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Cognitive Function in Liver Transplantation

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Abstract



Purpose of Review Liver transplantation (LT) remains the only way to cure patients with severe liver diseases. Important questions about neurological sequelae and quality of life after LT have emerged. In this review, we discuss the neurocognitive changes associated with LT and we conclude with recommendations in this regard for patients, caregivers, and physicians. **Recent Findings** Compared with other solid organ recipients, LT patients tend to have a higher incidence (up to 30%) of

neurological complications post-LT. Even in absence of previous episodes of hepatic encephalopathy (HE), some patients display new onset of neurological symptoms post-LT, raising the concern about the role of other factors that may have a direct impact on cognitive function.

Summary Different mechanisms have been postulated to explain these postoperative neurological symptoms. They include sequelae of HE, persistent impairment of cognitive function due to cirrhosis, or postoperative decompensation of an unknown or undiagnosed neurodegenerative disorder.

Keywords Cognition · Brain function · Cognitive reserve · Cirrhosis · Transplant

Altered Cognition in Cirrhosis Before Transplant

Hepatic encephalopathy (HE) represents a spectrum of neurocognitive impairment in cirrhosis (SONIC) [1]. It constitutes of two major components: overt HE (OHE) and minimal HE (MHE) [2]. OHE can be diagnosed clinically, whereas MHE requires specialized testing. It has been estimated that OHE is present in 30–45% of patients with cirrhosis [3], compared with approximately 60–80% of patients who have evidence of MHE [4]. MHE can potentially progress to OHE and is associated with high mortality, poor quality of life, and a high risk of motor vehicle accidents [5]. HE continues to pose

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a major healthcare burden on patients, caregivers, and society [6•]. It has been reported that the healthcare costs for patients with HE are likely to increase over the coming years [7]. There are several clinical systems to diagnose the severity of HE [8–10]. However, most of them are subjective and not reproducible because of lack of objective criteria for the clinical diagnosis of HE [11]. The West-Haven criteria are the most widely used; however, they also lack objectivity through the entire spectrum of HE [12]. Other tests that can assist with diagnosing HE are clinical hepatic encephalopathy staging scale (CHESS) [13] and the Hepatic Encephalopathy Scoring Algorithm (HESA) [11] [14]. When making a clinical diagnosis of HE, it is important to exclude other etiologies that can lead to alteration in mental status, and to specifically investigate the cognitive function as well. Deterioration in mental status and in psychometric or neurophysiological function are the two levels of cognitive function that need to be acknowledged given the fact that clinical exam can diagnose mental status changes only.

Factors That Impair Cognitive Function

There are many factors that can precipitate HE in cirrhosis patients, which include infections, GI bleeding, electrolyte

disorder, constipation, and diuretic overdose. Patients with alcohol-related cirrhosis exhibit more severe neurocognitive changes and more cortical lesions post-transjugular intrahepatic portosystemic shunt (TIPS) placement based on brain MRI compared with individuals with cirrhosis from other etiologies [15••]. Recent data suggest that patients with non-alcoholic steatohepatitis (NASH) are at risk for premature brain aging due to the presence of early cerebral atrophy [16]. Large studies have shown an association between hepatitis C virus (HCV) infection and increased risk of neurodegenerative disorders [17]. Global brain reserve is a term that describes a combination of structural changes (brain reserve) and the individual's ability to endure alterations (cognitive reserve) [18]. Changes in global reserve have been shown to impact the progression of brain diseases such as dementia and multiple sclerosis [19, 20]. Cognitive reserve reflects the dynamic neuroadaptation that derives from socio-economic and educational factors. It has been shown to modulate the impact of brain disease or neurocognitive insults such as HE [21••]. In contrast to cognitive reserve, brain reserve is less adaptable and is dependent on disease etiology, severity, and progression [19]. The capacity of brain reserve was reported to be implicated in the lack of direct correlation between the clinical manifestations of brain diseases and the objective findings obtained by imaging or neurophysiological tests [22]. Variables such as education level [23], psychometrical intelligence [24], leisure time activities [25], and lifestyle [26] determine the brain's ability to cope with damage. The expression of HE phenotype is determined by two opposing forces: cognitive reserve (resilience factor) and neuropsychiatric comorbidity (precipitating factor). It has been reported that patients with cirrhosis and higher cognitive reserve, regardless of degree of liver failure, have better quality of life [21..., 27]. The Barona Index is a validated IO analysis which consists of age, sex, race, education, occupation, residence type (urban or rural), and region of residence (locations within the USA) [28]. It is an optimal method for testing pre-morbid intelligence that follows subject cognitive performance [29]. The Barona IQ is divided into the verbal IQ (VIQ), performance IQ (PIQ), and the full scale IQ (FSIQ). High cognitive reserve is defined as FSIQ ≥ 109 while lower than 109 is considered average cognitive reserve [30]. Cognitive Reserve Index questionnaire (CRIq) is another tool that produces CRI which reflects the amount of cognitive reserve based on educational level, work activities, and frequency of leisure time activities [31]. CRIq is different from the Barona Index because it measures long-life activities that modulate cognitive skills, and can be complimentary to the Barona Index in correlating between IQ and health-related quality of life in patients with cirrhosis [21..].

Changes in Brain Function After Transplant

Liver transplantation (LT) remains the gold standard treatment for HE refractory to other treatments, but it carries risks. It has been shown that manifestations of HE are not completely fully reversible by transplantation as it may induce impairment of brain function [32]. The development of postoperative confusional syndrome is a problem of considerable magnitude due to the difficulty in finding the underlying etiology of alteration in mental status, and the likelihood of presence of multiple causes (Fig. 1). Patients with recurrent HE before transplantation and those with alcoholic liver disease (ALD) are at higher risk. There is a debate in the presence of HE sequelae after LT even if HE symptoms disappear [33-35]. This is because several data suggest that LT reverses the metabolic component of HE, but the structural component may persist [36..]. The hypotheses behind this assumption are as follows: hyperammonemia from decompensation due to urea cycle defects can lead to long-term neurological sequelae [34]; correlation between neurological impairment and history of previous episodes of HE [37, 38]. In contrast, some studies report that HE is totally reversible and that what is thought to be HE sequelae is a manifestation of competitive brain injuries such as from hyperammonemia [39]. Many LT patients have risk factors for neurocognitive changes such as age, alcoholism, and metabolic syndrome, regardless of previous bouts of HE [15., 16]. An example of a causative factor for post-LT confusion is immunosuppressant drugs because they frequently have toxic effects especially if their blood levels are elevated. Diagnosis of adverse cerebral effects of drugs may be difficult. Infections (bacterial or viral) can result in confusion associated with fever, which requires a diligent workup. In general, altered mental status post-transplant must be approached from a broad clinical view since the etiology is usually multifactorial. The most frequent neurological complications of LT include seizures, stroke, central nervous system (CNS) infections, ICU-acquired weakness, and neurocognitive complications (due to decompensation of previous altered cerebral condition, general anesthesia, surgical procedure, or anticalcineurin toxicity). Factors that can indirectly lead to neurological complications include early graft function impairment, drugs, renal function impairment, sepsis, and altered ammonia clearance [40]. Some patients who have no history of cerebral impairment or previous evidence of HE can develop neurological impairment after LT. It has been suggested that possible causative factors include adverse effects of general anesthesia, the surgery itself, or postoperative ICU stay [41-45]. There are several causes of ICU admission that are implicated in long-term neurocognitive impairment such as sepsis or acute respiratory distress syndrome [41-43, 46]. Postoperative cognitive dysfunction has been recognized as a common problem that is independently associated with increased mortality outside the scope of LT [47]. Systemic

Fig. 1 Factors that affect the cognitive function before and after liver transplant



inflammation has been hypothesized to be a pathophysiological mechanism explaining postoperative cognitive dysfunction or postoperative delirium [44]. The additional inflammation associated with the LT surgery worsens the pre-existing systemic inflammation in acute liver failure (ALF) and cirrhosis. Additional pre-LT risk factors that have been reported include duration of surgery, infections, and mechanical ventilation [48]. Evidence suggests that patients with cirrhosis tend to demonstrate long-lasting cognitive impairment after infection [49]. Other causes for cerebral impairment are the changes in cerebral blood flow and systemic hemodynamics.

Long-Term and Short-Term Changes in Cognition

HE is presumed to be largely reversed after successful LT [50]. Data on long-term cognitive changes post-LT are variable (Table 1). Some studies have shown a continued improvement in cognitive function, while others have reported the contrary $[10, 62^{\circ}]$. These neurocognitive deficits are assessed by extensive psychometric neurocognitive testing and have been confirmed by EEG, different MRI techniques, and positron emission tomography (PET) scanning [52, 62•]. MRI studies that use standard sequences, magnetic resonance spectroscopy (MRS), diffusion tensor imaging (DTI), and functional MRI are capable of showing changes in cerebral abnormalities before and after LT [51-53, 55]. Only a few studies have investigated the role of multi-modal brain MRI changes over a longer time period and reported conflicting results from longitudinal analyses of these MRI changes [32, 52, 65]. A study analyzed changes in magnetization transfer ratio (marker for brain edema) and MRS, and reported a progressive improvement in both markers between pre-LT, 1 month post-LT, and 1 year post-LT without continued improvement in cognition at 1 year post-LT [62•]. Another study showed improved extracellular cerebral edema and cognitive tests, but also possibly advanced white matter demyelination in the temporal lobe, 6 to 12 months after transplant [65]. It has been reported that there is a significant improvement in cognitive performance and health-related quality of life (HRQOL) at 6 months post-LT, accompanied by a significant improvement in white matter integrity and astrocytic consequences of hyperammonemia on multi-modal MRI [63]. Despite limited data from DTI, it appears that mean diffusivity (MD) decreases after LT, suggesting reversal of vasogenic edema with the improvement of liver function [36., 59, 61]. It has been reported that MRS peaks can normalize as early as 3 months after LT [52]. Less is known about the results from functional MRI; however, improvement in cerebral function after LT was suggested [61, 66–70]. On the other hand, there is evidence reporting reduction in brain volume and a decrease in the MRS N-acetylaspartate (NAA)/creatinine (Cr) ratio which suggest the presence of structural brain changes and atrophy [32].

What Are the Expectations?

There are practice guidelines that have been published for the management of transplant candidates [71, 72]. HE alone is not considered an indication for LT unless associated with poor liver function. However, exceptions exist where cases may be candidates for LT even though liver status is good if there is

References (authors, year)	Patient population	Time period pre-LT	Time period post-LT	Methods	Findings
Weissenborn et al. [51], 1995	50 cirrhotic patients	3 months	3 months	IT MRI scanner, T1, T2	*Bilateral T1 hypersignals of the globus pallidus before LT; absent 3 months after LT after LT *T2 hypersignals in the corticospinal tract (18% of the patients) *No correlation between the T1 signal intensity and liver function, neurological
Córdoba et al. [52], 2001	24 cirrhotic patients			1.5T MRI scanner, magnetization transfer imaging, 1H-MRS	status, or grade of enceptiatopaury *Bilateral T1 hypersignals of the globus pallidus still present at 1 month after LT but normalized at 1 year
Rovira et al. [53], 2002 Rovira et al. [54], 2007	24 cirrhotic patients 27 cirrhotic patients	12 months 6 to 14 months	1 month and 1 year 6 to 14 months	 TST MRI scanner, T2, Fast-FLAIR, magnetization transfer imaging TMRI scanner, T2, Fast-FLAIR 	*M1K increase in frontial and partetal lobes *White matter T2 hypersignals in the corticospinal tract decrease after LT *White matter (WM) T2 hypersignals decrease after LT *WM lesions were more common in cirrhosis of viral
García Martínez et al. [55], 2010	22 cirrhotic patients	6–12 months and 6–9 years	6–12 months and 6–9 years	1.5T MRI scanner, T2, Fast-FLAIR	Etiology *WM T2 lesions decrease, particularly in patients with previous bouts of HE before LT *Brain atrophy estimated at 8% decrease compared with before LT in short-term
Pegum et al. [56], 2011	92 abstinent patients with	NS	12 months	Psychometric tests (WAIS-R, WAIS-III, TMT-A and TMT-B, WMS, RCFT)	assessment and at 2.2% decrease in long-term assessment *Overall improvement in cognitive function occurs after liver transplantation in ALD
Garcia-Martinez et al. [32], 2011	52 cirrhotic patients	2 months	6-12 months	Psychometric tests (RAVLT, TMT-A, SDMT, GPT, COWAT, HVOT, JLO)	*The association of HE with cognitive function and brain volume suggests that having experienced HE before LT innearis the post-LT neurological outcome
Garcia-Martinez	24 cirrhotic	NS	6-12 months	1.5T MRI scanner, 1H-MRS	*Reduced brain volume in older patients, alcohol use, prior HE, and increased
et al. [32], 2011	pauents				tune from first episode of HE *Aee-related decrease in brain volume was hiober in natients with mior HE
Mattarozzi et al. [57], 2012	12 cirrhotic patients	SN	7-10 years	Psychometric tests (VM, COAT, TMT-A, and TMT-B, SCT, digit span, Corsi test, VMT, RWIR, RWDR, brief story, PAL, supraspan learning, RCFRT, FAS, PC, Pcopy, PCopy, RCFT, DSST-Flithorn maze test)	*The improvements obtained in the first 2 years after LT remain stable during the 7 to 10 years thereafter, indicating long-term improvement in cognitive function after LT
Li et al. [58], 2013	25 cirrhotic patients	NS	1 week	Psychometric tests (MMSE, VFT, DSTs, item memory, source memory (SM))	*Incidence of POCD in LT patients was greater than that reported in other surgical patients
Ishihara at al. [59], 2013	12 cirrhotic patients	Just before LT	6 months	Psychometric tests (MMSE, TMT-A and TMT-B, SCT, DSST, digit span, visual reproduction (plus delayed recall))	*There was increase in the serum biomarkers of dementia in the POCD patients *End-stage cirrhotic patients without clinical evidence of HE showed increased MD and decreased FA values in both frontal and temporal lobes. These
Tryc et al. [60•], 2014	81 cirrhotic patients	6 months	6 and 12 months	Psychometric tests (PHES, ICT, CFF, RBANS)	parameters improved after LT, in line with cognitive function *1 year after LT, cognitive dysfunctions in LT patients are not residual symptoms but instead are new-onset cognitive disturbances
Lin et al. [61], 2014	28 cirrhotic patients 30 controls	NS	6-12 months	Psychometric tests (WAIS-III, CASI, Wisconsin Card Sorting Test)	*Cognitive deficits are linked to a decline in health-related quality of life *Improvement of the extracellular cerebral edema and of the demyelination of WM in MHE patients after LT
Campagna et al. [62•], 2014	65 cirrhotic patients	NS	3, 6, 9, and 12 months	Psychometric tests (TMT-A and TMT-B, digit span, VFT, DSST, memory with interference task at 10 s and at 30 s, immediate and delayed story recall memory)	*DTI may be useful for investigating the pathogenesis of MHE *Both neuropsychological and EEG performances had significantly improved 1 year after LT

 Table 1
 Studies on cognitive changes after LT

Table 1 (contin	ned)				
References (authors, year)	Patient population	Time period pre-LT	Time period post-LT	Methods	indings
Ahhwalia et al	43 cimbotic	6 months	6 months	* 1 ST MRI commer T1 T2	Patients with a history of OHE showed greater improvements after LT than patients with a negative history, but their global cognitive function remained slightly worse; in contrast, EEGs normalized in both groups No shormalized expendences
[63], 2016	patients				
Pflugrad et al. [64], 2017	85 patients who underwent LT	12 months	12 months	3T MRI scanner, T1, T2 ***********************************	Higher WM hypersignals in patients under CNI but no brain atrophy Patients had more brain atrophy than controls Patients under CNI had lower neuropsychological performance
RBANS, repeatai test; TMT-A, Tra WAIS-R, Wechsl Orientation; SDJ Scale; SCT, Stro	ble battery for the <i>i</i> il Making Test, A; ler Adult Intelliger <i>MT</i> , Symbol digit 1 op color test; <i>RBM</i>	assessment of ne <i>i TMT-B</i> , Trail N nce Scale, Revis modalities test; <i>IT</i> , Rivermead E	auropsychologic Aaking Test, B; I ied; NS, not statt RCFT, Rey–Ost 3ehavioral Mem.	al status; <i>WAIS-III</i> , Wechsler Adult Intelligence Scale, Third Edition DSTs, Digit Span Forward and Digit Span Backward tests of the We ed; <i>ND</i> , not done; <i>RAVLT</i> , Rey auditory verbal learning test; <i>COW</i> terrieth Complex Figure Recall Trial, <i>GPT</i> , Grooved Pegboard test ory Test; <i>SRT</i> , simple reaction time; <i>CRT</i> , choice reaction time; <i>NA</i> wy Vashal1 as any Test immediate. <i>PWDD</i> B Pay Auditory Verbal 1	<i>ctaSt</i> , Cognitive Ability Screening Instrument; <i>VFT</i> , verbal fluency chsler Adult Intelligence Scale; <i>DSST</i> , digit symbol substitution test; <i>AT</i> , Controlled Oral Word Association Test; <i>JLO</i> , Judgment of Line <i>i</i> , <i>HVOT</i> , Hooper Visual Organization Test; <i>WMS</i> , Wechler Memory <i>RT</i> , National Adult Reading Test; <i>COAT</i> , crossout a test; <i>VM</i> , visual <i>RT</i> , National Adulty Reading Test; <i>COAT</i> , crossout a test; <i>VM</i> , visual

severe compromise to the patient's quality of life and lack of improvement despite maximal medical therapy. Due to the fact that neurocognitive impairment may occur or persist even after LT, it is highly recommended to evaluate for presence of shunts and consider embolization before or during transplantation [73]. Furthermore, during transplant evaluation, severe hyponatremia should be corrected slowly. Based on evidence from published date, LT is expected to improve HE but not neurodegenerative disorders. Therefore, it is important to distinguish HE from other causes of neurocognitive impairment such as Alzheimer's disease and small-vessel cerebrovascular disease. Brain MRI and MRS should be performed, and the patient should be evaluated by a neuropsychology specialist and an expert in neurodegenerative diseases [74]. Patients and caregivers, especially in patients with prior HE and cognitive dysfunction, need to be counseled prior to listing about the expectations for recovery of brain function in the short-term and long-term course post-LT extensively. There are certain subgroups in which complete recovery may take decades, and in some subgroups, it may remain impaired. In some patients, there may even be new-onset cognitive dysfunction after LT. However, in most cases, these changes are manageable and do not interfere with daily function and independence post-LT. Regardless, pre-transplant counseling should involve a discussion regarding cognitive and functional expectations of the family and patients after liver transplant.

Compliance with Ethical Standards

Conflict of Interest Dr. Albhaisi has no conflict of interest. Dr. Bajai has served on Advisory boards for Norgine and Merz and his institution received research grants from Grifols and Valeant Pharmaceuticals.

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RCFC, Rey-Osterrieth Complex Figure Copy; WM, white matter; MHE, minimal hepatic

calcineurin inhibitor

matrices; VMT, Immediate Visual Memory Test, RWIR, Rey Auditory Verbal Learning Test immediate; RWDR, Rey Auditory Verbal Learning Test 15-min delay recall; PAL, paired associate learning; PC,

Copy with Facilities;

encephalopathy; OHE, overt hepatic encephalopathy; POCD, postoperative cognitive dysfunction; ALD, alcoholic liver disease; CNI,

Copy; PCopyF, Painting

PCopy, Painting

FAS, word fluency;

Phrase Construction;

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