

RESEARCH

Check for

A note on complex plane curve singularities up to diffeomorphism and their rigidity

A. Fernández-Hernández¹ and R. Giménez Conejero^{2*}

*Correspondence:
Roberto.Gimenez@uv.es
¹ Departament de Matemátiques,
Universitat de València, Campus
de Burjassot, 46100 Burjassot,
Spain
Full list of author information is
available at the end of the article
R. Giménez Conejero is partially
supported by NKFIH Grant
"Élvonal (Frontier)" KKP 144148

Abstract

We prove that if two germs of plane curves (C,0) and (C',0) with at least one singular branch are equivalent by a (real) smooth diffeomorphism, then C is complex isomorphic to C' or to $\overline{C'}$. A similar result was shown by Ephraim for irreducible hypersurfaces before, but his proof is not constructive. Indeed, we show that the complex isomorphism is given by the Taylor series of the diffeomorphism. We also prove an analogous result for the case of non-irreducible hypersurfaces containing an irreducible component that is non-factorable. Moreover, we provide a general overview of the different classifications of plane curve singularities.

Keywords: Classification of complex singularities, Diffeomorphisms, Smooth equivalence

Mathematics Subject Classification: Primary 32B10; Secondary 14H20, 32S25

1 Introduction

A classical problem is the classification of plane curve singularities up to some equivalence. Among those, the most classical classification is the one given by ambient topological type or, simply, up to (ambient) homeomorphism. On the other side of the spectrum, both by rigidity and historically, one has the complex analytic classification.

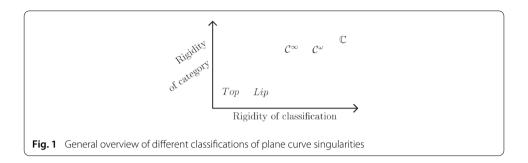
In this work, we provide a self-contained proof of a classification that sits strictly in between these two classifications: classification up to (real) diffeomorphism of the ambient space. Given two germs of (not necessarily irreducible) plane curves (C, 0), $(C', 0) \subset (\mathbb{C}^2, 0)$ with equations g(x, y) and g'(x, y) and at least one singular branch, we show in Theorem 5.4 the following.

Theorem (C,0) and (C',0) are diffeomorphic if, and only if, they are complex isomorphic or C is complex isomorphic to $\overline{C'}$, which is also a complex curve with equation $\overline{g'(\overline{x},\overline{y})}=0$. Furthermore, any diffeomorphism ψ that takes one plane curve singularity to another has a holomorphic or antiholomorphic Taylor series $T_{\infty}\psi$.

This was previously done by Ephraim in [15] for irreducible hypersurfaces, but with a non-constructive proof. Nevertheless, we present a stronger statement showing the



© The Author(s) 2024. This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons licence, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons licence, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons licence and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this licence, visit http://creativecommons.org/licenses/by/4.0/.



rigidity of the diffeomorphism for curves. We also prove a partial result for non-irreducible hypersurfaces using Ephraim's ideas. It is important to know that the equivalent result for curves with only smooth branches is false, and we show this in Sect. 6.

In Sect. 2, we provide a concise review of the main different classifications we have, encompassing all the formal definitions and setting the notation. Furthermore, for some of them, some results in more dimensions are mentioned.

Section 3 is a short account on formal power series. Formal power series are also present in Sect. 4, where we study briefly what we call formal parametrizations.

The previous two sections are used in the proof of our main result, given in Sect. 5. We also provide a detailed account on Ephraim's work in Sect. 7 to show that these ideas are also useful to prove the non-irreducible setting in some cases.

We make some comments on the case of curves with only smooth branches in Sect. 6, since both Ephraim's statement and the statement of our result is false in that case.

Finally, we offer extra insights in Sect. 8.

2 Different classifications

While completing this work, we noticed that the singularity theory community lacks a small review about the main different classifications of plane curve singularities. Indeed, some of the results we state below are not widely known, despite their simplicity and importance. We include this short survey here, since we use the analytic classification, which is based on the topological classification, and give results about the smooth classification.

Unless otherwise stated, we restrict ourselves to the case of plane curve singularities.

The general overview of the different classifications given by rigidity is provided in Fig. 1.

2.1 Topological

The coarsest and most traditional classification is the topological classification. A comprehensive reference on this classification can be found, for example, in Wall's book [58, Chapter 5], or also in [6,50]. See also a very detailed account in [21].

Definition 2.1 We say that two curves (C, 0), $(C', 0) \subset (\mathbb{C}^2, 0)$ are *(ambient) topologically equivalent* (also *(ambient) homeomorphic*, or *equisingular*) if there is a germ of homeomorphism $\psi : (\mathbb{C}^2, 0) \to (\mathbb{C}^2, 0)$ so that $\psi(C) = C'$. The class of equivalence is called *topological class*.

In this case, it turns out that the topological class of a curve is completely determined by the isotopy class of its *link*, namely the intersection of the curve with a sufficiently small

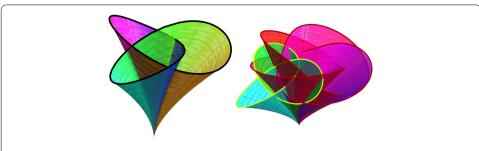


Fig. 2 Representation of two plane curve singularities, one irreducible (left) and the other with two branches (right). Observe the conical structure over their link.

sphere centered at the origin, because a curve is ambient homeomorphic to a cone over its link (see Fig. 2). In this case, the link in the singularity theory sense is also a link in the topological sense, i.e., a disjoint union of knots in \mathbb{S}^3 (one for each irreducible component of the curve).

The origin of these ideas dates back to 1905, when Wirtinger started thinking about the possible groups that could arise from algebraic knots. He suggested this problem to his PhD student Brauner, who gave the first steps toward the classification. They settled the key ideas to show that two branches with the same Puiseux characteristic have isotopic knots. The Puiseux characteristic and the semigroup of a branch are equivalent and contain the same information, so we define and mention the semigroup from now on.

Definition 2.2 Let (C, 0) be an irreducible plane curve (a branch) and assume γ is an injective parametrization. The *semigroup* of *C* is the set of orders

$$\Gamma_C := \{ \text{ ord } \eta \circ \gamma : \eta \in \mathbb{C} \{x, y\} \}.$$

Brauner described the links via stereographic projections in his paper [7], in 1928. A clearer perspective, however, was given by Kähler in [33]. Later, in 1932, Burau and Zariski noticed independently that the Alexander polynomial of an algebraic knot could be harnessed to extract the semigroup of its corresponding branch. This, therefore, showed that the semigroup is a complete topological invariant for branches. More generally, a complete answer in the case of curves with several branches is the following theorem. A detailed historical account, with many references, is [34, Theorem 1.1 of p. 730].

Theorem 2.3 Two germs of analytic plane curves are topologically equivalent if, and only if, there exists a bijection between their branches that preserves both the semigroup of the branches and their pairwise intersection numbers.

Remark 2.4 Hypersurfaces in more dimensions are still classified by their link, since it is still true that the ambient topological type of a hypersurface singularity is that of the cone over its link (a general result in this direction is the conic structure lemma of Burghelea and Verona [8, Lemma 3.2]). The works of Saeki, Perron and King also showed that topological equivalence of hypersurfaces coincides with topological left-right equivalence of their equations; [52, Theorem 1], [49, Teórème 3'] and [35, Theorem 3]. A complete classification in terms of a mathematical object is far more complicated, and these kind of problems are still source of many other problems in different areas of singularity theory.

Some examples of this are [40,42], the more recent [10,46,47] or the celebrated preprint [19].

2.2 Bilipschitz

The Lipschitz condition is slightly more restrictive than just continuity, but less than asking for analyticity or smoothness. Recall that a map f between the metric spaces (X, d_X) and (Y, d_Y) is *Lipschitz* if there is a constant c such that

$$d_Y(f(p), f(q)) \leq c d_X(p, q).$$

Obviously, *bilipschitz* maps are Lipschitz maps with a Lipschitz inverse and since Lipschitz implies continuous, they are also homeomorphisms.

There are two natural metrics we can give to a complex set $(X, 0) \subset (\mathbb{C}^n, 0)$ induced from the standard metric on \mathbb{C}^n : the outer metric and the inner metric.

Definition 2.5 The *outer metric* d_{out} in (X, 0) is the one induced by the distance in \mathbb{C}^n . The *inner metric* d_{inn} is given by the minimal length of (real) curves within X. They only depend on the complex analytic type of X and not on the embedding.

The first contributions on the classification of plane curves up to bilipschitz equivalence were given by Pham and Teissier in 1969 (see a translation in English in [51]). Other contributions, including more dimensions, were also given by Mostowski in [44], Parusinski in [48] and Fernandes in [20]. These results had some analytic, semi-algebraic or similar restrictions, but it was in 2013 that Neumann and Pichon in [45] that closed the classification for curves without extra restrictions. The complete result is the following.

Theorem 2.6 For two plane curves (C, 0), (C', 0), the following are equivalent:

- (i) there is a homeomorphism ψ | : $(C, 0) \rightarrow (C', 0)$ that is bilipschitz for the outer metric;
- (ii) there is a homeomorphism ψ | : $(C, 0) \rightarrow (C', 0)$, holomorphic except at zero, that is bilipschitz for the outer metric;
- (iii) there is a bilipschitz homeomorphism $\psi:(\mathbb{C}^2,0)\to(\mathbb{C}^2,0)$ such that $\psi(C)=C'$;
- (iv) C is topologically equivalent to C'.

For the inner metric, it is very simple even for spatial curves.

Proposition 2.7 Any curve $(C, 0) \subset (\mathbb{C}^n, 0)$ is metrically conical, i.e., bilipschitz to the metric cone on its link, for the inner metric.

Remark 2.8 Lipschitz geometry has experienced an explosion of activity in the recent years in many directions. For instance, the global case is also studied, see [23,54,56], but many other aspects of Lipschitz geometry are also being studied, for example, [3,11].

2.3 Real analytic and smooth

Regarding rigidity of isomorphisms, the next ones among the typical ones are equivalences by smooth (real) diffeomorphism and real analytic diffeomorphism. This is the object of study of this paper.

Definition 2.9 We say that two plane curve singularities (C, 0), (C', 0) are *(ambient)* diffeomorphic, smooth equivalent or \mathbb{C}^{∞} -isomorphic if there is a (real) diffeomorphism $\psi: (\mathbb{C}^2, 0) \to (\mathbb{C}^2, 0)$ such that $\psi(C) = C'$.

Analogously, we define the notion of real analytic diffeomorphic or C^{ω} -isomorphic curves when the diffeomorphism is real analytic.

These two of equivalences were first addressed by Ephraim in his PhD thesis in 1971, under the supervision of Laufer and Gunning, later published as an article in [15]. He showed that the smooth and real analytic equivalences are equivalent themselves for real analytic sets, in full generality. In other words:

Proposition 2.10 *Let* V *and* W *be germs of real analytic sets. They are* C^{∞} *-isomorphic if,* and only if, they are C^{ω} -isomorphic.

Now, observe that if V is a complex set, then \overline{V} is also a complex set, given by the same equation but with conjugated coefficients. Ephraim also showed the following.

Theorem 2.11 Let (V,0) be an irreducible germ of hypersurface. Then, if V is C^{∞} isomorphic to a germ of a complex set W, V is \mathbb{C} -isomorphic to W or \overline{W} .

We review his proof in Sect. 7 and use the same ideas to show the following.

Proposition 2.12 Theorem 2.11 holds also for non-irreducible hypersurfaces if V contains a component that cannot be written as a product of varieties of lower dimension.

Finally, we give a stronger statement for the case of plane curve singularities in Sect. 5 with at least one singular branch. The case of smooth branches is covered in Sect. 6, showing that the equivalent statement of Theorem 2.11 and the following theorem fail in different ways.

Theorem 2.13 If (C, 0) is C^{∞} -isomorphic to (C', 0) by a diffeomorphism ψ , its Taylor series $T_{\infty}\psi$ is holomorphic or antiholomorphic.

Remark 2.14 It is surprising how little these direction is known and how little it has been researched. There are few instances of works focusing on the smooth aspect of complex varieties. For example, Ephraim showed that one can write any irreducible complex variety of any codimension as a product of indecomposable varieties and used this structure theorem to show when two complex sets are \mathcal{C}^{∞} -isomorphic in [17]. He also generalized his structure theorem for any reduced variety in [18].

2.4 Complex analytic

The most restrictive classification is, naturally, the complex analytic classification.

Definition 2.15 Two plane curves (C, 0), (C', 0) are *biholomorphic*, \mathbb{C} -*isomorphic* or *analytic equivalent* if there is a biholomorphism $\phi: (\mathbb{C}^2,0) \to (\mathbb{C}^2,0)$ such that $\phi(C) = C'$.

While the mathematical community has held an interest in the classification of plane curves for a considerable period, a complete classification was not attained until 2020. The first complete classification was given for branches in 2011 by Hefez and Hernandes (Marcelo Escudeiro) in [28,30]. For two branches by Hefez, Hernandes (Marcelo Escudeiro) and Hernandes (Maria Elenice Rodrigues) in [31] in 2015. And, finally, for non-irreducible curves in a preprint version of 2021 by Hernandes (Marcelo Escudeiro) and Hernandes (Maria Elenice Rodrigues) in [29].

A huge number of authors have contributed to this problem before these works were made. To mention some of them together with some of their work: Ebey gave some normal forms in 1965 in [14]; Zariski made important contributions, in particular to the moduli space of some class of curves in 1973 in [59]; Delorne, Granger, Laudal and Pfister, and Greuel and Pfister also contributed to the study of the moduli space in, respectively, [12,24,26,41]; Bruce and Gaffney classified simple irreducible curves in 1982 in [4]; Kolgushkin and Sadykov, and Genzmer and Paul gave normal forms in some cases in, respectively, [25,38]; and many more.

Definition 2.16 Let (C, 0) be a plane branch parametrized by $\gamma(t) = (\gamma_1(t), \gamma_2(t))$. The set of orders of Kähler differentials of C is

$$\Lambda := \left\{ \operatorname{ord}_t \left(\eta \circ \gamma(t) \gamma_1'(t) + \xi \circ \gamma(t) \gamma_2'(t) \right) + 1 : \eta, \xi \in \mathcal{O}_2 \right\} \setminus \{1\}.$$

It is a Γ_C -module, i.e., $\Gamma_C + \Lambda \subseteq \Lambda$, and it is \mathscr{A} -invariant. Furthermore, if $\Lambda \setminus \Gamma \neq \emptyset$, the *Zariski invariant* of C is

$$\lambda := \min(\Lambda \setminus \Gamma) - \nu_0$$

where v_0 is the multiplicity of the curve.

When it comes to study irreducible plane curves under \mathbb{C} -isomorphism, it is a well-known fact that the isomorphism class of the branches coincides exactly with the \mathscr{A} -equivalence class of their parametrizations.

Theorem 2.17 (Normal Forms Theorem, see [28, Theorem 2.1]) Let γ be an injective parametrization of a singular plane branch with semigroup $\Gamma = \langle v_0, \ldots, v_\ell \rangle$. Then, either γ is \mathscr{A} -equivalent to the monomial parametrization (t^{v_0}, t^{v_1}) or to the parametrization

$$\left(t^{\nu_0}, t^{\nu_1} + t^{\lambda} + \sum_{i > \lambda, i \notin \Lambda - \nu_0} a_i t^i\right),\tag{1}$$

where λ is its Zariski invariant and Λ is the set of orders of Kähler differentials of the branch.

Moreover, if γ and γ' , with coefficients a_i' instead of a_i , are parametrizations as in Eq. (1) representing two plane branches with same semigroup and same set of values of differentials, then $\gamma \sim_{\mathscr{A}} \gamma'$ if and only if there is $r \in \mathbb{C}^*$ such that $r^{\lambda-\nu_1} = 1$ and $a_i = r^{i-\nu_1}a_i'$ for all i.

This classification is far more subtle, since the moduli space of a curve can be nondiscrete, i.e., a family $\{C_{\varepsilon}\}_{\varepsilon}$ varying analytically in t can yield different analytic classes for each t. (An example of this phenomenon is given in Example 5.5.) In contrast, the topological class of a plane branch parametrized by

$$\gamma(t) = (t^{\nu_0}, \sum_{r>m} a_r t^r)$$

is solely determined by its exponents r with $a_r \neq 0$ (specifically those in the Puiseux characteristic) instead of the coefficients a_r themselves.

2.5 Other classifications

There are some categories that we have not mentioned, e.g., the one regarding C^1 homeomorphisms. As far as we know, not much about this has been studied. For example, it

is known that multiplicity of hypersurfaces is a \mathcal{C}^1 -invariant (see [16]). Examples seem to suggest that the \mathcal{C}^1 -isomorphism classification may coincide with the topological one (see Example 8.3 and Question 8.4).

There are other equivalences, specially in the real analytic or the global setting. See, for example, the blow-spherical equivalence in [2,53], and the blow-analytic equivalence in [22, 36, 39, 57].

3 Preliminaries on formal power series

3.1 Formal power series

We will use fluently some fundamental facts about formal power series so, for the sake of clarity, we state them here.

Proposition 3.1 Given the formal power series $\mathbf{a}(t) = \sum_{n=0}^{\infty} a_n t^n$ and $\mathbf{b}(t) = \sum_{n=0}^{\infty} b_n t^n$, where $b_0 = 0$, one has that the composition is

$$\mathbf{c}(t) := \mathbf{a}(\mathbf{b}(t)) = \sum_{n=1}^{\infty} c_n t^n,$$

where $c_0 = a_0$ and

$$c_n = \sum_{k=1}^n a_k \sum_{\mathbf{i} \in P_k(n)} b_{i_1} \cdots b_{i_k}, \tag{2}$$

for the partitions

$$P_k(n) := \{(i_1, \ldots, i_k) : i_1 + \cdots + i_k = n\}.$$

This is commonly known as Faá di Bruno's formula. With it, knowing two of the series **a**, **b** or **c** is sometimes enough to compute the other by means of Eq. (2).

Definition 3.2 We say that the *order* of **a** is v_0 if $a_0 = \cdots = a_{v_0-1} = 0$ and $a_{v_0} \neq 0$. Furthermore, a formal change of variable on the series a is precomposing with a series **b** of order one.

Lemma 3.3 If $\mathbf{a} \in \mathbb{C}[[t]]$ is a formal power series of order n, then there exists a formal power series of order one **r** such that $\mathbf{r}^n = \mathbf{a}$. Furthermore, if **a** has order v_0 , it admits a formal change of variable so that the resulting series is precisely t^{v_0} .

Proof Since **a** has order $n \ge 1$, one can write $\mathbf{a} = ax^n(1+\mathbf{e})$ for $a \ne 0$ and **e** a formal power series with constant term 0. Let $r \in \mathbb{C}$ be such that $b^n = a$, and expand $\mathbf{e} = \sum_{s=1}^{+\infty} e_s t^s$. Notice that we have an expansion for $(1 + e)^{1/n}$ given by

$$(1+\mathbf{e})^{n^{-1}} = 1 + n^{-1}\mathbf{e} + \frac{n^{-1}(n^{-1}-1)}{2}\mathbf{e}^2 + \dots$$
(3)

For a fixed $s \in \mathbb{N}$, only a finite number of terms of Eq. (3) contribute to the coefficient of t^s . Thus, the previous expansion defines a power series $\mathbf{g}(t)$. Hence, the series $\mathbf{r} = bt\mathbf{g}(t)$ has clearly order 1 since the initial term of **g** is 1 and $b \neq 0$. It satisfies that $\mathbf{r}^n = at^n(1+\mathbf{e}) = \mathbf{a}$.

Finally, as an immediate consequence, any power series of order v_0 admits a formal change of variable so that the resulting series is precisely t^{ν_0} by the Lagrange inversion formula (see, for example, [55, Proposition 5.4.1 and Theorem 5.4.2]).

Remark 3.4 The existence of roots of power series may be easily derived from this result. More precisely, if $\mathbf{a} \in \mathbb{C}[[t]]$ has order mn, then \mathbf{a} may be written as $\mathbf{a} = \mathbf{r}^{mn}$ for some formal power series \mathbf{r} of order one. Then, \mathbf{r}^n is an m-th root of \mathbf{a} .

4 Formal parametrizations

We need to prove some results on what we call formal parametrizations.

Definition 4.1 A *formal parametrization* γ_{∞} of a curve $(C, 0) \subset (\mathbb{C}^2, 0)$ given by a complex equation g is a pair of formal power series

$$\gamma_{\infty}(t) = \left(\sum_{i=1}^{\infty} \alpha_i t^i, \sum_{i=1}^{\infty} \alpha'_i t^i\right)$$

such that the formal composition $g \circ \gamma_{\infty}$ is zero.

Example 4.2 Formal parametrizations are not necessarily analytic. For example, consider any analytic parametrization $\gamma(t)$ of a curve C and make a change t for any badly-behaved formal series. The easiest, and most disappointing, example happens for $\gamma(t) = (t, 0)$, the curve $\{\gamma = 0\}$ and the series $\sum n!t^n$.

For a given formal parametrization, if we try to undo the change shown in Example 4.2 by a formal change of variables (which we can do by Remark 3.4), we recover something analytic by the lemma below. This is particularly relevant when we do not know if the formal parametrization comes from a change such as the one in Example 4.2.

Lemma 4.3 (cf. [13, Corollary 5.1.8 (3)]) A formal parametrization $\gamma_{\infty}(t)$ of the form

$$\gamma_{\infty}(t) = \left(t^m, \sum_{i=1}^{\infty} \alpha_i' t^i\right)$$

is analytic. The same holds if, more generally, the first formal power series is analytic.

It is a well-known fact that every injective parametrization $\gamma:(\mathbb{C},0)\to(\mathbb{C}^2,0)$ is \mathscr{A} -finite in Mather's sense. Therefore, for large enough k, γ and its Taylor polynomial of order k parametrize \mathbb{C} -isomorphic branches by Mather finite determinacy theorem (see [43, Chapter 6]).

Theorem 4.4 Let $\gamma_{\infty}(t)$ be a formal parametrization of the curve C of minimal order (the multiplicity of C). Assume it has the form

$$\gamma_{\infty}(t) = \left(\sum_{i=1}^{\infty} \alpha_i t^i, \sum_{i=1}^{\infty} \alpha'_i t^i\right).$$

Then, for a big enough k,

$$\gamma_k(t) := \left(\sum_{i=1}^k \alpha_i t^i, \sum_{i=1}^k \alpha_i' t^i\right)$$

parametrizes a curve isomorphic to C.

Proof Assume that the first formal power series has lower order than the second one, and that this order is m, i.e., $\alpha_1 = \cdots = \alpha_{m-1} = 0$ and $\alpha_m \neq 0$. Then, we make a change of

variables so that

$$\tilde{t}^m = \sum_{i=m}^{\infty} \alpha_i t^i.$$

In other words, we have to take an *m*-root of the power series (i.e., a solution of $\sum_{i=m}^{\infty} \alpha_i t^i$ $y^m = 0$, which exist by Remark 3.4), say $\mathbf{r}(t)$, and its inverse by composition $\mathbf{r}^{(-1)}$, as it exists since r has order 1 (by the Lagrange inversion formula, see for example [55, Proposition 5.4.1 and Theorem 5.4.2]). As we want $\tilde{t} = \mathbf{r}(t)$, we have to perform the change $t = \mathbf{r}^{\langle -1 \rangle}(\tilde{t})$.

After this, as the new formal parametrization is also a formal parametrization of *C* with first entry \tilde{t}^m , we have a complex parametrization $\gamma(\tilde{t})$ of C, by Lemma 4.3. Notice that both **r** and its inverse $\mathbf{r}^{(-1)}$ can be computed recursively so, for two series that are equal up to a high order, the m-roots and their inverses are equal up to a high degree as well (up to choice of *m*-roots). This is true, in particular, if we take

$$\sum_{i=m}^{k} \alpha_i t^i \quad \text{and} \quad \sum_{i=m}^{\infty} \alpha_i t^i.$$

Hence, if, for $k \gg 0$, we consider

$$\gamma_k(t) := \left(\sum_{i=1}^k \alpha_i t^i, \sum_{i=1}^k \alpha_i' t^i\right)$$

and proceed similarly with the polynomial $\sum_{i=1}^k \alpha_i t^i$, we reach another complex parametrization that is equal, up to some degree, to $\gamma(\tilde{t})$. In particular, since γ_k is \mathscr{A} finite by the assumption on the order of γ , by Mather finite determinacy theorem (see [43, Chapter 6]), the parametrizations are \mathcal{A} -equivalent, so the curves C and the curve that is parametrized by γ_k are isomorphic.

5 Main result

Let us consider two irreducible plane curve singularities, (C, 0) and (C', 0) in $(\mathbb{C}^2, 0)$, with equations g and g', that are C^{∞} -isomorphic by the diffeomorphism $\psi(x_R, x_I, y_R, y_I)$. By composing with convenient C-isomorphisms, we can assume that the curves admit a normal form parametrization (see Theorem 2.17):

$$\gamma(t) = \left(t^{\nu_0}, t^{\nu_1} + t^{\lambda} + \sum_{s > \lambda, s \notin \Lambda - \nu_0} \omega_s t^s\right), \text{ and}$$

$$\gamma'(t) = \left(t^{\nu_0}, t^{\nu_1} + t^{\lambda'} + \sum_{s > \lambda', s \notin \Lambda' - \nu_0} \omega'_s t^s\right).$$

To simplify notation, we set $\omega_{\nu_1} = \omega_{\lambda} = \omega_{\lambda'} = 1$ and we write $\gamma(t) = (t^{\nu_0}, \sum \omega_s t^s)$ and $\gamma'(t) = (t^{\nu_0}, \sum \omega_s' t^s)$ from now on.

If we consider a real line in $(\mathbb{C}, 0)$ parametrized by $te^{i\theta}$ for $t \in (\mathbb{R}, 0)$ and fixed $\theta \in \mathbb{R}$, we have that

$$g' \circ \psi \circ \gamma(te^{i\theta}) = 0.$$

Hence, the same holds true for the composition of Taylor series

$$g' \circ T_{\infty} \psi \circ \gamma(te^{i\theta}) = 0.$$

Therefore, as $T_{\infty}\psi \circ \gamma(te^{i\theta})$ is, for every $\theta \in \mathbb{R}$, a formal power series on t that satisfies the equation g', $T_{\infty}\psi \circ \gamma(te^{i\theta})$ is a formal parametrization of C' as defined in Definition 4.1.

We will study this formal parametrization to see that, indeed, $T_{\infty}\psi$ has to be very simple provided, as it is with our assumptions, that C and C' are in normal forms.

Let us establish the notation. The Taylor series of ψ is the formal power series

$$T_{\infty}\psi(x_R, x_I, y_R, y_I) = \left(\sum_{i,j,k,\ell} \alpha_{ijk\ell}^R x_R^i x_I^j y_R^k y_I^\ell, \sum_{i,j,k,\ell} \alpha_{ijk\ell}^I x_R^i x_I^j y_R^k y_I^\ell, \sum_{i,j,k,\ell} \beta_{ijk\ell}^R x_R^i x_I^j y_R^k y_I^\ell, \sum_{i,j,k,\ell} \beta_{ijk\ell}^I x_R^i x_I^j y_R^k y_I^\ell\right),$$

which, writing $\alpha_{\bullet} := \alpha_{\bullet}^R + i\alpha_{\bullet}^I$ and $\beta_{\bullet} := \beta_{\bullet}^R + i\beta_{\bullet}^I$, can be written as

$$T_{\infty}\psi(x_R,x_I,y_R,y_I) = \left(\sum_{i,j,k,\ell} \alpha_{ijk\ell} x_R^i x_I^j y_R^k y_I^\ell, \sum_{i,j,k,\ell} \beta_{ijk\ell} x_R^i x_I^j y_R^k y_I^\ell\right).$$

Without any further information, the formal parametrization has the form

$$\begin{split} T_{\infty}\psi \circ \gamma(te^{i\theta}) \\ &= \left(\sum_{i,j,k,\ell} \alpha_{ijk\ell} \cos^{i}(\theta \nu_{0}) \sin^{j}(\theta \nu_{0}) t^{\nu_{0}(i+j)} \left(\sum_{s} \operatorname{Re}(\omega_{s}e^{i\theta s}) t^{s}\right)^{k} \left(\sum_{s} \operatorname{Im}(\omega_{s}e^{i\theta s}) t^{s}\right)^{\ell}; \\ &\sum_{i,j,k,\ell} \beta_{ijk\ell} \cos^{i}(\theta \nu_{0}) \sin^{j}(\theta \nu_{0}) t^{\nu_{0}(i+j)} \left(\sum_{s} \operatorname{Re}(\omega_{s}e^{i\theta s}) t^{s}\right)^{k} \left(\sum_{s} \operatorname{Im}(\omega_{s}e^{i\theta s}) t^{s}\right)^{\ell} \right). \end{split}$$

5.1 Simplification to linear terms

By Lemma 3.3, we can find a formal change of variable such that the first entry of the formal parametrization $T_{\infty}\psi\circ\gamma(te^{i\theta})$ is t^{ν_0} . If we proceed with this change of variable, by Lemma 4.3, we necessarily recover a normal form parametrization $\gamma'(t)=(t^{\nu_0},\sum\omega_s't^s)$ (or any other normal form equivalent to this one).

As we pointed out in Sect. 3.1, if we know the result of the change of variables we obtain some information of the change of variables itself by means of the equations of Faá di Bruno's formula, Proposition 3.1. Following the notation of Sect. 3.1, we have

$$\mathbf{a}(t) = \sum_{ijk\ell} \alpha_{ijk\ell} \cos^{i}(\theta \nu_{0}) \sin^{j}(\theta \nu_{0}) t^{\nu_{0}(i+j)} \left(\sum_{s} \operatorname{Re}(\omega_{s} e^{i\theta s}) t^{s} \right)^{k} \left(\sum_{s} \operatorname{Im}(\omega_{s} e^{i\theta s}) t^{s} \right)^{\ell}$$

$$\mathbf{c}(t) = t^{\nu_{0}}$$

and we want to find $\mathbf{b}(t)$, the change of variables. As it is the same change we perform on the second entry of the formal parametrization and it has to yield $\sum \omega'_s t^s$, we will obtain information about the first series $\mathbf{a}(t)$.

The first equations of Faá di Bruno's formula are:

$$c_1 = 0 = 0,$$

 \vdots \vdots
 $c_{\nu_0 - 1} = 0 = 0,$
 $c_{\nu_0} = 1 = a_{\nu_0} b_1^{\nu_0}.$ (4)

Assume that $\mathbf{a}(t)$ has a term of order bigger than v_0 . This happens if, and only if, there is some $\alpha_{ijk\ell} \neq 0$ with $(i, j, k, \ell) \neq (1, 0, 0, 0)$, (0, 1, 0, 0). Then, if M is the minimal from such

orders, the equations continue with

$$c_{\nu_0+1} = 0 = \kappa a_{\nu_0} b_1^{\nu_0-1} b_2 + a_{\nu_0+1} b_1^{\nu_0+1},$$

for some irrelevant constant κ , giving $b_2 = 0$ if $v_0 + 1 < M$ (so $a_{v_0+1} = 0$). For the same reason, $b_3 = \cdots = b_{M-\nu_0} = 0$. Finally, the equation

$$c_M = 0 = \kappa' a_{\nu_0} b_1^{\nu_0 - 1} b_{M - \nu_0 + 1} + a_M b_1^M$$

gives $b_{M-\nu_0+1} \neq 0$. This term in the change of variable will reflect into restrictions on $\mathbf{a}(t)$ when we proceed with the change of variable of the second entry of the formal parametrization $T_{\infty}\psi\circ\gamma(te^{i\theta})$. Indeed, by the expression of $\mathbf{a}(t)$,

$$M = v_0(i_0 + j_0) + v_1(k_0 + \ell_0)$$

for some i_0, j_0, k_0, ℓ_0 , so $b_{\nu_0(i_0+j_0-1)+\nu_1(k_0+\ell_0)+1} \neq 0$.

When we consider the change of variable given by $\mathbf{b}(t)$ on the second entry, the resulting series contains a term, say T, of order

$$\nu_1 - 1 + (M - \nu_0 + 1) = \nu_0(i_0 + j_0 - 1) + \nu_1(k_0 + \ell_0 + 1)$$

coming from a product of $v_1 - 1$ times b_1 and one time b_{M-v_0+1} when computing \mathbf{b}^{v_1} . Indeed, T has the minimum order one can get after the change of variables if one ignores all the terms coming uniquely from the linear part b_1 of $\mathbf{b}(t)$. We will use this fact shortly. Recall that the resulting change of variable yields

$$\gamma'(t) = \left(t^{\nu_0}, t^{\nu_1} + t^{\lambda'} + \sum_{s > \lambda', s \notin \Lambda' - \nu_0} \omega'_s t^s\right).$$

Hence, as $v_0(i_0+j_0-1)+v_1(k_0+\ell_0+1)\in\Gamma'-v_0\subset\Lambda'-v_0$, the resulting term of this order has to be zero (for every θ) since it is not ν_1 , λ nor any $s \notin \Lambda' - \nu_0$. Furthermore, there are no cancellations because T has minimum order, so T=0 for every θ . This is a contradiction. Therefore, $\alpha_{ijk\ell} = 0$ if $(i, j, k, \ell) \neq (1, 0, 0, 0)$, (0, 1, 0, 0).

Hence, the formal parametrization has the form

$$T_{\infty}\psi \circ \gamma(te^{i\theta}) = \left(\left(\alpha_{1000} \cos(\theta \nu_0) + \alpha_{0100} \sin(\theta \nu_0) \right) t^{\nu_0};$$

$$\sum_{i,i,k,\ell} \beta_{ijk\ell} \cos^i(\theta \nu_0) \sin^j(\theta \nu_0) t^{\nu_0(i+j)} \left(\sum_s \operatorname{Re}(\omega_s e^{i\theta s}) t^s \right)^k \left(\sum_s \operatorname{Im}(\omega_s e^{i\theta s}) t^s \right)^\ell \right).$$

It is obvious that the change of variables $\mathbf{b}(t)$ is just a constant times t. After the change of variables we recover $\gamma'(t)$, so $\beta_{ijk\ell} = 0$ if $i + j \ge 1$ or $k + \ell \ge 2$, otherwise we would have a term of order $\nu_0(i+j) + \nu_1(k+\ell) \in \Lambda' - \nu_0$. Therefore, the parametrization has the form

$$T_{\infty}\psi \circ \gamma(te^{i\theta}) = \left(\left(\alpha_{1000}\cos(\theta v_0) + \alpha_{0100}\sin(\theta v_0) \right) t^{v_0};$$

$$\beta_{0010} \left(\sum_s \operatorname{Re}(\omega_s e^{i\theta s}) t^s \right) + \beta_{0001} \left(\sum_s \operatorname{Im}(\omega_s e^{i\theta s}) t^s \right) \right)$$

and

$$T_{\infty}\psi(x_R, x_I, y_R, y_I) = (\alpha_{1000}x_R + \alpha_{0100}x_I, \beta_{0010}y_R + \beta_{0001}y_I).$$

5.2 Holomorphy

We are going to prove that $T_{\infty}\psi$ is either holomorphic or antiholomorphic (i.e., holomorphic after conjugation on both variables) by studying further the change of variable we have shown above. To simplify notation, we set

$$\alpha := \alpha_{1000}$$
 $\alpha' := \alpha_{0100}$
 $\beta := \beta_{0010}$
 $\beta' := \beta_{0001}$

Lemma 5.1 The coefficients α , α' , β , β' are not zero. Furthermore, α is orthogonal to α' and β is orthogonal to β' .

Proof First of all, as ψ is a diffeomorphism, its differential

$$d\psi_0 = egin{bmatrix} lpha^R & lpha'^R & 0 & 0 \ lpha^I & lpha'^I & 0 & 0 \ 0 & 0 & eta^R & eta'^R \ 0 & 0 & eta^I & eta'^I \end{bmatrix},$$

has maximum rank, so α , α' , β , β' are nonzero.

From Eq. (4), we see that

$$b_1 = \frac{1}{a_{\nu_0}^{1/\nu_0}} = \frac{1}{\left(\alpha\cos(\theta\nu_0) + \alpha'\sin(\theta\nu_0)\right)^{1/\nu_0}}.$$

We do not care at the moment about the lack of precision about the v_0 -th root.

When we apply the change of variable given by $\mathbf{b}(t) = b_1 t$ on the second entry of $T_{\infty} \psi \circ \gamma(te^{i\theta})$, we see that, necessarily, the coefficient of t^{ν_1} is

$$1 = \frac{\beta \cos(\theta \nu_1) + \beta' \sin(\theta \nu_1)}{\left(\alpha \cos(\theta \nu_0) + \alpha' \sin(\theta \nu_0)\right)^{\nu_1/\nu_0}},$$

so

$$\left(\alpha\cos(\theta\nu_0) + \alpha'\sin(\theta\nu_0)\right)^{\nu_1} = \left(\beta\cos(\theta\nu_1) + \beta'\sin(\theta\nu_1)\right)^{\nu_0}.$$
 (5)

Setting $\theta = \pm \frac{\pi}{2\nu_0}$ in Eq. (5), we obtain

$$(\pm 1)^{\nu_1}\alpha'^{\nu_1} = \left(\beta\cos\left(\frac{\pi\,\nu_1}{2\nu_0}\right) \pm \beta'\sin\left(\frac{\pi\,\nu_1}{2\nu_0}\right)\right)^{\nu_0}.$$

Taking modules, we see that β and β' have to be orthogonal. Likewise, with $\theta = \pm \frac{\pi}{2\nu_1}$, one deduces that α and α' are orthogonal as well.

We need a small technical lemma.

Lemma 5.2 For a pair of orthogonal complex numbers $z, z' \in \mathbb{C} \setminus 0$ and $\rho \notin \frac{\pi}{2}\mathbb{Z}$, if

$$\frac{\left|z\cos\rho + z'\sin\rho\right|}{\left|z\sin\rho + z'\cos\rho\right|} = \frac{|z|}{|z'|},$$

then
$$|z| = |z'|$$
.

Proof After a change of coordinates, we can assume that z = a and z' = ib' for $a, b' \in \mathbb{R}$. Then, taking squares in both sides,

$$\frac{a^2\cos^2\rho + b'^2\sin^2\rho}{a^2\sin^2\rho + b'^2\cos^2\rho} = \frac{a^2}{b'^2},$$

and therefore.

$$a^2b'^2\cos^2\rho + b'^4\sin^2\rho = a^4\sin^2\rho + a^2b'^2\cos^2\rho.$$

Simplifying, $a^4 = b'^4$ and the result follows.

Lemma 5.3 $\alpha = \pm i\alpha'$ and $\beta = \pm i\beta'$, with the same sign.

Proof Recall Eq. (5),

$$(\alpha\cos(\theta\nu_0) + \alpha'\sin(\theta\nu_0))^{\nu_1} = (\beta\cos(\theta\nu_1) + \beta'\sin(\theta\nu_1))^{\nu_0}.$$

If we consider the derivative on θ of Eq. (5), we obtain

$$v_0 v_1 \left(\alpha \cos(\theta v_0) + \alpha' \sin(\theta v_0)\right)^{v_1 - 1} \left(-\alpha \sin(\theta v_0) + \alpha' \cos(\theta v_0)\right)$$

$$= v_0 v_1 \left(\beta \cos(\theta v_1) + \beta' \sin(\theta v_1)\right)^{v_0 - 1} \left(-\beta \sin(\theta v_1) + \beta' \cos(\theta v_1)\right). \tag{6}$$

Now, we perform a trick in Eq. (6): Multiply by $\alpha \cos(\theta \nu_0) + \alpha' \sin(\theta \nu_0)$, and then simplify using Eq. (5). This yields

$$(\beta \cos(\theta \nu_1) + \beta' \sin(\theta \nu_1)) (-\alpha \sin(\theta \nu_0) + \alpha' \cos(\theta \nu_0))$$

$$= (\alpha \cos(\theta \nu_0) + \alpha' \sin(\theta \nu_0)) (-\beta \sin(\theta \nu_1) + \beta' \cos(\theta \nu_1)).$$
(7)

Evaluating Eq. (7) at $\theta = 0$ yields

$$\beta \alpha' = \alpha \beta'. \tag{8}$$

Evaluating Eq. (7) at $\theta = \frac{\pi}{2\nu_0}$ and using Eq. (8), after a small manipulation, it is easy to

$$\frac{\beta \cos\left(\frac{\pi \nu_1}{2\nu_0}\right) + \beta' \sin\left(\frac{\pi \nu_1}{2\nu_0}\right)}{-\beta \sin\left(\frac{\pi \nu_1}{2\nu_0}\right) + \beta' \cos\left(\frac{\pi \nu_1}{2\nu_0}\right)} = \frac{\alpha}{\alpha'} = \frac{\beta}{\beta'}.$$
(9)

The result follows from Eq. (9) and Lemmas 5.1 and 5.2.

5.3 Proof

We know from Sect. 5.1 that

$$T_{\infty}\psi(x_R,x_I,y_R,y_I) = (\alpha x_R + \alpha' x_I, \beta y_R + \beta' y_I).$$

This mapping is holomorphic if, by Cauchy–Riemann equations, $\alpha = -i\alpha'$ and $\beta = -i\beta'$ and it is antiholomorphic if $\alpha = i\alpha'$ and $\beta = i\beta'$. By Lemma 5.3, $T_{\infty}\psi$ is a holomorphic or antiholomorphic mapping. This is precisely what we mean by rigidity: The normal forms force the Taylor series of ψ to be a holomorphic or antiholomorphic linear map.

Theorem 5.4 Let (C, 0), $(C', 0) \subset (\mathbb{C}^2, 0)$ be two plane curve singularities, not necessarily irreducible, with equations g(x, y) and g'(x, y). Assume also that they contain a singular branch. Then, they are C^{∞} -isomorphic if, and only if, they are \mathbb{C} -isomorphic or C is \mathbb{C} isomorphic to $\overline{C'}$, which is also a complex curve with equation $\overline{g'(\overline{x},\overline{y})}=0$.

Furthermore, any diffeomorphism ψ taking C to C' has a holomorphic or antiholomorphic Taylor series $T_{\infty}\psi$.

Proof The same argument we have shown above works, and is far simpler, for monomial parametrizations $\gamma(t) = (t^{\nu_0}, t^{\nu_1})$.

Assume that ψ is the diffeomorphism that takes C to C', so

$$g' \circ \psi \circ \gamma_i = 0$$

for a parametrization γ_i of any branch of C. In particular, ψ takes a singular branch of C to a singular branch of C', so $T_{\infty}\psi$ is a holomorphic or antiholomorphic mapping by Lemmas 5.1 and 5.3. It still holds that

$$g' \circ T_{\infty} \psi \circ \gamma_i = 0$$

as formal power series, for any other branch of C. Hence, $T_{\infty}\psi$ also takes C to C'. This proves the result.

With the Normal Forms Theorem (Theorem 2.17) and Theorem 5.4, we can easily find pairs of curves that are \mathcal{C}^{∞} -isomorphic but not \mathbb{C} -isomorphic or curves such that the \mathcal{C}^{∞} -class coincides with its \mathbb{C} -class.

Example 5.5 The family of curves

$$\gamma_{\varepsilon}(t) = \left(t^4, t^9 + t^{10} + \frac{19}{18}t^{11} + \varepsilon t^{15}\right) \tag{10}$$

is, for any $\varepsilon \in \mathbb{C}$, a branch with semigroup $\Gamma = \langle 4, 9 \rangle$ with the property that the branches γ_{ε} and $\gamma_{\varepsilon'}$ are analytically equivalent if and only if $\varepsilon = \varepsilon'$. This can be deduced from Theorem 2.17 (see also the 10-th row of [27, Table 1] and [5]). This is therefore a parametric family of branches with different analytic classes for each value of ε . In particular, γ_{ε} and γ_{ε} are always \mathcal{C}^{∞} -isomorphic but they are \mathbb{C} -isomorphic if, and only if, $\varepsilon \in \mathbb{R}$, in which case they parametrize the same set.

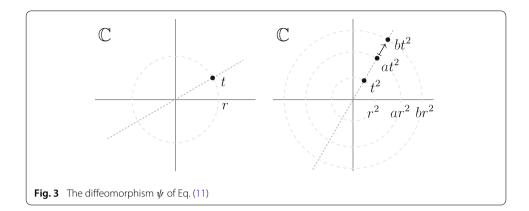
6 Curves with only smooth branches

Theorem 5.4 omits the case of several smooth branches. Let us consider a curve singularities (C,0), $(C',0) \subset (\mathbb{C}^2,0)$ given by several lines. If C and C' have three lines, they are \mathbb{C} -isomorphic, so we can assume that they have at least four lines. After long but straightforward computations of linear algebra, one can show that a C^{∞} -isomorphism ψ between C and C' with at least four lines induces a \mathbb{C} -isomorphism between C and C' or $\overline{C'}$. Indeed, the differential $d\psi$ preserves three of the complex lines and it induces the \mathbb{C} -isomorphism. We leave the details to the reader. This shows that the C^{∞} -classification of *tangent spaces* coincides with the \mathbb{C} -classification modulo conjugation.

However, in the case of only smooth branches, the Taylor series of a \mathcal{C}^∞ -isomorphism could be far from being analytic. For example, one can take id $\times f(y^R,y^I)$ as \mathcal{C}^∞ -isomorphism taking the line $L=\{y=0\}$ to itself, where the only condition on f is to be a diffeomorphism preserving the origin. One can construct a similar example for an arbitrary number of transverse lines and a radial diffeomorphism. Moreover, it is not even true that the \mathcal{C}^∞ -classification and the \mathbb{C} -classification coincide modulo conjugation when one considers tangent smooth branches, as the following example shows.

Example 6.1 Consider the pair of curves with two branches (with $a, b \neq 1$)

$$(y - x^2)(y - ax^2) = 0$$
, and
 $(y - x^2)(y - bx^2) = 0$.



It is shown in [31, Section 5] (cf. [9, Example 3]) that they are \mathbb{C} -isomorphic if, and only if, a = b or $a = \frac{1}{b}$. Assume they are not \mathbb{C} -isomorphic and, for simplification, assume $a, b \in \mathbb{R}$. It is clear that the branches of the curves are parametrized, respectively, by

$$t \mapsto (t, t^2), t \mapsto (t, at^2)$$
 and $t \mapsto (t, t^2), t \mapsto (t, bt^2).$

Consider the \mathcal{C}^{∞} -isomorphism $\psi:(\mathbb{C}^2,0)\to(\mathbb{C}^2,0)$ so that

$$\psi(r_1e^{i\theta_1}, r_2e^{i\theta_2}) = \left(r_1e^{i\theta_1}, \left(r_2 + \frac{b-1}{a-1}(r_2 - r_1^2)\right)e^{i\theta_2}\right),\tag{11}$$

in polar coordinates (see Fig. 3). Writing $t=re^{\theta}$, ψ fixes the branch $y-x^2=0$, since

$$\psi(re^{\theta}, r^2e^{i2\theta}) = (re^{\theta}, (r^2 + \frac{b-1}{a-1}(r^2 - r^2))e^{i2\theta}),$$

and sends $y - ax^2 = 0$ to $y - bx^2 = 0$, since

$$\psi(re^{\theta},ar^2e^{i2\theta})=\big(re^{\theta},\big(r^2+\frac{b-1}{a-1}(ar^2-r^2)\big)e^{i2\theta}\big).$$

Hence, the curves are \mathcal{C}^{∞} -isomorphic, but they are not \mathbb{C} -isomorphic. Observe that when $a, b \in \mathbb{R}$, they are also invariant by conjugation.

It is clear that more sophisticated examples with \mathcal{C}^{∞} -isomorphisms similar to Eq. (11) can be built from the ideas of Example 6.1 and Fig. 3. Hence, the \mathcal{C}^{∞} classification of curves with only smooth branches is very coarse.

7 Hypersurfaces case

We are now going to review Ephraim's work on [15] and compare it with our own result. Recall that the main result of that paper is the following:

Theorem 7.1 (see [15, Theorem 4.2]) Let V be an irreducible germ of hypersurface at a point. Then, if V is \mathbb{C}^{∞} -isomorphic to a germ of a complex set W, V is \mathbb{C} -isomorphic to W or \overline{W} .

There are two reasons to review his work. The first one is because it is an interesting paper with some smart ideas that is not well-known enough in the singularity community. The second reason is that we can squeeze its proof to solve a big portion of the nonirreducible cases with similar ideas.

The first result he proves simplifies the problem of \mathcal{C}^{∞} -isomorphism to real analytic isomorphism.

Proposition 7.2 (see [15, Proposition 1.1]) Let V and W be real analytic sets. If they are C^{∞} -isomorphic, then they are real analytic isomorphic.

Ephraim's proof uses the fact that the rings of real analytic mappings of V and W, \mathcal{A}_V and \mathcal{A}_W , are isomorphic (which is equivalent to the statement) if their completions, $\widehat{\mathcal{A}_V}$ and $\widehat{\mathcal{A}_W}$, are isomorphic. This is a result of Artin (see [1, Corollary 1.6] and also the previous work of Hironaka and Rossi in [32]). In general, for a local ring R, \widehat{R} denotes its completion, i.e., the (projective) limit of the (projective) system

$$R/\mathfrak{m} \leftarrow R/\mathfrak{m}^2 \leftarrow R/\mathfrak{m}^3 \leftarrow \cdots$$

Despite not being explicit, it is not difficult to see along Ephraim's proof that, if ψ is the diffeomorphism taking V to W, then its Taylor series $T_\infty \psi$ induces the isomorphism between $\widehat{\mathcal{A}_V}$ and $\widehat{\mathcal{A}_W}$. Then, Artin's work implies that there are isomorphisms between \mathcal{A}_V and \mathcal{A}_W that coincide with $T_\infty \psi$ up to any arbitrary order. It is, however, unclear from this approach whether $T_\infty \psi$ is analytic itself. Therefore, since Ephraim's proof of Theorem 7.1 starts with a real analytic isomorphism, his work is not constructive. This is the main difference with our proof of Theorem 7.1 given for the plane curve case in Theorem 5.4: We show the stronger statement that $T_\infty \psi$ itself is either holomorphic or antiholomorphic.

The next result provides an algebraic relation between V and W to prove Theorem 7.1.

Theorem 7.3 (see [15, Theorem 2.1]) Let (f_1, \ldots, f_s) be the radical ideal of the germ of complex variety $(V,0) \subset (\mathbb{C}^n,0)$ and $f_i^R, f_i^I \in \mathcal{A}_n$ the real and imaginary parts of f_i , respectively. The ideal of real analytic germs vanishing on V is $(f_1^R, \ldots, f_s^R, f_1^I, \ldots, f_s^I)$ if, and only if, V is irreducible. Equivalently, if $\overline{\bullet}$ denotes conjugation, the ideal of real analytic germs vanishing on V is $(f_1, \ldots, f_s, \overline{f_1}, \ldots, \overline{f_s})$ if, and only if, V is irreducible.

With this result, if g_V , g_W are the reduced equations of (V, 0), $(W, 0) \subset (\mathbb{C}^n, 0)$ and ψ is a real analytic isomorphism taking V to W, then

$$g_V(\psi^{-1}(\mathbf{z})) = \eta_1(\mathbf{z})g_W(\mathbf{z}) + \eta_2(\mathbf{z})\overline{g_W(\mathbf{z})}, and$$

$$g_W(\psi(\mathbf{z})) = \xi_1(\mathbf{z})g_V(\mathbf{z}) + \xi_2(\mathbf{z})\overline{g_V(\mathbf{z})}.$$
(12)

At this point, Ephraim uses an interesting technique to go from the real analytic category to the holomorphic category.

Definition 7.4 (cf. [15, p. 18]) Let $f \in \mathbb{C}\left[\left[x_1, y_1, \dots, x_n, y_n\right]\right]$. Changing variables to $z_{\bullet} = x_{\bullet} + iy_{\bullet}, \overline{z_{\bullet}} = x_{\bullet} - iy_{\bullet}$ one can write $f = \sum_{I,J} a_{IJ} \mathbf{z}^I \overline{\mathbf{z}}^J$. The *holomorphic Taylor series* of f is

$$T_{\mathbf{z}}f = \sum_{I} a_{I0}\mathbf{z}^{I}.$$

The definition for the antiholomorphic Taylor series is analogous.

It is not hard to see that:

- $T_{\mathbf{z}}$ defines a ring homomorphism $T_{\mathbf{z}}: \mathbb{C}\left[\left[x_1, y_1, \ldots, x_n, y_n\right]\right] \to \mathbb{C}\left[\left[z_1, \ldots, z_n\right]\right]$,
- $T_{\mathbf{z}}$ restricts to $T_{\mathbf{z}}|: \mathcal{A}_{2n} \to \mathcal{O}_n$, and

• $T_{\mathbf{z}}(f \circ g) = T_{\mathbf{z}}f \circ T_{\mathbf{z}}g$ (this is not mentioned in [15]).

Remark 7.5 This is equivalent to form a Taylor-like series using the Wirtinger derivatives:

$$\frac{\partial}{\partial z} := \frac{1}{2} \left(\frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right)$$

for the holomorphic Taylor series and

$$\frac{\partial}{\partial \overline{z}} := \frac{1}{2} \left(\frac{\partial}{\partial x} + i \frac{\partial}{\partial y} \right)$$

for the antiholomorphic Taylor series.

Now, we can take the holomorphic Taylor series in Eq. (12) and, by Cauchy-Riemann equations, we have that

$$g_V(T_{\mathbf{z}}\psi^{-1}(\mathbf{z})) = T_{\mathbf{z}}\eta_1(\mathbf{z})g_W(\mathbf{z}), \text{ and}$$

$$g_W(T_{\mathbf{z}}\psi(\mathbf{z})) = T_{\mathbf{z}}\xi_1(\mathbf{z})g_V(\mathbf{z}).$$
(13)

At this point, if one shows that $T_z\psi$ or $T_z\psi^{-1}$ are isomorphisms, then V and W are C-isomorphic. In order to follow this direction, one needs two extra conditions that are, as we will see later in Examples 7.8 and 8.1, necessary.

The first condition is technical:

(i)
$$\eta_1(0)\xi_1(0) \neq 0$$
.

In this case, V and W will be \mathbb{C} -isomorphic. In a similar way, but arguing with the antiholomorphic Taylor series and conjugating Eq. (12), one can show that if

(i')
$$\eta_2(0)\xi_2(0) \neq 0$$
,

then V and \overline{W} are \mathbb{C} -isomorphic. This is proven in [15, Lemma 4.1]. Ephraim then shows in a simple argument that i) or i) hold.

The second condition is that V or W have zero-dimensional isosingular locus, the origin. Otherwise, $V \cong V' \times \mathbb{C}^s$, $W \cong W' \times \mathbb{C}^{s'}$ and one reasons with V' and $W' \times \mathbb{C}^{s-s'}$ (assume s > s').

Under these two conditions, either the holomorphic or the antiholomorphic Taylor series of the \mathcal{C}^{ω} -isomorphism have linear part of maximum rank and they provide the isomorphism between V and W or \overline{W} that concludes the proof (see [15, Theorem 3.1]). A large part of the article is dedicated to prove this technical fact. This finishes the proof of Theorem 7.1.

Despite the fact that Ephraim focuses his attention solely on the irreducible case, the ideas that are implemented to prove his main result, Theorem 7.1, can be adapted to extend the scope to some non-irreducible hypersurfaces.

Definition 7.6 (see [17, Definition 3.2]) An irreducible variety is *non-factorable* or *inde*composable if it cannot be written as a product of varieties of lower dimension.

Proposition 7.7 Let V be a (not necessarily irreducible) germ of hypersurface at a point. Assume that an irreducible component of V, say V_0 , is non-factorable. Then, if V is \mathcal{C}^{∞} isomorphic to a germ of a complex set W, V is \mathbb{C} -isomorphic to W or \overline{W} .

Proof V and W are still \mathcal{C}^{ω} -isomorphic by some ψ , and Artin's result [1, Corollary 1.6] holds in the non-irreducible case and so does Proposition 7.2. If one applies the argument shown above to prove Theorem 7.1 to the component V_0 , it follows that either the holomorphic or the antiholomorphic Taylor series of ψ has linear part with maximum rank, since either condition (i) or (i') hold. Thus, Eq. (13), or its antiholomorphic version, applied to each irreducible component of V also shows that the same Taylor series provides the isomorphism taking all the components of V to the components of V, or \overline{W} .

The next example shows that the proof assumes necessary conditions; otherwise, the statement is false. See also Sect. 6.

Example 7.8 Ephraim in [15, Example 2] provides a family of normal surface singularities in (\mathbb{C}^3 , 0) that are not \mathbb{C} -isomorphic to their conjugates. His family is a bit technical, but one can take the family given by four lines with different cross-ratios and exclude conjugates of this family (see also the family given in Example 5.5). If one takes V, V' two non-isomorphic elements of this family and considers

$$\mathbf{V}_{1} = V \times \mathbb{C}^{2} \cup \mathbb{C}^{2} \times V',$$

$$\mathbf{V}_{2} = V \times \mathbb{C}^{2} \cup \mathbb{C}^{2} \times \overline{V'},$$

$$\mathbf{V}_{3} = \overline{V} \times \mathbb{C}^{2} \cup \mathbb{C}^{2} \times V',$$

$$\mathbf{V}_{4} = \overline{V} \times \mathbb{C}^{2} \cup \mathbb{C}^{2} \times \overline{V'},$$

they are \mathcal{C}^{ω} -isomorphic but not \mathbb{C} -isomorphic. (This idea is also shown in [15, Example 2].) For example, taking V_1 and V_2 , the \mathcal{C}^{ω} -isomorphism is $\mathrm{id}_{\mathbb{C}^2} \times \overline{\mathrm{id}_{\mathbb{C}^2}}$. If one takes the (anti)holomorphic Taylor series of this map, it is $\mathrm{id}_{\mathbb{C}^2} \times 0$ (or $0 \times \mathrm{id}_{\mathbb{C}^2}$). This shows that the argument, and the statement, is false for the non-irreducible case.

8 Concluding remarks

Let us consider the following example.

Example 8.1 Consider any germ of irreducible hypersurface with zero-dimensional isosingular locus $(V,0)\subset (\mathbb{C}^n,0)$. Now, take $V\times \mathbb{C}$. It is \mathcal{C}^∞ -isomorphic to itself if one considers the map $\psi:=\mathrm{id}_{\mathbb{C}^n}\times f$, where $f:(\mathbb{C},0)\to (\mathbb{C},0)$ is a (real) diffeomorphism with a Taylor series that does not converge at any point. These functions certainly exist, and one can take examples of such functions from the real setting (see [37]). Then, $T_\infty \psi$ is obviously not analytic. However, $T_K \psi$ for any $K \geq 1$ is analytic and a \mathcal{C}^ω -isomorphism of $V\times \mathbb{C}$ to itself (not necessarily a \mathbb{C} -isomorphism either).

In view of Example 8.1 and our main result Theorem 5.4, it is natural to ask the following question.

Question 8.2 Let V be an irreducible germ of hypersurface at a point with zero-dimensional isosingular locus. Then, if ψ is a diffeomorphism taking V to a germ of a complex set W, the Taylor series of ψ is either holomorphic or antiholomorphic.

This holds for plane curve singularities by Theorem 5.4, and it is not true for positive-dimensional isosingular locus by Example 8.1.

Example 8.3 (see [15, Example 1]) Ephraim showed that the curves given by the parametrizations

$$\gamma_1(t) = (t^3, t^7)$$
 and $\gamma_2(t) = (t^3, t^7 + t^8)$

are \mathcal{C}^1 -isomorphic, but not \mathbb{C} -isomorphic. It is easy to see that they are topologically equivalent. In contrast, two sets of four lines with different cross-ratios are an example of plane curves that are topologically equivalent but not \mathbb{C} , \mathcal{C}^1 , \mathcal{C}^ω nor \mathcal{C}^∞ -isomorphic.

As far as we know, C^1 -equivalence is not closed, and it is the last natural classification one can give that remains open. Examples such as the one given above motivate this question, which could seem counterintuitive at first.

Ouestion 8.4 The \mathcal{C}^1 -equivalence coincides with the topological equivalence for irreducible plane curve singularities.

Acknowledgements

We are specially thankful to Marco Marengon, who motivated us to answer the question about smooth classification of curves and suffered many of the partial technical results in the process of making this work. We thank Marcelo Escudeiro Hernandes for his useful comments and encouragement. We also want to thank Edson Sampaio for pointing out to us Ephraim's work, unfortunately few days after finishing our proof.

Funding Information

Open access funding provided by HUN-REN Alfréd Rényi Institute of Mathematics.

Data availability

This work does not use any set of data.

¹Departament de Matemátiques, Universitat de València, Campus de Burjassot, 46100 Burjassot, Spain, ²Alfréd Rényi Institute of Mathematics, Reáltanoda utca 13-15, Budapest 1053, Hungary.

Received: 27 August 2023 Accepted: 1 March 2024 Published online: 27 March 2024

References

- 1. Artin, M.: On the solutions of analytic equations. Invent. Math. 5, 277–291 (1968)
- Birbrair, L., Fernandes, A., Grandjean, V.: Thick-thin decomposition for real definable isolated singularities. Indiana Univ. Math. J. 66(2), 547-557 (2017)
- Birbrair, L., Fernandes, A., Sampaio, J.E., Verbitsky, M.: Multiplicity of singularities is not a bi-lipschitz invariant. Math. Ann. 377(1-2), 115-121 (2018)
- 4. Bruce, J.W., Gaffney, T.J.: Simple singularities of mappings C, $0 \rightarrow C^2$, 0. J. Lond. Math. Soc. Second Ser. **26**(3), 465–474
- Bayer, V., Hefez, A.: Algebroid plane curves whose Milnor and Tjurina numbers differ by one or two. Boletim da Sociedade Brasileira de Matemática. Nova Série 32(1), 63-81 (2001)
- Brieskorn, E., Knörrer, H.: Plane algebraic curves. Modern Birkhäuser Classics. Birkhäuser/Springer Basel AG, Basel (1986). Translated from the German original by John Stillwell, [2012] reprint of the 1986 edition
- 7. Brauner, K.: Zur Geometrie der Funktionen zweier komplexen Veränderlichen. II–IV. Abh. Math. Sem. Hambg. 6, 1–54
- Burghelea, D., Verona, A.: Local homological properties of analytic sets. Manuscr. Math. 7, 55–66 (1972)
- Campillo, A., Delgado, F., Gusein-Zade, S.: Extended semigroup of a plane curve singularity. Trudy Matematicheskogo Instituta Imeni V. A. Steklova 221, 149-167 (1998)
- 10. Caubel, C., Némethi, A., Popescu-Pampu, P.: Milnor open books and Milnor fillable contact 3-manifolds. Topol. Int. J. Math. 45(3), 673-689 (2006)
- 11. de Bobadilla, J.F., Fernandes, A., Sampaio, J.E.: Multiplicity and degree as bi-lipschitz invariants for complex sets. J. Topol. 11(4), 958-966 (2017)
- 12. Delorme, C.: Sur les modules des singularités des courbes planes. Bulletin de la Société Mathématique de France 106(4), 417-446 (1978)
- 13. de Jong, T., Pfister, G.: Local analytic geometry. In: Advanced Lectures in Mathematics. Friedr. Vieweq & Sohn, Braunschweig, (2000). Basic theory and applications
- 14. Ebey, S.: The classification of singular points of algebraic curves. Trans. Am. Math. Soc. 118, 454–471 (1965)
- 15. Ephraim, R.: C^{∞} and analytic equivalence of singularities. Rice Univ. Stud. **59**(1), 11–32 (1973)
- 16. Ephraim, R.: C¹ preservation of multiplicity. Duke Math. J. **43**(4), 797–803 (1976)

- Ephraim, R.: The Cartesian product structure and C[∞] equivalences of singularities. Trans. Am. Math. Soc. 224(2), 299–311 (1977). 1976
- Ephraim, R.: Isosingular loci and the Cartesian product structure of complex analytic singularities. Trans. Am. Math. Soc. 241, 357–371 (1978)
- 19. Fernández de Bobadilla, J., Pełka, T.: Symplectic monodromy at radius zero and equimultiplicity of μ -constant families. arXiv:2204.07007 (2022)
- Fernandes, A.: Topological equivalence of complex curves and bi-Lipschitz homeomorphisms. Mich. Math. J. 51(3), 593–606 (2003)
- Fernández-Hernández, A.: On the topological classification of complex plane curve singularities. Degree final project. Universitat de València. arXiv:2402.13941 (2021–2022)
- 22. Fichou, G., Shiota, M.: On almost blow-analytic equivalence. Proc. Lond. Math. Soc. Third Ser. 103(4), 676–709 (2011)
- 23. Fernandes, A., Sampaio, J.E.: On Lipschitz rigidity of complex analytic sets. J. Geom. Anal. 30(1), 706–718 (2020)
- 24. Greuel, G.-M., Pfister, G.: Moduli for singularities. In: Singularities (Lille, 1991), volume 201 of London Math. Soc. Lecture Note Ser., pp. 119–146. Cambridge Univ. Press, Cambridge (1994)
- Genzmer, Y., Paul, E.: Normal forms of foliations and curves defined by a function with a generic tangent cone. Moscow Math. J. 11(1), 41–72 (2011)
- 26. Granger, J.-M.: Sur un espace de modules de germe de courbe plane. Bulletin des Sciences Mathématiques. 2e Série **103**(1), 3–16 (1979)
- 27. Hefez, A., Hernandes, M.E.: Analytic classification of plane branches up to multiplicity 4. J. Symb. Comput. **44**(6), 626–634 (2009)
- 28. Hefez, A., Hernandes, M.E.: The analytic classification of plane branches. Bull. Lond. Math. Soc. 43(2), 289–298 (2011)
- 29. Hernandes, M.E., Hernandes, M.E.R.: The analytic classification of plane curves. arXiv:2010.04874 (2020)
- 30. Hefez, A., Hernandes, M.E.: The analytic classification of irreducible plane curve singularities. In: Handbook of Geometry and Topology of Singularities II, pp. 1–65. Springer, Cham (2021)
- 31. Hefez, A., Hernandes, M.E., Hernandes, M.E.R.: The analytic classification of plane curves with two branches. Math. Z. **279**(1–2), 509–520 (2015)
- 32. Hironaka, H., Rossi, H.: On the equivalence of imbeddings of exceptional complex spaces. Math. Ann. **156**, 313–333 (1964)
- Kähler, E.: Über die Verzweigung einer algebraischen Funktion zweier Veränderlichen in der Umgebung einer singulären Stelle. Math. Z. 30(1), 188–204 (1929)
- 34. Kähler, E.: Mathematische Werke/Mathematical works. Walter de Gruyter & Co., Berlin, (2003). Edited by Rolf Berndt and Oswald Riemenschneider
- 35. King, H.C.: Topological type of isolated critical points. Ann. Math. Second Ser. 107(2), 385–397 (1978)
- 36. Kobayashi, M., Kuo, T.-C.: On blow-analytic equivalence of embedded curve singularities. In: Real Analytic and Algebraic Singularities (Nagoya/Sapporo/Hachioji, 1996), volume 381 of Pitman Research Notes in Mathematics Series, pp. 30–37. Longman. Harlow (1998)
- 37. Kim, S.S., Kwon, K.H.: Smooth (C^{∞}) but nowhere analytic functions. Am. Math. Mon. **107**(3), 264–266 (2000)
- Kolgushkin, P.A., Sadykov, R.R.: Simple singularities of multigerms of curves. Revista Matemática Complutense 14(2), 311–344 (2001)
- 39. Kuo, T.C.: On classification of real singularities. Invent. Math. 82(2), 257-262 (1985)
- Lê, D.T.: Calcul du nombre de cycles évanouissants d'une hypersurface complexe. Ann. Inst. Fourier (Grenoble) 23(4), 261–270 (1973)
- 41. Laudal, O.A., Pfister, G.: Local Moduli and Singularities, volume 1310 of Lecture Notes in Mathematics. Springer, Berlin (1988). With an appendix by B. Martin and Pfister
- Lê, D.T., Ramanujam, C.P.: The invariance of Milnor's number implies the invariance of the topological type. Am. J. Math. 98(1), 67–78 (1976)
- 43. Mond, D., Nuño-Ballesteros, J.J.: Singularities of Mappings. Grundlehren der mathematischen Wissenschaften, vol. 357. Springer, Cham (2020)
- Mostowski, T.: Lipschitz equisingularity. Polska Akademia Nauk Polska Akademia Nauk. Instytut Matematyczny. Dissertationes Mathematicae. Rozprawy Matematyczne 243, 46 (1985)
- 45. Neumann, W.D., Pichon, A.: Lipschitz geometry of complex curves. J. Singul. 10(2014), 225–234 (2013)
- 46. Némethi, A., Szilárd, A.: Milnor Fiber Boundary of a Non-isolated Surface Singularity. Lecture Notes in Mathematics, vol. 2037. Springer, Heidelberg (2012)
- 47. Némethi, A., Tosun, M.: Invariants of open books of links of surface singularities. Stud. Sci. Math. Hung. Comb. Geom. Topol. (CoGeTo) **48**(1), 135–144 (2011)
- Parusiński, A.: Lipschitz stratification of subanalytic sets. Annales Scientifiques de l'École Normale Supérieure. Quatrième Série 27(6), 661–696 (1994)
- Perron, B.: Conjugaison topologique des germes de fonctions holomorphes à singularité isolée en dimension trois. Invent. Math. 82(1), 27–35 (1985)
- Pham, F.: Singularités des courbes planes: Une introduction à la géométrie analytique complexe. École Polytechnique, Centre de Mathématiques, Paris,: Cours de 3e Cycle, Faculté des Sciences de Paris, Année Universitaire 1969–1970, Première Partie. Rédaction de J. L, Dupeyrat (1970)
- 51. Pham, F. Teissier, B.: Lipschitz fractions of a complex analytic algebra and Zariski saturation (2020)
- 52. Saeki, O.: Topological types of complex isolated hypersurface singularities. Kodai Math. J. 12(1), 23-29 (1989)
- 53. Sampaio, J.E.: Multiplicity, regularity and blow-spherical equivalence of real analytic sets. Math. Z. **301**(1), 385–410 (2022)
- 54. Sampaio, J.E.: On Lipschitz geometry at infinity of complex analytic sets. Calc. Var. Partial Differ. Equ. 62(2), 69 (2023)
- 55. Stanley, R.P.: Enumerative combinatorics, volume 62 of Cambridge Studies in Advanced Mathematics, Vol. 2. Cambridge University Press, Cambridge, (1999). With a foreword by Gian-Carlo Rota and appendix 1 by Sergey Fomin
- Targino, R.: Outer Lipschitz geometry of complex algebraic plane curves. Int. Math. Res. Not. IMRN 15, 13255–13289 (2023)

- 57. Valle, C.: On the blow-analytic equivalence of tribranched plane curves. J. Math. Soc. Jpn. 68(2), 823–838 (2016)
- 58. Wall, C.T.C.: Singular Points of Plane Curves. London Mathematical Society Student Texts, vol. 63. Cambridge University Press, Cambridge (2004)
- 59. Zariski, O.: Le problème des modules pour les branches planes. École Polytechnique, Paris, 1973. Cours donné au Centre de Mathématiques de l'École Polytechnique, Paris, Octobre-Novembre 1973, Rédigé par François Kmety et Michel Merle, Avec un Appendice de Bernard Teissier et une réimpression de "Characterization of plane algebroid curves whose module of differentials has maximum torsion" (Proc. Nat. Acad. Sci. U.S.A. 56(3), 781–786), par Oscar Zariski (1966)

Publisher's Note

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.