



Instability of Gravitational and Electromagnetic Perturbations of Extremal Reissner–Nordström Spacetime

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Received: 30 January 2023 / Accepted: 7 September 2023 / Published online: 17 November 2023
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Abstract

We study the linear stability problem to gravitational and electromagnetic perturbations of the *extremal*, $|Q| = M$, Reissner–Nordström spacetime, as a solution to the Einstein–Maxwell equations. Our work uses and extends the framework [28, 32] of Giorgi, and contrary to the subextremal case we prove that instability results hold for a set of gauge invariant quantities along the event horizon \mathcal{H}^+ . In particular, for associated quantities shown to satisfy generalized Regge–Wheeler equations we prove decay, non-decay, and polynomial blow-up estimates asymptotically along \mathcal{H}^+ , the exact behavior depending on the number of translation invariant derivatives that we take. As a consequence, we show that for generic initial data, solutions to the generalized Teukolsky system of positive and negative spin satisfy both stability and instability results. It is worth mentioning that the negative spin solutions are significantly more unstable, with the extreme curvature component α not decaying asymptotically along the event horizon \mathcal{H}^+ , a result previously unknown in the literature.

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1 Introduction

The question of *stability* of black holes, as solutions to the Einstein equation, has led to a vast interdisciplinary research work addressing this problem for various spacetime models. Some of the most recent results include the proof of non-linear stability of Schwarzschild, under polarized symmetry [34] and in full generality with no symmetry assumptions in [21], and Kerr for small angular momentum, i.e. $|a|/M \ll 1$, in a sequence of works [31, 35–37, 48]. This was done in the spirit of the seminal work of Christodoulou–Klainerman [15], proving the non-linear stability of Minkowski spacetime.

In this paper, we are interested in the Reissner–Nordström family of spacetimes $(\mathcal{M}, g_{M,Q})$, which in local coordinates takes the form

$$g_{M,Q} = - \left(1 - \frac{2M}{r} + \frac{|Q|^2}{r^2} \right) dt^2 + \left(1 - \frac{2M}{r} + \frac{|Q|^2}{r^2} \right)^{-1} dr^2 + r^2 (d\theta^2 + \sin^2 \theta d\phi^2), \quad (1)$$

and represent the spacetime outside a non-rotating, spherical symmetric, charged black hole of mass M and charge Q , with $|Q| \leq M$. It stands as the *unique* spherically symmetric, asymptotically flat solution of the Einstein–Maxwell equations

$$\begin{aligned} Ric(g)_{\mu\nu} &= 2F_{\mu\lambda}F_{\nu}^{\lambda} - \frac{1}{2}g_{\mu\nu}F_{\alpha\beta}F^{\alpha\beta} \\ D_{[\alpha}F_{\beta\gamma]} &= 0, \quad D^{\alpha}F_{\alpha\beta} = 0. \end{aligned} \quad (2)$$

where D is the Levi–Civita connection associated to the metric g , and the 2-form F is the electromagnetic tensor verifying the Maxwell equations.

In a series of papers [28–30, 32], the author concluded the *linear* stability of the full *subextremal* range of Reissner–Nordström spacetimes as solutions to the Einstein–Maxwell equations. Roughly, this means that all solutions to the linearized Einstein–Maxwell equations around a Reissner–Nordström solution, $g_{M,Q}$ with $|Q| < M$, arising from regular asymptotically flat initial data remain *uniformly bounded* in the exterior, and *decay* to a linearized Kerr–Newman solution after adding a pure gauge solution. However, some of the results developed in this work no longer hold in the **extremal** case, $|Q| = M$, because of the degeneracy of the redshift effect at the event horizon \mathcal{H}^+ , a necessary ingredient in showing linear stability in [28, 32]. In addition, in view of the *Aretakis instabilities* that manifest on the horizon \mathcal{H}^+ for the homogeneous wave equation [6, 7], one expects that similar instabilities arise in the linearized gravity as well.

The purpose of this paper is to address the linear stability problem for the extreme Reissner–Nordström (ERN) spacetime of maximally charged black holes. Our results are at the level of *gauge-invariant quantities*, characterized by the fact that they vanish in any *pure gauge* solution. We are looking at quantities that arise naturally in the linearization procedure and are shown to satisfy a generalized version of the so-called *Teukolsky equation*; see [28, 32]. These wave-type equations govern the gravitational and electromagnetic perturbations of Reissner–Nordström and decouple completely from the full set of linearized Einstein–Maxwell system when written in a null frame, and thus can be studied independently.

1.1 The Teukolsky System and the Instability Result

In the work of linear stability of Schwarzschild and Kerr spacetimes, or even in the case of Maxwell equations in these backgrounds, the resulting Teukolsky equations

are independent for each corresponding extreme component (gravitational or electromagnetic); see [11, 16, 44, 49]. However, in the case of Reissner–Nordström, the Teukolsky equations obtained in [28, 32] are heavily coupled with each other. This is, roughly, due to the initiation of both gravitational and electromagnetic perturbations in the presence of charge. The Teukolsky system of \pm spin is satisfied by a pair of extreme curvature components $\alpha_{AB}, \underline{\alpha}_{AB}$, and two pairs $\mathfrak{f}_{AB}, \underline{\mathfrak{f}}_{AB}, \tilde{\beta}_A, \underline{\tilde{\beta}}_A$, defined in terms of both Ricci coefficients and curvature/electromagnetic components. In particular, let $\mathcal{T}_i := \square_{g_{M,Q}} + c_i(r) \cdot \nabla_{e_3} + d_i(r) \cdot \nabla_{e_4} + V_i(r)$, be a Teukolsky type operator with coefficients depending on M, Q , then the generalized Teukolsky system is schematically given by

$$\begin{aligned} \mathcal{T}_1(\alpha) &= w_1(r) \nabla_{e_4} \mathfrak{f} + z_1(r) \mathfrak{f} & \text{and} & & \mathcal{T}_3(\tilde{\beta}) &= w_3(r) d\mathfrak{f} v \mathfrak{f} + z_3(r) d\mathfrak{f} v \alpha, \\ \mathcal{T}_2(\mathfrak{f}) &= w_2(r) \nabla_{e_3} \alpha + z_2(r) \alpha \end{aligned}$$

written with respect to a null frame $\{e_3, e_4, e_A\}_{A=1,2}$, and by ∇_{e_i} we denote the projection of spacetime covariant derivative D_{e_i} on the section spheres.

As a central result in this paper, we obtain estimates for the Teukolsky system in the exterior of ERN spacetime, up to and including the event horizon \mathcal{H}^+ . A set of *conservation laws* that hold for induced gauge-invariant quantities, along the event horizon \mathcal{H}^+ , yields an analogue of Aretakis instability that carries up to the level of Teukolsky solution. Both stability and instability results coexist, which can be summarized in the following theorem.

Theorem (Rough version) *Let $\alpha, \mathfrak{f}, \tilde{\beta}$ and $\underline{\alpha}, \underline{\mathfrak{f}}, \underline{\tilde{\beta}}$ be solutions to the generalized Teukolsky system of \pm spin on the extreme Reissner–Nordström exterior, and let Y denote a transversal to the horizon \mathcal{H}^+ invariant derivative, then for generic initial data*

1. *Away from the event horizon $H^+ \equiv \{r = M\}$, i.e. $\{r \geq r_0\}$, $r_0 > M$, Teukolsky solutions **decay** with respect to the time function of a suitable foliation of the exterior,*
2. *The following pointwise decay, non-decay and blow-up estimates hold asymptotically **along** the event horizon \mathcal{H}^+*

(a) *For the positive spin solutions, we have*¹

- $\|\nabla_Y^{\leq 2} \mathfrak{f}\|_\infty(\tau), \|\nabla_Y^{\leq 2} \tilde{\beta}\|_\infty(\tau)$, and $\|\nabla_Y^{\leq 4} \alpha\|_\infty(\tau)$ **decay**
- $\|\nabla_Y^3 \mathfrak{f}\|_{S_{\tau,M}^2}, \|\nabla_Y^3 \tilde{\beta}\|_{S_{\tau,M}^2}$, and $\|\nabla_Y^5 \alpha\|_{S_{\tau,M}^2}$ **do not decay** along \mathcal{H}^+ .
- $\|\nabla_Y^{k+3} \xi\|_{S_{\tau,M}^2} \sim_k \tau^k$, and $\|\nabla_Y^{k+5} \alpha\|_{S_{\tau,M}^2} \sim_k \tau^k$, as $\tau \rightarrow \infty$, for any $k \in \mathbb{N}$, $\xi \in \{\mathfrak{f}, \tilde{\beta}\}$.

(b) *For the negative spin solutions, we have*

- $\|\underline{\mathfrak{f}}\|_\infty(\tau), \|\underline{\tilde{\beta}}\|_\infty(\tau)$ **decay**

¹ Here, $\|\xi\|_\infty(\tau) := \|\xi\|_{L^\infty(S_{\tau,M}^2)}, \|\xi\|_{S_{\tau,M}^2} := \|\xi\|_{L^2(S_{\tau,M}^2)}$, and $f(\tau) \sim_p g(\tau)$ if $\lim_{\tau \rightarrow \infty} \frac{f(\tau)}{g(\tau)} = c$, with c depending on p . Also, $\nabla_Y^{\leq m} \xi$ refers to $\nabla_Y^k \xi$, for all integers $0 \leq k \leq m$.

- $\|\nabla_Y \underline{f}\|_{S_{\tau,M}^2}$, $\|\nabla_Y \underline{\beta}\|_{S_{\tau,M}^2}$, and $\|\underline{\alpha}\|_{S_{\tau,M}^2}$ **do not** decay along \mathcal{H}^+ ,
- $\|\nabla_Y^{k+1} \xi\|_{S_{\tau,M}^2} \sim_k \tau^k$, and $\|\nabla_Y^k \alpha\|_{S_{\tau,M}^2} \sim_k \tau^k$, as $\tau \rightarrow \infty$, for any $k \in \mathbb{N}$, $\xi \in \{\underline{f}, \underline{\beta}\}$.

where $\tau \geq 1$ is an advanced time parameter on \mathcal{H}^+ defined explicitly in Chapter 2.

Remark 1.1 The extreme curvature component $\underline{\alpha}$ does not decay, itself ², along \mathcal{H}^+ . This does **not** seem to be the case for axisymmetric linear perturbations of **extreme Kerr**, where it has been shown numerically [12] that $\underline{\alpha}$ **decays** asymptotically on \mathcal{H}^+ ; see relevant works [13, 38]. This suggests that ERN spacetimes are linearly more unstable than extreme Kerr ones in the axisymmetric setting.

Remark 1.2 For the positive spin equations, in both ERN and extreme Kerr (axisymmetric perturbations), it takes **five** transversal invariant derivatives of the curvature component α not to decay asymptotically along \mathcal{H}^+ , as seen in [38]. Moreover, it is shown that any additional transversal derivative of α yields asymptotic blow-up estimates with the same rates as in the Theorem above.

In order to obtain these estimates, we rely on the resolution introduced in the proof of linear stability of Schwarzschild [16], and then adapted in the case of Reissner–Nordström [28, 32]. The key part is a set of physical space transformations of Teukolsky solutions to higher order gauge invariant quantities which satisfy a system of generalized Regge–Wheeler equations (29). To study the latter, we follow standard techniques and ideas developed in the literature [17, 19, 20, 41].

1.2 Previous Works on Extreme Black Holes

A rigorous study of the scalar wave equation on extreme Reissner–Nordström spacetimes, uncovering the associated horizon instability, was initiated by Aretakis in [6, 7]. More results in this setting can be found at [3, 4, 22, 27], including the interior of the black hole [23, 26]. Works generalizing some of the above findings for a wide class of semilinear and nonlinear wave equations are given in [1, 2, 5], where we see that similar horizon instabilities persist.

For stability results of the scalar wave equation and the linearized gravity in the exterior of extreme Kerr spacetimes see [8, 25, 31, 51], and [24] for the interior. For horizon instabilities of extreme Kerr and Kerr–Newman see [9, 45], and [10] for an inclusive overview of extremal black holes.

At the same time, Aretakis’ work has inspired several heuristics and numerics that shed light on the stability and instability of extreme black holes. A variety of results in the case of extreme Reissner–Norström is found at [39, 40, 42, 43, 46,

² This is due to the appearance of a transversal invariant derivative of \underline{f} on the right-hand side of the Teukolsky equation satisfied by $\underline{\alpha}$, which acts as a source and it does not decay along the horizon \mathcal{H}^+ .

52], while for extreme Kerr and other extreme black holes, we direct our readers to [12, 13, 33, 38, 47]. Closely related to our thesis are the results of [39], where the authors derive a horizon instability for Regge–Wheeler type equations in the linearized gravity of extreme Reissner–Nordström. The number of transversal invariant derivatives that appear in their corresponding Aretakis constants agree with the ones we obtain in Chapter 6. It would be interesting to see more numerics studying the associated Teukolsky system and obtaining data that can be compared to our findings.

1.3 Outline of the paper

We present the structure of this paper, along with the main theorems and results in each section.

In Section 2, we introduce the main coordinate systems and foliations we are using throughout the paper. In Section 3, we briefly review the set-up and the Teukolsky equations of [28, 32], on which our work is based. We write the transformation theory, adapted in the ERN case, which yields the Regge–Wheeler system, and after considering its spherical harmonic decomposition we decouple it.

In Section 4, we study the induced decoupled Regge–Wheeler equations, and we prove Morawetz type estimates in Theorems 4.1, 4.2, and a degenerate redshift estimate with a degeneracy of the transversal derivative on the horizon \mathcal{H}^+ , as in Theorem 4.3. In Section 5, we remove the aforementioned degeneracy as shown in Theorem 5.1.

In Section 6, we show that solutions to the Regge–Wheeler equations are subject to a conservation law on the horizon \mathcal{H}^+ , Theorem 6.1. We proceed with Section 7, where we obtain higher order transversal invariant derivative estimates, Theorem 7.1. Last, we derive r^p –hierarchy estimates in Section 8, which allows us to prove energy decay estimates in the exterior.

Using the energy decay results and the conservation laws, we prove pointwise decay, non-decay, and blow-up estimates along the event horizon \mathcal{H}^+ , as in Theorems 9.2, 9.3. As a consequence, in Proposition 9.3 we derive estimates for the initial Regge–Wheeler system.

Finally, in the last two Sections 10 and 11, we use the transformations of Section 3 to derive decay estimates for the Teukolsky system of \pm spin away from the horizon; Corollaries 10.3, 11.1. Estimates along the horizon \mathcal{H}^+ are shown in Theorems 10.1, 10.2 and Theorems 11.1, 11.2.

2 Extreme Reissner–Nordström Spacetime

In this section, we introduce the main coordinate systems we will be working with, and the foliations we use to derive the energy estimates. In addition, we briefly go through relevant elliptic notions and identities that are frequently used throughout the paper.

2.1 Differential structure and metric

With respect to the Boyer–Lindquist coordinates $(t, r, \vartheta, \varphi)$ the Reissner–Nordström metric takes the form $g = g_{M,Q} = -Ddt^2 + \frac{1}{D}dr^2 + r^2g_{\mathbb{S}^2}$, where $D(r) = 1 - \frac{2M}{r} + \frac{|Q|^2}{r^2}$ and $g_{\mathbb{S}^2}$ is the standard round metric on \mathbb{S}^2 . In this paper, we are interested in the case where $|Q| = M$, i.e. the extremal Reissner–Nordström spacetime (ERN).

Our main goal is to capture the behavior of gauge–invariant quantities up to and **including** the event horizon \mathcal{H}^+ , $\{r = M\}$, so we work our way to introduce a different coordinate system that extends regularly on \mathcal{H}^+ . To do so, we first define the so-called **double null** coordinates in ERN. Consider the tortoise coordinate $r^*(r) := r + 2M \log(r - M) - \frac{M^2}{r-M} + C$, for a constant C , and note r^* satisfies $\frac{\partial r^*}{\partial r} = \frac{1}{D}$. Then, the null coordinates are defined via $u := t - r^*$, $v := t + r^*$, with respect to which the ERN metric is given by

$$g_{ERN} = -D(r)dvdu + r^2g_{\mathbb{S}^2}$$

We use this coordinate system to produce the r^P –hierarchy estimates in Section 8, taking place at future null infinity \mathcal{I}^+ : the limit points of future-directed null rays along which $r \rightarrow \infty$. For calculations that take place away from the event horizon \mathcal{H}^+ and future null infinity \mathcal{I}^+ we often work with the coordinate system $(t, r^*, \vartheta, \varphi)$ where the ERN takes the form

$$g_{ERN} = -D(r)dt^2 + D(r)dr^{*2} + r^2g_{\mathbb{S}^2}$$

However, both coordinate systems above do not extend regularly to the event horizon \mathcal{H}^+ . One way to extend the metric beyond \mathcal{H}^+ is to consider the so-called **ingoing Eddington–Finkelstein** coordinates $(v, r, \vartheta, \varphi)$ and with respect to that system the ERN metric is given by

$$g_{ERN} = -Ddv^2 + 2dvdr + r^2g_{\mathbb{S}^2}, \quad D = \left(1 - \frac{M}{r}\right)^2. \quad (3)$$

In this setting, the event horizon is captured by $\mathcal{H}^+ \equiv \{r = M\}$, while the coordinate $v \in (-\infty, \infty)$ traverses it. While the ingoing coordinates are regular up to $r > 0$, we focus on producing estimates only on the domain of outer communication \mathcal{M} , where $r \geq M$, i.e.

$$\mathcal{M} = \left((-\infty, \infty) \times [M, +\infty) \times \mathbb{S}^2\right).$$

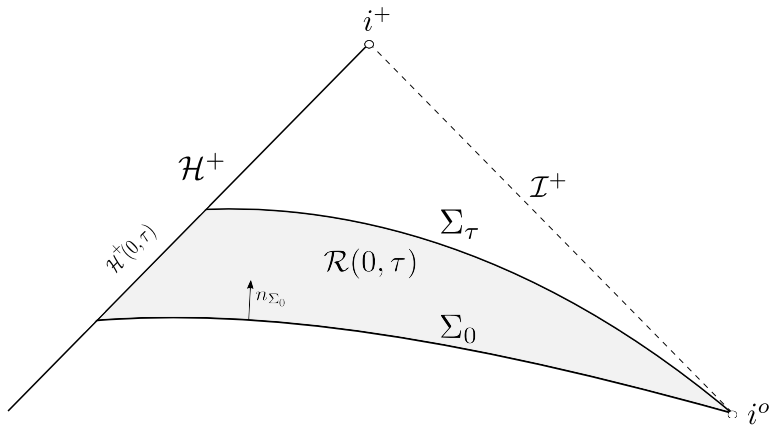
Foliations. Here, we introduce the two foliations we are going to be using in this paper.

- For the first one, let Σ_0 be a flat $SO(3)$ –invariant spacelike hypersurface terminating at i^o , the spacelike infinity where $r \rightarrow \infty$, and crossing the event horizon

\mathcal{H}^+ with $\partial\Sigma_0 = \Sigma_0 \cap \mathcal{H}^+$. Note, Σ_0 can be chosen such that its unit normal future directed vectorfield n_{Σ_0} , satisfies everywhere

$$\frac{1}{C} < -g(n_{\Sigma_0}, n_{\Sigma_0}) < C, \quad \frac{1}{C} < -g(n_{\Sigma_0}, T) < C,$$

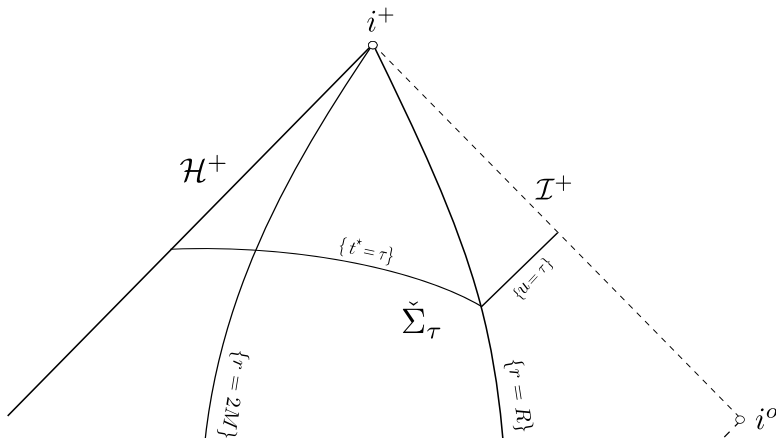
for a positive constant $C > 0$, where $T = \partial_v$ is the global Killing vector field in ERN, with respect to (3). Let ϕ_τ^T be the one-parameter family of isomorphisms corresponding to the Killing field T , and define the foliation $\Sigma_\tau := \phi_\tau^T(\Sigma_0)$. Then, the hypersurfaces Σ_τ are isometric to Σ_0 and the coercive relations above hold uniformly in τ , for the same constant C . Estimates associated with this foliation take place in the region $\mathcal{R}(0, \tau) := \cup_{0 \leq \tilde{\tau} \leq \tau} \Sigma_{\tilde{\tau}}$



- Next, we introduce a foliation $\check{\Sigma}_\tau$ that captures the radiating energy towards future null infinity \mathcal{I}^+ , and is ultimately used to obtain energy decay estimates. For that, fix $R > 2M$ and take the tortoise coordinate r^\star introduced earlier for $C = -R - 4M \log(R-M) - \frac{2M^2}{R-M}$. Define also the coordinate $t^\star := t + 2M \log(r-M) - \frac{M^2}{r-M}$, and consider the following hypersurfaces for all $\tau \in \mathbb{R}$

$$\check{\Sigma}_\tau := \begin{cases} \{t^\star = \tau\}, & \text{for } M \leq r \leq R \\ \{u = \tau\}, & \text{for } r \geq R, \end{cases}$$

where u is the advanced null coordinate we saw above. With the specific choice of C in the definition of the tortoise coordinate $r^\star(r)$, the hypersurfaces $\check{\Sigma}_\tau$ are well defined on $\{r = R\}$ for all $\tau \in \mathbb{R}$. Moreover, $\check{\Sigma}_\tau$ crosses the event horizon \mathcal{H}^+ and terminates at future null infinity \mathcal{I}^+ for every $\tau \in \mathbb{R}$, as seen in the figure below. Note, along \mathcal{H}^+ the parameter of the foliation above satisfies: $\tau = v + (M + C)$.



For both foliations above, it is rather useful to consider a coordinate system associated to them. Of course, there is a natural way to define an induced one; for any $P \in \Sigma_\tau$ (or $\check{\Sigma}_\tau$) with coordinates $P = (v_P, r_P, \omega_P)$, let $\rho = r_P$ and $\omega = \omega_P$, where ω_P corresponds to the spherical coordinates. Thus, for each point on the hypersurface there is an associated pair (ρ, ω) for $\rho \geq M$, $\omega \in \mathbb{S}^2$. In addition, by the construction of the foliations, we have $\partial_\rho = k(r) \cdot \partial_v + \partial_r$, for a bounded function $k(r)$. Hence, in view of $[\partial_v, \partial_\rho] = [\partial_\rho, \partial_\theta] = [\partial_\rho, \partial_\phi] = [\partial_\theta, \partial_\phi] = 0$, and Frobenius theorem, we have that (ρ, ω) defines a Lie propagated coordinate system on the foliation Σ_τ (similarly for $\check{\Sigma}_\tau$).

2.2 The $S^2_{v,r}$ -tensor algebra and commutation formulae in ERN

We briefly present relevant angular operators for $S^2_{v,r}$ -tensors and useful identities they satisfy, adopted on the ERN spacetime. We express everything with respect to the ingoing Eddington–Finkelstein coordinates $(v, r, \vartheta, \varphi)$.

Let ∇ be the covariant derivative associated to the round metric g on the spheres $S^2_{v,r}$. Next, we denote by ∇_{∂_r} the projection to $S^2_{v,r}$ of the spacetime covariant derivative $\nabla_{\frac{\partial}{\partial r}}$. For the following definitions of angular operators, let ξ_A be any one-form and θ_{AB} be any symmetric traceless 2-tensor on $S^2_{v,r}$. Then,

- ◇ \mathcal{D}_1 takes ξ to the pair of scalars $(d\!\!\!/v\xi, curl\xi)$, where

$$d\!\!\!/v\xi = \nabla^A \xi_A, \quad curl\xi = \epsilon^{AB} \nabla_A \xi_B$$

- ◇ \mathcal{D}_1^* is the formal L^2 -adjoint of \mathcal{D}_1 , which takes any pair of scalars (f, g) into the one-form $-\nabla_A f + \epsilon_{AB} \nabla^B g$.
- ◇ \mathcal{D}_2 takes the tensor θ to the one-form $(d\!\!\!/v\theta)_A = \nabla^C \theta_{CA}$.

- ◇ \mathcal{P}_2^* is the formal L^2 -adjoint of \mathcal{P}_2 which takes a one form ξ to the symmetric traceless two tensor

$$(\mathcal{P}_2^* \xi)_{AB} = -\frac{1}{2} (\nabla_B \xi_A + \nabla_A \xi_B - (d!v\xi)g_{AB}).$$

The first set of identities relating the above operators to the Laplacian Δ on $S_{v,r}^2$ can be easily checked

$$\begin{aligned} \mathcal{P}_1 \mathcal{P}_1^* &= -\Delta_0, & \mathcal{P}_1^* \mathcal{P}_1 &= -\Delta_1 + K, \\ \mathcal{P}_2 \mathcal{P}_2^* &= -\frac{1}{2} \Delta_1 - \frac{1}{2} K, & \mathcal{P}_2^* \mathcal{P}_2 &= -\frac{1}{2} \Delta_2 + K, \end{aligned} \quad (4)$$

where K is the Gauss curvature of $S_{v,r}^2$ and Δ_k is the Laplacian acting on k -tensors respectively.

In addition, for any ξ_{A_1, \dots, A_n} , an n -covariant $S_{v,r}^2$ -tensor, we have

$$(\nabla_{\partial_r} \nabla_B - \nabla_B \nabla_{\partial_r}) \xi_{A_1 \dots A_n} = -\frac{1}{r} \nabla_B \xi_{A_1 \dots A_n} \quad (5)$$

from which we obtain the commutation identities

$$[\nabla_{\partial_r}, \mathcal{P}_1] \xi = -\frac{1}{r} \mathcal{P}_1 \xi, \quad [\nabla_{\partial_r}, \mathcal{P}_2] \theta = -\frac{1}{r} \mathcal{P}_2 \theta. \quad (6)$$

2.3 Spherical harmonics and elliptic identities

In this paragraph, we briefly recall the spherical harmonics, and using the operators introduced above we define the corresponding orthogonal decomposition of one forms ξ and traceless symmetric 2-tensors θ on $S_{v,r}^2$.

Fix $\ell \in \mathbb{N}$, $|m| \leq \ell$ and denote by $\hat{Y}_m^\ell(\vartheta, \varphi)$ the spherical harmonics on the unit sphere, i.e.

$$\Delta_{\hat{g}} \hat{Y}_m^\ell = -\ell(\ell+1) \hat{Y}_m^\ell.$$

This family forms an orthogonal basis of $L^2(\mathbb{S}^2)$ with respect to the standard inner product on the sphere. Since we will be working on spheres of radius r , $S_{v,r}^2$, we take the normalized spherical harmonics denoted by $Y_m^\ell(r, \vartheta, \varphi)$ that satisfy

$$\Delta Y_m^\ell = -\frac{1}{r^2} \ell(\ell+1) Y_m^\ell.$$

A function f is said to be supported on the fixed frequency ℓ if the following projections vanish

$$\int_{S_{v,r}^2} f \cdot Y_m^{\tilde{\ell}} = 0,$$

for all $\tilde{\ell} \neq \ell$.

Now, we recall that any one-form ξ has a unique representation $\xi = r\mathcal{P}_1^*(f, g)$ for two functions f, g on the unit sphere with vanishing mean, i.e. $f_{\ell=0} = g_{\ell=0} = 0$. Similarly, any traceless symmetric two-tensor θ on $S_{v,r}^2$ has a unique representation $\theta = r^2\mathcal{P}_2^*\mathcal{P}_1^*(f, g)$, where both scalars f, g are supported on $\ell \geq 2$.

We say that ξ , or θ , are supported on the fixed frequency ℓ , if the scalars f, g in their unique representation are supported on the fixed frequency ℓ . Now, using the identities from relation (4) we can show

$$\int_{S_{v,r}^2} \left(|\nabla \xi|^2 + \frac{1}{r^2} |\xi|^2 \right) = \int_{S_{v,r}^2} |\mathcal{P}_1 \xi|^2 \quad (7)$$

$$\int_{S_{v,r}^2} \left(|\nabla \theta|^2 + \frac{2}{r^2} |\theta|^2 \right) = 2 \int_{S_{v,r}^2} |\mathcal{P}_2 \theta|^2, \quad (8)$$

where for any $S_{v,r}^2$ -tensor of rank n , $\xi_{A_1 \dots A_n}$, we have

$$|\xi|^2 := \xi^{A_1 \dots A_n} \cdot \xi_{A_1 \dots A_n} = g^{A_1 B_1} \dots g^{A_n B_n} \xi_{A_1 \dots A_n} \xi_{B_1 \dots B_n}$$

In addition, if ξ, θ are supported on the fixed angular frequency ℓ , we have the following elliptic identities; see relations (24),(25) in [30]

$$\int_{S_{v,r}^2} |\nabla \xi|^2 = \int_{S_{v,r}^2} \frac{\ell(\ell+1)-1}{r^2} |\xi|^2, \quad \ell \geq 1 \quad (9)$$

$$\int_{S_{v,r}^2} |\nabla \theta|^2 = \int_{S_{v,r}^2} \frac{\ell(\ell+1)-4}{r^2} |\theta|^2, \quad \ell \geq 2. \quad (10)$$

In particular, for ξ supported on the fixed angular frequency $\ell \geq 1$, we have

$$\int_{S_{v,r}^2} |\mathcal{P}_1 \xi|^2 = \int_{S_{v,r}^2} \frac{\ell(\ell+1)}{r^2} |\xi|^2 \quad (11)$$

and for a traceless symmetric tensor θ supported on the angular frequency $\ell \geq 2$, using the above identity for $\xi = \mathcal{P}_2 \theta$ and then identities (8), (10) we obtain

$$\int_{S_{v,r}^2} |\mathcal{P}_1 \mathcal{P}_2 \theta|^2 = \int_{S_{v,r}^2} \frac{\ell(\ell+1)}{2r^2} \cdot \frac{\ell(\ell+1)-2}{r^2} |\theta|^2 \quad (12)$$

3 The generalized Teukolsky and Regge–Wheeler system and the gauge invariant hierarchy

The main goal of this paper is to study induced gauge-invariant quantities, arising from linear gravitational and electromagnetic perturbations of ERN spacetime. To do so, we rely on the set-up and resulting linearized equations obtained in [28, 32], however, we adapt all notions involved to the extremal case $M = |Q|$.

In the following subsections, we first give an overview of the general set-up used and briefly describe the quantities we will be working with throughout the paper. Next, we write down the generalized Teukolsky system that the aforementioned quantities satisfy; we also go through the transformation theory that allows us to study this system by reducing it to a set of generalized Regge–Wheeler equations. Finally, using operators introduced in Section 2, we derive the resulting scalar system from the tensorial one, and we show that it is subject to decoupling after we consider its spherical harmonics decomposition.

3.1 The set-up and the gauge-invariant quantities

Let $(\mathcal{M}, \mathbf{g})$ be a $3 + 1$ -dimensional Lorentzian manifold satisfying the Einstein–Maxwell equations

$$\begin{aligned} Ric(\mathbf{g})_{\mu\nu} &= 2F_{\mu\lambda}F_{\nu}^{\lambda} - \frac{1}{2}\mathbf{g}_{\mu\nu}F^{\alpha\beta}F_{\alpha\beta} \\ D_{[\alpha}F_{\beta\gamma]} &= 0, \quad D^{\alpha}F_{\alpha\beta} = 0, \end{aligned} \quad (13)$$

where D is the covariant derivative associated to the metric $\mathbf{g}_{\mu\nu}$, and $F_{\mu\nu}$ is the electromagnetic tensor. The author in [29] builds upon the formalism of **null frames** and initiates a null decomposition of (13) for induced quantities, i.e. Ricci coefficients, curvature, electromagnetic components, and the equations they satisfy. This can be done since any Lorentzian manifold admits a foliation out of 2-surfaces (S, \mathbf{g}) , where \mathbf{g} is the pullback metric of \mathbf{g} on S , and at each point in \mathcal{M} we can associate a local null frame³ $\mathcal{N} = \{e_3, e_4, e_A\}$, with e_A tangent to S , for $A \in \{1, 2\}$. We briefly express relevant quantities with respect to this null frame:

- **Ricci coefficients**

$$\begin{aligned} \chi_{AB} &:= \mathbf{g}(\mathbf{D}_A e_4, e_B), & \underline{\chi}_{AB} &:= \mathbf{g}(\mathbf{D}_A e_3, e_B) \\ \eta_A &:= \frac{1}{2}\mathbf{g}(\mathbf{D}_3 e_4, e_A), & \underline{\eta}_A &:= \frac{1}{2}\mathbf{g}(\mathbf{D}_4 e_3, e_A) \\ \xi_A &:= \frac{1}{2}\mathbf{g}(\mathbf{D}_4 e_4, e_A), & \underline{\xi}_A &:= \frac{1}{2}\mathbf{g}(\mathbf{D}_3 e_3, e_A) \\ \omega &:= \frac{1}{4}\mathbf{g}(\mathbf{D}_4 e_4, e_3), & \underline{\omega} &:= \frac{1}{4}\mathbf{g}(\mathbf{D}_3 e_3, e_4) \\ \zeta_A &:= \frac{1}{2}\mathbf{g}(\mathbf{D}_A e_4, e_3), \end{aligned}$$

and we also denote by $\kappa := tr \chi$, $\underline{\kappa} := tr \underline{\chi}$.

³ A null frame is one that satisfies: $g(e_3, g_3) = g(e_4, e_4) = 0$, $g(e_3, e_4) = -2$ and $g(e_A, e_B) = g_{AB}$

• Curvature components

$$\begin{aligned}\alpha_{AB} &:= \mathbf{W}(e_A, e_4, e_B, e_4), & \underline{\alpha}_{AB} &:= \mathbf{W}(e_A, e_3, e_B, e_3) \\ \beta_A &:= \frac{1}{2}\mathbf{W}(e_A, e_4, e_3, e_4), & \underline{\beta}_A &:= \frac{1}{2}\mathbf{W}(e_A, e_3, e_3, e_4) \\ \rho &:= \frac{1}{4}\mathbf{W}(e_3, e_4, e_3, e_4), & \sigma &:= \frac{1}{4}\star\mathbf{W}(e_3, e_4, e_3, e_4)\end{aligned}$$

where \mathbf{W} is the Weyl curvature of \mathbf{g} and $\star\mathbf{W}$ denotes the Hodge dual.

• Electromagnetic components

$$\begin{aligned}{}^{(F)}\beta_A &:= \mathbf{F}(e_A, e_4), & {}^{(F)}\underline{\beta}_A &:= \mathbf{F}(e_A, e_3) \\ {}^{(F)}\rho &:= \frac{1}{2}\mathbf{F}(e_3, e_4), & {}^{(F)}\sigma &:= \frac{1}{2}\star\mathbf{F}(e_3, e_4)\end{aligned}$$

where $\star\mathbf{F}$ denotes the Hodge dual of \mathbf{F} .

With the above in mind, consider a one-parameter family of Lorentian metrics $\mathbf{g}(\epsilon)$, around the Reissner–Nordström (RN) solution, $\mathbf{g}(0) \equiv g_{M,Q}$, solving (13) and written in the following **Bondi form**; see [29].

$$\begin{aligned}\mathbf{g}(\epsilon) &= -2\varsigma(\epsilon)duds - \varsigma(\epsilon)^2\underline{\Omega}(\epsilon)du^2 \\ &\quad + \mathfrak{g}_{AB}(\epsilon)\left(d\theta^A - \frac{1}{2}\varsigma(\epsilon)\underline{b}(\epsilon)^A du\right)\left(d\theta^B - \frac{1}{2}\varsigma(\epsilon)\underline{b}(\epsilon)^B du\right),\end{aligned}$$

with $\varsigma(0) = 1$, $\underline{\Omega}(0) = \left(1 - \frac{2M}{r} + \frac{Q^2}{r^2}\right)$, $\underline{b}_A(0) = 0$ and $\mathfrak{g}_{AB}(0) \equiv r^2\gamma_{AB}$.

Initiating a linear gravitational and electromagnetic perturbation of RN space-time corresponds to linearizing the full system of equations obtained from the null decomposition of (13) in terms of ϵ , with respect to the associated null frame $\mathcal{N}_\epsilon = \{e_3(\epsilon), e_4(\epsilon), e_A(\epsilon)\}$ given by

$$e_3(\epsilon) = 2\varsigma^{-1}(\epsilon)\partial_u + \underline{\Omega}(\epsilon)\partial_s + \underline{b}(\epsilon)^A\partial_{\theta A}, \quad e_4(\epsilon) = \partial_s, \quad e_A = \partial_{\theta A}.$$

For example, the linearization of the induced Bianchi equation satisfied by the extreme curvature component $\alpha(\epsilon) \equiv 0 + \epsilon \cdot \alpha$ yields the following equation for the linearized quantity α

$$\nabla_3\alpha + \left(\frac{1}{2}\underline{\kappa} - 4\underline{\omega}\right)\alpha = -2\mathring{\nabla}_2^\star\beta - 3\rho\hat{\chi} - 2{}^{(F)}\rho\left(\mathring{\nabla}_2^{\star(F)}\beta + {}^{(F)}\rho\hat{\chi}\right),$$

where all operators and scalars that appear above are taken with respect to the RN background metric; the rest are unknowns of the linearized system. For the complete set of linearized system and their list of unknowns, see section 4 in [29].

Gauge-invariant quantities. In this paper, we focus our analysis on a special set of derived unknowns called *gauge-invariant quantities*, identified as the ones that vanish

in any *pure gauge* solution. Pure gauge solutions are derived from linearizing families of metrics that correspond to a smooth coordinate transformation of RN , preserving its Bondi form.

The first two such quantities are unknowns of the linearized system itself, α and $\underline{\alpha}$, which correspond to the linearized quantities of the extreme curvature components $\alpha(\epsilon)$, $\underline{\alpha}(\epsilon)$, respectively. In the linear theory of Einstein **vacuum** equations, these quantities satisfy decoupled wave equations, known as the Teukolsky equation, which is the starting point of the analysis. In the Einstein–Maxwell case, they no longer appear alone in these equations since there is a new set of gauge invariant quantities acting as a source. These new quantities were first defined in [28, 32] as

$$\mathfrak{f} := \mathcal{P}_2^{\star(F)}\beta + {}^{(F)}\rho\hat{\chi}, \quad \underline{\mathfrak{f}} := \mathcal{P}_2^{\star(F)}\underline{\beta} - {}^{(F)}\rho\underline{\hat{\chi}} \quad (14)$$

where ${}^{(F)}\beta$, ${}^{(F)}\underline{\beta}$ and $\hat{\chi}$, $\underline{\hat{\chi}}$ are unknowns of the linearized system, while ${}^{(F)}\beta$, \mathcal{P}_2^{\star} are to be taken with respect to the background RN metric. It is quite remarkable that also \mathfrak{f} and $\underline{\mathfrak{f}}$ satisfy Teukolsky type equations themselves, however, coupled with α and $\underline{\alpha}$, respectively, acting as a source.

The last set of gauge invariant quantities that were also introduced are given by

$$\tilde{\beta} := 2{}^{(F)}\rho\beta - 3\rho{}^{(F)}\beta, \quad \underline{\tilde{\beta}} := 2{}^{(F)}\rho\underline{\beta} - 3\rho{}^{(F)}\underline{\beta}, \quad (15)$$

where ${}^{(F)}\beta$, ${}^{(F)}\underline{\beta}$ and β , $\underline{\beta}$ are unknowns. In the case of Maxwell equations in Schwarzschild spacetime, we have ${}^{(F)}\rho = 0$ and the quantities ${}^{(F)}\beta$, ${}^{(F)}\underline{\beta}$ are gauge-invariant themselves, satisfying Teukolsky equations of ± 1 spin. However, in our case where ${}^{(F)}\rho \neq 0$, we consider the modified quantities (15) which now are gauge-invariant and we will see they satisfy a generalized Teukolsky equation of ± 1 spin.

3.2 Rescaled null frame and regular quantities

Throughout the papers [29, 30], the author uses the null frame \mathcal{N}_ϵ defined in terms of the Bondi coordinates reducing to the *outgoing Eddington–Finkelstein* coordinates in the case of RN spacetime. However, much like the coordinate system itself, this null frame does **not** extend smoothly to the event horizon \mathcal{H}^+ . Nevertheless, the rescaled null frame $\mathcal{N}_\star := \{\underline{\Omega}^{-1}(\epsilon)\epsilon_3(\epsilon), \underline{\Omega}(\epsilon)e_4(\epsilon), e_A\}$ extends smoothly to \mathcal{H}^+ , and so do all induced gauge-invariant quantities when expressed with respect to this frame.

Below, we write the rescaled frame in the extreme RN spacetime with respect to the *ingoing Eddington–Finkelstein* coordinates, and we give the appropriate rescalings of relevant quantities so that they extend smoothly on \mathcal{H}^+ . In particular, in ERN we have $\underline{\Omega} = D(r) = (1 - \frac{M}{r})^2$, and in the ingoing coordinates (3) the rescaled null vectors are given by

$$e_3^\star = -\partial_r, \quad e_4^\star = 2\partial_v + D\partial_r. \quad (16)$$

Then, the corresponding set of rescaled gauge-invariant quantities that extend smoothly on \mathcal{H}^+ , and the ones that we aim to obtain estimates for, are given by

$$\begin{aligned}\alpha_\star &= D^2 \cdot \alpha, & \mathfrak{f}_\star &= D \cdot \mathfrak{f}, & \tilde{\beta}_\star &= D \cdot \tilde{\beta} \\ \underline{\alpha}_\star &= D^{-2} \cdot \underline{\alpha}, & \underline{\mathfrak{f}}_\star &= D^{-1} \cdot \underline{\mathfrak{f}}, & \underline{\tilde{\beta}}_\star &= D^{-1} \cdot \underline{\tilde{\beta}}\end{aligned}\quad (17)$$

From now on, all quantities with \star subscript are expressed with respect to the rescaled frame \mathcal{N}_\star defined above, and thus are regular up to and including the horizon \mathcal{H}^+ .

3.3 The Teukolsky and Regge–Wheeler system of \pm spin

First, let us present the generalized Teukolsky equations of ± 2 spin satisfied by the traceless, symmetric gauge-invariant quantities α_\star , \mathfrak{f}_\star , and $\underline{\alpha}_\star$, $\underline{\mathfrak{f}}_\star$. We simply adapt the equations of [29] in the case of ERN and express them in terms of the \mathcal{N}_\star null frame. For the $+2$ spin equations, in view of Definition 5, p. 69, [30], we have

$$\begin{aligned}\square_{\mathbf{g}}\alpha_\star &= 2(\kappa_\star + 2\omega_\star)\nabla_{3^\star}\alpha_\star + \left(\frac{1}{2}\kappa_\star\underline{\kappa}_\star - 4\rho_\star + 4^{(F)}\rho_\star^2 + 2\omega_\star\underline{\kappa}_\star\right)\alpha_\star \\ &\quad + 4^{(F)}\rho_\star(\nabla_{4^\star}\mathfrak{f}_\star + (\kappa_\star + 2\omega_\star)\mathfrak{f}_\star), \\ \square_{\mathbf{g}}(r\mathfrak{f}_\star) &= (\kappa_\star + 2\omega_\star)\nabla_{3^\star}(r\mathfrak{f}_\star) + \left(-\frac{1}{2}\kappa_\star\underline{\kappa}_\star - 3\rho_\star + \omega_\star\underline{\kappa}_\star\right)r\mathfrak{f}_\star \\ &\quad - r^{(F)}\rho_\star(\nabla_{3^\star}\alpha_\star + \underline{\kappa}_\star\alpha_\star).\end{aligned}\quad (18)$$

where $\square_{\mathbf{g}} = \mathbf{g}^{\mu\nu}\nabla_\nu\nabla_\mu$ is taken with respect to the extreme Reissner–Nordström metric, and we denote $\nabla_{3^\star} \equiv \nabla_{e_3^\star}$, and similarly for e_4^\star . All coefficients above correspond to the background ERN values when expressed in the \mathcal{N}_\star null frame, given by

$$\begin{aligned}\kappa_\star &= \frac{2D}{r}, & \underline{\kappa}_\star &= -\frac{2}{r}, & \omega_\star &= -\frac{M}{r^2}\sqrt{D}, & \underline{\omega}_\star &= 0, \\ {}^{(F)}\rho_\star &= \frac{M}{r^2}, & \rho_\star &= -\frac{2M}{r^3}\sqrt{D}.\end{aligned}$$

Similarly, we have the -2 spin equations

$$\begin{aligned}\square_{\mathbf{g}}\underline{\alpha}_\star &= -4\omega\nabla_{3^\star}\underline{\alpha}_\star + 2\underline{\kappa}\nabla_{4^\star}\underline{\alpha}_\star + \left(\frac{1}{2}\kappa\underline{\kappa} - 4\rho + 4^{(F)}\rho^2 - 10\omega\underline{\kappa} - 4\nabla_{3^\star}\omega\right)\underline{\alpha}_\star \\ &\quad - 4^{(F)}\rho(\nabla_{3^\star}\underline{\mathfrak{f}}_\star + (\underline{\kappa} + 2\underline{\omega})\underline{\mathfrak{f}}_\star), \\ \square_{\mathbf{g}}(r\underline{\mathfrak{f}}_\star) &= -2\omega\nabla_{3^\star}(r\underline{\mathfrak{f}}_\star) + \underline{\kappa}\nabla_{4^\star}(r\underline{\mathfrak{f}}_\star) + \left(-\frac{1}{2}\kappa\underline{\kappa} - 3\rho - 3\omega\underline{\kappa} - 2\nabla_{3^\star}\omega\right)r\underline{\mathfrak{f}}_\star \\ &\quad + r^{(F)}\rho(\nabla_{4^\star}\underline{\alpha}_\star + (\kappa - 4\omega)\underline{\alpha}_\star)\end{aligned}\quad (19)$$

Regarding the ± 1 spin generalized Teukolsky equations satisfied by $\tilde{\beta}_\star$ and $\underline{\tilde{\beta}}_\star$ we have

$$\begin{aligned}\square_{\mathbf{g}}(r^3 \tilde{\beta}_\star) &= (\kappa_\star + 2\omega_\star) \nabla_{3^\star} (r^3 \tilde{\beta}_\star) + \left(\frac{1}{4} \kappa_\star \underline{\kappa}_\star + \omega_\star \underline{\kappa}_\star - 2\rho_\star + 3^{(F)} \rho_\star^2 + 2\nabla_{3^\star} \omega_\star \right) r^3 \tilde{\beta}_\star \\ &\quad - 2r^3 \underline{\kappa}_\star^{(F)} \rho_\star^2 \left(\nabla_{4^\star}^{(F)} \beta_\star + \left(\frac{3}{2} \kappa_\star + 2\omega_\star \right)^{(F)} \beta_\star - 2^{(F)} \rho_\star \xi_\star \right) \\ &\quad + 8r^3 \left({}^{(F)} \rho_\star \right)^2 \cdot d\mathfrak{f} v_{\mathfrak{f}_\star} \\ \square_{\mathbf{g}}(r^3 \underline{\tilde{\beta}}_\star) &= -2\omega_\star \nabla_{3^\star} (r^3 \underline{\tilde{\beta}}_\star) + \underline{\kappa} \nabla_{4^\star} (r^3 \underline{\tilde{\beta}}_\star) + \left(\frac{1}{4} \kappa_\star \underline{\kappa}_\star - 3\omega_\star \underline{\kappa}_\star - 2\rho + 3^{(F)} \rho_\star^2 \right) r^3 \underline{\tilde{\beta}}_\star \\ &\quad - 2r^3 \kappa_\star^{(F)} \rho_\star^2 \left(\nabla_{3^\star}^{(F)} \underline{\beta}_\star + \frac{3}{2} \kappa_\star^{(F)} \underline{\beta}_\star + 2^{(F)} \rho_\star \underline{\xi}_\star \right) + 8r^3 \left({}^{(F)} \rho_\star \right)^2 \cdot d\mathfrak{f} v_{\underline{\mathfrak{f}}_\star},\end{aligned}\quad (20)$$

where here ${}^{(F)} \beta_\star$, ξ_\star and ${}^{(F)} \underline{\beta}_\star$, $\underline{\xi}_\star$ are unknowns of the linearized system.

In addition to the Teukolsky equations above, the following relating equations have been derived at Lemma 6.1 [28], for α , \mathfrak{f} and $\underline{\beta}$, and their underlined analogues.

$${}^{(F)} \rho_\star \frac{1}{\underline{\kappa}_\star} \nabla_{3^\star} (r^3 \underline{\kappa}_\star^2 \alpha_\star) = - \left({}^{(F)} \rho_\star^2 + 3\rho_\star \right) r^3 \underline{\kappa}_\star \mathfrak{f}_\star - r^3 \underline{\kappa}_\star \mathcal{P}_2^\star (\tilde{\beta}_\star) \quad (21)$$

$${}^{(F)} \rho_\star \frac{1}{\kappa_\star} \nabla_{4^\star} (r^3 \kappa_\star^2 \underline{\alpha}_\star) = \left({}^{(F)} \rho_\star^2 + 3\rho_\star \right) r^3 \kappa_\star \underline{\mathfrak{f}}_\star + r^3 \kappa_\star \mathcal{P}_2^\star (\underline{\tilde{\beta}}_\star) \quad (22)$$

We will use these relations to obtain estimates for α_\star and $\underline{\alpha}_\star$, after we control the quantities of the right-hand side.

Below, we present the transformation theory that allows us to obtain the generalized Regge–Wheeler equation. We write our equations in the ingoing coordinates of Section 2.

The transformation theory. For any n –rank $S_{v,r}^2$ –tensor ξ , consider the following operators

$$\underline{P}(\xi) := \frac{1}{\underline{\kappa}_\star} \nabla_{3^\star} (r \cdot \xi) = \frac{r}{2} \nabla_{\partial_r} (r \cdot \xi), \quad (23)$$

$$P(\xi) := \frac{1}{\kappa_\star} \nabla_{4^\star} (r \cdot \xi) = \frac{r}{2D} \nabla_{2\partial_v + D\partial_r} (r \cdot \xi) \quad (24)$$

Using the above operators, the author of [28, 32] defines the following tensors

$$\mathbf{q}^F := \underline{P}(r^2 \underline{\kappa}_\star \cdot \mathfrak{f}_\star) = -r \nabla_{\partial_r} (r^2 \mathfrak{f}_\star) \quad (25)$$

$$\mathbf{p} := \underline{P}(r^4 \underline{\kappa}_\star \cdot \tilde{\beta}_\star) = -r \nabla_{\partial_r} (r^4 \tilde{\beta}_\star) \quad (26)$$

and similarly,

$$\underline{\mathbf{q}}^F := P(r^2 \kappa_\star \cdot \underline{\mathfrak{f}}_\star) = \frac{1}{\kappa_\star} \nabla_{4^\star} (r^3 \kappa_\star \cdot \underline{\mathfrak{f}}_\star)$$

$$= r^3 \nabla_{\partial_v} \underline{f}_\star + r \nabla_{\partial_r} \left(r^2 D \cdot \underline{f}_\star \right), \quad (27)$$

$$\begin{aligned} \underline{p} &:= P(r^4 \kappa_\star \tilde{\beta}_\star) = \frac{1}{\kappa_\star} \nabla_{4^\star} \left(r^5 \kappa_\star \cdot \tilde{\beta}_\star \right) \\ &= r^5 \nabla_{\partial_v} \tilde{\beta}_\star + r \nabla_{\partial_r} \left(r^4 D \cdot \tilde{\beta}_\star \right). \end{aligned} \quad (28)$$

Note, the tensors \underline{q}^F , \underline{p} and \underline{q}^F , \underline{p} , are regular on the horizon \mathcal{H}^+ . In [30], it has been shown that the above symmetric traceless tensors satisfy the following generalized Regge–Wheeler equations, adapted in ERN spacetime

$$\begin{aligned} \square_g \underline{p} - V_1 \underline{p} &= \frac{8M^2}{r^2} \mathcal{P}_2 \underline{q}^F \\ \square_g \underline{q}^F - V_2 \underline{q}^F &= \frac{1}{r^2} \mathcal{P}_2^\star \underline{p} \end{aligned} \quad (29)$$

where, g corresponds to the background ERN metric as in (3), and

$$V_1 = \frac{1}{r^2} \left(1 - \frac{2M}{r} + \frac{6M^2}{r^2} \right) = \frac{1}{r^2} \left(D(r) + \frac{5M^2}{r^2} \right), \quad (30)$$

$$V_2 = \frac{4}{r^2} \left(1 - \frac{2M}{r} + \frac{3M^2}{2r^2} \right) = \frac{4}{r^2} \left(D(r) + \frac{M^2}{2r^2} \right), \quad (31)$$

The same equations hold for \underline{q}^F and \underline{p} as well. In the next subsection, we derive the associated scalar system and we show how to decouple it. This decoupling captures the dominant behavior of solutions to (29) asymptotically along the event horizon \mathcal{H}^+ ; see discussion at the end of Section 6.

3.4 Derivation and decoupling of the scalar Regge–Wheeler system

System (29) involves two tensorial equations, of type 2 and 1. Instead, we use the $S_{v,r}^2$ angular operators of Section 2 to derive the corresponding scalar system. *From now on we only write the equations for the positive spin case, and all estimates we derive will automatically hold for the underline counterparts since they satisfy the same equations.*

Proposition 3.1 *The induced scalars $\phi := r^2 \mathcal{P}_1 \mathcal{P}_2 \underline{q}^F$, and $\psi := r \mathcal{P}_1 \underline{p}$, satisfy the following coupled system*

$$\begin{aligned} \square_g \phi + (4K - V_2) \phi &= -\frac{1}{2r} \Delta \psi - \frac{K}{r} \psi \\ \square_g \psi + (K - V_1) \psi &= \frac{8M^2}{r^3} \phi. \end{aligned} \quad (32)$$

where K is the Gauss curvature of the section spheres, and V_1, V_2 as in (30).

Proof We use Lemma A.1.4. of [32]:

$$\begin{aligned}(-r \mathcal{D}_2 \square_2 + \square_1 r \mathcal{D}_2) \Psi &= -3Kr \mathcal{D}_2 \Psi \\ (-r \mathcal{D}_1 \square_1 + \square_0 r \mathcal{D}_1) \Phi &= -Kr \mathcal{D}_1 \Phi. \\ -\mathcal{D}_1 \mathcal{A}_1 + \mathcal{A}_0 \mathcal{D}_1 &= -K \mathcal{D}_1.\end{aligned}$$

In particular, commuting equations of system (29) with \mathcal{D}_1 , \mathcal{D}_2 and using the relations above we obtain the left hand side of the equations as seen above in (32).

For the right-hand side of the first equations we need to compute

$$\mathcal{D}_1 \mathcal{D}_2 \mathcal{D}_2^* p$$

We use the fact that $\mathcal{D}_2 \mathcal{D}_2^* = -\frac{1}{2} \mathcal{A}_1 - \frac{1}{2} K$, from relation (4), and the last relation of the Lemma above to obtain

$$\mathcal{D}_1 (\mathcal{D}_2 \mathcal{D}_2^* p) = \mathcal{D}_1 \left(-\frac{1}{2} \mathcal{A}_1 - \frac{1}{2} K \right) p = -\frac{1}{2} \mathcal{A}_0 (\mathcal{D}_1 p) - K \mathcal{D}_1 p,$$

which concludes the proof. \square

Remark 3.1 We saw earlier that p, q^F are regular quantities on the horizon H^+ , and thus, ϕ and ψ are regular on the horizon as well.

The Cauchy problem for the scalar coupled system

The Teukolsky system we introduced earlier admits a well-posed Cauchy initial value problem; see [28, 32]. However, we will be studying the induced coupled scalar system (32) independently as a system of its own. In particular, we consider general solutions (ϕ, ψ) to system (32) arising from initial data

$$\begin{aligned}(\phi|_{\Sigma_0}, n_{\Sigma_0} \phi|_{\Sigma_0}) &\equiv (\phi_0, \phi_1) \in H_{loc}^k(\Sigma_0) \times H_{loc}^{k-1}(\Sigma_0), \\ (\psi|_{\Sigma_0}, n_{\Sigma_0} \psi|_{\Sigma_0}) &\equiv (\psi_0, \psi_1) \in H_{loc}^k(\Sigma_0) \times H_{loc}^{k-1}(\Sigma_0)\end{aligned}\tag{33}$$

for any $k \geq 2$, with Σ_0 as in the foliation paragraph of Section 2, and n_{Σ_0} its future directed unit normal. Then, the solution (ϕ, ψ) is unique in $\mathcal{R}(0, \tau)$, with $(\phi, \psi) \in H_{loc}^k(\Sigma_\tau) \times H_{loc}^k(\Sigma_\tau)$ and $(n_\Sigma \phi, n_\Sigma \psi) \in H_{loc}^{k-1}(\Sigma_\tau) \times H_{loc}^{k-1}(\Sigma_\tau)$, for all $\tau > 0$. Typically, we assume that k is sufficiently large such that all weighted norms appearing in our estimates are finite.

In order to obtain pointwise estimates, we also impose the extra assumptions

$$\lim_{p \rightarrow i^0} r \phi^2(p) \Big|_{\Sigma_0} = 0, \quad \lim_{p \rightarrow i^0} r \psi^2(p) \Big|_{\Sigma_0} = 0.\tag{34}$$

In this paper, by “**generic initial data**” we implicitly refer to those that are naturally derived from the above initial data satisfying the aforementioned assumptions.

We proceed with the following proposition, in which we show how to decouple the scalar system (32).

Proposition 3.2 *Consider the spherical harmonic decomposition of (32), then the system of equations supported on the fixed frequency $\ell \geq 2$ decouple to*

$$\square_g \Psi_1^{(\ell)} + \left(\frac{5M}{r^3} - \frac{M(2\ell+1)}{r^3} - \frac{6M^2}{r^4} \right) \Psi_1^{(\ell)} = 0 \quad (35)$$

$$\square_g \Psi_2^{(\ell)} + \left(\frac{5M}{r^3} + \frac{M(2\ell+1)}{r^3} - \frac{6M^2}{r^4} \right) \Psi_2^{(\ell)} = 0 \quad (36)$$

where $\mu^2 = (\ell+2)(\ell-1)$ and

$$\Psi_1^{(\ell)} := 2M\mu \tilde{\phi}_\ell + 2M(\ell+2) \tilde{\psi}_\ell$$

$$\Psi_2^{(\ell)} := 2M(\ell+2) \tilde{\phi}_\ell - 2M\mu \tilde{\psi}_\ell$$

for $\tilde{\psi} = \frac{1}{4}\mu\psi$ and $\tilde{\phi} = M\phi$.

Proof We project system (32) to their spherical harmonics ψ_ℓ, ϕ_ℓ and in view of $\Delta\psi_\ell = -\frac{\ell(\ell+1)}{r^2}\psi_\ell$, $K = \frac{1}{r^2}$, they satisfy the system

$$\begin{aligned} \square_g \phi_\ell + \left(\frac{8M}{r^3} - \frac{6M^2}{r^4} \right) \phi_\ell &= \frac{\mu^2}{2r^3} \psi_\ell, & \mu^2 &= (\ell+2)(\ell-1) \\ \square_g \psi_\ell + \left(\frac{2M}{r^3} - \frac{6M^2}{r^4} \right) \psi_\ell &= \frac{8M^2}{r^3} \phi_\ell. \end{aligned}$$

Now, consider the rescalings

$$\tilde{\psi}_\ell := \frac{\mu}{4} \psi_\ell, \quad \tilde{\phi}_\ell := M\phi_\ell,$$

and by writing $\frac{8M}{r^3} = \frac{5M}{r^3} + \frac{3M}{r^3}$, $\frac{2M}{r^3} = \frac{5M}{r^3} - \frac{3M}{r^3}$ we obtain the following system

$$\begin{aligned} \square_g \tilde{\phi}_\ell + \left(\frac{5M}{r^3} - \frac{6M^2}{r^4} \right) \tilde{\phi}_\ell &= \frac{2M\mu}{r^3} \tilde{\psi}_\ell - \frac{3M}{r^3} \tilde{\phi}_\ell, \\ \square_g \tilde{\psi}_\ell + \left(\frac{5M}{r^3} - \frac{6M^2}{r^4} \right) \tilde{\psi}_\ell &= \frac{2M\mu}{r^3} \tilde{\phi}_\ell + \frac{3M}{r^3} \tilde{\psi}_\ell. \end{aligned}$$

Let us denote by $\mathcal{P} := \square_g + \left(\frac{5M}{r^3} - \frac{6M^2}{r^4} \right)$, the operator of the left-hand side above, and the above system now reads

$$(r^3 \mathcal{P}) \begin{pmatrix} \tilde{\phi}_\ell \\ \tilde{\psi}_\ell \end{pmatrix} = \begin{pmatrix} -3M & 2M\mu \\ 2M\mu & 3M \end{pmatrix} \begin{pmatrix} \tilde{\phi}_\ell \\ \tilde{\psi}_\ell \end{pmatrix}. \quad (37)$$

The decoupling of system (32) will follow after we diagonalize the symmetric matrix $C = \begin{pmatrix} -3M & 2M\mu \\ 2M\mu & 3M \end{pmatrix}$, with $\det(C) = -M^2 \cdot (2\ell + 1)^2 < 0$. In order to find the eigenvalues of C , we compute its characteristic polynomial

$$p_C(\lambda) = \det(\lambda I - C) = M^2(2\ell + 1)^2 - \lambda^2,$$

and thus, we obtain the two eigenvalues $\lambda_1 = M(2\ell + 1)$, $\lambda_2 = -M(2\ell + 1)$. One can check directly that $\begin{pmatrix} 2M\mu \\ 3M + \lambda_1 \end{pmatrix}$, $\begin{pmatrix} 3M - \lambda_2 \\ -2M\mu \end{pmatrix}$ are two distinct non trivial eigenvectors of C , thus the following two scalars

$$\begin{aligned}\Psi_1^{(\ell)} &:= 2M\mu \tilde{\phi}_\ell + (3M + \lambda_1) \tilde{\psi}_\ell \\ \Psi_2^{(\ell)} &:= (3M - \lambda_2) \tilde{\phi}_\ell - 2M\mu \tilde{\psi}_\ell\end{aligned}$$

satisfy

$$\begin{aligned}(r^3 \mathcal{P}) \left(\Psi_i^{(\ell)} \right) &= \lambda_i \Psi_i^{(\ell)} \\ \Leftrightarrow \square_g \Psi_i^{(\ell)} + \left(\frac{5M}{r^3} - \frac{6M^2}{r^4} \right) \Psi_i^{(\ell)} &= \frac{\lambda_i}{r^3} \Psi_i^{(\ell)} \\ \Leftrightarrow \square_g \Psi_i^{(\ell)} + \left(\frac{5M}{r^3} - \frac{\lambda_i}{r^3} - \frac{6M^2}{r^4} \right) \Psi_i^{(\ell)} &= 0,\end{aligned}$$

which concludes the proof. \square

Corollary 3.1 *Given the scalars $\Psi_i^{(\ell)}$, for $\ell \geq 2$ and $i \in \{1, 2\}$ as above, it is immediate to check that*

$$2M^2 \cdot \phi_\ell = \frac{\mu}{(\ell + 2)} \cdot \frac{\Psi_1^{(\ell)}}{(2\ell + 1)} + \frac{\Psi_2^{(\ell)}}{(2\ell + 1)} \quad (38)$$

$$M \cdot \psi_\ell = \frac{2}{\mu} \cdot \frac{\Psi_1^{(\ell)}}{(2\ell + 1)} - \frac{2}{(\ell + 2)} \frac{\Psi_2^{(\ell)}}{(2\ell + 1)} \quad (39)$$

Remark 3.2 The above results also hold in the sub-extremal $|Q| < M$ case, and such decoupling process in this setting first appeared in the work of Chandrasekhar [14].

4 Estimates for the decoupled scalar Regge–Wheeler equations

In this section, we prove estimates for each equation (35), (36) at the same time. Note, the decoupled system can be written as

$$\left(\square_g - V_i^{(\ell)} \right) \Psi_i^{(\ell)} = 0, \quad (40)$$

where

$$V_1^{(\ell)} = - \left(\frac{5M}{r^3} - \frac{M\sqrt{9+4\mu^2}}{r^3} - \frac{6M^2}{r^4} \right) = - \frac{2M}{r^3} (3\sqrt{D} - (\ell+1)), \quad \ell \geq 2 \quad (41)$$

$$V_2^{(\ell)} = - \left(\frac{5M}{r^3} + \frac{M\sqrt{9+4\mu^2}}{r^3} - \frac{6M^2}{r^4} \right) = - \frac{2M}{r^3} (3\sqrt{D} + \ell). \quad \ell \geq 2 \quad (42)$$

By projecting the system (32) to the $\ell = 1$ frequency we obtain only one equation, i.e

$$\square_g(\psi_{\ell=1}) - \frac{2M}{r^3}(2 - 3\sqrt{D})(\psi_{\ell=1}) = 0. \quad (43)$$

Note, the above wave equation can be included in the case $\Psi_1^{(\ell=1)}$ with $V_1^{(\ell=1)}$ as in (41). Thus, we will be studying solutions to the equation

$$\begin{aligned} & (\square_g - V_i^{(\ell)})\Psi_i^{(\ell)} = 0, \\ & \text{with } V_i^{(\ell)} = - \frac{2M}{r^3} (3\sqrt{D} + (-1)^i(\ell+2-i)), \quad \ell \geq i, \end{aligned} \quad (44)$$

with $\Psi_i^{(\ell)}$ supported on the fixed frequency $\ell \geq i$, $i \in \{1, 2\}$. For brevity, the superscript (ℓ) will be frequently dropped and inferred through the equations.

4.1 Preliminaries

In this section, we briefly recall the vector field method. First, consider the energy-momentum tensor associated to the wave equation (44)

$$\mathcal{Q}_{\mu\nu}[\Psi_i] = \nabla_\mu \Psi_i \cdot \nabla_\nu \Psi_i - \frac{1}{2} g_{\mu\nu} (\nabla^a \Psi_i \cdot \nabla_a \Psi_i + V_i \Psi_i^2). \quad (45)$$

Proposition 4.1 *Consider a scalar Ψ_i verifying equation (44). Let X be a vectorfield, ω a scalar function, and M a one form. Define the general current*

$$J_\mu^{X,\omega,M}[\Psi_i] = \mathcal{Q}_{\mu\nu} X^\nu + \frac{1}{2} \omega \Psi_i \cdot \nabla_\mu \Psi_i - \frac{1}{4} (\nabla_\mu \omega) \Psi_i^2 + \frac{1}{4} \Psi_i^2 M_\mu, \quad (46)$$

then,

$$\begin{aligned} K^{X,\omega,M}[\Psi_i] &:= \text{Div} \left(J_\mu^{X,\omega,M}[\Psi_i] \right) = \frac{1}{2} \mathcal{Q} \cdot {}^{(X)}\pi + \left(-\frac{1}{2} X(V_i) - \frac{1}{4} \square_g \omega \right) \Psi_i^2 \\ &\quad + \frac{1}{2} \omega (\nabla^a \Psi_i \cdot \nabla_a \Psi_i + V_i \Psi_i^2) \\ &\quad + \frac{1}{4} \nabla^\mu (\Psi_i^2 M_\mu). \end{aligned} \quad (47)$$

Proof We begin by computing $Div(\mathcal{Q}_{\mu\nu}) = \nabla^\mu \mathcal{Q}_{\mu\nu}$

$$\begin{aligned}\nabla^\mu \mathcal{Q}_{\mu\nu} &= (\nabla^\mu \nabla_\mu \Psi_i) \cdot \nabla_\nu \Psi_i + \nabla_\mu \Psi_i \cdot \nabla^\mu \nabla_\nu \Psi_i \\ &\quad - \frac{1}{2} g_{\mu\nu} \left(\nabla^\mu (\nabla^a \Psi_i \cdot \nabla_a \Psi_i) + \nabla^\mu (V_i \cdot \Psi_i^2) \right).\end{aligned}$$

We first treat the following term

$$\begin{aligned}-g_{\mu\nu} \nabla^\mu (\nabla^a \Psi_i \cdot \nabla_a \Psi_i) &= -\frac{1}{2} \nabla_\nu (\nabla^a \Psi_i \cdot \nabla_a \Psi_i) \\ &= -\frac{1}{2} (\nabla^a \nabla_\nu \Psi_i) \nabla_a \Psi_i - \frac{1}{2} \nabla^a \Psi_i \cdot \nabla_a \nabla_\nu \Psi_i \\ &= -(\nabla^a \nabla_\nu \Psi_i) \nabla_a \Psi_i = -(\nabla^\mu \nabla_\nu \Psi_i) \nabla_\mu \Psi_i.\end{aligned}$$

Using the above relation and the fact that $\nabla^\mu \nabla_\mu \Psi_i = \square \Psi_i = V_i \Psi_i$ we obtain

$$\nabla^\mu \mathcal{Q}_{\mu\nu} = V_i \Psi_i \nabla_\nu \Psi_i - \frac{1}{2} \nabla_\nu (V_i) \Psi_i^2 - V_i \Psi_i \nabla_\nu \Psi_i = -\frac{1}{2} \nabla_\nu (V_i) \Psi_i^2. \quad (48)$$

On the other hand, we have

$$\begin{aligned}\nabla^\mu \left(\frac{1}{2} \omega \Psi_i \cdot \nabla_\mu \Psi_i - \frac{1}{4} (\nabla_\mu \omega) \Psi_i^2 \right) &= \frac{1}{2} (\nabla^\mu \omega) \Psi_i \cdot \nabla_\mu \Psi_i + \frac{1}{2} \omega \nabla^a \Psi_i \cdot \nabla_a \Psi_i + \frac{1}{2} \omega \Psi_i \square \Psi_i \\ &\quad - \frac{1}{4} \square \omega \Psi_i^2 - \frac{1}{2} (\nabla^\mu \omega) \Psi_i \cdot \nabla_\mu \Psi_i \\ &= \frac{1}{2} \omega (\nabla^a \Psi_i \cdot \nabla_a \Psi_i + V_i \Psi_i^2) - \frac{1}{4} \square \omega \cdot \Psi_i^2\end{aligned}$$

Last, we recall that ${}^{(X)}\pi^{\mu\nu} := (\mathcal{L}_X g)^{\mu\nu} = (\nabla^\mu X)^\nu + (\nabla^\nu X)^\mu$ is the deformation tensor, thus we have

$$2\mathcal{Q}_{\mu\nu} \nabla^\mu X^\nu = \mathcal{Q}_{\mu\nu} \cdot {}^{(X)}\pi^{\mu\nu} = \mathcal{Q} \cdot {}^{(X)}\pi.$$

Combining all the above, we conclude the formula for $K^{X,\omega,M}[\Psi_i]$. \square

Remark 4.1 If Ψ_i satisfies (44) with a non-homogeneous term on the right-hand side, i.e.

$$(\square_g - V_i^{(\ell)}) \Psi_i^{(\ell)} = F$$

then we have

$$Div(J_\mu^{X,\omega,M}[\Psi_i]) = K^{X,\omega,M}[\Psi_i] + X(\Psi_i) \cdot F,$$

which can be easily checked by the calculations above when computing $\nabla^\mu \mathcal{Q}_{\mu\nu}$.

The Vector Field Method. The vector field method is the application of Stokes' theorem in appropriate regions for the current $J_\mu^{X,\omega,M}$. In particular, given a (0,1) current P_μ , then Stokes' theorem yields in the region $\mathcal{R}(0, \tau)$

$$\int_{\Sigma_0} P_\mu n_{\Sigma_0}^\mu = \int_{\Sigma_\tau} P_\mu n_{\Sigma_\tau}^\mu + \int_{\mathcal{H}^+(0,\tau)} P_\mu n_{\mathcal{H}^+}^\mu + \int_{\mathcal{R}(0,\tau)} \nabla^\mu (P_\mu), \quad (49)$$

where all the integrals are with respect to the induced volume form and the unit normals n_S are future-directed.

4.2 Uniform Boundedness of Degenerate Energy

Let us apply the vectorfield method for $X = T = \partial_v$, $\omega = M = 0$, where T is written with respect to the coordinate system $(v, r, \vartheta, \varphi)$. Since T is Killing, we have $^{(T)}\pi = 0$ and $T(V_i^{(\ell)}) = 0$ because V_i is a function of r alone, thus $K^T[\Psi_i] = 0$. Therefore, the divergence theorem in the region $\mathcal{R}(0, \tau)$ yields

$$\int_{\Sigma_0} J_\mu^T[\Psi_i] n_{\Sigma_0}^\mu = \int_{\Sigma_\tau} J_\mu^T[\Psi_i] n_{\Sigma_\tau}^\mu + \int_{\mathcal{H}^+(0,\tau)} J_\mu^T[\Psi_i] n_{\mathcal{H}^+}^\mu \quad (50)$$

On the event horizon \mathcal{H}^+ we can take $n_{\mathcal{H}^+}^\mu = T$, thus

$$J_\mu^T[\Psi_i] n_{\mathcal{H}^+}^\mu = \mathcal{Q}(T, T) = (\partial_v \Psi_i)^2 \geq 0,$$

which proves the following proposition.

Proposition 4.2 *For all solutions Ψ_i to equation (44) we have*

$$\int_{\Sigma_\tau} J_\mu^T[\Psi_i] n_{\Sigma_\tau}^\mu \leq \int_{\Sigma_0} J_\mu^T[\Psi_i] n_{\Sigma_0}^\mu. \quad (51)$$

The T-flux. We will see below that $J_\mu^T[\Psi_i] n_{\Sigma_\tau}^\mu$ is non-negative definite only after we integrate on the spheres $S_{v,r}^2$ and use Poincare's Inequality due to the negative values of the potentials $V_i^{(\ell)}$, $i = 1, 2$. However, we also need to know how $J_\mu^T[\Psi_i] n_{\Sigma_\tau}^\mu$ depends on the 1-jet of Ψ_i . In particular, write $n_{\Sigma_\tau} = n_{\Sigma_\tau}^v \partial_v + n_{\Sigma_\tau}^r \partial_r$ and note that the normal to the spacelike hypersurface Σ_0 , n_{Σ_0} , was chosen such that

$$\frac{1}{C_1} < -g(n_{\Sigma_0}, n_{\Sigma_0}) < C_1 \quad (52)$$

$$\frac{1}{C_1} < -g(n_{\Sigma_0}, T) < C_1, \quad (53)$$

for a positive constant C_1 depending only on M, Σ_0 . Thus, the same holds for n_{Σ_τ} since $\Sigma_\tau = \phi_\tau(\Sigma_0)$, where ϕ_τ is the flow associated to the Killing vector field T . First, we recall the following inequality, p 33 [7],

Proposition 4.3 (Poincaré Inequality) *Let $\psi \in L^2(S_{v,r}^2)$ and $\psi_\ell = 0$ for all $\ell \leq L-1$ for some finite natural number L , then*

$$\frac{L(L+1)}{r^2} \int_{S_{v,r}^2} \psi^2 \leq \int_{S_{v,r}^2} |\nabla \psi|^2, \quad (54)$$

and equality holds if and only if $\psi_\ell = 0$ for all $\ell \neq L$.

Proposition 4.4 *Let $\Psi_i^{(\ell)}$ be a solution to equation (44), supported on the fixed frequency $\ell \geq i$, $i \in \{1, 2\}$, then there exists a positive constant $C = C(M, \Sigma_0)$ such that*

$$\int_{\Sigma_\tau} \left((\partial_v \Psi_i)^2 + D (\partial_r \Psi_i)^2 + |\nabla \Psi_i|^2 + \frac{\ell(\ell+1)}{r^2} \Psi_i^2 \right) \leq C \int_{\Sigma_0} J_\mu^T[\Psi_i] n_{\Sigma_0}^\mu. \quad (55)$$

Proof Let $n_{\Sigma_\tau} = n_{\Sigma_\tau}^v \partial_v + n_{\Sigma_\tau}^r \partial_r$, then direct computations yield

$$\begin{aligned} J_\mu^T[\Psi_i] n_{\Sigma_\tau}^\mu &= n^v (\partial_v \Psi_i)^2 + \frac{1}{2} (Dn^v - 2n^r) \frac{D}{4} (\partial_r \Psi_i)^2 \\ &\quad + \frac{1}{2} (Dn^v - 2n^r) (|\nabla \Psi_i|^2 + V_i \Psi_i^2) \end{aligned}$$

We argue that relations (52, 53) and Poincaré inequality suffice to prove the proposition.

Away from the horizon $\{r \geq r_0\}$, for $r_0 > M$, we might as well choose $n_{\Sigma_0} \equiv T$. However, near the horizon \mathcal{H}^+ , the relations (52, 53) read

$$\begin{aligned} C_1 &> D(n^v)^2 - 2n^v n^r > \frac{1}{C_1} \\ C_1 &> Dn^v - n^r > \frac{1}{C_1} \end{aligned}$$

thus we must have $n_{\Sigma_0}^r < 0$ and $n_{\Sigma_0}^v > 0$. On the other hand, squaring the second relation yields

$$C_1^2 > D(D(n^v)^2 - 2n^v n^r) + (n^r)^2 > \frac{1}{C_1^2}$$

and since the first term is positive from (52), we obtain that n^r is uniformly bounded. Hence, $Dn^v - 2n^r = (Dn^v - n^r) - n^r > \frac{1}{C_1}$ and bounded from above. Thus, using (52) we have

$$\frac{C_1}{(Dn^v - 2n^r)} > n^v > \frac{1}{C_1 (Dn^v - 2n^r)}$$

and as we saw $(Dn^v - 2n^r)$ is bounded from above, which makes n^v uniformly bounded from below by a positive constant. We put all the above together and we

find a constant C depending on M, Σ_0 such that

$$(\partial_v \Psi_i)^2 + D(\partial_r \Psi_i)^2 + |\nabla \Psi_i|^2 + V_i \Psi_i^2 \leq C J_\mu^T[\Psi_i] n_{\Sigma_\tau}^\mu. \quad (56)$$

However, the potential $V_i^{(e)}(r)$ takes negative values as well. To show that the T -flux is also coercive with respect to the zeroth order term we borrow from the angular derivative of Ψ_i using Poincaré inequality. Integrating (56) along Σ_τ and using the uniform boundedness of degenerate energy yields

$$\int_{\Sigma_\tau} (\partial_v \Psi_i)^2 + D(\partial_r \Psi_i)^2 + |\nabla \Psi_i|^2 + V_i \Psi_i^2 \leq C \int_{\Sigma_0} J_\mu^T[\Psi_i] n_{\Sigma_0}^\mu.$$

Now, we write $|\nabla \Psi_i|^2 = (1-a)|\nabla \Psi_i|^2 + a|\nabla \Psi_i|^2$, for some $0 < a < 1$ to be determined later, and using Poincaré we examine the following term

$$a|\nabla \Psi_i|^2 + V_i \Psi_i^2 = \left(a + \frac{V_i \cdot r^2}{\ell(\ell+1)}\right) |\nabla \Psi_i|^2. \quad (57)$$

It suffices to study the above coefficient for each $\ell \geq i$, $i \in \{1, 2\}$ and for each $r \geq M$.

- If $i = 1$, and for any $\ell \geq 1$ we have

$$a + \frac{V_1(r) \cdot r^2}{\ell(\ell+1)} = a - \frac{2M}{r} \frac{3\sqrt{D}}{\ell(\ell+1)} + \frac{2M}{\ell \cdot r} \geq a + \frac{M}{\ell \cdot r} (2 - 3\sqrt{D})$$

The second term becomes negative only when $r \geq 3M$, and it is easy to check that $\frac{M}{r}(2 - 3\sqrt{D}) \geq -\frac{1}{3}$. Thus, it suffices to consider $\frac{1}{3} < a < 1$, which works for all $\ell \geq 1$.

- If $i = 2$, for any $\ell \geq 2$ we have

$$a + \frac{V_2(r) \cdot r^2}{\ell(\ell+1)} = a - \frac{2M}{r} \frac{3\sqrt{D}}{\ell(\ell+1)} - \frac{2M}{(\ell+1) \cdot r} \geq a - \frac{1}{3} \frac{M}{r} (2 + 3\sqrt{D})$$

However, if we set $x := \sqrt{D} \in [0, 1)$ we write the above as

$$a + \left(x^2 - \frac{1}{3}x - \frac{2}{3}\right)$$

The quadratic polynomial above attains its minimum at $x = \frac{1}{6}$ with value $-\frac{25}{36} \sim -0.69$. Thus, it suffices to consider $0.7 < a < 1$.

We can see from the analysis above that $a = 0.7$ is sufficient to get (57) uniformly positive for all $\ell \geq i$, $i \in \{1, 2\}$ and $r \geq M$. \square

4.3 Morawetz Estimates

We apply the vector field method for vector fields of the form $X = f(r^\star) \frac{\partial}{\partial r^\star}$, written with respect to the coordinate system (t, r^\star) . We will often differentiate with respect to the r -coordinate instead of r^\star , and the two are related by $\frac{\partial h}{\partial r^\star} = D \cdot \frac{\partial h}{\partial r}$, for any scalar function h . By $h' = \frac{\partial h}{\partial r}$ we will denote the derivative with respect to the r -coordinate in the $(t, r, \vartheta, \varphi)$ coordinate system.

The scalar current K^X . Let us consider the following current

$$J_\mu^X[\Psi_i] = \mathcal{Q}_{\mu\nu}[\Psi_i] \cdot X^\nu,$$

then using Proposition 4.1 we arrive at

$$\begin{aligned} K^X &= \left(\frac{f'}{2} + \frac{f}{r} \right) (\partial_t \Psi_i)^2 + \left(\frac{f'}{2} - \frac{f}{r} \right) (\partial_{r^\star} \Psi_i)^2 + \left(-\frac{(D \cdot f)'}{2} \right) |\nabla \Psi_i|^2 \\ &\quad + \left(-\frac{V_i}{2} \left((D \cdot f)' + \frac{2Df}{r} \right) - \frac{1}{2} X(V_i) \right) \Psi_i^2 \end{aligned}$$

However, there is no choice of scalar f such that all coefficients above are positive definite everywhere. Indeed, assume the coefficients of the first two terms of K^X are positive, then

$$f' > 2\frac{f}{r}, \quad \text{and} \quad f' > -2\frac{f}{r} \quad \Rightarrow \quad f' > \frac{2}{r} |f| > 0$$

On the other hand, if the coefficient of $|\nabla \Psi_i|^2$ is also non-negative we must have

$$f \leq -f' \frac{D}{D'}$$

Thus, going back to the coefficient of $(\partial_t \Psi_i)^2$ we obtain

$$\frac{f'}{2} + \frac{f}{r} \leq f' \left(\frac{1}{2} - \frac{D}{rD'} \right) = \frac{f'}{2} (1 - 2\sqrt{D}).$$

Hence, in view of $f' > 0$ we have that $\frac{f'}{2} + \frac{f}{r}$ becomes negative for $r \geq r_p = 2M$.

Already, the above suggests that we modify the energy current by introducing more terms. The idea is to introduce a term with the effect of canceling $(\partial_t \Psi_i)^2$ when computing the scalar current. Nevertheless, we retrieve this term in the final Morawetz estimates of Proposition 4.6.

The scalar current $K^{X,G}$. Consider the following current

$$J_\mu^{X,G}[\Psi_i] := \mathcal{Q}_{\mu\nu}[\Psi_i] \cdot X^\nu + \frac{1}{2} G \Psi_i \nabla_\mu \Psi_i - \frac{1}{4} (\nabla_\mu G) \Psi_i^2,$$

where $G = r^{-2} \partial_{r^*} (f \cdot r^2)$. Consequently, this choice of G cancels the time derivative term when computing the scalar current. In particular, using Proposition 4.1 direct computations yield the expression

$$K^{X,G} = f' (\partial_{r^*} \Psi_i)^2 + \frac{f \cdot P}{r} |\nabla \Psi_i|^2 - \frac{1}{4} (\square_g G) \Psi_i^2 - \frac{1}{2} f \cdot \partial_r (D \cdot V_i^{(\ell)}) \Psi_i^2, \quad (58)$$

where $P(r) := \sqrt{D}(2\sqrt{D} - 1) = \frac{1}{r^2}(r - M)(r - 2M)$. While, in view of the factor $P(r)$, the degeneracy of the angular derivative term at the photon sphere $\{r = r_p := 2M\}$ is inevitable, we shall find functions f, G such that the coefficients of the two first terms in $K^{X,G}$ are non-negative definite. In particular, it is imperative that we choose f that is increasing and changes sign from negative to positive at the photon sphere $\{r = r_p\}$.

The choice of G, f . In the extremal Reissner–Nordström spacetime, the wave operator with respect to the coordinate system $(t, r^*, \vartheta, \varphi)$ reads

$$\square_g \Psi_i = \frac{1}{D} \left(-\partial_t^2 \Psi_i + r^{-2} \partial_{r^*} (r^2 \partial_{r^*} \Psi_i) \right) + \Delta \Psi_i. \quad (59)$$

Since $G = r^{-2} \partial_{r^*} (f \cdot r^2)$ is a function of r^* alone, we have

$$\square_g G = \frac{1}{D \cdot r^2} \partial_{r^*} (r^2 \partial_{r^*} G) = \frac{1}{D \cdot r^2} \partial_{r^*} (r^2 \partial_{r^*} (r^{-2} \partial_{r^*} (f \cdot r^2))). \quad (60)$$

Let us choose ⁴

$$G(r) = \frac{2}{r} D(r), \quad r \geq M. \quad (61)$$

Then, direct computation yields

$$\begin{aligned} r^2 \partial_{r^*} G &= 2D\sqrt{D}(2 - 3\sqrt{D}), \\ \partial_{r^*} (r^2 \partial_{r^*} G) &= \frac{12D^2}{r} (1 - \sqrt{D})(1 - 2\sqrt{D}). \end{aligned}$$

Therefore, we have

$$-\frac{1}{4} (\square_g G) = 3 \frac{D}{r^3} (1 - \sqrt{D})(2\sqrt{D} - 1). \quad (62)$$

In addition, now that we have G we can find f using the transport equation $G = r^{-2} \partial_{r^*} (f \cdot r^2)$ or equivalently $\partial_r (f \cdot r^2) = \frac{r^2 G}{D}$, thus integrating from r_p to r we

⁴ A detailed analysis on how to arrive at this $G(r)$ first appeared in the thesis of J. Stogin [50]. The author arrives at the same function for the Morawetz estimates of the scalar wave equation on the Schwarzschild and subextremal Kerr background.

obtain

$$f(r) = (2\sqrt{D} - 1)(3 - 2\sqrt{D}) = 1 - \frac{4M^2}{r^2}, \quad (63)$$

$$f'(r) = \frac{8}{r}(1 - \sqrt{D})^2 = \frac{8M^2}{r^3}. \quad (64)$$

As we can see, f is increasing and changes sign at the photon sphere and thus satisfies the requirements we were looking for. However, for both $i = 1, 2$, the zeroth order coefficient in $K^{X,G}$ takes negative values as well, for all $\ell \geq i$.

The zeroth order term of $K^{X,G}$. Let's first study the expression $-\frac{1}{2}f \cdot \partial_r (D \cdot V_i^{(\ell)})$. Denote by $x := \sqrt{D} \in [0, 1)$ then using (63), direct computations yield

$$\begin{aligned} z_1^{(\ell)} &:= -\frac{1}{2}f \cdot \partial_r (D \cdot V_1^{(\ell)}) \\ &= \frac{1}{r^3}(1-x)x(2x-1)(3-2x) \left(-18x^2 + 14x - 2 + \ell(5x-2) \right) \\ z_2^{(\ell)} &:= -\frac{1}{2}f \cdot \partial_r (D \cdot V_2^{(\ell)}) \\ &= \frac{1}{r^3}(1-x)x(2x-1)(3-2x) \left(-18x^2 + 9x - \ell(5x-2) \right) \end{aligned} \quad (65)$$

In this form, it is apparent that both $z_i^{(\ell)}$ for all $\ell \geq i$, $i \in \{1, 2\}$, are not positive definite. In addition, the term $-\frac{1}{4}\square G = 3\frac{x^2}{r^3}(1-x)(2x-1)$ comes to add extra "negativity" to the overall zeroth order term.

On the other hand, $f(r) \cdot P(r)$ degenerates at the photon sphere to second order, as opposed to the two aforementioned terms, thus even after using Poincare inequality to borrow from the angular derivative coefficient, we cannot obtain a positive definite zeroth order term of the current $K^{X,G}$. Nevertheless, in what follows we show that there is a modified energy current that ultimately provides us with the required positive bulk for all terms.

4.3.1 The scalar current $K^{X,G,h}$

In view of the above discussion, we consider the following modified current

$$J_\mu^{X,G,h}[\Psi_i] := J_\mu^{X,G}[\Psi_i] + \frac{h}{2}\Psi_i^2 \left(\frac{\partial}{\partial r^*} \right)_\mu \quad (66)$$

with the last term corresponding to the choice $M_\mu = 2h \left(\frac{\partial}{\partial_{r^*}} \right)_\mu$ in the relation (46). Then, applying Proposition 4.1, the corresponding scalar current is given by

$$\begin{aligned} K^{X,G,h} &= K^{X,G} + \left(\frac{h\sqrt{D}}{r} + \frac{D}{2}h' \right) (\Psi_i)^2 + h\Psi_i \partial_{r^*} \Psi_i. \\ \Rightarrow K^{X,G,h} &= f'(\partial_{r^*} \Psi_i)^2 + \frac{f \cdot P}{r} |\nabla \Psi_i|^2 - \frac{1}{4} (\square_g G) \Psi_i^2 \\ &\quad - \frac{f}{2} (D \cdot V_1)' (\Psi_i)^2 + h\Psi_i \partial_{r^*} \Psi_i + \left(\frac{h\sqrt{D}}{r} + \frac{D}{2}h' \right) (\Psi_i)^2. \end{aligned} \quad (67)$$

Our goal is to find an appropriate function $h(r)$ such that $K^{X,G,h}$ is positive definite. However, we first need to treat the extra term $h\Psi_i \partial_{r^*} \Psi_i$ such that only quadratic terms appear. We borrow from the coefficient of $(\partial_{r^*} \Psi_i)^2$ by writing

$$f' |\partial_{r^*} \Psi_i|^2 = \nu f' |\partial_{r^*} \Psi_i|^2 + (1 - \nu) f' |\partial_{r^*} \Psi_i|^2,$$

for some $\nu \in (0, 1)$ to be determined in the end, and we complete the square as

$$\begin{aligned} &\nu f' (\partial_{r^*} \Psi_i)^2 + h\Psi_i \partial_{r^*} \Psi_i \\ &= \nu f' (\partial_{r^*} \Psi_i)^2 + 2 \frac{h}{2\sqrt{\nu f'}} \sqrt{\nu f'} \Psi_i \partial_{r^*} \Psi_i + \frac{h^2}{4\nu f'} \Psi_i^2 - \frac{h^2}{4\nu f'} \Psi_i^2 \\ &= \left(\sqrt{\nu f'} \partial_{r^*} \Psi_i + \frac{h}{2\sqrt{\nu f'}} \Psi_i \right)^2 - \frac{h^2}{4\nu f'} \Psi_i^2. \end{aligned} \quad (68)$$

Therefore, using relation (68), we can now rewrite (67) as

$$\begin{aligned} K^{X,G,h}[\Psi_i] &= (1 - \nu) f' (\partial_{r^*} \Psi_i)^2 + \left(\sqrt{\nu f'} \partial_{r^*} \Psi_i + \frac{h}{2\sqrt{\nu f'}} \Psi_i \right)^2 + \frac{f \cdot P}{r} |\nabla \Psi_i|^2 \\ &\quad + \left(\left(\frac{h\sqrt{D}}{r} + \frac{D}{2}h' - \frac{h^2}{4\nu f'} \right) - \frac{1}{4} (\square_g G) - \frac{f}{2} (D \cdot V_1)' \right) \Psi_i^2. \end{aligned} \quad (69)$$

Denote by $\mathfrak{C}(h)$ the expression below

$$\mathfrak{C}(h) := \frac{h\sqrt{D}}{r} + \frac{D}{2}h' - \frac{h^2}{4\nu f'} - \frac{1}{4} (\square_g G) = \frac{1}{2r^2} \partial_r (Dr^2 h) - \frac{h^2}{4\nu f'} - \frac{1}{4} (\square_g G). \quad (70)$$

We simply focus on finding a function $h(r)$ such that $\mathfrak{C}(h)$ beats the negative values of $z_i^{(\ell)}$, $\forall \ell \geq i$, $i \in \{1, 2\}$, uniformly.

The coefficient $\mathfrak{C}(h)$. In order to better understand the expression $\mathfrak{C}(h)$ we rewrite it in a more concise way using relation (60), i.e.

$$\square_g G = \frac{1}{D \cdot r^2} \partial_{r^*} \left(r^2 \partial_{r^*} G \right) = \frac{1}{r^2} \partial_r \left(D r^2 \partial_r G \right).$$

Then we have

$$\mathfrak{C}(h) = \frac{1}{4r^2} \partial_r \left(D(2r^2 h - r^2 \partial_r G) \right) - \frac{h^2}{4v f'}.$$

However, $\partial_r G = 2r^{-2} \sqrt{D}(2 - 3\sqrt{D})$, and if we denote by $x := \sqrt{D} \in [0, 1]$ and express $\mathfrak{C}(h) = T(h(x(r)))$ we obtain

$$\mathfrak{C}(h) = \frac{(1-x)}{2r^3} \partial_x \left(x^2 \left(r^2 \cdot h - x(2-3x) \right) \right) - \frac{h^2}{4v f'}$$

Now, consider the following choice

$$h(r) := \frac{1}{r^2} (x(2-3x) + x) = \frac{1}{r^2} 3x(1-x), \quad (71)$$

and using the fact that $f'(r) = \frac{8(1-x)^2}{r}$, then $\mathfrak{C}(h)$ becomes

$$\mathfrak{C}(h) = \frac{(1-x)}{2r^3} \partial_x (x^3) - \frac{1}{r^4} \frac{9x^2(1-x)^2}{4v f'} = \frac{3(1-x)x^2}{2r^2} - \frac{1}{r^3} \frac{9x^2}{32 \cdot v}$$

Let $v = \frac{15}{16} < 1$, then $\mathfrak{C}(h)$ becomes

$$\mathfrak{C}(h) = \frac{1}{r^3} \frac{3}{10} x^2(4-5x) = \frac{0.3}{r^3} \cdot D(4-5\sqrt{D}). \quad (72)$$

We can already see that $\mathfrak{C}(h)$ is positive definite around the photon sphere and becomes negative only for $r > 5M$. Nevertheless, with the help of Poincaré inequality we will show that the zeroth order term of $K^{X,G,h}[\Psi_i^{(\ell)}]$ is positive definite uniformly in $\ell \geq i$, $i \in \{1, 2\}$, with a degeneracy only at the horizon \mathcal{H}^+ .

Proposition 4.5 *Let $h(r)$ be as in (71) and choose $v = \frac{15}{16}$ in (69), then there exists a positive constant depending only on M , such that for all solutions $\Psi_i^{(\ell)}$ to (44), supported on the fixed frequency $\ell \geq i$, $i \in \{1, 2\}$, we have*

$$\begin{aligned} & \int_{S^2(r)} K^{X,G,h}[\Psi_i] \\ & \geq C \int_{S^2(r)} \left(\frac{1}{r^3} (\partial_{r^*} \Psi_i)^2 + \frac{(r-M)(r-2M)^2}{r^4} |\nabla \Psi_i|^2 + \frac{\sqrt{D}}{r^3} \Psi_i^2 \right). \end{aligned} \quad (73)$$

Proof After we integrate relation (69) on the spheres we obtain

$$\begin{aligned} \int_{S^2(r)} K^{X,G,h}[\Psi_i] &= \int_{S^2(r)} (1-\nu) f'(\partial_{r^*} \Psi_i)^2 + \left(\sqrt{\nu} f' \partial_{r^*} \Psi_i + \frac{h}{2\sqrt{\nu} f'} \Psi_i \right)^2 \\ &\quad + \frac{f \cdot P}{r} |\Psi_i|^2 \\ &\quad - \frac{1}{4} (\square_g G) \Psi_i^2 + \left(\left(\frac{h\sqrt{D}}{r} + \frac{D}{2} h' - \frac{h^2}{4\nu f'} \right) - \frac{f}{2} (D \cdot V_i)' \right) \Psi_i^2 \\ &\geq \int_{S^2(r)} (1-\nu) f'(\partial_{r^*} \Psi_i)^2 + \frac{f \cdot P}{r} |\Psi_i|^2 + \left(\mathfrak{C}(h) + z_i^{(\ell)} \right) \Psi_i^2. \end{aligned}$$

Recall that $f(r) \cdot P(r) = (2\sqrt{D} - 1)(3 - 2\sqrt{D}) \cdot (2\sqrt{D} - 1)\sqrt{D}$, thus

$$\begin{aligned} \frac{f \cdot P}{r} &= \frac{(3 - 2\sqrt{D})(r - M)(r - 2M)^2}{r^4} \\ &\geq \frac{(r - M)(r - 2M)^2}{r^4}. \end{aligned}$$

In addition, the coefficient of $(\partial_{r^*} \Psi_i)^2$ becomes

$$(1-\nu) f'(r) = \frac{8}{16} \cdot \frac{M^2}{r^3} = \frac{1}{2} \cdot \frac{M^2}{r^3}.$$

Finally, we need to treat the coefficient of the zeroth order term as well. For that, we consider each case $i = 1, 2$ separately because they pose different difficulties. We remind our readers that for the calculations below we express all functions in terms of $x := \sqrt{D}$, and we produce estimates for all $x \in [0, 1]$, which corresponds to $r \geq M$. **The zeroth order term of $K^{X,G,h}[\Psi_1^{(\ell)}]$, $\ell \geq 1$.** According to relation (72), the zeroth order coefficient reads

$$\mathfrak{C}(h) + z_1^{(\ell)} = \frac{0.3}{r^3} x^2 (4 - 5x) + z_1^{(\ell)},$$

where

$$z_1^{(\ell)} = \frac{1}{r^3} x(1-x) f \left(-18x^2 + 14x - 2 + \ell(5x - 2) \right).$$

However, we may rewrite the quadratic polynomial as

$$\begin{aligned} -18x^2 + 14x - 2 + \ell(5x - 2) &= -18x^2 + 9x + 5x - 2 + \ell(5x - 2) \\ &= -9x(2x - 1) + (\ell + 1)(4x - 2 + x) \\ &= (2(\ell + 1) - 9x)(2x - 1) + (\ell + 1)x. \end{aligned}$$

Hence, $z_1^{(\ell)}$ reads

$$z_1^{(\ell)} = \frac{1}{r^3}(1-x)f \cdot P\left(2(\ell+1)-9x\right) + \frac{(\ell+1)}{r^3}x^2(1-x)f \quad (74)$$

We treat each term separately below.

- The first term in (74) can be controlled using Poincaré inequality by borrowing a fraction $a \in (0, 1)$ from the angular derivative coefficient. In particular, we have

$$\begin{aligned} & \frac{1}{r^3}(1-x)f \cdot P\left(2(\ell+1)-9x\right) \left(\Psi_1^{(\ell)}\right)^2 + \frac{a}{r}f \cdot P \left|\nabla \Psi_1^{(\ell)}\right|^2 \\ & \geq \frac{1}{r^3}f \cdot P\left((1-x)(2(\ell+1)-9x) + a\ell(\ell+1)\right) \left(\Psi_1^{(\ell)}\right)^2 \\ & = \frac{1}{r^3}f \cdot P\left(9x^2 - (2\ell+11)x + (\ell+1)(2+a\ell)\right) \left(\Psi_1^{(\ell)}\right)^2. \end{aligned}$$

Since $f \cdot P$ has the correct sign, we are only interested in choosing a sufficient $a \in (0, 1)$ such that the discriminant of the quadratic expression is negative uniformly in $\ell \geq 1$, i.e.

$$a > \frac{(2\ell-7)^2}{36\ell(\ell+1)}, \quad \forall \ell \geq 1.$$

However, in view of the denominator growing quadratically in ℓ with a higher rate than the numerator, we have

$$\frac{(2\ell-7)^2}{36\ell(\ell+1)} < \frac{(2 \cdot 1 - 7)^2}{36(1+1)} < 0.35, \quad \forall \ell \geq 1,$$

thus $a = 0.35$ is sufficient to make the first term of (74) non-negative definite for all $\ell \geq 1$.

- We have a remaining fraction $0 < b < 0.65$ of the angular derivative coefficient that we can use to control the second term of (74). Once again, using Poincaré inequality we write

$$\begin{aligned} & \frac{(\ell+1)}{r^3}x^2(1-x)f + \frac{b}{r^3}\ell(\ell+1)f \cdot P \geq \frac{(\ell+1)}{r^3}x^2(1-x)f + \frac{b}{r^3}\ell(\ell+1)(1-x)f \cdot P \\ & = \frac{(\ell+1)}{r^3}x^2(1-x)(2x-1)(3-2x) + \frac{b}{r^3}\ell(\ell+1)x(2x-1)^2(3-2x)(1-x) \\ & = \frac{(\ell+1)}{r^3}x(1-x)(3-2x)(2x-1)\left((1+2b\ell)x - b\ell\right) \end{aligned}$$

Clearly for $x \geq \frac{1}{2}$ the expression above is non-negative. Let us focus on $x < \frac{1}{2}$ and notice that the only interval where it takes negative values is $N_\ell := (x_\ell, \frac{1}{2})$, where $x_\ell := \frac{b\ell}{1+2b\ell}$, $\ell \geq 1$, and $x_\ell \xrightarrow{\ell \rightarrow \infty} \frac{1}{2}$. By studying the quadratic polynomial

$$p_\ell(x) := (2x-1)\left((1+2b\ell)x - b\ell\right) = (4b\ell+2)x^2 - (4b\ell+1)x + b\ell$$

we see that it attains its minimum at $\underline{x}_\ell = \frac{(4b\ell+1)}{2(4b\ell+2)}$ with the value

$$p_\ell(x) \geq p_\ell(\underline{x}_\ell) = -\frac{1}{4(4b\ell+2)}, \quad \forall x \in [0, 1).$$

◇ For all $\ell \geq 2$ we may choose $b = \frac{1}{2} \in (0, 0.65)$ and thus we have the lower bound

$$\frac{(\ell+1)}{r^3}x(1-x)(3-2x)p_\ell(x) \geq -\frac{1}{8r^3}x(1-x)(3-2x), \quad \forall x \in N_\ell, \quad \forall \ell \geq 2$$

On the other hand, note that $N_{\ell=2} \supset N_\ell$, $\forall \ell \geq 2$ and thus, for all $x \in N_{\ell=2} = (\frac{1}{3}, \frac{1}{2})$ we have

$$\begin{aligned} \mathfrak{C}(h) - \frac{1}{8r^3}x(1-x)(3-2x) &= \frac{1}{r^3}x \cdot \left(\frac{3}{10}x(4-5x) - \frac{1}{8}(1-x)(3-2x) \right) \\ &= \frac{1}{r^3} \frac{x}{4} (-7x^2 + 7.3x - 1.5), \end{aligned}$$

and its easy to check that the quadratic polynomial is uniformly positive in $N_{\ell=2}$.

◇ For $\ell = 1$, we need a little more help from Poincare inequality so we choose $b = \frac{5}{8} = 0.625 < 0.65$ and now we have

$$\begin{aligned} \frac{2}{r^3}x(1-x)(3-2x)p_{\ell=1}(x) &\geq -\frac{1}{9r^3}x(1-x)(3-2x), \\ \forall x \in N_{\ell=1} &= \left(\frac{5}{18}, \frac{1}{2} \right). \end{aligned}$$

Once again, one can check that the expression below is uniformly positive for $x \in N_{\ell=1}$,

$$\mathfrak{C}(h) - \frac{1}{9r^3}x(1-x)(3-2x) = \frac{1}{90r^3}x(-155x^2 + 158x - 30)$$

Finally, regarding the region where $\mathfrak{C}(h)$ becomes negative, i.e. $r \geq 5M$, note that the Poincare inequality used earlier for $b = \frac{1}{2}$ is sufficient to make it positive definite for all $\ell \geq 1$.

Combining all the above allows us to find a positive constant C that depends only on M such that

$$\mathfrak{C}(h) + z_1^{(\ell)} \geq C \frac{\sqrt{D}}{r^3}.$$

The zeroth order term of $K^{X,G,h}[\Psi_2^{(\ell)}]$, $\ell \geq 2$. We approach this case similarly and now have

$$z_2^{(\ell)} = \frac{1}{r^3}x(1-x)f(-18x^2 + 9x - \ell(5x - 2))$$

$$\begin{aligned}
&= \frac{1}{r^3} x(1-x) f \left(- (2\ell + 9x)(2x-1) - \ell x \right) \\
&= - \frac{(2\ell + 9x)}{r^3} (1-x) f \cdot P - \frac{\ell}{r^3} x^2 (1-x) f
\end{aligned}$$

- Using Poincare inequality, we control the first term as

$$\begin{aligned}
&- \frac{(2\ell + 9x)}{r^3} (1-x) f \cdot P + \frac{a}{r^3} \ell(\ell+1) f \cdot P \\
&= \frac{1}{r^3} f \cdot P \left(- (1-x)(2\ell + 9x) + a\ell(\ell+1) \right) \\
&= \frac{1}{r^3} f \cdot P \left(9x^2 + (2\ell - 9)x + \ell(a(\ell+1) - 2) \right)
\end{aligned}$$

and by checking the discriminant of the quadratic polynomial, it suffices to have $a \in (0, 1)$ such that

$$\begin{aligned}
(2\ell - 9)^2 - 36\ell(a(\ell+1) - 2) &< 0, \quad \forall \ell \geq 2 \\
\Leftrightarrow a &> \frac{(2\ell + 9)^2}{36\ell(\ell+1)}, \quad \forall \ell \geq 2
\end{aligned}$$

However, the right-hand side term satisfies for all $\ell \geq 2$

$$\frac{(2\ell + 9)^2}{36\ell(\ell+1)} \leq \frac{(4+9)^2}{36 \cdot 6} < 0.79,$$

thus $a = 0.79$ is sufficient to make the first term of $z_2^{(\ell)}$ non-negative for all $\ell \geq 2$.

- There is a remaining fraction $0 < b < 0.21$ we can use to bound the second term of $z_2^{(\ell)}$ and with the help of $\mathfrak{C}(h)$ we can show uniform positivity for the zeroth order term. In particular, we have

$$\begin{aligned}
&- \frac{\ell}{r^3} x^2 (1-x) f + b\ell(\ell+1) f \cdot P \geq - \frac{\ell}{r^3} x^2 (1-x) f + b\ell(\ell+1) x f \cdot P \\
&= \frac{\ell}{r^3} x^2 (3x-2)(2x-1) \left(b(\ell+1)(2x-1) - (1-x) \right) \\
&:= \frac{\ell}{r^3} x^2 (3x-2) \cdot p_\ell(x)
\end{aligned}$$

Clearly, for $x \leq \frac{1}{2}$ the expression above is non-negative, and for $x > \frac{1}{2}$ it is negative only in the interval $N_\ell := (\frac{1}{2}, x_\ell)$, where $x_\ell := \frac{1+b(\ell+1)}{2b(\ell+1)+1} > \frac{1}{2}$ and we have $x_\ell \xrightarrow{\ell \rightarrow \infty} \frac{1}{2}$. It's straight forward calculations to check that $p_\ell(x)$ attains its minimum at $\underline{x}_\ell = \frac{4b(\ell+1)+3}{2(4b(\ell+1)+2)}$ with the value

$$p_\ell(x) \geq p_\ell(\underline{x}_\ell) = - \frac{1}{8(2b(\ell+1)+1)}, \quad \forall \ell \geq 2, \quad \forall x \in N_\ell.$$

We choose $b = 0.2 < 0.21$ and thus we have for all $\ell \geq 2$, $x \in N_\ell$,

$$\begin{aligned} \ell \cdot p_\ell(x) &\geq -\frac{1}{8} \cdot \frac{10}{4 \left(1 + \frac{7}{2\ell}\right)} \geq -\frac{5}{16} \\ \Rightarrow \frac{\ell}{r^3} x^2 (3x - 2) \cdot p_\ell(x) &\geq -\frac{5}{16r^3} x^2 (3x - 2). \end{aligned}$$

Hence, using $\mathfrak{C}(h)$ we now have

$$\frac{3}{10r^3} x^2 (4 - 5x) - \frac{5}{16r^3} x^2 (3x - 2) = \frac{x^2}{80r^3} (146 - 195x)$$

which is uniformly positive for $\frac{1}{2} < x < x_{\ell=2} = \frac{8}{11}$ for all $\ell \geq 2$.

Once again, in the region where $\mathfrak{C}(h)$ is negative, the Poincare inequality used earlier is sufficient to make it positive definite, and thus there exists a positive constant depending on M such that

$$\mathfrak{C}(h) + z_2^{(\ell)} > C \frac{\sqrt{D}}{r^3}, \quad \forall \ell \geq 2, \forall r \geq M.$$

□

4.3.2 Retrieving the $(\partial_t \Psi_i)^2$ term

Note that estimate (73) does not include any $\partial_t \Psi_i$ term. To retrieve the ∂_t -derivative we introduce the current $L_\mu^z = z(r) \Psi_i \nabla_\mu \Psi_i$ for an appropriate function $z(r)$. In particular, we have the following proposition.

Proposition 4.6 *There exists a positive constant C depending only on M , such that for all solutions $\Psi_i^{(\ell)}$ to (44), $i \in \{1, 2\}$, $\ell \geq i$, we have*

$$\begin{aligned} \int_{S^2(r)} \left(\frac{1}{r^3} |\partial_{r^*} \Psi_i|^2 + \frac{(r-M)(r-2M)^2}{r^4} \left(|\Psi_i|^2 + \frac{1}{r^2} (\partial_t \Psi_i)^2 \right) + \frac{(r-M)}{r^4} |\Psi_i|^2 \right) \\ \leq C \int_{S^2(r)} \left(\text{Div}(L_\mu^z) + K^{X,G,h}[\Psi_i] \right) \end{aligned} \quad (75)$$

Proof We compute

$$\text{Div}(L_\mu^z) = -\frac{z(r)}{D} (\partial_t \Psi_i)^2 + \frac{z(r)}{D} (\partial_{r^*} \Psi_i)^2 + z(r) |\Psi_i|^2 + z(r) V_i \Psi_i^2 + z'(r) \Psi_i \partial_{r^*} \Psi_i. \quad (76)$$

Consider

$$z(r) := -\frac{C}{r} \cdot x^3 (2x - 1)^2 (1 - x)^2, \quad \text{with} \quad (77)$$

$$z'(r) = c \frac{(2x-1)x^2(1-x)^2}{r^2} (16x^2 - 16x + 3). \quad (78)$$

where again, $x = \sqrt{D}$ and $c > 0$ a scaling to be determined in the end. By Cauchy–Schwarz inequality, (76) yields

$$\begin{aligned} Div(L_\mu^z) \geq & -\frac{z(r)}{D} (\partial_t \Psi_i)^2 + \left(\frac{z(r)}{D} - \frac{|z'|}{2} \right) (\partial_{r^*} \Psi_i)^2 + z(r) |\nabla \Psi_i|^2 \\ & + (z(r) V_i) \Psi_i^2 - \frac{|z'|}{2} \Psi_i^2. \end{aligned} \quad (79)$$

Note that $z(r)$, $z'(r)$, $\frac{z}{D}$ are bounded functions everywhere including the horizon and all terms in (79) have the same degeneracy as $K^{X,G,h}$. The coefficient $z(r) \cdot V_i(r)$ depends on ℓ and thus can be controlled using Poincaré inequality. Using Proposition 4.5 and choosing a small enough scaling $c > 0$ of $z(r)$ allows us to control the remaining negative terms. \square

Non-degenerate Morawetz Estimate. The estimate of Proposition 4.6 is degenerate with respect to the angular derivatives and $\partial_t \Psi_i$ at the photon sphere. Below, we remove this degeneracy which will prove useful later on, however, at the cost of losing one derivative at the level of initial data.

First, by commuting equation (44) with the Killing vectorfield T and using Proposition 4.5 we obtain

$$\int_{S^2(r)} \left(\frac{\sqrt{D}}{r^3} (\partial_t \Psi_i)^2 + \frac{\sqrt{D}}{r^3} (\Psi_i)^2 \right) \leq C \int_{S^2(r)} \sum_{k=0}^1 K^{X,G,h} [T^k \Psi_i], \quad (80)$$

where C depends only on M .

The remaining derivatives are obtained using the current

$$L_\mu^w = w(r) \Psi_i \cdot \nabla_\mu \Psi_i,$$

for $w(r) = \frac{1}{r^3} D^{\frac{3}{2}}$. Indeed, taking the divergence of that current we obtain

$$\begin{aligned} Div(L_\mu^w) = & \frac{1}{r^3} \sqrt{D} (\partial_{r^*} \Psi_i)^2 + \frac{1}{r^3} D^{\frac{3}{2}} \left(|\nabla \Psi_i|^2 + V_i \Psi_i^2 \right) \\ & - \frac{1}{r^3} \sqrt{D} (\partial_t \Psi_i)^2 + \frac{3}{r^4} D (1 - 2\sqrt{D}) \Psi_i \cdot \partial_{r^*} \Psi_i. \end{aligned} \quad (81)$$

By Cauchy Schwartz,

$$\frac{3}{r^4} D (1 - 2\sqrt{D}) \Psi_i \cdot \partial_{r^*} \Psi_i \geq -\frac{3}{2\varepsilon} \frac{D}{r^4} \Psi_i^2 - \frac{3\varepsilon}{2} \frac{D}{r^4} (\partial_{r^*} \Psi_i)^2,$$

and choosing $\varepsilon > 0$ small enough such that $r/3 \geq \varepsilon\sqrt{D}$, the last term above can be absorbed in the first term of $\text{Div}(L_\mu^w)$. Thus, using the above and relation (80) we prove the following proposition

Proposition 4.7 *There exists a positive constant C depending only on M such that for all solutions to (44), $i \in \{1, 2\}$ we have*

$$\begin{aligned} & \int_{S^2(r)} \left(\frac{\sqrt{D}}{r^3} (\partial_t \Psi_i)^2 + \frac{\sqrt{D}}{2r^3} (\partial_{r^*} \Psi_i)^2 + \frac{\sqrt{D}}{r} |\nabla \Psi_i|^2 + \frac{\sqrt{D}}{r^3} \Psi_i^2 \right) \\ & \leq \int_{S^2(r)} \left(\text{Div}(L_\mu^w) + C \sum_{k=0}^1 K^{X,G,h} [T^k \Psi_i] \right). \end{aligned}$$

Note, the decay rate for the angular derivative coefficient comes from Proposition 4.6.

4.3.3 Degenerate and non-degenerate X -estimate

We saw above that the modified scalar currents are positive definite, however, we also need to control the boundary terms that arise when applying the divergence identity in $R(0, \tau)$.

Proposition 4.8 *Let $X = f \partial_{r^*}$, where $f(r)$ is bounded, then there exists a uniform positive constant C depending on M , Σ_0 and $\|f\|_{L^\infty(\mathcal{R}(0,\tau))}$ such that for all solutions $\Psi_i^{(\ell)}$, $\ell \geq i$, $i \in \{1, 2\}$ to (44)*

$$\left| \int_S J_\mu^{X,G,h} [\Psi_i] n_S^\mu \right| \leq C \int_S J_\mu^T [\Psi_i] n_S^\mu, \quad (82)$$

for S being Σ_τ or \mathcal{H}^+ , for all $\tau > 0$.

Proof Since our estimates involve the horizon as well, let us express everything in terms of the ingoing coordinate system $(v, r, \vartheta, \varphi)$. In particular, we have $\frac{\partial}{\partial r^*}(r^*, t) = \frac{\partial}{\partial v}(v, r) + D \frac{\partial}{\partial r}(v, r)$, then

$$\begin{aligned} J_\mu^X n_S^\mu &= Q(X, n_S) = f Q(\partial_v, n_S) + f D Q(\partial_r, n_S) \\ &= f n_S^v (\partial_v \Psi_i)^2 + f n_S^v D (\partial_v \Psi_i) (\partial_r \Psi_i) + \frac{1}{2} f n_S^r D (\partial_r \Psi_i)^2 \\ &\quad + \left(-\frac{f}{2} n_S^r \right) |\nabla \Psi|^2 + \left(-\frac{V_i}{2} f n_S^r \right) \Psi^2. \end{aligned} \quad (83)$$

In addition, we have

$$\begin{aligned} J_{\mu}^{X,G,h} n_S^{\mu} &= J_{\mu}^X n_S^{\mu} + \frac{h^2}{2} \Psi^2 (\partial_{r^*})_{\mu} n_S^{\mu} \\ &= f n_S^v (\partial_v \Psi_i)^2 + f n_S^v D (\partial_v \Psi_i) (\partial_r \Psi) + \frac{1}{2} f n_S^r D (\partial_r \Psi_i)^2 \\ &\quad + \left(-\frac{f}{2} n_S^r \right) |\nabla \Psi|^2 + \left(\frac{h}{2} n_S^r - \frac{V_i}{2} f n_S^r \right) \Psi^2 \end{aligned} \quad (84)$$

Now, using Cauchy-Schwarz, the fact that f, n^u, n^r, h are bounded functions and that V_i is linear with respect to ℓ , Proposition 4.4 concludes the proof. \square

Now, we are in the position of proving the general Morawetz estimates of this main section. First, we proceed with the proof of a degenerate estimate that captures the trapping effect on both the photon sphere $\{r = 2M\}$ and the horizon \mathcal{H}^+ .

Theorem 4.1 *There exists a constant $C > 0$ depending on M, Σ_0 such that for all solutions $\Psi_i^{(\ell)}$ to (44), $i \in \{1, 2\}$, $\ell \geq i$, we have*

$$\begin{aligned} \int_{\mathcal{R}(0,\tau)} \left(\frac{1}{r^3} |\partial_{r^*} \Psi_i|^2 + \frac{(r-M)(r-2M)^2}{r^4} \left(|\nabla \Psi_i|^2 + \frac{1}{r^2} (\partial_t \Psi_i)^2 \right) + \frac{(r-M)}{r^4} |\Psi_i|^2 \right) \\ \leq C \int_{\Sigma_0} J_{\mu}^T [\Psi_i] n_{\Sigma_0}^{\mu} \end{aligned} \quad (85)$$

Proof We apply Stoke's Theorem in the region $R(0, \tau)$ to the currents

$$J_{\mu}^{X,G,h} [\Psi_i] + L_{\mu}^z + A \cdot J_{\mu}^T [\Psi_i] \quad (86)$$

for a big enough constant $A > 0$. Note, there will be no boundary terms on the horizon \mathcal{H}^+ since all quantities vanish there, thus applying Propositions 4.8, 4.6 and 4.2 we conclude the proof. \square

Similarly, using Proposition 4.7 we prove an estimate that does not degenerate at the photon sphere, however, requires higher regularity on the initial data.

Theorem 4.2 *There exists a positive constant C depending on M, Σ_0 alone such that for all solutions $\Psi_i^{(\ell)}$ to (44), $i \in \{1, 2\}$, $\ell \geq i$, we have*

$$\begin{aligned} \int_{\mathcal{R}(0,\tau)} \left(\frac{\sqrt{D}}{r^3} (\partial_t \Psi_i)^2 + \frac{\sqrt{D}}{2r^3} (\partial_{r^*} \Psi_i)^2 + \frac{\sqrt{D}}{r} |\nabla \Psi_i|^2 + \frac{\sqrt{D}}{r^3} \Psi_i^2 \right) \\ \leq C \left(\int_{\Sigma_0} J_{\mu}^T [\Psi_i] n_{\Sigma_0}^{\mu} + J_{\mu}^T [T \Psi_i] n_{\Sigma_0}^{\mu} \right) \end{aligned} \quad (87)$$

4.4 The Vector Field N

In this section, we are looking for a timelike vectorfield N that captures the non-degenerate energy of a local observer near the horizon. However, in the extremal Reissner-Nordström case, the absence of redshift effect poses a difficulty since there is no analogous redshift vectorfield to Dafermos–Rodnianski's [17]; for any timelike vectorfield N , the corresponding scalar current won't be non-negative definite. Instead, we go around this by modifying appropriately the current $J_\mu^N[\Psi_i]$ which allows us to obtain a non-negative local integrated estimate, however, still degenerate in the transversal invariant direction due to trapping on the horizon \mathcal{H}^+ . First, let's take a closer look at why $J_\mu^N[\Psi_i]$ alone won't work. In this subsection, we work with respect to the coordinate system $(v, r, \vartheta, \varphi)$ which is regular on the event horizon \mathcal{H}^+ .

Absence of redshift effect. Let $N = N^v(r)\partial_v + N^r(r)\partial_r$ be a future-directed timelike ϕ_τ^T -invariant vector field. Then, if we consider $J_\mu^N[\Psi_i]$ for a solution $\Psi_i^{(\ell)}$ to (44), $i \in \{1, 2\}$, $\ell \geq i$, we obtain

$$K^N[\Psi_i] = F_{vv}(\partial_v \Psi_i)^2 + F_{rr}(\partial_r \Psi_i)^2 + F_{\vartheta\vartheta}|\nabla \Psi_i|^2 + F_{vr}(\partial_v \Psi_i)(\partial_r \Psi_i) + F_{00}^{(i)}\Psi_i^2, \quad (88)$$

where the coefficients are given by

$$\begin{aligned} F_{vv} &= N_r^v, & F_{rr} &= D\left(\frac{N_r^r}{2} - \frac{N^r}{r}\right) - \frac{N^r D'}{2}, \\ F_{\vartheta\vartheta} &= -\frac{1}{2}N_r^r, & F_{vr} &= DN_r^v - \frac{2N^r}{r}, \\ F_{00}^{(i)} &= -\frac{1}{2}N(V_i) - V_i\left(\frac{N_r^r}{2} + \frac{N^r}{r}\right). \end{aligned} \quad (89)$$

Here we denote by $N_r^i := \frac{\partial N^i}{\partial r}$ and $D' = \frac{\partial D}{\partial r}$. In hope of proving K^N is non-negative definite, we would like to control the term $F_{vr}(\partial_v \Psi_i)(\partial_r \Psi_i)$ using the positivity of F_{rr} , F_{vv} . However, note that F_{rr} vanishes on the horizon whereas F_{vr} doesn't. Indeed, recall the relations (52), (53), so if we are looking for N timelike everywhere, it is necessary that $N^r(M) \neq 0$ on the horizon \mathcal{H}^+ . In particular, $K^N[\Psi_i]$ is linear with respect to $\partial_r \Psi_i$ on the horizon. In addition, the coefficient F_{00} becomes negative for low frequencies for both $i = 1, 2$. Therefore, no choice of timelike vectorfield N can make $K^N[\Psi_i]$ non-negative definite.

A Locally Non-Negative Spacetime Current. In order to remedy the situation above, we need to introduce extra terms to our initial current. Consider $J_\mu^{X,\omega,M}[\Psi]$ as in Proposition 4.1 for the $(0,1)$ -form $M_\mu := h(\partial_r)_\mu$, and $\omega = \omega(r)$, $h = h(r)$ functions of r alone. In particular, if

$$J_\mu^{N,\omega,M}[\Psi_i] = J_\mu^N[\Psi_i] + \frac{1}{2}\omega\Psi_i \cdot \partial_\mu \Psi_i - \frac{1}{4}(\partial_\mu \omega)\Psi_i^2 + \frac{h}{4}\Psi_i^2(\partial_r)_\mu \quad (90)$$

Then, we have

$$\begin{aligned}
 K^{N,\omega,h}[\Psi_i] &:= \text{Div}(J_\mu^{X,\omega,M}[\Psi]) = K^N[\Psi_i] + \frac{1}{2}\omega \left(\nabla^a \Psi_i \nabla_a \Psi_i + V \Psi_i^2 \right) \\
 &\quad + \frac{1}{4} \left(h' + \frac{2}{r}h \right) \Psi_i^2 + \frac{1}{2}h \Psi_i \partial_r \Psi_i. \\
 \Rightarrow K^{N,\omega,h}[\Psi_i] &= F_{vv}(\partial_v \Psi_i)^2 + \left(F_{rr} + \frac{\omega}{2}D \right) (\partial_r \Psi_i)^2 \\
 &\quad + \left(F_{\Psi\Psi} + \frac{\omega}{2} \right) |\Psi \Psi_i|^2 \\
 &\quad + (F_{vr} + \omega)(\partial_v \Psi_i)(\partial_r \Psi_i) + \left(F_{00}^{(i)} - \frac{1}{2}V_i + \frac{1}{4}h' + \frac{h}{2r} \right) \Psi_i^2 \\
 &\quad + 2\frac{h}{4}\Psi_i \partial_r \Psi_i.
 \end{aligned} \tag{91}$$

For simplicity, let us denote the above coefficients of $(\partial_a \Psi_i \cdot \partial_b \Psi_i)$ by G_{ab} where $a, b \in \{v, r, \Psi, 0\}$ and define the vector field N in the region $M \leq r \leq \frac{9M}{8}$ as

$$N^u(r) = 16r, \quad N^r(r) = -\frac{3}{2}r + M, \tag{92}$$

which is timelike. In view of the discussion of the previous paragraph, we define ω such that $G_{vr}|_{r=M} = 0$, i.e. with the choice of N as above, we need $\omega = -1$ constant. Such choice of N vectorfield and function ω was first seen in [7] for the homogeneous wave equation. However, in our case we have an extra zeroth order term G_{00} which we need to treat. Nevertheless, we will see in the proposition below, there is an appropriate function h which makes the coefficient G_{00} positive definite.

Proposition 4.9 *Let $h(r) := 6\frac{\sqrt{D}(1+3\sqrt{D})}{r}$ and N as in (92), then there exists a positive constant C depending only on M such that for all solutions $\Psi_i^{(\ell)}$ to (44), supported on the fixed frequency $\ell \geq i$, $i \in \{1, 2\}$, the current $K^{N,-1,h}[\Psi_i]$ is non-negative definite in $\mathcal{A}_N := \{M \leq r \leq \frac{9M}{8}\}$. In particular, we have*

$$\int_{S^2(r)} K^{N,-1,h}[\Psi_i] \geq C \int_{S^2(r)} \left((\partial_v \Psi_i)^2 + \sqrt{D}(\partial_r \Psi_i)^2 + |\Psi \Psi_i|^2 + \frac{1}{r^2} \Psi_i^2 \right) \tag{93}$$

Proof First, let's write down the coefficients G_{ab} adapted to the choice of N as in (92):

$$\begin{aligned}
 G_{vv} &= 16, & G_{vr} &= \sqrt{D}(16\sqrt{D} + 2), & G_{rr} &= \frac{\sqrt{D}}{4}(2 - \sqrt{D}), \\
 G_{\Psi\Psi} &= \frac{1}{4}, & G_{0r} &= 2\frac{h}{4}, & G_{00}^{(i)} &= F_{00}^{(i)} - \frac{1}{2}V_i + \left(\frac{1}{4}h' + \frac{h}{2r} \right).
 \end{aligned} \tag{94}$$

Using Cauchy-Schwartz inequality we get

$$\begin{aligned} G_{vr}(\partial_v \Psi_i)(\partial_r \Psi_i) &= \left(\frac{\sqrt{D}}{\sqrt{2}} \cdot \partial_r \Psi_i \right) \cdot (\sqrt{2}(16\sqrt{D} + 2) \cdot \partial_v \Psi_i) \\ &\geq -\frac{D}{4}(\partial_r \Psi_i)^2 - (16\sqrt{D} + 2)^2(\partial_v \Psi_i)^2. \end{aligned} \quad (95)$$

Similarly, we obtain

$$\begin{aligned} G_{0r}(\Psi_i) \cdot (\partial_r \Psi_i) &= 2\frac{h}{4} \left(\frac{\sqrt{5}}{\sqrt{r}} \Psi_i \right) \cdot \left(\frac{\sqrt{r}}{\sqrt{5}} \cdot \partial_r \Psi_i \right) \\ &\geq -\frac{5h}{4 \cdot r} \Psi_i^2 - \frac{h \cdot r}{4 \cdot 5} (\partial_r \Psi_i)^2. \end{aligned} \quad (96)$$

Therefore, going back to (91), we need to show that the new coefficients are non-negative definite in the region \mathcal{A}_N . For simplicity, we denote by $x := \sqrt{D}$ which in \mathcal{A}_N it satisfies $0 \leq x \leq \frac{1}{9}$.

For the coefficient of $(\partial_v \Psi_i)^2$, which is $16 - (16\sqrt{D} + 2)^2$, we have

$$16 - (16x + 2)^2 = 4(1 - 8x)(3 + 8x) \geq \frac{4}{9} \cdot 3 = \frac{4}{3}, \quad \forall x \in \mathcal{A}_N.$$

The coefficient of $(\partial_r \Psi_i)^2$ is

$$\frac{x}{4}(2 - x) - \frac{x^2}{4} - \frac{h \cdot r}{4 \cdot 5} = \frac{1}{2}x(1 - x) - \frac{6}{4 \cdot 5}x(1 + 3x) = \frac{x}{5}(1 - 7x), \quad (97)$$

which again for $x \leq \frac{1}{9}$, it is uniformly positive.

We already know that $G_{\Psi} = \frac{1}{4} > 0$, and it only remains to show positivity for the coefficient of Ψ_i^2 . For that, we are going to need Poincare inequality but first, let's examine the zeroth order coefficient closer. We have,

$$G_{00}^{(i)} - \frac{5h}{4r} = F_{00}^{(i)} - \frac{1}{2}V_i + \frac{1}{4} \left(h' - \frac{3h}{r} \right). \quad (98)$$

For $i = 1$, we write

$$F_{00}^{(1)} - \frac{1}{2}V_1 = \frac{1}{r^2} \left(\ell(x^2 - x) - \left(\frac{3}{2} + x - \frac{17}{2}x^2 + 6x^3 \right) \right) \quad (99)$$

The above expression is mostly negative, so borrowing from the coefficient of $G_{\Psi} = \frac{1}{4} = \frac{1}{16} + \frac{3}{16}$ by using Poincare Inequality we obtain the extra term

$$F_{00}^{(1)} - \frac{1}{2}V_1 + \frac{3}{16} \frac{\ell(\ell + 1)}{r^2} = \frac{1}{r^2} \left(\frac{3}{16}\ell^2 + \ell \left(x^2 - x + \frac{3}{16} \right) \right)$$

$$-\left(\frac{3}{2} + x - \frac{17}{2}x^2 + 6x^3\right) \quad (100)$$

Using the fact $x \leq \frac{1}{9}$, it is easy to see that the above expression is uniformly positive definite for all $\ell \geq 3$ in \mathcal{A}_N . However, for $\ell = 1, 2$ we need the help of the extra positive term in (98). In particular,

$$\frac{1}{4} \left(h' - \frac{3h}{r} \right) = \frac{3}{2} (1 + x - 18x^2) \quad (101)$$

Therefore, we obtain

$$G_{00}^{(1)} + \frac{3}{16} \frac{\ell(\ell+1)}{r^2} = \frac{1}{r^2} \left(\frac{3}{16} \ell^2 + \ell \left(x^2 - x + \frac{3}{16} \right) + \left(\frac{1}{2} x - \frac{37}{2} x^2 - 6x^3 \right) \right), \quad (102)$$

which is positive for both $\ell = 1, 2$ in the region \mathcal{A}_N .

Similarly, we obtain the same result for the potential $V_2^{(\ell)}(r)$ for every $\ell \geq 2$ in \mathcal{A}_N , which concludes the proof. \square

The $J_\mu^{N,\delta,\tilde{h}}[\Psi_i]$ current. Outside the region \mathcal{A}_N , the scalar current $K^{N,-1,h}[\Psi_i]$ takes negative values as well. We extend the current $J_\mu^{N,-1,h}$ introducing cut-off functions so that the corresponding scalar current will be non-negative definite away from the horizon except maybe a compact region not including the photon sphere, in which we control it using Morawetz estimates.

In particular, we extend the vectorfield N outside \mathcal{A}_N as

$$\begin{aligned} N^v(r) &> 0, \quad \forall r \geq M & \text{and} & \quad N^v(r) = 1, \quad \forall r \geq \frac{8M}{7}, \\ N^r(r) &\leq 0, \quad \forall r \geq M & \text{and} & \quad N^r(r) = 0, \quad \forall r \geq \frac{8M}{7}, \end{aligned} \quad (103)$$

and N remains an ϕ_τ^T -invariant timelike vectorfield.

Away from \mathcal{A}_N , we extend both $\omega(r) = -1$ and $h(r)$ by introducing the smooth cut-off functions $\delta : [M, +\infty) \rightarrow \mathbb{R}$ such that $\delta = 1$ for $r \in [M, \frac{9M}{8}]$, and $\delta(r) = 0$ for $r \geq \frac{8M}{7}$, while also, $\tilde{h}(r) = h(r)$ for $r \in [M, \frac{9M}{8}]$, and $\tilde{h}(r) = 0$ for $r \geq \frac{8M}{7}$. Now, we consider the current

$$J_\mu^{N,\delta,\tilde{h}}[\Psi_i] := J_\mu^N[\Psi_i] - \frac{1}{2} \delta \Psi_i \cdot \partial_\mu \Psi_i + \frac{\tilde{h}}{4} \Psi_i^2 (\partial_r)_\mu, \quad (104)$$

and we make the following observations,

1. In the \mathcal{A}_N region, we have $J_\mu^{N,\delta,\tilde{h}}[\Psi_i] \equiv J_\mu^{N,-1,h}[\Psi_i]$, and thus $K^{N,\delta,\tilde{h}}[\Psi_i] = K^{N,-1,h}[\Psi_i]$.
2. For $r \geq \frac{8M}{7}$, we have $K^{N,\delta,\tilde{h}}[\Psi_i] = K^T = 0$.

3. For $\frac{9M}{8} \leq r \leq \frac{8M}{7} < 2M$, the scalar current $K^{N,\delta,\tilde{h}}[\Psi_i]$ can be negative in general, however, it can be controlled by the Morawetz estimates which are non-degenerate away from the photon sphere and the event horizon.

4.4.1 N-multiplier boundary terms

In this section we treat the boundary terms that appear when applying the multiplier method for N . First, observe that the vectorfield N captures the non-degenerate energy of a local observer near the horizon. Indeed, since both $N, n_{\Sigma_\tau}^\mu$ are timelike everywhere in $R(0, \tau)$, following exactly the same proof as in Proposition 4.4, we obtain

$$\begin{aligned} \int_{S^2(r)} J_\mu^N[\Psi_i] n_{\Sigma_\tau}^\mu &\geq C \int_{S^2(r)} \left((\partial_v \Psi_i)^2 + (\partial_r \Psi_i)^2 + |\nabla \Psi_i|^2 + \frac{\ell(\ell+1)}{r^2} \Psi_i^2 \right), \\ \int_{S^2(r)} J_\mu^N[\Psi_i] n_{\mathcal{H}^+}^\mu &\geq C \int_{S^2(r)} \left((\partial_v \Psi_i)^2 + |\nabla \Psi_i|^2 + \frac{\ell(\ell+1)}{r^2} \Psi_i^2 \right). \end{aligned} \quad (105)$$

where the constant C depends only on M and Σ_0 since N is ϕ_τ^T -invariant. Now, regarding the current $J_\mu^{N,\delta,\tilde{h}}[\Psi_i]$, we have the following propositions.

Proposition 4.10 *There exists a constant $C > 0$ depending only on M, Σ_0 such that for all solutions $\Psi_i^{(e)}$ to (44), supported on the fixed frequency $\ell \geq i, i \in \{1, 2\}$ we have*

$$\frac{1}{C} \int_{\Sigma_\tau} J_\mu^{N,\delta,\tilde{h}}[\Psi_i] n_{\Sigma_\tau}^\mu \leq \int_{\Sigma_\tau} J_\mu^N[\Psi_i] n_{\Sigma_\tau}^\mu \leq 2 \int_{\Sigma_\tau} J_\mu^{N,\delta,\tilde{h}}[\Psi_i] n_{\Sigma_\tau}^\mu + C \int_{\Sigma_\tau} J_\mu^T[\Psi_i] n_{\Sigma_\tau}^\mu \quad (106)$$

Proof To prove the left hand side inequality, notice that

$$\begin{aligned} J_\mu^{N,\delta,\tilde{h}}[\Psi_i] n^\mu &= J_\mu^N[\Psi_i] n^\mu - \frac{1}{2} \delta \Psi_i \cdot \partial_\mu \Psi_i n^\mu + \frac{\tilde{h}}{4} \Psi_i^2 (\partial_r)_\mu n^\mu \\ &= J_\mu^N[\Psi_i] n^\mu - \frac{1}{2} \delta \Psi_i \cdot \partial_v \Psi_i n^v - \frac{1}{2} \delta \Psi_i \cdot \partial_r \Psi_i n^r + \frac{\tilde{h}}{4} \Psi_i^2 n^v \end{aligned} \quad (107)$$

and we use Cauchy-Schwartz inequality along with relation (105).

For the right-hand side inequality, using again Cauchy-Schwartz we can write

$$\begin{aligned} -\frac{1}{2} \delta \Psi_i \cdot \partial_r \Psi_i n^r &= -\frac{1}{2} \delta \frac{\Psi_i}{\varepsilon} \cdot \varepsilon \partial_r \Psi_i n^r \\ &\geq -\frac{1}{4} \delta \frac{(\Psi_i)^2}{\varepsilon} |n^v| - \frac{1}{4} \delta \varepsilon (\partial_r \Psi_i)^2 |n^v|. \end{aligned}$$

Doing the same for the term $-\frac{1}{2}\delta\Psi_i \cdot \partial_v \Psi_i n^v$, and the fact that n^v, n^r, \tilde{h} are bounded, we choose $\varepsilon > 0$ small enough such that relation (107) yields

$$\int_{S^2(r)} J_\mu^{N,\delta,\tilde{h}}[\Psi_i] n^\mu \geq \int_{S^2(r)} \frac{1}{2} J_\mu^N[\Psi_i] n^\mu - \frac{\tilde{c}}{\varepsilon} \Psi_i^2 \quad (108)$$

Therefore, using Proposition 4.4 we obtain

$$\int_{S^2(r)} J_\mu^N[\Psi_i] n^\mu \leq 2 \int_{S^2(r)} J_\mu^{N,\delta,\tilde{h}}[\Psi_i] n^\mu + C J_\mu^T[\Psi_i] n^\mu,$$

for a big enough constant C , depending only on M, Σ_0 . \square

We now control the boundary term over \mathcal{H}^+ . In particular, we have the following proposition.

Proposition 4.11 *For all solutions $\Psi_i^{(\ell)}$ to (44), supported on the fixed frequency $\ell \geq i$, $i \in \{1, 2\}$ we have*

$$\int_{\mathcal{H}^+} J_\mu^{N,\delta,\tilde{h}}[\Psi_i] n_{\mathcal{H}^+}^\mu \geq \frac{1}{2} \int_{\mathcal{H}^+} J_\mu^N[\Psi_i] n_{\mathcal{H}^+}^\mu - C \int_{\mathcal{H}^+} J_\mu^T[\Psi_i] n_{\mathcal{H}^+}^\mu \quad (109)$$

for a positive constant C depending only on M, Σ_0 .

Proof With the following convention in mind, $n_{\mathcal{H}^+} \equiv T$, we write down equation (107) on the horizon \mathcal{H}^+ and we obtain

$$J_\mu^{N,\delta,\tilde{h}}[\Psi_i] n_{\mathcal{H}^+}^\mu = J_\mu^N[\Psi_i] n_{\mathcal{H}^+}^\mu - \frac{1}{2} \Psi_i \cdot \partial_v \Psi_i, \quad (110)$$

since $n_{\mathcal{H}^+}^r = \tilde{h}(M) = 0$ and $\delta(M) = 1$. Using Cauchy Schwartz we write

$$\begin{aligned} \int_{S^2(r)} J_\mu^{N,\delta,\tilde{h}}[\Psi_i] n_{\mathcal{H}^+}^\mu &= \int_{S^2(r)} J_\mu^N[\Psi_i] n_{\mathcal{H}^+}^\mu - \frac{1}{2} \cdot \Psi_i \cdot \partial_v \Psi_i \\ &\geq \int_{S^2(r)} J_\mu^N[\Psi_i] n_{\mathcal{H}^+}^\mu - \frac{\varepsilon}{4} (\Psi_i)^2 - \frac{1}{4\varepsilon} (\partial_v \Psi_i)^2 \\ &\geq \int_{S^2(r)} \frac{1}{2} J_\mu^N[\Psi_i] n_{\mathcal{H}^+}^\mu - \frac{1}{4\varepsilon} J_\mu^T[\Psi_i] n_{\mathcal{H}^+}^\mu, \end{aligned}$$

for $\varepsilon > 0$ sufficiently small, which concludes the proof. \square

Uniform Boundedness of Local Observer's Energy and Integrated Local Energy.

We combine our results above to obtain an integrated local energy estimate which captures the trapping effect at the horizon \mathcal{H}^+ due to the degeneracy of the redshift effect.

Theorem 4.3 *There exists a constant $C > 0$ which depends on M, Σ_0 such that for all solutions $\Psi_i^{(\ell)}$ to (44), supported on the fixed frequency $\ell \geq i, i \in \{1, 2\}$*

$$\begin{aligned} & \int_{\Sigma_\tau} J_\mu^N[\Psi_i] n_{\Sigma_\tau}^\mu + \int_{\mathcal{H}^+} J_\mu^N[\Psi_i] n_{\mathcal{H}^+}^\mu \\ & + \int_{\mathcal{A}_N} (\partial_v \Psi_i)^2 + \sqrt{D} (\partial_r \Psi_i)^2 + |\nabla \Psi_i|^2 + \frac{1}{r^2} \Psi_i^2 \leq C \int_{\Sigma_0} J_\mu^N[\Psi_i] n_{\Sigma_0}^\mu \end{aligned} \quad (111)$$

Proof We apply Stoke's theorem for the current $J_\mu^{N, \delta, \tilde{h}}[\Psi_i]$ in the region $\mathcal{R}(0, \tau)$ to obtain

$$\int_{\Sigma_\tau} J_\mu^{N, \delta, \tilde{h}}[\Psi_i] n_{\Sigma_\tau}^\mu + \int_{\mathcal{R}(0, \tau)} K^{N, \delta, \tilde{h}} + \int_{\mathcal{H}^+} J_\mu^{N, \delta, \tilde{h}}[\Psi_i] n_{\mathcal{H}^+}^\mu = \int_{\Sigma_0} J_\mu^{N, \delta, \tilde{h}}[\Psi_i] n_{\Sigma_0}^\mu \quad (112)$$

We have seen before that the spacetime term $K^{N, \delta, \tilde{h}}$ is non-negative definite everywhere, except in the region $\frac{9M}{8} \leq r \leq \frac{8M}{7} < 2M$. In that region, we control the spacetime term using Theorem 4.1 and we make it non-negative definite in terms of the 1-jet of $\Psi_i^{(\ell)}$, for all $r \geq M$. Therefore, using also the left-hand side inequality of Proposition 4.10, we obtain

$$\int_{\Sigma_\tau} J_\mu^{N, \delta, \tilde{h}}[\Psi_i] n_{\Sigma_\tau}^\mu + \int_{\mathcal{H}^+} J_\mu^{N, \delta, \tilde{h}}[\Psi_i] n_{\mathcal{H}^+}^\mu \leq C \int_{\Sigma_0} J_\mu^N[\Psi_i] n_{\Sigma_0}^\mu \quad (113)$$

On the other hand, using the right-hand side inequality of Proposition 4.10 and Proposition 4.11 the above relation yields

$$\begin{aligned} & \frac{1}{2} \int_{\Sigma_\tau} J_\mu^N[\Psi_i] n_{\Sigma_\tau}^\mu + \frac{1}{2} \int_{\mathcal{H}^+} J_\mu^N[\Psi_i] n_{\mathcal{H}^+}^\mu \\ & \leq C \int_{\Sigma_0} J_\mu^N[\Psi_i] n_{\Sigma_0}^\mu + C' \left(\int_{\Sigma_\tau} J_\mu^T[\Psi_i] n_{\Sigma_\tau}^\mu + \int_{\mathcal{H}^+(0, \tau)} J_\mu^T[\Psi_i] n_{\mathcal{H}^+}^\mu \right), \end{aligned} \quad (114)$$

for a sufficiently big constant $C' > 0$ depending only on M, Σ_0 . However, note

$$\int_{\Sigma_\tau} J_\mu^T[\Psi_i] n_{\Sigma_\tau}^\mu + \int_{\mathcal{H}^+(0, \tau)} J_\mu^T[\Psi_i] n_{\mathcal{H}^+}^\mu = \int_{\Sigma_0} J_\mu^T[\Psi_i] n_{\Sigma_0}^\mu,$$

which concludes the proof. \square

5 Energy Estimates for $\partial_r \Psi_i$

In the previous sections, we derived integrated local energy estimates that are degenerate with respect to $(\partial_r \Psi_i^{(\ell)})^2$ on the horizon \mathcal{H}^+ . In this section, we remove the aforementioned degeneracy near the horizon \mathcal{H}^+ , at the cost of losing one derivative

at the level of initial data. For that, we need to commute our equation (44) with the vectorfield ∂_r . In order to derive the instability that occurs along the horizon \mathcal{H}^+ , we need to control $\partial_r^k \Psi_i^{(\ell)}$, for $k \in \mathbb{N}$ depending on ℓ .

The ∂_r -Commutator. For any scalar function ϕ we have

$$[\square_g, \partial_r]\phi = -D'\partial_r\partial_r\phi + \frac{2}{r^2}\partial_v\phi - R'\partial_r\phi + \frac{2}{r}\Delta\phi. \quad (115)$$

The above relation can be justified by direct computation and using the fact that $[\Delta, \partial_r]\phi = \frac{2}{r}\Delta\phi$. Therefore, taking a ∂_r -derivative of equation (44) yields

$$\begin{aligned} & \square_g(\partial_r\Psi_i) - [\square_g, \partial_r]\Psi_i - V_i(\partial_r\Psi_i) - V'_i(r)\Psi_i = 0 \\ \Rightarrow & \square_g(\partial_r\Psi_i) - V(\partial_r\Psi_i) = [\square_g, \partial_r]\Psi_i + V'_i(r)\Psi_i. \end{aligned} \quad (116)$$

Since $\Psi_i^{(\ell)}$ is supported on a fixed frequency $\ell \geq i$, $i \in \{1, 2\}$, we can write

$$V'_i\Psi_i = -\frac{V'_i \cdot r^2}{\ell(\ell+1)}\Delta\Psi_i.$$

Now, let's define

$$\begin{aligned} M[\Psi_i] &:= [\square_g, \partial_r]\Psi_i + V'_i(r)\Psi_i \\ &= -D'\partial_r\partial_r\Psi_i + \frac{2}{r^2}\partial_v\Psi_i - R'\partial_r\Psi_i + \left(\frac{2}{r} - \frac{V'_i \cdot r^2}{\ell(\ell+1)}\right)\Delta\Psi_i \end{aligned}$$

Then, we obtain the following equations for $\partial_r\Psi_i^{(\ell)}$

$$(\square_g - V_i^{(\ell)})\left(\partial_r\Psi_i^{(\ell)}\right) = M[\Psi_i^{(\ell)}], \quad \ell \geq i, \quad i \in \{1, 2\}. \quad (117)$$

For simplicity, we will drop the (ℓ) superscript since each Ψ_i , V_i is defined in terms of $\ell \geq i$ in the first place.

Control far from the horizon. Away from the horizon \mathcal{H}^+ , the spacetime term $\int_{R \cap \{r \geq r_0\}} (\partial_r\Psi_i)^2$, $r_0 > M$, is already controlled in the previous section. In addition, commuting our equation (44) with T , using local elliptic estimates, and L^2 bounds obtained earlier we control all second order derivatives away from \mathcal{H}^+ , for all solutions $\Psi_i^{(\ell)}$ to (44), $\ell \geq i$, $i \in \{1, 2\}$. In particular,

$$\sum_{|\alpha|=2} \left(\int_{\Sigma_\tau \cap \{r \geq r_0 > M\}} |\partial^\alpha \Psi_i|^2 \right) \leq C \left(\int_{\Sigma_0} J_\mu^T[\Psi_i] n_{\Sigma_0}^\mu + \int_{\Sigma_0} J_\mu^T[T\Psi_i] n_{\Sigma_0}^\mu \right), \quad (118)$$

for a constant $C > 0$ depending only on M, r_0, Σ_0 . The same result holds for the bulk integrals where, however, we must exclude the photon sphere $\{r = 2M\}$ due to

trapping. Specifically, since T is timelike for $r \geq r_0$, using local elliptic estimates and Theorem 4.1 yield

$$\sum_{|\alpha|=2} \left(\int_{R(0,\tau) \cap \{r_0 \leq r \leq r_1 < 2M\}} |\partial^\alpha \Psi_i|^2 \right) \leq C \left(\int_{\Sigma_0} J_\mu^T[\Psi_i] n_{\Sigma_0}^\mu + \int_{\Sigma_0} J_\mu^T[T\Psi_i] n_{\Sigma_0}^\mu \right). \quad (119)$$

5.1 Higher order control near the horizon

In view of the above, we now restrict our attention close to the horizon \mathcal{H}^+ . In particular, we are looking for an ϕ_τ^T -invariant timelike vectorfield $\mathcal{X} = \mathcal{X}^v \partial_v + \mathcal{X}^r \partial_r$ that will act as a multiplier for the function $\partial_r \Psi_i$.

Applying Stokes Theorem in the region $R(0, \tau)$ for the energy current $J_\mu^\mathcal{X}[\partial_r \Psi_i]$ yields

$$\int_{\Sigma_\tau} J_\mu^\mathcal{X}[\partial_r \Psi_i] n_{\Sigma_\tau}^\mu + \int_{\mathcal{R}(0,\tau)} \nabla^\mu J_\mu^\mathcal{X}[\partial_r \Psi_i] + \int_{\mathcal{H}^+} J_\mu^\mathcal{X}[\partial_r \Psi_i] n_{\mathcal{H}^+}^\mu = \int_{\Sigma_0} J_\mu^\mathcal{X}[\partial_r \Psi_i] n_{\Sigma_0}^\mu. \quad (120)$$

Since \mathcal{X} is timelike in a region close to the horizon \mathcal{H}^+ , we have

$$\int_{S^2(r)} J_\mu^\mathcal{X}[\partial_r \Psi_i] n_{\Sigma_\tau}^\mu \geq C \left(\int_{S^2(r)} (\partial_v \partial_r \Psi_i)^2 + (\partial_r \partial_r \Psi_i)^2 + |\nabla \partial_r \Psi_i|^2 + \frac{1}{r^2} (\partial_r \Psi_i)^2 \right), \quad (121)$$

for a constant $C > 0$ depending only on M, Σ_0, \mathcal{X} . In addition, **on the horizon** we have

$$\begin{aligned} \int_{S^2(r)} J_\mu^\mathcal{X}[\partial_r \Psi_i] n_{\mathcal{H}^+}^\mu &= \int_{S^2(r)} \mathcal{X}^v(M) (\partial_v \partial_r \Psi_i)^2 \\ &\quad - \frac{\mathcal{X}^r(M)}{2} \left(1 + \frac{2 \cdot (-1)^{i-1}}{(\ell + i - 1)} \right) |\nabla \partial_r \Psi_i|^2 \end{aligned} \quad (122)$$

where ℓ is the fixed frequency support of $\Psi_i^{(\ell)}$.

In what follows, we are studying the bulk term that appears in (120) and we define accordingly an appropriate vectorfield \mathcal{X} that will allow for control of the second order derivatives of Ψ_i , while also for $(\partial_r \Psi_i)^2$ near the horizon \mathcal{H}^+ . Specifically, from Proposition 4.1 we obtain

$$\begin{aligned} \nabla^\mu J_\mu^\mathcal{X}[\partial_r \Psi_i] &= \frac{1}{2} Q[\partial_r \Psi_i] \cdot^{(\mathcal{X})} \pi - \frac{1}{2} \mathcal{X}(V_i) (\partial_r \Psi_i)^2 + \mathcal{X}(\partial_r \Psi_i) \cdot M[\Psi_i] \\ &= K^\mathcal{X}[\partial_r \Psi_i] + (\mathcal{X}^v(\partial_v \partial_r \Psi_i) + \mathcal{X}^r(\partial_r \partial_r \Psi_i)) M[\Psi_i]. \end{aligned} \quad (123)$$

Note above, we have the extra third term since $\partial_r \Psi_i$ satisfies the non-homogeneous wave equation (117). Now, similarly to Section 4.4, we have

$$K^{\mathcal{X}}[\partial_r \Psi_i] = F_{vv}(\partial_v \partial_r \Psi_i)^2 + F_{rr}(\partial_r \partial_r \Psi_i)^2 + F_{\nabla} |\nabla \partial_r \Psi_i|^2 \\ + F_{vr}(\partial_v \partial_r \Psi_i)(\partial_r \partial_r \Psi_i) + F_{00}(\partial_r \Psi_i)^2, \quad (124)$$

where the coefficients F_{ij} are defined as in relation (89) for the vectorfield \mathcal{X} . Expanding also $M_i[\Psi_i]$ we obtain altogether

$$\nabla^\mu J_\mu^{\mathcal{X}}[\partial_r \Psi_i] = E_1(\partial_v \partial_r \Psi_i)^2 + E_2(\partial_r \partial_r \Psi_i)^2 + E_3 |\nabla \partial_r \Psi_i|^2 + E_4(\partial_v \partial_r \Psi_i)(\partial_v \Psi_i) \\ + E_5(\partial_v \partial_r \Psi_i)(\partial_r \Psi_i) + E_6(\partial_r \partial_r \Psi_i)(\partial_v \Psi_i) + E_7(\partial_v \partial_r \Psi_i) \Delta \Psi_i \\ + E_8(\partial_r \partial_r \Psi_i) \Delta \Psi_i + E_9(\partial_v \partial_r \Psi_i)(\partial_r \partial_r \Psi_i) + E_{10}(\partial_r \partial_r \Psi_i)(\partial_r \Psi_i) \\ + E_{11}(\partial_r \Psi_i)^2, \quad (125)$$

with coefficients E_j , $j \in \{1, \dots, 11\}$

$$E_1 = \mathcal{X}_r^v, \quad E_2 = D \left[\frac{\mathcal{X}_r^r}{2} - \frac{\mathcal{X}^r}{r} \right] - \frac{3D'}{2} \mathcal{X}^r, \quad E_3 = -\frac{1}{2} \mathcal{X}_r^r, \\ E_4 = 2 \frac{\mathcal{X}^v}{r^2}, \quad E_5 = -\mathcal{X}^v R', \quad E_6 = 2 \frac{\mathcal{X}^r}{r^2}, \quad E_7 = \mathcal{X}^v \left(\frac{2}{r} - \frac{V_i' \cdot r^2}{\ell(\ell+1)} \right), \\ E_8 = \mathcal{X}^r \left(\frac{2}{r} - \frac{V_i' \cdot r^2}{\ell(\ell+1)} \right), \quad E_9 = D \mathcal{X}_r^v - D' \mathcal{X}^v - 2 \frac{\mathcal{X}^r}{r}, \quad E_{10} = -\mathcal{X}^r R', \\ E_{11} = -\frac{1}{2} \mathcal{X}(V_i) - V_i \left(\frac{\mathcal{X}_r^r}{2} + \frac{\mathcal{X}^r}{r} \right). \quad (126)$$

First, let's make some observations concerning the choice of \mathcal{X} and its consequence on the coefficients E_j . If \mathcal{X} is timelike everywhere, then $\mathcal{X}^v(M) > 0$, $\mathcal{X}^r(M) < 0$ and thus the same holds in a neighborhood of the horizon \mathcal{H}^+ . This already ensures that $E_2 \geq 0$, near the horizon, and it vanishes to first order on it. Indeed,

$$E_2 = D \left[\frac{\mathcal{X}_r^r}{2} - \frac{\mathcal{X}^r}{r} \right] - \frac{3D'}{2} \mathcal{X}^r = \sqrt{D} \left(-\frac{\mathcal{X}^r}{r} (3 - \sqrt{D}) + \frac{\mathcal{X}_r^r}{2} \sqrt{D} \right), \quad (127)$$

while the first term in the parenthesis is the dominant one and positive near \mathcal{H}^+ .

Moreover, we have the freedom of choosing \mathcal{X}_r^v and $-\mathcal{X}_r^r$ positive and sufficiently large such that $E_1 > 0$, $E_3 > 0$ near \mathcal{H}^+ .

When it comes to controlling the coefficients E_j not corresponding to quadratic terms, part of the idea is to absorb them in the first three terms E_1 , E_2 , E_3 , and for that we need $-\mathcal{X}_r^r(M) \gg -\frac{\mathcal{X}_r(M)}{M}$, as well as $\mathcal{X}_r^v(M) \gg \frac{\mathcal{X}^v(M)}{M}$.

For the reasons above, we restrict our attention to a region close to \mathcal{H}^+ , $\mathcal{A}_c := \{M \leq r \leq r_c\}$ (Fig. 1) for an appropriate $r_c \in (M, 2M)$, to be determined at the end,

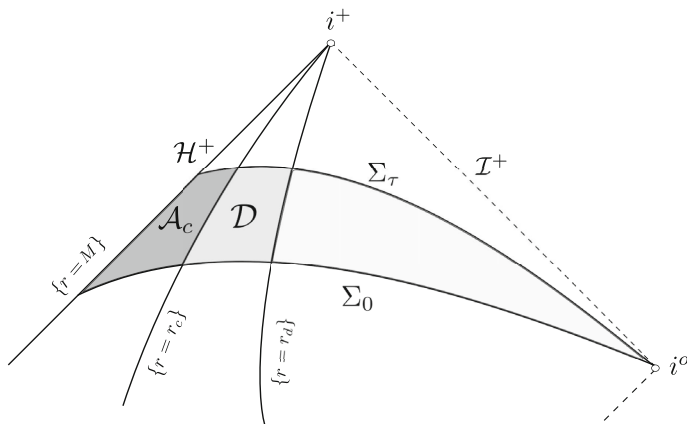


Fig. 1 Regions near the event horizon \mathcal{H}^+

and choose \mathcal{X} with the above requirements. Outside \mathcal{A}_c we extend \mathcal{X} as

$$\mathcal{X}^v > 0, \quad -\mathcal{X}^r > 0 \quad \text{for } r \leq r_d \quad \text{and} \quad \mathcal{X} \equiv 0, \quad \text{for } r \geq r_d, \quad (128)$$

for an r_d satisfying $M < r_c < r_d < 2M$.

5.2 Hardy Inequalities and Lemmas

Before we treat the bulk terms in (125), we present a few lemmas that will prove useful later on when controlling boundary terms.

Lemma 5.1 (First Hardy Inequality) *Consider $r_c \in (M, 2M)$, then for any scalar function ψ and $\varepsilon > 0$ we have*

$$\int_{\mathcal{H}^+ \cap \Sigma_\tau} \psi^2 \leq \varepsilon \int_{\Sigma_\tau \cap \{r \leq r_c\}} (\partial_v \psi)^2 + (\partial_r \psi)^2 + C_\varepsilon \int_{\Sigma_\tau \cap \{r \leq r_c\}} \psi^2, \quad (129)$$

for a constant C_ε depending only on M, ε, r_c and Σ_0 .

Proof Note that for any scalar ϕ we have

$$\int_M^{r_c} (\partial_\rho \phi) \psi^2 d\rho = \phi \cdot \psi^2 \Big|_M^{r_c} - 2 \int_M^{r_c} \phi \cdot \psi (\partial_\rho \psi) d\rho \quad (130)$$

In particular, if we choose $\phi = r - r_c$ we obtain

$$\begin{aligned} (r_c - M) \psi^2(M) &= \int_M^{r_c} \psi^2 + 2(r - r_c) \psi \cdot (\partial_\rho \psi) d\rho \\ &\leq \varepsilon \int_M^{r_c} (\partial_\rho \psi)^2 d\rho + \int_M^{r_c} \left(1 + \frac{(r - r_c)^2}{\varepsilon}\right) \psi^2 d\rho \end{aligned}$$

Note ρ is bounded in the region $\{M \leq r \leq r_c\}$, thus integrating over \mathbb{S}^2 we obtain

$$\begin{aligned} & \frac{(r_c - M)}{M^2} \int_{\mathbb{S}^2} \psi^2(M) M^2 d\omega \leq \varepsilon \int_M^{r_c} \int_{\mathbb{S}^2} (g_1 \partial_v \psi + \partial_r \psi)^2 \frac{1}{\rho^2} \cdot \rho^2 d\rho d\omega \\ & + \int_M^{r_c} \int_{\mathbb{S}^2} \left(1 + \frac{(r - r_c)^2}{\varepsilon}\right) \psi^2 \frac{1}{\rho^2} \cdot \rho^2 d\rho d\omega \\ \Rightarrow & \int_{\mathcal{H}^+ \cap \Sigma_\tau} \psi^2 \leq \varepsilon \left(\int_{\Sigma_\tau \cap \{r \leq r_c\}} (\partial_v \psi)^2 + (\partial_r \psi)^2 \right) + C_\varepsilon \int_{\Sigma_\tau \cap \{r \leq r_c\}} \psi^2. \end{aligned}$$

□

Lemma 5.2 (Second Hardy Inequality) *Let $M < r_c < r_d$, and consider the regions $\mathcal{C} := R(0, \tau) \cap \{M \leq r \leq r_c\}$ and $\mathcal{D} = R(0, \tau) \cap \{r_c \leq r \leq r_d\}$, then for any scalar function ψ we have*

$$\int_{\mathcal{C}} \psi^2 \leq C \int_{\mathcal{D}} \psi^2 + C \int_{\mathcal{C} \cup \mathcal{D}} D(r) \left[(\partial_v \psi)^2 + (\partial_r \psi)^2 \right] \quad (131)$$

for a positive constant C depending on M, r_c, r_d , and Σ_0 .

Proof We apply relation (130) for $\phi := \sqrt{D(r)} = \left(1 - \frac{M}{r}\right)$ and we obtain

$$\int_M^{r_c} \left(\frac{M}{r^2}\right) \psi^2 d\rho = \left(1 - \frac{M}{r_c}\right) \psi^2(r_c) - 2 \int_M^{r_c} \sqrt{D} \psi \cdot \partial_\rho \psi d\rho$$

However, by repeating the steps of the proof of the first Hardy Inequality, we can also show

$$(r_d - r_c) \psi^2(r_c) \leq \epsilon' \int_{r_c}^{r_d} (\partial_\rho \psi)^2 d\rho + C_{\epsilon'} \int_{r_c}^{r_d} \psi^2 d\rho$$

for any $\epsilon' > 0$ and a positive constant $C_{\epsilon'}$ depending on ϵ', r_c, r_d . Let $\epsilon' = 1$, then going back to the first relation and applying Cauchy-Schwarz we get

$$\int_M^{r_c} \left(\frac{M}{r^2} - \epsilon\right) \psi^2 d\rho \leq C_1 \int_{r_c}^{r_d} \psi^2 d\rho + C_1 \int_{r_c}^{r_d} (\partial_\rho \psi)^2 d\rho + \frac{1}{\epsilon} \int_M^{r_c} D(\partial_\rho \psi)^2 d\rho$$

We choose $\epsilon > 0$ small enough so that the coefficient of the left-hand side above is uniformly positive in $\{r_c \leq r \leq r_d\}$ and we obtain

$$\int_M^{r_c} \psi^2 d\rho \leq C \int_{r_c}^{r_d} \psi^2 d\rho + C \int_M^{r_d} D(r) \left[(\partial_v \psi)^2 + (\partial_r \psi)^2 \right],$$

for a positive constant depending on M, r_c, r_d . Integrating on the spheres \mathbb{S}^2 and in time $\tilde{\tau} \in [0, \tau]$ while also using coarea formula yields the estimate of the assumption.

□

Remark 5.1 Note, ψ need not be a solution to a wave equation, i.e. the result above holds for any scalar in $H_{loc}^1(\mathcal{R}(0, \tau))$.

Lemma 5.3 For any solution $\Psi_i^{(\ell)}$, $\ell \geq i$, $i \in \{1, 2\}$ to (44), we have

$$\begin{aligned} \int_{\mathcal{A}_c} (\partial_r \Psi_i)^2 &\leq \varepsilon \left(\int_{\mathcal{A}_c} E_1 (\partial_v \partial_r \Psi_i)^2 + E_2 (\partial_r \partial_r \Psi_i)^2 \right) \\ &\quad + C_\varepsilon \left(\int_{\Sigma_0} J_\mu^N [\Psi_i] n_{\Sigma_0}^\mu + \int_{\Sigma_0} J_\mu^N [T \Psi_i] n_{\Sigma_0}^\mu \right) \end{aligned} \quad (132)$$

where the constant $C_\varepsilon > 0$ depends on $M, r_c, r_d, \Sigma_0, \varepsilon$.

Proof We apply Lemma 5.2 for $\partial_r \Psi_i$ and regions $\mathcal{C} \equiv \mathcal{A}_c, \mathcal{D}$ and we get

$$\int_{\mathcal{A}_c} (\partial_r \Psi_i)^2 \leq C \int_{\mathcal{D}} (\partial_r \Psi_i)^2 + C \int_{\mathcal{A}_c \cup \mathcal{D}} D \left[(\partial_v \partial_r \Psi_i)^2 + (\partial_r \partial_r \Psi_i)^2 \right] \quad (133)$$

Now, note that $E_1 > 0$ and $E_2 \geq 0$ close to the horizon, with E_2 vanishing to first order on \mathcal{H}^+ . On the other hand, $C \cdot D$ vanish to second order on \mathcal{H}^+ , thus for any $\varepsilon > 0$ there exists a region close to the horizon $A_\varepsilon := \{M \leq r \leq r_\varepsilon \leq r_c\}$ such that

$$\begin{aligned} C \int_{\mathcal{A}_c \cup \mathcal{D}} D \left[(\partial_v \partial_r \Psi_i)^2 + (\partial_r \partial_r \Psi_i)^2 \right] &\leq \varepsilon \left(\int_{A_\varepsilon \cap \mathcal{A}_c} E_1 (\partial_v \partial_r \Psi_i)^2 + E_2 (\partial_r \partial_r \Psi_i)^2 \right) \\ &\quad + C \int_{\mathcal{A}_c \cup \mathcal{D} \cap \{r \geq r_\varepsilon\}} D \left[(\partial_v \partial_r \Psi_i)^2 + (\partial_r \partial_r \Psi_i)^2 \right] \\ &\leq \varepsilon \left(\int_{A_\varepsilon \cap \mathcal{A}_c} E_1 (\partial_v \partial_r \Psi_i)^2 + E_2 (\partial_r \partial_r \Psi_i)^2 \right) + C_\varepsilon \int_{\Sigma_0} J_\mu^N [\Psi_i] + C_\varepsilon \int_{\Sigma_0} J_\mu^N [T \Psi_i], \end{aligned} \quad (134)$$

since all second-order derivatives are controlled away from the horizon in terms of the fluxes $J_\mu^N [\Psi]$, $J_\mu^N [T \Psi]$. On the other hand, since the region \mathcal{D} is away from the horizon, Theorem 4.3 yields

$$C \int_{\mathcal{D}} (\partial_r \Psi_i)^2 \leq \tilde{C} \int_{\Sigma_0} J_\mu^N [\Psi] n_{\Sigma_0}^\mu,$$

which concludes the proof. \square

We now state two more lemmas that allow us to treat boundary terms at the horizon.

Lemma 5.4 For all solutions $\Psi_i^{(\ell)}$, $\ell \geq i$, $i \in \{1, 2\}$ to (44), we have

$$\left| \int_{\mathcal{H}^+} (\partial_v \Psi_i) (\partial_r \Psi_i) \right| \leq C_\varepsilon \int_{\Sigma_0} J_\mu^N [\Psi_i] n_{\Sigma_0}^\mu + \varepsilon \int_{\Sigma_0 \cup \Sigma_\tau} J_\mu^X [\partial_r \Psi_i] n_\Sigma^\mu, \quad (135)$$

for any $\varepsilon > 0$ where the constant $C_\varepsilon > 0$ depends only on M, Σ_0, ε .

Proof Using integration by parts we write

$$\begin{aligned} \int_{\mathcal{H}^+(0,\tau)} (\partial_v \Psi_i)(\partial_r \Psi_i) &= - \int_{\mathcal{H}^+(0,\tau)} \Psi_i (\partial_v \partial_r \Psi_i) + \int_{\mathcal{H}^+ \cap \Sigma_\tau} \Psi_i (\partial_r \Psi_i) \\ &\quad - \int_{\mathcal{H}^+ \cap \Sigma_0} \Psi_i (\partial_r \Psi_i) \end{aligned} \quad (136)$$

Now, since $\square \Psi_i - V_i \Psi_i = 0$, on the horizon $\{r = M\}$ we have

$$2\partial_v \partial_r \Psi_i + \frac{2}{M} \partial_v \Psi_i + \Delta \Psi_i - V_i(M) \Psi_i = 0 \quad (137)$$

Thus, we can write

$$\begin{aligned} - \int_{\mathcal{H}^+(0,\tau)} \Psi_i (\partial_v \partial_r \Psi_i) &= - \int_{\mathcal{H}^+(0,\tau)} \Psi_i \left(-\frac{1}{M} \partial_v \Psi_i + \frac{V_i}{2} \Psi_i - \frac{\Delta \Psi_i}{2} \right) \\ &= \int_{\mathcal{H}^+(0,\tau)} \frac{1}{M} \Psi_i \cdot (\partial_v \Psi_i) - \frac{V_i}{2} \Psi_i^2 - \frac{1}{2} |\nabla \Psi_i|^2 \end{aligned} \quad (138)$$

However, using Cauchy Schwartz and the fact that V_i is linear with respect to ℓ , relation (105) and Theorem 4.3 allow us to control the integral above in terms of the flux $\int_{\Sigma_0} J_\mu^N[\Psi_i] n_{\Sigma_0}^\mu$.

Finally, we have

$$\int_{\mathcal{H}^+ \cap \Sigma} \Psi_i \cdot (\partial_r \Psi_i) \leq \int_{\mathcal{H}^+ \cap \Sigma} \Psi_i^2 + \int_{\mathcal{H}^+ \cap \Sigma} (\partial_r \Psi_i)^2, \quad (139)$$

and using Lemma 5.1 for $\psi \equiv \Psi_i, \partial_r \Psi_i$ we obtain

$$\int_{\mathcal{H}^+ \cap \Sigma} \Psi_i \cdot (\partial_r \Psi_i) \leq \varepsilon \int_{\Sigma} J_\mu^X[\partial_r \Psi_i] n_\Sigma^\mu + C_\varepsilon \int_{\Sigma} J_\mu^N[\Psi_i] n_\Sigma^\mu \quad (140)$$

which concludes the proof. \square

Lemma 5.5 For all solutions $\Psi_i^{(\ell)}$, $\ell \geq i$, $i \in \{1, 2\}$ to (44), we have

$$\left| \int_{\mathcal{H}^+} (\Delta \Psi_i)(\partial_r \Psi_i) \right| \leq C_\varepsilon \int_{\Sigma_0} J_\mu^N[\Psi_i] n_{\Sigma_0}^\mu + \varepsilon \int_{\Sigma_0 \cup \Sigma_\tau} J_\mu^X[\partial_r \Psi_i] n_\Sigma^\mu \quad (141)$$

for any $\varepsilon > 0$ where the constant $C_\varepsilon > 0$ depends only on M, Σ_0, ε .

Proof Once again, we use the wave equation (44) evaluated on the horizon, $2\partial_v \partial_r \Psi_i + \frac{2}{M} \partial_v \Psi_i + \Delta \Psi_i - V_i(M) \Psi_i = 0$, and we write

$$\int_{\mathcal{H}^+} (\Delta \Psi_i)(\partial_r \Psi_i) = -\frac{2}{M} \int_{\mathcal{H}^+} (\partial_v \Psi_i)(\partial_r \Psi_i) - 2 \int_{\mathcal{H}^+} (\partial_v \partial_r \Psi_i)(\partial_r \Psi_i)$$

$$+ \int_{\mathcal{H}^+} V_i \cdot (\partial_r \Psi_i) \Psi_i \quad (142)$$

Since Ψ_i is supported on the ℓ -frequency we have $\Delta \Psi_i = -\frac{\ell(\ell+1)}{r^2} \Psi_i$, so

$$\int_{\mathcal{H}^+} (\Delta \Psi_i) (\partial_r \Psi_i) - V_i (\partial_r \Psi_i) \Psi_i = \int_{\mathcal{H}^+} \left(1 + V_i \frac{M^2}{\ell(\ell+1)} \right) (\Delta \Psi_i) (\partial_r \Psi_i) \quad (143)$$

For $i = 1$, on the horizon \mathcal{H}^+ we get

$$1 + V_i \cdot \frac{M^2}{\ell(\ell+1)} = 1 - 2 \frac{(-\ell-1)}{\ell(\ell+1)} = 1 + \frac{2}{\ell} \geq 1, \quad \forall \ell \geq 1. \quad (144)$$

Similarly, for $i = 2$,

$$1 + V_i \cdot \frac{M^2}{\ell(\ell+1)} = \frac{\ell}{\ell(\ell+1)} = 1 - \frac{2}{\ell+1} \geq 1 - \frac{2}{3} = \frac{1}{3}, \quad \forall \ell \geq 2, \quad (145)$$

Thus, going back to (142) we have

$$\begin{aligned} \frac{1}{3} \left| \int_{\mathcal{H}^+(0,\tau)} (\Delta \Psi_i) (\partial_r \Psi_i) \right| &\leq \left| -\frac{2}{M} \int_{\mathcal{H}^+(0,\tau)} (\partial_v \Psi_i) (\partial_r \Psi_i) - \int_{\mathcal{H}^+(0,\tau)} \partial_v \left[(\partial_r \Psi_i)^2 \right] \right| \\ &= \left| -\frac{2}{M} \int_{\mathcal{H}^+(0,\tau)} (\partial_v \Psi_i) (\partial_r \Psi_i) + \int_{\mathcal{H}^+ \cap \Sigma_\tau} (\partial_r \Psi_i)^2 - \int_{\mathcal{H}^+ \cap \Sigma_0} (\partial_r \Psi_i)^2 \right|, \end{aligned} \quad (146)$$

so using Lemma 5.4 and the first Hardy inequality (Lemma 5.1) we conclude the proof. \square

5.3 Estimates for the Spacetime Terms

We are now ready to control the bulk terms that appear in (125) in terms of the fluxes $J_\mu^N[\Psi_i]$, $J_\mu^N[T\Psi_i]$, and $J_\mu^{\mathcal{X}}[\partial_r \Psi_i]$ or absorb them in known positive definite terms. Note, since the vectorfield $\mathcal{X}(r) = 0$ for $r \geq r_d$, then $\nabla^\mu J_\mu^{\mathcal{X}}[\partial_r \Psi_i] = 0$ for $r \geq r_d$, so we focus our estimates in the region $\mathcal{R}(0, \tau) \cap \{r \leq r_d\}$ only.

The term $\int_R E_4 (\partial_v \partial_r \Psi_i) (\partial_v \Psi_i)$

For any $\varepsilon > 0$, Cauchy-Schwartz and Theorem 4.3 yield

$$\begin{aligned} \left| \int_{\mathcal{A}_c} E_4 (\partial_v \partial_r \Psi_i) (\partial_v \Psi_i) \right| &\leq \varepsilon \int_{\mathcal{A}_c} (\partial_v \partial_r \Psi_i)^2 + \frac{(E_4)^2}{\varepsilon} \int_{\mathcal{A}_c} (\partial_v \Psi_i)^2 \\ &\leq \varepsilon \int_{\mathcal{A}_c} (\partial_v \partial_r \Psi_i)^2 + C_\varepsilon \int_{\Sigma_0} J_\mu^N[\Psi_i] n_{\Sigma_0}^\mu, \end{aligned}$$

for a constant C_ε depending on ε, M, Σ_0 .

$$\boxed{\text{The term } \int_R E_5 (\partial_v \partial_r \Psi_i) (\partial_r \Psi_i)}$$

Note, $|E_5| = |\mathcal{X}^v(r)R'(r)|$ is uniformly positive and bounded in the region \mathcal{A}_c , thus we have

$$\left| \int_{\mathcal{A}_c} E_5 (\partial_v \partial_r \Psi_i) (\partial_r \Psi_i) \right| \leq \varepsilon \int_{\mathcal{A}_c} (\partial_v \partial_r \Psi_i)^2 + \frac{\max_{\mathcal{A}_c} (E_5)^2}{\varepsilon} \int_{\mathcal{A}_c} (\partial_r \Psi_i)^2$$

for any $\varepsilon > 0$. So, applying Lemma 5.3 for the second term above, for $\tilde{\varepsilon} = \frac{\varepsilon^2}{\max_{\mathcal{A}_c} (E_5)^2} > 0$ we obtain

$$\begin{aligned} \left| \int_{\mathcal{A}_c} E_5 (\partial_v \partial_r \Psi_i) (\partial_r \Psi_i) \right| &\leq \varepsilon \int_{\mathcal{A}_c} (\partial_v \partial_r \Psi_i)^2 + \varepsilon \left(\int_{\mathcal{A}_c} E_1 (\partial_v \partial_r \Psi_i)^2 + E_2 (\partial_r \partial_r \Psi_i)^2 \right) \\ &\quad + C_\varepsilon \left(\int_{\Sigma_0} J_\mu^N [\Psi_i] n_{\Sigma_0}^\mu + \int_{\Sigma_0} J_\mu^N [T \Psi_i] n_{\Sigma_0}^\mu \right), \end{aligned} \quad (147)$$

for $C_\varepsilon > 0$ depending on $M, r_c, r_d, \Sigma_0, \varepsilon$.

$$\boxed{\text{The term } \int_R E_6 (\partial_r \partial_r \Psi_i) (\partial_v \Psi_i)}$$

Here, we apply divergence theorem for $P_\mu = E_6 (\partial_r \Psi_i) (\partial_v \Psi_i) (\partial_r)_\mu$, in the region $R(0, \tau)$.

$$\int_R \nabla^\mu P_\mu = \int_{\Sigma_0} P_\mu n_{\Sigma_0}^\mu - \int_{\Sigma_\tau} P_\mu n_{\Sigma_\tau}^\mu - \int_{\mathcal{H}^+} P_\mu n_{\mathcal{H}^+}^\mu.$$

First, we expand the left-hand side term and using the fact that $\text{Div}(\partial_r) = \frac{2}{r}$ we get

$$\begin{aligned} \int_R \nabla^\mu P_\mu &= \int_R E_6 (\partial_v \Psi_i) (\partial_r \partial_r \Psi_i) + \int_R \left(\partial_r E_6 + \frac{2E_6}{r} \right) (\partial_v \Psi_i) (\partial_r \Psi_i) \\ &\quad + \int_R E_6 (\partial_v \partial_r \Psi_i) (\partial_r \Psi_i) \end{aligned}$$

Thus, we write

$$\begin{aligned} \int_R E_6 (\partial_r \partial_r \Psi_i) (\partial_v \Psi_i) &= \int_{\Sigma_0} P_\mu n_{\Sigma_0}^\mu - \int_{\Sigma_\tau} P_\mu n_{\Sigma_\tau}^\mu - \int_{\mathcal{H}^+} P_\mu n_{\mathcal{H}^+}^\mu \\ &\quad - \int_R \left(\partial_r E_6 + \frac{2E_6}{r} \right) (\partial_v \Psi_i) (\partial_r \Psi_i) - \int_R E_6 (\partial_v \partial_r \Psi_i) (\partial_r \Psi_i). \end{aligned}$$

The boundary term over the horizon \mathcal{H}^+ can be estimated using Lemma 5.4, and the term over Σ_τ , using Cauchy Schwartz and Theorem 4.3 to estimate it in terms of the N-flux on Σ_0 .

Now, since $|E_6|$ is uniformly positive in \mathcal{A}_c , we estimate the spacetime term $\int_R E_6(\partial_v \partial_r \Psi_i)(\partial_r \Psi_i)$ via exactly the same relation as in (147).

Finally, we control the remaining spacetime term $\int_R \left(\partial_r E_6 + \frac{2E_6}{r} \right) (\partial_v \Psi_i)(\partial_r \Psi_i)$ by using Cauchy Schwartz, Lemma 5.3 and Theorem 4.3.

$$\text{The term } \int_R E_7 (\partial_v \partial_r \Psi_i) \Delta \Psi_i$$

Divergence theorem for $P_\mu = E_7(\partial_r \Psi_i) \Delta \Psi_i \cdot (\partial_v)_\mu$ in the region $\mathcal{R}(0, \tau)$ yields

$$\int_R \nabla^\mu P_\mu = \int_{\Sigma_0} P_\mu n_\Sigma^\mu - \int_{\Sigma_\tau} P_\mu n_\Sigma^\mu,$$

and note there is no boundary term on the horizon \mathcal{H}^+ , since $(\partial_v)_\mu \cdot n_{\mathcal{H}^+}^\mu = 0$. In particular, we obtain

$$\begin{aligned} \int_R E_7 (\partial_v \partial_r \Psi_i) \Delta \Psi_i &= \int_{\Sigma_0} E_7(\partial_r \Psi_i) \Delta \Psi_i \cdot (\partial_v)_\mu n_\Sigma^\mu - \int_{\Sigma_\tau} E_7(\partial_r \Psi_i) \Delta \Psi_i \cdot (\partial_v)_\mu n_\Sigma^\mu \\ &\quad - \int_R E_7 (\partial_r \Psi_i) \Delta (\partial_v \Psi_i) \end{aligned}$$

First, note that since $V_i'(r)$ is linear with respect to ℓ , E_7 is uniformly bounded with respect to ℓ in the region \mathcal{A}_c .

Away from the horizon, the boundary terms are controlled by the fluxes $J^N[\Psi_i]$, $J^T[T\Psi_i]$. On the other hand, near the horizon, we have

$$\begin{aligned} \left| \int_{\Sigma \cap \mathcal{A}_c} E_7(\partial_r \Psi_i) \Delta \Psi_i \right| &= \left| \int_{\Sigma \cap \mathcal{A}_c} E_7 \nabla (\partial_r \Psi_i) \nabla \Psi_i \right| \\ &\leq \varepsilon \int_{\Sigma \cap \mathcal{A}_c} J_\mu^\chi [\partial_r \Psi_i] n_\Sigma^\mu + C_\varepsilon \int_{\Sigma \cap \mathcal{A}_c} J_\mu^N [\Psi_i] n_\Sigma^\mu, \end{aligned}$$

with $C_\varepsilon > 0$ depending on Σ_0 , M , ε . As far as the last spacetime term, we estimate it as

$$\begin{aligned} \left| \int_{\mathcal{A}_c} E_7 (\partial_r \Psi_i) \Delta (\partial_v \Psi_i) \right| &= \left| \int_{\mathcal{A}_c} E_7 \nabla (\partial_r \Psi_i) \nabla (\partial_v \Psi_i) \right| \\ &\leq \varepsilon \cdot C \int_{\mathcal{A}_c} (\nabla \partial_r \Psi_i)^2 + C_\varepsilon \int_{\mathcal{A}_c} |\nabla \partial_v \Psi_i|^2 \\ &\leq \varepsilon \cdot C \int_{\mathcal{A}_c} (\nabla \partial_r \Psi_i)^2 + C_\varepsilon \int_{\Sigma_0} J_\mu^N [T\Psi_i] n_\Sigma^\mu. \end{aligned}$$

$$\text{The term } \int_R E_8 (\partial_r \partial_r \Psi_i) \mathbb{A} \Psi_i$$

Applying divergence theorem for $P_\mu = E_8 \cdot \mathbb{A} \Psi_i (\partial_r \Psi_i) (\partial_r)_\mu$ in the region $\mathcal{R}(0, \tau)$ yields

$$\begin{aligned} \int_R E_8 (\partial_r \partial_r \Psi_i) \mathbb{A} \Psi_i &= \int_{\Sigma_0} E_8 \cdot \mathbb{A} \Psi_i (\partial_r \Psi_i) (\partial_r)_\mu n_{\Sigma_0}^\mu - \int_{\Sigma_\tau} E_8 \cdot \mathbb{A} \Psi_i (\partial_r \Psi_i) (\partial_r)_\mu n_{\Sigma_\tau}^\mu \\ &\quad - \int_{\mathcal{H}^+} E_8 \cdot \mathbb{A} \Psi_i (\partial_r \Psi_i) (\partial_r)_\mu n_{\mathcal{H}^+}^\mu - \int_R \partial_r (E_8 \mathbb{A} \Psi_i) \partial_r \Psi_i - \int_R \frac{2E_8}{r} \mathbb{A} \Psi_i \partial_r \Psi_i \end{aligned}$$

Again, we first show that E_8 is uniformly bounded in ℓ , since $V'_i \cdot r^3$ is linear in ℓ and

$$|E_8| = \left| \frac{2\mathcal{X}^r}{r} \left(1 - \frac{V'_i \cdot r^3}{2\ell(\ell+1)} \right) \right| \leq 2C \frac{|\mathcal{X}^r|}{r},$$

for a positive constant C .

The boundary term over \mathcal{H}^+ is then controlled by Lemma 5.5 and using Cauchy Schwartz we also have in the region \mathcal{A}_c

$$\begin{aligned} \int_{\Sigma \cap \mathcal{A}_c} E_8 \cdot \mathbb{A} \Psi_i (\partial_r \Psi_i) &= - \int_{\Sigma \cap \mathcal{A}_c} E_8 \cdot \nabla \Psi_i \nabla (\partial_r \Psi_i) \\ &\leq \varepsilon \int_{\Sigma \cap \mathcal{A}_c} J_\mu^\mathcal{X} [\partial_r \Psi_i] n_\Sigma^\mu + C_\varepsilon \int_{\Sigma \cap \mathcal{A}_c} J_\mu^N [\Psi_i] n_\Sigma^\mu \end{aligned}$$

Finally, for the remaining two spacetime integrals, using the fact that $[\mathbb{A}, \partial_r] \Psi_i = \frac{2}{r} \Psi_i$, we obtain

$$\begin{aligned} &- \left(\int_{\mathcal{A}_c} \partial_r (E_8 \mathbb{A} \Psi_i) \partial_r \Psi_i + \int_{\mathcal{A}_c} \frac{2E_8}{r} \mathbb{A} \Psi_i \partial_r \Psi_i \right) \\ &= \int_{\mathcal{A}_c} E_8 (\nabla \partial_r \Psi_i)^2 + \int_{\mathcal{A}_c} (\partial_r E_8) \nabla \Psi_i \cdot \nabla \partial_r \Psi_i. \end{aligned}$$

The first integral in the right-hand side, is estimated as long as $|E_8| \leq E_3$, however \mathcal{X} can be chosen such that

$$|E_8| \leq 2C \frac{|\mathcal{X}^r|}{r} \leq -\frac{\mathcal{X}_r^r}{100} < E_3.$$

For the second integral on the right-hand side, Cauchy Schwartz yields

$$\int_{\mathcal{A}_c} (\partial_r E_8) \nabla \Psi_i \cdot \nabla \partial_r \Psi_i \leq \varepsilon \int_{\mathcal{A}_c} |\partial_r \nabla \Psi_i|^2 + C_\varepsilon \int_{\mathcal{A}_c} (\partial_r E_8)^2 |\nabla \Psi_i|^2,$$

and $\partial_r E_8$ is uniformly bounded in ℓ for the same reason E_8 was. So, $J^N[\Psi_i]$ flux controls the latter integral.

$$\text{The term } \int_R E_9 (\partial_v \partial_r \Psi_i) (\partial_r \partial_r \Psi_i)$$

Using the wave equations for $\partial_v \partial_r \Psi_i$ we obtain

$$\begin{aligned} \int_R E_9 (\partial_v \partial_r \Psi_i) (\partial_r \partial_r \Psi_i) &= \int_R E_9 (\partial_r \partial_r \Psi_i) \left(-\frac{1}{2} D (\partial_r \partial_r \Psi_i) - \frac{1}{r} \partial_v \Psi_i \right. \\ &\quad \left. - \frac{1}{2} R(r) \partial_r \Psi_i - \frac{1}{2} \Delta \Psi_i + \frac{1}{2} V_i \Psi_i \right) \end{aligned}$$

We use $\Psi_i = -\frac{r^2}{\ell(\ell+1)} \Delta \Psi_i$ and we rewrite the equation above as

$$\begin{aligned} \int_R E_9 (\partial_v \partial_r \Psi_i) (\partial_r \partial_r \Psi_i) &= - \int_R E_9 \frac{D}{2} (\partial_r \partial_r \Psi_i)^2 - \int_R \frac{E_9}{r} (\partial_r \partial_r \Psi_i) (\partial_v \Psi_i) \\ &\quad - \int_R E_9 \frac{R(r)}{2} (\partial_r \partial_r \Psi_i) (\partial_r \Psi_i) \\ &\quad - \int_R \frac{E_9}{2} \left(1 + \frac{V_i \cdot r^2}{\ell(\ell+1)} \right) (\partial_r \partial_r \Psi_i) \Delta \Psi_i \end{aligned} \quad (148)$$

The first integral on the right-hand is estimated in \mathcal{A}_c because of the D factor that degenerates to second order on the horizon, whereas E_2 degenerates to first order. Similarly, by Cauchy Schwartz, we have

$$\int_{\mathcal{A}_c} E_9 \frac{R}{2} (\partial_r \partial_r \Psi_i) (\partial_r \Psi_i) \leq \int_{\mathcal{A}_c} (\partial_r \Psi_i)^2 + \int_{\mathcal{A}_c} (E_9 R(r))^2 (\partial_r \partial_r \Psi_i)^2$$

and since $R(r)^2 \sim D(r)$ the second integral is estimated, whereas the first integral is estimated by Lemma 5.3.

Next, note that $-\frac{E_9}{r} \sim D + E_6$, therefore the second integral at (148) is already estimated above.

Finally, in \mathcal{A}_c we have

$$\left(1 + \frac{V_i \cdot r^2}{\ell(\ell+1)} \right) \leq 2, \quad \forall \ell \geq i, \quad i \in \{1, 2\}$$

and $|E_9| \ll E_3$, so the last term at (148) can be estimated as in the E_8 case above.

$$\text{The term } \int_R E_{10} (\partial_r \partial_r \Psi_i) (\partial_r \Psi_i)$$

Applying the divergence theorem for the current $P_\mu := E_{10}(\partial_r \Psi_i)^2 (\partial_r)_\mu$ in the region $\mathcal{R}(0, \tau)$, we obtain

$$\begin{aligned} 2 \int_R E_{10}(\partial_r \partial_r \Psi_i)(\partial_r \Psi_i) &= \int_{\Sigma_0} E_{10}(\partial_r \Psi_i)^2 (\partial_r)_\mu n_{\Sigma_0}^\mu - \int_{\Sigma_\tau} E_{10}(\partial_r \Psi_i)^2 (\partial_r)_\mu n_{\Sigma_\tau}^\mu \\ &\quad - \int_R \left((\partial_r E_{10}) + \frac{2}{r} E_{10} \right) (\partial_r \Psi_i)^2 - \int_{\mathcal{H}^+(0, \tau)} E_{10}(\partial_r \Psi_i)^2. \end{aligned} \quad (149)$$

The boundary terms over Σ_τ, Σ_0 are estimated by the $J^N[\Psi_i]$ flux, and the spacetime integral on the right is estimated by Lemma 5.3. Last, we need to deal with the boundary term on the horizon \mathcal{H}^+ . In view of $E_{10}(M) = -\mathcal{X}^r(M) \cdot R'(M) = -\mathcal{X}^r(M) \frac{2}{M^2} > 0$, the only way to control this term is to borrow from the horizon boundary term of (120). In particular, we use Poincaré Inequality

$$\left| \int_{\mathcal{H}^+} \frac{E_{10}}{2} (\partial_r \Psi_i^2) \right| \leq \int_{\mathcal{H}^+} \frac{E_{10}}{2} \cdot \frac{M^2}{\ell(\ell+1)} |\nabla \partial_r \Psi_i|^2 = \int_{\mathcal{H}^+} -\frac{\mathcal{X}^r(M)}{\ell(\ell+1)} |\nabla \partial_r \Psi_i|^2 \quad (150)$$

Thus, using the relation (122), it suffices to have

$$-\frac{\mathcal{X}^r(M)}{2} \left(1 + \frac{2 \cdot (-1)^{i-1}}{(\ell+i-1)} \right) \geq -\frac{\mathcal{X}^r(M)}{\ell(\ell+1)} \Leftrightarrow \ell(\ell+1) \left(1 + \frac{2 \cdot (-1)^{i-1}}{(\ell+i-1)} \right) \geq 2.$$

For $i = 1$, we have $\ell(\ell+1) \left(1 + \frac{2}{\ell} \right) = (\ell+1)(\ell+2) \geq 6 > 2$ for all $\ell \geq 1$.

For $i = 2$, we get $\ell(\ell+1) \left(1 - \frac{2}{\ell+1} \right) = \ell(\ell-1) \geq 2$ for all $\ell \geq 2$.

Note, in the case $i = 2, \ell = 2$, we need to use the whole quantity (122) to control the last term of (149). **Thus, there will be no $|\nabla (\partial_r \Psi_2^{(2)})|^2$ term in the boundary integral of \mathcal{H}^+ at (120).** This is a manifestation of a conservation law that holds on the horizon \mathcal{H}^+ for $\Psi_2^{(2)}$, which is supported on the $\ell = 2$ frequency; see Section 6. We see later on that an analogous situation occurs when controlling higher-order estimates.

The term $\int_R E_{11} (\partial_r \Psi_i)^2$

Let us first examine the coefficient E_{11} . It's straightforward computations to check that

$$V_i'(r) = -\frac{3}{r} V_i(r) - \frac{6M^2}{r^5}, \quad \text{for both } i = 1, 2.$$

Thus, we have

$$\begin{aligned} E_{11}(r) &= -\frac{1}{2}\mathcal{X}(V_i) - V_i \left(\frac{\mathcal{X}_r^r}{2} + \frac{\mathcal{X}^r}{r} \right) = -\frac{1}{2}\mathcal{X}^r \cdot V_i'(r) - V_i \left(\frac{\mathcal{X}_r^r}{2} + \frac{\mathcal{X}^r}{r} \right) \\ &= \frac{1}{2}V_i \left(\frac{\mathcal{X}^r}{r} - \mathcal{X}_r^r \right) + 3\frac{M^2}{r^5}\mathcal{X}^r. \end{aligned} \quad (151)$$

Note V_i depends on ℓ , thus we cannot use Lemma 5.3 for an estimate since the constants will depend on ℓ as well. Instead, we will show that $\int_R E_{11}(\partial_r \Psi_i)^2$ is positive definite for $i = 1$, and while non-positive for $i = 2$, Poincare inequality suffices to estimate it. In particular,

- For $i = 1$, we have

$$\begin{aligned} E_{11} &= \frac{M}{r^3} (\ell + 1 - 3\sqrt{D}) \left(\frac{\mathcal{X}^r}{r} - \mathcal{X}_r^r \right) + \frac{3M^2}{r^5} \mathcal{X}^r \\ &= \frac{M}{r^3} \left(-\mathcal{X}_r^r (\ell + 1 - 3\sqrt{D}) + \frac{\mathcal{X}^r}{r} (4 - 6\sqrt{D} + \ell) \right) \end{aligned}$$

In \mathcal{A}_c , we chose $-\mathcal{X}_r^r(r) \gg -\frac{\mathcal{X}^r(r)}{r}$, thus

$$\begin{aligned} E_{11} &> -\frac{\mathcal{X}^r}{r} \frac{M}{r^3} (25(\ell + 1 - 3\sqrt{D}) - 4 + 6\sqrt{D} - \ell) \\ &= -\frac{\mathcal{X}^r}{r} \frac{M}{r^3} (24\ell + 21 - 69\sqrt{D}) \end{aligned}$$

which is positive definite for all $\ell \geq 1$ in \mathcal{A}_c .

- For $i = 2$,

$$\begin{aligned} E_{11} &= -\frac{M}{r^3} (3\sqrt{D} + \ell) \left(\frac{\mathcal{X}^r}{r} - \mathcal{X}_r^r \right) + 3\frac{M^2}{r^4} \frac{\mathcal{X}^r}{r} \\ &= \frac{M}{r^3} \left((3\sqrt{D} + \ell)\mathcal{X}_r^r + \frac{\mathcal{X}^r}{r} (3 - 6\sqrt{D} - \ell) \right). \end{aligned} \quad (152)$$

The dominant term \mathcal{X}_r^r has the wrong sign, so using Poincare inequality and borrowing a fraction $\frac{2}{3} < \alpha < 1$ from $E_3 |\Psi(\partial_r \Psi_2)|^2$ we obtain

$$\int_{S^2(r)} E_{11}(\partial_r \Psi_2)^2 + \alpha E_3 |\Psi(\partial_r \Psi_2)|^2 \geq \left(E_{11} + \frac{\alpha \cdot \ell(\ell + 1)}{r^2} E_3 \right) \int_{S^2(r)} (\partial_r \Psi_2)^2 \quad (153)$$

We will show that the coefficient of the right-hand side above, is positive definite uniformly in ℓ in the region \mathcal{A}_c . Indeed, for $\ell \geq L$ where L is a fixed large enough integer,

$$\begin{aligned}
& E_{11} + \frac{\alpha \cdot \ell(\ell+1)}{r^2} E_3 \\
&= \frac{1}{r^2} \left((1-x) \left((3\sqrt{D} + \ell) \mathcal{X}_r^r + \frac{\mathcal{X}^r}{r} (3 - 6\sqrt{D} - \ell) \right) - \alpha \frac{\ell(\ell+1)}{2} \mathcal{X}_r^r \right)
\end{aligned} \tag{154}$$

and the \mathcal{X}_r^r term's coefficient has the right sign everywhere in $R(0, \tau)$, due to the large value of $-\ell(\ell+1)$. Thus, for $\ell \geq L$, the term above is positive definite everywhere. For, $2 \leq \ell \leq L-1$, we evaluate the expression (154) on the horizon \mathcal{H}^+ and we obtain

$$E_{11} + \frac{\alpha \cdot \ell(\ell+1)}{r^2} E_3 \Big|_{\{r=M\}} = \frac{1}{M^2} \left(\frac{\ell}{2} (2 - \alpha(\ell+1)) \mathcal{X}_r^r(M) + \frac{\mathcal{X}^r(M)}{M} (3 - \ell) \right), \tag{155}$$

and for any $2/3 < \alpha < 1$ the above expression is positive for all $2 \leq \ell \leq L-1$ in view of our choice $-\mathcal{X}_r^r(M) \gg -\frac{\mathcal{X}^r(M)}{M}$. Thus, for each $2 \leq \ell \leq L-1$ there exists a neighborhood A_ℓ of the horizon where (154) is positive definite. By, choosing the intersection of all these neighborhoods we obtain a region including A_c where (154) is positive definite for every $\ell \geq 2$ in the case $i = 2$.

Conclusion As we can see from the analysis above, it suffices to consider $-\mathcal{X}_r^r(M)$, $\mathcal{X}_r^v(M)$ sufficiently large, $r_d < 2M$ and r_c close enough to the horizon $\mathcal{H}^+ \equiv \{r = M\}$ in order to obtain the following estimate

Theorem 5.1 *There exists a positive constant $C > 0$ depending only on Σ_0, M such that for all solutions $\Psi_i^{(\ell)}$ to (44), supported on the fixed frequency $\ell \geq i$, $i \in \{1, 2\}$, the following estimate hold*

$$\begin{aligned}
& \int_{\Sigma_\tau \cap A_c} (\partial_v \partial_r \Psi_i)^2 + (\partial_r \partial_r \Psi_i)^2 + |\nabla \partial_r \Psi_i|^2 + (\partial_r \Psi_i)^2 \\
&+ \int_{\mathcal{H}^+} (\partial_v \partial_r \Psi_i)^2 + \chi_{i,2} |\nabla \partial_r \Psi_i|^2 \\
&+ \int_{A_c} (\partial_v \partial_r \Psi_i)^2 + \sqrt{D} (\partial_r \partial_r \Psi_i)^2 + |\nabla \partial_r \Psi_i|^2 + (\partial_r \Psi_i)^2 \\
&\leq C \left(\int_{\Sigma_0} J_\mu^{n_{\Sigma_0}} [\Psi_i] n_{\Sigma_0}^\mu + \int_{\Sigma_0} J_\mu^{n_{\Sigma_0}} [T \Psi_i] n_{\Sigma_0}^\mu + \int_{\Sigma_0 \cap A_c} J_\mu^{n_{\Sigma_0}} [\partial_r \Psi_i] n_{\Sigma_0}^\mu \right),
\end{aligned} \tag{156}$$

where $\chi_{i,2} = \begin{cases} 0, & \text{if } i = \ell = 2 \\ 1, & \text{otherwise.} \end{cases}$

Proof With \mathcal{X} chosen as described in the conclusion, we apply the energy identity (120) and using estimates (118, 119) while also all bulk estimates controlling E_{4-11} terms for an $\varepsilon > 0$ small enough, we obtain a constant $C > 0$ depending on $M, \Sigma_0, r_c, r_d, \mathcal{X}$ satisfying (156). However, \mathcal{X}, r_c and r_d depend solely on M and

fixing specific values satisfying the restrictions of the conclusion we obtain a constant C that depends only on M, Σ_0 .

Note, the right-hand side of (156) is justified since $\mathcal{X} \sim n_{\Sigma_\tau}$ in the region \mathcal{A}_c . In addition, we observe the degeneracy $\chi_{2,2}$ at the horizon for the angular term of $\Psi_2^{(\ell=2)}$ only. We will see a similar degeneracy holds for higher order transversal derivatives of $\Psi_i^{(\ell)}, \ell \geq i, i \in \{1, 2\}$, close to \mathcal{H}^+ . \square

6 Conservation Laws Along the Event Horizon

In this section we show that solutions $\Psi_i^{(\ell)}$ to (44) satisfy conservation laws along the event horizon \mathcal{H}^+ . The results developed here are used later on not only to produce higher order L^2 estimates but also to prove non-decay and growth of solutions to (44) asymptotically along the event horizon.

We start with a low-frequency example that will motivate the idea for the proof of the general case. Let us consider the solution to (44), $\psi := \Psi_2^{(2)}$, i.e. $i = 2, \ell = 2$, and with respect to the ingoing coordinates $(v, r, \vartheta, \varphi)$ the wave equation for ψ reads

$$D\partial_r\partial_r\psi + 2\partial_v\partial_r\psi + \frac{2}{r}\partial_v\psi + R(r)\partial_r\psi + \Delta\psi - V_2\psi = 0,$$

where $R = D' + \frac{2D}{r}$ and $V_2(r) = -\frac{2M}{r^3}(3\sqrt{D}-2)$. Since ψ is supported on the fixed frequency $\ell = 2$ we have $\Delta\psi = -\frac{6}{r^2}\psi$, and in view of $D(M) = R(M) = 0$, the wave equation on the horizon \mathcal{H}^+ yields

$$T\left(M^2\partial_r\psi + M\psi\right) = \psi. \quad (157)$$

Now, we take a ∂_r -derivative of the wave equation (44), i.e. $\partial_r(\square_g\psi - V_2\psi) = 0$ and we obtain

$$\begin{aligned} D\partial_r\partial_r\partial_r\psi + 2T\partial_r\partial_r\psi + \frac{2}{r}\partial_rT\psi + R\partial_r\partial_r\psi + \partial_r\Delta\psi + D'\partial_r\partial_r\psi - \frac{2}{r^2}T\psi \\ + R'\partial_r\psi - (\partial_rV_2)\psi - V_2\partial_r\psi = 0. \end{aligned}$$

Evaluating the equation above on the horizon \mathcal{H}^+ yields

$$T\left(\partial_r\partial_r\psi + \frac{1}{M}\partial_r\psi - \frac{1}{M^2}\psi\right) + \frac{3}{M^3}\psi = 0. \quad (158)$$

In particular, note that the coefficient of $\partial_r\psi$ is zero since

$$\left(R'(M) - V_2(M) - \frac{6}{M^2}\right)\partial_r\psi = \left(\frac{2}{M^2} + \frac{4}{M^2} - \frac{6}{M^2}\right)\partial_r\psi = 0.$$

Now, using both (157,158) we obtain

$$\begin{aligned} T \left(\partial_r \partial_r \psi + \frac{1}{M} \partial_r \psi - \frac{1}{M^2} \psi \right) + \frac{3}{M^3} T \left(M^2 \partial_r \psi + M \psi \right) &= 0 \\ \Rightarrow T \left(\partial_r \partial_r \psi + \frac{4}{M} \partial_r \psi + \frac{2}{M^2} \psi \right) &= 0. \end{aligned} \quad (159)$$

Since the vectorfield T is tangent to the null generators of \mathcal{H}^+ , we deduce from above that the expression

$$H_2[\Psi_2] := \partial_r \partial_r \Psi_2^{(2)} + \frac{4}{M} \partial_r \Psi_2^{(2)} + \frac{2}{M^2} \Psi_2^{(2)}$$

is **conserved** along the null geodesics of \mathcal{H}^+ .

Similarly, one can show that for $i = 1$, $\ell = 1$ the corresponding conserved quantity along the horizon \mathcal{H}^+ is

$$H_1[\Psi_1] := \partial_r^3 \Psi_1^{(1)} + \frac{11}{M} \partial_r^2 \Psi_1^{(1)} + \frac{29}{3M^2} \partial_r \Psi_1^{(1)} - \frac{19}{3M^3} \Psi_1^{(1)}.$$

In fact, we show below that for any $\ell \geq i$, $i \in \{1, 2\}$, there is a similar expression in terms of $\Psi_i^{(\ell)}$ denoted by $H_\ell[\Psi_i]$ which is conserved along the null geodesics of \mathcal{H}^+ .

Remark 6.1 The notation $H_\ell[\Psi_i]$ comes from [7] where such conserved quantities were discovered by Aretakis in the case of homogeneous wave equations $\square_g \psi = 0$, and they usually go by the name of Aretakis constants.

Before we proceed to the general case, we first need the following proposition.

Proposition 6.1 *For any solution $\Psi_i^{(\ell)}$ to (44), supported on the fixed frequency $\ell \geq i$, $i \in \{1, 2\}$ and for any $0 \leq s \leq (\ell - 1) + (-1)^{i+1}$ we have*

$$\partial_r^s \Psi_i \in \text{span} \left\{ T \left(M^{j+1} \cdot \partial_r^j \Psi_i \right) \mid 0 \leq j \leq s+1 \right\} \quad (160)$$

Proof Let us fix a frequency $\ell \geq i$. Taking s -many ∂_r derivatives of (44), i.e. $\partial_r^s (\square_g \Psi_i - V_i \Psi_i) = 0$, yields the equation

$$\begin{aligned} & D \left(\partial_r^{s+2} \Psi_i \right) + 2 \partial_r^{s+1} T \Psi_i + \frac{2}{r} \partial_r^s T \Psi_i + R \partial_r^{s+1} \Psi_i + \partial_r^s (\Delta \Psi_i) \\ & + \sum_{j=1}^s \binom{s}{j} \partial_r^j D \cdot \partial_r^{s-j+2} \Psi_i \\ & + \sum_{j=1}^s \binom{s}{j} \partial_r^j \left(\frac{2}{r} \right) \cdot \partial_r^{s-j} T \Psi_i + \sum_{j=1}^s \binom{s}{j} \partial_r^j R \cdot \partial_r^{s-j+1} \Psi_i \\ & - \sum_{j=0}^s \binom{s}{j} \partial_r^j V_i \cdot \partial_r^{s-j} \Psi_i = 0. \end{aligned} \quad (161)$$

In view of $D(M) = R(M) = 0$ and $\Delta \Psi_i = -\frac{\ell(\ell+1)}{r^2} \Psi_i$, evaluating the above equation on the horizon \mathcal{H}^+ allows us to express the top order ∂_r -term, $\partial_r^s \Psi_i$, in terms of lower order ∂_r -derivatives and $T(\partial_r^m \Psi_i)$, for $0 \leq m \leq s+1$, as long as the coefficient of $\partial_r^s \Psi_i$ does not vanish. The coefficient of $\partial_r^s \Psi_i$ on the horizon \mathcal{H}^+ is given by

$$\begin{aligned} & \binom{s}{2} D''(r) + \binom{s}{1} R'(r) - \frac{\ell(\ell+1)}{M^2} + \frac{2}{M^2} (-1)^i (\ell+2-i) \\ &= \begin{cases} \frac{1}{M^2} (s(s+1) - (\ell+1)(\ell+2)), & i=1 \\ \frac{1}{M^2} (s(s+1) - \ell(\ell-1)), & i=2 \end{cases} \end{aligned} \quad (162)$$

By assumption we have $s \leq (\ell-1) + (-1)^{i+1}$, thus we see that the coefficient of $\partial_r^s \Psi_i$ is not zero. Repeating the same procedure consecutively for all lower order terms $\partial_r^k \Psi_i$, $0 \leq k < s$, we finally express $\partial_r^s \Psi_i$ only in terms of $T(\partial_r^j \Psi_i)$, $0 \leq j \leq s+1$. \square

Theorem 6.1 *Let $\ell \in \mathbb{N}$, with $\ell \geq i$, $i \in \{1, 2\}$, then there exist constants c_i^j , $j = 0, 1, \dots, \ell + (-1)^{i+1}$ depending on M, ℓ , such that for all solution $\Psi_i^{(\ell)}$ to (44), supported on the fixed frequency ℓ , the quantities*

$$H_\ell[\Psi_1] = \partial_r^{\ell+2} \Psi_1^{(\ell)} + \sum_{j=0}^{\ell+1} c_1^j \cdot \partial_r^j \Psi_1^{(\ell)} \quad (163)$$

$$H_\ell[\Psi_2] = \partial_r^\ell \Psi_2^{(\ell)} + \sum_{j=0}^{\ell-1} c_2^j \cdot \partial_r^j \Psi_2^{(\ell)} \quad (164)$$

are conserved along the null generators of \mathcal{H}^+ .

Proof We consider (161) for $s = \ell+1$ when $i = 1$, and $s = \ell-1$ when $i = 2$ and we evaluate it on the horizon \mathcal{H}^+ . In view of (162) and $D(M) = R(M) = 0$, we have that the terms $\partial_r^{\ell+3} \Psi_1$, $\partial_r^{\ell+2} \Psi_1$, $\partial_r^{\ell+1} \Psi_1$, while also $\partial_r^{\ell+1} \Psi_2$, $\partial_r^\ell \Psi_2$, $\partial_r^{\ell-1} \Psi_2$ in the respected wave equation, vanish on \mathcal{H}^+ .

In addition, using Proposition 6.1 we can express each $\partial_r^p \Psi_1$, $\partial_r^q \Psi_2$, for $p \leq \ell$, $q \leq \ell-2$ as a linear combination of $T(\partial_r^m \Psi_i)$, $m \leq \ell + (-1)^{i+1}$ with coefficients depending on M, ℓ . Thus, we obtain altogether

$$\begin{aligned} & T(\partial_r^{\ell+2} \Psi_1) + \sum_{j=0}^{\ell+1} c_1^j \cdot T(\partial_r^j \Psi_1) = 0, \\ & T(\partial_r^\ell \Psi_2) + \sum_{j=0}^{\ell-1} c_2^j \cdot T(\partial_r^j \Psi_2) = 0, \end{aligned} \quad (165)$$

for c_i^j depending on M, ℓ alone, which concludes the proof. \square

At this point we mention that one can find a set of conservation laws by working directly with the coupled scalar system (32). In particular, if (ϕ_ℓ, ψ_ℓ) is a solution to (32), supported on the fixed frequency ℓ , then there are quantities

$$\begin{aligned}\mathcal{H}_\ell[\phi, \psi] &:= \partial_r^\ell \phi_\ell - \frac{\ell-1}{4M} \partial_r^\ell \psi_\ell + \mathcal{L}^{\leq \ell-1}[\phi_\ell, \psi_\ell] \\ \tilde{\mathcal{H}}_\ell[\phi, \psi] &:= \partial_r^{\ell+2} \phi_\ell + \frac{\ell+1}{4M} \partial_r^{\ell+2} \psi_\ell + \tilde{\mathcal{L}}^{\leq \ell+1}[\phi_\ell, \psi_\ell],\end{aligned}$$

which are conserved along the null generators of \mathcal{H}^+ , where $\mathcal{L}^k, \tilde{\mathcal{L}}^k$ are linear expressions of ∂_r -derivatives of ϕ, ψ up to order k , with coefficients depending only on ℓ, M .

In the coming sections, for any $k \in \mathbb{N}$ we show the following blow-up rates $\partial_r^{\ell+k} \phi_\ell \sim \tau^k, \partial_r^{\ell+k} \psi_\ell \sim \tau^k$, asymptotically along \mathcal{H}^+ . However, in view of the second conservation law $\tilde{\mathcal{H}}_\ell[\phi, \psi]$ of order $\ell+2$ as seen above, there ought to be some cancellation asymptotically on \mathcal{H}^+ . Tracking down such cancellations can make the whole analysis unnecessarily chaotic. Instead, by first decoupling system (32), we manage to isolate the dominant linear expression $\Psi_2^{(\ell)}[\phi, \psi]$ along \mathcal{H}^+ . All non-decaying and blow-up estimates for the Regge–Wheeler and Teukolsky system to follow are understood in terms of that dominant scalar $\Psi_2^{(\ell)}$; see Theorems 10.1, 10.2, and Theorems 11.1, 11.2.

7 Higher order L^2 Estimates

Similarly to Sect. 5, we derive energy estimates for $\partial_r^k \Psi_i^{(\ell)}$, for all $k \leq \ell + (-1)^{i+1}$, $\ell \geq i, i \in \{1, 2\}$. We do so, by repeatedly commuting our wave equation (44) with the vector field ∂_r and using induction. By taking ∂_r^k -derivatives of (44) we obtain

$$\begin{aligned}\partial_r^k(\square \Psi_i - V_i \Psi_i) &= 0 \\ \Rightarrow \square(\partial_r^k \Psi_i) + [\partial_r^k, \square] \Psi_i - \partial_r^k(V_i \cdot \Psi_i) &= 0 \\ \Rightarrow \square(\partial_r^k \Psi_i) - V_i(\partial_r^k \Psi_i) &= [\square, \partial_r^k] \Psi_i + \sum_{j=1}^k \binom{k}{j} \partial_r^j V_i \cdot \partial_r^{k-j} \Psi_i =: M^k[\Psi_i].\end{aligned}$$

where the Laplace Beltrami operator commutes with ∂_r^k according to

$$\begin{aligned}[\square_g, \partial_r^k] \Psi_i &= - \sum_{j=1}^k \binom{k}{j} \partial_r^j D \cdot \partial_r^{k-j+2} \Psi_i - \sum_{j=1}^k \binom{k}{j} \partial_r^j \frac{2}{r} \cdot T \partial_r^{k-j} \Psi_i \\ &\quad - \sum_{j=1}^k \binom{k}{j} \partial_r^j R \cdot \partial_r^{k-j+1} \Psi_i - \sum_{j=1}^k \binom{k}{j} r^2 \partial_r^j r^{-2} \cdot \Delta \partial_r^{k-j} \Psi_i\end{aligned}$$

with $R = \partial_r D + \frac{D}{2r}$.

Since $\Psi_i^{(\ell)}$ is supported on the fixed frequency ℓ , so is $\partial_r^s \Psi_i$ for any $s \geq 0$, and using

$$\Delta(\partial_r^s \Psi_i) = -\frac{\ell(\ell+1)}{r^2} \partial_r^s \Psi_i,$$

we can absorb the potential term of $M^k[\Psi_i]$ in the last term of $[\square_g, \partial_r^k] \Psi_i$. In particular, it is a direct computation to check by induction that for any $j \geq 0$ we have

$$\begin{aligned} \partial_r^j V_i(r) &= (-1)^j \frac{(j+2)!}{r^j} \left(\frac{1}{2} V_i(r) + j \frac{M^2}{r^4} \right) \\ &= (j+2) r^2 \cdot \partial_r^j (r^{-2}) \left(\frac{1}{2} V_i(r) + j \frac{M^2}{r^4} \right), \end{aligned} \quad (166)$$

thus, we can write

$$\begin{aligned} M^k[\Psi_i] &= - \sum_{j=1}^k \binom{k}{j} \partial_r^j D \cdot \partial_r^{k-j+2} \Psi_i - \sum_{j=1}^k \binom{k}{j} \partial_r^j \frac{2}{r} \cdot T \partial_r^{k-j} \Psi_i \\ &\quad - \sum_{j=1}^k \binom{k}{j} \partial_r^j R \cdot \partial_r^{k-j+1} \Psi_i - \sum_{j=1}^k \binom{k}{j} r^2 \partial_r^j r^{-2} A_{j,\ell}(r) \cdot \Delta \partial_r^{k-j} \Psi_i \end{aligned} \quad (167)$$

where

$$A_{j,\ell}(r) := 1 + \frac{(j+2)}{2\ell(\ell+1)} r^2 V_i + \frac{j(j+2)}{\ell(\ell+1)} \left(\frac{M}{r} \right)^2$$

Energy Identity. Now that we have the wave equation for $\partial_r^k \Psi_i$, i.e. $(\square - V_i) \partial_r^k \Psi_i = M^k[\Psi_i]$, we can proceed with the energy identity of the current $J_\mu^{\mathcal{X}_k}[\partial_r^k \Psi_i]$ for an appropriate vector field \mathcal{X}_k .

In this section, we are only interested in obtaining higher-order estimates in a region close to the horizon \mathcal{H}^+ . However, if we were to stay away from the horizon and the photon sphere, we can easily control the L^2 norm of all k -derivatives by using local elliptic estimates, commuting the wave equation (44) with T^j , $j \in \{1, \dots, k-1\}$ and using the Morawetz estimates from Theorem 4.1, to obtain

$$\int_{R(0,\tau) \cap \{r_c \leq r \leq r_d\}} |\partial^a \Psi_i|^2 \leq C \int_{\Sigma_0} \left(\sum_{j=0}^{k-1} J_\mu^T [T^j \Psi_i] n_{\Sigma_0}^\mu \right) \quad (168)$$

for any r_c, r_d satisfying $M < r_c < r_d < 2M$, and $|a| = k$, where the constant C depends on M, Σ_0 and k . Thus, we restrict our attention to a region $R(0, \tau) \cap \{M \leq r \leq r_c\}$ for $M < r_c < 2M$. In particular, we prove the following theorem

Theorem 7.1 *There exists $r_c \in (M, 2M)$ and a positive constant $C > 0$ depending only on M , Σ_0 and k such that in the region $\mathcal{A}_c = R(0, \tau) \cap \{M \leq r \leq r_c\}$, for all solutions $\Psi_i^{(\ell)}$ of (44) supported on the fixed frequency $\ell \geq i$, $i \in \{1, 2\}$, we have*

$$\begin{aligned} & \int_{\Sigma_\tau \cap \mathcal{A}_c} \left(T \partial_r^k \Psi_i \right)^2 + \left(\partial_r^{k+1} \Psi_i \right)^2 + \left| \nabla \partial_r^k \Psi_i \right|^2 + (\partial_r^k \Psi_i)^2 \\ & + \int_{\mathcal{H}^+(0, \tau)} \left(T \partial_r^k \Psi_i \right)^2 + \chi_{i,k} \left| \nabla \partial_r^k \Psi_i \right|^2 \\ & + \int_{\mathcal{A}_c} \left(T \partial_r^k \Psi_i \right)^2 + \sqrt{D} \left(\partial_r^{k+1} \Psi_i \right)^2 + \left| \nabla \partial_r^k \Psi_i \right|^2 + (\partial_r^k \Psi_i)^2 \\ & \leq C \left(\sum_{j=0}^k \int_{\Sigma_0} J_\mu^{n_{\Sigma_0}} \left[T^j \Psi_i \right] n_{\Sigma_0}^\mu + \sum_{j=1}^k \int_{\Sigma_0 \cap \mathcal{A}_c} J_\mu^{n_{\Sigma_0}} \left[\partial_r^j \Psi_i \right] n_{\Sigma_0}^\mu \right), \end{aligned} \quad (169)$$

for any $k \leq \ell + (-1)^{i+1}$, where here we define $\chi_{i,k} := \begin{cases} 0, & \text{if } k = \ell + (-1)^{i+1} \\ 1, & \text{otherwise.} \end{cases}$.

Proof We prove this by induction on the number of derivatives $k \leq \ell + (-1)^{i+1}$. In particular, assume that for any $0 \leq s \leq (\ell - 1) + (-1)^{i+1}$ the estimate (169) holds for all $k \leq s$, then we will prove that it also holds for $s = \ell + (-1)^{i+1}$.

Note, both base cases $s = 0$, $s = 1$ correspond to the results of Theorem 4.3 and Theorem 5.1 respectively.

Let us work with an ϕ_τ^T -invariant timelike vector field $\mathcal{X}_k = \mathcal{X}_k^v \partial_v + \mathcal{X}_k^r \partial_r$ with constraints that will be apparent in the end, such that it vanishes in $r \geq r_d$, for a choice of r_d, r_c with $2M > r_d > r_c > M$ determined later. Then, the energy identity for the current $J_\mu^{\mathcal{X}_k} [\partial_r^k \Psi_i]$ is

$$\begin{aligned} & \int_{\Sigma_\tau} J_\mu^{\mathcal{X}_k} [\partial_r^k \Psi_i] n_{\Sigma_\tau}^\mu + \int_{\mathcal{R}(0, \tau)} \nabla^\mu J_\mu^{\mathcal{X}_k} [\partial_r^k \Psi_i] + \int_{\mathcal{H}^+} J_\mu^{\mathcal{X}_k} [\partial_r^k \Psi_i] n_{\mathcal{H}^+}^\mu \\ & = \int_{\Sigma_0} J_\mu^{\mathcal{X}_k} [\partial_r^k \Psi_i] n_{\Sigma_0}^\mu, \end{aligned} \quad (170)$$

where we use the abbreviated notation $\mathcal{H}^+ \equiv \mathcal{H}^+(0, \tau)$. Regarding the energy fluxes we have

$$\int_{\Sigma} J_\mu^{\mathcal{X}_k} [\partial_r^k \Psi_i] n_{\Sigma}^\mu \geq C \left(\int_{\Sigma} (\partial_v \partial_r^k \Psi_i)^2 + (\partial_r \partial_r^k \Psi_i)^2 + \left| \nabla \partial_r^k \Psi_i \right|^2 + \frac{1}{r^2} (\partial_r^k \Psi_i)^2 \right), \quad (171)$$

for a constant $C > 0$ depending only on M , Σ_0 , \mathcal{X}_k . In addition, **on the horizon** \mathcal{H}^+ we have

$$\int_{\mathcal{H}^+} J_\mu^{\mathcal{X}_k} [\partial_r^k \Psi_i] n_{\mathcal{H}^+}^\mu = \int_{\mathcal{H}^+} \mathcal{X}_k^v(M) (\partial_v \partial_r^k \Psi_i)^2 - \frac{\mathcal{X}_k^r(M)}{2} \left(1 + \frac{2 \cdot (-1)^{i-1}}{(\ell + i - 1)} \right) \left| \nabla \partial_r^k \Psi_i \right|^2 \quad (172)$$

where ℓ is the frequency support of $\Psi_i^{(\ell)}$. Note the expression above is positive definite since \mathcal{X}_k is timelike, i.e. $\mathcal{X}_k^r < 0$.

We now focus on the bulk term of (170) and similarly to Section 5 we have

$$\begin{aligned} \nabla^\mu J_\mu^{\mathcal{X}_k}[\partial_r^k \Psi_i] &= \frac{1}{2} Q[\partial_r^k \Psi_i] \cdot (\mathcal{X}_k) \pi - \frac{1}{2} \mathcal{X}_k(V_i)(\partial_r^k \Psi_i)^2 + \mathcal{X}_k(\partial_r^k \Psi_i) \cdot M^k[\Psi_i] \\ &= K^{\mathcal{X}_k}[\partial_r^k \Psi_i] + \left(\mathcal{X}_k^v \cdot T \partial_r^k \Psi_i + \mathcal{X}_k^r \cdot \partial_r^{k+1} \Psi_i \right) M^k[\Psi_i]. \end{aligned} \quad (173)$$

Again, the first term is given by

$$\begin{aligned} K^{\mathcal{X}_k}[\partial_r^k \Psi_i] &= F_{vv}^k (T \partial_r^k \Psi_i)^2 + F_{rr}^k (\partial_r^{k+1} \Psi_i)^2 + F_{\nabla}^k \left| \nabla \partial_r^k \Psi_i \right|^2 \\ &\quad + F_{vr}^k (T \partial_r^k \Psi_i)(\partial_r^{k+1} \Psi_i) + F_{00}^k (V_i)(\partial_r^k \Psi_i)^2, \end{aligned} \quad (174)$$

where the coefficients F_{ab}^k , $a, b \in \{0, v, r, \nabla\}$ are defined as in (89) for the vectorfield \mathcal{X}_k , i.e.

$$\begin{aligned} F_{vv}^k &= \partial_r \mathcal{X}_k^v, & F_{rr}^k &= D \left(\frac{\partial_r \mathcal{X}_k^r}{2} - \frac{\mathcal{X}_k^r}{r} \right) - \frac{\mathcal{X}_k^r D'}{2}, \\ F_{\nabla}^k &= -\frac{1}{2} \partial_r \mathcal{X}_k^r, & F_{vr}^k &= D \partial_r \mathcal{X}_k^v - \frac{2 \mathcal{X}_k^r}{r}, \\ F_{00}^k(V_i) &= -\frac{1}{2} \mathcal{X}_k(V_i) - V_i \left(\frac{\partial_r \mathcal{X}_k^r}{2} + \frac{\mathcal{X}_k^r}{r} \right). \end{aligned} \quad (175)$$

Expanding also $M^k[\Psi_i]$ yields

$$\begin{aligned} &\left(\mathcal{X}_k^v \cdot T \partial_r^k \Psi_i + \mathcal{X}_k^r \cdot \partial_r^{k+1} \Psi_i \right) M^k[\Psi_i] \\ &= - \sum_{j=1}^k \binom{k}{j} \mathcal{X}_k^v \partial_r^j D \cdot (\partial_r^{k-j+2} \Psi_i)(T \partial_r^k \Psi_i) - \sum_{j=1}^k \binom{k}{j} \mathcal{X}_k^r \partial_r^j D \cdot (\partial_r^{k-j+2} \Psi_i)(\partial_r^{k+1} \Psi_i) \\ &\quad - \sum_{j=1}^k \binom{k}{j} \mathcal{X}_k^v \partial_r^j \frac{2}{r} \cdot (T \partial_r^{k-j} \Psi_i)(T \partial_r^k \Psi_i) - \sum_{j=1}^k \binom{k}{j} \mathcal{X}_k^r \partial_r^j \frac{2}{r} \cdot (T \partial_r^{k-j} \Psi_i)(\partial_r^{k+1} \Psi_i) \\ &\quad - \sum_{j=1}^k \binom{k}{j} \mathcal{X}_k^v \partial_r^j R \cdot (\partial_r^{k-j+1} \Psi_i)(T \partial_r^k \Psi_i) - \sum_{j=1}^k \binom{k}{j} \mathcal{X}_k^r \partial_r^j R \cdot (\partial_r^{k-j+1} \Psi_i)(\partial_r^{k+1} \Psi_i) \\ &\quad - \sum_{j=1}^k \binom{k}{j} \mathcal{X}_k^v r^2 \partial_r^j r^{-2} A_{j,\ell(r)} \cdot (\Delta \partial_r^{k-j} \Psi_i)(T \partial_r^k \Psi_i) \\ &\quad - \sum_{j=1}^k \binom{k}{j} \mathcal{X}_k^r r^2 \partial_r^j r^{-2} A_{j,\ell(r)} \cdot (\Delta \partial_r^{k-j} \Psi_i)(\partial_r^{k+1} \Psi_i) \end{aligned} \quad (176)$$

In what follows, we show that the bulk terms of (174, 176) are controlled by terms of the right-hand side of (169) for $k \leq (\ell - 1) + (-1)^{i+1}$ of the inductive hypothesis, or they can be absorbed as a small $\varepsilon > 0$ fraction in the positive definite terms of the left-hand side of (169).

First, we are going to prove the following lemma which will be frequently used in the estimates below.

Lemma 7.1 *For solutions $\Psi_i^{(\ell)}$, $\ell \geq i$, $i \in \{1, 2\}$ to (44), and for any $0 \leq j \leq (\ell - 1) - (-1)^i$, we have*

$$\begin{aligned} & \left| \int_{\mathcal{H}^+} (\partial_r^j \Psi_i) (\partial_r^{\ell-(-1)^i} \Psi_i) \right| \\ & \leq C_\varepsilon \left(\sum_{j=0}^{(\ell-1)-(-1)^i} \int_{\Sigma_0} J_\mu^{n_{\Sigma_0}} [T^j \Psi_i] n_{\Sigma_0}^\mu + \sum_{j=1}^{\ell-(-1)^i} \int_{\Sigma_0} J_\mu^{n_{\Sigma_0}} [\partial_r^j \Psi_i] n_{\Sigma_0}^\mu \right) \\ & \quad + \varepsilon \cdot \int_{\Sigma_\tau \cap A_c} J_\mu^{\mathcal{X}_k} [\partial_r^{\ell-(-1)^i} \Psi_i] \cdot n_\Sigma^\mu + \varepsilon \cdot \int_{\mathcal{H}^+} \left(T \partial_r^{\ell-(-1)^i} \Psi_i \right)^2, \end{aligned} \quad (177)$$

for a positive constant C_ε depending on ε , M , Σ_0 and j .

Proof In view of Proposition 6.1, we can work instead with the integrals

$$\int_{\mathcal{H}^+} (T \partial_r^s \Psi_i) (\partial_r^{\ell-(-1)^i} \Psi_i),$$

for $0 \leq s \leq \ell - (-1)^i$.

- For $s = \ell - (-1)^i$ we have

$$\begin{aligned} \int_{\mathcal{H}^+} (T \partial_r^{\ell-(-1)^i} \Psi_i) (\partial_r^{\ell-(-1)^i} \Psi_i) &= \frac{1}{2} \int_{\mathcal{H}^+} T \left(\partial_r^{\ell-(-1)^i} \Psi_i \right)^2 \\ &= \frac{1}{2} \int_{\Sigma_\tau \cap \mathcal{H}^+} \left(\partial_r^{\ell-(-1)^i} \Psi_i \right)^2 \\ &\quad - \frac{1}{2} \int_{\Sigma_0 \cap \mathcal{H}^+} \left(\partial_r^{\ell-(-1)^i} \Psi_i \right)^2 \end{aligned}$$

Thus, using Lemma 5.1 for each term above we obtain

$$\begin{aligned} \int_{\Sigma \cap \mathcal{H}^+} \left(\partial_r^{\ell-(-1)^i} \Psi_i \right)^2 &\leq \varepsilon \int_{\Sigma \cap A_c} \left[\left(T \partial_r^{\ell-(-1)^i} \Psi_i \right)^2 + \left(\partial_r^{\ell+1-(-1)^i} \Psi_i \right)^2 \right] \\ &\quad + C_\varepsilon \int_{\Sigma \cap A_c} \left(\partial_r^{\ell-(-1)^i} \Psi_i \right)^2 \end{aligned}$$

$$\begin{aligned} &\leq \varepsilon \int_{\Sigma \cap A_c} J_{\mu}^{\mathcal{X}_k} [\partial_r^{\ell-(-1)^i} \Psi_i] \cdot n_{\Sigma}^{\mu} \\ &\quad + C_{\varepsilon} \int_{\Sigma \cap A_c} J_{\mu}^{\mathcal{X}_k} [\partial_r^{(\ell-1)-(-1)^i} \Psi_i] \cdot n_{\Sigma}^{\mu}, \end{aligned}$$

where the last term above is estimated from the first term of the right-hand side of (177), due to the inductive hypothesis.

- Now let $0 \leq s < \ell - (-1)^i$, then integration by parts yields

$$\begin{aligned} \int_{\mathcal{H}^+} (T \partial_r^s \Psi_i) (\partial_r^{\ell-(-1)^i} \Psi_i) &= \int_{\mathcal{H}^+ \cap \Sigma_{\tau}} (\partial_r^s \Psi_i) (\partial_r^{\ell-(-1)^i} \Psi_i) - \int_{\mathcal{H}^+ \cap \Sigma_0} (\partial_r^s \Psi_i) (\partial_r^{\ell-(-1)^i} \Psi_i) \\ &\quad - \int_{\mathcal{H}^+} \partial_r^s \Psi_i \left(T \partial_r^{\ell-(-1)^i} \Psi_i \right) \end{aligned}$$

After applying a Cauchy-Schwartz, the first two boundary terms are treated similarly to the $s = \ell - (-1)^i$ case, using Lemma 5.1 and the inductive hypothesis.

For the last term, we have

$$\left| \int_{\mathcal{H}^+} \partial_r^s \Psi_i \left(T \partial_r^{\ell-(-1)^i} \Psi_i \right) \right| \leq \frac{1}{2\varepsilon} \int_{\mathcal{H}^+} (\partial_r^s \Psi_i)^2 + \frac{\varepsilon}{2} \int_{\mathcal{H}^+} \left(T \partial_r^{\ell-(-1)^i} \Psi_i \right)^2$$

and the first term on the right is estimated by the estimate (169), for $0 \leq s \leq (\ell - 1) + (-1)^{i+1}$. \square

Controlling the bulk terms. Now we are in the position of controlling the spacetime terms that appear in the energy identity.

$$\text{Estimate for the terms } E_j^1 (\partial_r^{k-j+1} \Psi_i) (\partial_r^{k+1} \Psi_i), \quad j \geq 0. \quad (178)$$

- For $j = 0$, the coefficient of the bulk term $(\partial_r^{k+1} \Psi_i)^2$ is $E_0^1 = F_{rr}^k - k \mathcal{X}_k^r D'$ and since \mathcal{X}_k is timelike, $E_0^1 \geq 0$ in A_c .
- For $j \geq 1$, using integration by parts we obtain

$$\begin{aligned} &\int_{\mathcal{R}} E_j^1 \left(\partial_r^{k-j+1} \Psi_i \right) \left(\partial_r^{k+1} \Psi_i \right) \\ &= \int_{\Sigma_0} E_j^1 \left(\partial_r^{k-j+1} \Psi_i \right) \left(\partial_r^k \Psi_i \right) \partial_r \cdot n_{\Sigma_0} - \int_{\Sigma_{\tau}} E_j^1 \left(\partial_r^{k-j+1} \Psi_i \right) \left(\partial_r^k \Psi_i \right) \partial_r \cdot n_{\Sigma_{\tau}} \\ &\quad - \int_{\mathcal{H}^+} E_j^1 \left(\partial_r^{k-j+1} \Psi_i \right) \left(\partial_r^k \Psi_i \right) - \int_{\mathcal{R}} \left(\partial_r E_j^1 + \frac{2}{r} E_j^1 \right) \left(\partial_r^{k-j+1} \Psi_i \right) \left(\partial_r^k \Psi_i \right) \\ &\quad - \int_{\mathcal{R}} E_j^1 \left(\partial_r^{k-j+2} \Psi_i \right) \left(\partial_r^k \Psi_i \right) \end{aligned}$$

Lemma 5.3 holds also for $\partial_r^k \Psi_i$ with the corresponding right-hand side estimate, and the proof follows similarly. Thus, for any $j \geq 1$ the boundary terms over Σ_0 , Σ_{τ} and

the last two spacetime terms are estimated using this Lemma, Cauchy-Schwartz, and the inductive hypothesis.

The boundary term over the horizon \mathcal{H}^+ is estimated for $j \geq 2$ using Lemma 7.1 and it only remains to estimate it for $j = 1$, in which case the coefficient is

$$E_1^1 = -\mathcal{X}_k^r \left(\frac{k(k+1)}{2} D'' + kR' \right)$$

Using Poincare inequality for the angular term of the event horizon \mathcal{H}^+ integral in the energy identity (170), it suffices to have

$$-\frac{\mathcal{X}_k^r(M)}{2M^2} k(k+1) \leq -\frac{\mathcal{X}_k^r(M)}{2M^2} \left(\ell(\ell+1) + V_i(M)M^2 \right).$$

For $i = 1$ the above is equivalent to $k(k+1) \leq (\ell+1)(\ell+2) \Leftrightarrow k \leq \ell+1$ and for $i = 2$, $k(k+1) \leq \ell(\ell-1) \Leftrightarrow k \leq \ell-1$.

Thus, we see that when $k = \ell + (-1)^{i+1}$ we have to use the **entire** coefficient of the angular term $|\nabla \partial_r^k \Psi_i|^2$ on horizon \mathcal{H}^+ in order to close the above estimate, hence and the appearance of the factor $\chi_{i,k}$ in (169).

$$\boxed{\text{Estimates for the terms } E_j^2(T \partial_r^{k-j+1} \Psi_i)(\partial_r^{k+1} \Psi_i), j \geq 1.} \quad (179)$$

Let us first deal with the $j \geq 2$ case. Using integration by parts we obtain

$$\begin{aligned} & \int_{\mathcal{R}} E_j^2 \left(T \partial_r^{k-j+1} \Psi_i \right) \left(\partial_r^{k+1} \Psi_i \right) \\ &= \int_{\Sigma_0} E_j^2 \left(T \partial_r^{k-j+1} \Psi_i \right) \left(\partial_r^k \Psi_i \right) \partial_r \cdot n_{\Sigma_0} - \int_{\Sigma_\tau} E_j^2 \left(T \partial_r^{k-j+1} \Psi_i \right) \left(\partial_r^k \Psi_i \right) \partial_r \cdot n_{\Sigma_\tau} \\ & \quad - \int_{\mathcal{H}^+} E_j^2 \left(T \partial_r^{k-j+1} \Psi_i \right) \left(\partial_r^k \Psi_i \right) - \int_{\mathcal{R}} \left(\partial_r E_j^2 + \frac{2}{r} E_j^2 \right) \left(T \partial_r^{k-j+1} \Psi_i \right) \left(\partial_r^k \Psi_i \right) \\ & \quad - \int_{\mathcal{R}} E_j^2 \left(T \partial_r^{k-j+2} \Psi_i \right) \left(\partial_r^k \Psi_i \right) \end{aligned}$$

Now, the boundary terms over Σ_0, Σ_τ are estimated using Cauchy-Schwartz and the inductive hypothesis while the horizon \mathcal{H}^+ term is treated using Lemma 7.1. The second last spacetime term is estimated by Cauchy-Schwartz, Lemma 5.3 (generalized) and the inductive hypothesis while the remaining last spacetime term is treated similarly where we use instead the ε variation of Cauchy-Schwartz and Lemma 5.3 for $\varepsilon' = \varepsilon^2$.

For the $j = 1$ case, using the wave equation (44) for Ψ_i and $\Delta \Psi_i = -\frac{\ell(\ell+1)}{r^2} \Psi_i$ we have

$$T \partial_r \Psi_i = -\frac{D}{2} \partial_r^2 \Psi_i - \frac{1}{r} T \Psi_i - \frac{R(r)}{2} \partial_r \Psi_i - \frac{1}{2} \left(1 + \frac{r^2 \cdot V_i(r)}{\ell(\ell+1)} \right) \Delta \Psi_i.$$

Thus, we write

$$\begin{aligned}
 \int_{\mathcal{R}} E_1^2 (T \partial_r^k \Psi_i) (\partial_r^{k+1} \Psi_i) &= \int_{\mathcal{R}} E_1^2 (\partial_r^{k-1} T \partial_r \Psi_i) (\partial_r^{k+1} \Psi_i) \\
 &= \int_{\mathcal{R}} \frac{E_1^2}{2} \partial_r^{k-1} \left(-D \partial_r^2 \Psi_i - \frac{2}{r} T \Psi_i - R(r) \partial_r \Psi_i - \left(1 + \frac{r^2 \cdot V_i(r)}{\ell(\ell+1)} \right) \Delta \Psi_i \right) \partial_r^{k+1} \Psi_i \\
 &= \int_{\mathcal{R}} -\frac{E_1^2}{2} \sum_{s=0}^{k-1} \binom{k-1}{s} \partial_r^s D \cdot \partial_r^{k-s+1} \Psi_i \cdot \partial_r^{k+1} \Psi_i \\
 &\quad + \int_{\mathcal{R}} (-E_1^2) \sum_{s=0}^{k-1} \binom{k-1}{s} \partial_r^s r^{-1} \cdot T \partial_r^{k-s-1} \Psi_i \cdot \partial_r^{k+1} \Psi_i \\
 &\quad + \int_{\mathcal{R}} \frac{-E_1^2}{2} \sum_{s=0}^{k-1} \binom{k-1}{s} \partial_r^s R(r) \cdot \partial_r^{k-s} \Psi_i \cdot \partial_r^{k+1} \Psi_i \\
 &\quad + \int_{\mathcal{R}} \frac{-E_1^2}{2} \sum_{s=0}^{k-1} \binom{k-1}{s} \partial_r^s B_\ell(r) \cdot \partial_r^{k-s-1} (\Delta \Psi_i) \cdot \partial_r^{k+1} \Psi_i,
 \end{aligned}$$

where $B_\ell(r) := 1 + \frac{r^2 \cdot V_i(r)}{\ell(\ell+1)}$.

- The terms of first-line sum are estimated for $s = 0, 1$ in view of the degenerate coefficient $D(r)$, $D'(r)$ on the horizon \mathcal{H}^+ . The terms for $s \geq 2$ are treated similarly to estimates 178.
- The terms of second-line are estimated as the $j \geq 2$ case studied above.
- On the third line, the $s = 0$ case is estimated in view of the degenerate coefficient $R(r)$ on the horizon \mathcal{H}^+ , and for $s \geq 1$ we work similarly to 178 estimates.
- For the last line terms, it is direct a computation to check the following commutation for any $m \in \mathbb{N}$

$$\begin{aligned}
 \partial_r^m (\Delta \Psi_i) &= \Delta \partial_r^m \Psi_i + [\partial_r^m, \Delta] \Psi_i \\
 &= \Delta (\partial_r^m \Psi_i) + \sum_{j=1}^m \binom{m}{j} r^2 \partial_r^j (r^{-2}) \cdot \Delta (\partial_r^{m-j} \Psi_i)
 \end{aligned}$$

Thus, terms of the fourth line can be expressed in the form of $(\Delta \partial_r^{k-j} \Psi_i) (\partial_r^{k+1} \Psi_i)$, $j \geq 1$, and such type of terms are estimated in the 183 paragraph below, as long as the coefficients of the corresponding terms are comparable. However, note that $E_1^2 = -k \mathcal{X}_k^v D' + D \partial_r (\mathcal{X}_k^v) - \frac{2 \mathcal{X}_k^r}{r}$ and $\partial_r^s B_\ell(r)$ is uniformly bounded in A_c with respect to ℓ , while $E_j^6 \sim_k \left(-\frac{2 \mathcal{X}_k^r}{r} \right)$ and uniformly bounded with respect to ℓ for every $j \geq 1$. Thus, the estimates of Paragraph 183 yield estimates for the terms of the fourth line with uniform coefficients with

respect to ℓ .

$$\text{Estimates for the terms } E_j^3(\partial_r^{k-j+2}\Psi_i)(T\partial_r^k\Psi_i), \quad j \geq 1. \quad (180)$$

The $j = 1$ case is treated already in the 179-estimates. For $j \geq 2$, we use Cauchy-Schwartz with $\varepsilon > 0$, Lemma 5.3 (generalized) for $\varepsilon' = \varepsilon^2 > 0$ and the inductive hypothesis.

$$\text{Estimates for the terms } E_j^4(T\partial_r^{k-j}\Psi_i)(T\partial_r^k\Psi_i), \quad j \geq 1. \quad (181)$$

We simply use Cauchy-Schwartz with $\varepsilon > 0$ and the inductive hypothesis.

$$\text{Estimates for the terms } E_j^5(\Delta\partial_r^j\Psi_i)(T\partial_r^k\Psi_i), \quad 0 \leq j \leq k-1. \quad (182)$$

We use induction on $j \leq k-1$. For $j = 0$, we use the wave equation (44) for Ψ_i and we express

$$\left(1 + \frac{r^2 \cdot V_i(r)}{\ell(\ell+1)}\right) \Delta\Psi_i = -2T\partial_r\Psi_i + D(r)\partial_r^2\Psi_i + \frac{2}{r}T\Psi_i + R(r)\partial_r\Psi_i$$

Note, the coefficient of $\Delta\Psi_i$ satisfies

$$C_1 \leq \left|1 + \frac{r^2 \cdot V_i(r)}{\ell(\ell+1)}\right| \leq C_2$$

for C_1, C_2 positive constants depending only on M , for all $\ell \geq i$, $i \in \{1, 2\}$, thus we can solve for $\Delta\Psi_i$. Then, using Cauchy-Schwartz and the result obtained above we estimate each term.

Now, assume we can estimate all terms of the form $(\Delta\partial_r^j\Psi_i)(T\partial_r^k\Psi_i)$, for any $s \leq j-1$, then we have

$$(\Delta\partial_r^j\Psi_i) \cdot T\partial_r^k\Psi_i = (\partial_r^j\Delta\Psi_i) \cdot T\partial_r^k\Psi_i + [\Delta, \partial_r^j]\Psi_i \cdot T\partial_r^k\Psi_i.$$

However, after solving for $\Delta\Psi_i$ in the wave equation we take ∂_r^j -many derivatives of the equation and we use the results obtained previously to estimate the first term of the right-hand side above. Next, we have

$$[\Delta, \partial_r^j]\Psi_i \cdot T\partial_r^k\Psi_i = \sum_{s=1}^j \binom{j}{s} r^2 \partial_r^s(r^{-2}) \cdot \Delta(\partial_r^{j-s}\Psi_i) \cdot T\partial_r^k\Psi_i$$

and we use the inductive hypothesis to estimate it, since $j-s \leq j-1$ for all $s \geq 1$.

$$\text{Estimates for the terms } E_j^6(\Delta\partial_r^{k-j}\Psi_i)(\partial_r^{k+1}\Psi_i), \quad j \geq 1. \quad (183)$$

Once again, using integration by parts we obtain

$$\begin{aligned} & \int_{\mathcal{R}} E_j^6 \left(\Delta \partial_r^{k-j} \Psi_i \right) \left(\partial_r^{k+1} \Psi_i \right) \\ &= \int_{\Sigma_0} E_j^6 \left(\Delta \partial_r^{k-j} \Psi_i \right) \left(\partial_r^k \Psi_i \right) \partial_r \cdot n_{\Sigma_0} - \int_{\Sigma_\tau} E_j^6 \left(\Delta \partial_r^{k-j} \Psi_i \right) \left(\partial_r^k \Psi_i \right) \partial_r \cdot n_{\Sigma_\tau} \\ & \quad - \int_{\mathcal{H}^+} E_j^6 \left(\Delta \partial_r^{k-j} \Psi_i \right) \left(\partial_r^k \Psi_i \right) - \int_{\mathcal{R}} \left(\partial_r E_j^6 + \frac{2}{r} E_j^6 \right) \left(\Delta \partial_r^{k-j} \Psi_i \right) \left(\partial_r^k \Psi_i \right) \\ & \quad - \int_{\mathcal{R}} E_j^6 \left(\partial_r \Delta \partial_r^{k-j} \right) \left(\partial_r^k \Psi_i \right) \end{aligned}$$

Now, the first two boundary terms Σ_0 , Σ_τ and the second last spacetime term are estimated using Stokes' theorem for the angular derivative on the sphere $S^2(r)$, applying Cauchy-Schwartz and using the inductive hypothesis. For the last spacetime term, we write

$$\begin{aligned} \left(\partial_r \Delta \partial_r^{k-j} \Psi_i \right) \left(\partial_r^k \Psi_i \right) &= \left(\Delta \partial_r^{k-j+1} \Psi_i \right) \left(\partial_r^k \Psi_i \right) \\ & \quad + \sum_{s=0}^{k-j} \binom{k-j}{s} r^2 \partial_r^s (r^{-2}) \left(\Delta \partial_r^{k-j-s} \Psi_i \right) \left(\partial_r^k \Psi_i \right) \end{aligned}$$

so all the sum terms are estimated using Cauchy-Schwartz and the inductive hypothesis, for any $j \geq 1$. The first term on the left-hand side is estimated the same for $j \geq 2$, while for $j = 1$ we use Stokes's theorem and we obtain

$$\left| \int_{\mathcal{R}} E_1^6 \Delta \partial_r^k \Psi_i \cdot \partial_r^k \Psi_i \right| \leq \int_{\mathcal{R}} \left| E_1^6 \right| \cdot \left| \nabla \partial_r^k \Psi_i \right|^2,$$

which can be absorbed in the $F_{\nabla}^k \left| \nabla \partial_r^k \Psi_i \right|^2$ term of (174) as long as $\left| E_1^6 \right| < -\frac{1}{2} \partial_r \mathcal{X}_k^r$. However, in view of $A_{j,\ell}(r)$ being uniformly bounded in A_c with respect to ℓ , it suffices to choose $-\mathcal{X}_k^r \ll -\frac{1}{2} \partial_r \mathcal{X}_k^r$.

Finally, we will estimate the horizon \mathcal{H}^+ boundary term by induction. In particular, it suffices to estimate

$$\int_{\mathcal{H}^+} \left(\Delta \partial_r^m \Psi_i \right) \left(\partial_r^k \Psi_i \right),$$

for any $0 \leq m \leq k-1$. For $m=0$, using the wave equation (44) for Ψ_i we have

$$\left(1 + \frac{r^2 \cdot V_i(r)}{\ell(\ell+1)} \right) \Delta \Psi_i = -2T \partial_r \Psi_i - D(r) \partial_r^2 \Psi_i - \frac{2}{r} T \Psi_i - R(r) \partial_r \Psi_i$$

where $\frac{1}{3} \leq \left(1 + \frac{M^2 \cdot V_i(M)}{\ell(\ell+1)} \right) \leq 3$ for all $\ell \geq i$. Thus, using Lemma 7.1 we obtain an estimate for the $m=0$ case. Now, assume we also have an estimate for any $m \leq k-2$,

then we write

$$\begin{aligned} \int_{\mathcal{H}^+} (\mathbb{A} \partial_r^{k-1} \Psi_i) (\partial_r^k \Psi_i) &= \int_{\mathcal{H}^+} (\partial_r^{k-1} \mathbb{A} \Psi_i) \partial_r^k \Psi_i \\ &\quad - \int_{\mathcal{H}^+} \sum_{s=1}^{k-1} \binom{k-1}{s} r^2 \partial_r^s (r^{-2}) \mathbb{A} \partial_r^{k-1-s} \Psi_i \cdot \partial_r^k \Psi_i \end{aligned}$$

The terms of the sum are all estimated by the inductive hypothesis for $m \leq k-2$. For the first term, using the wave equation and taking ∂_r^{k-1} -derivatives we obtain

$$\begin{aligned} &B_\ell(r) \cdot \partial_r^{k-1} \mathbb{A} \Psi_i \cdot \partial_r^k \Psi_i \\ &= - \sum_{s=0}^{k-1} \binom{k-1}{s} \partial_r^s D \cdot \partial_r^{k-s+1} \Psi_i \cdot \partial_r^k \Psi_i \\ &\quad - 2 \sum_{s=0}^{k-1} \binom{k-1}{s} \partial_r^s r^{-1} \cdot T \partial_r^{k-s-1} \Psi_i \cdot \partial_r^k \Psi_i \\ &\quad - \sum_{s=0}^{k-1} \binom{k-1}{s} \partial_r^s R(r) \cdot \partial_r^{k-s} \Psi_i \cdot \partial_r^k \Psi_i \\ &\quad - \sum_{s=1}^{k-1} \binom{k-1}{s} \partial_r^s B_\ell(r) \cdot \partial_r^{k-s-1} (\mathbb{A} \Psi_i) \cdot \partial_r^k \Psi_i \\ &\quad - 2T \partial_r^k \Psi_i \cdot \partial_r^k \Psi_i, \end{aligned} \tag{184}$$

In view of $D(M) = D'(M) = R(M) = 0$, the non-vanishing terms on the horizon \mathcal{H}^+ of the above sums are estimated using Lemma 7.1 and the inductive hypothesis. All coefficients in the estimates are independent of ℓ since $\partial_r^s B_\ell(r)$ is uniformly bounded with respect to ℓ for all $s \geq 0$.

$$\boxed{\text{Estimates for the terms } F_{00}^k(V_i)(\partial_r^k \Psi_i)^2.} \tag{185}$$

This term is estimated precisely in the same way as $E_{11}(\partial_r \Psi_i)$ in Section 5.

Conclusion. Thus, in order to conclude the proof of Theorem 7.1, it suffices to consider a timelike vectorfield \mathcal{X}_k , i.e. $\mathcal{X}_k^v(M) > 0$, $\mathcal{X}_k^r(M) < 0$, such that $-\partial_r \mathcal{X}_k^r(M) \gg -\mathcal{X}_k^r(M)$ and $\partial_r \mathcal{X}_k^v(M)$ to be sufficiently large. Then, choosing r_c close enough to the horizon \mathcal{H}^+ and $\varepsilon > 0$ sufficiently small, allows us to obtain the estimate (169) for $k = \ell + (-1)^{i+1}$, and thus closing the induction argument. \square

8 The r^p -hierarchy estimates

In this section, we aim to derive decay for both the degenerate and non-degenerate energy flux leading to pointwise decay estimates for $\Psi_i^{(\ell)}$, $\forall \ell \geq i$, $i \in \{1, 2\}$. The

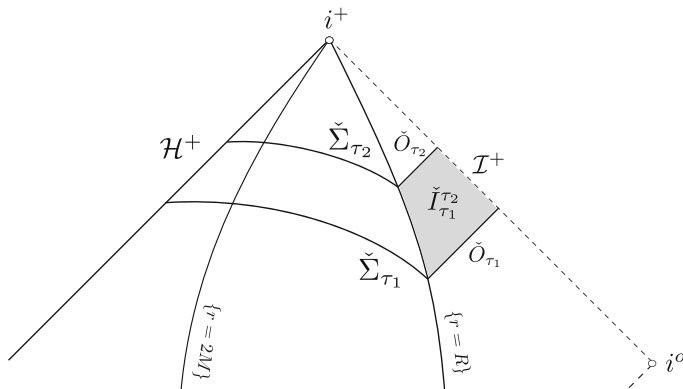


Fig. 2 Regions near future null infinity \mathcal{I}^+

key part is to produce the so-called r^p -hierarchy estimates by applying the vector field method for vectorfields of the form $Z = r^q \partial_v$, written with respect to the double null coordinate system (u, v) . All results in this section are expressed in the double null coordinate system and we work with the foliation $\check{\Sigma}_\tau$ introduced in Section 2.

Let us make some notation remarks regarding regions where these estimates take place. For any $\tau_1 < \tau_2$ we define the following regions, as seen in the Penrose diagram of Fig. 2,

$$\check{M}_R(\tau_1, \tau_2) := \cup_{\tau \in [\tau_1, \tau_2]} \check{\Sigma}_\tau, \quad \check{I}_{\tau_1}^{\tau_2} := \check{M}_R(\tau_1, \tau_2) \cap \{r \geq R\}, \quad \check{O}_\tau := \check{\Sigma}_\tau \cap \{r \geq R\}.$$

We proceed with the following proposition.

Proposition 8.1 *Let $0 < p \leq 2$, then there exists a positive constant $C > 0$ depending only on M , $\check{\Sigma}_0$, such that for any solution $\Psi_i^{(\ell)}$ to (44), supported on the fixed frequency $\ell \geq i$, $i \in \{1, 2\}$, the rescaled quantity $\Phi_i := r\Psi_i$ satisfies the following estimate*

$$\begin{aligned} & \int_{\check{O}_{\tau_2}} r^p \frac{(\partial_v \Phi_i)^2}{r^2} + \int_{\check{I}_{\tau_1}^{\tau_2}} pr^{p-1} \frac{(\partial_v \Phi_i)^2}{r^2} \\ & + \int_{\check{I}_{\tau_1}^{\tau_2}} \frac{r^{p-1}}{4} \sqrt{D} \left((2-p) + (p-4) \frac{M}{r} \right) \left(|\nabla \Psi_i|^2 + V_i \Psi_i^2 \right) \\ & + \int_{\check{I}_{\tau_1}^{\tau_2}} \frac{r^{p-1}}{4} DV_i \Psi_i^2 \leq C \int_{\check{\Sigma}_{\tau_1}} J_\mu^T[\Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu + \int_{\check{O}_{\tau_1}} r^p \frac{(\partial_v \Phi_i)^2}{r^2}. \end{aligned} \quad (186)$$

Proof As described in the introduction, we apply the vectorfield method in the $\check{I}_{\tau_1}^{\tau_2}$ region, for the multiplier $Z = r^q \partial_v$, where $q = p - 2$. In order to avoid timelike boundary terms we also fix a smooth cut-off function of r alone, such that $\zeta_R(r) \equiv 0$ for $r \leq R$ and $\zeta_R(r) \equiv 1$ for $r \geq R + 1$. Then, the energy identity associated to $\zeta_R \cdot \Phi_i$

reads

$$\int_{\check{I}_{\tau_1}^{\tau_2}} \text{Div} \left(J_{\mu}^Z [\zeta_R \cdot \Phi_i] \right) = \int_{\partial \check{I}_{\tau_1}^{\tau_2}} J_{\mu}^Z [\zeta_R \cdot \Phi_i]. \quad (187)$$

However, for $r \geq R + 1$ we have $\zeta_R \cdot \Phi_i = \Phi_i$ and for solutions Ψ_i to equation (44), it is immediate computations to check that $\Phi_i = r\Psi_i$ satisfies the following non-homogeneous equation

$$\square \Phi_i - V_i \Phi_i = \frac{D'}{r} \Phi_i - \frac{2}{r} \left(\partial_u \Phi_i - \partial_v \Phi_i \right) =: M[\Phi_i]. \quad (188)$$

Therefore, from Proposition 4.1 we have

$$\begin{aligned} \text{Div} \left(J_{\mu}^Z [\Phi_i] \right) &= \frac{1}{2} Q[\Phi_i] \cdot^{(Z)} \pi - \frac{1}{2} Z(V_i) \Phi_i^2 + Z(\Phi_i) M[\Phi_i] \\ &= pr^{q-1} (\partial_v \Phi_i)^2 + r^{q-1} D' \cdot \Phi_i \cdot (\partial_v \Phi_i) \\ &\quad - \frac{r^{q-1}}{4} (D'r + Dq) \left(|\nabla \Phi_i|^2 + V_i \Phi_i^2 \right) \\ &\quad + \frac{r^{q-1}}{4} D \left[V_i + \frac{6}{r^2} \left(\frac{M}{r} \right)^2 \right] \Phi_i^2. \end{aligned} \quad (189)$$

To treat the non-positive cross term we use Stoke's theorem and we write

$$\begin{aligned} \int_{\check{I}_{\tau_1}^{\tau_2}} r^{q-1} D' (\zeta_R \cdot \Phi_i) \partial_v (\zeta_R \cdot \Phi_i) &= \int_{\mathcal{I}^+} \frac{D' \cdot D}{4} r^{q-1} (\zeta_R \cdot \Phi_i)^2 \\ &\quad - \int_{\check{I}_{\tau_1}^{\tau_2} \cap \{r=R\}} \frac{r^{q-1}}{4} D' (\zeta_R \cdot \Phi_i)^2 \\ &\quad + \int_{\check{I}_{\tau_1}^{\tau_2}} \frac{r^{q-1}}{2} D \left(\frac{M}{r} \right) \left[\sqrt{D}(6-p) - 1 \right] (\zeta_R \cdot \Phi_i)^2 \end{aligned} \quad (190)$$

Note, the boundary integral over $\check{I}_{\tau_1}^{\tau_2} \cap \{r = R\}$ vanishes since $\zeta_R \equiv 0$ on $\{r = R\}$, whereas the remaining terms are positive definite in $\check{I}_{\tau_1}^{\tau_2}$ for $p \leq 2$ and R large enough.

Now, when it comes to the error terms in equations (187, 190) coming from the region $R \leq r \leq R + 1$, as quadratic terms of the 1st-order jet of Ψ_i , we control them in terms of the T-flux by Theorem 4.1, as long as $R > 2M$.

Finally, for the boundary terms on the right-hand side of (187) we have

$$\begin{aligned} \int_{\partial \check{I}_{\tau_1}^{\tau_2}} J_{\mu}^Z [\zeta_R \cdot \Phi_i] &= \int_{\check{\mathcal{O}}_{\tau_1}} r^p \frac{[\partial_v (\zeta_R \Phi_i)]^2}{r^2} - \int_{\check{\mathcal{O}}_{\tau_2}} r^p \frac{[\partial_v (\zeta_R \Phi_i)]^2}{r^2} \\ &\quad - \int_{\mathcal{I}^+} \frac{D}{4} \left(|\nabla \Psi_i|^2 + V_i \Psi_i^2 \right) \end{aligned} \quad (191)$$

and seeing that the last two terms have the right sign, we conclude the proof. \square

Estimates for the non-degenerate energy near null infinity \mathcal{I}^+ . Using the proposition above, we obtain local integrated energy decay estimates near future null infinity \mathcal{I}^+ .

Proposition 8.2 *There exists a positive constant C depending on $\check{\Sigma}_{\tau_0}$, M , such that for all solution $\Psi_i^{(i)}$ to (44), supported on the fixed frequency $\ell \geq i$, $i \in \{1, 2\}$, we have*

$$\int_{\tau_1}^{\tau_2} \left(\int_{\check{\mathcal{O}}_\tau} J_\mu^N[\Psi_i] n_{\check{\mathcal{O}}_\tau}^\mu \right) d\tau \leq C \left(\int_{\check{\Sigma}_{\tau_1}} J_\mu^T[\Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu + \int_{\check{\mathcal{O}}_{\tau_1}} \frac{1}{r} (\partial_v \Phi_i)^2 \right), \quad (192)$$

$$\int_{\tau_1}^{\tau_2} \left(\int_{\check{\mathcal{O}}_\tau} \frac{1}{r} (\partial_v \Phi_i)^2 \right) d\tau \leq C \left(\int_{\check{\Sigma}_{\tau_1}} J_\mu^T[\Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu + \int_{\check{\mathcal{O}}_{\tau_1}} (\partial_v \Phi_i)^2 \right). \quad (193)$$

Proof Using Proposition 8.1 for $p = 1$ we obtain

$$\begin{aligned} & \int_{\check{\mathcal{I}}_{\tau_1}^{\tau_2}} \frac{(\partial_v \Phi_i)^2}{r^2} + \int_{\check{\mathcal{I}}_{\tau_1}^{\tau_2}} \frac{1}{4} \sqrt{D} \left(1 - 3 \frac{M}{r} \right) (|\Psi_i|^2 + V_i \Psi_i^2) + \int_{\check{\mathcal{I}}_{\tau_1}^{\tau_2}} \frac{1}{4} D V_i \Psi_i^2 \\ & \leq C \int_{\check{\Sigma}_{\tau_1}} J_\mu^T[\Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu + \int_{\check{\mathcal{O}}_{\tau_1}} \frac{1}{r^2} (\partial_v \Phi_i)^2. \end{aligned} \quad (194)$$

However, in view of $V_i = \mathcal{O}\left(\frac{1}{r^3}\right)$, choosing R large enough and using Poincaré inequality we can absorb the last term of the left-hand side in the angular derivative term. In addition, the first term expands as

$$\frac{1}{r^2} (\partial_v \Phi_i)^2 = \frac{1}{r^2} [\partial_v(r \Psi_i)]^2 = (\partial_v \Psi_i)^2 + \frac{D^2}{4r^2} \Psi_i^2 + \frac{D}{r} \Psi_i \partial_v \Psi_i \quad (195)$$

and in order to treat the last term above, using the cut-off smooth function introduced earlier, we write

$$\begin{aligned} & \int_{\check{\mathcal{I}}_{\tau_1}^{\tau_2}} \frac{D}{r} (\zeta_R \Psi_i) \partial_v (\zeta_R \Psi_i) = \frac{1}{2} \int_{\check{\mathcal{I}}_{\tau_1}^{\tau_2}} \frac{D}{r} \partial_v [(\zeta_R \Psi_i)^2] \\ & = -\frac{1}{2} \int_{\check{\mathcal{I}}_{\tau_1}^{\tau_2}} \partial_v \left(\frac{D}{r} \right) (\zeta_R \Psi_i)^2 - \int_{\check{\mathcal{I}}_{\tau_1}^{\tau_2} \cap \{r=R\}} \frac{\sqrt{D} D}{4r} (\zeta_R \Psi_i)^2 + \int_{\mathcal{I}^+} \frac{D^2}{4r} (\zeta_R \Psi_i)^2 \end{aligned} \quad (196)$$

The middle term in the last line vanishes, and since $\partial_v(D/r) = D\sqrt{D}(2 - 3\sqrt{D})/2r^2 < 0$ for large values of R , the remaining two terms above are positive definite.

All error terms that occur in the region $\{R \leq r \leq R+1\}$ are controlled in terms of the T -flux along $\check{\Sigma}_{\tau_1}$ using Theorem 4.1, and combining all estimates above we

show

$$\int_{\check{I}_{\tau_1}^{\tau_2}} (\partial_v \Psi_i)^2 + \frac{1}{2} (|\nabla \Psi_i|^2 + V_i \Psi_i^2) \leq C \left(\int_{\check{\Sigma}_{\tau_1}} J_\mu^T[\Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu + \int_{\check{O}_{\tau_1}} \frac{1}{r^2} (\partial_v \Phi_i)^2 \right). \quad (197)$$

On the other hand, since $n_{\check{O}_\tau} \equiv \frac{\partial}{\partial v}$, we have

$$J_\mu^N[\Psi_i] n_{\check{O}_\tau}^\mu = (\partial_v \Psi_i)^2 + \frac{D}{2} (|\nabla \Psi_i|^2 + V_i \Psi_i^2),$$

thus using the coarea formula for the spacetime term of (197) we conclude the proof of (192). \blacksquare

In order to prove (193), we use Proposition 8.1 for $p = 2$ and we obtain

$$\begin{aligned} \int_{\check{I}_{\tau_1}^{\tau_2}} 2 \frac{(\partial_v \Phi_i)^2}{r} &\leq C \int_{\check{\Sigma}_{\tau_1}} J_\mu^T[\Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu + \int_{\check{O}_{\tau_1}} (\partial_v \Phi_i)^2 + \int_{\check{I}_{\tau_1}^{\tau_2}} \sqrt{D} \frac{M}{2} (|\nabla \Psi_i|^2 + V_i \Psi_i^2) \\ &\quad + \int_{\check{I}_{\tau_1}^{\tau_2}} \frac{D}{4} (-r \cdot V_i) \Psi_i^2. \end{aligned} \quad (198)$$

By Poincaré's inequality, we write

$$\int_{\check{I}_{\tau_1}^{\tau_2}} \frac{D}{4} |r \cdot V_i| \Psi_i^2 = \int_{\check{I}_{\tau_1}^{\tau_2}} D \frac{M}{2} \frac{|3\sqrt{D} + (-1)^i(\ell + 2 - i)|}{\ell(\ell + 1)} |\nabla \Psi_i|^2 \leq 10 \int_{\check{I}_{\tau_1}^{\tau_2}} D \frac{M}{2} |\nabla \Psi_i|^2, \quad (199)$$

for all $\ell \geq i$. Thus, the last two terms of (198) are bounded by the right-hand side of (197) which concludes the proof. \square

8.1 Decay of Energy

With the above estimates in hand, we are ready to show decay for the non-degenerate energy of $\Psi_i^{(\ell)}$, for all $\ell \geq i$. First, Theorem 5.1 and coarea formula yield near the event horizon \mathcal{H}^+

$$\begin{aligned} \int_{\tau_1}^{\tau_2} \left(\int_{\mathcal{A}_c \cap \check{\Sigma}_\tau} J_\mu^N[\Psi_i] n_{\check{\Sigma}_\tau}^\mu \right) d\tau &\leq C \left(\int_{\check{\Sigma}_{\tau_1}} J_\mu^N[\Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu + \int_{\check{\Sigma}_{\tau_1}} J_\mu^N[T\Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu \right. \\ &\quad \left. + \int_{\check{\Sigma}_{\tau_1} \cap \mathcal{A}_c} J_\mu^N[\partial_r \Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu \right) \end{aligned}$$

On the other hand, close to future null infinity \mathcal{I}^+ we have estimate (192) and using Theorem 4.2 to control the energy in the intermediate region $\{r_c \leq r \leq R\} \cap \check{\Sigma}_\tau$, we obtain

$$\int_{\tau_1}^{\tau_2} \left(\int_{\check{\Sigma}_\tau} J_\mu^N [\Psi_i^{(\ell)}] n_{\check{\Sigma}_\tau}^\mu \right) d\tau \leq C \cdot \mathcal{E}[\Psi_i^{(\ell)}](\tau_1), \quad \ell \geq i, \quad (200)$$

for a positive constant $C = C(M, \check{\Sigma}_0)$, where

$$\begin{aligned} \mathcal{E}[\Psi_i](\tau) &:= \int_{\check{\Sigma}_\tau} J_\mu^N [\Psi_i] n_{\check{\Sigma}_\tau}^\mu + \int_{\check{\Sigma}_\tau} J_\mu^N [T\Psi_i] n_{\check{\Sigma}_\tau}^\mu \\ &\quad + \int_{\check{\Sigma}_\tau \cap \mathcal{A}_c} J_\mu^N [\partial_r \Psi_i] n_{\check{\Sigma}_\tau}^\mu + \int_{\check{O}_\tau} r^{-1} (\partial_v \Phi_i)^2. \end{aligned}$$

Last, in order to improve the decay of the non-degenerate energy we also need an estimate for

$$\int_{\tau_1}^{\tau_2} \mathcal{E}[\Psi_i](\tau) d\tau.$$

Theorem 7.1 for $k = 2$ and coarea formula yield

$$\begin{aligned} &\int_{\tau_1}^{\tau_2} \int_{\check{\Sigma}_\tau \cap \mathcal{A}_c} J_\mu^N [\partial_r \Psi_i] n_{\check{\Sigma}_\tau}^\mu \\ &\leq C \left(\sum_{j=0}^2 \int_{\check{\Sigma}_{\tau_1}} J_\mu^N [T^j \Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu + \sum_{j=1}^2 \int_{\check{\Sigma}_{\tau_1} \cap \mathcal{A}_c} J_\mu^N [\partial_r^j \Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu \right), \end{aligned}$$

for all $\ell \geq 2 + (-1)^i$. Thus, applying (200) for both Ψ_i , $T\Psi_i$ (note $T\Psi_i$ also satisfies (44)), using (193) and the estimate above, we obtain

$$\begin{aligned} \int_{\tau_1}^{\tau_2} \mathcal{E}[\Psi_i](\tau) d\tau &\leq C \left(\mathcal{E}[\Psi_i](\tau_1) + \mathcal{E}[T\Psi_i](\tau_1) + \int_{\check{\Sigma}_{\tau_1} \cap \mathcal{A}_c} J_\mu^N [\partial_r \partial_r \Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu \right. \\ &\quad \left. + \int_{\check{O}_{\tau_1}} (\partial_v (r\Psi_i))^2 \right), \end{aligned} \quad (201)$$

for all $\ell \geq 2 + (-1)^i$. Note, the above estimate holds for all frequencies $\ell \geq 1$ in the $i = 1$ case, however, in the $i = 2$ case it holds only for $\ell \geq 3$. Thus, using an effective method introduced by Dafermos-Rodnianski in [18], we obtain the following inverse polynomial energy decay estimates.

Proposition 8.3 *There exists a positive constant C depending only on $\check{\Sigma}_0$, M such that for all solutions $\Psi_i^{(\ell)}$ to (44), supported on the fixed frequency $\ell \geq i$, $i \in \{1, 2\}$, the following inverse linear decay holds*

$$\int_{\check{\Sigma}_\tau} J_\mu^N [\Psi_i] n_{\check{\Sigma}_\tau}^\mu \leq C \mathcal{E}[\Psi_i](0) \cdot \frac{1}{\tau} \quad (202)$$

Moreover, if $\ell \geq 2 + (-1)^i$, we have the improved inverse polynomial decay

$$\int_{\check{\Sigma}_\tau} J_\mu^N[\Psi_i] n_{\check{\Sigma}_\tau}^\mu \leq C \bar{\mathcal{E}}[\Psi_i](0) \cdot \frac{1}{\tau^2}, \quad (203)$$

where $\bar{\mathcal{E}}[\Psi_i](0)$ is equal to the right-hand side of (201) for $\tau_1 = 0$.

Proof Applying (200) for $\tau_1 = 0$ and $\tau_2 = \tau$ for any $\tau \geq 0$, we obtain

$$\int_0^\tau \left(\int_{\check{\Sigma}_\tau} J_\mu^N[\Psi_i] n_{\check{\Sigma}_\tau}^\mu \right) \leq C \bar{\mathcal{E}}[\Psi_i](0) \quad (204)$$

Using the fundamental theorem of calculus and the uniform boundedness of the non-degenerate energy of Theorem 4.3, i.e.

$$\int_{\check{\Sigma}_{s_2}} J_\mu^N[\Psi_i] n_{\check{\Sigma}_{s_2}}^\mu \leq C \int_{\check{\Sigma}_{s_1}} J_\mu^N[\Psi_i] n_{\check{\Sigma}_{s_1}}^\mu, \quad \forall s_1 \leq s_2,$$

we obtain

$$(\tau - 0) \int_{\check{\Sigma}_\tau} J_\mu^N[\Psi_i] n_{\check{\Sigma}_\tau}^\mu \leq C \mathcal{E}[\Psi_i](0), \quad (205)$$

which readily proves (202).

Now, let $\ell \geq 2 + (-1)^i$ and considering (201) for $\tau_1 = 0$ while taking $\tau_2 \rightarrow +\infty$ and we obtain

$$\int_0^T \mathcal{E}[\Psi_i](\tau) d\tau \leq C \bar{\mathcal{E}}[\Psi_i](0), \quad \forall T \geq 0. \quad (206)$$

This allows us to find a sequence $\{\tau_n\}_{n \in \mathbb{N}}$ with $\tau_0 \geq 1$ and $2\tau_n \leq \tau_{n+1} \leq 3\tau_n$ such that

$$\mathcal{E}[\Psi_i](\tau_n) \leq \frac{1}{\tau_n} C \cdot \bar{\mathcal{E}}[\Psi_i](0). \quad (207)$$

Note, the above sequence has the property that $\tau_n \sim \tau_{n+1} \sim (\tau_{n+1} - \tau_n)$, uniformly for any $n \in \mathbb{N}$, which also makes it unbounded, i.e. $\tau_n \xrightarrow{n \rightarrow \infty} \infty$.

So, applying (200) for the interval $[\tau_n, \tau_{n+1}]$ and using relation (207) we get

$$\int_{\tau_n}^{\tau_{n+1}} \left(\int_{\check{\Sigma}_\tau} J_\mu^N[\Psi_i] n_{\check{\Sigma}_\tau}^\mu \right) d\tau \leq C \mathcal{E}[\Psi_i](\tau_n) \leq \frac{1}{\tau_n} \bar{C} \bar{\mathcal{E}}[\Psi_i](0). \quad (208)$$

Thus, using the fundamental theorem of calculus and uniform energy boundedness we obtain

$$\begin{aligned} (\tau_{n+1} - \tau_n) \int_{\check{\Sigma}_{\tau_{n+1}}} J_{\mu}^N[\Psi_i] n_{\check{\Sigma}_{\tau_{n+1}}}^{\mu} d\tau &\leq \frac{1}{\tau_n} \bar{C} \bar{\mathcal{E}}[\Psi_i](0) \\ \Rightarrow \int_{\check{\Sigma}_{\tau_{n+1}}} J_{\mu}^N[\Psi_i] n_{\check{\Sigma}_{\tau_{n+1}}}^{\mu} d\tau &\leq \frac{1}{\tau_{n+1}^2} C \bar{\mathcal{E}}[\Psi_i](0), \quad \forall \in \mathbb{N}, \end{aligned} \quad (209)$$

where we used the dyadic property of the sequence above. Now, note that for any $\tau \geq 1$, there exists $n \in \mathbb{N}$ such that $\tau_n \leq \tau \leq \tau_{n+1}$ and using the above estimate we finally get

$$\begin{aligned} \int_{\check{\Sigma}_{\tau}} J_{\mu}^N[\Psi_i] n_{\check{\Sigma}_{\tau}}^{\mu} d\tau &\leq C' \int_{\check{\Sigma}_{\tau_n}} J_{\mu}^N[\Psi_i] n_{\check{\Sigma}_{\tau_n}}^{\mu} d\tau \leq \frac{1}{\tau_n^2} \bar{C} \bar{\mathcal{E}}[\Psi_i](0) \sim \frac{1}{\tau_{n+1}^2} \bar{C} \bar{\mathcal{E}}[\Psi_i](0) \\ &\leq \frac{1}{\tau^2} C \bar{\mathcal{E}}[\Psi_i](0). \end{aligned} \quad (210)$$

□

In order to prove pointwise decay estimates that are $L^2(\tau_0, \infty)$ integrable in time, we need the non-degenerate energy to decay like $1/\tau^2$. However, we see above that we don't have such decay for the energy of $\Psi_2^{(2)}$, i.e. $i = 2$, $\ell = 2$. We remedy this situation by working with the **degenerate** energy, $J_{\mu}^T[\Psi_i]$, which we show decays like $\frac{1}{\tau^2}$, and using certain interpolation inequalities we manage to show adequate pointwise decay for all frequencies $\ell \geq i$, $i \in \{1, 2\}$.

Proposition 8.4 *There exists a positive constant C depending only on $\check{\Sigma}_0$, M such that for all solutions $\Psi_i^{(i)}$ to (44), supported on the fixed frequency $\ell \geq i$, $i \in \{1, 2\}$, the following decay estimate holds*

$$\int_{\check{\Sigma}_{\tau}} J_{\mu}^T[\Psi_i] n_{\check{\Sigma}_{\tau}}^{\mu} \leq C \bar{\mathcal{E}}^T[\Psi_i](0) \cdot \frac{1}{\tau^2}, \quad (211)$$

where $\bar{\mathcal{E}}^T[\Psi_i](\tau)$ is defined in the proof below.

Proof Using Proposition 4.9, Theorem 4.3 and coarea formula we obtain for any $\tau_1 < \tau_2$

$$\int_{\tau_1}^{\tau_2} \left(\int_{\check{\Sigma}_{\tau} \cap A_N} (\partial_v \Psi_i^2) + \sqrt{D} (\partial_r \Psi_i^2) + |\nabla \Psi_i|^2 + V_i \Psi_i^2 \right) d\tau \leq C \int_{\check{\Sigma}_{\tau_1}} J_{\mu}^N[\Psi_i] n_{\check{\Sigma}}^{\mu}$$

However, since $\sqrt{D} \geq D$ for all $r \geq M$, we have

$$\int_{\tau_1}^{\tau_2} \left(\int_{\check{\Sigma}_{\tau} \cap A_N} J_{\mu}^T[\Psi_i] n_{\check{\Sigma}_{\tau}}^{\mu} \right) \leq C \int_{\check{\Sigma}_{\tau_1}} J_{\mu}^N[\Psi_i] n_{\check{\Sigma}}^{\mu}.$$

Thus, using the above estimate, Theorem 4.2 and (192) for $T \sim N$ readily yields

$$\int_{\tau_1}^{\tau_2} \left(\int_{\check{\Sigma}_\tau} J_\mu^T[\Psi_i] n_{\check{\Sigma}_\tau}^\mu \right) \leq C \mathcal{E}^T[\Psi_i](\tau_1), \quad (212)$$

with

$$\mathcal{E}^T[\Psi_i](\tau) := \int_{\check{\Sigma}_\tau} J_\mu^N[\Psi_i] n_{\check{\Sigma}_\tau}^\mu + \int_{\check{\Sigma}_\tau} J_\mu^N[T\Psi_i] n_{\check{\Sigma}_\tau}^\mu + \int_{\check{\mathcal{O}}_\tau} r^{-1} (\partial_v \Phi_i)^2.$$

In addition, note

$$\int_{\tau_1}^{\tau_2} \mathcal{E}^T[\Psi_i](\tau) d\tau \leq C \bar{\mathcal{E}}^T[\Psi_i](\tau_1), \quad \forall \ell \geq i, \quad i \in \{1, 2\} \quad (213)$$

where,

$$\bar{\mathcal{E}}^T[\Psi_i](\tau) := \left(\mathcal{E}[\Psi_i](\tau) + \mathcal{E}[T\Psi_i](\tau) + \int_{\check{\mathcal{O}}_\tau} (\partial_v \Phi_i)^2 \right)$$

Thus, using the uniform boundedness of degenerate energy and following the same idea for a dyadic sequence $\{\tau_n\}_{n \in \mathbb{N}}$ as the proposition above we prove the corresponding decay result. \square

9 Decay, Non-Decay and Blow-up for Regge–Wheeler solutions

We have reached the point where we can finally state and prove pointwise estimates for solutions to the Regge–Wheeler system. First, we show how to obtain pointwise decay estimates for solutions $\Psi_i^{(\ell)}$, $\ell \geq i$, $i \in \{1, 2\}$ to (44), in the exterior up to and including the event horizon \mathcal{H}^+ . We then generalize the energy results of the previous section to obtain higher-order pointwise estimates accordingly. Such findings are essential later on when we prove non-decay and growth estimates for translation invariant derivatives of $\Psi_i^{(\ell)}$, asymptotically along the event horizon \mathcal{H}^+ . Finally, using elliptic identities we pass these estimates to the corresponding gauge invariant quantities \underline{q}^F , \underline{p} (and \underline{q}^F , \underline{p}) satisfying the Regge–Wheeler system (29).

9.1 Pointwise estimates

With the energy decay results obtained in the previous section, we can now prove pointwise estimates for $\Psi_i^{(\ell)}$, $i \in \{1, 2\}$, for all $\ell \geq i$. The results are based on the Sobolev inequality and the spherical symmetry of our spacetime that allow us to use the angular momentum operators Ω_j , $j \in \{1, 2, 3\}$ as commutators.

Working on the foliation Σ_τ (or $\check{\Sigma}_\tau$) with the associated coordinate system (ρ, ω) , for any $r \geq M$ we have

$$\begin{aligned}\Psi_i^2(r, \omega) &= - \int_r^\infty \partial_\rho(\Psi_i^2) d\rho = -2 \int_r^\infty \Psi_i \cdot \partial_\rho \Psi_i d\rho \\ &= -2 \int_r^\infty k \frac{\Psi_i}{\sqrt{\rho}} (\partial_v \Psi_i \cdot \sqrt{\rho}) d\rho - 2 \int_r^\infty \Psi_i \cdot \partial_r \Psi_i d\rho\end{aligned}$$

where in the last equality we used that $\partial_\rho = k(r)\partial_v + \partial_r$, for $k(r)$ bounded; see Section 2. Then, Cauchy-Schwartz and Hölder inequality (for both $p = \infty, q = 1$ and $p = q = 2$ cases) yield

$$\begin{aligned}\Psi_i^2(r, \omega) &\leq C \left(\int_r^\infty \frac{\Psi_i^2}{\rho} d\rho + \int_r^\infty (\partial_v \Psi_i)^2 \cdot \rho d\rho \right) \\ &\quad + C \left(\int_r^\infty \Psi_i^2 d\rho \right)^{1/2} \left(\int_r^\infty (\partial_r \Psi_i)^2 d\rho \right)^{1/2} \\ &\leq \frac{C}{r} \int_r^\infty \frac{\Psi_i^2}{\rho^2} \cdot \rho^2 d\rho + \frac{C}{r} \int_r^\infty (\partial_v \Psi_i)^2 \cdot \rho^2 d\rho \\ &\quad + \frac{C}{r} \left(\int_r^\infty \frac{\Psi_i^2}{\rho^2} \cdot \rho^2 d\rho \right)^{1/2} \left(\int_r^\infty (\partial_r \Psi_i)^2 \cdot \rho^2 d\rho \right)^{1/2}\end{aligned}$$

for a constant C depending only on M, Σ_0 . Thus, integrating upon the sphere \mathbb{S}^2 and using again Hölder inequality ($p = 2, q = 2$) for the last term above, we obtain

$$\begin{aligned}\int_{\mathbb{S}^2} \Psi_i^2(r, \omega) d\omega &\leq \frac{C}{r} \int_{\mathbb{S}^2} \int_r^\infty \frac{\Psi_i^2}{\rho^2} \rho^2 d\rho d\omega + \frac{C}{r} \int_{\mathbb{S}^2} \int_r^\infty \partial_v \Psi_i^2 \rho^2 d\rho d\omega \\ &\quad + \frac{C}{r} \left(\int_{\mathbb{S}^2} \int_r^\infty \frac{\Psi_i^2}{\rho^2} \rho^2 d\rho d\omega \right)^{1/2} \left(\int_{\mathbb{S}^2} \int_r^\infty (\partial_r \Psi_i)^2 \rho^2 d\rho d\omega \right)^{1/2} \\ &\leq \frac{C}{r} \int_{\check{\Sigma}_\tau} J_\mu^T[\Psi_i] n_\Sigma^\mu + \frac{C}{r} \left(\int_{\check{\Sigma}_\tau} J_\mu^T[\Psi_i] n_\Sigma^\mu \right)^{1/2} \left(\int_{\check{\Sigma}_\tau} J_\mu^N[\Psi_i] n_\Sigma^\mu \right)^{1/2}\end{aligned}$$

Note, we are using the non-degenerate flux $J_\mu^N[\Psi_i]$ in the last term above since $\partial_r \Psi_i$ appears without a $D(r)$ factor in front of it. Thus, using Proposition 8.3 and relation (211) we show that for all $r \geq M$,

$$\begin{aligned}\int_{\mathbb{S}^2} \Psi_i^2(r, \omega) d\omega &\leq \frac{C}{r} \bar{\mathcal{E}}^T[\Psi_i](0) \frac{1}{\tau^2} + \frac{C}{r} \left(\bar{\mathcal{E}}^T[\Psi_i](0) \mathcal{E}[\Psi_i](0) \right)^{1/2} \frac{1}{\tau^{3/2}} \\ \Rightarrow \int_{\mathbb{S}^2} \Psi_i^2(r, \omega) d\omega &\leq C \mathcal{E}_1[\Psi_i](0) \frac{1}{r \cdot \tau^{\frac{3}{2}}}\end{aligned}\tag{214}$$

where $\mathcal{E}_1[\Psi_i]$ is an expression involving initial data of $\Psi_i^{(\ell)}$. Note the above decay estimate holds for all $\ell \geq i$, $i \in \{1, 2\}$. We now present our first pointwise estimate.

Theorem 9.1 *There exists a positive constant C depending only on $M, \check{\Sigma}_0$ such that for all solutions $\Psi_i^{(\ell)}$ to (44), supported on the fixed frequency $\ell \geq i$, $i \in \{1, 2\}$, there are expressions $\mathcal{E}_1, \mathcal{E}_2$ in terms of norms of initial data of $\Psi_i^{(\ell)}$ such that*

$$\left| \Psi_i^{(\ell)} \right|(\tau, r) \leq C\sqrt{\mathcal{E}_1} \frac{1}{\sqrt{r} \cdot \tau^{\frac{3}{4}}}, \quad \forall r \geq M, \tau \geq 1. \quad (215)$$

Moreover, for any $\ell \geq 2 + (-1)^i$ we have instead

$$\left| \Psi_i^{(\ell)} \right|(\tau, r) \leq C\sqrt{\mathcal{E}_2} \frac{1}{\sqrt{r} \cdot \tau}, \quad \forall r \geq M, \tau \geq 1. \quad (216)$$

Proof Commuting the wave equation (44) with the Killing angular momentum operators Ω_j we obtain the corresponding estimate (214) and using the Sobolev embedding theorem on the sphere \mathbb{S}^2 , we get

$$|\Psi_i|^2 \leq C\mathcal{E}_1 \frac{1}{r \cdot \tau^{\frac{3}{2}}}, \quad \forall r \geq M, \tau \geq 1,$$

where $\mathcal{E}_1 = \sum_{|a| \leq 2} \sum_j \mathcal{E}_1[\Omega_j^a \Psi_i]$.

Now, consider $\ell \geq 2 + (-1)^i$ and using Hölder inequality we write for any $r \geq M$

$$\begin{aligned} \Psi_i^2(r, \omega) &= \left(\int_r^\infty (\partial_\rho \Psi_i) d\rho \right)^2 = \left(\int_r^\infty (\partial_\rho \Psi_i) \frac{1}{\rho} \cdot \rho d\rho \right)^2 \\ &\leq \left(\int_r^\infty \frac{1}{\rho^2} d\rho \right) \left(\int_r^\infty (\partial_\rho \Psi_i)^2 \rho^2 d\rho \right). \end{aligned}$$

Integrating upon the sphere \mathbb{S}^2 we obtain

$$\int_{\mathbb{S}^2} \Psi_i^2(r, \omega) d\omega \leq \frac{1}{r} \int_{\mathbb{S}^2} \int_r^\infty (\partial_\rho \Psi_i)^2 \rho^2 d\rho d\omega \leq \frac{1}{r} \int_{\check{\Sigma}_\tau \cap \{r' \geq r\}} J_\mu^N[\Psi_i] n_\Sigma^\mu \quad (217)$$

Thus, using (203) and (217) we obtain

$$\int_{\mathbb{S}^2} \Psi_i^2(r, \omega) d\omega \leq C\bar{\mathcal{E}}[\Psi_i](0) \frac{1}{\tau^2 \cdot r}.$$

Once again, from Sobolev embedding theorem we get

$$\left| \Psi_i^{(\ell)} \right| \leq C\mathcal{E}_2 \frac{1}{\sqrt{r} \cdot \tau}, \quad \forall r \geq M, \tau \geq 1, \quad \ell \geq 2 + (-1)^i$$

for $\mathcal{E}_2 := \sum_{|a| \leq 2} \sum_j \bar{\mathcal{E}}[\Omega_j^a \Psi_i](0)$. □

9.2 Higher order pointwise estimates

Using the ideas introduced earlier we show pointwise decay results for the derivatives $\partial_r^k \Psi_i^{(\ell)}$, for all $\ell \geq i$, $i \in \{1, 2\}$ and $k \leq \ell + (-1)^{i+1}$, near and including the event horizon \mathcal{H}^+ . Again, pointwise decay will follow from showing energy decay first. In particular, we have the following proposition

Proposition 9.1 *Let us fix $\bar{R} > M$, $\ell \in \mathbb{N}$, $\ell \geq i$, $i \in \{1, 2\}$ and consider $\tau \geq 1$, then there exists a positive constant C depending on M, k, \bar{R} and $\check{\Sigma}_0$ such that for all solutions $\Psi_i^{(\ell)}$ to (44), supported on the fixed frequency $\ell \geq i$, there exist norms $\mathcal{E}_{i,\ell}^k$ of the initial data such that*

$$\int_{\check{\Sigma}_\tau \cap \{M \leq r \leq \bar{R}\}} J_\mu^N [\partial_r^k \Psi_i] n_{\check{\Sigma}_\tau}^\mu \leq C \mathcal{E}_{i,\ell}^k \frac{1}{\tau}, \quad k = \ell - 1 - (-1)^i \quad (218)$$

$$\int_{\check{\Sigma}_\tau \cap \{M \leq r \leq \bar{R}\}} J_\mu^N [\partial_r^k \Psi_i] n_{\check{\Sigma}_\tau}^\mu \leq C \mathcal{E}_{i,\ell}^k \frac{1}{\tau^2}, \quad k \leq (\ell - 2) - (-1)^i \quad (219)$$

Proof For any $r_c > M$, in the region $\check{\Sigma}_\tau \cap \{r_c \leq r \leq \bar{R}\}$ we can produce the above decay results by commuting the wave equation (44) with the Killing vector field T , applying local elliptic estimates and using the decay results obtained in Proposition 8.3. Thus, we focus on showing decay in $\check{\Sigma}_0 \cap \mathcal{A}$, for \mathcal{A} an ϕ_τ^T -invariant neighborhood of \mathcal{H}^+ .

Using estimate (169) of Theorem 7.1 for all $s \leq \ell - (-1)^i$ and coarea formula yields the estimate

$$\sum_{m=0}^{\ell-1-(-1)^i} \int_0^T \left(\int_{\check{\Sigma}_{\tilde{\tau}} \cap \mathcal{A}_c} J_\mu^N [\partial_r^m \Psi_i] n_{\check{\Sigma}_{\tilde{\tau}}}^\mu \right) d\tilde{\tau} \leq C \mathcal{E}_{energy}^{\ell-(-1)^i} [\Psi_i](0), \quad (220)$$

for any $T \geq 0$, where

$$\mathcal{E}_{energy}^q [\Psi_i](\tau) := \sum_{j=0}^q \int_{\check{\Sigma}_\tau} J_\mu^N [T^j \Psi_i] n_{\check{\Sigma}_\tau}^\mu + \sum_{j=1}^q \int_{\check{\Sigma}_\tau \cap \mathcal{A}_c} J_\mu^N [\partial_r^j \Psi_i] n_{\check{\Sigma}_\tau}^\mu.$$

This allows us to find a sequence $\{\tau_n\}_{n \in \mathbb{N}}$ with $\tau_0 \geq 1$ and $2\tau_n \leq \tau_{n+1} \leq 3\tau_n$, $\forall n \in \mathbb{N}$, such that

$$\sum_{m=0}^{\ell-1-(-1)^i} \int_{\check{\Sigma}_{\tau_n} \cap \mathcal{A}_c} J_\mu^N [\partial_r^m \Psi_i] n_{\check{\Sigma}_{\tau_n}}^\mu \leq C \mathcal{E}_{energy}^{\ell-(-1)^i} [\Psi_i](0) \cdot \frac{1}{\tau_n}, \quad \forall n \in \mathbb{N}. \quad (221)$$

On the other hand, commuting the wave equation (44) with T^s for all $s < \ell - (-1)^i$ and using the corresponding estimate of relation (202) we obtain

$$\int_{\check{\Sigma}_\tau} J_\mu^N [T^s \Psi_i] n_{\check{\Sigma}_\tau}^\mu \leq C \mathcal{E}[T^s \Psi_i](0) \cdot \frac{1}{\tau}, \quad \forall s < \ell - (-1)^i. \quad (222)$$

Then, for any $\tau \geq 1$ we can find $n \in \mathbb{N}$ such that $\tau_n \leq \tau \leq \tau_{n+1}$ and applying estimate (169) in $\mathcal{R}(\tau_n, \tau)$ for $k = (\ell - 1) - (-1)^i$ while using (221, 222) yields

$$\begin{aligned} \int_{\check{\Sigma}_\tau \cap \mathcal{A}_c} J_\mu^N [\partial_r^{\ell-1-(-1)^i} \Psi_i] n_{\check{\Sigma}_\tau}^\mu &\leq C \mathcal{E}_{energy}^{\ell-1-(-1)^i} [\Psi_i](\tau_n) \leq C \mathcal{E}_i^\ell \cdot \frac{1}{\tau_n} \sim C \mathcal{E}_i^\ell \frac{1}{\tau_{n+1}} \\ &\leq C \mathcal{E}_i^\ell \cdot \frac{1}{\tau} \end{aligned}$$

where \mathcal{E}_i^ℓ involves norms of initial data of $\Psi_i^{(\ell)}$ only, and C is a positive constant that depends on $M, \bar{R}, \check{\Sigma}_0$ and $k = \ell - 1 - (-1)^i$.

Now, fix $k \leq (\ell - 2) - (-1)^i$ and apply (169) in $\mathcal{R}(a_n, b_n)$, where $a_n := \tau_n + \frac{\tau_{n+1} - \tau_n}{3}$, $b_n := \tau_{n+1} - \frac{\tau_{n+1} - \tau_n}{3}$, along with coarea formula to obtain

$$\int_{a_n}^{b_n} \left(\int_{\check{\Sigma}_{\tilde{\tau}} \cap \mathcal{A}_c} J_\mu^N [\partial_r^k \Psi_i] n_{\check{\Sigma}_{\tilde{\tau}}}^\mu \right) d\tilde{\tau} \leq C \mathcal{E}_{energy}^{k+1} [\Psi_i](\tau_n) \leq C \mathcal{E}_{i,\ell}^{k+1} \frac{1}{\tau_n},$$

where the latter estimate comes from the analysis above. By fundamental theorem of calculus, there exists $\xi_n \in (a_n, b_n)$ such that

$$(b_n - a_n) \int_{\check{\Sigma}_{\xi_n} \cap \mathcal{A}_c} J_\mu^N [\partial_r^k \Psi_i] n_{\check{\Sigma}_{\xi_n}}^\mu \leq C \mathcal{E}_{i,\ell}^{k+1} \frac{1}{\tau_n} \quad (223)$$

However, $b_n - a_n = \frac{\tau_{n+1} - \tau_n}{3}$, $\forall n \in \mathbb{N}$, and using the property of $\{\tau_n\}_{n \in \mathbb{N}}$, i.e. $\tau_n \sim \tau_{n+1} \sim (\tau_{n+1} - \tau_n)$, relation (223) yields

$$\int_{\check{\Sigma}_{\xi_n} \cap \mathcal{A}_c} J_\mu^N [\partial_r^k \Psi_i] n_{\check{\Sigma}_{\xi_n}}^\mu \leq 3C \mathcal{E}_{i,\ell}^{k+1} \frac{1}{\tau_n^2} \leq 27C \mathcal{E}_{i,\ell}^{k+1} \frac{1}{\tau_{n+1}^2} \leq C' \mathcal{E}_{i,\ell}^{k+1} \frac{1}{\xi_n^2} \quad (224)$$

for all $n \in \mathbb{N}$. It's a direct computation to check that the sequence $\{\xi_n\}_{n \in \mathbb{N}}$ satisfies

$$\frac{4}{3} \xi_n \leq \xi_{n+1} \leq 7 \xi_n, \quad \forall n \in \mathbb{N}$$

which also corresponds to an unbounded dyadic sequence with $\xi_n \sim \xi_{n+1} \sim (\xi_{n+1} - \xi_n)$, uniformly $\forall n \in \mathbb{N}$. Thus, for any $\tau \geq 1$ we can find $n \in \mathbb{N}$ such that $\xi_n \leq \tau \leq \xi_{n+1}$ and applying estimate (169) in $\mathcal{R}(\xi_n, \tau)$ and using estimate (224) with the results of Proposition 8.3, we conclude the proof of (219). \square

Theorem 9.2 *Let $\bar{R} > M$, $\tau \geq 1$ and fix $\ell \geq i$, $i \in \{1, 2\}$, then for any $k \leq \ell - (-1)^i$ there exists a positive constant C depending on M, k, \bar{R} and $\check{\Sigma}_0$ such that for all solution $\Psi_i^{(\ell)}$ to (44), supported on the fixed frequency ℓ , the following pointwise decay estimates hold*

$$\left| \partial_r^k \Psi_i^{(\ell)} \right|(\tau) \leq C \mathcal{I}_{k,\ell}[\Psi_i] \frac{1}{\tau}, \quad \text{for } k \leq (\ell - 2) - (-1)^i \quad (225)$$

$$\left| \partial_r^{\ell-1-(-1)^i} \Psi_i^{(\ell)} \right|(\tau) \leq C \mathcal{I}_{\ell-1,\ell}[\Psi_i] \frac{1}{\tau^{\frac{3}{4}}}, \quad (226)$$

$$\left| \partial_r^{\ell-(-1)^i} \Psi_i^{(\ell)} \right|(\tau) \leq C \mathcal{I}_{\ell,\ell}[\Psi_i] \frac{1}{\tau^{\frac{1}{4}}}, \quad (227)$$

in $\{M \leq r \leq \bar{R}\}$, where $\mathcal{I}_{k,\ell}[\Psi_i]$ are norms of initial data of $\Psi_i^{(\ell)}$.

Proof Consider a smooth-cutoff function $\chi_{\bar{R}+1} : [M, \bar{R} + 1] \rightarrow [0, 1]$ of r alone, such that $\chi_{\bar{R}+1}(r) = 1$ for $M \leq r \leq \bar{R} + \frac{1}{4}$ and $\chi_{\bar{R}+1}(r) = 0$ for $r \geq \bar{R} + \frac{1}{2}$. Let $r \in [M, \bar{R}]$, then we write

$$\begin{aligned} (\partial_r^k \Psi_i)^2(r, \omega) &= - \int_r^{\bar{R}+1} \partial_\rho \left(\chi_{\bar{R}+1} \cdot \partial_r^k \Psi_i \right)^2 d\rho \\ &= - \int_r^{\bar{R}+1} \partial_\rho (\chi_{\bar{R}+1}^2) (\partial_r^k \Psi_i)^2 d\rho - \int_r^{\bar{R}+1} 2\chi_{\bar{R}+1} \left(\partial_r^k \Psi_i \right) \partial_\rho \left(\partial_r^k \Psi_i \right) d\rho \\ &\leq C \int_r^{\bar{R}+1} \left| \partial_\rho (\chi_{\bar{R}+1}^2) \right| (\partial_r^k \Psi_i)^2 \rho^2 d\rho \\ &\quad + C \left(\int_r^{\bar{R}+\frac{1}{2}} (\partial_r^k \Psi_i)^2 \rho^2 d\rho \right)^{\frac{1}{2}} \left(\int_r^{\bar{R}+\frac{1}{2}} \left[\partial_\rho \left(\partial_r^k \Psi_i \right) \right]^2 \rho^2 d\rho \right)^{\frac{1}{2}}. \end{aligned}$$

Now, integrating on the sphere \mathbb{S}^2 and since $\chi_{\bar{R}+1}$ is smooth, we obtain

$$\begin{aligned} \int_{\mathbb{S}^2} (\partial_r^k \Psi_i)^2(r, \omega) d\omega &\leq C \int_{\check{\Sigma}_\tau \cap \{M \leq r \leq \bar{R}+1\}} J_\mu^N [\partial_r^{k-1} \Psi_i] n_{\check{\Sigma}_\tau}^\mu + \\ &\quad + C \left(\int_{\check{\Sigma}_\tau \cap \{M \leq r \leq \bar{R}+\frac{1}{2}\}} J_\mu^N [\partial_r^{k-1} \Psi_i] n_{\check{\Sigma}_\tau}^\mu \right)^{\frac{1}{2}} \cdot \\ &\quad \left(\int_{\check{\Sigma}_\tau \cap \{M \leq r \leq \bar{R}+\frac{1}{2}\}} J_\mu^N [\partial_r^k \Psi_i] n_{\check{\Sigma}_\tau}^\mu \right)^{\frac{1}{2}} \end{aligned}$$

with C depending on $M, \check{\Sigma}_0$. Thus, using the results of Proposition 9.1 we have that for $k \leq (\ell - 2) - (-1)^i$ all integrals of the right-hand side decay at the rate of $\frac{1}{\tau^2}$. For $k = \ell - 1 - (-1)^i$ the first integral of the right-hand side decays like $\frac{1}{\tau^2}$, whereas the last integral decays like $\frac{1}{\tau}$. Finally, for $k = \ell - (-1)^i$ the first integral decays like $\frac{1}{\tau}$ and from Theorem 7.1, the last integral is bounded.

Thus, repeating the same estimates after we commute with the angular momentum operators Ω and using the Sobolev inequality on the sphere \mathbb{S}^2 we prove the decay rates of the assumption. \square

Non-Decay and Blow-up Estimates

Proposition 9.2 *For all solutions $\Psi_i^{(\ell)}$ to (44), supported on the fixed frequency $\ell \geq i$, $i \in \{1, 2\}$, we have the following non decay estimates*

$$\partial_r^{\ell+2} \Psi_1^{(\ell)}(\tau, \vartheta, \varphi) \xrightarrow{\tau \rightarrow \infty} H_\ell[\Psi_1](\vartheta, \varphi), \quad (228)$$

$$\partial_r^\ell \Psi_2^{(\ell)}(\tau, \vartheta, \varphi) \xrightarrow{\tau \rightarrow \infty} H_\ell[\Psi_2](\vartheta, \varphi), \quad (229)$$

asymptotically along the event horizon \mathcal{H}^+ , where $H_\ell[\Psi_i]$ are the conserved quantities of Theorem 6.1. In addition, for generic initial data of $\Psi_i^{(\ell)}$, $H_\ell[\Psi_i](\vartheta, \varphi)$ is almost everywhere non-zero on $\check{\Sigma}_0 \cap \mathcal{H}^+$.

Proof Using Theorem 6.1, we know the expressions below are conserved along the null generators of \mathcal{H}^+ ,

$$\begin{aligned} H_\ell[\Psi_1](\vartheta, \varphi) &= \partial_r^{\ell+2} \Psi_1^{(\ell)}(\tau, \vartheta, \varphi) + \sum_{j=0}^{\ell+1} c_1^j \cdot \partial_r^j \Psi_1^{(\ell)}(\tau, \vartheta, \varphi) \\ H_\ell[\Psi_2](\vartheta, \varphi) &= \partial_r^\ell \Psi_2^{(\ell)}(\tau, \vartheta, \varphi) + \sum_{j=0}^{\ell-1} c_2^j \cdot \partial_r^j \Psi_2^{(\ell)}(\tau, \vartheta, \varphi). \end{aligned}$$

Thus, using the pointwise decay estimates of Theorem 9.2 along the event horizon \mathcal{H}^+ , we deduce that all terms of the sum above converge to zero asymptotically as $\tau \rightarrow \infty$, and so the limits of the assumption follow.

In addition, as in [7], we may introduce a new hypersurface $\check{\Sigma}_0$ formed by ingoing null hypersurfaces in an ϕ^T -invariant neighborhood of the horizon \mathcal{H}^+ , and prescribed initial data for Ψ_i on $\check{\Sigma}_0$ can be compared to initial data prescribed on $\check{\Sigma}_0$ using energy boundedness results obtained already. In view of $H_\ell[\Psi_i]$ involving only transversal derivatives to \mathcal{H}^+ , we see that it is completely determined on $\check{\Sigma}_0 \cap H$ by the initial data prescribed on $\check{\Sigma}_0$. Hence, generic initial data for Ψ_i on $\check{\Sigma}_0$ produces generic eigenfunctions $H_\ell[\Psi_i]$ of order ℓ of Δ on $\check{\Sigma}_0$, and thus almost everywhere non-zero. \square

Theorem 9.3 *Let $k, \ell \in \mathbb{N}$, then for all solutions $\Psi_i^{(\ell)}$ to (44), supported on the fixed frequency $\ell \geq i$, $i \in \{1, 2\}$, we have*

$$\partial_r^k \left(\partial_r^{\ell+1-(-1)^i} \Psi_i^{(\ell)} \right) (\tau, \vartheta, \varphi) = (-1)^k a_{i,k}^{(\ell)} H_\ell[\Psi_i](\vartheta, \varphi) \cdot \tau^k + \mathcal{O}(\tau^{k-\frac{1}{4}}), \quad (230)$$

as $\tau \rightarrow \infty$ along the event horizon \mathcal{H}^+ , where

$$a_{i,k}^{(\ell)} := \frac{1}{(2M^2)^k} \frac{(k+1+2(\ell-(-1)^i))!}{(1+2(\ell-(-1)^i))!}.$$

Proof We use induction on $k \in \mathbb{N}$. To show the $k = 1$ case, we consider (161) for $s = \ell + 1 - (-1)^i$ and we evaluate it on the horizon \mathcal{H}^+ to obtain

$$\begin{aligned} T \left(2\partial_r^{\ell+2-(-1)^i} \Psi_i + \frac{2}{M} \partial_r^{\ell+1-(-1)^i} \Psi_i + L_{\leq \ell-(-1)^i} [\Psi_i] \right) \\ + \frac{2}{M^2} (\ell + 1 - (-1)^i) \partial_r^{\ell+1-(-1)^i} \Psi_i + \tilde{L}_{\leq \ell-(-1)^i} [\Psi_i] = 0, \end{aligned} \quad (231)$$

where $L_{\leq q} [\Psi_i]$, $\tilde{L}_{\leq q} [\Psi_i]$ is a linear combination of ∂_r -derivatives of Ψ_i up to order q , with coefficients depending on ℓ , q , M . However, in view of the pointwise estimates of Theorem 9.2 we have

$$\begin{aligned} L_{\leq \ell-(-1)^i} [\Psi_i](\tau) &= \mathcal{O}(\tau^{-\frac{1}{4}}), \\ \int_{\tau_0}^{\tau} \tilde{L}_{\leq \ell-(-1)^i} [\Psi_i](\tilde{\tau}) d\tilde{\tau} &= \mathcal{O}(\tau^{\frac{3}{4}}), \quad \text{as } \tau \xrightarrow{\mathcal{H}^+} \infty. \end{aligned}$$

Thus, using the conserved expressions of Theorem 6.1 and integrating (231) along the null geodesics of \mathcal{H}^+ we obtain

$$\partial_r^{\ell+2-(-1)^i} \Psi_i = -\frac{(\ell+1-(-1)^i)}{M^2} H_\ell [\Psi_i] \cdot \tau + \mathcal{O}\left(\tau^{\frac{3}{4}}\right), \quad \text{as } \tau \xrightarrow{\mathcal{H}^+} \infty, \quad (232)$$

which proves the $k = 1$ case. Now, assume the relation of the assumption holds for all $q \leq k - 1$, then evaluating (161) for $s = \ell + k - (-1)^i$ on the horizon \mathcal{H}^+ yields accordingly

$$\begin{aligned} T \left(2\partial_r^{\ell+k+1-(-1)^i} \Psi_i + \frac{2}{M} \partial_r^{\ell+k-(-1)^i} \Psi_i + L_{\leq \ell+k-1-(-1)^i} [\Psi_i] \right) \\ + \frac{k}{M^2} \left(2(\ell - (-1)^i) + k + 1 \right) \partial_r^{\ell+k-(-1)^i} \Psi_i + \tilde{L}_{\leq \ell+k-1-(-1)^i} [\Psi_i] = 0. \end{aligned}$$

However, using the inductive hypothesis we obtain

$$\begin{aligned} T \left(2\partial_r^{\ell+k+1-(-1)^i} \Psi_i + \frac{2}{M} \partial_r^{\ell+k-(-1)^i} \Psi_i + L_{\leq \ell+k-1-(-1)^i} [\Psi_i] \right) \\ = -\frac{k}{M^2} \left(2(\ell - (-1)^i) + k + 1 \right) (-1)^{k-1} a_{i,k-1} H_\ell [\Psi_i](\vartheta, \varphi) \cdot \tau^{k-1} + \mathcal{O}(\tau^{(k-1)-\frac{1}{4}}) \end{aligned}$$

Thus, integrating the above relation along the null generators of \mathcal{H}^+ and using the inductive hypothesis we conclude the proof. \square

Remark 9.1 The proposition above holds also for $k = 0$ which corresponds to the non-decaying result of Proposition 9.2.

Corollary 9.1 *Let (ϕ, ψ) be a solution to the coupled system (32), then for generic initial data, the following decay estimates hold in the exterior⁵*

$$|\phi|(r, \tau) \lesssim_M \frac{1}{\sqrt{r} \cdot \tau^{\frac{3}{4}}}, \quad |\psi|(r, \tau) \lesssim_M \frac{1}{\sqrt{r} \cdot \tau^{\frac{3}{4}}}, \quad (233)$$

for all $r \geq M$ and $\tau \geq 1$. Moreover, the following decay, non-decay and blow up estimates hold asymptotically on \mathcal{H}^+ , as $\tau \rightarrow \infty$. For any $\ell \geq 2$, we have

• *Decay:*

$$\left| \partial_r^{\ell-1} \phi_\ell \right| \lesssim_{\ell, M} \frac{1}{\tau^{\frac{1}{4}}}, \quad \left| \partial_r^{\ell-1} \psi_\ell \right| \lesssim_{\ell, M} \frac{1}{\tau^{\frac{1}{4}}}, \quad (234)$$

$$\left| \partial_r^{\ell-2} \phi_\ell \right| \lesssim_{\ell, M} \frac{1}{\tau^{\frac{3}{4}}}, \quad \left| \partial_r^{\ell-2} \psi_\ell \right| \lesssim_{\ell, M} \frac{1}{\tau^{\frac{3}{4}}}, \quad (235)$$

$$\left| \partial_r^k \phi_\ell \right| \lesssim_{k, M} \frac{1}{\tau}, \quad \left| \partial_r^k \psi_\ell \right| \lesssim_{k, M} \frac{1}{\tau} \quad \forall k \leq \ell - 3 \quad (236)$$

• *Non-decay*

$$\partial_r^\ell \phi_\ell(\tau, \omega) \xrightarrow{\tau \rightarrow \infty} \frac{1}{2M^2(2\ell + 1)} H_\ell[\Psi_2](\omega), \quad (237)$$

$$\partial_r^\ell \psi_\ell(\tau, \omega) \xrightarrow{\tau \rightarrow \infty} -\frac{2}{M(2\ell + 1)(\ell + 2)} H_\ell[\Psi_2](\omega), \quad (238)$$

• *Blow up:*

$$\partial_r^{k+\ell} \phi_\ell(\tau, \omega) = (-1)^k \frac{a_{2,k}^{(\ell)}}{2M^2(2\ell + 1)} H_\ell[\Psi_2](\omega) \cdot \tau^k + \mathcal{O}(\tau^{k-\frac{1}{4}}), \quad k \geq 0, \quad (239)$$

$$\partial_r^{k+\ell} \psi_\ell(\tau, \omega) = -(-1)^k \frac{2a_{2,k}^{(\ell)}}{M(\ell + 2)(2\ell + 1)} H_\ell[\Psi_2](\omega) \cdot \tau^k + \mathcal{O}(\tau^{k-\frac{1}{4}}), \quad k \geq 0, \quad (240)$$

where the constants $a_{i,k}^{(\ell)}$ are given in Theorem 9.3.

Proof We recall from Corollary 3.1 the following expressions for any $\ell \geq 2$

$$\begin{aligned} \phi_\ell &= \frac{\mu}{2M^2(\ell + 2)(2\ell + 1)} \cdot \Psi_1^{(\ell)} + \frac{1}{2M^2(2\ell + 1)} \Psi_2^{(\ell)} \\ \psi_\ell &= \frac{2}{M\mu \cdot (2\ell + 1)} \cdot \Psi_1^{(\ell)} - \frac{2}{M(\ell + 2)(2\ell + 1)} \Psi_2^{(\ell)} \end{aligned}$$

Thus, the proof follows immediately from Theorem 9.2, 9.2, and 9.3. Note, $\psi_{\ell=1} = \Psi_1^{(\ell=1)}$, thus we already have estimates for $\psi_{\ell=1}$. \square

⁵ If $f(x) \lesssim_p g(x)$ then there exists a constant $C > 0$ depending on p , such that $f(x) \leq C \cdot g(x)$.

9.3 Estimates for q^F, p

Using elliptic identities of Section 2 we can show that a similar hierarchy of estimates also holds for the gauge-invariant quantities q^F and p in $L^2(S_{\tau, M}^2)$. First, let us prove the following lemma that allows us to do so.

Lemma 9.1 *Let ξ_A, θ_{AB} be a one tensor and a symmetric traceless 2-tensor on $S_{v, r}^2$, respectively, and consider the scalars $f_\xi := r\mathcal{P}_1\xi$, $f_\theta := r^2\mathcal{P}_1\mathcal{P}_2\theta$. Then,*

- For any $k \in \mathbb{N}$, we have

$$\partial_r^k f_\xi = r\mathcal{P}_1\left(\nabla_{\partial_r}^k \xi\right), \quad \partial_r^k f_\theta = r^2\mathcal{P}_1\mathcal{P}_2\left(\nabla_{\partial_r}^k \theta\right) \quad (241)$$

- The following elliptic estimates hold for any $k \in \mathbb{N}$

$$\int_{S_{v, r}^2} \left|\nabla_{\partial_r}^k \xi\right|^2 \leq \int_{S_{v, r}^2} \left|\partial_r^k f_\xi\right|^2, \quad \int_{S_{v, r}^2} \left|\nabla_{\partial_r}^k \theta\right|^2 \leq \int_{S_{v, r}^2} \left|\partial_r^k f_\theta\right|^2 \quad (242)$$

Proof From the definition of $\mathcal{P}_1, \mathcal{P}_2$ and the commutations (6) we have $[\nabla_{\partial_r}, r\mathcal{P}_1] = [\nabla_{\partial_r}, r\mathcal{P}_2] = 0$ which shows (241). For example,

$$\partial_r^k f_\theta = \partial_r^k (r\mathcal{P}_1(r\mathcal{P}_2\theta)) = r\mathcal{P}_1\left(\nabla_{\partial_r}^k (r\mathcal{P}_2\theta)\right) = r^2\mathcal{P}_1\mathcal{P}_2(\nabla_{\partial_r}^k \theta).$$

To show (242), we use identities (7), (8) and for motivation, we only look at the second estimate, i.e.

$$\begin{aligned} \int_{S_{v, r}^2} \left|\nabla_{\partial_r}^k \theta\right|^2 &= \int_{S_{v, r}^2} r^2 \cdot \frac{1}{r^2} \left|\nabla_{\partial_r}^k \theta\right|^2 \leq \int_{S_{v, r}^2} r^2 \left|\mathcal{P}_2\left(\nabla_{\partial_r}^k \theta\right)\right|^2 \\ &= \int_{S_{v, r}^2} r^4 \frac{1}{r^2} \left|\mathcal{P}_2\left(\nabla_{\partial_r}^k \theta\right)\right|^2 \leq \int_{S_{v, r}^2} \left|r^2\mathcal{P}_1\mathcal{P}_2\left(\nabla_{\partial_r}^k \theta\right)\right|^2 = \int_{S_{v, r}^2} \left|\partial_r^k f_\theta\right|^2, \end{aligned}$$

where in the last equation we used relation (241). \square

In the propositions that follow, we define the $L^2(S_{v, r}^2)$ -norm of any $S_{v, r}^2$ -tensor ξ as

$$\|\xi\|_{S_{v, r}^2}^2 := \int_{S_{v, r}^2} r^2 \sin \theta d\theta d\phi |\xi|^2.$$

Proposition 9.3 *Let (q^F, p) and $(\underline{q}^F, \underline{p})$ be a solutions to the coupled system (29), then for generic initial data, we have*

$$\|p\|_{S_{\tau, r}^2} \lesssim_M \frac{1}{\sqrt{r} \cdot \tau^{\frac{3}{4}}}, \quad \|q^F\|_{S_{\tau, r}^2} \lesssim_M \frac{1}{\sqrt{r} \cdot \tau^{\frac{3}{4}}} \quad (243)$$

Also, the following decay, non-decay and blow-up results hold asymptotically along the event horizon \mathcal{H}^+

• *Decay:*

$$\|p\|_{S^2_{\tau,M}} \lesssim_M \tau^{-\frac{3}{4}}, \quad \|q^F\|_{S^2_{\tau,M}} \lesssim_M \tau^{-\frac{3}{4}} \quad (244)$$

$$\|\nabla_{\partial_r} p\|_{S^2_{\tau,M}} \lesssim_M \tau^{-\frac{1}{4}}, \quad \|\nabla_{\partial_r} q^F\|_{S^2_{\tau,M}} \lesssim_M \tau^{-\frac{1}{4}} \quad (245)$$

• *Non-Decay:*

$$\|\nabla_{\partial_r}^2 p\|_{S^2_{\tau,M}} \xrightarrow{\tau \rightarrow \infty} \frac{1}{60M} \mathcal{H}_{\ell=2}[\Psi_2], \quad (246)$$

$$\|\nabla_{\partial_r}^2 q^F\|_{S^2_{\tau,M}} \xrightarrow{\tau \rightarrow \infty} \frac{1}{120M^2} \mathcal{H}_{\ell=2}[\Psi_2], \quad (247)$$

• *Blow-up:*

$$\|\nabla_{\partial_r}^k p\|_{S^2_{\tau,M}} = \frac{a_{2,k}^{(2)}}{60M} \mathcal{H}_{\ell=2}[\Psi_2] \cdot \tau^{k-2} + \mathcal{O}\left(\tau^{k-2-\frac{1}{4}}\right), \quad k \geq 2. \quad (248)$$

$$\|\nabla_{\partial_r}^k q^F\|_{S^2_{\tau,M}} = \frac{a_{2,k}^{(2)}}{120M^2} \mathcal{H}_{\ell=2}[\Psi_2] \cdot \tau^{k-2} + \mathcal{O}\left(\tau^{k-2-\frac{1}{4}}\right), \quad k \geq 2. \quad (249)$$

where $\mathcal{H}_{\ell=2}[\Psi_2] := \|H_2[\Psi_2]\|_{S^2_{\tau,M}}$, $\forall \tau \geq 1$, and $a_{2,k}^{(2)}$ is given in Theorem 9.3. The same estimates hold for (q^F, p) .

Proof We recall the definitions $\psi := r\mathcal{P}_1 p$ and $\phi := r^2\mathcal{P}_1\mathcal{P}_2 q^F$. Let us motivate the idea of showing decay by working with q^F only, and let $k \leq 1$, then using the elliptic identity (12) and relation (241) we write

$$\begin{aligned} \int_{S^2_{\tau,M}} |\nabla_{\partial_r}^k q^F|^2 &= \sum_{\ell \geq 2} \left(\int_{S^2_{\tau,M}} |\nabla_{\partial_r}^k q^F_{\ell}|^2 \right) \\ &= \sum_{\ell \geq 2} \left[\frac{2}{\ell(\ell+1)} \cdot \frac{1}{\ell(\ell+1)-2} \left(\int_{S^2_{\tau,M}} |\partial_r^k \phi_{\ell}|^2 \right) \right] \end{aligned} \quad (250)$$

However, in view of the decay estimates of Corollary 9.1 we obtain

$$\begin{aligned} \int_{S^2_{\tau,M}} |\nabla_{\partial_r}^k q^F|^2 &\leq \sum_{\ell \geq 2} \left(\frac{2}{\ell(\ell+1)} \cdot \frac{1}{\ell(\ell+1)-2} \right) (4\pi M^2) \|\partial_r^k \phi\|_{L^\infty(S^2_{\tau,M})}^2 \\ &\leq \begin{cases} C\tau^{-\frac{3}{2}}, & k = 0 \\ C\tau^{-\frac{1}{2}}, & k = 1 \end{cases} \end{aligned}$$

for a constant C that depends only on $M, \check{\Sigma}_0$.

Now, fix $k \geq 2$ and working with equation (250) we have that for any $\ell > k$ the corresponding term decays in view of Corollary 9.1, i.e.

$$\sum_{\ell > k} \left[\frac{2}{\ell(\ell+1)} \cdot \frac{1}{\ell(\ell+1)-2} \left(\int_{S_{\tau,M}^2} |\partial_r^k \phi_\ell|^2 \right) \right] \leq C \tau^{-\frac{1}{2}},$$

for a positive constant depending on $k, M, \tilde{\Sigma}_0$. On the other hand, for the remaining $k-1$ first terms of the sum we see from Corollary 9.1 that the $\ell = 2$ term is the dominant one and we write

$$\begin{aligned} & \sum_{2 \leq \ell \leq k} \left[\frac{2}{\ell(\ell+1)} \cdot \frac{1}{\ell(\ell+1)-2} \left(\int_{S_{\tau,M}^2} |\partial_r^k \phi_\ell|^2 \right) \right] \\ &= \frac{b_k}{12} \|H_2[\Psi_2]\|_{S_{\tau,M}^2}^2 \left(\tau^{k-2} \right)^2 + \mathcal{O} \left(\tau^{2k-4-\frac{1}{2}} \right) \end{aligned}$$

for

$$b_k := \left(\frac{a_{2,k}^{(2)}}{10M^2} \right)^2$$

Note for $k = 2$, we simply obtain a non-decaying term while the others decay in the expression above. We work similarly to obtain the decay, non-decay, and blow-up estimates of p . \square

10 The positive spin Teukolsky equations instability

With the results of the previous section in hand, we can now show estimates for the Teukolsky solutions in the exterior up to and including the event horizon \mathcal{H}^+ . In particular, in this section we are interested in the positive spin gauge invariant quantities $\alpha, \mathfrak{f}, \tilde{\beta}$ which satisfy the relating equation

$${}^{(F)}\rho \frac{1}{\underline{\kappa}_\star} \nabla_{3^\star} \left(r^3 \underline{\kappa}_\star^2 \alpha_\star \right) = - \left(2^{(F)}\rho^2 + 3\rho \right) r^3 \underline{\kappa}_\star \mathfrak{f}_\star - r^3 \underline{\kappa}_\star \mathcal{P}_2^\star \left(\tilde{\beta}_\star \right). \quad (251)$$

Note that ρ and ${}^{(F)}\rho$ are regular quantities on the horizon \mathcal{H}^+ , while $\alpha, \tilde{\beta}, \mathfrak{f}, \underline{\kappa}$ are not. However, the rescaled quantities $\alpha_\star = D^2 \cdot \alpha, \mathfrak{f}_\star = D \cdot \mathfrak{f}, \tilde{\beta}_\star = D \cdot \tilde{\beta}, \underline{\kappa}_\star = D^{-1} \underline{\kappa}$ extend regularly on \mathcal{H}^+ . With respect to the ingoing Eddington–Finkelstein coordinates $\{v, r\}$, the quantities involved in the relating equation (251) are given in the ERN spacetime by

$${}^{(F)}\rho = \frac{M}{r^2}, \quad \rho = -\frac{2M}{r^3} \sqrt{D}, \quad \underline{\kappa}_\star = -\frac{2}{r}, \quad e_3^\star = -\frac{\partial}{\partial r}.$$

In addition, we recall the transformation identities relating \mathfrak{f} , $\tilde{\beta}$ to the Regge–Wheeler solutions q^F , p respectively

$$\begin{aligned} q^F &= \frac{1}{\underline{k}_\star} \nabla_{3^\star} \left(r^3 \underline{k}_\star \cdot \mathfrak{f}_\star \right) = -r \nabla_{\partial_r} (r^2 \mathfrak{f}_\star) \\ p &= \frac{1}{\underline{k}_\star} \nabla_{3^\star} \left(r^3 \underline{k}_\star \cdot \tilde{\beta}_\star \right) = -r \nabla_{\partial_r} (r^4 \tilde{\beta}_\star). \end{aligned} \quad (252)$$

We first write the induced scalars we will be working with and after we obtain estimates for those, we pass them to the respected tensors using standard elliptic identities. Let

$$h_\alpha := r^2 \mathcal{P}_1 \mathcal{P}_2 \alpha_\star, \quad h_f := r^2 \mathcal{P}_1 \mathcal{P}_2 \mathfrak{f}_\star, \quad h_{\tilde{\beta}} := r^2 \mathcal{P}_1 \mathcal{P}_2 \tilde{\beta}_\star, \quad (253)$$

and after we project to the E_ℓ eigenspace and using the commutation formulae (6), i.e. $[\nabla_{\partial_r}, r \mathcal{P}_1] = [\nabla_{\partial_r}, r \mathcal{P}_2] = 0$, the relating equation (251) now reads

$$\begin{aligned} \partial_r (r \cdot h_{\alpha_\ell}) &= 2(1 - 4\sqrt{D})h_{\mathfrak{f}_\ell} - \frac{r^4}{2M} \Delta h_{\tilde{\beta}_\ell} - \frac{r^2}{M} h_{\tilde{\beta}_\ell} \\ &= 2(1 - 4\sqrt{D})h_{\mathfrak{f}_\ell} + r^2 \frac{\mu^2}{2M} \cdot h_{\tilde{\beta}_\ell}, \quad \mu^2 = (\ell - 1)(\ell + 2) \end{aligned} \quad (254)$$

Moreover, the transport equations (252) yield

$$\begin{aligned} -\frac{\phi}{r} &= \partial_r (r^2 \cdot h_{\mathfrak{f}}) \\ -\frac{\psi}{r} &= \partial_r (r^4 \cdot h_{\tilde{\beta}}) \end{aligned} \quad (255)$$

10.1 Energy and decay estimates for \mathfrak{f}_\star , $\tilde{\beta}_\star$, α_\star in the exterior

In this section, we use the transport equations to produce energy decay estimates that ultimately lead to pointwise control in the exterior up to and including the event horizon \mathcal{H}^+ . First, we present the following lemma.

Lemma 10.1 *Let f , F be two scalar functions satisfying*

$$\partial_u (r^m f) = -\frac{D(r)}{2r} F, \quad m \in \mathbb{N}, \quad (256)$$

with respect to the double null coordinate system $(u, v, \vartheta, \varphi)$ introduced in Sect. 2, then the following estimates hold near future null infinity

$$\int_{\check{\mathcal{O}}_{\tau_2}} r^{2m+k-2} f^2 + \int_{\check{I}_{\tau_1}^{\tau_2}} r^{2m+k-3} f^2 \leq C \int_{\check{\mathcal{O}}_{\tau_1}} r^{2m+k-2} f^2 + C \int_{\check{I}_{\tau_1}^{\tau_2}} r^{k-3} F^2. \quad (257)$$

for any $\tau_1 < \tau_2$ and $k > 0$, where $C > 0$ depends on M, k and R of Sect. 8.

Proof Multiplying (256) with $2r^m \cdot f$ we obtain

$$\begin{aligned} 2r^m f \partial_u(r^m f) &= -\frac{D}{r} r^m f \cdot F \\ \Rightarrow \partial_u(r^{2m} f^2) &= -\frac{D}{r} r^m f \cdot F. \end{aligned}$$

To obtain the best possible decay in r we multiply the above relation with a factor of r^q which yields

$$\begin{aligned} \partial_u(r^{2m+q} f^2) + q \frac{D}{2} r^{2m+q-1} f^2 &= -\frac{D}{r} r^{m+q} f \cdot F \\ &= -D r^{q-1} \cdot (r^m f) \cdot F \\ &\leq \varepsilon \frac{D}{2} r^{q-1} r^{2m} f^2 + \frac{D}{2\varepsilon} r^{q-1} F^2. \end{aligned}$$

Then, for $\varepsilon = \frac{q+2}{2} > 0$ we obtain

$$\partial_u(r^{2m+q} f^2) + (q-2) \frac{D}{4} r^{2m+q-1} f^2 \leq \frac{r^{q-1}}{q+2} D F^2.$$

Now, in view of $\operatorname{div} \left(r^{2m+q} f^2 \frac{\partial}{\partial u} \right) = -\frac{\sqrt{D}}{r}$ we may rewrite the above estimate as

$$\begin{aligned} \operatorname{div} \left(r^{2m+q} f^2 \frac{\partial}{\partial u} \right) + r^{2m+q} \frac{\sqrt{D}}{r} f^2 + (q-2) \frac{D}{4} r^{2m+q-1} f^2 &\leq \frac{r^{q-1}}{q+2} D F^2 \\ \Rightarrow \operatorname{div} \left(r^{2m+q} f^2 \frac{\partial}{\partial u} \right) + r^{2m+q-1} \sqrt{D} \left(1 + \frac{(q-2)\sqrt{D}}{4} \right) f^2 &\leq \frac{r^{q-1}}{q+2} D F^2. \end{aligned} \quad (258)$$

Thus, integrating in $\check{I}_{\tau_1}^{\tau_2}$ and applying the divergence theorem readily yields

$$\begin{aligned} \int_{\check{O}_{\tau_2}} r^{2m+q} f^2 + \int_{\check{I}_{\tau_1}^{\tau_2}} r^{2m+q-1} (4 + (q-2)\sqrt{D}) f^2 &\leq C \int_{\check{O}_{\tau_1}} r^{2m+q} f^2 \\ &+ C \int_{\check{I}_{\tau_1}^{\tau_2}} \frac{r^{q-1}}{q+2} F^2 \end{aligned}$$

for a positive constant C depending on R . In particular, in view of $q+2 > 0$, we can consider $q = k-2$ for $k > 0$ and conclude the estimate. \square

It is clear that using the transport equation (256), we also control $\partial_u f$ in terms of F and f . In addition, by taking a ∂_v -derivative of relation (256) we obtain

$$\partial_u(r^m \partial_v f) = -m \cdot \partial_u \left(\frac{D}{2r} \right) r^m f + \left(m \cdot \left(\frac{D}{2r} \right)^2 - \partial_v \left(\frac{D}{2r} \right) \right) F - \frac{D}{2r} \partial_v(F)$$

Thus, using Lemma 10.1 for $\partial_v f$ with $k+2$ instead of k , and using Cauchy Schwartz yields

$$\begin{aligned} \int_{\check{\mathcal{O}}_{\tau_2}} r^{2m+k} (\partial_v f)^2 + \int_{\check{I}_{\tau_1}^{\tau_2}} r^{2m+k-1} (\partial_v f)^2 &\leq C \int_{\check{\mathcal{O}}_{\tau_1}} r^{2m+k} (\partial_v f)^2 \\ &\quad + C \int_{\check{I}_{\tau_1}^{\tau_2}} r^{k+2m-3} f^2 + r^{k-3} F^2 \\ &\quad + r^{k-1} (\partial_v F)^2 \\ \Rightarrow \int_{\check{\mathcal{O}}_{\tau_2}} r^{2m+k} (\partial_v f)^2 + \int_{\check{I}_{\tau_1}^{\tau_2}} r^{2m+k-1} (\partial_v f)^2 &\leq C \int_{\check{\mathcal{O}}_{\tau_1}} r^{2m+k} (\partial_v f)^2 + r^{2m+k-2} f^2 \\ &\quad + C \int_{\check{I}_{\tau_1}^{\tau_2}} r^{k-3} F^2 + r^{k-1} (\partial_v F)^2. \end{aligned} \quad (259)$$

Finally, in view of $|\Psi f| = g^{AB} \Psi_A f \Psi_B f = \frac{1}{r^2} (\partial_\vartheta f)^2 + \frac{1}{r^2 \sin^2 \vartheta} (\partial_\varphi f)^2$, we can obtain estimates for the angular derivatives of f in terms of F using (256) as

$$\begin{aligned} \partial_u \left(r^{m+1} \frac{\partial_\vartheta f}{r} \right) &= -\frac{D}{2r} \partial_\vartheta F \\ \partial_u \left(r^{m+1} \frac{\partial_\varphi f}{r \sin \vartheta} \right) &= -\frac{D}{2r \sin \vartheta} \partial_\varphi F \end{aligned}$$

Using the above relations, we apply Lemma 10.1 twice to obtain

$$\int_{\check{\mathcal{O}}_{\tau_2}} r^{2m+k} |\Psi f|^2 + \int_{\check{I}_{\tau_1}^{\tau_2}} r^{2m+k-1} |\Psi f|^2 \leq C \int_{\check{\mathcal{O}}_{\tau_1}} r^{2m+k} |\Psi f|^2 + C \int_{\check{I}_{\tau_1}^{\tau_2}} r^{k-1} |\Psi F|^2. \quad (260)$$

With the above results in mind, we proceed to producing local integrated energy estimates for $h_{\mathfrak{f}}$, $h_{\tilde{\beta}}$ and h_α . We break the analysis to three distinct regions which require different handling.

10.1.1 Energy estimates near null infinity $\check{I}_{\tau_1}^{\tau_2}$

Using Lemma 10.1 we obtain estimates for $h_{\mathfrak{f}}$, $h_{\tilde{\beta}_\ell}$ which satisfy (255), and with respect to the double null coordinate system they read

$$\partial_u (r^2 h_{\mathfrak{f}}) = \frac{D(r)}{2r} \phi \quad (261)$$

$$\partial_u (r^4 h_{\tilde{\beta}_\ell}) = \frac{D(r)}{2r} \psi \quad (262)$$

In order to obtain bounds with respect to initial data of $\Psi_i^{(\ell)}$, we will be working with the spherical harmonics decomposition of the scalars above. In particular, using the results of the previous paragraph we arrive at

Corollary 10.1 *For any $0 < p < 2$ and $\tau_1 < \tau_2$, there is a positive constant C depending on $\check{\Sigma}_{\tau_1}$, M such that*

$$\begin{aligned} & \int_{\check{\mathcal{O}}_{\tau_2}} r^{p+2} (h_{\check{f}_\ell})^2 + r^{p+4} (\partial_v h_{\check{f}_\ell})^2 + r^{p+4} |\check{\nabla} h_{\check{f}_\ell}|^2 \\ & + \int_{\check{I}_{\tau_1}^{\tau_2}} r^{p+1} h_{\check{f}_\ell}^2 + r^{p+3} (\partial_v h_{\check{f}_\ell})^2 + r^{p+3} |\check{\nabla} h_{\check{f}_\ell}|^2 \\ & \leq C \int_{\check{\mathcal{O}}_{\tau_1}} \left(r^{p+2} h_{\check{f}_\ell}^2 + r^{p+4} (\partial_v h_{\check{f}_\ell})^2 + r^{p+4} |\check{\nabla} h_{\check{f}_\ell}|^2 + r^p \frac{(\partial_v \Phi_i)^2}{r^2} \right) \\ & + C \sum_{i=1}^2 \int_{\check{\Sigma}_{\tau_1}} J_\mu^T [\Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu \end{aligned} \quad (263)$$

and

$$\begin{aligned} & \int_{\check{\mathcal{O}}_{\tau_2}} r^{p+6} h_{\check{\beta}_\ell}^2 + r^{p+8} (\partial_v h_{\check{\beta}_\ell})^2 + r^{p+8} |\check{\nabla} h_{\check{\beta}_\ell}|^2 \\ & + \int_{\check{I}_{\tau_1}^{\tau_2}} r^{p+5} h_{\check{\beta}_\ell}^2 + r^{p+7} (\partial_v h_{\check{\beta}_\ell})^2 + r^{p+7} |\check{\nabla} h_{\check{\beta}_\ell}|^2 \\ & \leq C \int_{\check{\mathcal{O}}_{\tau_1}} \left(r^{p+6} h_{\check{\beta}_\ell}^2 + r^{p+8} (\partial_v h_{\check{\beta}_\ell})^2 + r^{p+8} |\check{\nabla} h_{\check{\beta}_\ell}|^2 + r^p \frac{(\partial_v \Phi_i)^2}{r^2} \right) \\ & + C \sum_{i=1}^2 \int_{\check{\Sigma}_{\tau_1}} J_\mu^T [\Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu \end{aligned} \quad (264)$$

Proof By Lemma 10.1 and the calculations below it we arrive at

$$\begin{aligned} & \int_{\check{\mathcal{O}}_{\tau_2}} r^{p+2} (h_{\check{f}_\ell})^2 + r^{p+4} (\partial_v h_{\check{f}_\ell})^2 + r^{p+4} |\check{\nabla} h_{\check{f}_\ell}|^2 \\ & + \int_{\check{I}_{\tau_1}^{\tau_2}} r^{p+1} h_{\check{f}_\ell}^2 + r^{p+3} (\partial_v h_{\check{f}_\ell})^2 + r^{p+3} |\check{\nabla} h_{\check{f}_\ell}|^2 \\ & \leq C \int_{\check{\mathcal{O}}_{\tau_1}} r^{p+2} h_{\check{f}_\ell}^2 + r^{p+4} (\partial_v h_{\check{f}_\ell})^2 + r^{p+4} |\check{\nabla} h_{\check{f}_\ell}|^2 \\ & + C \int_{\check{I}_{\tau_1}^{\tau_2}} r^{p-3} \phi_\ell^2 + r^{p-1} (\partial_v \phi_\ell)^2 + r^{p-1} |\check{\nabla} \phi_\ell|^2 \end{aligned}$$

and we conclude the estimate using Proposition 8.1. Similarly we show it for $h_{\check{\beta}_\ell}$. \square

10.1.2 Energy estimates in the intermediate trapping region

For any $\tau_1 < \tau_2$, let us denote $\mathcal{D}(\tau_1, \tau_2) := R(\tau_1, \tau_2) \cap \{r_c \leq r \leq R\}$, for $r_c \in (M, 2M)$ and $R > 2M$. To obtain local integrated energy estimates in $\mathcal{D}(\tau_1, \tau_2)$ we no longer need to pay attention in the weights in r , however, we do have to mind for the trapping manifesting at the photon sphere $\{r = 2M\}$ for the Morawetz estimates. Our goal is to produce estimates without loss of derivatives, at the level of initial data, whenever is possible.

Denote $f := r^2 h_{\tilde{f}}$ and $b := r^4 h_{\tilde{b}}$, then, in Boyer—Lindquist coordinates (t, r^*, θ, ϕ) , the projection of (255) on a fixed spherical harmonic is

$$\begin{aligned} -\partial_t f_\ell + \partial_{r^*} f_\ell &= -\frac{D}{r} \phi_\ell \\ -\partial_t b_\ell + \partial_{r^*} b_\ell &= -\frac{D}{r} \psi_\ell \end{aligned} \quad (265)$$

Using Lemma 10.1 and the Morawetz estimate of Theorem 4.1 for Ψ_i , we obtain

$$\begin{aligned} \int_{\check{\Sigma}_{\tau_2} \cap \mathcal{D}} \frac{1}{r^2} f_\ell^2 + \int_{\mathcal{D}} \frac{1}{r^2} f_\ell^2 &\leq C \int_{\check{\Sigma}_{\tau_1} \cap \mathcal{D}} \frac{1}{r^2} f_\ell^2 + C \int_{\mathcal{D}} \frac{1}{r^2} \phi_\ell^2 + C \int_{\mathcal{D} \cap \{r=R\}} \frac{1}{r^2} f_\ell^2 \\ &\leq C \int_{\check{\Sigma}_{\tau_1} \cap \mathcal{D}} \frac{1}{r^2} f_\ell^2 + C \sum_{i=1}^2 \int_{\check{\Sigma}_{\tau_1}} J_\mu^T[\Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu + C \int_{\mathcal{D} \cap \{r=R\}} \frac{1}{r^2} f_\ell^2, \end{aligned} \quad (266)$$

while commuting relation (265) with ∂_{r^*} and repeating the lemma for $\partial_{r^*} f_\ell$ yields

$$\begin{aligned} \int_{\check{\Sigma}_{\tau_2} \cap \mathcal{D}} (\partial_{r^*} f_\ell)^2 + \int_{\mathcal{D}} (\partial_{r^*} f_\ell)^2 &\leq C \int_{\check{\Sigma}_{\tau_1} \cap \mathcal{D}} (\partial_{r^*} f_\ell)^2 + C \sum_{i=1}^2 \int_{\check{\Sigma}_{\tau_1}} J_\mu^T[\Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu \\ &\quad + C \int_{\mathcal{D} \cap \{r=R\}} (\partial_{r^*} f_\ell)^2. \end{aligned} \quad (267)$$

Similarly, the above estimates are shown for $h_{\tilde{b}_\ell}$. Note, there is no loss of derivative here since the Morawetz estimates are not degenerate with respect to ∂_{r^*} , whereas there is trapping for the angular and the ∂_t derivative.

Moving on, to control the ∂_t -derivative we simply use relation (265) to write

$$\begin{aligned} \partial_t f_\ell &= \partial_{r^*} f_\ell + \frac{D}{r} \phi_\ell \\ \partial_t b_\ell &= \partial_{r^*} b_\ell + \frac{D}{r} \psi_\ell \end{aligned}$$

and by Cauchy–Schwarz we obtain

$$\begin{aligned} \int_{\check{\Sigma}_{\tau_2} \cap \mathcal{D}} (\partial_t f_\ell)^2 + \int_{\mathcal{D}} (\partial_t f_\ell)^2 &\leq C \int_{\check{\Sigma}_{\tau_1} \cap \mathcal{D}} (\partial_{r^*} f_\ell)^2 \\ &\quad + C \sum_{i=1}^2 \int_{\check{\Sigma}_{\tau_1}} J_\mu^T[\Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu + C \int_{\mathcal{D} \cap \{r=R\}} (\partial_{r^*} f_\ell)^2 \\ \int_{\check{\Sigma}_{\tau_2} \cap \mathcal{D}} (\partial_t b_\ell)^2 + \int_{\mathcal{D}} (\partial_t b_\ell)^2 &\leq C \int_{\check{\Sigma}_{\tau_1} \cap \mathcal{D}} (\partial_{r^*} b_\ell)^2 \\ &\quad + C \sum_{i=1}^2 \int_{\check{\Sigma}_{\tau_1}} J_\mu^T[\Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu + C \int_{\mathcal{D} \cap \{r=R\}} (\partial_{r^*} b_\ell)^2. \end{aligned} \quad (268)$$

Controlling the angular terms. To control the angular derivatives of f and b we use the Teukolsky equations they satisfy and we isolate the elliptic operator within. In particular, Corollary A.2 in [32] states

$$\Delta_2 \psi_3 = \frac{1}{r} \underline{\kappa} \nabla_4 \mathfrak{q}^F + \frac{1}{r} \left(\frac{1}{2} \kappa \underline{\kappa} \right) \mathfrak{q}^F + (-\kappa \underline{\kappa} - 4\rho) \psi_3 + {}^{(F)}\rho \left(-\frac{1}{r} \psi_1 - \frac{1}{2} \psi_0 \right) \quad (269)$$

where $\psi_0 = r^2 \underline{\kappa}^2 \alpha$, $\psi_1 = \frac{1}{\underline{\kappa}} \nabla_3 (r^3 \underline{\kappa}^2 \cdot \alpha)$ and $\psi_3 = r^2 \underline{\kappa} \cdot \mathfrak{f}$. In addition, using relation (237) of [32], and Corollary A.2. of [28], we obtain the following equation for the laplacian of $\psi_5 := r^4 \underline{\kappa} \tilde{\beta}$

$$\begin{aligned} \Delta_1 \psi_5 &= \frac{1}{r} \underline{\kappa} \nabla_4 \mathbf{p} + \frac{1}{r} \left(\frac{1}{2} \kappa \underline{\kappa} \right) \mathbf{p} + \left(-\frac{1}{4} \kappa \underline{\kappa} + 5 {}^{(F)}\rho^2 - \rho \right) \psi_5 \\ &\quad + 2r^4 \underline{\kappa} {}^{(F)}\rho^2 \left[4d\mathfrak{f}v\mathfrak{f} - \underline{\kappa} \left(\nabla_4 {}^{(F)}\beta + \left(\frac{3}{2} \kappa + 2\omega \right) {}^{(F)}\beta - 2 {}^{(F)}\rho \xi \right) \right]. \end{aligned} \quad (270)$$

By relation (128) of [28]

$$\begin{aligned} \nabla_4 \tilde{\beta} &= -(3\kappa + 2\omega) \tilde{\beta} + 2 {}^{(F)}\rho d\mathfrak{f}v\alpha + (2 {}^{(F)}\rho^2 - 3\rho) \\ &\quad \left(\nabla_4 {}^{(F)}\beta + \left(\frac{3}{2} \kappa + 2\omega \right) {}^{(F)}\beta - 2 {}^{(F)}\rho \xi \right) \end{aligned}$$

we now write (270) in the form of

$$\begin{aligned} \Delta_1 \psi_5 &= \frac{1}{r} \underline{\kappa} \nabla_4 \mathbf{p} + \frac{1}{r} \left(\frac{1}{2} \kappa \underline{\kappa} \right) \mathbf{p} + \left(-\frac{1}{4} \kappa \underline{\kappa} + 5 {}^{(F)}\rho^2 - \rho \right) \psi_5 \\ &\quad + 2r^4 \underline{\kappa} {}^{(F)}\rho^2 \left[4d\mathfrak{f}v\mathfrak{f} - \frac{\underline{\kappa}}{(2 {}^{(F)}\rho^2 - 3\rho)} \left(\nabla_4 \tilde{\beta} + (3\kappa + 2\omega) \tilde{\beta} - 2 {}^{(F)}\rho d\mathfrak{f}v\alpha \right) \right]. \end{aligned} \quad (271)$$

In order to control the angular derivative of f_ℓ in the trapping region we use both relations (269) and (271). However, first we need estimates for the angular derivative of b_ℓ which is already controlled as a consequence of the Lemma below.

Lemma 10.2 *The induced scalar $\psi = r\mathcal{D}_1 p$ satisfies the following local integrated estimates for any $\tau_1 < \tau_2$*

$$\int_{\check{\Sigma}_{\tau_2}} |\nabla \psi_\ell|^2 + \int_{\mathcal{R}(\tau_1, \tau_2)} |\nabla \psi_\ell|^2 \leq C \sum_{i=1}^2 \int_{\check{\Sigma}_{\tau_1}} J_\mu^T[\Psi_i^{(\ell)}] n_{\check{\Sigma}_{\tau_1}}^\mu \quad (272)$$

for a constant $C = C(M, \check{\Sigma}_{\tau_1})$.

Proof We recall the transformation in Corollary 3.1 relating ψ_ℓ to the decoupled quantities $\Psi_i^{(\ell)}$

$$M \cdot \psi_\ell = \frac{2}{\mu} \cdot \frac{\Psi_1^{(\ell)}}{(2\ell + 1)} - \frac{2}{(\ell + 2)} \frac{\Psi_2^{(\ell)}}{(2\ell + 1)} \quad (273)$$

Applying Cauchy-Schwarz and divergence theorem on the sphere we have

$$\begin{aligned} \int_{S_{t,r}^2} |\nabla \psi_\ell|^2 &= \int_{S_{t,r}^2} \frac{\ell(\ell + 1)}{r^2} \psi_\ell^2 \\ &\leq C \int_{S_{t,r}^2} \frac{\ell(\ell + 1)}{\mu^2} \frac{1}{r^2} \left(\frac{\Psi_1^{(\ell)}}{(2\ell + 1)} \right)^2 \\ &\quad + \frac{\ell(\ell + 1)}{(\ell + 2)^2} \frac{1}{r^2} \left(\frac{\Psi_2^{(\ell)}}{(2\ell + 1)} \right)^2 \\ &\leq C \sum_{i=1}^2 \int_{S_{t,r}^2} \frac{1}{r^2} \left(\Psi_i^{(\ell)} \right)^2. \end{aligned}$$

where $\mu^2 = (\ell + 2)(\ell - 1)$. Thus, integrating with respect to t and r^\star and using Morawetz estimates we obtain the estimate of the assumption. \square

Corollary 10.2 *The following local integrated estimates hold for b_ℓ satisfying (265)*

$$\begin{aligned} \int_{\check{\Sigma}_{\tau_2} \cap \mathcal{D}} |\nabla b_\ell|^2 + \int_{\mathcal{D}} |\nabla b_\ell|^2 &\leq C \int_{\check{\Sigma}_{\tau_1} \cap \mathcal{D}} |\nabla b_\ell|^2 + C \sum_{i=1}^2 \int_{\check{\Sigma}_{\tau_1}} J_\mu^T[\Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu \\ &\quad + C \int_{\mathcal{D} \cap \{r=R\}} |\nabla b_\ell|^2 \end{aligned} \quad (274)$$

Proof We simply commute transport equation (265) with the angular derivatives and we apply Lemma 10.1 and 10.2. \square

The ∇f_ℓ term. We begin by deriving the elliptic equations for the scalars f_ℓ and b_ℓ using their corresponding tensorial equations (269),(271). First, to obtain the related scalar equation for (269) we also need the relating equation (251)

$${}^{(F)}\rho\psi_1 = -({}^{(F)}\rho^2 + 3\rho)r^3\kappa\mathfrak{f} - r^3\kappa\mathfrak{D}_2^*(\tilde{\beta})$$

Then, applying the operator $r^2\mathfrak{D}_1\mathfrak{D}_2$ to (269) and using standard elliptic identities, we obtain

$$\Delta f_\ell = \frac{1}{r}e_{4*}\phi_\ell + \frac{D}{r^2}\phi_\ell - \frac{3M}{r^3}(1 + \sqrt{D})f_\ell - \frac{1}{2r}\Delta b_\ell - \frac{1}{r^3}b_\ell + \frac{M}{r}h_\alpha. \quad (275)$$

Quite similarly, we work with equation (271) and we obtain

$$\Delta b_\ell = \frac{1}{r}e_{4*}\psi_\ell + \frac{1}{2r^3}\psi_\ell + B_0(r)b_\ell + B_1(r)e_{4*}(b_\ell) + B_2(r)f_\ell + B_3(r)h_\alpha \quad (276)$$

for some functions of coordinate r alone, $B_i(r)$, $i \in \{0, 3\}$. The purpose of the later equation is not to control ∇b_ℓ , which was already controlled earlier, but to express h_α of (275) in terms of controllable terms. Specifically, using the equation above, we substitute h_α in (275) to obtain

$$\Delta f_\ell = \frac{1}{r}e_{4*}(\phi_\ell) + F_0(r)e_{4*}(\psi_\ell) + F_1(r)\Delta b_\ell + \mathcal{L}[f_\ell, b_\ell, e_{4*}(b_\ell), \phi_\ell, \psi_\ell] \quad (277)$$

where $\mathcal{L}[* , \dots , *]$ is a linear expression of its arguments with coefficients functions of r alone.

We are ready to control the angular derivative of f_ℓ in the trapping region without any loss of derivatives. Note that in (t, r_*) -coordinates, $e_{4*} = \partial_t + \partial_{r^*}$, and $\partial_t\phi_\ell, \partial_t\psi_\ell$ are trapped in that region. Hence, after we multiplying (277) with f_ℓ , we differentiate by parts to transfer the ∂_t -derivative to f_ℓ which we already control. Specifically,

$$\begin{aligned} \Delta f_\ell \cdot f_\ell &= \frac{1}{r}\partial_t(\phi_\ell)f_\ell + F_0(r)\partial_t(\psi_\ell)f_\ell \\ &\quad + F_1(r)\Delta b_\ell \cdot f_\ell + f_\ell \cdot \mathcal{L}[f_\ell, b_\ell, e_{4*}(b_\ell), \partial_{r^*}\phi, \partial_{r^*}\psi, \phi_\ell, \psi_\ell] \\ &= \frac{1}{r}\partial_t(\phi_\ell \cdot f_\ell + F_0(r)\psi_\ell \cdot f_\ell) + F_1(r)\Delta b_\ell \cdot f_\ell \\ &\quad + f_\ell \cdot \mathcal{L}[f_\ell, b_\ell, \partial_t(f_\ell), \partial_t(b_\ell), \partial_{r^*}(f_\ell), \partial_{r^*}(b_\ell), \partial_{r^*}\phi, \partial_{r^*}\psi, \phi_\ell, \psi_\ell] \end{aligned} \quad (278)$$

An application of the divergence theorem for the current $P_\mu = \frac{1}{r}(\phi_\ell \cdot f_\ell + F_0(r)\psi_\ell \cdot f_\ell) \cdot (\partial_t)_\mu$, in the $\mathcal{D}(\tau_1, \tau_2)$ region yields

$$\begin{aligned} \int_{\partial\mathcal{D}} P_\mu \cdot n_\mu^\partial \mathcal{D} &= \int_{\mathcal{D}} \text{Div} P_\mu = \int_{\mathcal{D}} \frac{1}{r}\partial_t(\phi_\ell \cdot f_\ell + F_0(r)\psi_\ell \cdot f_\ell) \\ &\quad + \frac{1}{r}(\phi_\ell \cdot f_\ell + F_0(r)\psi_\ell \cdot f_\ell) \cdot \text{div}(\partial_t) \end{aligned}$$

Thus, using equation (278), the above relation yields

$$\begin{aligned} & \int_{\mathcal{D}} \mathbb{A} f_\ell \cdot f_\ell - F_1(r) \mathbb{A} b_\ell \cdot f_\ell + f_\ell \cdot \mathcal{L}[f_\ell, b_\ell, \partial_t(f_\ell), \partial_t(b_\ell), \partial_{r^*}(f_\ell), \partial_{r^*}(b_\ell), \\ & \quad \partial_{r^*}\phi, \partial_{r^*}\psi, \phi_\ell, \psi_\ell] \\ &= \int_{\check{\Sigma}_{\tau_1} \cap \mathcal{D}} P_\mu \cdot n_{\check{\Sigma}_{\tau_1}}^\mu - \int_{\check{\Sigma}_{\tau_2} \cap \mathcal{D}} P_\mu \cdot n_{\check{\Sigma}_{\tau_2}}^\mu \end{aligned}$$

Note, there are no timelike boundary terms since $\partial_r \cdot (\nabla r) = 0$. Hence, using Cauchy–Schwarz, divergence theorem on the sphere, and the local integrated estimates obtained earlier we arrive at

$$\begin{aligned} \int_{\mathcal{D}} |\nabla f_\ell|^2 &\leq C \int_{\check{\Sigma}_{\tau_1}} \frac{1}{r^2} f_\ell^2 + \frac{1}{r^2} b_\ell^2 + (\partial_{r^*} f_\ell)^2 + (\partial_{r^*} b_\ell)^2 + |\nabla b_\ell|^2 \\ &\quad + C \sum_{i=1}^2 \int_{\check{\Sigma}_{\tau_1}} J_\mu^T[\Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu + \int_{\mathcal{D}} \frac{1}{\epsilon} |\nabla b_\ell|^2 + \epsilon |\nabla f_\ell|^2 \end{aligned}$$

for any $\epsilon > 0$ and $C = C(M, \check{\Sigma}_{\tau_1})$. Integrating also equation (278) along $\check{\Sigma}_{\tau_2}$ and choosing $\epsilon > 0$ small enough yields

$$\begin{aligned} \int_{\check{\Sigma}_{\tau_2} \cap \mathcal{D}} |\nabla f_\ell|^2 + \int_{\mathcal{D}} |\nabla f_\ell|^2 &\leq C \int_{\check{\Sigma}_{\tau_1}} \frac{1}{r^2} f_\ell^2 + \frac{1}{r^2} b_\ell^2 + (\partial_{r^*} f_\ell)^2 + (\partial_{r^*} b_\ell)^2 + |\nabla b_\ell|^2 \\ &\quad + C \sum_{i=1}^2 \int_{\check{\Sigma}_{\tau_1}} J_\mu^T[\Psi_i] n_{\check{\Sigma}_{\tau_1}}^\mu + C \int_{\mathcal{D} \cap \{r=R\}} |\nabla b_\ell|^2. \end{aligned} \quad (279)$$

Remark 10.1 Observe that all estimates in the trapping region above have a timelike boundary term on the right hand side, i.e. $\mathcal{D} \cap \{r = R\}$. These terms will be controlled by the right hand side of Corollary 10.1 when we combine all estimates together, see Proposition 10.1.

10.1.3 Energy estimates near and including the event horizon \mathcal{H}^+

In this paragraph, we use the $(v, r, \vartheta, \varphi)$ coordinate system which is regular on the horizon \mathcal{H}^+ . Using the transport equations (255) we obtain the corresponding Lemma 10.1, where all scalars involved are regular on the horizon \mathcal{H}^+ . Simply by repeating the ideas above we obtain the following estimates in the region $\mathcal{A}_c := \mathcal{R}(0, \tau) \cap$

$$\{M \leq r \leq r_c\}$$

$$\begin{aligned} & \int_{\check{\Sigma}_{\tau_2} \cap \mathcal{A}_c} \frac{1}{r^2} h_{f_\ell}^2 + (\partial_v h_{f_\ell})^2 + (\partial_r h_{f_\ell})^2 \\ & \quad + |\nabla h_{f_\ell}|^2 + \int_{\mathcal{A}_c} \frac{1}{r^2} h_{f_\ell}^2 + (\partial_v h_{f_\ell})^2 + (\partial_r h_{f_\ell})^2 + |\nabla h_{f_\ell}|^2 \\ & \leq C \int_{\check{\Sigma}_{\tau_1} \cap \mathcal{A}_c} \left(h_{f_\ell}^2 + (\partial_v h_{f_\ell})^2 + |\nabla h_{f_\ell}|^2 + \frac{1}{r^2} \phi_\ell^2 \right) \\ & \quad + C \int_{\mathcal{A}_c} \frac{1}{r^2} \phi_\ell^2 + (\partial_v \phi_\ell)^2 + |\nabla \phi_\ell|^2 \\ & \quad + C \int_{\mathcal{A}_c \cap \{r=R\}} \frac{1}{r^2} h_{f_\ell}^2 + (\partial_v h_{f_\ell})^2 + |\nabla h_{f_\ell}|^2. \end{aligned}$$

Then, using Proposition 4.4 and Theorem 4.3 we obtain

$$\begin{aligned} & \int_{\check{\Sigma}_{\tau_2} \cap \mathcal{A}_c} \frac{1}{r^2} h_{f_\ell}^2 + (\partial_v h_{f_\ell})^2 + (\partial_r h_{f_\ell})^2 + |\nabla h_{f_\ell}|^2 \\ & \quad + \int_{\mathcal{A}_c} \frac{1}{r^2} h_{f_\ell}^2 + (\partial_v h_{f_\ell})^2 + (\partial_r h_{f_\ell})^2 + |\nabla h_{f_\ell}|^2 \\ & \leq C \int_{\check{\Sigma}_{\tau_1} \cap \mathcal{A}_c} \left(\frac{1}{r^2} h_{f_\ell}^2 + (\partial_v h_{f_\ell})^2 + |\nabla h_{f_\ell}|^2 \right) + C \sum_{i=1}^2 \int_{\check{\Sigma}_{\tau_1}} J_\mu^N[\Psi_i] n_\Sigma^\mu \\ & \quad + C \int_{\mathcal{A}_c \cap \{r=R\}} \frac{1}{r^2} h_{f_\ell}^2 + (\partial_v h_{f_\ell})^2 + |\nabla h_{f_\ell}|^2 \end{aligned} \quad (280)$$

Combining all estimates above. Now, in order to write all the above estimates more concisely we introduce the following energy quantities. For any scalar h regular up to and including the horizon \mathcal{H}^+ , we consider

$$E_{\geq R}^p[h](\tau) := \int_{\check{\mathcal{O}}_\tau} r^{p+1} h^2 + r^{p+3} (\partial_v h)^2 + r^{p+3} |\nabla h|^2, \quad p > 0 \quad (281)$$

$$E_{c,R}[h](\tau) := \int_{\check{\Sigma}_\tau \cap \mathcal{D}} \frac{1}{r^2} h^2 + (\partial_t h)^2 + (\partial_{r^*} h)^2 + |\nabla h|^2 \quad (282)$$

$$E_{\mathcal{A}_c}[h](\tau) := \int_{\check{\Sigma}_\tau \cap \mathcal{A}_c} \frac{1}{r^2} h^2 + (\partial_v h)^2 + (\partial_r h)^2 + |\nabla h|^2 \quad (283)$$

where in each region we use the associated coordinate system as done earlier. In addition, we also denote by

$$E^p[h](\tau) := E_{\geq R}^p[h](\tau) + E_{c,R}[h](\tau) + E_{\mathcal{A}_c}[h](\tau). \quad (284)$$

Proposition 10.1 Let $\mathfrak{f}, \tilde{\beta}$ be solutions to the Teukolsky system and consider the induced scalars $h_{\mathfrak{f}} = r^2 \mathcal{P}_1 \mathcal{P}_2 \mathfrak{f}_\star$, $h_{\tilde{\beta}_\ell} = r^2 \mathcal{P}_1 \mathcal{P}_2 \tilde{\beta}_\star$, then

$$E^{1-\delta}[h_{\mathfrak{f}_\ell}](\tau) \leq C \tilde{E}^{3-\delta}[h_{\mathfrak{f}_\ell}](0) \frac{1}{\tau^2}, \quad \forall \ell \geq 2, \quad (285)$$

where $\tilde{E}^{3-\delta}[h_{\mathfrak{f}_\ell}](0)$ is the right-hand side of (289) at $\check{\Sigma}_0$. Similarly, we have

$$E^{5-\delta}[h_{\tilde{\beta}_\ell}](\tau) \leq C \tilde{E}^{7-\delta}[h_{\tilde{\beta}_\ell}](0) \frac{1}{\tau^2}, \quad \forall \ell \geq 1. \quad (286)$$

Proof Let $0 < \delta \ll 1$, then using Corollary 10.1 for $p = 1 - \delta$, relation (280), the estimates of Subsection 10.1.2, and coarea formula, we obtain for any $\tau_1 < \tau_2$

$$\begin{aligned} \int_{\tau_1}^{\tau_2} E^{1-\delta}[h_{\mathfrak{f}_\ell}](\tilde{\tau}) d\tilde{\tau} &\leq C E^{2-\delta}[h_{\mathfrak{f}_\ell}](\tau_1) + C \sum_{i=1}^2 \int_{\check{\Sigma}_{\tau_1}} J_\mu^N[\Psi_i] n_\Sigma^\mu \\ &\quad + C \sum_{i=1}^2 \int_{\check{\Sigma}_{\tau_1}} J_\mu^N[T\Psi_i] n_\Sigma^\mu + C \int_{\check{\mathcal{O}}_{\tau_1}} r^{1-\delta} \frac{(\partial_v \Phi_i)^2}{r^2}. \end{aligned} \quad (287)$$

Let us denote by $\tilde{E}^{2-\delta}[h_{\mathfrak{f}_\ell}](\tau)$ the right-hand side of the above relation with τ instead τ_1 . Then, we also need an estimate for

$$\int_{\tau_1}^{\tau_2} \tilde{E}^{2-\delta}[h_{\mathfrak{f}_\ell}](\tilde{\tau}) d\tilde{\tau}. \quad (288)$$

However, applying the same steps as above along with the estimates of Proposition 8.1 for $p = 2 - \delta$, and using (200) we obtain

$$\begin{aligned} \int_{\tau_1}^{\tau_2} \tilde{E}^{2-\delta}[h_{\mathfrak{f}_\ell}](\tilde{\tau}) d\tilde{\tau} &\leq C E^{3-\delta}[h_{\mathfrak{f}_\ell}](\tau_1) + C \sum_{i=1}^2 \int_{\check{\Sigma}_{\tau_1}} J_\mu^N[\Psi_i] n_\Sigma^\mu \\ &\quad + C \sum_{i=1}^2 \left(\mathcal{E}[\Psi_i](\tau_1) + \mathcal{E}[T\Psi_i](\tau_1) \right) + C \int_{\check{\mathcal{O}}_{\tau_1}} \frac{1}{r^\delta} (\partial_v \Phi_i)^2. \end{aligned} \quad (289)$$

Therefore, repeating the argument of a dyadic sequence of Proposition 8.3 we show decay for the energy fluxes of the assumption. \square

Remark 10.2 Note, we had to give some $\delta > 0$ “space” when obtaining estimates for $E^{1-\delta}[h_{\mathfrak{f}_\ell}]$ and $E^{5-\delta}[h_{\tilde{\beta}_\ell}]$. That is because if we take $p = 2$, instead of $p = 2 - \delta$, in the proof of Corollary 10.1, then we cannot control the right-hand side ϕ_ℓ, ψ_ℓ terms using Proposition 8.1.

To obtain local integrated energy estimates for h_α we repeat the ideas of this section for the relation equation (254). In particular, we substitute (276) to the relating equation

and we obtain

$$e_{3*}(r \cdot h_{\alpha_\ell}) = \frac{1}{2Mr} e_{4*} \psi_\ell + \frac{1}{4Mr^3} \psi_\ell + B_0(r) h_{\tilde{\beta}_\ell} + B_1(r) e_{4*}(h_{\tilde{\beta}_\ell}) + B_2(r) h_{\tilde{f}_\ell} + B_3(r) h_{\alpha_\ell}.$$

Finally, we repeat the $L^2(\mathbb{S}^2)$ estimates of Section 9 for the scalars $\left(r^{\frac{4-\delta}{2}} h_{\tilde{f}}\right)$, $\left(r^{\frac{8-\delta}{2}} h_{\tilde{\beta}}\right)$, $\left(r^{\frac{3-\delta}{2}} h_{\alpha}\right)$, and we commute with the angular momentum operators to obtain the pointwise estimates below by using Sobolev inequalities.

Corollary 10.3 *For any $0 < \delta \ll 1$, there exists $C > 0$ depending on $M, \check{\Sigma}_0, \delta$ and norms of initial data such that*

$$\left|r^{\frac{5-\delta}{2}} h_{\tilde{f}_\ell}\right| \leq C \frac{1}{\tau}, \quad \left|r^{\frac{9-\delta}{2}} h_{\tilde{\beta}_\ell}\right| \leq C \frac{1}{\tau}, \quad \left|r^{\frac{4-\delta}{2}} h_{\alpha_\ell}\right| \leq C \frac{1}{\tau}, \quad (290)$$

for all $r \geq M$, $\tau \geq 1$, and all admissible frequencies $\ell \in \mathbb{N}$. Using standard elliptic identities of Section 2, and Sobolev inequalities we obtain the following pointwise estimates

$$\|f_\star\|_{L^\infty(S_{v,r}^2)} \leq C \frac{1}{v \cdot r^{\frac{5-\delta}{2}}}, \quad \|\tilde{\beta}_\star\|_{L^\infty(S_{v,r}^2)} \leq C \frac{1}{v \cdot r^{\frac{9-\delta}{2}}}, \quad \|\alpha_\star\|_{L^\infty(S_{v,r}^2)} \leq C \frac{1}{v \cdot r^{\frac{5-\delta}{2}}} \quad (291)$$

for all $r \geq M$ and $v > 0$.

10.2 Decay, Non-decay and Blow-up for f_\star , $\tilde{\beta}_\star$, and α_\star along the horizon \mathcal{H}^+

We derive estimates for both $f_\star, \tilde{\beta}_\star$ and their transversal derivatives along the event horizon \mathcal{H}^+ . In addition, using the relating equation (254) we also prove estimates for the extreme curvature component α along \mathcal{H}^+ .

Theorem 10.1 *Let $f_\star, \tilde{\beta}_\star$ be solutions to the generalized Teukolsky equations of positive spin, then for generic initial data the following estimates hold asymptotically along \mathcal{H}^+ for all $\tau \geq 1$*

• Decay

$$\begin{aligned} \left\| \nabla_{\partial_r}^k f_\star \right\|_{L^\infty(S_{\tau,M}^2)} &\lesssim_M \frac{1}{\tau^{\left(\frac{4-k}{4}\right)^k}}, & 0 \leq k \leq 2, \\ \left\| \nabla_{\partial_r}^k \tilde{\beta}_\star \right\|_{L^\infty(S_{\tau,M}^2)} &\lesssim_M \frac{1}{\tau^{\left(\frac{4-k}{4}\right)^k}}, & 0 \leq k \leq 2 \end{aligned}$$

• Non-decay

$$\left\| \nabla_{\partial_r}^3 f_\star \right\|_{S_{\tau,M}^2} \xrightarrow{\tau \rightarrow \infty} \frac{1}{10\sqrt{12}M^5} \mathcal{H}_{\ell=2}[\Psi_2],$$

$$\left\| \mathbb{V}_{\partial_r}^3 \tilde{\beta}_\star \right\|_{S_{\tau,M}^2} \xrightarrow{\tau \rightarrow \infty} \frac{1}{10\sqrt{6}M^6} \mathcal{H}_{\ell=2}[\Psi_2]$$

• *Blow-up*

$$\begin{aligned} \left\| \mathbb{V}_{\partial_r}^{k+3} \mathfrak{f}_\star \right\|_{S_{\tau,M}^2} &= \frac{a_{2,k}^{(2)}}{10\sqrt{12}M^5} \mathcal{H}_{\ell=2}[\Psi_2] \cdot \tau^k + \mathcal{O}\left(\tau^{k-\frac{1}{4}}\right), \quad \forall k \geq 0, \\ \left\| \mathbb{V}_{\partial_r}^{k+3} \tilde{\beta}_\star \right\|_{S_{\tau,M}^2} &= \frac{a_{2,k}^{(2)}}{10\sqrt{6}M^6} \mathcal{H}_{\ell=2}[\Psi_2] \cdot \tau^k + \mathcal{O}\left(\tau^{k-\frac{1}{4}}\right), \quad \forall k \geq 0, \end{aligned}$$

where $\mathcal{H}_{\ell=2}[\Psi_2] := \|H_2[\Psi_2]\|_{S_{\tau,M}^2}$, $\forall \tau \geq 1$, and $a_{2,k}^{(2)}$ is given in Theorem 9.3.

Proof Using the decay estimates we have acquired for $h_{\mathfrak{f}}$, we produce estimates for $\partial_r^k(h_{\mathfrak{f}})$. Going back to (255), we can write it as

$$\partial_r(h_{\mathfrak{f}}) = -\frac{\phi}{r^3} - \frac{2}{r}h_{\mathfrak{f}}$$

By induction, it is immediate to check for all $k \in \mathbb{N}$

$$\partial_r^{k+1}(h_{\mathfrak{f}}) = -\frac{\partial_r^k \phi}{r^3} + \mathfrak{D}^{<k}(\phi) + A_k(r) \cdot h_{\mathfrak{f}}, \quad (292)$$

where $\mathfrak{D}^k(\phi)$ is an expression involving ∂_r -derivatives of ϕ of order less than k and $A_k(r)$ is a function of r alone, for any k . Thus, Corollary 9.1 and relation (290) yield the following decay estimates asymptotically on \mathcal{H}^+

$$\left\| \partial_r^k h_{\mathfrak{f}_\ell} \right\|_{L^\infty(S_{\tau,M}^2)} \lesssim_M \begin{cases} \frac{1}{\tau}, & k = 0, \\ \frac{1}{\tau^{\frac{3}{4}}}, & k = 1, \\ \frac{1}{\tau^{\frac{1}{4}}}, & k = 2, \end{cases} \quad (293)$$

for any $\ell \geq 2$. In addition, we deduce the following estimates for higher order derivatives along \mathcal{H}^+

$$\left| \partial_r^k h_{\mathfrak{f}_\ell} \right| \lesssim_{k,M} \frac{1}{\tau^{\frac{1}{4}}}, \quad \forall k \leq \ell \quad (294)$$

$$\partial_r^{k+\ell+1}(h_{\mathfrak{f}_\ell})(\tau, \omega) = (-1)^{k+1} \frac{a_{2,k}^{(\ell)}}{2M^5(2\ell+1)} H_\ell[\Psi_2](\omega) \cdot \tau^k + \mathcal{O}\left(\tau^{k-\frac{1}{4}}\right), \quad k \geq 0. \quad (295)$$

Now, using the elliptic identity (12) and relation (241) we write

$$\int_{S_{\tau,M}^2} \left| \mathbb{V}_{\partial_r}^k \mathfrak{f}_\star \right|^2 = \sum_{\ell \geq 2} \left(\int_{S_{\tau,M}^2} \left| \mathbb{V}_{\partial_r}^k \mathfrak{f}_{\star_\ell} \right|^2 \right)$$

$$= \sum_{\ell \geq 2} \left[\frac{2}{\ell(\ell+1)} \cdot \frac{1}{\ell(\ell+1)-2} \left(\int_{S_{\tau,M}^2} |\partial_r^k h_{f_\ell}|^2 \right) \right]$$

In view of $h_{f_{\ell=2}}$ having the dominant behavior along \mathcal{H}^+ , we obtain the decay results for $k \leq 2$, and for $k > 3$ we see that the infinite sum for $\ell \geq k$ decays uniformly in ℓ while the first remaining terms of the sum inherit the dominant asymptotic of $\partial_r^k h_{f_{\ell=2}}$.

To produce the estimates of the assumption for $\tilde{\beta}_*$, we work similarly as above, however, we use the elliptic identity (11) instead. \square

We conclude the study of the positive spin gauge invariant components by proving estimates for the extreme curvature tensor α_* , using the estimates obtained for f_* and $\tilde{\beta}_*$.

Theorem 10.2 *Let α_* be a solution to the generalized Teukolsky equation of +2 spin, then for generic initial data the following estimates hold asymptotically along \mathcal{H}^+ for all $\tau \geq 1$*

$$\begin{aligned} \|\nabla_{\partial_r}^k \alpha_*\|_{L^\infty(S_{\tau,M}^2)} &\lesssim_M \frac{1}{\tau}, \quad 0 \leq k \leq 2. \\ \|\nabla_{\partial_r}^{k+2} \alpha_*\|_{L^\infty(S_{\tau,M}^2)} &\lesssim_M \frac{1}{\tau^{\left(\frac{4-k}{4}\right)^k}}, \quad 1 \leq k \leq 2. \\ \|\nabla_{\partial_r}^5 \alpha_*\|_{S_{\tau,M}^2} &\xrightarrow{\tau \rightarrow \infty} \left(\frac{1}{12} \left(\frac{16}{35M^7} \right)^2 \mathcal{H}_{\ell=2}[\Psi_2]^2 + \frac{1}{60} \left(\frac{6}{35M^6} \right)^2 \mathcal{H}_{\ell=3}[\Psi_2]^2 \right)^{\frac{1}{2}} \\ \|\nabla_{\partial_r}^{k+5} \alpha_*\|_{S_{\tau,M}^2} &= c_k \cdot \tau^k + \mathcal{O}\left(\tau^{k-\frac{1}{4}}\right), \quad \forall k \geq 0 \end{aligned}$$

where $\mathcal{H}_\ell[\Psi_2] := \|H_\ell[\Psi_2]\|_{S_{\tau,M}^2}$, $\forall \tau \geq 1$, and the constant c_k are given by

$$c_k = \left(\frac{1}{12} \left(\frac{4(k+4)a_{2,k}^{(2)}}{5M^7} \right)^2 \mathcal{H}_2^2[\Psi_2] + \frac{1}{60} \left(\frac{6a_{2,k}^{(3)}}{35M^6} \right)^2 \mathcal{H}_3^2[\Psi_2] \right)^{\frac{1}{2}}, \quad \forall k \geq 0.$$

Proof Once again, we work with the rescaled quantities $f := r^2 h_f$ and $b := r^4 h_{\tilde{\beta}}$, then, we rewrite the relating equation (254) as

$$\partial_r h_{\alpha_\ell} = \frac{\mu^2}{2M} \frac{1}{r^3} b_\ell + \frac{2}{r^3} (1 - 4\sqrt{D}) f_\ell - \frac{1}{r} h_{\alpha_\ell} \quad (296)$$

By taking k many ∂_r -derivatives of the above relation and by writing explicitly the first two top order terms only, we obtain the following expression

$$\begin{aligned} \partial_r^{k+1} h_{\alpha_\ell} &= \frac{\mu^2}{2M} \frac{1}{r^3} \partial_r^k b_\ell + \frac{2}{r^3} (1 - 4\sqrt{D}) \partial_r^k f_\ell \\ &\quad - \frac{(3k+1)\mu^2}{2M} \frac{1}{r^4} \partial_r^{k-1} b_\ell - \frac{2}{r^4} \left(7k+1 - (4+16k)\sqrt{D} \right) \partial_r^{k-1} f_\ell \\ &\quad + \mathcal{D}^{\leq k-2} [b, f, h_\alpha] \end{aligned} \quad (297)$$

where $\mathcal{D}^{\leq s}[\cdot]$ is a linear expression involving up to s -many ∂_r -derivatives of its arguments. However, in view of the transport equations that f, b satisfy, i.e.

$$-\frac{\phi}{r} = \partial_r f, \quad -\frac{\psi}{r} = \partial_r b,$$

relation (297) reads

$$\begin{aligned} \partial_r^{k+1} h_{\alpha_\ell} &= -\frac{\mu^2}{2M} \frac{1}{r^4} \partial_r^{k-1} \psi_\ell - \frac{2}{r^4} (1 - 4\sqrt{D}) \partial_r^{k-1} \phi_\ell \\ &\quad + \frac{\mu^2}{2M} \frac{(4k+1)}{r^5} \partial_r^{k-2} \psi_\ell + \frac{2}{r^5} \left(8k+1 - (4+20k)\sqrt{D} \right) \partial_r^{k-2} \phi_\ell \\ &\quad + \mathcal{D}^{k-3}[\phi, \psi] + A_1(r) \cdot h_{\alpha_\ell} + A_2(r) \cdot f_\ell + A_3(r) \cdot b_\ell, \end{aligned} \quad (298)$$

where $A_i(r)$, $i \in \{1, 2, 3\}$ are scalar functions of r alone.

In view of the estimates obtained for $\Psi_i^{(\ell)}$, $i \in \{1, 2\}$ in Section 9, it suffices to study the low frequencies h_{α_ℓ} , $\ell = 2, 3$, which give the dominant behavior of α_\star along the event horizon \mathcal{H}^+ . Using the estimates of Corollary 9.1 and relation (298), we obtain the following decay estimates

$$\left| \partial_r^k h_{\alpha_\ell} \right|(\tau, \omega) \lesssim_M \frac{1}{\tau^{\frac{1}{4}}}, \quad \forall \tau \geq 1, \ell \geq 3, k \leq 4 \quad (299)$$

and in particular, for $\ell = 2$ we have

$$\left| \partial_r^k h_{\alpha_{\ell=2}} \right|(\tau, \omega) \lesssim_M \frac{1}{\tau}, \quad \forall \tau \geq 1, k \leq 2 \quad (300)$$

$$\left| \partial_r^{k+2} h_{\alpha_{\ell=2}} \right|(\tau, \omega) \lesssim_M \frac{1}{\tau^{\left(\frac{4-k}{4}\right)^k}}, \quad \forall \tau \geq 1, 1 \leq k \leq 2 \quad (301)$$

Next, the first non-decay estimate occurs at the level of five transversal invariant derivatives for both the $\ell = 2$, $\ell = 3$ frequencies. For the $\ell = 2$ frequency, relation (298) yields along the event horizon \mathcal{H}^+

$$\partial_r^{k+1} h_{\alpha_{\ell=2}} = -\frac{2}{M^4} \left(M \partial_r^{k-1} \psi_{\ell=2} + \partial_r^{k-1} \phi_{\ell=2} \right) + \frac{2(4k+1)}{M^5} \left(M \partial_r^{k-2} \psi_{\ell=2} + \partial_r^{k-2} \phi_{\ell=2} \right)$$

$$\begin{aligned}
 & + \frac{8k}{M^5} \partial_r^{k-2} \phi_{\ell=2} \\
 & + \mathcal{D}^{k-3}[\phi, \psi] \Big|_{r=M} + A_1(M) \cdot h_{\alpha_{\ell=2}} + A_2(M) \cdot f_{\ell=2} + A_3(M) \cdot b_{\ell=2}
 \end{aligned}$$

However, $(M\psi_{\ell=2} + \phi_{\ell=2})$ is a scalar multiple of $\Psi_1^{(\ell=2)}$ and thus the dominant term in the expression above is $\frac{8k}{M^5} \partial_r^{k-2} \phi_{\ell=2}$. Hence, for $k = 4$ we obtain

$$\partial_r^5 h_{\alpha_{\ell=2}}(\tau, \omega) = \frac{32}{10} \frac{1}{M^7} \partial_r^2 \Psi_2^{(\ell=2)} + \mathcal{O}(\tau^{-\frac{1}{4}}) \xrightarrow{\tau \rightarrow \infty} \frac{32}{10M^7} H_2[\Psi_2](\omega). \quad (302)$$

Similarly, for $\ell = 3$ and $k = 4$ relation (298) yields

$$\begin{aligned}
 \partial_r^5 h_{\alpha_{\ell=3}}(\tau, \omega) &= -\frac{3}{M^5} \partial_r^3 \psi_{\ell=3} - \left(\frac{2}{M^5} \partial_r^3 \psi_{\ell=3} + \frac{2}{M^4} \partial_r^3 \phi_{\ell=3} \right) \\
 &+ \mathcal{O}(\tau^{-\frac{1}{4}}) \xrightarrow{\tau \rightarrow \infty} \frac{6}{35M^6} H_3[\Psi_2](\omega)
 \end{aligned} \quad (303)$$

For the blow-up estimates, we can see from the non-decaying results above that both frequencies $\ell = 2$ and $\ell = 3$ ought to contribute to the leading term of the asymptotics. In particular, for $\ell = 2$ we have established above that

$$\begin{aligned}
 \partial_r^{k+1} h_{\alpha_{\ell=2}} &= \frac{4k}{5M^7} \partial_r^{k-2} \Psi_2^{(\ell=2)} + \mathcal{D}^{k-3}[\Psi_2^{(\ell=2)}] + \mathcal{D}^{k-2}[\Psi_1^{(\ell=2)}] \\
 &+ A_1(M) \cdot h_{\alpha_{\ell=2}} + A_2(M) \cdot f_{\ell=2} + A_3(M) \cdot b_{\ell=2}
 \end{aligned}$$

Thus, using Theorem 9.3 we obtain the following asymptotic along \mathcal{H}^+

$$\partial_r^{k+1} h_{\alpha_{\ell=2}}(\tau, \omega) = \frac{4k}{5M^7} (-1)^{k-4} a_{2,k-4}^{(2)} H_2[\Psi_2](\omega) \cdot \tau^{k-4} + \mathcal{O}\left(\tau^{k-4-\frac{1}{4}}\right) \quad (304)$$

On the other hand, we consider relation (298) for $\ell = 3$ and we obtain quite similarly as above

$$\partial_r^{k+1} h_{\alpha_{\ell=3}}(\tau, \omega) = (-1)^k \frac{6}{35} \frac{a_{2,k-4}^{(3)}}{M^6} H_3[\Psi_2](\omega) \cdot \tau^{k-4} + \mathcal{O}\left(\tau^{k-4-\frac{1}{4}}\right) \quad (305)$$

Now, we pass the above estimates for the scalar h_α to the corresponding tensor α_\star using the elliptic identity below

$$\begin{aligned}
 \int_{S_{\tau,M}^2} \left| \mathbb{V}_{\partial_r}^k \alpha_\star \right|^2 &= \sum_{\ell \geq 2} \left(\int_{S_{\tau,M}^2} \left| \mathbb{V}_{\partial_r}^k \alpha_{\star\ell} \right|^2 \right) \\
 &= \sum_{\ell \geq 2} \left[\frac{2}{\ell(\ell+1)} \cdot \frac{1}{\ell(\ell+1)-2} \left(\int_{S_{\tau,M}^2} \left| \partial_r^k h_{\alpha_\ell} \right|^2 \right) \right]
 \end{aligned} \quad (306)$$

For $k \leq 4$, we use the decay estimates (299–301) and the equation above to obtain L^2 –decay for $\nabla_{\partial_r}^k \alpha_\star$. The $L^\infty(S_{\tau,M}^2)$ estimate comes from commuting with the angular momentum operators and using the Sobolev inequality on the sphere.

Now let $k \geq 5$, then note that the tail of the sum (306) decays uniformly in ℓ for frequencies $\ell \geq k - 1$ and we only have to deal with the first $k - 2$ terms of the sum. However, the dominant behavior comes from the frequencies $\ell = 2$ and $\ell = 3$ along \mathcal{H}^+ and thus we obtain

$$\begin{aligned} \int_{S_{\tau,M}^2} |\nabla_{\partial_r}^{k+1} \alpha_\star|^2 &= \frac{1}{12} \left(\frac{4ka_{2,k-4}^{(2)}}{5M^7} \right)^2 \mathcal{H}_2^2[\Psi_2] \tau^{2k-8} \\ &\quad + \frac{1}{60} \left(\frac{6a_{2,k-4}^{(3)}}{35M^6} \right)^2 \mathcal{H}_3^2[\Psi_2] \tau^{2k-8} + \mathcal{O}(\tau^{2k-8-\frac{1}{4}}) \end{aligned}$$

Thus, by changing the parameter k we can write the above estimate as

$$\left\| \nabla_{\partial_r}^{k+5} \alpha_\star \right\|_{S_{\tau,M}^2} = c_k \cdot \tau^k + \mathcal{O}(\tau^{k-\frac{1}{4}}), \quad \forall k \geq 0, \tau \geq 1$$

where

$$c_k = \left(\frac{1}{12} \left(\frac{4(k+4)a_{2,k}^{(2)}}{5M^7} \right)^2 \mathcal{H}_2^2[\Psi_2] + \frac{1}{60} \left(\frac{6a_{2,k}^{(3)}}{35M^6} \right)^2 \mathcal{H}_3^2[\Psi_2] \right)^{\frac{1}{2}}$$

□

Remark 10.3 As opposed to the gauge invariant quantities $f_\star, \tilde{\beta}_\star$, which their behavior is dominated by their $\ell = 2$ frequency asymptotically along \mathcal{H}^+ , the extreme curvature component α_\star is dominated by both $\ell = 2$ and $\ell = 3$ frequencies.

11 The negative spin Teukolsky equations instability

We show that solutions to the negative spin Teukolsky system exhibit an even more unstable behavior compared to the positive spin case. In particular, the $L^2(S_{v,M}^2)$ –norm of the extreme curvature component $\underline{\alpha}_\star$ does **not** decay asymptotically along the even horizon \mathcal{H}^+ . In other words, no transversal derivative of the aforementioned quantity is required for the instability to manifest.

First, we obtain decay estimates outside the event horizon \mathcal{H}^+ using the transport equations, and then, we derive decay, non-decay, and blow-up estimates asymptotically along \mathcal{H}^+ . As opposed to the positive spin case, where we obtained estimates using only the Regge–Wheeler system and their transport equations, for the corresponding negative spin quantities we need to use the coupled Teukolsky system they satisfy in order to close the estimates.

11.1 The negative spin components

We are interested in obtaining estimates for the gauge invariant quantities $\underline{\alpha}_\star$, \underline{f}_\star and $\underline{\beta}_\star$ in the exterior up to and including the event horizon \mathcal{H}^+ , which satisfy the following relations with respect to the Regge–Wheeler solutions

$$\begin{aligned}\underline{q}^F &= \frac{1}{\kappa_\star} \nabla_{4^\star} \left(r^3 \kappa_\star \cdot \underline{f}_\star \right), \\ \underline{p} &= \frac{1}{\kappa_\star} \nabla_{4^\star} \left(r^5 \kappa_\star \cdot \underline{\tilde{\beta}}_\star \right).\end{aligned}\quad (307)$$

They also satisfy the following relating equation, which we use later on to obtain estimates for $\underline{\alpha}_\star$

$${}^{(F)}\rho \frac{1}{\kappa_\star} \nabla_{4^\star} \left(r^3 \kappa_\star^2 \underline{\alpha}_\star \right) = \left({}^{(F)}\rho^2 + 3\rho \right) r^3 \kappa_\star \underline{f}_\star + r^3 \kappa_\star \mathcal{P}_2^\star \left(\underline{\tilde{\beta}}_\star \right) \quad (308)$$

Here, all quantities with star subscript are expressed in the rescaled frame \mathcal{N}_\star , and we recall that

$$e_4^\star = 2 \frac{\partial}{\partial_v} + D \frac{\partial}{\partial_r}, \quad \kappa_\star = \frac{2D}{r}, \quad {}^{(F)}\rho = \frac{M}{r^2}, \quad \rho = -\frac{2M}{r^3} \sqrt{D}. \quad (309)$$

Once again, it will be more convenient to work with the corresponding scalar quantities derived by the tensors above. In particular, we introduce the following notations

$$\begin{aligned}h_{\underline{\alpha}} &:= r^2 \mathcal{P}_1 \mathcal{P}_2(\underline{\alpha}_\star) \\ h_{\underline{f}} &:= r^2 \mathcal{P}_1 \mathcal{P}_2(\underline{f}_\star), \\ h_{\underline{\tilde{\beta}}} &:= r \mathcal{P}_1(\underline{\tilde{\beta}}_\star), \\ \underline{\phi} &:= r^2 \mathcal{P}_1 \mathcal{P}_2 \left(\underline{q}^F \right), \\ \underline{\psi} &:= r \mathcal{P}_1 \left(\underline{p} \right)\end{aligned}\quad (310)$$

Using standard elliptic identities from Section 2, (307) yields the following equations for the above scalars

$$\begin{aligned}\underline{\phi} &= 2r^3 \partial_v \left(h_{\underline{f}} \right) + r \partial_r \left(r^2 D h_{\underline{f}} \right) \\ \underline{\psi} &= 2r^5 \partial_v \left(h_{\underline{\tilde{\beta}}} \right) + r \partial_r \left(r^4 D h_{\underline{\tilde{\beta}}} \right)\end{aligned}\quad (311)$$

while the relating equation (308) yields for the scalar $h_{\underline{\alpha}}$, after we project to the E_{ℓ} eigenspace,

$$\frac{M}{r \cdot D^2} e_{4*} \left(r D^2 h_{\underline{\alpha}_{\ell}} \right) = \frac{2M}{r} (1 - 4\sqrt{D}) h_{\underline{f}_{\ell}} + r \frac{\mu^2}{2} h_{\underline{\beta}_{\ell}}, \quad \mu^2 = (\ell - 1)(\ell + 2) \quad (312)$$

One final rescaling of the above scalars allows us to write all equations to follow more concisely and perform computations more easily. In particular, let

$$\underline{f} := r^2 \cdot h_{\underline{f}}, \quad \underline{b} := r^4 \cdot h_{\underline{\beta}}, \quad \underline{a} := r^2 h_{\underline{\alpha}} \quad (313)$$

then we may write (311) as

$$\begin{aligned} \frac{1}{r} \cdot \underline{\phi} &= \partial_v(2\underline{f}) + \partial_r(D \cdot \underline{f}) \\ \frac{1}{r} \cdot \underline{\psi} &= \partial_v(2\underline{b}) + \partial_r(D \cdot \underline{b}) \end{aligned} \quad (314)$$

Moreover, the relating equation (312) now reads

$$\frac{1}{r} \frac{M}{r} \left(2\partial_v(\underline{a}_{\ell}) + D\partial_r \underline{a}_{\ell} + 2D' \underline{a}_{\ell} \right) = \frac{\mu^2}{2r^3} \underline{b}_{\ell} + \frac{2M}{r^3} (1 - 4\sqrt{D}) \underline{f}_{\ell} + D \frac{M}{r^3} \underline{a}_{\ell}. \quad (315)$$

11.2 Negative spin Teukolsky system

We write the Teukolsky equations of Section 3.3 with the coefficients expanded in the \mathcal{N}_{\star} frame and we obtain

$$\begin{aligned} \square(r\underline{f}_{\star}) &= D'(r) \nabla_{3*}(r\underline{f}_{\star}) - \frac{2}{r} \nabla_{4*}(r\underline{f}_{\star}) + \left(\frac{2D}{r^2} - D''(r) \right) r\underline{f}_{\star} \\ &\quad + \frac{M}{r} \left(\nabla_{4*} \underline{\alpha}_{\star} + \left(\frac{2D}{r} + 2D'(r) \right) \underline{\alpha}_{\star} \right) \\ \square(\underline{\alpha}_{\star}) &= 2D'(r) \nabla_{3*}(\underline{\alpha}_{\star}) - \frac{4}{r} \nabla_{4*} \underline{\alpha}_{\star} - \frac{2\sqrt{D}}{r^2} (2 - \sqrt{D}) \underline{\alpha}_{\star} - \frac{4M}{r^2} \left(\nabla_{3*} \underline{f}_{\star} - \frac{2}{r} \underline{f}_{\star} \right) \\ \square(r^3 \tilde{\beta}_{\star}) &= D'(r) \nabla_{3*}(r^3 \tilde{\beta}_{\star}) - \frac{2}{r} \nabla_{4*}(r^3 \tilde{\beta}_{\star}) + \frac{1}{r^2} (3 - 8\sqrt{D} + 4D) r^3 \tilde{\beta}_{\star} \\ &\quad + 8r \left(\frac{M}{r} \right)^2 d\nabla v \underline{f}_{\star} + D \cdot \mathcal{A}[\tilde{\beta}, \underline{\alpha}], \end{aligned} \quad (316)$$

where $\mathcal{A}[\tilde{\beta}, \underline{\alpha}]$ is an expression depending on $d\nabla v \underline{\alpha}_{\star}$ and up to one e_{3*} -derivative of $\tilde{\beta}_{\star}$; see p. 41, [28], pp. 133–134 [29]. Using elliptic identities from Section 2, we obtain

the corresponding Teukolsky system for the scalar rescaled quantities \underline{a} , \underline{f} and \underline{b} , as defined in (313), when supported on the fixed frequency ℓ . With respect to the ingoing Finkelstein-Eddington coordinates the system reads

$$\begin{aligned}\square(\underline{f}_\ell) &= -\frac{6}{r^2} \left(\frac{M}{r}\right)^2 \underline{f}_\ell - \frac{2}{r} \partial_v(\underline{f}_\ell) - D'(r) \partial_r \underline{f}_\ell + \frac{1}{r} \frac{M}{r} (2\partial_v(\underline{a}_\ell) + D\partial_r \underline{a}_\ell + 2D'\underline{a}_\ell) \\ \square(\underline{a}_\ell) &= -\frac{4}{r^2} \left(\frac{M}{r}\right)^2 \underline{a}_\ell - \frac{4}{r} \partial_v(\underline{a}_\ell) - 2D'(r) \partial_r \underline{a}_\ell + \frac{4}{r} \frac{M}{r} \partial_r \underline{f}_\ell \\ \square(\underline{b}_\ell) &= \frac{2}{r^2} \left(\frac{M}{r}\right)^2 \underline{b}_\ell + \frac{8}{r} \left(\frac{M}{r}\right)^2 \underline{f}_\ell - \frac{2}{r} \partial_v(\underline{b}_\ell) - D'(r) \partial_r \underline{b}_\ell + D \cdot \mathcal{A}[\underline{b}_\ell, \underline{a}_\ell].\end{aligned}\quad (317)$$

Using the relating equation (315) we can rewrite the Teukolsky equation for \underline{f} so that it is coupled only with \underline{b} modulo a term with a factor of $D(r)$ in it, i.e.

$$\square(\underline{f}_\ell) = -\frac{2}{r^2} \left(\frac{M}{r}\right)^2 (2 + \sqrt{D}) \underline{f}_\ell + \frac{\mu^2}{2r^3} \underline{b}_\ell - \frac{2}{r} \partial_v(\underline{f}_\ell) - D'(r) \partial_r \underline{f}_\ell + D \frac{M}{r} \frac{1}{r^2} \underline{a}_\ell. \quad (318)$$

11.3 Decay for \underline{f}_\star , $\underline{\beta}_\star$ and $\underline{\alpha}_\star$ away from the horizon \mathcal{H}^+ .

We repeat the ideas of Section 10.1 for the transport equations (307) and the relating equation (308). This time we omit most of the details involved as the procedure is identical to that of Section 10.1, however, we examine more carefully the two main differences that manifest when controlling the negative spin quantities. First, we prove the following lemma.

Lemma 11.1 *Let f, F be two scalar functions satisfying*

$$e_{4\star} (r^m \kappa_\star^n f) = \kappa_\star \cdot F, \quad m, n \geq 0 \quad (319)$$

then the following energy estimates hold near future null infinity $\check{I}_{\tau_1}^{\tau_2}$

$$\int_{\check{I}_{\tau_1}^{\tau_2}} r^{2(m-n)-k-3} f^2 \leq C \int_{\check{I}_{\tau_1}^{\tau_2} \cap \{r=R\}} r^{2(m-n)-k-2} f^2 + C \int_{\check{I}_{\tau_1}^{\tau_2}} \frac{1}{r^{k+3}} F^2, \quad \forall k > 0 \quad (320)$$

for a constant $C > 0$ depending on M, k and R of Section 8.

Proof We multiply relation (319) with $r^m \kappa_\star^n f$ and we obtain

$$e_{4\star} (r^{2m} \kappa_\star^{2n} f^2) = 2\kappa_\star^{n+1} r^m f \cdot F$$

Now, dividing by r^q for $q > 0$ we have

$$e_{4^*} \left(r^{2m-q} \kappa_\star^{2n} f^2 \right) - e_{4^*} (r^{-q}) r^{2m} \kappa_\star^{2n} f^2 = 2\kappa_\star^{n+1} r^{m-q} f \cdot F$$

However, $\operatorname{div}(e_{4^*}) = \frac{2\sqrt{D}}{r}$, and note $e_{4^*} = 2\partial_v + D\partial_r$, in ingoing coordinates, thus we write

$$\begin{aligned} \operatorname{div} \left(r^{2m-q} \kappa_\star^{2n} f^2 \cdot e_{4^*} \right) + \sqrt{D} \left(q\sqrt{D} - 2 \right) r^{2m-q-1} \kappa_\star^{2n} f^2 \\ = 2\kappa_\star r^{-q} \left(r^m \kappa_\star^n f \right) \cdot F \\ \leq \epsilon r^{2m-q} \kappa_\star^{2n} \kappa_\star f^2 + \frac{1}{\epsilon} r^{-q} \kappa_\star F^2 \end{aligned}$$

Let $q = k + 2$, for $k > 0$, and pick $\epsilon = \frac{k}{4}$, then obtain

$$\operatorname{div} \left(r^{2m-k-2} \kappa_\star^{2n} f^2 \cdot e_{4^*} \right) + \sqrt{D} \left(\frac{k}{2} \sqrt{D} - 2 \frac{M}{r} \right) r^{2m-k-3} \kappa_\star^{2n} f^2 \leq \frac{4}{k} \frac{\kappa_\star}{r^{k+2}} \cdot F^2,$$

Note $\kappa_\star = \frac{2D}{r}$, and thus there exists R large enough such that

$$\operatorname{div} \left(r^{2(m-n)-k-2} f^2 \cdot e_{4^*} \right) + r^{2(m-n)-k-3} f^2 \leq C \frac{1}{r^{k+3}} F^2$$

for a constant $C > 0$ depending on k, M and R . We apply the divergence theorem on the $\check{I}_{\tau_1}^{\tau_2}$ region to conclude the proof. Note, the null vector e_{4^*} is normal to the boundary null hypersurfaces \check{O}_τ , and thus no such terms appear in the estimate. \square

Estimates near future null infinity $\check{I}_{\tau_1}^{\tau_2}$. Already, comparing the above lemma to the corresponding Lemma 10.1 of Section 10, we see that k appears with the opposite sign. This leads to weaker decay rates in r for the negative spin Teukolsky solutions. In particular, the transport equations (307) yield for the corresponding scalars

$$\begin{aligned} e_{4^*} \left(r^3 \kappa_\star h_{\check{f}} \right) &= \kappa_\star \cdot \underline{\phi} \\ e_{4^*} \left(r^5 \kappa_\star h_{\check{\beta}} \right) &= \kappa_\star \cdot \underline{\psi}. \end{aligned} \quad (321)$$

We see that for $h_{\check{f}}$ we have $m = 3, n = 1$, and thus, applying the above lemma for $k = \delta$, where $0 < \delta \ll 1$, we obtain

$$\int_{\check{I}_{\tau_1}^{\tau_2}} r^{3-\delta} \frac{1}{r^2} h_{\check{f}}^2 \leq C \int_{\check{I}_{\tau_1}^{\tau_2} \cap \{r=R\}} r^{2-\delta} h_{\check{f}}^2 + C \int_{\check{I}_{\tau_1}^{\tau_2}} \frac{1}{r^{3+\delta}} \underline{\phi}^2 \quad (322)$$

The second term of the right-hand side above is controlled in Proposition 8.2 for any $\delta > 0$, while the timelike boundary term is treated by applying the divergence

theorem in the region $\mathcal{D}(\tau_1, \tau_2) := \mathcal{R}(\tau_1, \tau_2) \cap \{r_c \leq r \leq R\}$. Using also the transport equations for $h_{\underline{\beta}}$, $h_{\underline{\alpha}}$, and coarea formula we obtain

$$\begin{aligned} & \int_{\tau_1}^{\tau_2} \left(\int_{\check{\Sigma}_{\tilde{\tau}}} r^{3-\delta} \frac{1}{r^2} h_{\underline{\Gamma}}^2 + r^{7-\delta} \frac{1}{r^2} h_{\underline{\beta}}^2 + r^{1-\delta} \frac{1}{r^2} h_{\underline{\alpha}}^2 \right) d\tilde{\tau} \\ & \leq C \sum_{i=1}^2 \left(\int_{\check{\Sigma}_1} J_{\mu}^T [\Psi_i] n_{\Sigma_1}^{\mu} + J_{\mu}^T [T \Psi_i] n_{\Sigma_1}^{\mu} + \int_{\check{\Sigma}_1} \frac{1}{r} (\partial_v \Phi_i)^2 \right) \\ & \quad + \int_{\check{\Sigma}_1 \cap \{r_c \leq r \leq R\}} h_{\underline{\Gamma}}^2 + h_{\underline{\beta}}^2 + h_{\underline{\alpha}}^2 \end{aligned} \quad (323)$$

The remaining first-order derivatives are controlled similarly as in Section 10.1, and so we obtain estimates in the intermediate region $\mathcal{D}(\tau_1, \tau_2)$. In the following paragraph, we examine a degeneracy that manifests close to the event horizon \mathcal{H}^+ .

Estimates near the event horizon \mathcal{H}^+ . The main issue we are faced with near the horizon can be already seen in the proof of Lemma 11.1, where the estimates degenerate on \mathcal{H}^+ . This is due to the occurrence of stronger trapping on \mathcal{H}^+ for the negative spin Teukolsky solutions, which also leads to a stronger instability as we will see in the coming Section 11.4. Nevertheless, we show how to obtain pointwise estimates in the exterior which degenerate, however, on the horizon. In this paragraph, we will be working with the transport equations (314). Fix $M < r_c < 2M$ and let $\mathcal{A}_c := \mathcal{R}(\tau_1, \tau_2) \cap \{M \leq r \leq r_c\}$, then we have the following lemma.

Lemma 11.2 *Let f, F be two scalar functions satisfying*

$$2\partial_v(f) + \partial_r(D \cdot f) = F, \quad (324)$$

with respect to the ingoing coordinates (v, r, θ, ϕ) , then for any $a \in [\frac{1}{2}, 1)$ and $\tau_1 \leq \tau_2$, we have

$$\int_{\check{\Sigma}_{\tau_2} \cap \mathcal{A}_c} D^a f^2 + \int_{\mathcal{A}_c} D^{\frac{1}{2}+a} f^2 \leq C \int_{\check{\Sigma}_{\tau_1} \cap \mathcal{A}_c} D^a f^2 + C \int_{\mathcal{A}_c} F^2, \quad (325)$$

for a constant $C > 0$ depending on M, r_c, a and Σ_{τ_1} .

Proof Let $a \in [\frac{1}{2}, 1)$, then we multiply relation (324) with $D^a \cdot f$ and we obtain

$$\partial_v \left(D^a f^2 \right) + \frac{2M}{r^2} D^{\frac{1}{2}+a} f^2 + \partial_r \left(\frac{D^{1+a}}{2} f^2 \right) - \partial_r \left(\frac{D^{1+a}}{2} \right) f^2 = D^a f \cdot F$$

Now, using the fact that $\text{div}(\partial_v) = 0$ and $\text{div}(\partial_r) = \frac{2}{r}$, we obtain

$$\text{div} \left(D^a f^2 \partial_v + \frac{D^{1+a}}{2} f^2 \partial_r \right) + \left(\frac{2M}{r^2} D^{\frac{1}{2}+a} - \frac{1}{2} \partial_r D^{1+a} - \frac{D^{1+a}}{r} \right) f^2 = D^a f \cdot F \quad (326)$$

By simplifying the above expression and applying Cauchy-Schwarz on the right-hand side, we get

$$\operatorname{div} \left(D^a f^2 \partial_v + \frac{D^{1+a}}{2} f^2 \partial_r \right) + D^{\frac{1}{2}+a} \frac{1}{r} \left(1 - a - \epsilon D^{a-\frac{1}{2}} + (a-2)\sqrt{D} \right) f^2 \leq \frac{r}{\epsilon} F^2$$

Thus, choosing $\epsilon = \frac{a}{3} > 0$ and r_c close enough to $r = M$, we apply the divergence theorem in \mathcal{A}_c and we find a positive constant C depending on M, r_c, a such that the estimate of the assumption holds. \square

Remark 11.1 Note, $a = \frac{1}{2}$ is the smallest value for which the above lemma holds, for if we take $a < \frac{1}{2}$, then $D^{2a} > D^{\frac{1}{2}+a}$ near \mathcal{H}^+ and the term we obtain after applying Cauchy-Schwarz in the last line cannot be absorbed in left-hand side one.

With the help of the second Hardy inequality (Lemma 5.2) we show a slightly stronger estimate which allows us to obtain better pointwise decay for the negative spin Teukolsky solutions in the exterior.

Lemma 11.3 *Let f, F be as in the assumptions of Lemma 11.2, then there exists $\delta_0 > 0$ such that for any $0 < \delta \leq \delta_0$ the following estimate hold*

$$\begin{aligned} \int_{\tilde{\Sigma}_{\tau_2} \cap \mathcal{A}_c} D^{\frac{1}{2}-\delta} f^2 + \int_{\mathcal{A}_c} D^{1-\delta} f^2 &\leq C \int_{\tilde{\Sigma}_{\tau_1} \cap \mathcal{A}_c} D^{\frac{1}{2}-\delta} f^2 + C \int_{\mathcal{D}} F^2 \\ &\quad + C \int_{\mathcal{A}_c \cup \mathcal{D}} D^{1-\delta} \left[(\partial_v F)^2 + (\partial_r F)^2 \right], \end{aligned}$$

for a positive constant C depending on M, r_c, r_d, δ_0 , and Σ_{τ_1} .

Proof Let $\delta > 0$ to be determined in the end, then repeating the steps of the previous lemma for $a = \frac{1}{2} - \delta$ we arrive at

$$\begin{aligned} \operatorname{div} \left(D^{\frac{1}{2}-\delta} f^2 \partial_v + \frac{D^{1-\delta}}{2} f^2 \partial_r \right) &+ \left(\frac{2M}{r^2} D^{\frac{1}{2}-\delta} - \frac{1}{2} \partial_r D^{1-\delta} - \frac{D^{1-\delta}}{r} \right) f^2 \\ &= D^{\frac{1}{2}-\frac{\delta}{2}} f \cdot D^{-\frac{\delta}{2}} F \\ &\leq \epsilon D^{1-\delta} f^2 + \frac{1}{\epsilon} \left(\frac{F}{D^{\frac{\delta}{2}}} \right)^2 \end{aligned}$$

Now, choosing $\epsilon > 0$ small enough we can absorb the first term of the right-hand side in the left one. However, we still need to treat the second term of the right-hand side. For that, we apply the second Hardy inequality for the regions $\mathcal{A}_c, \mathcal{D}(\tau_1, \tau_2)$ and we obtain

$$\int_{\mathcal{A}_c} \left(\frac{F}{D(r)^{\frac{\delta}{2}}} \right)^2 \leq \tilde{C} \int_{\mathcal{D}} F^2 + \tilde{C} \int_{\mathcal{A}_c \cup \mathcal{D}} D^{1-\delta} (\partial_v F)^2 + D \left[\partial_r \left(\frac{F}{D(r)^{\frac{\delta}{2}}} \right) \right]^2 \quad (327)$$

where the constant \tilde{C} depends only on $M, r_c, r_d = R$ and Σ_0 . However, we have

$$\partial_r \left(\frac{F}{D(r)^a} \right) = D^{-a} \partial_r F - a D^{-a-1} \partial_r D \cdot F = D^{-a} \partial_r F - \frac{2aM}{r^2} D^{-a-\frac{1}{2}} F$$

Thus going back to (327) we obtain

$$\begin{aligned} \int_{\mathcal{A}_c} \left(\frac{F}{D(r)^{\frac{\delta}{2}}} \right)^2 &\leq \tilde{C} \int_{\mathcal{B}} F^2 + \tilde{C} \int_{\mathcal{A}_c \cup \mathcal{D}} D^{1-\delta} (\partial_v F)^2 + D^{1-\delta} (\partial_r F)^2 + (\delta/2)^2 \left(\frac{F}{D^{\frac{\delta}{2}}} \right)^2 \\ &\Rightarrow \int_{\mathcal{A}_c} (1 - \tilde{C} (\delta/2)^2) \left(\frac{F}{D(r)^a} \right)^2 \\ &\leq \tilde{C} \int_{\mathcal{D}} F^2 + \tilde{C} \int_{\mathcal{A}_c \cup \mathcal{D}} D^{1-\delta} (\partial_v F)^2 + D^{1-\delta} (\partial_r F)^2 \end{aligned} \quad (328)$$

Thus, there exists a small enough $\delta_0 > 0$ such that $(1 - \tilde{C} (\delta/2)^2)$ is uniformly positive definite for any $0 < \delta \leq \delta_0$. Hence, for any $0 < \delta \leq \delta_0$, applying the divergence theorem for the relation at the beginning concludes the proof. \square

In view of the transport equations (314) for \underline{f} and \underline{b} , we apply Lemma 11.2 for $a = 1 - \delta$, $0 < \delta \ll 1$, and using coarea formula we obtain

$$\begin{aligned} &\int_{\tau_1}^{\tau_2} \left(\int_{\check{\Sigma}_{\tilde{\tau}} \cap \mathcal{A}_c} D^{\frac{3}{2}-\delta} \underline{f}^2 + D^{\frac{3}{2}-\delta} \underline{b}^2 \right) d\tilde{\tau} \\ &\leq C \int_{\check{\Sigma}_{\tau_1} \cap \mathcal{A}_c} D^{1-\delta} \underline{f}^2 + D^{1-\delta} \underline{b}^2 + \int_{\mathcal{A}_c} \phi^2 + \psi^2 \\ &\leq C \int_{\check{\Sigma}_{\tau_1} \cap \mathcal{A}_c} D^{1-\delta} \underline{f}^2 + D^{1-\delta} \underline{b}^2 + C \sum_{i=1}^2 \left(\int_{\check{\Sigma}_1} J_{\mu}^T [\underline{\Psi}_i] n_{\Sigma_{\tau_1}}^{\mu} \right) \end{aligned} \quad (329)$$

Next, we apply Lemma 11.3, coarea formula, and Theorem 4.2 to get

$$\begin{aligned} \int_{\tau_1}^{\tau_2} \left(\int_{\check{\Sigma}_{\tilde{\tau}} \cap \mathcal{A}_c} D^{1-\delta} \underline{f}^2 + D^{1-\delta} \underline{b}^2 \right) d\tilde{\tau} &\leq C \int_{\check{\Sigma}_{\tau_1} \cap \mathcal{A}_c} D^{\frac{1}{2}-\delta} \underline{f}^2 + D^{\frac{1}{2}-\delta} \underline{b}^2 \\ &\quad + C \sum_{i,j=1}^2 \left(\int_{\check{\Sigma}_1} J_{\mu}^T [\underline{\Psi}_i] n_{\Sigma_{\tau_1}}^{\mu} + J_{\mu}^T [T^j \underline{\Psi}_i] n_{\Sigma_1}^{\mu} \right) \end{aligned} \quad (330)$$

Using the transport equations (314) and commuting them with the Killing vector field T and the angular derivatives we derive estimates for the first-order derivatives of $\underline{f}, \underline{b}$. With these local integrated energy estimates in hand, we use the above lemmas (slightly modified) for the transport equation (315) to show energy estimates for \underline{a} as well. Using as ingredients the energy estimates in each respected region above and

applying the dyadic sequence argument we show quadratic decay for the energy of $h_{\underline{f}}$, $h_{\underline{\tilde{\beta}}}$ and $h_{\underline{\alpha}}$.

Corollary 11.1 *Let $0 < \delta \ll 1$, then there exists $C > 0$ depending on M , $\check{\Sigma}_0$, δ and norms of initial data such that*

$$\left| r^{\frac{3-\delta}{2}} D^{\frac{3}{4}-\frac{\delta}{2}} \cdot h_{\underline{f}_\ell} \right| \leq C \frac{1}{\tau}, \quad \left| r^{\frac{7-\delta}{2}} D^{\frac{3}{4}-\frac{\delta}{2}} \cdot h_{\underline{\tilde{\beta}}_\ell} \right| \leq C \frac{1}{\tau}, \quad \left| r^{\frac{1-\delta}{2}} D^{\frac{7}{4}-\frac{\delta}{2}} \cdot h_{\underline{\alpha}_\ell} \right| \leq C \frac{1}{\tau}, \quad (331)$$

for all $r > M$, $\tau \geq 1$, and all admissible frequencies $\ell \in \mathbb{N}$. In addition, fix $r_0 > M$, then using standard elliptic identities of Section 2, and Sobolev inequalities we obtain the following pointwise decay estimates away from the horizon \mathcal{H}^+

$$\begin{aligned} \left\| \underline{f}_\star \right\|_{L^\infty(S_{v,r}^2)} &\leq C_{r_0} \frac{1}{v \cdot r^{\frac{3-\delta}{2}}}, \quad \left\| \underline{\tilde{\beta}}_\star \right\|_{L^\infty(S_{v,r}^2)} \leq C_{r_0} \frac{1}{v \cdot r^{\frac{7-\delta}{2}}}, \quad \left\| \underline{\alpha}_\star \right\|_{L^\infty(S_{v,r}^2)} \\ &\leq C_{r_0} \frac{1}{v \cdot r^{\frac{1-\delta}{2}}} \end{aligned} \quad (332)$$

for all $r \geq r_0$ and $v > 0$.

11.4 Estimates for \underline{f}_\star , $\underline{\tilde{\beta}}_\star$ and $\underline{\alpha}_\star$ along the event horizon \mathcal{H}^+

First, we prove estimates for \underline{f}_\star , $\underline{\tilde{\beta}}_\star$ along the event horizon \mathcal{H}^+ . For that, we use the Teukolsky equations they satisfy and the transport equations relating them to the Regge–Wheeler solutions \underline{q}^F , \underline{p} , for which we have already obtained estimates in the previous sections. In view of \underline{q}^F , \underline{p} satisfying the same equations as the positive spin equivalent, q^F , p , the same estimates holds for the negative spin scalar quantities ϕ , ψ as in Section 9. As far as the tensor $\underline{\alpha}_\star$ is concerned, we obtain estimates by using the induced relating equation (315) in addition to the above.

In order to understand the dominant behavior of Teukolsky solutions of *negative spin* asymptotically along the event horizon \mathcal{H}^+ , it suffices to only study the quantities $\underline{f}_{\ell=2}$, $\underline{b}_{\ell=2}$ and $\underline{a}_{\ell=2}$ supported on the fixed harmonic frequency $\ell = 2$. **For shortness, we will often neglect the “ $\ell = 2$ ” subscript in the equations.**

Theorem 11.1 *Let \underline{f}_\star , $\underline{\tilde{\beta}}_\star$ be solutions to the generalized Teukolsky equations of negative spin, then for generic initial data the following estimates hold asymptotically along \mathcal{H}^+ , for all $\tau \geq 1$*

- *Decay*

$$\begin{aligned} \left\| \underline{f}_\star \right\|_{L^\infty(S_{\tau,M}^2)} &\lesssim_M \tau^{-\frac{1}{4}}, \\ \left\| \underline{\tilde{\beta}}_\star \right\|_{L^\infty(S_{\tau,M}^2)} &\lesssim_M \tau^{-\frac{1}{4}} \end{aligned}$$

• *Non-Decay and Blow up*

$$\begin{aligned}\left\|\nabla_{\partial_r}^{k+1}\underline{f}_\star\right\|_{S_{\tau,M}^2}&=\frac{1}{\sqrt{12}M^2}f_k\cdot\mathcal{H}_2[\underline{\Psi}_2]\cdot\tau^k+\mathcal{O}\left(\tau^{k-\frac{1}{4}}\right)\\\left\|\nabla_{\partial_r}^{k+1}\underline{\tilde{b}}_\star\right\|_{S_{\tau,M}^2}&=\frac{1}{\sqrt{6}M^4}b_k\cdot\mathcal{H}_2[\underline{\Psi}_2]\cdot\tau^k+\mathcal{O}\left(\tau^{k-\frac{1}{4}}\right),\end{aligned}$$

for all $k \geq 0$, where $\mathcal{H}_2[\underline{\Psi}_2] := \|H_{\ell=2}[\underline{\Psi}_2]\|_{S_{\tau,M}^2}$, $\forall \tau \geq 1$, and the coefficients f_k, b_k are given by

$$f_k := \frac{1}{M} \cdot b_k, \quad b_k := \frac{1}{20} \frac{1}{(2M^2)^k} \frac{(k+3)!}{3!}.$$

Proof We begin by studying the induced scalars $\underline{f}_{\ell=2}$ and $\underline{b}_{\ell=2}$. First, we show the decay estimates. We evaluate the Teukolsky equation for \underline{f} on the horizon \mathcal{H}^+ and we obtain

$$T\left(2\partial_r\underline{f} + \frac{4}{M}\underline{f}\right) - \frac{6}{M^2}\underline{f} = -\frac{4}{M^2}\underline{f} + \frac{2}{M^3}\underline{b}$$

On the other hand, differentiating the transport equation for \underline{f} and evaluating it on \mathcal{H}^+ yields

$$T(2\partial_r\underline{f}) = M^{-1}\partial_r\underline{\phi} - M^{-2}\underline{\phi} - \frac{2}{M^2}\underline{f}$$

Combining the equations above and the transport equation for \underline{f} produces

$$\frac{4}{M^2}\underline{f} + \frac{2}{M^3}\underline{b} = M^{-1}\partial_r\underline{\phi} + M^{-2}\underline{\phi}. \quad (333)$$

Following the same procedure for the Teukolsky and transport equations satisfied by \underline{b} , we obtain

$$\begin{aligned}T\left(2\partial_r\underline{b} + \frac{4}{M}\underline{b}\right) - \frac{6}{M^2}\underline{b} &= \frac{8}{M}\underline{f} + \frac{2}{M^2}\underline{b} \\ \Rightarrow M^{-1}\partial_r\underline{\psi} + M^{-2}\underline{\psi} &= \frac{8}{M}\underline{f} + \frac{10}{M^2}\underline{b}\end{aligned} \quad (334)$$

Thus, solving the system (333,334) yields the expressions

$$\begin{aligned}\frac{6}{M^2}\underline{b} &= \frac{1}{M}\partial_r\underline{\psi} - 2\partial_r\underline{\phi} + \frac{1}{M^2}\underline{\psi} - \frac{2}{M}\underline{\phi} \\ \frac{12}{M}\underline{f} &= 5\partial_r\underline{\phi} - \frac{1}{M}\partial_r\underline{\psi} + \frac{5}{M}\underline{\phi} - \frac{1}{M^2}\underline{\psi},\end{aligned}$$

and using Corollary 9.1 we obtain the decay rates of $\underline{f}, \underline{b}$ along the event horizon \mathcal{H}^+ .

To show the non-decay estimates we consider a ∂_r -derivative of the Teukolsky system and we consider sufficiently many ∂_r -derivatives of the transport equations to express all components in terms of \underline{f} , \underline{b} , $\underline{\phi}$ and $\underline{\psi}$. In particular, the equation for \underline{f} yields

$$\begin{aligned} T \left(2\partial_r^2 \underline{f} + \frac{4}{M} \partial_r \underline{f} - \frac{4}{M^2} \underline{f} \right) - \frac{6}{M^2} \partial_r \underline{f} + \frac{12}{M^3} \underline{f} + \frac{2}{M^2} \partial_r \underline{f} \\ = -\frac{4}{M^2} \partial_r \underline{f} + \frac{10}{M^3} \underline{f} + \frac{2}{M^2} \partial_r \underline{b} - \frac{6}{M^4} \underline{b} - \frac{2}{M^2} \partial_r \underline{f} \\ \Rightarrow \frac{4}{M} \partial_r \underline{f} + \frac{2}{M^2} \partial_r \underline{b} = \partial_r^2 \underline{\phi} - \frac{2}{M^2} \underline{\phi} + \frac{10}{M^2} \underline{f} + \frac{6}{M^3} \underline{b} \end{aligned} \quad (335)$$

Using the same approach for the equations of \underline{b} we obtain

$$\frac{8}{M} \partial_r \underline{f} + \frac{10}{M^2} \partial_r \underline{b} = \frac{1}{M} \partial_r^2 \underline{\psi} - \frac{2}{M^3} \underline{\psi} + \frac{24}{M^2} \underline{f} + \frac{30}{M^3} \underline{b} \quad (336)$$

Note that the coefficients of $\partial_r \underline{f}$, $\partial_r \underline{b}$ terms in the equations above are the same with the top order ones in (333,334). Solving the system once again yields

$$\begin{aligned} \frac{6}{M^2} \partial_r \underline{b} &= \frac{1}{M} \partial_r^2 \underline{\psi} - 2\partial_r^2 \underline{\phi} - \frac{2}{M^3} \underline{\psi} + \frac{4}{M^2} \underline{\phi} + \frac{4}{M^2} \underline{f} + \frac{18}{M^3} \underline{b} \\ \frac{12}{M} \partial_r \underline{f} &= 5\partial_r^2 \underline{\phi} - \frac{1}{M} \partial_r^2 \underline{\psi} - \frac{10}{M^2} \underline{\phi} + \frac{2}{M^3} \underline{\psi} + \frac{26}{M^2} \underline{f} \end{aligned} \quad (337)$$

Consider the decomposition of $\underline{\phi}$, $\underline{\psi}$ in terms of $\Psi_1^{(\ell=2)}$, $\Psi_2^{(\ell=2)}$

$$\begin{aligned} \underline{\phi}_{\ell=2} &= \frac{1}{10M^2} \Psi_2^{(2)} + \frac{1}{20M^2} \Psi_1^{(2)} \\ \underline{\psi}_{\ell=2} &= -\frac{1}{10M} \Psi_2^{(2)} + \frac{1}{5M} \Psi_1^{(2)} \end{aligned}$$

then, using the conservation laws of Theorem 6.1 and the decay estimates of Theorem 9.2 for the expressions in (337) we obtain

$$\begin{aligned} \partial_r \underline{b} &= -\frac{1}{20} H_2[\underline{\Psi}_2] + \mathcal{O}(\tau^{-\frac{1}{4}}) \\ \partial_r \underline{f} &= \frac{1}{20M} H_2[\underline{\Psi}_2] + \mathcal{O}(\tau^{-\frac{1}{4}}), \end{aligned}$$

and thus concluding the non-decay estimates of the proposition.

Finally, to obtain the blow-up estimates we use induction on the number of derivatives. Note that the $k = 0$ case corresponds to the non-decay estimates shown above.

Assume the expression of the assumption holds for all $s \leq k, k \geq 1$, then we consider ∂_r^{k+1} -derivatives of the Teukolsky system and we use the same procedure as above. In particular, for the equations of \underline{f} we obtain

$$\begin{aligned} & T \left(2\partial_r^{k+2} \underline{f} + \partial_r^{k+1} \left(\frac{2}{r} \underline{f} \right) \Big|_{r=M} \right) - \frac{6}{M^2} \partial_r^{k+1} \underline{f} \\ & + \left[\binom{k+1}{2} D''(M) + \binom{k+1}{1} R'(M) \right] \partial_r^{k+1} \underline{f} \\ & = -\frac{4}{M^2} \partial_r^{k+1} \underline{f} + \frac{2}{M^3} \partial_r^{k+1} \underline{b} - \binom{k+1}{1} D''(M) \partial_r^{k+1} \underline{f} \\ & + D''(M) \frac{1}{M^2} \partial_r^{k-1} \underline{a} + \mathcal{L}[\underline{f}^{\leq k}, \underline{b}^{\leq k}, \underline{a}^{\leq k-2}] \end{aligned} \quad (338)$$

where $\mathcal{L}[\underline{f}^{\leq k}, \underline{b}^{\leq k}, \underline{a}^{\leq k-2}]$ is an expression involving ∂_r -derivatives of the underline quantities up to the order of their respective superscript. Differentiating the transport equation for \underline{f} as well we obtain

$$T(2\partial_r^s \underline{f}) = \partial_r^s \left(\frac{1}{r} \underline{f} \right) - \partial_r^{s+1} (D \cdot \underline{f}), \quad \forall s \geq 0$$

and thus the previous equation yields

$$\frac{4}{M^2} \partial_r^{k+1} \underline{f} + \frac{2}{M^3} \partial_r^{k+1} \underline{b} = \frac{1}{M} \partial_r^{k+2} \underline{\phi} + \tilde{\mathcal{L}}[\underline{\phi}^{\leq k+1}] + \mathcal{L}[\underline{f}^{\leq k}, \underline{b}^{\leq k}]. \quad (339)$$

Note that the term of $\partial_r^{k-1} \underline{a}$ in (338) was absorbed in the $\mathcal{L}[\underline{f}^{\leq k}, \underline{b}^{\leq k}]$ term. We can do this by taking ∂_r^{k-1} -derivatives of the Teukolsky equation for \underline{a} and using the relating equation (315) we express $\partial_r^{k-1} \underline{a}$ in terms of lower derivatives of itself and derivatives up to order k of both $\underline{f}, \underline{b}$. By consecutively repeating the same procedure for the remaining lower order derivative of \underline{a} we eventually write it only in terms of derivatives of $\underline{f}, \underline{b}$.

We repeat these steps for the equations of \underline{b} and we obtain

$$\frac{8}{M} \partial_r^{k+1} \underline{f} + \frac{10}{M^2} \partial_r^{k+1} \underline{b} = \frac{1}{M} \partial_r^{k+2} \underline{\psi} + \tilde{\mathcal{L}}[\underline{\psi}^{\leq k+1}] + \mathcal{L}[\underline{f}^{\leq k}, \underline{b}^{\leq k}] \quad (340)$$

Once again, after solving the system formed by (339,340), using the induction assumption for all derivatives up to order k and using Theorem 9.3, we obtain

$$\begin{aligned}\partial_r^{k+1} \underline{b}(\tau, \omega) &= -\frac{1}{M} \frac{M^3}{20M^2} \partial_r^{k+2} \underline{\Psi}_2^{(2)}(\tau, \omega) + \mathcal{O}(\tau^{k-1}) \\ &= (-1)^{k+1} b_k H_2[\underline{\Psi}_2](\omega) \cdot \tau^k + \mathcal{O}(\tau^{k-\frac{1}{4}}) \\ \partial_r^{k+1} \underline{f}(\tau, \omega) &= \frac{1}{20M} \partial_r^{k+2} \underline{\Psi}_2^{(2)} + \tilde{\mathcal{L}}[\underline{\Psi}_2^{\leq k+1}, \underline{\Psi}_1^{\leq k+2}] + \mathcal{L}[\underline{f}^{\leq k}, \underline{b}^{\leq k}] \\ &= (-1)^k f_k H_2[\underline{\Psi}_2](\omega) \cdot \tau^k + \mathcal{O}(\tau^{k-\frac{1}{4}})\end{aligned}$$

asymptotically along the event horizon \mathcal{H}^+ , for any $k \geq 1$.

One can proceed similarly to show estimates for \underline{f}_ℓ and \underline{b}_ℓ , for all frequencies ℓ . Of course, the higher the frequency ℓ the more ∂_r -derivatives we must take for the non-decay and blow estimates to manifest. Nevertheless, using standard elliptic identities and the results of the dominant frequency projection $\ell = 2$ we conclude the estimates of the assumption. \square

Non-decay and blow up estimates for $\underline{\alpha}_\star$ on \mathcal{H}^+ .

Now that we understand the behavior of \underline{f} , \underline{b} asymptotically along the event horizon \mathcal{H}^+ , we can prove estimates for the rescaled scalar extreme curvature component \underline{a} of spin -2. In particular, we see that it does **not** decay along the event horizon, and any transversal invariant derivative we consider leads to blow-up asymptotically on \mathcal{H}^+ . Then, estimates for $\underline{\alpha}_\star$ follow by standard elliptic identities.

Theorem 11.2 *Let $\underline{\alpha}_\star$ be solutions to the generalized Teukolsky equation of -2-spin, then for generic initial data the following estimates hold asymptotically along \mathcal{H}^+ , for all $\tau \geq 1$*

- *Non-decay*

$$\|\underline{\alpha}_\star\|_{S_{\tau,M}^2} = \frac{1}{\sqrt{12}M^2} \frac{1}{30} \cdot \mathcal{H}_2[\underline{\Psi}_2] + \mathcal{O}\left(\tau^{-\frac{1}{4}}\right),$$

- *Blow-up*

$$\left\| \nabla_{\partial_r}^k \underline{\alpha}_\star \right\|_{S_{\tau,M}^2} = \frac{1}{\sqrt{12}M^2} c_k \cdot \mathcal{H}_2[\underline{\Psi}_2] \cdot \tau^k + \mathcal{O}\left(\tau^{k-\frac{1}{4}}\right), \quad \forall k \geq 1.$$

where $\mathcal{H}_2[\underline{\Psi}_2] := \|H_{\ell=2}[\underline{\Psi}_2]\|_{S_{\tau,M}^2}$, $\forall \tau \geq 1$ and

$$c_k := \frac{1}{30} \frac{1}{(2M)^k} \frac{(k+3)!}{3!}, \quad k \geq 1.$$

Proof First, we show the corresponding estimates for the induced scalar \underline{a} supported on the fixed frequency $\ell = 2$. For the non-decay estimate, we begin by taking one ∂_r -derivative of the relating equation (315) and after we evaluate it on the horizon $\{r = M\}$ we obtain

$$T(2\partial_r \underline{a}) + \frac{4}{M^2} \underline{a} = \frac{2}{M} \partial_r \underline{f} + \frac{2}{M^2} \partial_r \underline{b} - \frac{10}{M^2} \underline{f} - \frac{2}{M^3} \underline{b} \quad (341)$$

On the other hand, we write down the Teukolsky equation for \underline{a} and evaluate it on the horizon \mathcal{H}^+ to get

$$T\left(2\partial_r \underline{a} + \frac{6}{M} \underline{a}\right) = \frac{2}{M^2} \underline{a} + \frac{4}{M} \partial_r \underline{f},$$

while evaluating the Teukolsky equation for \underline{f} on \mathcal{H}^+ , written as in (317), yields

$$T\left(\frac{2}{M} \underline{a}\right) = T\left(2\partial_r \underline{f} + \frac{4}{M} \underline{f}\right).$$

Thus, plugging in the last two relations to (341) and using the transport equation for \underline{f} gives us

$$\frac{6}{M^2} \underline{a} = -\frac{2}{M} \partial_r \underline{f} + \frac{2}{M^2} \partial_r \underline{b} + \frac{16}{M^2} \underline{f} - \frac{2}{M^3} \underline{b} + \frac{3}{M} \partial_r \underline{\phi} + \frac{3}{M^2} \underline{\phi} \quad (342)$$

However, from Proposition 11.1 we have that the last four terms decay; taking the limit for the first two yields

$$\begin{aligned} \underline{a} &= -\frac{M}{3} \partial_r \underline{f} + \frac{1}{3} \partial_r \underline{b} + \mathcal{O}(\tau^{-\frac{1}{4}}) = \frac{1}{3} \left(-\frac{1}{20} - \frac{1}{20}\right) H_2[\underline{\Psi}_2] + \mathcal{O}(\tau^{-\frac{1}{4}}) \\ \Rightarrow \quad \underline{a}(\tau, \omega) &\xrightarrow{\tau \rightarrow \infty} -\frac{1}{30} H_2[\underline{\Psi}_2](\omega). \end{aligned}$$

In view of $H_2[\Psi_2]$ being almost everywhere non-zero on $S_{v,M}^2$ for generic initial data, we obtain the non-decay result of \underline{a} .

To obtain the higher-order blow-up estimates we use induction on the number of derivatives. The base case $k = 0$ corresponds to the non-decay results proved earlier. Assume the proposition holds for all $s \leq k - 1$, $k \geq 1$, then we consider k many ∂_r -derivatives of the Teukolsky equation for \underline{a} and evaluate it on the horizon \mathcal{H}^+ to

obtain

$$\begin{aligned} T \left(2\partial_r^{k+1} \underline{a} + \partial_r^k \left(\frac{2}{r} \underline{a} \right) \Big|_{r=M} \right) - \frac{6}{M^2} \partial_r^k \underline{a} + \left[\binom{k}{2} D''(M) + \binom{k}{1} R'(M) \right] \partial_r^k \underline{a} \\ = -\frac{4}{M^2} \partial_r^k \underline{a} - 2 \binom{k}{1} D''(M) \partial_r^k \underline{a} + \frac{4}{M} \partial_r^{k+1} \underline{f} + \mathcal{L}[\underline{a}^{\leq k-1}, \underline{f}^{\leq k}] \end{aligned} \quad (343)$$

On the other hand, we may write the relating equation (315) as

$$T(2\underline{a}) = \frac{2}{Mr} \underline{b} + \frac{2}{r} (1 - 4\sqrt{D}) \underline{f} - 2D'(r) \underline{a} - D \partial_r \underline{a} + \frac{D}{r} \underline{a}$$

and by taking $k+1$ -many derivatives of the above and evaluating on \mathcal{H}^+ we obtain

$$\begin{aligned} T(2\partial_r^s \underline{a}) = \frac{2}{M^2} \partial_r^s \underline{b} + \frac{2}{M} \partial_r^s \underline{f} - \left[2 \binom{s}{1} D''(M) + \binom{s}{2} D''(M) \right] \partial_r^{s-1} \underline{a} \\ + \tilde{\mathcal{L}}[\underline{f}^{\leq s-1}, \underline{b}^{\leq s-1}, \underline{a}^{\leq s-2}] \end{aligned}$$

Thus, plugging the above expression in (343) for all $s \leq k+1$ yields

$$\frac{6}{M^2} \partial_r^k \underline{a} = \frac{2}{M^2} \partial_r^{k+1} \underline{b} - \frac{2}{M} \partial_r^{k+1} \underline{f} + \mathcal{L}[\underline{f}^{\leq k}, \underline{b}^{\leq k}, \underline{a}^{\leq k-1}]$$

Now we can use the blow-up estimates of Proposition 11.1 and the inductive hypothesis to get

$$\begin{aligned} \partial_r^k \underline{a}(\omega) &= (-1)^k \left(\frac{1}{3} b_k - M f_k \right) H_2[\underline{\Psi}_2](\omega) \cdot \tau^k + \mathcal{O}(\tau^{k-\frac{1}{4}}) \\ &= (-1)^{k+1} \frac{2}{3} b_k H_2[\underline{\Psi}_2](\omega) \cdot \tau^k + \mathcal{O}(\tau^{k-\frac{1}{4}}) \end{aligned}$$

and in view of $\frac{2}{3} b_k = c_k$ we conclude the estimates for \underline{a} . Finally, using standard elliptic identities we show the estimates of the assumption for the tensor $\underline{\alpha}_\star$. \square

Acknowledgements I would like to express my gratitude to Aretakis Stefanos for introducing me to this problem and for his fruitful advice, insights, and comments. I am particularly grateful to Elena Giorgi for her constant support and invaluable ideas while this paper was being written. Finally, I would like to thank Mihalis Dafermos and Gustav Holzegel for assisting with helpful discussions and suggestions.

Author Contributions Apetroaie Marios Antonios wrote the main manuscript text and prepared figures 1–4. I reviewed the manuscript.

Funding Open Access funding enabled and organized by Projekt DEAL.

Declarations

Competing interests The authors declare no competing interests.

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