



# Excellent ductility and serration feature of metastable CoCrFeNi high-entropy alloy at extremely low temperatures

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**ABSTRACT** Seldom could metals and alloys maintain excellent properties in cryogenic condition, such as the ductility, owing to the restrained dislocation motion. However, a face-centered-cubic (FCC) CoCrFeNi high-entropy alloy (HEA) with great ductility is investigated under the cryogenic environment. The tensile strength of this alloy can reach a maximum at  $1,251 \pm 10$  MPa, and the strain to failure can stay at as large as 62% at the liquid helium temperature. We ascribe the high strength and ductility to the low stacking fault energy at extremely low temperatures, which facilitates the activation of deformation twinning. Moreover, the FCC→HCP (hexagonal close-packed) transition and serration lead to the sudden decline of ductility below 77 K. The dynamical modeling and analysis of serrations at 4.2 and 20 K verify the unstable state due to the FCC→HCP transition. The deformation twinning together with phase transformation at liquid helium temperature produces an adequate strain-hardening rate that sustains the stable plastic flow at high stresses, resulting in the serration feature.

**Keywords:** high-entropy alloy, liquid-helium temperature, twinning, phase transition, serration feature

## INTRODUCTION

Materials with high ductility at low temperatures (LT) are desired for cryogenic applications in the space exploration, superconducting devices, nuclear reactors, and storage of cryogenics. Face-centered-cubic (FCC) alloys

are promising in this regard, as they do not exhibit an obvious ductile-to-brittle transition at LT [1]. A popular example of such alloys in use is 316 stainless steel (SS) [2,3]: at the liquid-nitrogen temperature, 316 SS can sustain a uniform elongation and plastic strain to failure similar to those at room temperature (RT), in some cases even larger, while the strength is also significantly high. This high ductility, due to a high dislocation storage rate and strain-hardening capability at LT, allows the large uniform deformation without strain localization [4]. However, in cryogenic environments, with the strength more than 1 GPa, rare metals and alloys can be elongated to failure larger than 50%. At liquid-helium temperature, the strain-hardening rate is insufficiently high to keep up with the fast rising flow stress, like Considere criterion at a smaller strain. It is challenging to retain the high ductility from RT to LT.

Recently, Gludovatz *et al.* [5] found that the FCC CrMnFeCoNi high-entropy alloy (HEA) possesses strength, ductility, and fracture toughness that are similar to, if not better than, the best 316 SS at the liquid-nitrogen temperature. Later on, they reported that the CrCoNi medium-entropy alloy is even tougher [6]. They suggested that the additional twinning mechanism contributes to the excellent strain hardening at LT. The low stacking fault energy (SFE) of these alloys and, hence, the easier activation of deformation twinning (DT) is a key factor promoting the strain hardening and ductility

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[7,8]. However, it is indicated that DT is not dominant in the standard tensile test of these alloys at RT and even at the liquid-nitrogen temperature [9], and the volume fraction of deformation twins is rather low, possibly because the sample-wide stress is not more than the critical stress needed for DT [10]. Profuse DT is only near the crack tip, where stresses concentrate.

The above discussion prompts us to explore a different scenario where DT [11,12] can be promoted: deformation at extremely low temperatures, where the deformation stress is expected to be very high even in a normal tensile test at ordinary laboratory strain rates.

Owing to the easy oxidization of manganese, the CoCrFeNi equiatomic HEA was produced, and just like the CoCrFeNiMn alloy, it exhibited excellent mechanical properties and great cryogenic performance [13–16]. However, most studies focus on the performances of HEAs above 77 K [17–21], and no one cares about the service performance, especially the tensile properties of this alloy, when further decreasing the temperature. In this paper, we examine the strength/ductility behavior of a CoCrFeNi equiatomic HEA in tensile tests from RT down to liquid-helium temperature. If DT does become overwhelmingly dominant, how much strength, strain hardening, and ductility can HEAs achieve, and could the contribution from the considerable martensitic transformation as in the case of stainless steels occur? These are the critical questions to be addressed in the present work. Furthermore, the serration is detected at the liquid-helium temperatures, and the dynamical modelling and analysis of them are provided to reveal whether there is any difference in this condition.

## EXPERIMENTAL SECTION

### Sample preparation and mechanical testing

With the nominal composition of the quaternary

CoCrFeNi HEA [22] (25:25:25:25 at.%, purity > 99.9 wt.%), a 3 kg alloy ingot was melted under high-purity argon atmosphere in a vacuum induction levitation melting furnace [23]. The ingot was melted three times to ensure the chemical homogeneity, and cooled naturally to RT. Then the as-cast round ingot with a diameter of 90 mm was homogenized by annealing for 20 h at 1,373 K, and hot-forged at 1,473 K more than six times to ensure the chemical homogeneity and to eliminate the casting flaws, and then cooled to RT in air. The final dimensions are 30 mm in thickness and 60 mm in width (a total reduction in thickness of about 62.5%). We milled away the surface scale to resist oxidation during processing.

Rectangular dog-bone shaped tensile specimens with a gauge length of 15 mm were machined from the ingot by electrical discharge machining (EDM). Both sides of the specimen were ground using the SiC paper, resulting in a final thickness of about 1.7 mm and a gauge width of about 3 mm. Vickers microhardness indents (300 g load) were made to define the gauge length for strain measurements after fracture. All the samples were tested by a MTS-SANS CMT5000 universal testing machine at the Key Laboratory of Cryogenics, Technical Institute of Physics and Chemistry, Chinese Academy of Sciences (TIPC, CAS), at a strain rate of  $10^{-3} \text{ s}^{-1}$ . Tensile tests were performed at four different temperatures, 293 K (room temperature), 200 K, 77 K (liquid nitrogen temperature), and 4.2 K (liquid helium temperature). For each temperature, at least three samples were tested. The fracture surfaces were examined, using scanning-electron microscopy (SEM). Additional tensile experiments were carried out at 50 and 20 K, with five samples tested for each temperature.

Young's modulus ( $E$ ), yield strength ( $\sigma_y$ ), and ultimate tensile strength ( $\sigma_u$ ) obtained from the engineering stress-strain curve are listed in Table 1. The plastic strain was

**Table 1** Mechanical properties of the CoCrFeNi high-entropy alloy at different temperatures

Tension properties	Temperature					
	293 K	200 K	77 K	50 K*	20 K*	4.2 K
$\sigma_y$ (MPa)	446	488	590	—	—	680
$\sigma_u$ (MPa)	664	790	1,070	1,152±8	1,240±40	1,251±10
$\epsilon_f$	63%	72%	78%	68.6%±3.3%	62%±0.6%	61.6%±1.6%
$E$ (GPa)	189	194	204	—	—	221
Work-hardening capability, $\sigma_u - \sigma_y$ (MPa)	218	302	480	—	—	580

\*Tensile tests at 50 and 20 K were performed without the extensometer. As a result, the yield strength and Young's modulus were not determined accurately, while the ultimate tensile strength and the failure strain could be measured exactly.

recorded using an extensometer. The elongation to failure,  $\varepsilon_b$ , was determined from the indents under an optical microscope.

### Microstructure characterization

The X-ray diffraction (XRD) was carried out with Cu K $\alpha$  radiation, and SEM images were obtained in a Zeiss Supra55 machine. Before and after tensile tests, a thin sheet with a thickness of about 500  $\mu\text{m}$  was machined from the samples and ground down to a thickness of 100  $\mu\text{m}$  using the fine SiC paper. Discs with a diameter of 3 mm were punched out of the foil, then mechanically ground to a thickness of about 45  $\mu\text{m}$ , and twin-jet polished, using a mixed solution of  $\text{HNO}_3\text{:CH}_3\text{O}=1\text{:}4$  (volume fraction) for the original structure characterization. Transmission electron microscopy (TEM) samples of the deformed samples were carefully extracted from the necking area and ground down to 35  $\mu\text{m}$  with the fine SiC paper, and then thinned using the ion-milling till perforation. TEM and high-resolution scanning-transmission-electron-microscopy (STEM) investigations were conducted with a Tecnai G<sup>2</sup>F30 S-TWIN microscope.

### Dynamical modeling and analyses for the serrated flow

#### Fractal dimension

Fractal dimension is introduced to quantify the self-similarity of the serrated flow stress. According to the box-counting method [24–26], it needs at least  $N(l)$  boxes with the box size of  $l$  to cover the total data set. Changing the box size,  $l$ , one can obtain a series of  $N(l)$ , and then fit  $[l, N(l)]$  in a double logarithmic plot, the slope of this fitting curve is the fractal dimension ( $D$ ).

$$D = -\lim_{l \rightarrow 0} \frac{\ln N(l)}{\ln l}. \quad (1)$$

#### Phase space reconstruction

The phase space reconstruction method by the delay-coordinate technique was used to reveal the hidden order of the serration [27–29]. Note that the stress signals at the stage of plastic deformation are  $\{\sigma_1, \sigma_2, \sigma_3, \dots, \sigma_N\}$ . According to the embedding dimension theorem developed by Taken *et al.* [30,31], the stress signals can be embedded into an  $m$ -dimensional phase space. In the phase space, the  $i$ th point is given as:

$$Y(i) = (\sigma_i, \sigma_{i+\tau}, \sigma_{i+2\tau}, \dots, \sigma_{i+(m-1)\tau}), \quad (2)$$

$$i = 1, 2, \dots, N - (m - 1)\tau,$$

where  $\tau$  is the time delay,  $m$  is the embedding dimension, and  $N$  is the length of stress signals. To reconstruct a

phase space from a time series, the suitable time delay and embedding dimension are indispensable. Here the mutual information technique [32] and Cao method [33] were used to calculate the appropriate time delay and embedding dimension, respectively.

The mutual information of the stress signals,  $\{\sigma_i\}$ , is defined as a function of the time delay:

$$I(\tau) = \sum_{i=1}^{N-\tau} P(\sigma_i, \sigma_{i+\tau}) \log_2 \frac{P(\sigma_i, \sigma_{i+\tau})}{P(\sigma_i)P(\sigma_{i+\tau})}, \quad (3)$$

where  $P(\sigma_i)$ ,  $P(\sigma_{i+\tau})$ , and  $P(\sigma_i, \sigma_{i+\tau})$  are the probabilities and joint probability of  $\sigma_i$  and  $\sigma_{i+\tau}$  appearing in the signals. When  $I(\tau)$  first reaches its local minimum, the corresponding time delay,  $\tau_0$ , is a suitable value [29,32].

An  $m$ -dimensional point in the reconstructed phase space is defined as Equation (2), which denotes its nearest neighbor point (in the sense of Euclid norm) as

$$Y^*(i) = (\sigma_i^*, \sigma_{i+\tau}^*, \sigma_{i+2\tau}^*, \dots, \sigma_{i+(m-1)\tau}^*), \quad (4)$$

and the distance of these two points is  $d^m(i) = \|Y(i) - Y^*(i)\|_{(m)}$ . If the embedding dimension increases to  $m+1$ , the distance becomes  $d^{m+1}(i) = \|Y(i) - Y^*(i)\|_{(m+1)}$ , and the mean value of the distance variation from  $m$  to  $m+1$  is

$$D(m) = \frac{1}{N - (m - 1)\tau} \sum_{i=1}^{N - (m - 1)\tau} \frac{d^{m+1}(i)}{d^m(i)}.$$

Let

$$E_1(m) = \frac{D(m+1)}{D(m)} \quad \text{and} \quad E_2(m) = \frac{D^*(m+1)}{D^*(m)}, \quad (5)$$

where

$$D^*(m) = \frac{1}{N - (m - 1)\tau} \sum_{i=1}^{N - (m - 1)\tau} |\sigma_{i+m\tau} - \sigma_{i+m\tau}^*|.$$

$E_1(m)$  reflects the change in the mean value of the distance fluctuation, and  $E_2(m)$  is used to distinguish the deterministic signals from stochastic signals. It indicates that the appropriate embedding dimension,  $m_0$ , is advisable when  $E_1(m)$  tends to be steady and  $E_2(m)$  approaches 1 [33].

#### Largest Lyapunov exponent

The largest Lyapunov exponent ( $\lambda$ ) is a significant parameter for characterizing the dynamical behaviors of the phase space [27,28]. The largest Lyapunov exponent quantifies the rate of the divergence of the trajectories in the phase space. In the reconstructed phase space, the largest Lyapunov exponent is calculated by the Wolf's method [34].

An initial point and its nearest neighbor point in the phase space are given as  $Y(i_0)$  and  $Y^*_0(i_0)$ , and the distance between these two points is  $d_0 = |Y(i_0) - Y^*_0(i_0)|$ . Tracking

the evolution from  $i_0$  to  $i_1$ , then,  $Y(i_0)$  evolves into  $Y(i_1)$ ,  $Y^*_0(i_0)$  reaches  $Y^*_0(i_1)$ , and the distance,  $d_0$ , changes to  $d_0 = |Y(i_1) - Y^*_0(i_1)|$ . The point,  $Y^*_0(i_1)$ , may not be the nearest neighbor point of  $Y(i_1)$ . Hence, the nearest neighbor point of  $Y(i_1)$  is reselected as  $Y^*_1(i_1)$ . The distance between  $Y(i_1)$  and  $Y^*_1(i_1)$  becomes  $d_1 = |Y(i_1) - Y^*_1(i_1)|$ . The angle between  $d_0$  and  $d_1$  is set as small as possible to reduce the influence on the orbit evolution, when we choose the nearest neighbor point. Then tracking the evolution to obtain  $d_1$ , and repeating above process until the end of time series, we can determine the number of these iterations,  $K$ . Thus, we have

$$d_k = |Y(i_k) - Y^*_k(i_k)| \text{ and } d_k = |Y(i_{k+1}) - Y^*_{k-1}(i_{k+1})|, \quad (6)$$

$$k = 0, 1, 2, \dots, K.$$

The largest Lyapunov exponent is defined as:

$$\lambda = \frac{1}{i_K - i_0} \sum_{k=0}^K \ln \frac{d'_k}{d_k}. \quad (7)$$

A positive  $\lambda$  would suggest that the dynamics of the system follows the chaotic behavior, which means that the system is under an unstable state, while a negative  $\lambda$  indicates that the system will evolve into a stable state.

## RESULTS

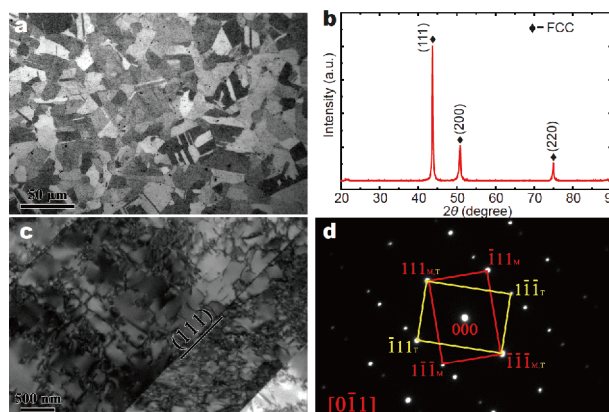
### Crystal structure

The as-prepared HEA has nearly equiaxed grains with a mean size of about 13  $\mu\text{m}$ , as shown in Fig. 1a. It is a single-phase FCC solid solution, as indicated by XRD pattern shown in Fig. 1b. The bright-field TEM image in Fig. 1c represents the dislocations and  $\{111\}$  twins (see the selected-area electron-diffraction (SAED) pattern in Fig. 1d), as the sample was hot-rolled.

### Mechanical properties

The measured uniaxial tension engineering stress-strain curves of the CoCrFeNi HEA at 293, 200, 77, 50, 20 and 4.2 K are shown in Fig. 2a, with the images of the samples before and after the test (the lower right corner in Fig. 2a). Numerous serrations appear in the stress-strain curves at 4.2 K (e.g., an enlarged view in the upper-left corner of Fig. 2a), accompanied by the audible click with every stress drop. This unique phenomenon is not obvious in the beginning stage (small strains) of the plastic deformation at 20 K (see Fig. S1).

The yield strength and ultimate tensile strength (UTS) are increased gradually with temperature decrease (Fig. 2b). At 77 K, their values are 590 and 1,070 MPa, respectively. Meanwhile, the percent elongation also increases from 63% at RT to 78% at 77 K (Table 1).



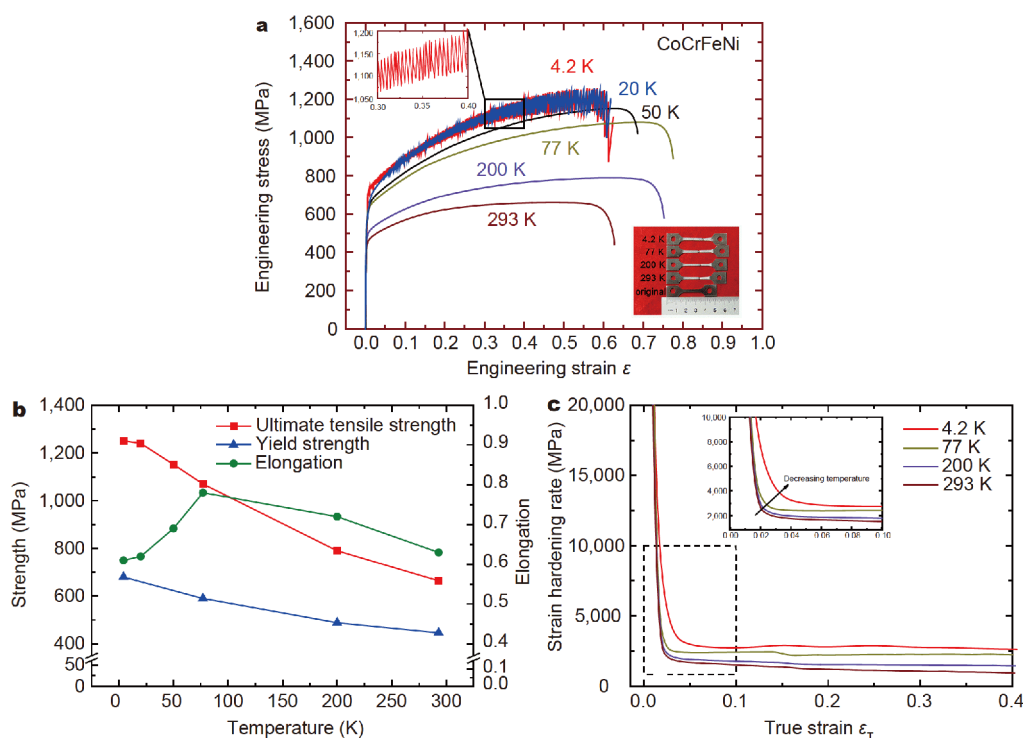
**Figure 1** Typical microstructure of the CoCrFeNi HEA. (a) Optical metallographic image of the sample, displaying roughly equiaxed grains with an average size of 13  $\mu\text{m}$ . (b) XRD pattern shows the alloy has a single FCC phase. (c) TEM image and electron-diffraction patterns (d), presenting high-density dislocations and some twins in the original sample.

The CoCrFeNi HEA exhibits an excellent combination of strength and ductility even at 4.2 K, where the engineering yield strength and UTS further elevate to 680 and 1,260 MPa, respectively. Meantime, the elongation of this alloy is 69% at 50 K, and remains as large as about 62% at 20 and 4.2 K (Table 1). All the samples show pronounced strain hardening after yielding (Fig. 2a, c). The strain-hardening rate,  $\Theta = d\sigma/d\varepsilon$ , is sustained at a high level to similarly large strains, preventing the necking. Even the CoCrFeNi HEA exhibits excellent combination of properties, and the elongation declines sharply below 77 K (see Fig. 2b), which would be discussed later in this article.

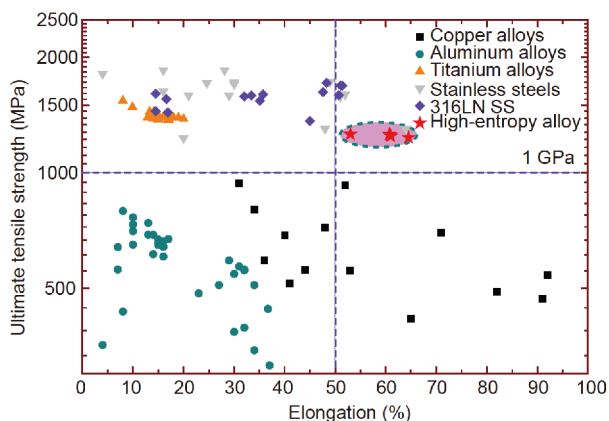
The UTS *versus* the elongation at 4.2 K for different cryogenic materials in Fig. 3 clearly shows that the CoCrFeNi HEA has an outstanding combination of strength and ductility. The UTS of this alloy is higher than those of most conventional cryogenic materials, such as aluminum alloys [1], copper alloys [11,35,36], and comparable to the strength of some stainless steels [37] or titanium alloys [3]. Meanwhile, the elongation of the CoCrFeNi alloy at 4.2 K exceeds all of the mentioned cryogenic materials at the strength level above 1 GPa.

### Dynamics of serration

Phase space reconstruction method [30,31] is introduced to investigate the dynamical behavior of the serrated flow. Time delay and embedding dimension are two necessary variables to reconstruct this phase space. The time delay can be obtained from the mutual information method [32], and the embedding dimension is calculated by Cao's



**Figure 2** Tensile properties of the CoCrFeNi alloy at different temperatures. (a) Engineering stress-strain curves and photograph of the dog-bone-shaped samples, before and after tensile tests. The enlarged stress-strain curve at 4.2 K is displayed in the inset. This HEA exhibits increasing strength with decreasing temperature, and the ductility reaches the maximum at 77 K, as shown in (b). In addition, at 20 K and below, the stress drop occurs in the tensile curve. Moreover, the ductility of this alloy decreases abruptly below 77 K. (c)  $\Theta$  vs. true strain ( $\epsilon_T$ ) curves at different temperatures. Inset: an enlarged view of the black-dashed box. The strain-hardening rate is adequate, including at 4.2 K, to sustain large true strains before necking instability.



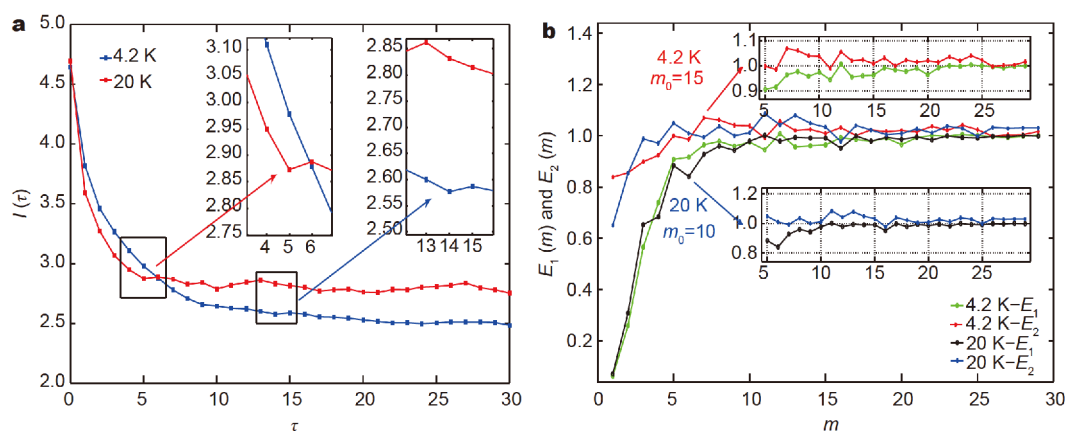
**Figure 3** Ashby map showing the UTS versus percent elongation to failure for HEAs, compared with a wide range of cryogenic materials at 4.2 K. The oval-shaped pink region represents the HEA, showing its excellent combination of high strength and great ductility.

method [33]. The mutual information,  $I(\tau)$ , as a function of time delay,  $\tau$ , for the serration at 4.2 K and 20 K are shown in Fig. 4a. We define the  $E_1(m)$  and  $E_2(m)$  to reflect the change of the mean distance fluctuation and

distinguish the deterministic signals from stochastic signals, respectively. Fig. 4b gives  $E_1(m)$  and  $E_2(m)$  as a function of the embedding dimension,  $m$ , at 4.2 K and 20 K. The suitable time delay,  $\tau_0$ , and suitable embedding dimension,  $m_0$ , are listed in Table 2. Then, by those two defined variables, the serration can be embedded into the  $m_0$ -dimensional reconstructed phase space. After reconstructing the phase space from the serrated stress signal, the largest Lyapunov exponent is calculated (see all the details in EXPERIMENT SECTION). Both the largest Lyapunov exponents at 4.2 and 20 K are positive. Yet the value at 20 K is smaller than that at 4.2 K (see details in Table 2).

### Structural characterization of the deformed samples

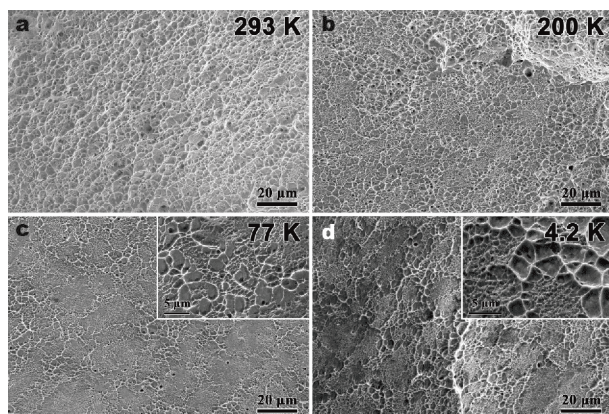
Necking eventually occurs at all temperatures (Fig. S2). The ductile nature of the fracture is clearly verified by the typical dimple rupture. The SEM images of the fracture surfaces after the tensile tests at different temperatures are shown in Fig. 5. Abundant dimples from the samples tested at 293 and 200 K (Fig. 5a, b) have a size ranging from micrometers (1–10  $\mu\text{m}$ ) to nanometer. When



**Figure 4** The dynamic analysis of the serration feature. (a) The mutual information,  $I(\tau)$ , as a function of time delay,  $\tau$ , for the serration at 4.2 and 20 K. The insets show the magnified curves. When  $I(\tau)$  first reaches its local minimum, the corresponding time decay,  $\tau$ , is the suitable value,  $\tau_0$  [32]. (b)  $E_1(m)$  and  $E_2(m)$  as a function of the embedding dimension,  $m$ , at 4.2 and 20 K. The inset gives a clear view of the state at 4.2 and 20 K, respectively.  $E_1(m)$  reflects the change in the mean value of the distance fluctuation, and the  $E_2(m)$  is used to distinguish the deterministic signals from stochastic signals. When  $E_1(m)$  tends to be steady and  $E_2(m)$  approaches 1, the appropriate embedding dimension,  $m_0$ , is obtained [33].

**Table 2** The relevant parameters of the serrations at 4.2 and 20 K

Temperature	Parameter			
	Fractal dimension, $D$	Suitable time delay, $\tau_0$	Suitable embedding dimension, $m_0$	Largest Lyapunov exponent, $\lambda$
20 K	1.22	5	10	0.001
4.2 K	1.23	14	15	0.050



**Figure 5** SEM images of fracture surfaces of the CoCrFeNi samples after the tensile tests at 293 (a), 200 (b), 77 (c), and 4.2 K (d), respectively. It shows a totally ductile fracture with a plenty of micrometer-sized or nanometer-sized dimples. The extent of micrometer dimples decreases with the temperature decreasing to 77 K, but increases at 4.2 K, consistent with the ductility (elongation failure) observed in tensile tests. A similar trend of the variation of elongation with the average dimple diameter was also reported for austenitic stainless steel [38].

decreasing the temperature to 77 and 4.2 K, the number of micrometer-scale dimples is reduced, while more nanoscale ones (inset in Fig. 5c, d) appear. The work

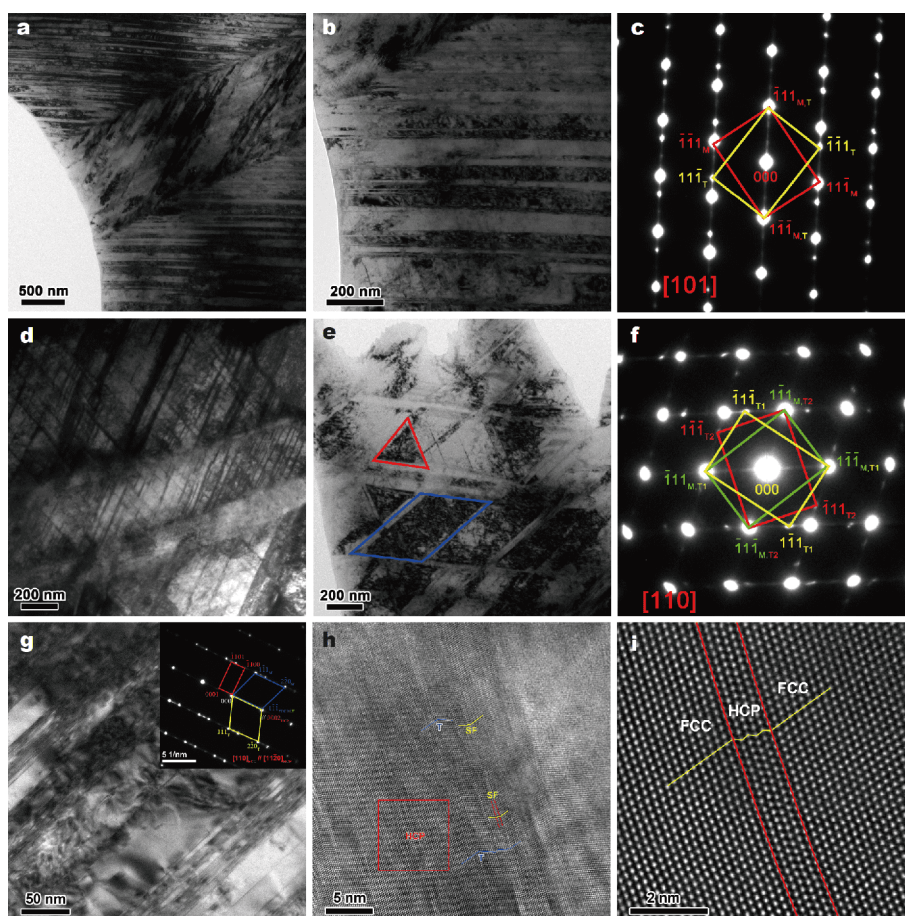
hardening, represented by the difference between the UTS and yield strength, ( $\sigma_u - \sigma_y$ ), is obviously increasing with decreasing temperature, and particularly large at the liquid-helium temperature, see details in Table 1.

Fig. 6 shows a typical microstructure of the sample after the tensile test at 4.2 K. The grains are filled with high density lamellar structures (Fig. 6a, b), which are verified as  $\{111\}$  twins from SAED patterns in Fig. 6c, f. The twins at nanoscale have the thickness ranging from 10 to 200 nm. They reside within the larger annealing twins, which are present in the original sample before the tensile test, and sometimes penetrate across the pre-existing twin boundaries, as seen in Fig. 6d. The twins in each grain extend along two directions differing by  $60^\circ$ , resulting in many intersections of twin boundaries and enclosed nanoscale domains, Fig. 6e. In addition, the FCC  $\rightarrow$  HCP transition occurs at 4.2 K, as shown in Fig. 6g–i, which would be discussed in the following section.

## DISCUSSION

### Temperature dependence and the strain-hardening effects

The “stronger and more ductile with decreasing temperature” trend (see Fig. 2b) is in accordance with



**Figure 6** TEM and high-resolution STEM images of the CoCrFeNi sample with nanoscale twins and HCP phases after the tensile test at the liquid-helium temperature. (a) TEM image of deformation twins. (b) A close-up TEM observation of (a), and the SAED pattern (c), indicating that the typical deformation twins are parallel to each other on  $\{111\}$  planes. (d) TEM image and the electron-diffraction patterns in (f), showing that twins in two orientations intersect each other. (e) The blue parallelogram and the red triangle present different morphologies due to intersecting twins. All the TEM images indicate that high-density nano-twins drastically refine the grains. (g) Bright-field TEM image with SAED patterns of  $[110]_{\text{FCC}}||[11\bar{2}0]_{\text{HCP}}$  showing the FCC twinning and HCP phase in the sample. (h) High-resolution STEM image containing the HCP stacking, stacking fault [SF], and nano-twins [T], demonstrate that the FCC→HCP transition occurs. (i) The enlarged image of the red rectangle in (h) shows the ABABAB HCP stacking.

previous studies [5,39], which is attributed to the combined action of dislocation motion and nanoscale twinning. The onset of necking at 77 K is delayed as DT brings in a new stage in the strain-hardening rate, which would otherwise decay too fast with increasing strain, resulting in earlier plastic instability.

As shown in Fig. 2c, the strain-hardening rate,  $\Theta$ , is sustained at a high level to similarly large strains, preventing the onset of necking. This trend is remarkable, because  $d\sigma/d\varepsilon$  increases apparently with temperature decrease, so as to keep up with the fast rising flow stress ( $\sigma$ ). At 4.2 K, the easier activation of deformation twinning appears to help elevate the  $\Theta$  starting from relatively small strains (the inset in Fig. 2c), largely

offsetting the rise in the flow stress from higher-temperature levels to prolong the tensile elongation.

#### Twinning deformation and FCC→HCP transition

Abundant twin boundaries further improve the strength and ductility, while the triple-twins in the sample (marked by the red triangle in Fig. 6e) strongly refine the grains and block the dislocation motion. As we know, decreasing temperature also could restrict the dislocation movement. These strongly confined dislocations are obviously harmful to the ductility, which is why the ductility at 4.2 K is relatively shorter than that at 77 K.

The SFE of the CoCrFeNi alloy can be as low as  $3.5 \text{ mJ m}^{-2}$  [40], facilitating the formation of abundant

twins at the liquid-helium temperature. Moreover, as shown in Fig. 6g, the typical bright-field STEM image and SAED pattern of  $[110]_{\text{FCC}}||[11\bar{2}0]_{\text{HCP}}$  (inset in Fig. 6g) indicate the twinning and HCP phase. The atoms structure was further characterized by the high-resolution STEM. As shown in Fig. 6h, i, many high-density defects, such as nano-twinning, stacking faults, and HCP stacking, could be produced at 4.2 K. These features demonstrate that the FCC→HCP transition occurs in the HEA under the tension test, which only appears in the high-pressure condition in previous study [41]. The combined effect of the predominant twinning deformation and FCC→HCP phase transformation results in the superior mechanical properties of CoCrFeNi at 4.2 K.

### Serration mechanism and the difference between CoCrFeNi and stainless steel

Serrated deformation often exists in deformed metals and alloys [42–45], and the possible origins include the plastic-slip instability, deformation twins, stress-induced phase transformation, recrystallization, dynamic strain aging, and adiabatic shearing. In 4.2 K case, the high-density deformation twins and a few HCP phases undoubtedly indicate that the joint action of them contribute to the serration behavior.

The fractal dimension  $D$  of the serrations is 1.23 at 4.2 K and 1.22 at 20 K. These results are in accordance with the high-resolution STEM. The joint effect of dislocations motion (the one-dimensional linear defect) with the two-dimensional defects (stacking faults and twinning) and three-dimensional phase transition leads to the fractal dimension equal to nearly 1.23 at 4.2 K.

The largest Lyapunov exponent,  $\lambda$ , at 4.2 and 20 K are positive, which indicates that the dynamic behavior of serrations is unstable. The  $\lambda$  at 20 K is smaller than that at 4.2 K, which means that the serration at 20 K is relatively stable, compared with the serration at 4.2 K. As shown in Fig. 6h, i, the FCC→HCP phase transition occurs at 4.2 K. This phase transition will lead to the dynamical evolution of the serration unstable, well consistent with the results of the largest Lyapunov exponent.

The profuse deformation twinning and the effective storage of the high-density twin boundaries at 4.2 K will contribute to strain hardening, sustaining a high enough  $\Theta$  (the inset of Fig. 2c) for the elongation. Note that the tiny phase transformation is activated in our samples after the tension test at 4.2 K. Thus, we deduce that both DT and phase transformation play a crucial role in the serration formation. Nevertheless, it is difficult to

precisely confirm the quantity of the HCP phase as the phase transition only occurs in the localized high stress/strain zone. This trend is somewhat different from the 316LN stainless steel. In 316LN, FCC phase is non-magnetic and martensitic phase is magnetic. Hence, the martensitic phase fraction could be measured precisely through measuring the magnetic properties before and after tensile test at 4.2 K [2]. The results show the martensitic phase transformation in 316LN stainless steel occurring largely (approximate 20%) at very low temperatures, which also definitely witnesses that the high-entropy effect could restrict the phase transition. While phase transformation can induce plasticity (TRIP) [46,47], crack initiation may occur at the martensite-austenite interfaces, bringing in an extra factor that could limit the ductility (e.g., of TRIP steels). The FCC→HCP transition could further strengthen this alloy. However, the combined effect of the confined dislocations at low temperatures, only a small fraction of HCP phase formed during deformation, and the crack initiation at phase interfaces resulted in the sharp decline of the ductility below 77 K. The HCP structure in this CoCrFeNi HEA formed *via* the glide of Shockley partial dislocations on every other  $\{111\}$  plane in the FCC matrix. Similar phase transition also occurs at 77 K in CoCrFeNi and CoCrNi alloys [48,49], which indirectly confirms this point.

### CONCLUSION

In this study, the low-SFE FCC CoCrFeNi HEA exhibits an excellent combination of high strength and high ductility at extremely low temperatures. The lower SFE of CoCrFeNi at the liquid-helium temperature directly facilitates the formation of abundant twins. The deformation twinning derived from the FCC→HCP phase transformation enables the highly-effective-defects (twin boundaries, phase interfaces, and dislocations) storage, microstructure refinement (intersecting twins and subdivision of grains), and therefore, strain hardening. Hence, such HEAs are promising candidates for applications at extremely low temperatures. In addition, the dynamical analyses of serrations at 4.2 and 20 K show that the evolution of stress signals is unstable, which attributes to the FCC→HCP phase transformation. It also witnesses that the HCP phase is more stable than the FCC phase in this extreme condition under the localized high-stress state.

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**Author contributions** Zhang Y conceived the overall project. Liu J, He Z, and Li L performed the experiments. He Z conducted the TEM characterization. Liao X, Lin Q, and An X performed the STEM characterization. Ren J, Guo X and Yu L carried out the calculations of the serration feature. Lin J, Guo X, He Z, Liaw P, Ren J and Zhang Y wrote the paper. All authors discussed the results and commented on the manuscript.

**Conflict of interest** These authors declare no conflict of interest.

**Supplementary information** Supporting data are available in the online version of this paper.



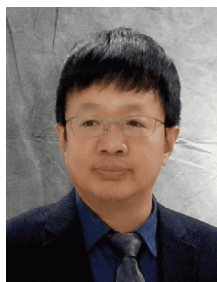
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## 超低温环境下亚稳态CoCrFeNi高熵合金的优异塑性和锯齿流变行为

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**摘要** 低温环境下, 位错的运动受到限制而导致极少数的金属和合金能保持优异的力学性能, 尤其是塑性. 本文研究了具有面心立方结构的CoCrFeNi高熵合金的超低温服役, 发现其在低温环境下具有优异的综合性能. 4.2 K时的拉伸强度达到1260 MPa, 同时延伸率达到62%, 展现出极强的低温应用潜力; 超低温环境下, 高熵合金极低的层错促进了变形孪晶的产生, 使其表现出高强高韧的优异力学性能. 此外, 在液氦环境下, 该合金中FCC-HCP的相转变和锯齿流变行为使得合金在77 K以下温度的塑性降低; 同时, 关于锯齿特征的动态模型分析证实由于相变行为的出现导致该合金中锯齿行为的不稳定特点. 液氦环境下, 大量的变形孪晶和相变行为的共同作用导致了较高的应变硬化率, 从而使高熵合金的塑性变形维持在较高的应力水平, 并且形成了锯齿特征.