  $\Gamma$  takes the form

$$\varphi(\gamma Z) = \left( \frac{\det(CZ + D)}{\det(C\bar{Z} + D)} \right)^{k/2} \varphi(Z),$$

where  $\gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \Gamma$ .

In the last corollary, we summarize the main results about Siegel–Maaß forms of weight  $k$  and degree  $n$  for  $\Gamma$ .

**Corollary 5.4** *Let  $\Gamma \subset \mathrm{Sp}_n(\mathbb{R})$  be a subgroup commensurable with  $\mathrm{Sp}_n(\mathbb{Z})$  and let  $\varphi \in \mathcal{H}_k^n(\Gamma)$  be a Siegel–Maaß form of weight  $k$  and degree  $n$  for  $\Gamma$ . Then, if  $\varphi$  is an eigenform of  $\Delta_k$  with eigenvalue  $\lambda$ , we have  $\lambda \in \mathbb{R}$  and*

$$\lambda \geq \frac{nk}{4}(n - k + 1),$$

*with equality attained if and only if the function  $\varphi$  is of the form  $\varphi(Z) = \det(Y)^{k/2} f(Z)$  for some Siegel cusp form  $f \in \mathcal{S}_k^n(\Gamma)$  of weight  $k$  and degree  $n$  for  $\Gamma$ . In other words, there is an isomorphism*

$$\mathcal{S}_k^n(\Gamma) \cong \ker \left( \Delta_k + \frac{nk}{4}(n - k + 1)\mathrm{id} \right)$$

*of  $\mathbb{C}$ -vector spaces, induced by the assignment  $f \mapsto \det(Y)^{k/2} f$ .*

*Proof* The proof is an immediate consequence of Corollary 5.2 by setting  $\alpha = k/2$  and  $\beta = -k/2$ . □

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