

**RESEARCH ARTICLE** 

# On rigid plane curves

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**Abstract** We exhibit a series of new examples of rigid plane curves, that is, curves, whose collection of singularities determines them almost uniquely up to a projective transformation of the plane.

Keywords Plane curves · Rigid curves · Equisingular families of curves

Mathematics Subject Classification 14H10 · 14H20 · 14H50

## **1** Introduction

## 1.1 Background and motivation

We work over the complex field, though most of results can be stated over any algebraically closed field of characteristic zero.

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The space  $C_d$  of plane curves of degree *d* can be identified with  $\mathbb{P}^{d(d+3)/2}$ . It has a natural *equisingular stratification* with the strata determined by the collection of degrees and multiplicities of irreducible components and by the collection of topological singularity types of the considered curves (see [10,11]; below, the strata will be called the *families of equisingular curves*). Properties of this stratification have been studied by algebraic geometers since 19th century, attracting attention of leading experts like Zeuthen, Severi, Segre, Zariski and others (see, for example, [2] for a modern survey in this area).

In this paper we focus on the minimal equisingular families, that is, those which are formed by reduced curves and contain only finitely many orbits of the action of the group of projective transformations of the plane Aut ( $\mathbb{P}^2$ ). The curves belonging to these families are called *rigid* curves; the corresponding families we also call *rigid* (see Definition 1.2 below). The study of rigid curves is motivated by their appearance in several important problems. First of all, finite coverings of the projective plane branched along rigid curves are used to obtain examples of rigid, so called, Miyaoka– Yau surfaces (see, for example, [3,5]). Next (cf. [6]), celebrated Belyi's Theorem [1] says that each projective curve defined over  $\overline{\mathbb{Q}}$  can be represented as a finite covering of  $\mathbb{P}^1$  branched at three points. Note that any three points in  $\mathbb{P}^1$  are rigid in the sense of definition given below. Therefore we can hope that for any field F of transcendence degree two over  $\overline{\mathbb{Q}}$  there are a projective model X defined over  $\overline{\mathbb{Q}}$  with the field of rational functions  $\overline{\mathbb{Q}}(X) \simeq F$  and a finite morphism  $f: X \to \mathbb{P}^2$  branched along a rigid plane curve. There are interesting relations to the geometry of line arrangements (see [3]) and to rational cuspidal curves (see [9]).

The goal of our note is to exhibit examples of rigid curves of any degree and any genus, and rigid families covered by arbitrarily many orbits of the Aut( $\mathbb{P}^2$ )-action.

#### 1.2 Definitions and main results

Throughout the paper we consider isolated plane curve singular points up to topological equivalence, briefly calling any class of topologically equivalent singular points a *singularity type*. Given a singularity type *S*, the number of irreducible components of singular curve germs of type *S* is an invariant, which we denote  $m_S$ . Cardinality of a finite set *F* will be denoted by |F|.

### 1.2.1 Irreducible rigid curves

Let  $S_1, \ldots, S_r$  be a sequence of distinct singularity types,  $n_1, \ldots, n_r$  a sequence of positive integers,  $r \ge 1$ . Introduce the formal sum  $\mathbf{S} = \sum_{i=1}^r n_i S_i$ . Given a positive integer *d*, denote by  $V(d; g; \mathbf{S})$  the (equisingular) family of reduced, irreducible plane curves of degree *d* having precisely  $\sum_{i=1}^r n_i$  singular points and such that  $n_i$  singular points are of type  $S_i$ ,  $i = 1, \ldots, r$ . Here

$$g = \frac{(d-1)(d-2)}{2} - \sum_{i=1}^{r} n_i \delta(S_i)$$

is the geometric genus of the considered curves.

Such a family is a locally closed union of quasiprojective subvarieties of  $\mathcal{C}_d$  (cf. [2]). It is, of course, invariant with respect to the action of Aut ( $\mathbb{P}^2$ ), and hence consists of entire orbits of the Aut ( $\mathbb{P}^2$ )-action.

**Definition 1.1** We say that a non-empty equisingular family  $V(d; g; \mathbf{S})$  is *k*-rigid if it is the union of *k* distinct orbits of the Aut ( $\mathbb{P}^2$ )-action in  $\mathbb{C}_d$  for some  $k \in \mathbb{N}$ . If k = 1 then we say that *V* is *strictly rigid*. The curves belonging to a *k*-rigid family of plane curves are called *rigid*.

### 1.2.2 Reducible rigid curves

Considering reduced, irreducible curves, we, first, introduce families of reducible curves with numbered components, then identify families obtained from each other by permutation of components.

Let  $\mathbf{d} = (d_1, \ldots, d_N)$  and  $\mathbf{g} = (g_1, \ldots, g_N)$  be two collections of integers,  $d_i \ge 1$  and  $g_i \ge 0$  for  $i = 1, \ldots, N$ . To encode the distribution of singularity types among components and the distribution of local branches centered at singular points that are intersection points of components, we do the following. For a fixed singularity type S and fixed N denote by  $\mathbf{J}_S = \{J_{S,k}\}$  the set of all nonempty subsets  $J_{S,k}$  of  $\{1, 2, \ldots, N\}$ ,  $1 \le k \le \sum_{j=1}^{m_S} {N \choose j}$ , such that  $|J_{S,k}| \le m_S$ . Let  $V(\mathbf{d}; \mathbf{g}; \sum_{\{S_j\}} \sum_{\{J_{S_j,k}\}} n_{J_{S_j,k}} S_j)$  be the family of plane reduced curves  $\overline{C} = C_1 \cup \cdots \cup C_N \subset \mathbb{P}^2$  such that  $C_i$  are irreducible curves of degree deg  $C_i = d_i$ and genus  $g_i$ , and for each type  $S_j$  of plane singularities the intersection  $\bigcap_{i \in J_{S_j,k}} C_i$ contains exactly  $n_{J_{S_j,k}}$  singular points of  $\overline{C}$  of the type  $S_j$  which do not lie in  $C_l$  for  $l \notin J_{S_j,k}$ . This is a locally closed union of quasiprojective subvarieties of  $\mathcal{C}_d$  (cf. [2]). The sum  $\mathbf{S} = \sum_{\{S_j\}} \sum_{\{J_{S_j,k}\}} n_{J_{S_j,k}} S_j$  is called the *singularity type* of the curves  $\overline{C} \in V(\mathbf{d}; \mathbf{g}; \mathbf{S})$ . We identify the families  $V(\mathbf{d}; \mathbf{g}; \mathbf{S})$  obtained by permutations of the curves  $C_1, \ldots, C_N$  and compatible permutations of  $\mathbf{S}$ .

A singularity type **S** splits into two parts,  $\mathbf{S} = \mathbf{S}^{\text{ess}} + \mathbf{S}^{\text{non-ess}}$ , as follows. For fixed **d** and **g**, we say that  $\mathbf{S}^{\text{ess}}$  is an *essential part* of the singularity type **S** (and resp.  $\mathbf{S}^{\text{non-ess}}$  is a *non-essential part* of the singularity type **S**) if the family  $V(\mathbf{d}; \mathbf{g}; \mathbf{S})$  is determined uniquely by **d**, **g**, and the property that the curves  $\overline{C}$  have the singularities  $\mathbf{S}^{\text{ess}}$  among all singularities of  $\overline{C}$ . If  $\mathbf{S}^{\text{ess}}$  is an essential part of a singularity type **S**, then we will use notation  $V(\mathbf{d}; \mathbf{g}; \mathbf{S}^{\text{ess}} + \cdots)$  to denote the family  $V(\mathbf{d}; \mathbf{g}; \mathbf{S})$ .

**Definition 1.2** We say that a family  $V = V(\mathbf{d}; \mathbf{g}; \mathbf{S})$  is *k*-rigid if it is the union of *k* distinct orbits of the Aut( $\mathbb{P}^2$ )-action in  $\mathbb{C}_d$  for some  $k \in \mathbb{N}$ . If k = 1 then we say that *V* is *strictly rigid*. The curves belonging to a *k*-rigid family of plane curves are called *rigid*.

Note that the number of irreducible components of a *k*-rigid family  $V(\mathbf{d}; \mathbf{g}; \mathbf{S})$  is less than or equal to *k* (since it can (and does) happen that some orbits can lie in the closure of another) and, in particular,  $V(\mathbf{d}; \mathbf{g}; \mathbf{S})$  is irreducible if it is strictly rigid. Note also that if a family  $V(\mathbf{d}; \mathbf{g}; \mathbf{S})$  is rigid, then

$$\dim V(\mathbf{d}; \mathbf{g}; \mathbf{S}) \le \dim \mathrm{PGL}(\mathbb{C}, 3) = 8.$$
(1)

## 1.2.3 Main results

Our results are as follows:

- in Theorem 2.1, the complete list of rigid curves of degree  $\leq 4$  is given;
- in Theorem 3.1, we give an infinite series of examples of strictly rigid families of irreducible rational curves *V*(*d*; 0; **S**);
- in Theorem 4.1, for each g ≥ 1, we prove the existence of strictly rigid irreducible plane curves of genus g;
- examples of irreducible 2-rigid families of irreducible curves are given in Theorems 5.1 and 5.2, and Theorem 5.3 provides examples of *k*-rigid families  $V(\mathbf{d}; \mathbf{g}; \mathbf{S})$  consisting of *k* irreducible components for each  $k \in \mathbb{N}$ .

We do not know answers to the following questions, which seem to be interesting.

*Question 1.3* Do there exist irreducible k-rigid families  $V(\mathbf{d}; \mathbf{g}; \mathbf{S})$  with k > 2?

*Question 1.4* Do there exist irreducible 2-rigid families  $V(d; g; \mathbf{S})$  with  $g \ge 1$ ?

Throughout the paper, we use the following notations for singularity types of plane curves:

- $T_{m,n}$ ,  $2 \le m \le n$ , is the type of singularity given by the equation  $x^m + y^n = 0$ ; but if m = 2 then a singularity of type  $T_{2,n}$ , as usual, will be denoted by  $A_{n-1}$  and the singularities of types  $T_{m,m}$  will be called *simple*.
- $T_{m,n}^m$ ,  $2 \le m < n$ , is the type of singularity given by the equation  $y(x^m + y^n) = 0$ .
- $T_{m,n}^{m,n}$ ,  $2 \le m < n$ , is the type of singularity given by the equation  $x(x^m + y^n) = 0$ .
- $T_{m,n}^{m,n}$ ,  $1 \le m < n$ , is the type of singularity given by the equation  $xy(x^m + y^n) = 0$ .

## 2 Rigid curves of small degree

In the following theorem, we provide the complete list of rigid reduced curves of degree  $\leq 4$ .

**Theorem 2.1** Let  $\overline{C}$  be a rigid reduced curve of degree  $\leq 4$ . Then  $\overline{C}$  belongs to one of the following families:

(I) strongly rigid families:

- (I<sub>1</sub>)  $V(1; 0; \emptyset);$
- (I<sub>2</sub>)  $V((1, 1); (0, 0); A_1);$
- (I<sub>3</sub>)  $V(2; 0; \emptyset);$
- (I<sub>4</sub>)  $V((1, 1, 1); (0, 0, 0); 3A_1);$
- (I<sub>5</sub>)  $V((1, 1, 1); (0, 0, 0); T_{3,3});$
- (I<sub>6</sub>)  $V((2, 1); (0, 0); 2A_1);$
- (I<sub>7</sub>)  $V((2, 1); (0, 0); A_3);$
- (I<sub>8</sub>)  $V(3; 0; A_1);$
- (I<sub>9</sub>)  $V(3; 0; A_2);$
- (I<sub>10</sub>)  $V((1, 1, 1, 1); (0, 0, 0, 0); 6A_1);$

$$\begin{array}{ll} (\mathrm{I}_{11}) & V\left((1,1,1,1); (0,0,0,0); T_{3,3}+3A_1\right); \\ (\mathrm{I}_{12}) & V\left((2,1,1); (0,0,0); 2A_3+A_1\right); \\ (\mathrm{I}_{13}) & V\left((2,1,1); (0,0,0); A_3+3A_1\right); \\ (\mathrm{I}_{14}) & V\left((2,1,1); (0,0,0); T_{2,4}^2+A_1\right); \\ (\mathrm{I}_{15}) & V\left((2,2); (0,0); A_5+A_1\right); \\ (\mathrm{I}_{16}) & V\left((2,2); (0,0); A_7\right); \\ (\mathrm{I}_{17}) & V\left((3,1); (0,0); T_{2,4}^2\right); \\ (\mathrm{I}_{18}) & V\left((3,1); (0,0); T_{2,3}^3\right); \\ (\mathrm{I}_{20}) & V\left((3,1); (0,0); A_2+A_5\right); \\ (\mathrm{I}_{21}) & V\left((3,1); (0,0); A_3+A_2+A_1\right); \\ (\mathrm{I}_{22}) & V\left(4; 0; 3A_2\right); \\ (\mathrm{I}_{23}) & V\left(4; 0; A_4+A_2\right); \\ (\mathrm{I}_{24}) & V\left(4; 0; A_6\right); \\ \end{array}$$

(II) irreducible 2-rigid families:

- (II<sub>1</sub>)  $V((3, 1); (0, 0); T_{2,3}^2 + A_1);$ (II<sub>2</sub>)  $V(4; 0; T_{3,4}).$

*Proof* It is well known that if deg  $\overline{C} \leq 3$  then only smooth cubics are not rigid. All other families  $V(\mathbf{d}; \mathbf{g}; \mathbf{S})$  of curves of degree  $\leq 3$  are listed in  $(I_1)-(I_9)$ . Therefore we assume below that deg  $\overline{C} = 4$ .

Again, it is well known that if  $\overline{C}$  consists of four lines or two lines and a quadric, then  $\overline{C}$  is not rigid if and only if  $\overline{C}$  consists of four lines having a common point or  $\overline{C}$ consists of a quadric Q and two lines in general position with respect to Q. The rigid families in the case when  $\overline{C}$  consists of four lines or two lines and a quadric is listed in  $(I_{10}) - (I_{14})$ .

Consider the case when  $\overline{C}$  consists of two irreducible components: either  $\overline{C}$  =  $Q_0 \cup Q_1$ , where  $Q_0$  and  $Q_1$  are smooth quadrics, or  $\overline{C} = C \cup L$ , where C is a cubic and L is a line.

Consider the case when  $\overline{C}_1 = Q_0 \cup Q_1 \in V((2, 2); (0, 0); \mathbf{S})$ . We have  $\mathbf{S} = m_1 A_1$  $+ m_3 A_3 + m_5 A_5 + m_7 A_7$ , where  $m_1 + 2m_3 + 3m_5 + 4m_7 = 4$ . The singularity type S consists of  $k = m_1 + m_3 + m_5 + m_7$ ,  $1 \le k \le 4$ , singular points and if  $m_1 = 4$ , that is, **S** = 4A<sub>1</sub>, then V((2, 2); (0, 0); 4A<sub>1</sub>) is not rigid by (1), since dim  $V((2, 2); (0, 0); 4A_1) = 10$ . Similarly, if  $m_1 = 2$ , that is,  $\mathbf{S} = A_3 + 2A_1$ , then  $V((2, 2); (0, 0); A_3 + 2A_1)$  is not rigid, since dim  $V((2, 2); (0, 0); A_3 + 2A_1) = 9$ . So, we can assume that  $m_1 \leq 1$ .

Consider the pencil of quadrics  $Q_{\lambda}$  defined by quadrics  $Q_0$  and  $Q_1$ . It is easy to see that in the cases  $m_1 = m_5 = 1$  or  $m_7 = 1$  it contains the unique degenerate element  $Q_{\infty}$  consisting of two (coinciding if  $m_7 = 1$ ) lines  $L_1 \cup L_2$  and in the case  $m_3 = 2$  it contains two degenerate elements one of which,  $Q_{\infty}$ , consists of two coinciding lines  $L_1 = L_2$  and the other one consists of two different lines. Let  $f(z_1, z_2, z_3) = 0$  be an equation of the quadric  $Q_0$  and  $l_i(z_1, z_2, z_3) = 0$ , i = 1, 2, be an equation of the line  $L_i$ . Then, without less of generality, we can assume that

$$F_{\lambda}(z_1, z_2, z_3) = f(z_1, z_2, z_3) + \lambda l_1(z_1, z_2, z_3) l_2(z_1, z_2, z_3) = 0$$

is the equation of  $Q_{\lambda}$ . Moreover, applying a projective transformation, we can assume that  $f(z_1, z_2, z_3) = z_1^2 - z_2 z_3$  and  $l_1(z_1, z_2, z_3) = z_3$ ,  $l_1(z_1, z_2, z_3) = z_1$  in the case  $m_1 = m_5 = 1$ ;  $l_1(z_1, z_2, z_3) = l_2(z_1, z_2, z_3) = z_1$  in the case  $m_3 = 2$ ; and  $l_1(z_1, z_2, z_3) = l_2(z_1, z_2, z_3)$ 

$$F_{\lambda}(z_1, z_2, z_3) = (z_1^2 - z_2 z_3) + \lambda z_1 z_3$$
<sup>(2)</sup>

in the case  $m_1 = m_5 = 1$ ,

$$F_{\lambda}(z_1, z_2, z_3) = (z_1^2 - z_2 z_3) + \lambda z_3^2$$
(3)

in the case  $m_7 = 1$ , and

$$F_{\lambda}(z_1, z_2, z_3) = (z_1^2 - z_2 z_3) + \lambda z_1^2$$
(4)

in the case  $m_3 = 2$ .

Note that if  $m_3 = 2$ , then the degenerate element  $Q_{-1}$  of the pencil  $Q_{\lambda}$  consists of two lines  $z_2 = 0$  and  $z_3 = 0$  [see (4)].

In the case  $m_1 = m_5 = 1$  (case (I<sub>15</sub>)) the strong rigidity of the curve  $\overline{C}_1$  follows from the equality  $\overline{C}_{\lambda} = Q_0 \cup Q_{\lambda} = h_{\lambda}(\overline{C}_1)$  for each  $\lambda \in \mathbb{C}^*$ , where the automorphism  $h_{\lambda}$  acts as follows:  $h_{\lambda}(z_1:z_2:z_3) = (\lambda z_1:\lambda^2 z_2:z_3)$ ; and in the case  $m_7 = 1$  (case (I<sub>16</sub>)) the strong rigidity of the curve  $\overline{C}_1$  follows from equality  $\overline{C}_{\lambda^2} = Q_0 \cup Q_{\lambda^2} = h_{\lambda}(\overline{C}_1)$ for each  $\lambda \in \mathbb{C}^*$ .

Let us show that  $\overline{C}_1$  is not rigid if  $m_3 = 2$ . Indeed, assume that  $\overline{C}_1$  is rigid. Then for any two elements  $\lambda_1, \lambda_2 \in \mathbb{C}^* \setminus \{-1\}, \lambda_1 \neq \lambda_2$ , there is a projective transformation  $h_{\lambda_1,\lambda_2} \in \operatorname{Aut}(\mathbb{P}^2)$  such that  $h_{\lambda_1,\lambda_2}(\overline{C}_{\lambda_1}) = \overline{C}_{\lambda_2}$ , where  $\overline{C}_{\lambda} = Q_0 \cup Q_{\lambda}$ . The automorphism  $h_{\lambda_1,\lambda_2}$  leaves invariant the pencil  $Q_{\lambda}$ . In particular, it leaves invariant the singular elements  $Q_{\infty}$  and  $Q_{-1}$  of the pencil  $Q_{\lambda}$ ,

$$h_{\lambda_1,\lambda_2}(Q_{\infty}) = Q_{\infty}, \quad h_{\lambda_1,\lambda_2}(Q_{-1}) = Q_{-1}.$$

Let us show that  $h_{\lambda_1,\lambda_2}(Q_0) \neq Q_0$  for  $\lambda_1 \neq \lambda_2$ . Indeed, if  $h_{\lambda_1,\lambda_2}(Q_0) = Q_0$ , then in the case  $m_3 = 2$  we have either  $h^*_{\lambda_1,\lambda_2}(z_1) = az_1, h^*_{\lambda_1,\lambda_2}(z_2) = bz_2$ , and  $h^*_{\lambda_1,\lambda_2}(z_3) = cz_3$  or  $h^*_{\lambda_1,\lambda_2}(z_1) = az_1, h^*_{\lambda_1,\lambda_2}(z_2) = bz_3$ , and  $h^*_{\lambda_1,\lambda_2}(z_3) = cz_2$  for some a, b, c such that  $a^2 = bc$ , since  $h_{\lambda_1,\lambda_2}(Q_\infty) = Q_\infty$  and  $h_{\lambda_1,\lambda_2}(Q_{-1}) = Q_{-1}$ . Therefore  $h_{\lambda_1,\lambda_2}(Q_\lambda) = Q_\lambda$  for all  $\lambda \in \mathbb{C}$  that is possible only if  $\lambda_1 = \lambda_2$ . Therefore we must have  $h_{\lambda_1,\lambda_2}(Q_0) = Q_{\lambda_2}$  and  $h_{\lambda_1,\lambda_2}(Q_{\lambda_1}) = Q_0$ . But, for three pairwise different  $\lambda_1, \lambda_2, \lambda_3 \in \mathbb{C}^* \setminus \{-1\}$  we obtain that  $h_{\lambda_1,\lambda_3}(Q_0) = h_{\lambda_2,\lambda_3} \circ h_{\lambda_1,\lambda_2}(Q_0) = Q_0$  and hence  $\lambda_1 = \lambda_3$ . Contradiction.

Consider the case when  $C = C \cup L$ , where C is a cubic and L is a line. It is easy to see that  $\overline{C}$  is rigid only if C is a rational curve. Therefore we have two cases: C is a nodal cubic or C is a cuspidal cubic.

Let *C* be a nodal cubic. Then (case  $(I_8)$ )  $V(3; 0; A_1)$  is strongly rigid and dim  $V(3; 0; A_1) = 8$ . Therefore, *L* must be a "very special line" with respect to *C*, that is, *L* is either the tangent line of one of two branches of the node of *C* or *L* is

the tangent line of C at a flex point of C. In both two cases it is easy to see that  $\overline{C}$  is strictly rigid and we have two cases:  $(I_{17})-(I_{18})$ .

If *C* is a cuspidal cubic, then *L* is a tangent line to *C* or it passes through the cusp of *C*, since  $V(3; 0; A_2)$  is strongly rigid and dim  $V(3; 0; A_2) = 7$ . If *L* is a tangent line to *C* at its cusp, then we have case (I<sub>19</sub>) and if *L* is the tangent line at the flex point of *C*, then we have case (I<sub>20</sub>). It is easy to see that in both cases  $\overline{C}$  is strongly rigid.

If *L* is a tangent line to *C* and it does not pass through the cusp of *C*, let us choose homogeneous coordinates  $(z_1 : z_2 : z_3)$  such that  $z_3 = 0$  is an equation of *L*,  $z_1 = 0$  is an equation of the line tangent to *C* at its cusp, and the line given by the equation  $z_2 = 0$  passes through the cusp of *C* and the tangent point of *L* and *C*. Then there is a parametrization of *C* in  $\mathbb{P}^2$  of the following form:

$$z_1 = t^3, \quad z_2 = t^2, \quad z_3 = 1 + at,$$
 (5)

where  $a \neq 0$ . Denote by  $C_a$  a curve in  $\mathbb{P}^2$  given by parametrization (5). Now, the strong rigidity of  $V((3, 1); (0, 0); A_3 + A_2 + A_1)$  (case (I<sub>21</sub>)) follows from equality  $h(C_a \cup L) = C_1 \cup L$ , where the linear transformation  $h \in \operatorname{Aut}(\mathbb{P}^2)$  acts as follows,  $h(z_1:z_2:z_3) = (z_1:a^{-1}z_2:a^{-3}z_3)$ .

Let *L* be not the tangent line at the cusp of *C* and it pass through the cusp of *C*. Then if  $z_2 = 0$  is an equation of *L*,  $z_1 = 0$  is the line tangent to *C* at its cusp, and the line given by the equation  $z_3 = 0$  is tangent to *C* at its non-singular point *p* of the intersection  $C \cap L$ , then again we can assume that *C* is given by parametrization (5) and there are two possibilities: either a = 0 or  $a \neq 0$ . Denote by  $C_a$  a curve given by parametrization (5). It is easy to see that  $p \in C_0 \cap L$  is the flex point of  $C_0$  if a = 0and  $p \in C_a \cap L$  is not the flex point of  $C_a$  if  $a \neq 0$ . Therefore there is not a linear transformation  $h \in \operatorname{Aut}(\mathbb{P}^2)$  such that  $h(C_0 \cup L) = C_a \cup L$ . On the other hand, the linear transformation  $h: (z_1:z_2:z_3) \rightsquigarrow (z_1:a^{-1}z_2:a^{-3}z_3)$  sends  $C_a \cup L$  to  $C_1 \cup L$ . Therefore  $V((3, 1); (0, 0), T_{2,3}^2)$  (case (II<sub>1</sub>)) is an irreducible 2-rigid family.

Now, consider the case when  $\overline{C}$  is an irreducible curve of degree four. All possible types of singularities **S** of irreducible curves of degree four are given in [8]:

$$\mathbf{S} = m_1 A_1 + m_2 A_2 + \dots + m_6 A_6 + m_7 T_{3,3} + m_8 T_{2,3}^2 + m_9 T_{3,4}$$

where  $m_i$ ,  $1 \le i \le 9$ , are non-negative integers satisfying the following inequality:

$$m = 1 + m_2 + 2m_3 + 2m_4 + 3m_5 + 3m_6 + 3m_7 + 3m_8 + 3m_9 \le 3.$$
 (6)

By [7, Theorem 2], we have

$$\dim V(4; 3 - m; m_1A_1 + m_2A_2 + \dots + m_6A_6 + m_7T_{3,3} + m_8T_{2,3}^2 + m_9T_{3,4}) = 14 - (m_1 + 2m_2 + 3m_3 + 4m_4 + 5m_5 + 6m_6 + 4m_7 + 5m_8 + 6m_9).$$
(7)

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Inequality (1) applied to (7) gives rise to the inequality

$$14 - (m_1 + 2m_2 + 3m_3 + 4m_4 + 5m_5 + 6m_6 + 4m_7 + 5m_8 + 6m_9) \le 8.$$
 (8)

It is easy to see that inequalities (6) and (8) have only the following non-negative integer solutions:

- $m_2 = 3$  and  $m_i = 0$  for  $i \neq 2$ ,
- $m_2 = m_4 = 1$  and  $m_i = 0$  for  $i \neq 2, 4$ ,
- $m_6 = 1$  and  $m_i = 0$  for  $i \neq 6$ ,
- $m_9 = 1$  and  $m_i = 0$  for  $i \le 8$ .

Therefore only the following irreducible curves  $\overline{C}$  of degree four can be rigid: either  $\overline{C} \in V(4; 0; 3A_2)$ , or  $\overline{C} \in V(4; 0; A_2 + A_4)$ , or  $\overline{C} \in V(4; 0; A_6)$ , or  $\overline{C} \in V(4; 0; T_{3,4})$ .

The strict rigidity of a curve  $\overline{C} \in V(4; 0; 3A_2)$  (case (I<sub>22</sub>)) is well known.

To show that a curve  $\overline{C} \in V(4; 0; A_2 + A_4)$  (case (I<sub>23</sub>)) is strictly rigid, let us choose a non-homogeneous coordinate t in  $\mathbb{P}^1$  and homogeneous coordinates  $(z_1 : z_2 : z_3)$  in  $\mathbb{P}^2$  such that f(t = 0) = (0:0:1) is the singular point of  $\overline{C}$  of type  $A_4$  and the line  $z_1 = 0$  is tangent to  $\overline{C}$  at this point, the image  $f(t = \infty) = (1:0:0)$  is the singular point of  $\overline{C}$ , and the line  $z_3 = 0$  is tangent to C at this point. Then (after a suitable linear change of the coordinate t) the morphism f is given by

$$z_1 = t^4, \quad z_2 = t^2, \quad z_3 = t + 1,$$
 (9)

that is,  $\overline{C}$  is strictly rigid.

To show that a curve  $\overline{C} \in V(4; 0; A_6)$  (case (I<sub>24</sub>)) is strictly rigid, note that, by Plücker formulas,  $\overline{C}$  must have a flex point. As above, let  $f : \mathbb{P}^1 \to \mathbb{P}^2$  be a morphism such that  $\overline{C} = f(\mathbb{P}^1)$ . We can choose a non-homogeneous coordinate t in  $\mathbb{P}^1$  and homogeneous coordinates  $(z_1 : z_2 : z_3)$  in  $\mathbb{P}^2$  such that f(t = 0) = (0:0:1) is the singular point of C, the line  $z_1 = 0$  is the tangent line to C at its singular point, the image  $f(t = \infty) = (1:0:0)$  is a flex point of C, and, moreover, the line  $z_3 = 0$  is the tangent line to C at this point. Then (after a suitable linear change of the coordinate t) the morphism f is given by

$$z_1 = t^4$$
,  $z_2 = t^2(t-1)$ ,  $z_3 = F(t) = a_1 t + a_2$ , (10)

where  $a_2 \neq 0$ . It is easy to see that the polynomial  $F(t) - a_2(t-1)^2$  must be divisible by  $t^2$ , since C has a singularity of type  $A_6$  at (0:0:1). Therefore we have  $a_1 = -2a_2$ and we can put  $a_2 = 1$ , that is,  $V(4; 0; A_6)$  is strictly rigid.

Let us show that the family  $V(4; 0; T_{3,4})$  (case (II<sub>2</sub>)) is irreducible and 2-rigid. Indeed, let  $\overline{C} \in V(4; 0; T_{3,4})$ , then, by Plücker formulas,  $\overline{C}$  must have a flex point. Let  $f : \mathbb{P}^1 \to \mathbb{P}^2$  be a morphism such that  $\overline{C} = f(\mathbb{P}^1)$ . We can choose non-homogeneous coordinate t in  $\mathbb{P}^1$  and homogeneous coordinates  $(z_1 : z_2 : z_3)$  in  $\mathbb{P}^2$  such that f(t = 0) = (0:0:1) is the singular point of  $\overline{C}$ , the line  $z_1 = 0$  is the tangent line to  $\overline{C}$  at its singular point, the image  $f(t = \infty) = (1:0:0)$  is a flex point of  $\overline{C}$ , and, moreover, the line  $z_3 = 0$  is the tangent line to  $\overline{C}$  at this point. Then (maybe, after change of the coordinate t) the morphism f is given by

$$z_1 = t^4, \quad z_2 = t^3, \quad z_3 = at + 1.$$
 (11)

Therefore  $V(4; 0; T_{3,4})$  is an irreducible family.

As in case (II<sub>1</sub>), there are two possibilities: either a = 0 or  $a \neq 0$ .

Denote by  $\overline{C}_a \in V(4; 0; T_{3,4})$  a curve given by (11). It is easy to see that  $\overline{C}_0$  has the unique flex point, namely,  $p_1 = (1:0:0)$ , and one can check that the curve  $\overline{C}_1$ has two flex points,  $p_1$  and  $p_2 = f(t = -2) = (16:-8:-1)$ . Hence there is no projective transformation  $h \in \operatorname{Aut}(\mathbb{P}^2)$  such that  $\overline{C}_0 = h(\overline{C}_1)$ .

If we perform the change  $t_1 = at$ , where  $a \neq 0$ , then we get  $h(\overline{C}_1) = \overline{C}_a$ , where

$$h(z_1:z_2:z_3) = (a^4 z_1:a^3 z_2:z_3),$$

that is, *V*(4; 0; *T*<sub>3,4</sub>) is 2-rigid.

*Remark* 2.2 According to Theorem 2.1, the families  $V((1, 1, 1, 1); (0, 0, 0, 0); T_{4,4})$  and  $V((2, 2); (0, 0); 2A_3)$  are not rigid and it is easy to see that

dim  $V((1, 1, 1, 1); (0, 0, 0, 0); T_{4,4}) = 6$ , dim  $V((2, 2); (0, 0); 2A_3) = 8$ ,

that is, (1) is not sufficient for  $V(\mathbf{d}; \mathbf{g}; \mathbf{S})$  to be a rigid family.

## 3 Strictly rigid rational curves of degree $\geq$ 5

**Theorem 3.1** For each  $n \ge 2$  the family  $V_n = V(2n; 0; 3T_{n,n+1} + \cdots)$  is strictly rigid. The non-essential part of singularities of  $C \in V_n$  consists of simple singularities.

To prove Theorem 3.1 we need in the following result.

**Theorem 3.2** Let  $\mathbf{d}_n = (n, 1, 1, 1)$ ,  $\mathbf{g} = (0, 0, 0, 0)$ , and  $n_{(1,2)} = n_{(1,3)} = n_{(1,4)} = 1$ . Then the family

$$\widehat{V}_n = V(\mathbf{d}_n; \mathbf{g}; (n_{(1,2)} + n_{(1,3)} + n_{(1,4)}) A_{2n-1} + \cdots)$$

is strictly rigid for each  $n \ge 2$ . The non-essential part of singularities of  $\overline{C} \in \widehat{V}_n$  consists of simple singularities.

We prove Theorems 3.1 and 3.2 simultaneously.

*Proof of Theorems 3.1 and 3.2* Theorem 3.1 in the case n = 2 and Theorem 3.2 in the case  $n \le 3$  are well known, and we prove the general case by induction on n.

Assume that for  $n \le n_0$  Theorem 3.1 is true. Then the number of virtual cusps of  $C \in V_n$ ,  $n \le n_0$ , is equal to 3(n - 1) and the number of its virtual nodes is equal to 2(n - 1)(n - 2) (see the definition of the numbers of virtual cusps and virtual nodes, for example, in [4]). Let  $\widehat{C}$  be the dual curve to *C*. By Plücker formulas, we have deg  $\widehat{C} = n + 1$  and the number of virtual cusps of the curve  $\widehat{C}$  is zero. Therefore the irreducible branches of the singular points of  $\widehat{C}$  are smooth and hence  $\widehat{C}$  has only simple singularities, since *C* has the only simple singularities and three singularities of type  $T_{n,n+1}$ . Note also that  $\widehat{C}$  has three flex points, say  $p_1$ ,  $p_2$ , and  $p_3$ . Let  $L_i$ , i = 1, 2, 3, be the tangent line to  $\widehat{C}$  at the point  $p_i$ . It is easy to see that

$$\overline{C} = \widehat{C} \cup L_1 \cup L_2 \cup L_3 \in \widehat{V}_{n+1} = V(\mathbf{d}_{n+1}; \mathbf{g}; (n_{(1,2)} + n_{(1,3)} + n_{(1,4)})A_{2n+1} + \cdots).$$

Moreover, if  $n \le n_0$  and the curve  $\overline{C}_1 = C_1 \cup L'_1 \cup L'_2 \cup L'_3$  belongs to  $\widehat{V}_{n+1}$ , then the curve  $C_1$  must have only simple singularities, since the dual curve  $\widehat{C}_1$  belongs to  $V_n$ . Therefore the assumption that Theorem 3.1 is true for  $n \le n_0$  implies the statement of Theorem 3.2 for  $n \le n_0 + 1$ .

*Remark 3.3* For  $n \le n_0 + 1$ , it follows from strong rigidity that if  $\overline{C}_i = C_i \cup L_1 \cup L_2 \cup L_3 \in \widehat{V}_n$ , i = 1, 2, are two curves such that  $C_1$  and  $C_2$  have two common flex points, say  $p_1$  and  $p_2$ , then their third flex points  $p_3 = C_1 \cap L_3$  and  $q_3 = C_2 \cap L_3$  should coincide,  $p_3 = q_3$ , and consequently  $C_1 = C_2$ .

To complete the proof of theorems, note that if  $\overline{C} = C \cup L_1 \cup L_2 \cup L_3$  belongs to  $\widehat{V}_n$  for  $n \le n_0 + 1$ , then  $\sigma(C) \in V_{n+1}$ , where  $\sigma$  is a quadratic transformation of the plane with centers at vertices of the triangle  $L_1 \cup L_2 \cup L_3$ . Therefore Theorem 3.1 in the case  $n = n_0 + 1$  follows from Theorem 3.2 and Remark 3.3.

The following theorem provides an infinite series of strictly rigid families parameterizing the unions of two rational curves.

**Theorem 3.4** The families  $V((2n+1, 1); (0, 0); n_{(1,2)}(T_{2n-1,2n}^{2n} + A_1) + n_{(1)}A_{4n-2})$ , where  $n_{(1,2)} = n_{(1)} = 1$ , are strictly rigid for  $n \ge 2$ .

Proof Let

$$C \cup L \in V \left( (2n+1,1); (0,0); (0,0); n_{(1,2)}(T_{2n-1,2n}^{2n} + A_1) + n_{(1)}A_{4n-2} \right)$$

Then  $C \in V(2n+1; 0; T_{2n-1,2n} + A_{4n-2})$  and *L* is the line tangent to *C* at its singular point  $p_1$  of type  $T_{2n-1,2n}$ . Let  $f : \mathbb{P}^1 \to \mathbb{P}^2$  be a morphism such that  $C = f(\mathbb{P}^1)$ . We can choose non-homogeneous coordinate *t* in  $\mathbb{P}^1$  and homogeneous coordinates  $(z_1 : z_2 : z_3)$  in  $\mathbb{P}^2$  such that  $f(t = \infty) = p_1 = (1:0:0)$  is the singular point of *C* of type  $T_{2n-1,2n}$  and the line *L* is given by the equation  $z_3 = 0$ ,  $f(t = 0) = p_2 = (0:0:1)$ is the singular point of *C* of type  $A_{4n-2}$  and the line  $z_1 = 0$  is the tangent line to *C* at  $p_2$ . Then (maybe, after change of the coordinates in  $\mathbb{P}^2$  and  $\mathbb{P}^1$ ) the morphism *f* is given by

$$z_1 = t^4 P_{2n-3}(t), \quad z_2 = t^2, \quad z_3 = t - 1,$$
 (12)

where  $P_{2n-3}(t) = t^{2n-3} + \sum_{i=0}^{2n-4} a_i t^i$ .

To prove Theorem 3.4, it suffices to show that the polynomial  $P_{2n-3}(t)$  is defined uniquely by the property that the point  $p_2$  is a singular point of *C* of type  $A_{2(2n-1)}$ . For this put  $x = z_2/z_3$ ,  $y = z_1/z_3$  and  $x_2 = x$ ,  $y_2 = y/x^2$ . Then the germ of singularity  $(C, 0) \subset (\mathbb{C}^2, o)$ , given by the parametrization (at t = 0):

$$x_2 = \frac{t^2}{t-1}, \quad y_2 = (t-1)P_{2n-3}(t),$$

has singularity type  $A_{2(2n-3)}$  at the point  $o = (0, -a_0)$ .

Consider a sequence of polynomials  $A_k(t) = t^k + \sum_{i=0}^{k-1} a_{i,k} t^i$ ,  $k \in \mathbb{N}$ , where  $A_1(t) = t + 1$  and

$$A_{k+1} = \frac{t^2 A_k(t) - A_k(1)}{t - 1}, \quad k \ge 1.$$
(13)

Now, Theorem 3.4 follows from

**Lemma 3.5** Let a germ of singularity  $(C, 0) \subset (\mathbb{C}^2, o)$  of type  $A_{2k}$ ,  $k \ge 1$ , be given by the parametrization (at t = 0):

$$x = \frac{t^2}{t-1}, \quad y = (t-1)P_k(t),$$

where  $P_k(t) = t^k + \sum_{i=0}^{k-1} a_i t^i$  and  $o = (0, -a_0)$ . Then the polynomial  $P_k(t)$  is defined uniquely and, moreover,  $P_k(t) = A_k(t)$ .

*Proof* If k = 1 then it is easy to see that  $P_1(t) = t + 1$ . Assume that for  $k < k_0$  lemma is true and prove it in the case  $k = k_0$ .

If  $(C, 0) \subset (\mathbb{C}^2, o)$  is a germ of singularity of type  $A_{2k_0}$ , then the polynomial  $(t-1)P_{k_0}(t) + a_0$  is divisible by  $t^2$ . Therefore  $(t-1)P_{k_0}(t) + a_0 = t^2Q_{k_0}(t)$ , where  $Q_{k_0}(t) = t^{k_0-1} + \sum_{i=0}^{k_0-2} b_i t^i$  is a polynomial of degree  $k_0 - 1 \ge 1$  and the singularity given by

$$x_1 = \frac{t^2}{t-1}, \quad y_1 = (t-1)Q_{k_0-1}(t)$$

is of type  $A_{2(k_0-1)}$ . Then, by assumption,  $Q_{k_0-1}(t) = A_{k_0-1}(t)$  and hence

$$(t-1)P_{k_0}(t) + a_0 = t^2 A_{k_0-1}(t).$$

In particular,  $a_0 = A_{k_0-1}(1)$ , that is,

$$P_{k_0}(t) = \frac{t^2 A_{k_0-1}(t) - A_{k_0-1}(1)}{t-1}.$$

### 4 Strictly rigid curves of positive genera

The following theorem states that the strongly rigid family  $V(4; 0; 3A_2)$  [see case (I<sub>22</sub>) in Theorem 2.1] is the first member in an infinite sequence of strictly rigid families.

**Theorem 4.1** For any  $n \ge 2$ , the family  $V(2n, \lfloor n/2 \rfloor - 1, A_n + 2T_{n,2n-1})$  is non-empty and strictly rigid.

*Proof* Let *C* be a plane curve of degree 2n with singularities  $A_n + 2T_{n,2n-1}$ . Choose projective coordinates  $(z_1 : z_2 : z_3)$  so that the singular points of type  $T_{n,2n-1}$  are located at (0:1:0) and (0:0:1) with tangent lines  $z_3 = 0$  and  $z_2 = 0$ , respectively, and the remaining intersection points of *C* with these lines are (1:-1:0) and (1:0:-1),

respectively. Then, in the affine coordinates  $x = z_2/z_1$ ,  $y = z_3/z_1$ , the curve C is given by an equation

$$1 + x + y + \sum_{i=1}^{n} a_i x^i y^i = 0, \qquad a_n \neq 0,$$
(14)

the following lemma completes the proof of theorem

**Lemma 4.2** There exists a unique curve in  $\mathbb{C}^2$ , given by (14) and having a singularity of type  $A_n$  in  $(\mathbb{C}^*)^2$ . It is irreducible and has genus [n/2] - 1.

**Proof** Note that a singularity of type  $T_{n,2n-1}$  is analytically irreducible,  $\delta(T_{n,2n-1}) = (n-1)^2$ , and if a plane curve C' has a singular point of type  $T_{n,2n-1}$  then deg  $C' \ge 2n-1$ . Therefore the curve C in question is irreducible, since if a curve C' of degree 2n-1 has two singular points of type  $T_{n,2n-1}$ , then

$$g(C') \le \frac{(2n-2)(2n-3)}{2} - 2\delta(T_{n,2n-1}) = -(n-1) < 0,$$

a contradiction.

Under assumption that *C* has no other singularities in  $\mathbb{C}^2$ , the genus value follows from the fact that  $\delta(T_{n,2n-1}) = (n-1)^2$  and  $\delta(A_n) = [(n+1)/2]$ .

To find a curve given by (14) and having a singularity of type  $A_n$ , substitute (x, y) for (x/y, y) in (14) and multiply by y:

$$y^{2} + y\left(1 + \sum_{i=1}^{n} a_{i}x^{i}\right) + x = 0.$$

This is a quadratic equation in y with the discriminant

$$\Delta(x) = \left(1 + \sum_{i=1}^{n} a_i x^i\right)^2 - 4x.$$

The existence of a singularity of type  $A_n$  on the considered curve in  $(\mathbb{C}^*)^2$  is equivalent to the condition that  $\Delta(x)$  has a root of multiplicity n + 1. We shall show that this condition has a unique solution  $(a_1, \ldots, a_n)$  such that  $a_n \neq 0$ , and that for this solution the other roots of  $\Delta$  are simple. So, into the relation

$$\Delta(x) = \left(1 + \sum_{i=1}^{n} a_i x^i\right)^2 - 4x \text{ is divisible by } (1 + tx)^{n+1}, \ t \neq 0,$$
(15)

we substitute  $x = z^2$ ,  $t = -\tau^2$ , and then get an equivalent condition

$$\left(1 + \sum_{i=1}^{n} a_i z^{2i} - 2z\right) \left(1 + \sum_{i=1}^{n} a_i z^{2i} + 2z\right)$$
  
is divisible by  $(1 - \tau^2 z^2)^{n+1}, \ \tau \neq 0.$  (16)

Since the difference 4z of the factors in the former product in (16) is not divisible neither by  $1 + \tau z$ , nor by  $1 - \tau z$  for any  $\tau \neq 0$ , we reduce (16) (possibly replacing  $\tau$ by  $-\tau$ ) to the conditions: for k = 1, 2,

$$F_k(z) = 1 + \sum_{i=1}^n a_i z^{2i} + (-1)^k 2z \text{ is divisible by } (1 + (-1)^{k+1} \tau z)^{n+1}, \ \tau \neq 0,$$

which are equivalent to the following combinations:

$$\begin{cases} F_k(z), F'_k(z) & \text{are divisible by } 1 + (-1)^{k+1} \tau z, \\ F''_k(z) & \text{is divisible by } (1 + (-1)^{k+1} \tau z)^{n-1}, \end{cases} \quad \tau \neq 0.$$
(17)

The latter relations in (17) yield that

$$F_1''(z) = F_2''(z) = \sum_{i=1}^n 2i(2i-1)a_i z^{2i-2}$$
is divisible by  $(1 - \tau^2 z^2)^{n-1}$ ,

which results in

$$a_{i} = \frac{(-1)^{i-1}}{i(2i-1)} \binom{i-1}{n-1} a_{1} \tau^{2i-2}, \quad i = 2, \dots, n,$$
(18)

whereas the former relations in (17) lead to the system

$$-2 + \frac{a_1}{\tau} \sum_{i=1}^{n} \frac{2(-1)^i}{2i-1} \binom{i-1}{n-1} = 1 + \frac{2}{\tau} + \frac{a_1}{\tau^2} \sum_{i=1}^{n} \frac{(-1)^{i-1}}{i(2i-1)} \binom{i-1}{n-1} = 0.$$
(19)

Observe that

$$\sum_{i=1}^{n} \frac{2(-1)^{i}}{2i-1} \binom{i-1}{n-1} = -2I_{1}, \qquad I_{1} = \int_{0}^{1} (1-\xi^{2})^{n-1} d\xi$$

where  $I_1 > 0$ , and

$$\sum_{i=1}^{n} \frac{(-1)^{i-1}}{i(2i-1)} \binom{i-1}{n-1} = 2I_2, \qquad I_2 = \int_0^1 \left( \int_0^{\xi} (1-\eta^2)^{n-1} d\eta \right) d\xi,$$

where  $0 < I_2 < I_1$ . Hence system (19) has a unique solution, which in view of (18) implies the uniqueness of the sought curve *C*.

The last step in the proof of Theorem 4.1 is to show that *C* has no other singularity in  $\mathbb{C}^2$ , or, equivalently, that the polynomial  $\Delta(x)$  has no multiple root other than  $1/\tau^2$  if  $(a_1, \ldots, a_n)$  is the solution of equations (18) and (19). Indeed, let  $x = \theta^2, \theta^2 \neq 1/\tau^2$ , be a root of  $\Delta(x)$  of multiplicity  $m \ge 2$ . Then  $z = (-1)^k \theta$  is a root of polynomial  $F_k(z)$ of multiplicity *m*. Consider the curve *C'* given by the equation  $w - 1 - \sum_{i=1}^n a_i z^{2i} = 0$ . The curve *C'* is rational and it has singularity of type  $T_{2n-1,2n}$  at infinity. In the pencil of lines  $\lambda w + \mu z = 0$ , there are at least three lines which intersect *C'* in the number of points less than  $2n = \deg C'$ : by assumption, each of the lines given by w = 2zand w = -2z is tangent to *C'* at least at two points with multiplicities n + 1 and *m*, respectively, and the line given by z = 0 intersects *C'* at its singular point with multiplicity 2n - 1. Let  $\overline{C'} \rightarrow \mathbb{P}^1$  of degree 2n defined by the pencil of lines  $\lambda w + \mu z = 0$ , we get the following inequality:

$$-2 \ge -4n + 2(n + m - 1) + (2n - 2)$$

which breaks down for  $m \ge 2$ .

Consider the Fermat curve  $C_n \subset \mathbb{P}^2$  of degree  $n \geq 3$  given by the equation  $z_1^n + z_2^n + z_3^n = 0$ . By Plücker formulas, the dual curve  $\widehat{C}$  to C has degree n(n-1) and the following type of singularities:

$$\mathbf{S}(\overline{C}_n) = 3nT_{n-1,n} + \mathbf{S}_{F_n},$$

where  $S_{F_n}$  is a sum of singularity types of the simple singularities of the curve dual to the Fermat curve  $C_n$ .

The following theorem will be used in the proof of Theorem 5.3 (see Sect. 5.2).

**Theorem 4.3** Let  $\mathbf{d}_n = (n(n-1), 1, 1, 1)$ ,  $\mathbf{g}_n = ((n-1)(n-2)/2, 0, 0, 0)$ , and  $n_{(1,2)} = n_{(1,3)} = n_{(1,4)} = n$ ,  $n_{(1)} = n_{(2,3)} = n_{(2,4)} = n_{(3,4)} = 1$ . Then the family

$$V_n = V \left( \mathbf{d}_n; \mathbf{g}_n; n_{(1)} \mathbf{S}_{F_n} + \left( n_{(1,2)} + n_{(1,3)} + n_{(1,4)} \right) T_{n-1,n}^{n-1} + \left( n_{(2,3)} + n_{(2,4)} + n_{(3,4)} \right) A_1 \right)$$

is strictly rigid for each  $n \ge 3$ .

*Proof* Consider a curve  $\overline{C} = C_1 \cup C_2 \cup C_3 \cup C_4 \in V_n$  and denote the components  $C_i$  of  $\overline{C}$  as follows:  $C_1 = C$  and  $C_i = L_{i-1}$  for  $i \ge 2$ . The curves  $L_1, L_2, L_3$  are lines and C is a curve of degree n(n-1). The curve C has 3n singular points of the singularity type  $T_{n-1,n}$ , since  $n_{(1,2)} = n_{(1,3)} = n_{(1,4)} = n$ , and the set of all other singularities of C is  $\mathbf{S}_{F_n}$ , that is, the singularity type of C is the same as the singularity type of the dual curve to the Fermat curve of degree n. Therefore, the dual curve  $\widehat{C}$  to C is non-singular and deg  $\widehat{C} = n$ , and to prove theorem, it suffices to show that there are homogeneous coordinates in  $\mathbb{P}^2$  such that in this coordinate system the curve  $\widehat{C}$  is the Fermat curve.

Let  $(z_1 : z_2 : z_3)$  be homogeneous coordinates in  $\widehat{\mathbb{P}}^2$  such that  $p_1 = (1 : 0 : 0)$ ,  $p_2 = (0:1:0)$ , and  $p_3 = (0:0:1)$  are the points in  $\widehat{\mathbb{P}}^2$  dual, respectively, to the lines  $L_1, L_2$ , and  $L_3$ , and let

$$F(z_1, z_2, z_3) = \sum_{i_1+i_2+i_3=n} a_{\bar{i}} z^{\bar{i}}$$

be an equation of the curve  $\hat{C}$ , where  $\bar{i} = (i_1, i_2, i_3)$  and  $z^{\bar{i}} = z_1^{i_1} z_2^{i_2} z_3^{i_3}$ . Without loss of generality, we can assume that  $a_{n,0,0} = a_{0,n,0} = a_{0,0,n} = 1$  since the points  $p_1, p_2$ , and  $p_3$  do not belong to the curve  $\hat{C}$ .

The curve  $\widehat{C}$  has 3n flex points given in local coordinates by the equation  $y = x^n$  and for i = 1, 2, 3 there are *n* lines  $L_{i,1}, \ldots, L_{i,n}$  from the pencil of lines passing through  $p_i$  such that they are tangent to  $\widehat{C}$  at its flex points.

Let  $q_j = L_{1,j} \cap \widehat{C} = (q_{1,j} : q_{2,j} : q_{3,j}), 1 \le j \le n$ , and let us rewrite the equation of the curve  $\widehat{C}$  in the form

$$F(z_1, z_2, z_3) = z_1^n + \sum_{i=1}^n \binom{n}{i} H_i(z_2, z_3) z_1^{n-i},$$
(20)

where  $H_i(z_2, z_3)$  are homogeneous polynomials in  $z_2, z_3$  of degree *i*. Then for i = 1, ..., n - 1 the homogeneous polynomial  $H_1^i(z_2, z_3) - H_i(z_2, z_3)$  of degree *i* has n > i different roots, namely,  $(q_{2,1}:q_{3,1}), ..., (q_{2,n}:q_{3,n})$ . Therefore  $H_i(z_2, z_3) = H_1^i(z_2, z_3)$  and hence the polynomial  $F(z_1, z_2, z_3)$  has the form

$$F(z_1, z_2, z_3) = (z_1 + H_1(z_2, z_3))^n + H_n(z_2, z_3),$$
(21)

where  $\widetilde{H}_n(z_2, z_3)$  is a homogeneous polynomial of degree *n*,

$$\widetilde{H}_n(z_2, z_3) = \alpha \prod_{j=1}^n (q_{3,j} z_2 - q_{2,j} z_3)$$

with some  $\alpha \in \mathbb{C}$ .

By the same arguments, we have

$$F(z_1, z_2, z_3) = (z_2 + G_1(z_1, z_3))^n + \widetilde{G}_n(z_1, z_3)$$
(22)

and

$$F(z_1, z_2, z_3) = (z_3 + P_1(z_1, z_2))^n + P_n(z_1, z_2),$$
(23)

where  $G_1(z_1, z_3)$ ,  $P_1(z_1, z_2)$  and  $\tilde{G}_n(z_1, z_3)$ ,  $\tilde{P}_n(z_1, z_2)$  are homogeneous polynomials of degree one and *n* respectively.

It follows from (22) that  $H_n(z_2, z_3) = (z_2 + G_1(0, z_3))^n + G_n(0, z_3) - H_1^n(z_2, z_3)$ . Therefore

$$F(z_1, z_2, z_3) = (z_1 + az_2 + bz_3)^n - (az_2 + bz_3)^n + (z_2 + cz_3)^n + (1 - c^n)z_3^n$$
(24)

for some  $a, b, c \in \mathbb{C}$  (remind that, by assumption,  $a_{n,0,0} = a_{0,n,0} = a_{0,0,n} = 1$ ).

It follows from (22) and (24) that for  $1 \le k \le n - 1$ ,

$$G_1^k(z_1, z_3) = a^{n-k} \left( (z_1 + bz_3)^k - b^k z_3^k \right) + c^k z_3^k.$$
<sup>(25)</sup>

In particular,  $G_1(z_1, z_3) = a^{n-1}z_1 + cz_3$  and hence

$$(a^{n-1}z_1 + cz_3)^k = a^{n-k} ((z_1 + bz_3)^k - b^k z_3^k) + c^k z_3^k.$$
<sup>(26)</sup>

It follows from (26) that

$$a^{j(n-1)}c^{k-j} = a^{n-k}b^{k-j}$$
(27)

for  $1 \le k \le n-1$  and  $1 \le j \le k$ . In particular, if we put j = k in (27), then we obtain that  $a^n = 1$  if  $a \ne 0$ .

Similarly, it follows from (23) and (24) that for  $1 \le k \le n - 1$ ,

$$P_1^k(z_1, z_2) = b^{n-k} \left( (z_1 + a z_2)^k - a^k z_2^k \right) + c^{n-k} z_2^k.$$
(28)

In particular,  $P_1(z_1, z_3) = b^{n-1}z_1 + cz_2$  and hence

$$(b^{n-1}z_1 + cz_2)^k = b^{n-k} ((z_1 + az_2)^k - a^k z_2^k) + c^{n-k} z_2^k.$$
 (29)

It follows from (29) that

$$b^{j(n-1)}c^{k-j} = b^{n-k}a^{k-j}, \quad c^k = c^{n-k},$$
(30)

for  $1 \le k \le n-1$  and  $1 \le j \le k$ . In particular, if  $c \ne 0$  then we obtain that  $c^2 = c^n = 1$ , that is,  $c = \pm 1$  and *n* is an even number if c = -1. If we put j = k in (30), then we obtain that  $b^n = 1$  if  $b \ne 0$ .

Let us show that the case when  $abc \neq 0$  is impossible. Indeed, if  $abc \neq 0$ , then  $a^n = b^n = 1$ ,  $c = \pm 1$ , and c = -1 only if *n* is even. If we apply again (27) and (30) we obtain that  $c^{k-j} = (a/b)^{k-j} = (b/a)^{k-j}$  for  $1 \le k \le n-1$  and  $1 \le j \le k$ . Therefore, c = a/b = b/a and hence

$$F(z_1, z_2, z_3) = (z_1 + az_2 + acz_3)^n - (az_2 + acz_3)^n + (z_2 + cz_3)^n$$
  
=  $(z_1 + az_2 + acz_3)^n$ ,

since  $a^n = c^n = 1$ . This contradicts the assumption that C is an irreducible reduced curve.

It easily follows from (27) and (30) that the case, when the only one number either a or b, or c is equal to zero, is also impossible.

In the case when a = b = 0 and  $c \neq 0$  we have  $F(z_1, z_2, z_3) = z_1^n + (z_2 \pm z_3)^n$ . But, it is impossible since C is an irreducible curve.

The cases a = c = 0,  $b \neq 0$  and b = c = 0,  $a \neq 0$  are also impossible since in these cases we have, respectively, that  $F(z_1, z_2, z_3) = (z_1 + bz_3)^n + z_2^n$  since  $b^n = 1$  or  $F(z_1, z_2, z_3) = (z_1 + az_2)^n + z_3^n$  since  $a^n = 1$ . As a result, we obtain that a = b = c = 0, that is  $F(z_1, z_2, z_3) = z_1^n + z_2^n + z_3^n$ .

### 5 *k*-rigid curves with $k \ge 2$

### 5.1 2-rigid irreducible families of equisingular plane curves of degree $\geq 5$

An infinite series of irreducible 2-rigid families is given in the following two theorems.

**Theorem 5.1** *The families*  $V(2n+1; 0; T_{n+1,2n+1}+T_{n,2n+1})$  are 2-rigid and irreducible for  $n \ge 2$ .

Proof Let  $C \in V(2n+1; 0; T_{n+1,2n+1}+T_{n,2n+1})$  and let  $f: \mathbb{P}^1 \to \mathbb{P}^2$  be a morphism such that  $C = f(\mathbb{P}^1)$ . We can choose non-homogeneous coordinate t in  $\mathbb{P}^1$  and homogeneous coordinates  $(z_1: z_2: z_3)$  in  $\mathbb{P}^2$  such that  $f(t = 0) = p_1 = (0:0:1)$ is the singular point of C of type  $T_{n+1,2n+1}$ , the line  $z_1 = 0$  is the tangent line to Cat  $p_1$ ,  $f(t = \infty) = p_2 = (1:0:0)$  is the singular point of C of type  $T_{n,2n+1}$ , the line  $L_3$  given by  $z_3 = 0$  is the tangent line to C at  $p_2$ . Then (maybe, after change of coordinates in  $\mathbb{P}^2$ ) the morphism f is given by

$$z_1 = t^{2n+1}, \quad z_2 = t^{n+1}, \quad z_3 = at+1$$
 (31)

for some  $a \in \mathbb{C}$ . Let  $C_a$  have parametrization (31). The intersection number of  $C_a$  and  $L_3$  at the point  $p_2$  is

$$(C_0, L_3)_{p_2} = \begin{cases} 2n+1 & \text{if } a = 0, \\ 2n & \text{if } a \neq 0. \end{cases}$$

Hence there is no projective transformation  $h \in \operatorname{Aut}(\mathbb{P}^2)$  such that  $h(C_0) = C_1$ . On the other hand, if  $a \neq 0$ , we make change  $t_1 = at$ , and then the projective transformation  $h((z_1:z_2:z_3)) = (a^{2n+1}z_1:a^{n+1}z_2:z_3)$  sends  $C_1$  to  $C_a$ .

**Theorem 5.2** The families  $V(4n; 0; T_{2n-1,4n} + T_{2n+1,4n})$  are 2-rigid and irreducible for  $n \ge 3$ .

Proof Let  $C \in V(4n; 0; T_{2n-1,4n} + T_{2n+1,4n})$  and let  $f: \mathbb{P}^1 \to \mathbb{P}^2$  be a morphism such that  $C = f(\mathbb{P}^1)$ . As in the proof of Theorem 5.1, it is easy to show that we can choose non-homogeneous coordinate t in  $\mathbb{P}^1$  and homogeneous coordinates  $(z_1:z_2:z_3)$ in  $\mathbb{P}^2$  such that  $f(t = 0) = p_1 = (0:0:1)$  is the singular point of C of type  $T_{2n-1,4n}$ , the line  $z_1 = 0$  is the tangent line to C at  $p_1$ ,  $f(t = \infty) = p_2 = (1:0:0)$  is the singular point of C of type  $T_{2n+1,4n}$ , and the line  $z_3 = 0$  is the tangent line to C at  $p_2$ . Then (maybe, after change of the coordinates in  $\mathbb{P}^2$  and  $\mathbb{P}^1$ ) the morphism f is given by

$$z_1 = (t^2 - a^2)t^{4n-2}, \quad z_2 = t^{2n-1}, \quad z_3 = 1$$
 (32)

for some  $a \in \mathbb{C}$ . Let  $C_a$  has parametrization (32). The intersection number of  $C_a$  and  $L_1$  at the point  $p_1$  is

$$(C_0, L_1)_{p_1} = \begin{cases} 4n & \text{if } a = 0, \\ 4n - 2 & \text{if } a \neq 0. \end{cases}$$

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Hence there is no projective transformation  $h \in \operatorname{Aut}(\mathbb{P}^2)$  such that  $h(C_0) = C_1$ . On the other hand, if  $a \neq 0$ , we make change  $t_1 = a^{-1}t$ , and then the projective transformation  $h((z_1:z_2:z_3)) = (a^{-4n}z_1:a^{1-2n}z_2:z_3)$  sends  $C_a$  to  $C_1$ .

#### 5.2 k-rigid families parameterizing curves with k connected components

**Theorem 5.3** For each  $k \in \mathbb{N}$  there is a k-rigid family of equisingular plane curves consisting of k irreducible components.

*Proof* Let n = 2k + 1 and let  $n_{(1,2)} = n - 2$ ,  $n_{(1,3)} = n_{(1,4)} = n$ , and  $n_{(1)} = n_{(2,4)} = n_{(3,4)} = n_{(1,2,5)} = n_{(1,2,6)} = n_{(3,4,5,6)} = 1$ . Consider the family  $\overline{V}_n = V(\overline{\mathbf{d}}_n; \overline{\mathbf{g}}_n; \overline{\mathbf{S}}_n)$ , where

$$\overline{\mathbf{d}}_n = (n(n-1), 1, 1, 1, 1, 1), \quad \overline{\mathbf{g}}_n = \left(\frac{(n-1)(n-2)}{2}, 0, 0, 0, 0, 0\right),$$

and

$$\overline{\mathbf{S}}_{n} = (n_{(1,2)} + n_{(1,3)} + n_{(1,4)}) T_{n-1,n}^{n-1} + (n_{(1,2,5)} + n_{(1,2,6)}) T_{n-1,n}^{n} + n_{(1)} \mathbf{S}_{F_{n-1,n}} + n_{(1,4)} \mathbf{S}_{F_{n$$

where  $S_{F_n}$  is a sum of singularity types of the simple singularities of the curve dual to the Fermat curve given by the equation  $z_1^n + z_2^n + z_3^n = 0$ .

Consider a curve  $\tilde{C} = \bigcup_{i=1}^{6} C_i \in \overline{V}_n$ . Denote the curve  $C_1$  by C and the curve  $C_i$  by  $L_{i-1}$  for  $i \ge 2$ . The curves  $L_1, \ldots, L_5$  are lines and C is a curve of degree n(n-1) and it is easy to see that  $\overline{C} = C \cup L_1 \cup L_2 \cup L_3 \in V_n$ , where  $V_n$  is the family of plane curves from Theorem 4.3. By Theorem 4.3, we can assume that C is the curve dual to the Fermat curve of degree n and  $L_i$  is given by the equation  $z_i = 0$  for i = 1, 2, 3. Then it follows from the singularity type of the curve  $\widetilde{C}$  that  $L_4$  and  $L_5$  have, respectively, equations  $z_2 + \varepsilon^{m_1} z_3 = 0$  and  $z_2 + \varepsilon^{m_2} z_3 = 0$ , where  $m_1 \not\equiv m_2 \pmod{n}$  and  $\varepsilon$  is a primitive root of the equation  $x^n + 1 = 0$ .

Consider two curves  $\widetilde{C}_i = \overline{C} \cup L_{4,i} \cup L_{5,i} \in \overline{V}_n$ , i = 1, 2, where  $L_{4,i}$  is given by the equation  $z_2 + \varepsilon^{m_{1,i}} z_3 = 0$  and  $L_{5,i}$  is given by the equation  $z_2 + \varepsilon^{m_{2,i}} z_3 = 0$ . It is easy to see that a projective transformation  $h \in \operatorname{Aut}(\mathbb{P}^2)$  such that  $\widetilde{C}_2 = h(\widetilde{C}_1)$  exists if and only if  $m_{1,1} - m_{1,2} \equiv \pm (m_{2,1} - m_{22}) \pmod{n}$ . Hence  $\overline{V}_n$  is a (n-1)/2-rigid family of plane curves consisting of (n-1)/2 = k irreducible components.

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