

# Acute Kidney Injury in Term Neonates

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## Key points

- AKI is common in sick term/near-term newborns.
- Specific risk factors for AKI include perinatal asphyxia, complex cardiac surgery specially using cardiac bypass, ECMO, drug nephrotoxicity, and sepsis.
- Increased awareness and significance of neonatal AKI would lead to more prevention, faster recognition, and earlier treatment.
- Newer technology will be impacting earlier and more accurate diagnosis, management, and prognosis in the near future.

This article is part of the Topical Collection on *Nephrology - Neonatal AKI*

**Keywords** Acute renal failure · Acute renal insufficiency · Full-term · Newborn · Neonatal

## Abstract

*Purpose of Review* The epidemiology of acute kidney injury (AKI) in the term/near-term newborn has not been previously thoroughly addressed. Therefore, we have focused our attention on the epidemiology of AKI in term/near-term neonates, including its prevention and management.

*Recent Findings* AKI occurs in a significant percentage of term/near-term babies presenting with perinatal asphyxia or undergoing cardiac surgery or extracorporeal membrane life support. Other important risk factors include neonatal sepsis and drug nephrotoxicity.

*Summary* This review summarizes what is known about AKI in the term/near-term neonate and highlights applicability of current knowledge. New technologies (-omics) will provide important tools that may improve diagnosis and management of neonatal AKI.

## Introduction

Acute kidney injury (AKI) is common in the neonatal intensive care unit (NICU) population, occurs in all gestational ages, and is associated with significant morbidity and mortality [1–5]. In the largest

multicenter cohort study published to date, 30% of sick infants admitted to a NICU across all gestational ages developed AKI [5]. Of note, 37% of infants born at  $\geq 36$  weeks had a diagnosis of AKI. Although studies have shown that sick term/near-term neonates are an important subset of the AKI population [5–16], a comprehensive description of the epidemiology of AKI in infants in this gestational age (GA) has not been previously performed.

Multiple risk factors place term/near-term neonates at risk of AKI, including perinatal asphyxia, complex cardiac surgery, extracorporeal membrane oxygenation

(ECMO), nephrotoxic medications, and sepsis [5–16]. AKI is a risk factor for chronic kidney disease (CKD) [17–22]. However, it is not known whether term/near-term neonates are at increased risk of CKD. Multiple studies have also shown that infants who develop AKI have worse outcomes, including higher mortality rate [5, 7, 8, 11–16].

In this review, we summarize the epidemiology and risk factors for AKI, specifically in the term/near-term neonate, addressing current limitations of knowledge, and reviewing possible preventive and therapeutic measures.

## Definition of AKI

AKI is an abrupt decrease in the kidneys ability to excrete waste products and maintain water and electrolyte hemostasis, due to a constellation of risk factors and etiologies that include intrinsic renal diseases, urinary tract abnormalities, extrarenal pathology, or other non-specific conditions.

Glomerular filtration rate (GFR) is the most commonly used measure of kidney function. GFR in term infants is very low and steadily increases in the first few days to weeks of life, as the kidneys assume their role in fluid and electrolyte regulation attaining adult levels by age 2 [23]. Serum creatinine (SCr) level is the most widely used biomarker to assess kidney function; its use in the neonatal population has unique challenges [24]. Initial SCr levels are a reflection of maternal creatinine rather than the neonate's. There is a decline in SCr level in the first days to weeks of life, and this rate of decline depends on the gestational age at birth.

Criteria for defining AKI has evolved from an arbitrary use of absolute SCr cut-offs to a more structured guideline that combines small percentage changes in SCr and urine output leading to a better comparison of data from several studies (Table 1) [2, 25–28]. Jetton et al. have recently proposed a neonatal definition to provide a more standardized definition for the neonatal population [2]. This modified definition relies on a previous lowest SCr levels as the reference value, from which absolute rise is compared. It identifies three levels of AKI severity based on changes in urine output and SCr level. A SCr level of 2.5 mg/dl was included as the cut-off to define stage 3 AKI as it reflects a GFR of  $< 10$  ml/min/1.73 m<sup>2</sup> at this age. Validation of the latest neonatal AKI definition and/or development of novel definitions, which use meaningful outcomes to validate the optimal SCr and urine output thresholds, should be an area of further research in the NICU population [28].

## Epidemiology and risk factors

The incidence of neonatal AKI (nAKI) has been reported to vary widely depending on whether the study population included the whole NICU population, a specific gestational age or whether specific subgroups of sick neonates

**Table 1. Staging criteria for neonatal acute kidney injury**

Stage of AKI	Serum creatinine	Urine output
AKIN		
1	SCr 1.5-fold baseline or $\geq 0.3$ mg/dl increase	$< 0.5$ ml/kg/h for $\geq 6$ h
2	SCr 2-fold baseline	$< 0.5$ ml/kg/h for $\geq 12$ h
3	SCr 3-fold baseline or increase in SCr to $\geq 4.0$ mg/dl with an acute increase of $\geq 0.5$ mg/dl or RRT	$< 0.3$ ml/kg/h for $\geq 24$ h or anuria for $\geq 12$ h
pRIFLE		
R	eGFR decrease $> 25\%$	$< 0.5$ ml/kg/h for $\geq 8$ h
I	eGFR decrease $> 50\%$	$< 0.5$ ml/kg/h for $\geq 16$ h
F	eGFR decrease $> 75\%$ or $< 35$ ml/min/1.73m <sup>2</sup>	$< 0.3$ ml/kg/h for $\geq 24$ h or anuric for $\geq 12$ h
L	Failure $> 4$ weeks	
E	Failure $> 3$ months	
Neonatal KDIGO		
1	SCr 1.5-fold baseline or $\geq 0.3$ mg/dl increase	$< 0.5$ ml/kg/h for 6–12 h
2	SCr 2-fold baseline	$< 0.5$ ml/kg/h for $\geq 12$ h
3	SCr 3-fold baseline or increase in SCr to $\geq 2.5$ mg/dl with an acute increase of $\geq 0.5$ mg/dl or RRT	$< 0.3$ ml/kg/h for $\geq 24$ h or anuria for $\geq 12$ h

AKIN, acute kidney injury network; pRIFLE: pediatric-modified risk injury failure loss end-stage renal disease; KDIGO, kidney disease: improving global outcomes; eGFR, estimated glomerular filtration rate

with additional comorbidities are studied [5–16]. In a recent multicenter cohort study that included neonates admitted to level 2–4 NICUs at 24 medical centers during a 3-month period and who received intravenous fluids for at least 48 h, we can see how the incidence of AKI differs across the GA continuum. Differences in the incidence of nAKI occurred in a U distribution ( $\leq 29$  weeks GA = 45%;  $\geq 29$ –36 weeks GA = 14%;  $> 36$  weeks GA = 41%). Even after controlling for multiple confounders, infants between 29 and 36 weeks GA were more likely to die (adjusted OR = 5.1 (1.6–6.5)  $p < 0.01$ ) and had longer 9.6 more adjusted days compared to those without AKI. Similarly, even after controlling for multiple confounders, infants  $> 36$  weeks GA were more likely to die (adjusted OR = 3.9 (1.2–13.2)  $p < 0.05$ ) and had longer 11 more adjusted hospital days compared to those without AKI.

## Risk factors for AKI in term/near-term neonates

Even with a full set of nephrons and a more mature renal tubular function, newborns born at term may develop certain comorbidities that could place them at increased risk. Significant risk factors include perinatal asphyxia, complex cardiac surgery, ECMO, nephrotoxic medications, and sepsis (Table 2) [5–16]. Term/near-term babies with AKI were more likely to have been born outside the hospital, to mothers with maternal infection, with meconium-stained fluid, and with higher rates of hypoxic-ischemic encephalopathy [5].

Table 2. AKI studies on sick term/near-term neonates with other comorbidities

Author (year)	Design	AKI criteria/definition	Population	AKI incidence	Findings	
Perinatal asphyxia Kaur (2011)	Single-center prospective	AKIN	Term/near-term with perinatal asphyxia	41.7% (13/36) 9.1% (1/11) moderate asphyxia 56% (12/25) severe asphyxia	15.6% (9/58)	Infants with AKI had lower survival rates than those without AKI [72% (7/9) vs. 100% (49/49) ( $p < 0.02$ )]
Askenazi (2013)	Single-center prospective	AKIN	Term/near-term with perinatal asphyxia	38% (36/96)	Overall mortality was 7% (14% in AKI vs. 3% without AKI; $p = 0.099$ ) AKI babies required more days of mechanical ventilation (mean days $9.7 \pm 5.9$ vs. $4.8 \pm 3.7$ ; $p = 0.001$ ) AKI babies had a longer stay in NICU (mean stay $15.4 \pm 9.3$ days vs. $11 \pm 5.9$ days; $p = 0.014$ )	
Selewski (2013)	Single-center retrospective	AKIN	Term/near-term with perinatal asphyxia treated with hypothermia	12% (13/106)	An additional 19% (20/106) defined as a delay in SCr decline	
Cardiac surgery Krawczeski (2011)	Single-center prospective	-Neonatal modified KDIGO -Rate of SCr decline	Term/near-term with perinatal asphyxia treated with hypothermia	23% (8/35)	NGAL in plasma and urine is an early biomarker in neonatal AKI after cardiac surgery	
Blinder (2012)	Single-center retrospective	Modified AKIN	Term/near-term with CHD cardiac surgery at < 30 days Term/near-term with CHD cardiac surgery at < 90 days, median	52% (225/430) Stage 1 = 60% (135/225)	Mortality risk increased with AKI severity: OR for stage 2 = 5.1 (95% CI = 1.7–15.2; $p = 0.004$ )	

Table 2. (Continued)

Author (year)	Design	AKI criteria/definition	Population	AKI incidence	Findings
Morgan (2013)	Multicenter prospective	AKIN	age 7 days (IQR 5–13) Term/near-term cardiac surgery at < 6 weeks	Stage 2 = 27% (60/225) Stage 3 = 13% (30/225) 64% (170/264) Stage 1 = 55% (93/170) Stage 2 = 20% (35/170) Stage 3 = 25% (42/170)	OR for stage 3 = 9.5 (95% CI = 2.9–30.7; $p = 0.0002$ )  AKI stages 2 and 3 (AHR 7.34; CI 1.63–33.4; $p = 0.01$ ) were significantly associated with mortality  Cardiac surgery-associated AKI was associated with longer intensive care unit (AHR = 0.74; $p = 0.03$ ) and hospital discharge (AHR 0.73; $p = 0.02$ ), and longer time to extubation (AHR 0.76; $p = 0.03$ ).  AKIN stage 3 was independently associated with mortality (OR 6.7, 95% CI 1.08–41.5; $p < 0.05$ ) and longer length of stay in the intensive care unit (HR 9.09, 95% CI 1.35–60.95; $p < 0.05$ )  Cardiopulmonary bypass duration of $\geq 120$ min was associated with AKI (OR 2.53 95% CI 1.03–6.3; $p < 0.05$ )
Alabbas (2013)	Single-center retrospective	AKIN	Term/near-term cardiac surgery at < 28 days	62% (76/122) Stage 1 = 29% (22/76) Stage 2 = 25% (19/76) Stage 3 = 46% (35/76)	
Extracorporeal membrane oxygenation (ECMO)					
Gadepalli (2001)	Single-center retrospective	RIFLE	Term/near-term with congenital diaphragmatic hernia on ECMO	71% (48/68) Risk = 0% (0/48) Injury = 31% (15/48) Failure = 69% (33/48)	Babies with AKI had higher mortality rate than babies without AKI (survival rate 27 vs. 80%; $p = 0.001$ )
Askenazi (2011)	Multicenter retrospective	SCr > 1.5 mg/dl	Term/near-term for non-cardiac cause on ECMO	8% (638/7941)	Neonates with AKI had higher mortality than those without AKI (OR = 3.2; 95% CI 2.6–4.0; $p < 0.0001$ )

**Table 2.** (Continued)

Author (year)	Design	AKI criteria/definition	Population	AKI incidence	Findings
Zwiers (2013)	Single-center prospective	RIFLE	Term/near-term on ECMO	64% (153/242) Risk = 47% (72/153) Injury = 36% (55/153) Failure = 17% (26/153)	Mortality more likely in neonates who received RRT (OR = 1.9, 95% CI 1.6–2.2; $p < 0.0001$ )  Survival rate was significantly lower in the “failure” group vs. non-AKI group (35 vs. 78%; $p, 0.001$ )

AHR, adjusted hazard ratio; AKI, acute kidney injury; AKIN, acute kidney injury network; CHD, congenital heart disease; CI, confidence intervals; HR, hazard ratio; eGFR, estimated glomerular filtration rate; ECMO, extracorporeal membrane oxygenation; nKDIGO, kidney disease improving global outcomes (neonatal); OR, odds ratio; RIFLE, risk injury failure loss end-stage renal disease; RRT, renal replacement therapy; SCr, serum creatinine

Several studies have focused on a particular near-term/term population of neonates. Below, we present epidemiology data on neonates born with asphyxia, and on those who undergo cardiopulmonary bypass surgery and/or extracorporeal membrane oxygenation.

### Perinatal asphyxia

Asphyxia remains a significant cause of morbidity and mortality in the term/near-term neonate [29]. The incidence of AKI in studies of asphyxiated infants varied from 12 to 42%, with higher incidence in sicker babies. In this population, AKI was associated with increased mortality. Kaur et al. [6] evaluated 36 neonates  $\geq 34$  weeks gestation with 1 min Apgar score  $< 7$ . AKI developed in 9.1% of infants with moderate asphyxia and in 56% with severe asphyxia. Askenazi et al. [7] studied a cohort of neonates with GA  $> 34$  weeks and birth weight  $> 2000$  g, and 5-min Apgar scores  $< 7$ . AKI was defined as a rise in SCr  $> 0.3$  mg/dl or a persistent SCr  $> 1.5$  mg/dl. AKI was associated with higher birth weight; infants with AKI had increased risk of mortality. Selewski et al. [8] reported the first study of neonates with perinatal asphyxia that received therapeutic hypothermia. GA was  $39 \pm 1.6$  weeks in the group that developed AKI; GA was similar for all asphyxiated babies overall ( $39 \pm 1.6$ ;  $p = 0.91$ ). Neonates with AKI required more days of mechanical ventilation, had longer length of stay in NICU, and had higher mortality.

In a study of term babies with perinatal asphyxia treated with hypothermia for hypoxic-ischemic encephalopathy, Gupta et al. [9] challenged the KDIGO neonatal AKI definition.

Because a healthy term neonate with normal kidney function should have a steady decline in SCr after birth, the absence of this normal trajectory could signify a substantial renal injury. Gupta et al. compared clinical parameters (i.e., hemodynamic support) and kidney injury biomarkers among three groups of infants: (1) infants with nAKI (SCr  $\geq 0.3$  mg/dL), (2) infants whose SCr trajectories did not drop as expected, and (3) infants with normal SCr trajectories. They showed that infants with abnormal SCr trajectories were more similar to those with nAKI than to those who had normal SCr trajectory. This suggests that term infants with an abnormal SCr decline, or any SCr increase, during the first postnatal week, may represent a significant kidney injury.

### Cardiac surgery

AKI is a frequent complication after cardiac surgery in term/near-term babies with a reported incidence varying from 23 to 64% [10–13]. Krawczeski et al. [10] reported an incidence of 23% in a study evaluating the use of neutrophil gelatinase-associated lipocalin (NGAL) to predict postoperative AKI in 35 neonates  $> 37$ -week gestation with congenital heart disease (CHD) without urinary tract abnormalities. There was no difference in mortality rates between those with and without AKI [10]. Blinder et al. [11] reviewed charts of infants  $\geq 34$  weeks who underwent surgery for CHD at their institution. Most infants were term/near-term based on patient's median weight and interquartile range at surgery of 3.1 kg (2.8–3.5 kg) [11]. In contrast to the previous study, postoperative AKI was associated with longer ICU stay (for all three stages of AKI), increased risk of prolonged mechanical ventilation (for stages 2 and 3

AKI), and increased risk of mortality 12 vs. 3% ( $p = 0.001$ ). Morgan et al. [12] evaluated data from a prospective cohort study of 264 neonates with a mean GA of  $38.8 \pm 1.9$  weeks who underwent cardiac surgery in the first 6 weeks of life. Almost 2/3 (64%) of infants developed AKI. Post-cardiac surgery AKI was an independent risk factor for worse outcomes, including mortality. AlAbbas et al. (13) examined charts of neonates  $\leq 28$  days of age who underwent cardiac surgery. Of 76 babies with AKI, 90% were term neonates. Severe AKI (AKIN stage 3) was independently associated with mortality and longer length of stay in the NICU.

### Extracorporeal membrane oxygenation

ECMO is an advanced cardiopulmonary bypass support indicated for reversible cardiovascular or respiratory failure refractory to conventional therapy. Newborns who receive ECMO are at increased risk for AKI [14–16], with a reported incidence varying from 8% in the Extra Corporeal Life Support Organization (ESLO) registry up to 71% in babies with diaphragmatic hernia requiring ECMO. Term/near-term neonates are at particularly increased risk of AKI, as ECMO support by criterion is indicated for neonates  $> 34$  weeks GA. Gadepalli et al. [14] reviewed charts of term/near-term patients with congenital diaphragmatic hernia that required ECMO. There was no difference in mean GA in babies with and without AKI. Neonates that qualified for RIFLE classification of “failure” spent more time on a ventilator and on extracorporeal support and had an increased mortality rate. Askenazi et al. [15] examined data from the ESLO registry and assessed the impact of AKI in neonates who received ECMO for non-cardiac reasons. Of the 7941 sick neonates in the registry, 2175 (27.4%) died. Non-survivors were more likely to have AKI (19 vs. 3.9%;  $p = 0.0001$ ). AKI independently predicted mortality in neonates who received ECMO after adjusting for other known predictors of mortality. Zwiers et al. [16] evaluated data of full-term neonates  $< 28$  days who received ECMO at a single center. About 2/3 of babies developed AKI. Younger age at the start of ECMO was a significant predictor of AKI ( $p = 0.004$ ). In addition, AKI was associated with increased risk of mortality.

### Sepsis

Sepsis is one of the most common diagnoses in term/near-term neonates admitted to the NICU. Neonatal sepsis in term/near-term neonates could develop due to perinatal risk factors or related to nosocomial infection among those who undergo surgeries. The predisposition to AKI in the septic newborn may result from a myriad of factors, ranging from direct damage to the kidneys associated with local infection to general effects on the body by the sepsis and its associated complications. Sepsis-associated hypotension can lead to decreased renal blood flow and compromise tubular functions. Disseminated intravascular coagulopathy may further damage the organ by either thrombosis of the renal vessels or by microthrombi leading to damage of the microvasculature of the organ. Further infections of distant organs, such as brain or adrenals, can lead to tubular dysfunction due to inappropriate ADH secretion, or to fluid and electrolyte imbalance and hypotension [30].

Recently, it has been demonstrated that inflammatory mediators released during infection may lead to cell cycle arrest and tubular dysfunction and trigger



AKI [30]. The relationship of sepsis and AKI is bidirectional and related by the common thread of immunomodulation and pro-inflammatory state. Sepsis and AKI were independently associated with mortality [31]. No neonatal studies have been done to specifically address AKI in sepsis for pathophysiology and intervention.

## Nephrotoxic drugs

The use of nephrotoxic medications in the NICU is very common and include antibacterials (i.e., aminoglycosides and vancomycin), antivirals (i.e., acyclovir), antifungals (i.e., amphotericin A), non-steroidal anti-inflammatory agents (i.e., indomethacin and ibuprofen), and antihypertensives/afterload reducing agents (i.e., angiotensin-converting enzyme [ACE] inhibitors). Each group will damage the kidneys by different mechanisms, affecting renal blood flow, glomerular filtration, tubular function, or the interstitium, all resulting in a common pathway of AKI (Fig. 1) [32, 33].

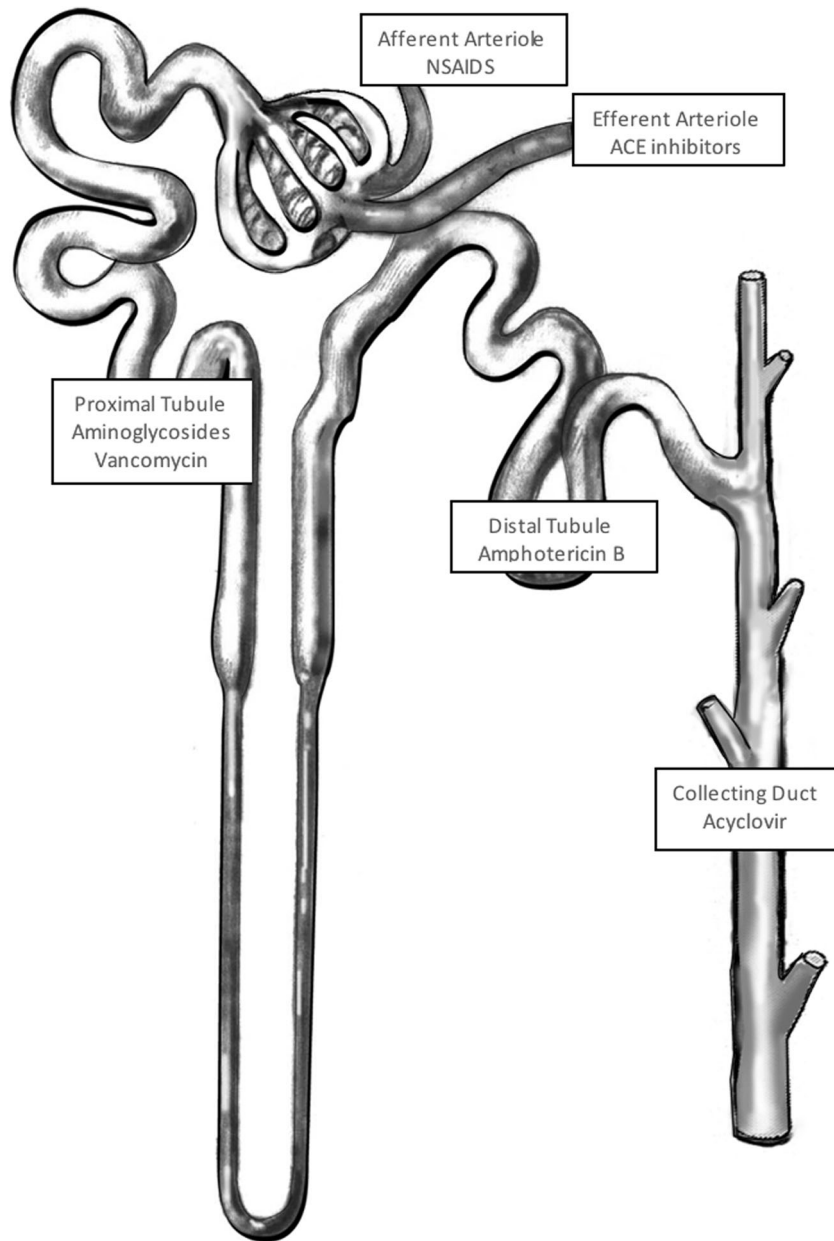
*Aminoglycosides* (i.e., gentamycin), the mainstay of treatment of neonatal sepsis, cause tubular cell death through inhibition of lysosomal phospholipase, cellular lysosomal accumulation, and membrane disruption, leading to cell death [34]. *Vancomycin*, an anti-staphylococcal agent, causes renal tubular ischemia by its oxidative effects on the proximal tubule [35]. *Acyclovir*, an antiviral for herpes simplex infection, is relatively insoluble in urine, leading to crystallization and tubular obstruction [36]. It may also cause direct damage to renal tubular cells [37]. The antifungal *Amphotericin A* causes direct distal tubular toxicity, by increasing tubular membrane permeability, resulting in renal tubular acidosis and electrolyte disturbances [38]. *Anti-inflammatory agents* (i.e., indomethacin and ibuprofen), utilized to close a patent ductus arteriosus, block cyclooxygenase and prostaglandin synthase leading to vasoconstriction [39]. *ACE inhibitors* (i.e., enalapril), indicated for cardiac dysfunction or hypertension, may decrease renal perfusion by inhibiting vasoconstriction of the efferent arteriole, resulting in a drop in GFR [40].

## Identification of the etiology

Although how to define nAKI is a topic of much discussion in the literature, the classic classification according to location of the primary event affecting the kidneys, as prerenal, renal, or post-renal AKI, is useful for clinical purposes, as it may determine the course of action in terms of management. The incidence of each type will vary depending on the complexity of NICU services, availability of neonatal cardiac surgery and ECMO, whether it occurs in a developed or developing country, and other factors.

## Prerenal AKI

Although reported to be the most common type of AKI, the incidence will vary widely depending on the factors outlined above. Prerenal AKI occurs when there is decreased perfusion to the kidneys. Causes of renal hypoperfusion in term neonates are similar to other gestational ages and include increased fluid loss or blood loss, third spacing, sepsis, congestive heart failure, and medications decreasing renal blood flow and/or blood pressure.



**Fig. 1.** Main site of action of nephrotoxic medications causing AKI in term/near-term newborns. NSAIDs, non-steroidal anti-inflammatory drugs. ACE, angiotensin-converting enzyme.

## Renal AKI

Intrinsic kidney injury can occur by different mechanisms. Any entity that causes prolonged hypoperfusion of the kidneys can cause renal ischemic injury

with renal tubular injury. Tubular damage, including tubular obstruction, can occur secondary to drug nephrotoxicity. Renal vascular compromise, such as bilateral renal venous and artery thrombosis, can cause renal AKI. In contrast to older children, primary glomerular disease is infrequent in the neonatal age.

## Post-renal AKI

Urinary tract obstruction causes retention of the urine flow, increasing tubular pressure and decrease in GFR. Important etiologies in term/near-term newborns include posterior urethral valves (PUV) in males, bilateral ureteropelvic obstruction, neurogenic bladder, or external obstruction from compression by a tumor. Renal ultrasonography will show signs of obstruction, such as hydronephrosis, ureteral dilatation, or hypertrophied bladder wall.

## Prevention and treatment

Although there are no specific interventions to prevent or treat AKI in term/near-term neonates, some general measures can be recommended, including preservation of adequate renal perfusion, maintenance of fluid and electrolyte balance, avoidance or monitoring blood concentrations of nephrotoxic medications, relief of pressure caused by urinary obstruction, and relief of abdominal pressure in the event of abdominal compartment syndrome [41].

### Adequate renal perfusion

It is important to maintain a normal blood pressure in order to preserve renal perfusion. Renal perfusion is clinically assessed by measuring urine output, and biochemically by measuring blood urea nitrogen and SCr. Abnormalities in any of these parameters would prompt the clinician to increase fluid intake or to consider the prudent use of vasopressors. The use of low-dose dopamine ( $< 5 \mu\text{g}/\text{kg}/\text{min}$ ) may help in renal vasodilation and maintain adequate renal perfusion, despite the lack of conclusive data supporting its use [42]. Early intervention to restore intravascular volume and/or cardiac output leading to adequate renal blood flow is critical to improve urine output and prevent renal parenchymal damage [43]. Importantly, the blood pressure that is needed to perfuse the kidneys adequately may differ under different clinical scenarios—for example, if there is relatively low oncotic pressure and high intra-abdominal pressure, the required perfusion pressure needed to adequately perfuse the neonate may be higher than in normal situations.

### Fluid and electrolytes

Close observation of fluid intake and output should be maintained, as negative fluid balance may aggravate AKI by decreasing renal perfusion, and a positive fluid balance is associated with detrimental outcomes, including prolonged ventilatory support and hospital stay [44]. This balancing act is difficult, but critical, in both preserving renal perfusion and preventing renal dysfunction associated with fluid overload. The difficulty in neonates is associated with the inability to restrict water for oliguric renal failure, as an obligate amount of fluid is required to administer for the provision of nutrition, blood and blood

products, and medications, along with insensible water loss. Diuretics are routinely used in fluid overloaded oliguric neonate, despite undocumented benefit. A lack of response to diuretics may predict worsening AKI and may need renal replacement therapy (RRT) [45].

Hyponatremia may be due to either fluid retention associated with fluid overload or to excessive tubular sodium loss. The underlying mechanism should be differentiated as the management of these two etiologies differ considerably. Excessive weight gain with poor urine output, especially in a clinical scenario of a prerenal damage, would point towards fluid retention. This is managed by fluid restriction if the serum sodium  $> 110$  mEq/L and baby is asymptomatic, or with the use of hypertonic saline if the baby is symptomatic and/or the serum sodium  $< 110$  mEq/L. If there is excessive urine output and increased tubular sodium loss, it is managed by fluid replacement and increased enteral or parenteral sodium intake.

Management of severe hyperkalemia requires calcium administration to stabilize the myocardium, followed by medications that shift extracellular potassium to the intracellular compartment, such as bicarbonate, glucose insulin drip, and inhaled beta agonists. Decreasing total body potassium can be accomplished by increasing urinary excretion with the use of loop diuretics and/or decreasing enteral absorption utilizing cation exchange resins or decanting milk to reduce its potassium content [46].

Hyperphosphatemia is associated with renal dysfunction and is corrected by decreasing the intake of phosphate utilizing a low phosphate formula or the use of calcium as phosphate binder in the intestine. Furthermore, increase in calcium intake and increasing serum calcium concentration may suppress serum phosphate level.

### Avoiding or monitoring exposure to nephrotoxic drugs

Drug nephrotoxicity is a potentially preventable cause of AKI. Avoidance or the cautious use of nephrotoxic medications will decrease the risk of drug-induced AKI. Serum concentrations should be measured and the dose and interval adjusted. Other contributing factors, which may increase drug toxicity, including length of treatment, presence of other nephrotoxic agents, and other associated comorbidities that compromise baseline renal function, should be also assessed.

### Relieving urinary obstruction

When post-renal AKI is suspected, prompt evaluation and recognition of urinary obstruction by ultrasonography is crucial. Prompt relief of the obstruction will usually result in restoration of renal function. Obstruction may be relieved by bladder catheterization, endoscopic ablation of PUV, or other urological procedures, such as vesicostomy. However, chronic damage may persist over time in many cases of PUV, due to previous injury caused by prolonged intrauterine urinary obstruction [47, 48].

## Renal replacement therapy

RRT is indicated in severe cases of neonatal AKI with fluid overload, and/or electrolyte abnormalities, such as hyperkalemia, hyponatremia,

hyperphosphatemia or metabolic acidosis, refractory to conservative management, or in need of parenteral nutrition [49–52]. There are two modalities for neonates with AKI. Because of relative simplicity, peritoneal dialysis (PD) is the modality of choice in this age group [50, 53]. There is no need for vascular access or extracorporeal circuit; it requires the placement of a PD catheter in the abdomen. When PD is not a viable option or not effective (i.e., abdominal wall defects, skin infections, etc.), CRRT can be performed as well. CRRT is technically more difficult and requires vascular access and an extracorporeal circuit. Most CRRT machines are not designed for neonates; however, newer machines have been specifically designed for use in neonates (not yet available in the USA) [49, 54].

One machine currently being used in neonates in the USA is the Aquadex machine that has been adapted for use as CRRT in neonates [55]. These machines have much smaller extracorporeal volumes than conventional dialysis machines and promise to substantially decrease the complexity and risk associated with CRRT in neonates.

RRT is infrequently utilized in neonatal AKI. The multicenter AWAKEN study reported that RRT was performed in only 4% (25/605) neonates with AKI, which accounted to 1% (25/2022) of enrolled neonates and < 0.5% of the infants admitted to the NICU during AWAKEN screening. CRRT was performed in 16 cases (four alone, 11 along with ECMO, and one along with PD) and PD alone in nine cases. Babies that underwent RRT had a survival rate of 76% (19/25), better than the 44% survival rate in infants < 5 kg reported in a large pediatric RRT registry [51].

## Evolving therapies for prevention and/or management

### Theophylline and caffeine

Theophylline has been studied in multiple randomized placebo-controlled studies administered during the first hours of life in asphyxiated neonates. Those who received theophylline had increased urine output and lower SCr than those randomized to placebo [4, 56, 57]. It attenuates the afferent arteriole constriction and decreased glomerular blood flow caused by adenosine. Recently, caffeine (a different methylxanthine with less side effects than theophylline) has been shown to be independently associated with a decreased incidence of AKI in preterm neonates [58].

### Dexmedetomidine

Its use in children undergoing cardiovascular surgery demonstrated a decrease in the incidence of AKI from 36 to 24% ( $p = 0.046$ ) [59]. Its anti-inflammatory, sympatholytic, and cytoprotective effects, along with reduction of endothelin 1 and renin, may explain its renoprotective effects [60]. There are no studies in neonates, and therefore, it is not recommended at this time.

### Fenoldopam

This is a selective dopamine 1 receptor agonist, which causes a dose-dependent natriuresis and increase in renal blood flow [61]. Its use in infants (including neonates) in the first few months of life undergoing cardiac surgery showed

decrease in biochemical measures of AKI and decrease use of vasopressors and diuretics [62].

### Steroids

Hydrocortisone showed decreased need for vasopressors and higher urine output among neonates undergoing cardiopulmonary bypass [63, 64]. The mechanism is unclear, but it appears to be related to its anti-inflammatory effects. More studies are needed, especially in term neonates with AKI associated with sepsis and asphyxia before they can be recommended for clinical use.

### Acetaminophen

Free plasma hemoglobin in adults who undergo cardiopulmonary bypass has been associated with AKI through lipid peroxidation and oxidative injury. Acetaminophen has been found to attenuate this effect in adults; however, this has not been studied in the pediatric population [65].

### Rasburicase

Some infants develop hyperuricemia associated with dehydration, sepsis with multiple organ failure, and asphyxia. This increase in uric acid causes acute tubular injury leading to AKI. Rasburicase is a recombinant urate oxidase enzyme that effectively oxidizes uric acid to allantoin, which is readily excretable and highly water-soluble. It has advantages over allopurinol, as the latter prevents formation of uric acid but does not decrease preexisting uric acid. Also, rasburicase does not increase precursors of uric acid, which can themselves cause AKI. Furthermore, rasburicase has been shown to be safe from experience with treatment of children with tumor lysis syndrome [66].

### Preventive use of peritoneal dialysis

The use of PD may be useful to decrease fluid overload and improve the outcome of critically sick neonates. A prospective study in children undergoing cardiopulmonary bypass demonstrated negative fluid balance and increased urine output [67]. PD may decrease inflammatory mediators, such as IL-6, IL-8, and IL-10 [68, 69].

### Other experimental therapies

The use of alkaline phosphatase is being investigated for the treatment of sepsis-related AKI [27]. Erythropoietin has been tested in vitro, due to its ability to decrease apoptotic cell death. Other suggested therapies include scavenging nitric oxide with methylene blue and reducing nitric oxide associated proximal tubular injury; use of vitamin D, which acts by altering inflammatory response through immunomodulation and targeted inhibition of pro-inflammatory cytokines.

### Remote ischemic preconditioning

Remote ischemic preconditioning (RIPC) is a process where transient brief episodes of ischemia are applied to the patient and are protective from a subsequent prolonged or severe ischemia and its associated organ damage [70]. RIPC before cardiac surgery may help in attenuating renal damage associated with this procedure. This protection occurs by inducing the release of signaling

molecules that activate Toll-like receptors in the proximal tubule epithelia, conditioning the epithelium and leading to tolerance of subsequent inflammatory or ischemic stress.

### Particle-retentive in-line filtration

Particles from infusion therapy may aggravate the inflammatory response from cardiopulmonary bypass, predisposing to thrombosis, impaired microcirculation, and immune response associated with AKI. In-line filters incorporated into infusion lines retain particles and almost completely prevent particle infusion and its subsequent organ damage. There was a 10–15% reduction of AKI after cardiac surgery in a randomized control trial using in-line filters from absolute risk of 40% AKI in patients undergoing cardiac bypass without in-line filters [71]. No studies exist in the pediatric population.

## Recent omics studies and its impact on diagnosis, prognosis, and management

Omics refers to the technologies that collect information and functions of genes (genomics), mRNA (transcriptomics), proteins (proteomics), and metabolites (metabolomics) in a biological sample [72, 73]. The use of novel urinary biomarkers, such as kidney injury molecule-1, neutrophil gelatinase-associated lipocalin (NGAL), and N-acetyl-D-glucosaminidase, has shown promise as early indicators of both kidney injury and impaired kidney function in neonates [74]. In addition, urinary biomarkers have been identified using proteomics that could predict the outcome of ureteropelvic junction obstruction [75]. Despite the growing number of studies that looked at the application of urine biomarkers to detect neonatal AKI, these biomarkers are not yet ready for clinical use.

## Conclusions

AKI is common in the NICU population. This review specifically addressed the epidemiology of AKI in term/near-term neonates. Risk factors include perinatal asphyxia, complex cardiac surgery, ECMO, nephrotoxic medications, and sepsis.

Prevention of AKI involves addressing the risk factors mentioned above and maintaining adequate renal perfusion, preventing fluid overload and electrolytes imbalance. Treatment is conservative in most cases. RRT is a valid option for the sickest babies. Newer technologies will hopefully provide earlier and more accurate diagnosis and improve management of neonatal AKI.

## Compliance with Ethical Standards

### Conflict of Interest

Juan C. Kupferman reports speaker's fees from Alexion Pharmaceuticals, unrelated to the submitted work.

Miheret Yitayew declares that she has no conflict of interest.

Shantanu Rastogi declares that he has no conflict of interest.

## Human and Animal Rights and Informed Consent

This article does not contain any studies with human or animal subjects performed by any of the authors.

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