



High-entropy alumino-silicides: a novel class of high-entropy ceramics

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ABSTRACT High-entropy ceramics (HECs) are gaining significant interest due to their huge composition space, unique microstructure, and adjustable properties. Previously reported studies focus mainly on HECs with the multi-cationic structure, while HECs with more than one anion are rarely studied. Herein we reported a new class of HECs, namely high-entropy alumino-silicides ($\text{Mo}_{0.25}\text{Nb}_{0.25}\text{Ta}_{0.25}\text{V}_{0.25}$)($\text{Al}_{0.5}\text{Si}_{0.5}$)₂ (HEAS-1) with multi-cationic and -anionic structure. The formation possibility of HEAS-1 was first theoretically analyzed from the aspects of thermodynamics and lattice size difference based on the first-principles calculations and then the HEAS-1 were successfully synthesized by the solid-state reaction at 1573 K. The as-synthesized HEAS-1 exhibited good single-crystal hexagonal structure of metal alumino-silicides and simultaneously possessed high compositional uniformity. This study not only enriches the categories of HECs but also will open up a new research field on HECs with multi-cationic and -anionic structure.

Keywords: high-entropy ceramics, alumino-silicides, first-principles calculations, solid solutions

INTRODUCTION

Since their discovery in 2004, high-entropy alloys (HEAs) have attracted significant research interest for their huge composition space, unique microstructure, adjustable properties, and various potential applications [1,2]. Generally, in a HEA, four or more principal elements can be mixed in near-equiatomic ratios or at least with each element being between 5 at% and 35 at% to generate a maximum molar configurational entropy of $\Delta S_{\text{mix}} = R \ln N$, where N is the number of components and R is the gas constant [1–3]. As a result, HEAs exhibit superior strength and ductility [4], outstanding irradiation resistance [5], good corrosion resistance [6], high fracture

toughness [7], interesting creep characteristics [8] and plastic behavior [9]. Inspired by HEAs concept, the concept of high-entropy ceramics (HECs), namely multicomponent ionic compounds, was first proposed in the multicomponent metal oxides in 2015 [10] and then it has been gaining significant interest in recent years. Up to now, extensive efforts have been devoted to exploring the diverse HECs, including metal oxides [10,11], carbides [12–16], diborides [17–19], and silicides [20,21], with wide-ranging applications in the structural and functional fields. These HECs show many superior mechanical, physical and chemical performances, such as high hardness and modulus, low thermal conductivity, good thermodynamics stability and corrosion resistance, superior electrochemical and physicochemical properties [10–14,16,17,22,23]. Unlike HEAs, whose structures consist of a single lattice occupied by metal elements [1,2], the structures of HECs involve a cationic sublattice and an anionic sublattice occupied by metal elements and non-metallic element, respectively. Consequently, the disorder of the cationic and anionic sites for HECs can contribute to a maximum molar configurational entropy of $\Delta S_{\text{mix}} = \Delta S_{\text{cation}} + \Delta S_{\text{anion}}$, where ΔS_{cation} and ΔS_{anion} are the entropy of cationic and anionic site contribution, respectively. As far as we are concerned, the previously reported studies focus mainly on HECs with the multi-cationic structure, while so far there are very few reports on HECs with more than one anion. In other words, only the cationic site contributes to ΔS_{mix} for the reported HECs since the contribution from the anionic site is zero. It is worth mentioning that a higher ΔS_{mix} is closely related to much more superior mechanical, physical and chemical properties, especially thermodynamics stability. Therefore, the exploration of new HECs with higher ΔS_{mix} , namely with multi-cationic and -anionic structure, is of great interest

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for the scientific community.

In this work, a new class of HECs, namely high-entropy alumino-silicides $(\text{Mo}_{0.25}\text{Nb}_{0.25}\text{Ta}_{0.25}\text{V}_{0.25})(\text{Al}_{0.5}\text{Si}_{0.5})_2$ (HEAS-1) with multi-cationic and -anionic structure, was reported for the first time. The formation possibility of HEAS-1 was first analyzed from lattice size difference and thermodynamics based on the first-principles calculations and then the HEAS-1 was successfully synthesized by solid-state reaction at 1573 K. In addition, the phase compositions, microstructure, and compositional uniformity of the as-synthesized HEAS-1 were investigated in detail.

THEORETICAL AND EXPERIMENTAL METHOD

The first-principles calculations

The Vienna *ab-Initio* Simulation Package (VASP) [24,25] was used to perform first-principles calculations based on density functional theory (DFT) with projected-augmented-waves pseudopotential method within generalized-gradient approximation [26,27]. The most stable crystal structures of the starting materials including Mo, Nb, Ta, V, Si, Al from the Materials Project Database [28] were used as input for full optimization with DFT and the corresponding space groups are listed in Table 1. For the individual metal disilicides, such as MoSi_2 , NbSi_2 , TaSi_2 , and VSi_2 , they all had the hexagonal $C40$ crystal structure with the space group of $P6_222$ from the Materials Project Database, but only NbSi_2 and TaSi_2 crystal structures with this space group were the most stable [28]. Meanwhile, Gild *et al.* [20] reported that a high-entropy silicide, namely $(\text{Mo}_{0.2}\text{Nb}_{0.2}\text{Ta}_{0.2}\text{Ti}_{0.2}\text{W}_{0.2})\text{Si}_2$, possessed the aforementioned hexagonal $C40$ crystal structure. In this case, we assumed that the individual metal disilicides, alumino-silicides and high-entropy alumino-silicides all possessed the similar crystal structures with the space group of $P6_222$, as shown in Fig. 1. Here Fig. 1a and b are two alternative views of the hexagonal unit cell showing the ABC stacking sequences. The red balls represent the atoms at the cationic sublattice (Mo, Nb, Ta, or V) and

the blue balls stand for the atoms at the anionic sublattice (Al or Si). To mimic the complete chemical disorder at both cationic and anionic sublattice for individual metal alumino-silicides and HEAS-1, the special quasi-random structure (SQS) approach [29] was adopted to construct the structures. To be specific, the Alloy Theoretic Automated Toolkit code [30] was utilized to generate the SQS with 36 atoms in which 12 atoms resided at the cation positions and the other 24 atoms occupied the anion positions. For the DFT calculations of energies and lattice parameters in this work, the energy cutoff was 600 eV and the k -mesh grid was $2\pi \times 1/60 \text{ \AA}^{-1}$. Meanwhile, the electronic energy convergence criterion was 10^{-6} eV and all structures were relaxed until forces acting on each atom became smaller than 0.01 eV \AA^{-1} .

Synthesis of HEAS-1

The commercially available Mo, Nb, Ta, V, Si, and Al powders (99.9% purity, average particle size: 1–3 μm , Shanghai ChaoWei Nanotechnology Co. Ltd., China) were used as starting materials to synthesize HEAS-1. The starting powders were mixed with a ratio of 8.33 mol% Mo, 8.33 mol% Nb, 8.33 mol% Ta, 8.33 mol% V, 33.33 mol% Si, and 33.33 mol% Al, and then milled by hand for 1 h in an agate mortar. Afterwards, they were put into an alumina crucible with an alumina lid and the whole assembly was directly placed inside a horizontal alumina tube furnace. Finally, they were heated from room temperature to 1573 K at a rate of 10 K min^{-1} , held for 30 min, and followed by furnace cooling down naturally to room temperature to obtain HEAS-1 powders. The whole heating and cooling process was conducted in flowing Argon gas (99.99%, purity) with a flowing rate of 200 sccm.

Characterization

The phase composition of the as-synthesized powders was first analyzed by X-ray diffraction (XRD) using $\text{Cu K}\alpha$ radiation (0.15418 nm) (X'pert PRO; PANalytical, Netherlands) operated under a voltage of 40 kV and a current of 40 mA. The equivalent counting time for a

Table 1 Calculated equilibrium lattice parameters, space group and DFT energies of the ground-state crystal structures of different elementary substances

Systems	Mo	Nb	Ta	V	Si	Al
Lattice parameters a (\AA)	3.163	3.308	3.319	2.997	5.463	4.039
Space group	$Im\bar{3}m$	$Im\bar{3}m$	$Im\bar{3}m$	$Im\bar{3}m$	$Fd\bar{3}m$	$Fm\bar{3}m$
Energies E (eV atom $^{-1}$)	-10.935	-10.216	-11.814	-8.992	-5.424	-3.747

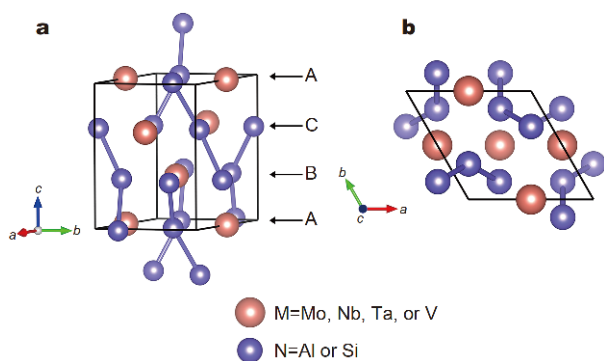


Figure 1 A simple schematic illustration of the atomic structure that does not take the lattice distortion into account of HEAS-1. (a) and (b) are two alternative views of the hexagonal unit cell showing the ABC stacking sequences.

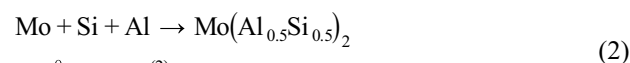
conventional point detector would be 30 s per point at 0.01° 2θ increments. The morphology, microstructure and compositional uniformity of the as-synthesized products were then characterized by scanning electron microscope (SEM, Supra-55; Zeiss, Germany) and transmission electron microscope (TEM, Tecnai F30G2; FEI, Netherlands) equipped with energy dispersive spectroscopy (EDS). In particular, for TEM characterizations, 2 g powders were dissolved into ethanol, exposed to ultrasound for 10 min and then the clear liquid solution on the surface was dropped on the copper screen with carbon film by pipette.

RESULTS AND DISCUSSION

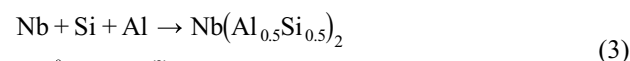
In order to analyze the synthesis possibility of HEAS-1, the lattice size difference, δ , an empirical parameter to predict the formation possibility of high-entropy materials [14,16], should be first analyzed. The δ of HEAS-1 can be calculated by the following equation:

$$\delta = \sqrt{\sum_{i=1}^n \frac{n_i}{2} \left[\left(1 - \frac{a_i}{\bar{a}}\right)^2 + \left(1 - \frac{c_i}{\bar{c}}\right)^2 \right]}, \quad (1)$$

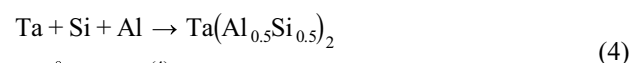
where n is the metal aluminosilicides component species in the HEAS-1, n_i is the molar fraction of the i th individual metal aluminosilicides of HEAS-1, a_i and c_i are the corresponding lattice parameters, and $\bar{a} = \sum_{i=1}^n n_i a_i$ and $\bar{c} = \sum_{i=1}^n n_i c_i$ are the averaged lattice parameters. In general, the smaller δ suggests the smaller lattice distortion and finally results in the higher formation possibility of the system. In this case, the calculated δ of HEAS-1 by using the lattice parameters in Table 2 is 1.893%, which is smaller than that of the reported high-entropy $(\text{Zr}_{0.25}\text{Ta}_{0.25}\text{Nb}_{0.25}\text{Ti}_{0.25})\text{C}$ carbides (2.959%) [16] and $(\text{Hf}_{0.2}\text{Zr}_{0.2}\text{Ta}_{0.2}\text{Nb}_{0.2}\text{Ti}_{0.2})\text{B}_2$ diborides (2.648%) [19]. This result suggests that HEAS-1 can be synthesized from the aspect of lattice size difference. What's more, the thermodynamics of the possible chemical reactions during HEAS-1 synthesis process should be analyzed in detail. In the current work, the starting materials are composed of Mo, Nb, Ta, V, Si, and Al powders. As a result, the relationship between the standard Gibbs free energy ($\Delta G_{R,T}^\theta$) of the possible chemical reactions and temperature (T) can be described as follows:



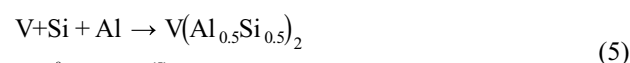
$$\Delta G_{R,T}^\theta = \Delta G_{\text{mix}}^{(2)},$$



$$\Delta G_{R,T}^\theta = \Delta G_{\text{mix}}^{(3)},$$



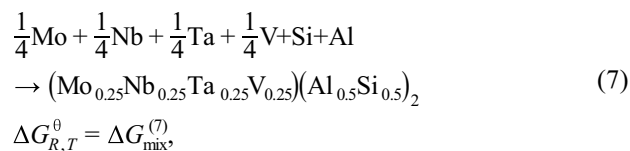
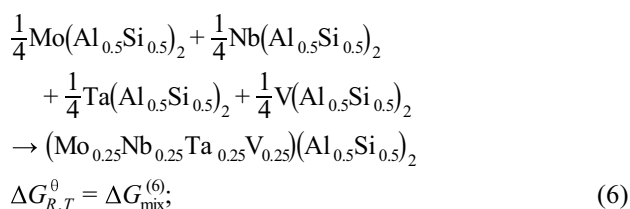
$$\Delta G_{R,T}^\theta = \Delta G_{\text{mix}}^{(4)},$$



$$\Delta G_{R,T}^\theta = \Delta G_{\text{mix}}^{(5)},$$

Table 2 Calculated equilibrium lattice parameters, DFT energies, mixing enthalpy, mixing entropy, and the lattice size difference of the generated HEAS-1 and four individual metal aluminosilicides at 0 K and 0 Pa by chemical reaction (n)

System	HEAS-1	$\text{Mo}(\text{Al}_{0.5}\text{Si}_{0.5})_2$	$\text{Nb}(\text{Al}_{0.5}\text{Si}_{0.5})_2$	$\text{Ta}(\text{Al}_{0.5}\text{Si}_{0.5})_2$	$\text{V}(\text{Al}_{0.5}\text{Si}_{0.5})_2$
Lattice parameter a (Å)	4.811	4.711	4.926	4.919	4.692
Lattice parameter c (Å)	6.703	6.749	6.770	6.748	6.545
Energies E (eV atom ⁻¹)	-6.888	-7.082	-6.848	-7.321	-6.368
Mixing enthalpy $\Delta H_{\text{mix}}^{(n)}$ (kJ mol ⁻¹)	1.616 ($n=6$) -32.285 ($n=7$)	-36.664 ($n=2$)	-37.221 ($n=3$)	-31.454 ($n=4$)	-30.264 ($n=5$)
Mixing entropy $\Delta S_{\text{mix}}^{(n)}$	0.462R ($n=6$) 0.924R ($n=7$)	0.462R ($n=2$)	0.462R ($n=3$)	0.462R ($n=4$)	0.462R ($n=5$)
Lattice size difference δ (%)	1.893	N/A	N/A	N/A	N/A



where $\Delta G_{\text{mix}}^{(n)}$ is the mixing Gibbs free energy of the chemical reaction (n) and can be calculated by the following equation:

$$\Delta G_{\text{mix}}^{(n)} = \Delta H_{\text{mix}}^{(n)} - T\Delta S_{\text{mix}}^{(n)}, \quad (8)$$

where $\Delta H_{\text{mix}}^{(n)}$ and $\Delta S_{\text{mix}}^{(n)}$ are the calculated mixing enthalpy and mixing entropy of the generated HEAS-1 and four individual metal aluminosilicides by chemical reaction (n), respectively. $\Delta H_{\text{mix}}^{(n)}$ can be approximated by its value at 0 K ($\Delta H_{\text{mix}}^{0\text{K}(n)}$) which can be calculated by the following equation based on the DFT energies:

$$\Delta H_{\text{mix}}^{0\text{K}(n)} = E_{\text{pro}} - E_{\text{pre}}, \quad (9)$$

where E_{pro} represents the ground-state DFT energies of the products, and E_{pre} stands for the averaged ground-state DFT energies of the reactants. The equilibrium lattice parameters and DFT energies of the elementary substances and metal aluminosilicides including HEAS-1 are listed in Tables 1 and 2, respectively. By using the corresponding DFT energies, the $\Delta H_{\text{mix}}^{0\text{K}(n)}$ ($n=2, 3, 4, 5, 6, 7$) can be calculated and the results are listed in Table 2. In particular, the $\Delta H_{\text{mix}}^{0\text{K}(n)}$ of Reaction (6) is larger than zero, suggesting that HEAS-1 is thermodynamically unstable with respect to the four individual metal aluminosilicides at 0 K and 0 Pa. However, the effect of $\Delta S_{\text{mix}}^{(n)}$ on the thermodynamic stability of the system should also be considered. The calculated $\Delta S_{\text{mix}}^{(n)}$ of the generated HEAS-1 and four individual metal aluminosilicides by chemical reaction (n) can be expressed as:

$$\Delta S_{\text{mix}}^{(n)} = \Delta S_{\text{mix}}^{\text{pro}} - \Delta S_{\text{mix}}^{\text{pre}}, \quad (10)$$

where $\Delta S_{\text{mix}}^{\text{pro}}$ is the mixing entropy of the products and $\Delta S_{\text{mix}}^{\text{pre}}$ is the averaged mixing entropy of the reactants. In addition, the mixing entropy ΔS_{mix} for a compound can be expressed as follows [14]:

$$\begin{aligned} \Delta S_{\text{mix}} & = -R \left\{ \frac{X}{X+Y} \sum_{i=1}^{N_h} x_i^h \ln(x_i^h) \right. \\ & \quad \left. + \frac{Y}{X+Y} \sum_{i=1}^{N_k} x_i^k \ln(x_i^k) \right\}, \end{aligned} \quad (11)$$

where R is the gas constant, X and Y represent the number of sites in the sublattice h and k , respectively, N_h and N_k are the elements species in the sublattice h and k , respectively, and x_i^h and x_i^k are the molar fractions of the constituent i in the sublattice h and k , respectively. As a result, the calculated $\Delta S_{\text{mix}}^{(n)}$ values of the generated HEAS-1 and four individual metal aluminosilicides by Reaction (n) are listed in Table 2. In this case, the thermodynamics analysis results of the possible Reactions (2)–(7) are displayed in Fig. 2a, from which it can be clearly observed that all the reactions can proceed simultaneously above 1000 K because the $\Delta G_{R,T}^{\theta}$ of all the reactions are less than zero. However, the driving force of Reaction (6) is the smallest among all the reactions, which indicates that it is the most difficult one to occur when competing with

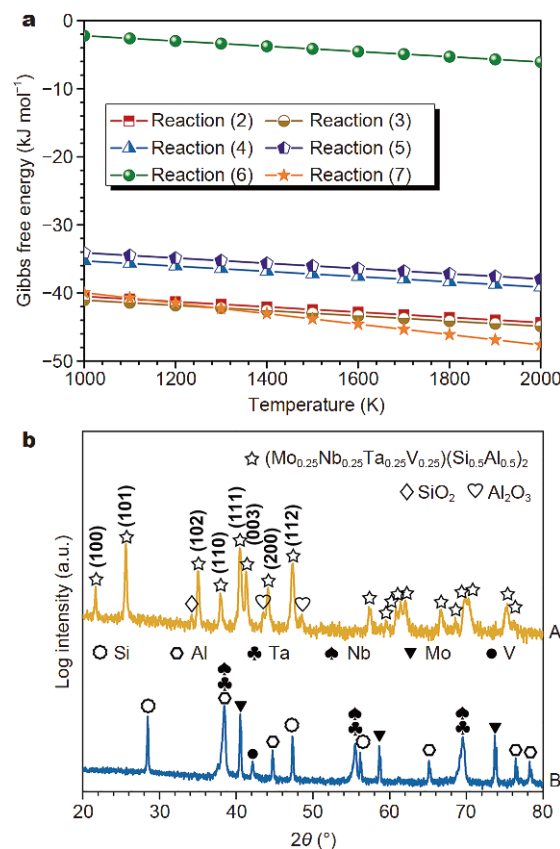


Figure 2 (a) Thermodynamics analysis of the possible chemical reactions during HEAS-1 synthesis process; (b) XRD patterns: A is for the as-synthesized product and B is for the mixture of the starting materials.

other reactions. In addition, it should be noted that the $\Delta G_{R,T}^{\theta}$ of all the reactions show a decrease trend with the increase of the temperature. When the temperature is above 1300 K, Reaction (7) is the most prone to occurring due to its largest driving force among all the reactions. In this case, the synthesis of HEAS-1 through Reaction (7) is very probable from the aspect of thermodynamics. Therefore, in combination of lattice size difference and thermodynamics analysis, the fabrication of HEAS-1 is very probable and worthy to being tried in experiments.

Encouraged by the above comprehensive theoretical analyses, the aforementioned experiment was further performed to attempt to fabricate HEAS-1. Fig. 2b shows the XRD patterns of the mixture of the starting materials (B) and the as-synthesized products (A) on a logarithmic scale. It can be found that a single hexagonal phase of metal aluminosilicides can be clearly seen except for the existence of a small amount of SiO_2 and Al_2O_3 phases. This suggests that HEAS-1 are successfully synthesized at 1573 K.

To further investigate the morphology, microstructure and compositional uniformity of the as-synthesized HEAS-1, the SEM and TEM characterizations were carried out. Fig. 3a is the typical SEM image of the as-synthesized HEAS-1. It can be obviously observed that the as-synthesized HEAS-1 powders involve numerous irregular particles with the particle sizes of 0.5–3 μm . Fig. 3b, c shows the representative bright-field TEM image and the corresponding selected area electron diffraction (SAED) pattern along zone axis [100] of the as-synthesized HEAS-1, respectively. It can be clearly found that the as-synthesized HEAS-1 exhibit the single-crystal hexagonal structure of metal aluminosilicides because the diffraction spots are arranged in a good symmetry. Fig. 3d is the high-resolution TEM (HRTEM) image, from which we can observe a periodic structure with two sets of fringes with the d -space of about 0.432 and 0.342 nm corresponding to {100} and {101} planes of $(\text{Mo}_{0.25}\text{Nb}_{0.25}\text{Ta}_{0.25}\text{V}_{0.25})(\text{Al}_{0.5}\text{Si}_{0.5})_2$ phase, respectively. These are in good agreement with the results (0.417 and 0.354 nm) from first-principles calculations. Moreover, it should be noted that there is an amorphous layer of 2–4 nm on the particle surface, which may be due to the presence of amorphous SiO_2 . To analyze the element compositions of the as-synthesized HEAS-1, the atomic percentages (at%) of different elements were summarized as follows: Mo (9.35), Nb (10.91), Ta (10.16), V (9.93), Si (29.4), Al (25.69), O (4.56). Clearly, the atomic percentages of Mo, Nb, Ta and V elements in the cationic site are nearly the same and simultaneously the atomic ratio

of the cation elements (Mo, Nb, Ta and V) to the anion elements (Al and Si) is around 1:2. Furthermore, the atomic percentage of Si element is much higher than that of Al element, which results from the presence of the higher fraction of Al_2O_3 than that of SiO_2 in the as-synthesized HEAS-1 (Fig. 2b). Meanwhile, the presence of oxygen element may come from Al_2O_3 and SiO_2 in the as-synthesized HEAS-1. To investigate the composition uniformity of the as-synthesized HEAS-1, the scanning TEM (STEM)-EDS analysis was further carried out at a collection time of 230 s and an acceleration voltage of 200 kV and the results are displayed in Fig. 3e. The distribution of all elements, including Mo, Nb, Ta, V, Si and Al, is very uniform without evident localization or segregation. In combination with the results from XRD, SEM, and TEM analyses, the HEAS-1 have been successfully synthesized at 1573 K.

CONCLUSION

In conclusion, a new class of HECs, namely HEAS-1 with multi-cationic and -anionic structure, was reported for the first time. We first theoretically demonstrated the

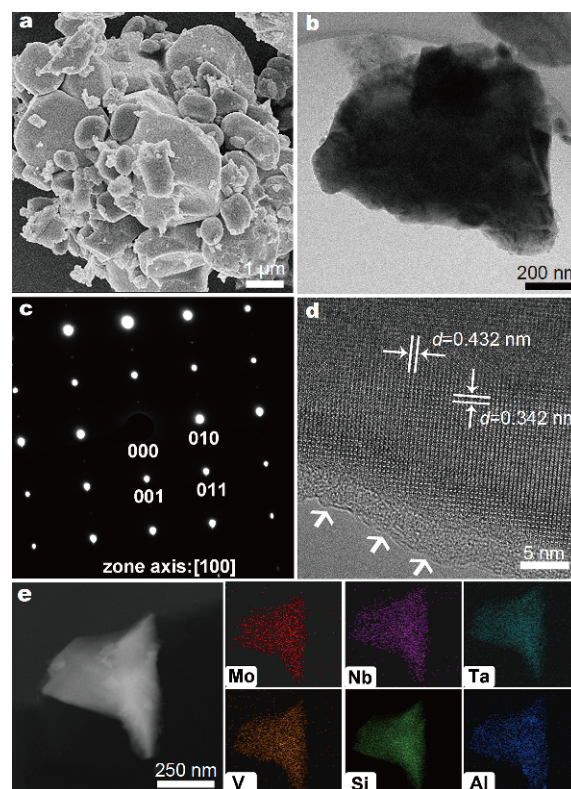


Figure 3 SEM and TEM analysis of the as-synthesized HEAS-1. (a) SEM image; (b) TEM image; (c) SAED pattern; (d) HRTEM image; (e) STEM image and corresponding EDS compositional maps.

formation possibility of HEAS-1 by analyzing lattice size difference and chemical reaction thermodynamics based on first-principles calculations and then successfully fabricated HEAS-1 by solid-state reaction at 1573 K for the first time. The as-synthesized HEAS-1 exhibited the single-crystal hexagonal C40 crystal structure with the space group of $P6_22$. More importantly, the distribution of all elements including the cation elements (Mo, Nb, Ta and V) and the anion elements (Al and Si) is highly uniform without evident localization or segregation in the as-synthesized HEAS-1.

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高熵硅铝化物: 一类新型的高熵陶瓷材料

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摘要 高熵陶瓷材料因具有巨大的组分空间、独特的微观结构以及可调控的性能而引起国内外研究者的广泛关注. 目前, 高熵陶瓷材料的研究主要集中在具有多主元阳离子结构的高熵陶瓷材料领域. 然而, 关于具有多主元阴阳离子结构的高熵陶瓷材料的研究报道较少. 本文首次报道了一类新型的具有多主元阴阳离子结构的高熵陶瓷材料, 即高熵硅铝化物($\text{Mo}_{0.25}\text{Nb}_{0.25}\text{Ta}_{0.25}\text{V}_{0.25}$)($\text{Al}_{0.5}\text{Si}_{0.5}$)₂. 首先基于第一性原理计算从化学反应热力学和晶格尺寸差异两个方面分析了高熵硅铝化物形成的可能性, 然后以过渡金属粉体以及硅粉和铝粉为原料, 采用固相反应技术在1573 K下成功地制备出等摩尔比的高熵硅铝化物. 研究表明: 所制备的高熵硅铝化物具有单一金属硅铝化物的六方晶系晶体结构, 同时, 所有组成元素的分布具有高度均匀性. 该研究不仅丰富了高熵陶瓷材料的种类, 而且为开拓具有多主元阴阳离子结构的高熵陶瓷材料提供了参考.