

# Advanced kinetic modelling strategies: towards adoption in clinical PET imaging

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**Abstract** Positron emission tomography (PET) is a highly quantitative imaging modality that can probe a number of functional and biological processes, depending on the radio-labelled tracer used. Static imaging, followed by analysis using semi-quantitative indices, such as the standardised uptake value, is used in the majority of clinical assessments in which PET has a role. However, considerably more information can be extracted from dynamic image acquisition protocols, followed by application of appropriate image reconstruction and tracer kinetic modelling techniques, but the latter approaches have mainly been restricted to drug development and clinical research applications due to their complexity in terms of both protocol design and parameter estimation methodology. To make dynamic imaging more feasible and valuable in routine clinical imaging, novel research outcomes are needed. Research areas include non-invasive input function extraction, protocol design for whole-body imaging application, and kinetic parameter estimation methods using spatiotemporal (4D) image reconstruction

algorithms. Furthermore, with the advent of sequential and simultaneous PET/magnetic resonance (MR) data acquisition, strategies for obtaining synergistic benefits in kinetic modelling are emerging and potentially enhancing the role and clinical importance of PET/MR imaging. In this article, we review and discuss various advances in kinetic modelling both from a protocol design and a methodological development perspective. Moreover, we discuss future trends and potential outcomes, which could facilitate more routine use of tracer kinetic modelling techniques in clinical practice.

**Keywords** Kinetic modelling · PET · 4D image reconstruction · PET/MR ·  $^{18}\text{F}$ -FDG · Whole-body imaging · Input function

## Introduction

Positron emission tomography (PET) is a powerful and highly specialised imaging modality for the non-invasive measurement of different physiological and biological processes at a molecular level. Although the emission tomography theory also applies to PET, the data acquisition in PET differs significantly from the data acquisition in the well-known single-photon emission computed tomography technique. The principles of PET are based on the fact that by labelling a compound with a positron-emitting isotope and intravenously injecting it into a patient in tracer quantities, one can detect its bio-distribution inside the body and investigate a number of physiological and biochemical processes such as perfusion, proliferation and glucose metabolism.

During the last few years, a number of studies have highlighted the potential diagnostic role of kinetic modelling, which is able to provide additional parameters, more

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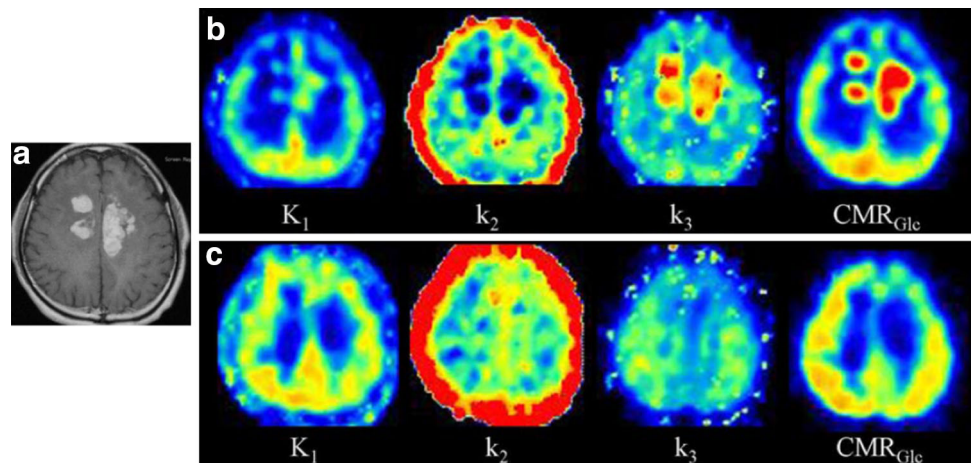
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**Fig. 1** MR and FDG PET images in a 47-year-old male patient with diffuse large B cell CNS lymphoma. Contrast T1-weighted MR image shows multiple enhancing lesions in the bilateral paraventricular area (a). Baseline dynamic FDG PET shows an increase in  $K_1$ ,  $k_3$  and  $CMR_{Glc}$  and a decrease in  $k_2$  at lymphoma lesions (b). Follow-up dynamic FDG PET shows a decrease in all four parameters (c). Reprinted with permission from [170] (color figure online)



closely related to the underlying pathology. At the same time, kinetic analysis can assist in drug development and therapy response monitoring through drug labelling and subsequent kinetic parameter evaluation.

The potential benefit of kinetic analysis has been demonstrated for a number of tracers and in a number of different pathological conditions. In head and neck imaging and specifically in central nervous system lymphomas, kinetic analysis of dynamic  $^{18}\text{F}$ -labelled 2 deoxy-2-D-glucose ( $^{18}\text{F}$ -FDG) may help in diagnosis as well as response monitoring (Fig. 1) [1, 2], providing more reliable tumour detection estimates [3]. Using  $^{18}\text{F}$ -DOPA, Schiepers et al. [4] demonstrated the importance of kinetic modelling in tumour-grade differentiation, while Thorwarth et al. [5], using  $^{18}\text{F}$ -FMISO, showed a high correlation between kinetic parameters and response to therapy. Similar findings were reported using  $^{18}\text{F}$ -FLT in brain tumours by Schiepers et al. [6], who showed a correlation between kinetic parameter estimates and disease progression, while Wardak et al. [7], in their study in glioma patients, highlighted the significance of full kinetic modelling in therapy response monitoring. In lung cancer, dynamic  $^{18}\text{F}$ -FDG imaging followed by kinetic analysis has been shown to assist in differentiation between squamous cell carcinoma and adenocarcinoma, revealing differences in kinetics between these subtypes [8]. In colorectal tumours, kinetic analysis may help to differentiate between primary tumours and normal tissue [9], and kinetic parameters may provide information on proliferation and angiogenesis [10, 11]. The literature contains a multitude of studies highlighting the potential significance of kinetic modelling in clinical oncology and drug development, as several critical reviews have shown [12–14].

The following discussion focusses mainly but not exclusively on clinical  $^{18}\text{F}$ -FDG PET imaging, given that  $^{18}\text{F}$ -FDG is the most commonly used tracer. First, we briefly discuss some aspects of kinetic modelling and its

role in oncology. We elaborate on the pitfalls of static imaging, the clinical importance of dynamic imaging and tracer kinetic analysis, and the difficulties associated with its adoption in clinical practice. We then review established but most importantly new strategies for tracer kinetic analysis, focussing particularly on input function (IF) extraction, whole-body parametric imaging, kinetic parameter estimation and simultaneous dynamic PET and magnetic resonance (MR) data acquisition. Finally, we discuss future perspectives based on current and emerging advances in software and hardware.

### PET imaging in oncology

Positron emission tomography (PET) is a well-established imaging modality in oncology, having been used in the past two decades for numerous studies, involving many benign and malignant abnormalities. One of the radiopharmaceuticals most frequently used is  $^{18}\text{F}$ -FDG [15, 16]. As FDG (a glucose analogue) and glucose are similar, they compete during phosphorylation. The two byproducts, FDG-6-phosphate and glucose-6-phosphate, follow different routes. Glucose is further metabolised into fructose-6-phosphate, while FDG is trapped. Increased expression of glucose transporters and enzymes responsible for metabolism can contribute to this glucose accumulation and consumption. FDG uptake is also regulated by the hypoxic nature of the tumour as well as by cellular proliferation and impaired tumour-suppressing mechanisms [17].

The two-tissue compartmental model can adequately describe the kinetics of FDG. Two differential equations can describe the concentration change rate in each compartment to analytically derive the operational equation.

$$\frac{dC_1(t)}{dt} = K_1 C_p(t) - k_2 C_1(t) - k_3 C_1(t) + k_4 C_2(t) \quad (1)$$

$$\frac{dC_2(t)}{dt} = k_3C_1(t) - k_4C_2(t) \tag{2}$$

The first compartment is the free tracer and the second one is the trapped FDG-6-phosphate. The tracer enters the free pool with a rate constant equal to  $K_1$ . In the free pool, the tracer can either be cleared with a rate  $k_2$  and a fractional clearance rate  $K_1k_2/(k_2 + k_3)$ , or become trapped with a rate  $k_3$  and a fractional uptake rate  $K_1k_3/(k_2 + k_3) = K_i$ .

After using Laplace transformations, the aforementioned compartmental concentrations can be written as

$$C_1(t) = \frac{K_1}{a_2 - a_1} ((k_4 - a_1)e^{-a_1t} + (a_2 - k_4)e^{-a_2t}) \otimes C_b(t) \tag{3}$$

$$C_2(t) = \frac{K_1k_3}{a_2 - a_1} (e^{-a_1t} - e^{-a_2t}) \otimes C_b(t) \tag{4}$$

And the overall sampled PET signal as

$$C_{PET}(t) = (1 - V_a)C(t) + V_aC_b(t) \tag{5}$$

where

$$C(t) = \frac{K_1}{a_2 - a_1} ((k_3 + k_4 - a_1)e^{-a_1t} + (a_2 - k_3 - k_4)e^{-a_2t}) \otimes C_b(t) \tag{6}$$

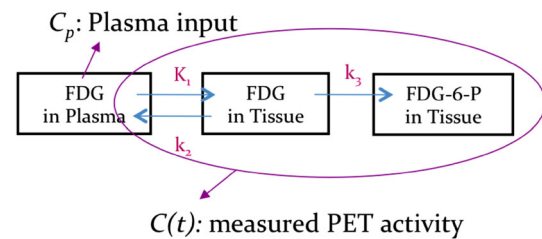
$$a_1 = \left( k_2 + k_3 + k_4 - \frac{\sqrt{(k_2 + k_3 + k_4)^2 - 4k_2k_4}}{2} \right) \tag{7}$$

$$a_2 = \left( k_2 + k_3 + k_4 + \frac{\sqrt{(k_2 + k_3 + k_4)^2 - 4k_2k_4}}{2} \right) \tag{8}$$

$C_b$  is the arterial whole blood tracer concentration, and  $V_a$  is the fractional blood volume. For dynamic scans not exceeding 60 min, it makes less sense to exclude a  $k_4$  constant rate as it becomes difficult to obtain reliable estimates. However, while including this parameter may improve the model fit, in certain regions, such as the liver, an assumption of  $k_4 = 0$  is not completely valid. Furthermore, the absence of a  $k_4$  may lead to reduced estimates of the metabolic rate of glucose (MRGlu) [18].

**Pitfalls in static clinical <sup>18</sup>F-FDG imaging: why dynamic imaging?**

PET scanners are highly specialised cameras that, in principle, work in a similar way to normal digital cameras, by collecting photons over a period of time, to produce a static image from the integrated measurements. This mode of imaging is almost exclusively used in clinical practice, for



**Fig. 2** The two-tissue compartmental model. The interstitial space and cellular space are commonly lumped together as the first tissue compartment.  $K_1$  and  $k_2$  are associated with the tracer influx and venous clearance of FDG in and out of this compartment, and  $k_3$  captures the intracellular phosphorylation rate. The measured PET signal  $C(t)$  cannot distinguish between non-phosphorylated and phosphorylated compartments. For dynamic scans of <60 min and in most organs a three constant rate model is more appropriate (color figure online)

qualitative assessment and visual inspection and interpretation of the reconstructed images. However, quantitative estimates related to the accumulation of a radio-labelled compound have complemented or superseded visual interpretation in many clinical applications and provide a more objective assessment of the system under study.

Semi-quantitative indices such as the standardised uptake value (SUV) can provide valuable information regarding the system under study and can help in interpretation, differentiation and analysis of clinical scans for tumour detection, staging and response monitoring.

SUV is a simplified metric that requires single temporal-frame imaging:

$$SUV = \frac{\text{activity concentration } C(t)}{\text{Injected tracer concentration/Body weight}} \tag{9}$$

It is frequently used in oncology as a simple method of basic quantification in static imaging protocols and it provides a surrogate estimate of biologically related parameters. The SUV can actually be viewed as an estimate of the kinetic influx rate  $K_i$ , and the accuracy in the estimation depends on the following two conditions:

- (a) in the voxel or region of interest (ROI), the contribution of non-phosphorylated FDG (which includes the vascular and the extravascular compartments) must be negligible relative to phosphorylated FDG (a commonly used two-tissue compartmental model is shown in Fig. 2).
- (b) the time integral of plasma FDG concentration is proportional to the injected dose divided by body weight (BW), lean body mass (LBM) or body surface area (BSA) as used in the SUV metric (the latter two are somewhat more reliable and less prone to artificial increases or decreases due to changes in body habitus, of the kind that commonly occur, for example, among oncology patients undergoing treatments) [19–21].

These two assumptions can however break down in clinical PET imaging and lead to noticeable inaccuracies [22–25]. As regards the first assumption, less FDG-avid tumours that have relatively small FDG phosphorylation rates may not be optimally imaged at standard imaging times (e.g. 60 min after injection) due to contributions of the vascular compartment and/or intracellular non-phosphorylated FDG, thus resulting in limited differentiability between diseased and normal tissue or organs [26]. This can be a particular issue post-therapy when there can be substantial background FDG activity in tissues [27]. This also leads to spatial distributions for SUV images that vary over time.

As regards the second condition, if a patient is for instance undergoing chemo- or hormone therapy, the dynamics of plasma FDG could be significantly affected, and the time integral of the plasma FDG could deviate from what would be predicted from the dose and BW/LBM/BSA alone [24, 28]. The SUV estimate for such a case may thus not accurately correspond to the kinetic influx rate, and the therapy response may not be accurately reflected by the change in SUV. This also explains why patient populations showing varying plasma dynamics result in scattered correlation between the SUV and  $K_i$  measures [29].

Overall, the single-time-point SUV PET/CT methodology has documented limitations [30–34]), and may underestimate disease presence in certain malignancies [22]. A more advanced approach, namely dual-time-point FDG PET imaging, proposes to measure the retention index as the percent change in SUV images from early (~60 min) to late (90–180 min) scans [35–44].

A solution to the second shortcoming is to supplement the PET scan with blood sampling data, arriving at the fractional uptake rate (FUR) measure [45, 46]. This approach, in its original form, involved invasive blood

sampling from the time of injection. A closely related approach, referred to as simplified kinetic analysis (SKA), utilised population-based IFs, and blood samples collected late-phase to scale the population-based IF [47]. In any case, the FUR/SKA approaches, like the SUV framework, continue to fail to correct for the presence of non-phosphorylated FDG and blood volume presence [48].

When dynamic methods are used, micro-parameters (i.e. individual rate constants between compartments) or macro-parameters (i.e. combinations of micro-parameters) have to be estimated using multiple time courses of the activity concentration in the tissue of interest.

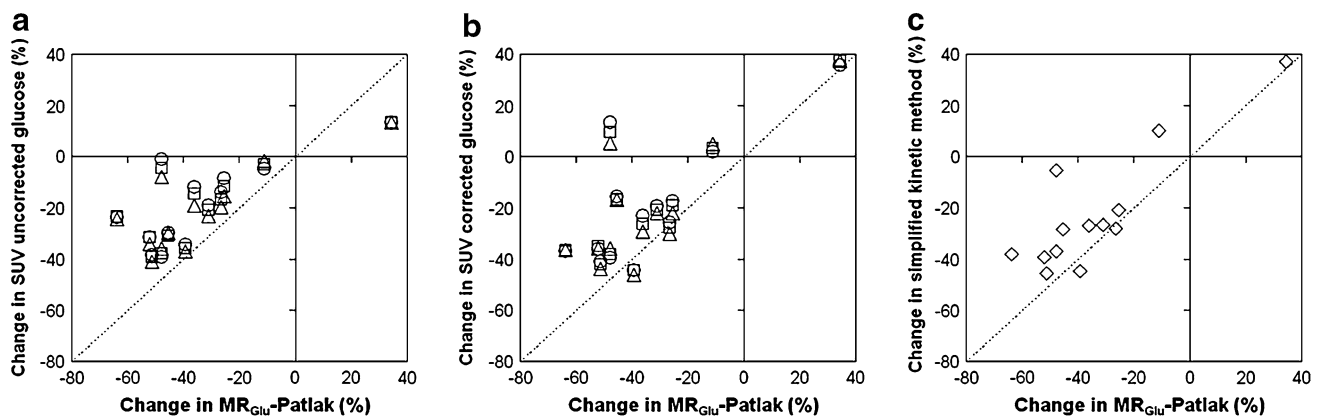
The MRGlu can thus be calculated as  $MRGlu = \frac{C_b K_1 k_3}{LC k_2 + k_3}$  with the lumped constant being the difference in transport and phosphorylation between glucose and FDG and  $C_p$  the arterial plasma glucose concentration.

In a comparative study, Cheebsumon et al. [49] found that fractional changes in assessing response to therapy were under-estimated using SUV compared to Patlak analysis (Fig. 3), even after correcting for plasma glucose levels.

Several other studies have compared a number of semi-quantitative methods based on static protocols (SUV, simplified kinetic method) with kinetic analysis methods based on dynamic imaging protocols (Patlak graphical analysis and full compartmental modelling), further motivating the need for dynamic imaging [50, 51].

### Challenges in the clinical adoption of pharmacokinetic modelling

Traditionally, application of dynamic imaging protocols, followed by graphical analysis or full kinetic analysis modelling strategies, has been mainly restricted to clinical



**Fig. 3** Relative percentage changes in the standardised uptake value (SUV) and simplified kinetic analysis (SKA) due to therapy compared with corresponding changes in MRGlu-Patlak on a lesion-by-lesion basis for SUV<sub>BW</sub> (triangles), SUV<sub>LBM</sub> (circles) and SUV<sub>BSA</sub> (squares) (a) uncorrected for blood glucose and (b) corrected for

blood glucose, and (c) for SKM. All simplified methods showed a substantially smaller fractional change than with Patlak analysis, emphasising the importance of kinetic analysis in assessing response to therapy. Reprinted with permission from [49]

research. The limited adoption of dynamic imaging protocols in clinical practice stems from the technical difficulties associated with pharmacokinetic modelling, which make these techniques difficult to adopt in routine clinical practice. We here discuss these difficulties, while “[Summary and future perspectives](#)” section elaborates upon advanced strategies to address some or all of these issues.

One of the main issues associated with clinical adoption of kinetic modelling is the need to have an accurate estimate of the tracer’s activity concentration in the blood over the course of the dynamic study. Obtaining an arterial IF through continuous or manual discrete blood sampling is the gold standard; however, it is invasive, time consuming and technically challenging, and requires extensive facilities and specialised personnel.

Another important issue is the limited anatomical field of view (FOV) coverage offered by current PET systems (15–25 cm), which restricts dynamic acquisition protocols to a specific part of the body. Therefore, kinetic modelling strategies have so far been limited to single-bed acquisition protocols, making them ill-suited for whole-body parametric imaging applications. Furthermore, dynamic imaging protocols can last for up to 1.5 h post-injection and therefore impact on patient comfort, as well as patient throughput.

Choosing the correct model is very important and it depends upon the administered tracer, the target region and the scanner characteristics. In most cases, the actual underlying true physiological model is too complicated to identify, due to the statistical variations of the measured data and limitations introduced by the instrumentation. A simplified model is therefore chosen in most cases as a trade-off between statistical reliability of the derived parameters and error due to the use of a simplified model. Furthermore, due to the limited counting statistics, parameter estimation is usually performed at a regional level, following ROI delineation based on anatomical information and kinetic model application. This method is attractive as many voxels are summed together, improving the statistics and resulting in reliable parameters. However, as the underlying tissue contains heterogeneous kinetics, the average that is calculated when estimating regional kinetics inevitably results in biased estimates. In addition, the spatial average limits the spatial information that PET data can potentially provide.

To avoid averaging heterogeneous kinetics and preserve the spatial resolution, one should model the kinetics at the scanner’s finest image discretisation element, which is the voxel. In this way, parametric images are obtained, which allow the spatial heterogeneity of the physiological parameters to be assessed. However, although parametric imaging has benefits compared with regional analysis, it suffers from increased noise due to reduced counting

statistics at the voxel level. This results in bias and non-statistically reliable parameter estimates if full kinetic analysis is performed. Also, computational time becomes an important parameter. To address the excess noise while maintaining the spatial information, and to improve the signal-to-noise ratio (SNR), post-reconstruction techniques can be used. These methods are expected to improve kinetic parameter precision and accuracy but parameter estimation is performed using independently reconstructed images, which leads to suboptimal parameter estimation due to limited counting statistics and inaccurate modelling of the noise in the data. Direct 4D parameter estimation methods in which kinetic parameters are directly estimated during image reconstruction provide a promising alternative as they can improve upon kinetic parameter bias and variance. However, until recently, the slow convergence properties of these reconstruction algorithms and their added complexity have prevented their more frequent application. New developments in these areas are discussed in the next section.

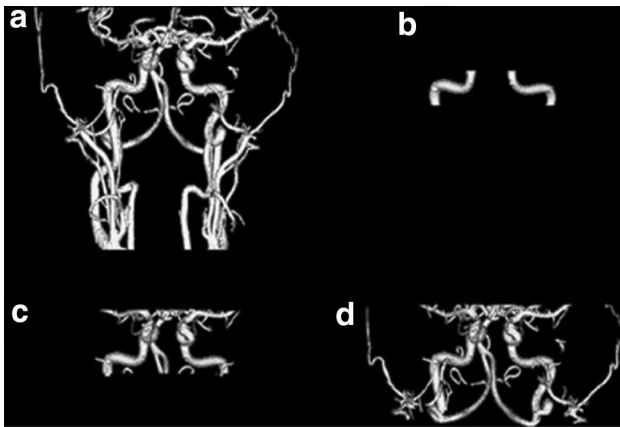
### Advanced strategies in pharmacokinetic modelling

As mentioned previously, there are a number of challenges associated with the clinical adoption of kinetic modelling strategies in clinical practice. However, during the last few years advances in data analysis, protocol design and instrumentation have provided a solid foundation for more widespread use of dynamic imaging, followed by fully quantitative analysis, in the clinic. In the rest of this article, we review techniques and recent advances in key areas associated with pharmacokinetic modelling and discuss their potential application in routine clinical practice.

#### Input function estimation

Kinetic imaging requires accurate calculation of the IF. Arterial blood sampling is an invasive and complicated method which makes scanning very uncomfortable for the patient and virtually impossible to translate into clinical practice. Consequently, numerous techniques have been proposed to calculate the IF from images as a convenient and non-invasive alternative to arterial cannulation [52]. However, even image-derived input function (IDIF) estimation is by no means an easy task and there are several issues to be addressed if such a methodology is to be applied in clinical practice. Here, we list a few of them [53].

- Segmentation of the blood pool: One of the difficult aspects is to locate the ROI through relevant information, e.g. carotid arteries. Many researchers have



**Fig. 4** Three-dimensional rendering of segmented artery from time-of-flight magnetic resonance angiography images (a); the arterial region of interest (ROI) version one (b); the arterial ROI version two (c); and the arterial ROI version three (d). Reprinted with permission from [54]

attempted segmentation of regions using the early time frames of the dynamic PET images. This is very difficult as PET resolution is limited to about 4–5 mm, making the segmentation of small structures a difficult task. A way to solve this issue is to segment the arteries using angiograms acquired from other imaging modalities as shown in Fig. 4 [54]. Fung and Carson, for example, recently proposed a method using high-resolution MR images of the carotid arteries [55]. This technique along with another recent investigation by Iguchi et al. [56] may be seen as promising examples to translate into simultaneous PET/MR imaging, a recently developed field. Such a translation might be more complicated in whole-body imaging compared with brain imaging, due to additional sources of motion. A region that could be used for obtaining the blood pool is the cardiac cavity or ascending aorta, however such IDIF extraction is generally limited to acquisitions that include these structures within the FOV.

- Limited spatial resolution: PET imaging has limited spatial resolution due to partial volume and motion effects [57]. In brain imaging, motion is relatively simple to correct by applying frame-by-frame realignment or measuring rigid motion with the use of external devices [58]. As such, the main effect for limiting resolution is partial volume, with activity spilling in and out. Fung and Carson, for example, attempted to minimise this complication by selecting only a centreline [55]. On the other hand, in whole-body imaging, respiratory motion is a major challenge as it can significantly degrade spatial resolution and it is the main limiting factor for quantitative imaging [59]. Motion correction approaches have been proposed

using PET/MR imaging but such approaches are still in their early stages [60] and will require further work before they can be translated into clinical PET/MR protocols. However, in the body, since the regions used to extract the IF are relatively large compared to the carotids in the brain, partial volume effects can be less of a concern.

- Plasma versus whole blood and metabolites: Even if the IF is calculated, there are several cases in which it is necessary to calculate the amount of metabolites in the blood as they augment the background signal without necessarily participating in the kinetics. However, in some cases, metabolites may show competitive kinetics in some organs [61]. Fortunately, in  $^{18}\text{F}$ -FDG imaging, no metabolite correction is necessary while the difference between plasma and whole-blood concentration is minimal and the ratio between the two also remains relatively constant over time. However, for a large number of tracers, metabolite correction is necessary and it is very difficult to achieve this using IDIF as it is not possible to distinguish the parent radiotracer from the corresponding radio-metabolites [62]. In a similar context, it is necessary to distinguish the amount of radioactivity in the blood and the plasma because only the latter participates in the biochemical exchanges, while the radiotracer in the blood only augments the PET signal [63]. As an attempt to produce a robust holistic approach to extract IDIF for whole-body tracer kinetics, a novel analysis was recently proposed by Huang and O'Sullivan [64].
- Limited temporal resolution: PET in theory offers very high temporal resolution (less than a nanosecond) but in practice its spatiotemporal resolution is limited by the need to measure, within a timeframe, enough counts to allow the extraction of quantitative information. In particular, for the calculation of the peak of the IF, a temporal resolution of a couple of seconds is needed. Current iterative reconstruction algorithms are able to provide high spatial resolution images but, within this timeframe, they fail to produce quantitative results due to noise-induced bias generated from the non-negativity constraint [65]. Furthermore, using graphical analysis methods (such as Patlak) where the area under the input curve is important and when using tracers with metabolites mainly at the late frames, the coarse sampling in the early frames, where the activity changes rapidly, will introduce errors in the subsequent kinetic parameters. This is because the approximately estimated area under the peak (AUP) accounts for a significant proportion of the total area under the curve (AUC) following metabolite correction; in comparison, with non-metabolised tracers, the AUP is only a small fraction of the AUC [66].

In particular cases in which some regions in the brain exhibit simple and well-understood biochemical exchanges with the arterial IF, the kinetic parameters of the brain can be expressed in relation to the kinetic behaviour of this reference region [67]. These models are known as reference tissue models and have proven valuable for kinetic analysis of dynamic PET imaging of brain function [68]. However, these models depend upon a suitable reference tissue, which might not be apparent across a large range of patients, and they are limited to particular tracers. Other methods, defined population-based, estimate the IF from a library of IFs [69]. Another family of methods attempts to estimate simultaneously the IF and the kinetic parameters by fitting multiple time-activity curves at the same time [70, 71]. Expanding this direction of research further, Reader et al. [72] jointly estimated the IF and spectral coefficients directly from list-mode data using fully 4D image reconstruction. However, these methods do not necessarily estimate the true IF but an IF that provides the best fit to the data.

Overall, the translation of IF to whole-body parametric imaging is dependent on the tracer, the clinical application and the organ of interest. It will be necessary to reconsider approaches currently in use, such as the IDIF methods, and make them more robust by incorporating the power of new multimodality imaging techniques such as PET/MR, as will be further discussed [73].

### Towards dynamic whole-body parametric imaging

Dynamic PET imaging has so far been largely treated (incorrectly) as mutually exclusive with whole-body imaging. However, routine clinical multi-bed PET imaging commonly involves single temporal-frame imaging. Clinically feasible combination of whole-body and dynamic imaging raises the following issues: (1) the presence of temporal gaps for any given bed position, and (2) the need for non-invasive quantification of the IF. The first issue is addressed through graphical Patlak analysis, which can be applied with as little as two time point measurements. Although parametric images of  $K_i$  using Patlak analysis are routinely used in clinical research and for single-bed dynamic acquisitions, until recently their application to clinical practice for whole-body imaging had not been demonstrated. The second issue, however, is particularly challenging.

A particular study by Ho-Shon et al. [74] proposed optimisation of multi-bed dynamic PET acquisitions, based on a statistical Bayesian regression method. This approach focussed on ROI-based parametric analysis and included demonstration of two-bed acquisition examples with uneven bed frames and bi-directional scanning. Similarly, Hoh et al. [75] proposed a multi-bed dynamic acquisition

to allow for ROI-based Patlak analysis over multiple beds. Later, Sundaram et al. [76], motivated by the previously mentioned SKA method, and also picking up on the suggestion by Hoh et al. proposed a short two- or three-bed late dynamic acquisition as a simplified alternative to multi-bed Patlak analysis for ROI-level parametric analysis. Kaneta et al. [77] also conducted multi-bed dynamic acquisition of human subjects (0–90 min post-injection) in the context of imaging hypoxia using  $^{18}\text{F}$ -FRP170, involving multi-pass whole-body acquisitions, each lasting for 12 min (6 beds  $\times$  2 min/bed). However, only dynamic images were presented, without tracer kinetic modelling.

The Patlak model uses the time integral of the IF [78]. A novel work by van den Hoff et al. [79] proposed a solution beyond this. Utilising whole-body dual time-point image acquisition, and denoting  $C(t)$  and  $C_p(t)$  as the measured PET activity for a given voxel and the IF from the heart, respectively (as seen in Fig. 1), each measured at times  $t_1$  and  $t_2$ , the authors showed that the Patlak slope  $K_i$  is estimated as:

$$K_i \approx \frac{1}{\sqrt{C_p(t_1)C_p(t_2)}} \frac{C(t_1) - C(t_2)}{t_2 - t_1} + \frac{\bar{V}}{\ln(C(t_1)/C(t_2))} \quad (10)$$

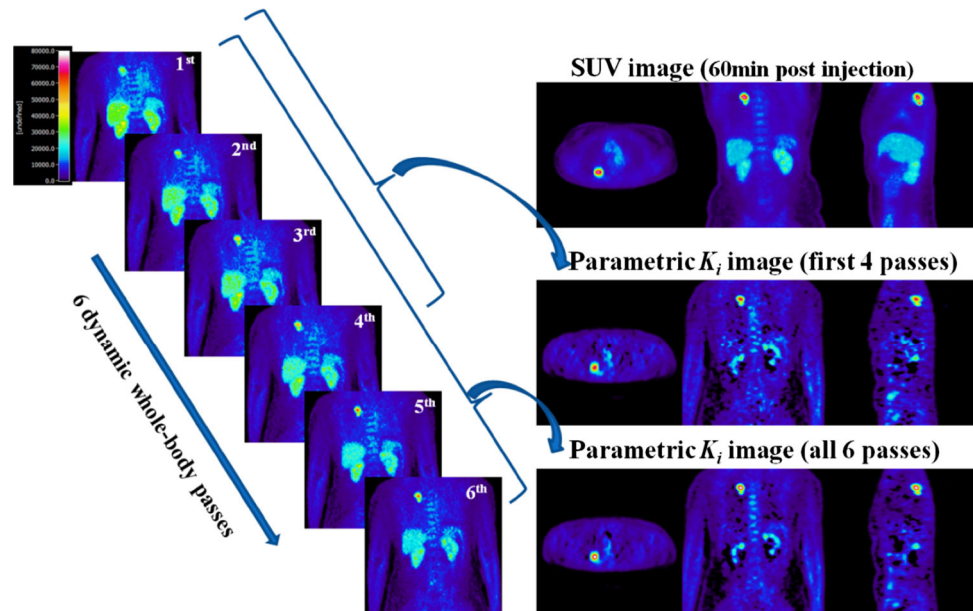
where  $\bar{V}$  denoted a population-based estimate of the Patlak intercept. The results indicated excellent correlation ( $r = 0.99$ ) with actual Patlak measured slopes, even when the second term in the above equation was dropped ( $r = 0.98$ ), although in this case, the slope of regression changed substantially from zero. This approach was primarily validated using single-bed imaging ( $n = 9$ ), but also included application to a single whole-body scan including both the brain and the torso.

Recently, another whole-body PET imaging scheme including optimisation and validation was proposed in companion papers by Karakatsanis et al. [80, 81]. This approach involved a 6-min initial scan over the heart, as well as generation of dynamic whole-body datasets (6 passes), the latter shown in Fig. 5. This enabled a non-invasive solution to IF estimation by combining the first 6-min scan over the heart (capturing the early dynamics) and subsequent passes over the heart. Standard Patlak linear graphical analysis modelling was employed at the voxel level (Eq. 11), coupled with plasma IF estimation from the images, to estimate the tracer uptake rate  $K_i$  (slope), resulting in parametric images at

$$\frac{C(t)}{C_p(t)} = K_i \frac{\int_0^t C_p(t) dt}{C_p(t)} + \frac{K_1 k_2}{(k_2 + k_3)^2} \quad t^* < t \quad (11)$$

the individual voxel level. The images (as seen in Fig. 5) convey a different ‘feel’ compared with SUV imaging, for instance saturating background FDG activities as commonly seen in some organs (e.g. liver has a large

**Fig. 5** (Left) following 6-min scan of the heart (not shown), six whole-body passes were acquired as shown. Each pass consisted of seven bed positions (45 s/bed acquisition). (Right) the SUV image, the  $K_i$  parametric image derived from all six last frames and the  $K_i$  image after omitting the last two frames are shown. Reprinted with permission from [80] (color figure online)



percentage of blood volume). A similar acquisition approach was recently investigated by another group in  $n = 21$  patients with malignant or benign pulmonary lesions [82], and found to show a good ability to distinguish malignant lesions from benign ones ( $p < 0.05$ ), although a similar statistical significance was observed when utilising the maximum SUV (SUVmax). The abovementioned framework was also recently extended using a generalised Patlak model that additionally incorporates modelling of FDG dephosphorylation ( $k_4$  constant) [83].

Finally, we note that the abovementioned overall framework may also be promising in non-oncology applications. In particular, parametric imaging of blood vessels has the potential to enhance visualisation and quantification of the atherosclerotic burden. This is because, similar to what the black-blood MRI technique pursues [84], this approach may enable saturation of the signal at the centre of the vessel lumen, while focal uptake at the periphery of the vessel walls can be detected [85].

#### 4D kinetic parameter estimation strategies

Whether for a single-bed position or for whole-body applications, to maintain the intrinsic spatial resolution characteristics provided by the current PET systems, kinetic parameter estimation can be performed at the voxel level providing parametric images of physiologically and biologically related parameters. However, as kinetic modelling is performed at each voxel, the resulting time-activity curves (TACs) can be substantially noisier than regional TACs. This problem has long been identified and

has prevented the widespread use of parametric imaging in clinical practice; indeed, parametric maps can be very noisy, and this reduces their potential value in different clinical applications. The problem of noisy parametric maps stems from the two-step approach traditionally used in estimating kinetic parameters, where independently reconstructed time frames are followed by kinetic modelling. To tackle the problem, one can incorporate temporal information after or during reconstruction, imposing constraints and obtaining less biased and more precise parameter estimates. A differentiation can be made, as some of these methods use a non-physiologically based temporal model as a means of temporal regularisation prior to parameter estimation. These methods are referred to as ‘indirect’ 4D methods, as although they use a model as a temporal constraint between the frames, they deliver parameter estimates via a two-step route. In a second group of methods, a joint approach to parameter estimation is used, where kinetic parameters are estimated directly during or before the reconstruction process, in a single step. These methods are often referred to as ‘direct’ 4D methods as they use physiologically meaningful kinetic models, and they are the main focus of this section. The task of image reconstruction can thus be thought of as reconstructing parameter estimates directly from measured projections, without any intermediate step [86–88].

#### *Indirect parameter estimation using temporal regularisation*

Temporal smoothing is based on the similar behaviour shown by neighbouring time frames. Walledge et al. [89],



exploiting this concept, applied a filtered image of the previous frame as the initialising image for the next frame. Temporal smoothing has also been used within a maximum a posteriori (MAP) framework [90–94], while Reader et al. [95] fitted the data between tomographic iterations providing a smoothing and omitting the need for any prior term.

Another way to tackle the SNR problem is to consider temporal basis functions (TBFs) representing a wide range of possible kinetics in the data. The use of TBFs is based either on the data themselves, or on the physiological model under study. In the former case, a smoothing is achieved while in the latter, the basis coefficients have physiological meaning. Using b-spline TBF, Asma et al. [96] and Nichols et al. [97] reconstructed a set of basis function coefficients which, however, had no physiological meaning. Nichols et al. [97] used information from the head curve to optimise the splines, while Verhaeghe et al. [98] optimised the splines based on the TACs after every iteration. In an extension of the method, Verhaeghe et al. [99–101] proposed joint estimation of coefficients and b-spline TBFs. This approach has similarities with the method of Reader et al. [102, 103] where the TBFs are not specified a priori but are left to be jointly estimated with the coefficients in an interleaving fashion.

Similar temporal smoothing can be achieved using wavelet decomposition [104–106]. This decomposition gives a set of coefficients for a set of basis functions. By thresholding the coefficients, a signal denoising is achieved. Turkheimer et al. [107] pioneered this field of study by applying kinetic modelling in the wavelet space, while Verhaeghe et al. [108] used a spatiotemporal wavelet basis function within a fully 4D reconstruction.

#### *Direct parameter estimation strategies*

All the aforementioned methods are attempts to overcome the problem of the SNR, without, however, considering the problem of kinetic parameter estimation, which is the endpoint of image reconstruction in dynamic imaging. To simplify the parameter estimation sequence and directly reconstruct parameters of interest in a single step, a joint approach can be used to create parametric images by modelling the data before or during reconstruction.

A problem encountered in post-reconstruction kinetic modelling is that of having precise knowledge of the noise distribution in every reconstructed frame, to weight the data contribution during the fitting procedure. While analytic and approximate formulae for the weighting can be calculated for filtered back-projection reconstructed data, in iterative reconstruction methods, such formulae are not straightforward. This is due to pixel correlations and algorithm non-linearity, with the noise being object-

specific and variable within the reconstructed FOV. Incorporating the kinetic parameter estimation within image reconstruction results in a more accurate modelling of the noise propagation from Poisson distributed raw projection data to the kinetic parameters.

Direct reconstruction of regional kinetics has been used in the past [109–112]; however, ROI approaches suffer from all the problems mentioned previously. As such, direct parametric imaging is the obvious way to calculate parameters, while preserving spatial resolution. It was Snyder et al. [113] and Carson and Lange [114] who first proposed such a scheme within an expectation maximisation (EM) algorithm, without however implementing it. A plethora of direct parametric reconstruction methods have been implemented since then, both for linear and non-linear kinetic models.

*Linear models* Wang et al. [115] incorporated a Patlak graphical analysis model with a MAP reconstruction, while Tsoumpas et al. [87] used Patlak analysis along with the parametric iterative reconstruction algorithm of Matthews et al. [116], obtaining improved SNR and mean square error. Tsoumpas and Thielemans highlighted the need for particular consideration of the blood volume in 4D reconstruction as it may impact on quantification [117]. Tang et al. [118] derived a closed form 4D EM algorithm, additionally incorporating anatomical information from MR and using the joint entropy between the MR and PET parametric features as the prior. Furthermore, 4D EM direct reconstruction in oncology patients was performed for single-bed [119] and multi-bed [120] dynamic FDG imaging, showing enhanced quantitative performance compared to conventional Patlak parametric images. Similar modelling has been used to directly estimate Patlak parameters from list-mode data [121]. Merlin et al. [122] advanced the field further by incorporating a motion correction scheme within a Patlak 4D reconstruction using the NURBS-based cardiac-torso phantom. Finally, Rahmim et al. [123] developed and applied a direct AB-EM image reconstruction using the relative equilibrium graphical analysis formulation for reversibly binding tracers, obtaining ~35 % noise reduction in distribution volume and distribution volume ratio parameters compared with post-reconstruction methods.

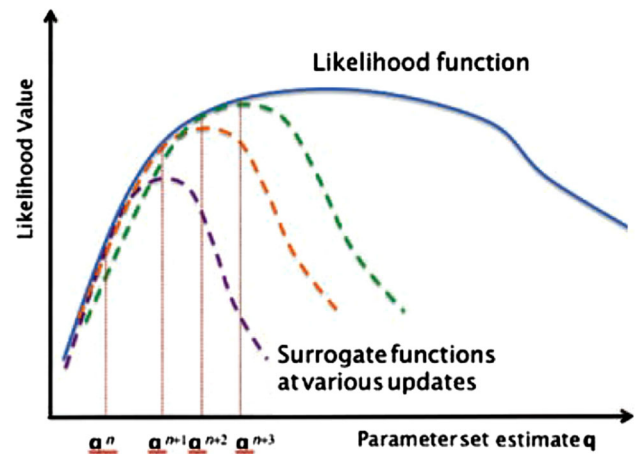
Apart from graphical analysis models, data-driven models have also been used within a 4D framework. Reader et al. [72] advanced the field by simultaneously estimating a system IF and the spectral coefficients. In a first step, the coefficients are optimised keeping the IF constant, while in a second step the coefficients are kept constant optimising the IF. The method has been used by the authors as a means of regularising the data and as such it belongs to the TBF approaches. In the case of a true IF,

though it can return the true basis function coefficients and in this sense is a direct method. Wang and Qi [124] used a similar approach to include spectral analysis within a MAP reconstruction, using a Laplacian prior as sparsity constraint, similar to the one used by Gunn et al. [125] in the basis pursuit approach to spectral analysis.

**Non-linear models** 4D reconstruction algorithms based on linear kinetic models can deliver direct estimates of macro-parameter images. Such estimates are more robust to noise and potentially easier to estimate, interpret and relate to commonly used indices like SUV. However, further information is available from full compartmental analysis based on a non-linear model compared with constant rate between the different physiological compartments. Kamasak et al. [126] were of the first to directly derive a set of micro-parameters of interest, using the two-tissue compartmental model with a MAP criterion and a coordinate descent algorithm. Since the model is non-linear in its parameters, the algorithm has nested optimisation sub-algorithms to decouple the non-linearity from the system model. EM [127] and preconditioned conjugate gradient [128] based direct reconstruction algorithms have also been used for the general one-tissue compartment model with specific applications to brain and cardiac imaging, respectively.

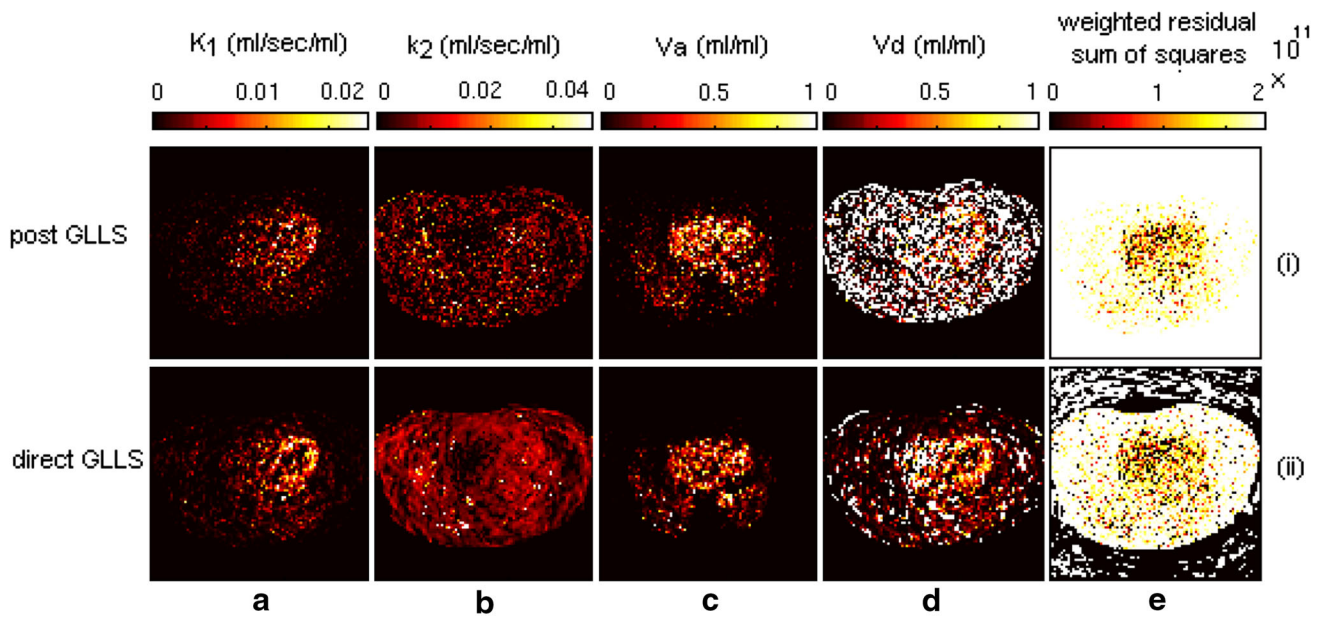
**Decoupling the spatiotemporal image reconstruction problem** Deriving micro-parameter maps from noisy dynamic data can result in biased and noisy parametric images. This is a major stumbling block for their widespread application in clinical practice as already mentioned. However, the aforementioned direct 4D image reconstruction approaches have consistently shown, to improve both accuracy and precision in the kinetic parameters compared with their post-reconstruction counterparts, with the degree of improvement varying depending on the injected tracer and the kinetic model used. Nevertheless, despite the improved bias and variance in micro-parameter maps, 4D algorithms incorporating non-linear compartmental models are time consuming, complex and usually slow to converge, rendering them difficult to apply in clinical practice. These algorithms are also restricted to a specific combination of spatial and temporal models. These issues stem from the coupling between the tomographic image reconstruction problem and the kinetic parameter estimation problem.

To avoid optimising the 4D log-likelihood function, a convenient method is to transfer the optimisation problem to surrogate functions which are more easily optimised (Fig. 6). To tackle these issues, Wang and Qi [129] proposed an algorithm to decouple these two components using this optimisation transfer principle and paraboloidal



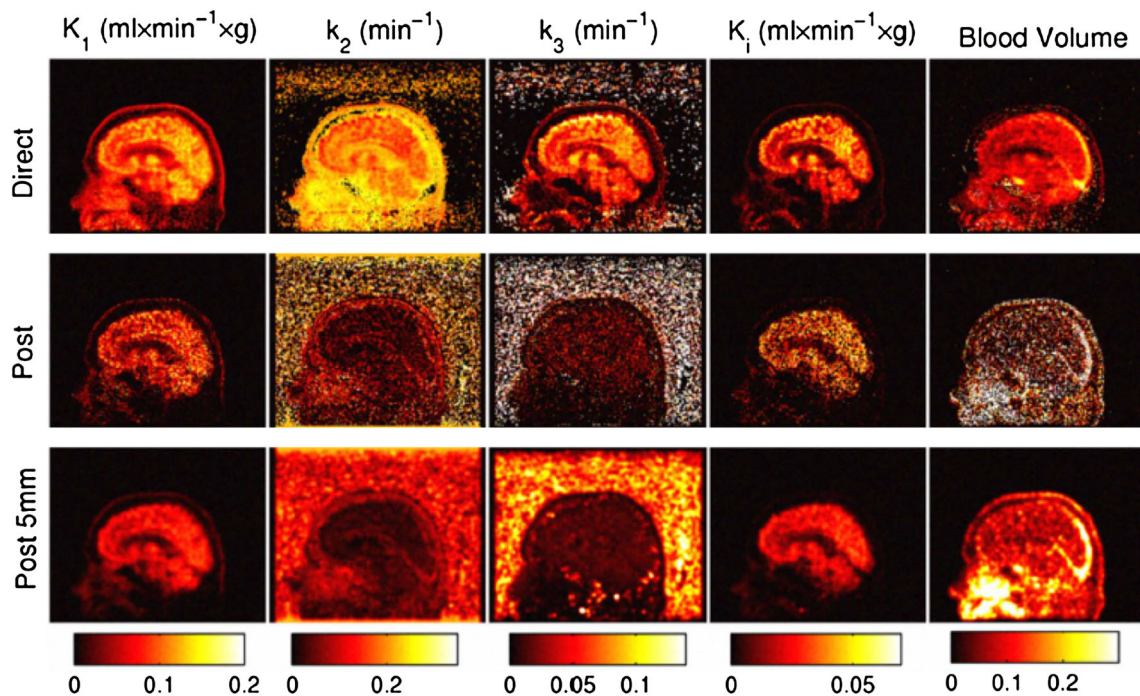
**Fig. 6** Optimisation using surrogate functions that are iteratively constructed and maximised providing subsequent updates. Reprinted with permission from [138] (color figure online)

surrogate functions. In an extension of this work, they used linear Patlak and spectral analysis models as well as non-linear models within a nested EM algorithm [130, 131]. Matthews et al. [132] performed similar work in which, after separation of the image and projection space problems, the maximum likelihood image-based problem is transformed into a least squares problem for which many existing methods can be used. The method has been implemented with one-tissue (Fig. 7) [133], irreversible two-tissue (Fig. 8) [134, 135] and reversible simplified reference tissue [136] models, in perfusion, metabolism and neuroreceptor imaging studies, respectively, allowing improved parameter precision and accuracy compared with post-reconstruction kinetic modelling approaches. Using the same optimisation transfer approach, Wang and Qi [137] also developed a minorisation–maximisation algorithm to include a simplified reference tissue model within a 4D framework. Finally, along similar lines to the work of Wang and Qi [129, 130] and Matthews et al. [132], Rahmim et al. [138] also used a decoupling technique and a surrogate function with a single compartment model to directly estimate myocardial perfusion in  $^{82}\text{Rb}$  imaging. Achieving a decoupling between the tomographic and the image-based kinetic modelling problems has facilitated the use of existing image reconstruction and kinetic modelling algorithms in a manner similar to the post-reconstruction modelling approach but monotonically converging to the direct parameter estimates of the 4D maximum likelihood problem. This, in turn, allows fast and efficient direct estimation of micro-parameter images, with faster convergence, making their clinical implementation and application a feasible task. At the same time, improved precision and accuracy is achieved compared with that shown by post-reconstruction kinetic analysis.



**Fig. 7** Parametric images of perfusion ( $K_1$ ) (a), efflux rate ( $k_2$ ) (b), fractional blood volume ( $V_a$ ) (c), volume of distribution ( $V_d$ ) (d) and weighted residual sum of squares (e) calculated from dynamic thoracic  $[^{15}\text{O}]\text{H}_2\text{O}$  PET data with post-reconstruction analysis and

direct 4D image reconstruction. Good separation of the tissue constant rates and blood volume is seen with the direct method improving variance in kinetic parameters. Reprinted with permission from [133] (color figure online)

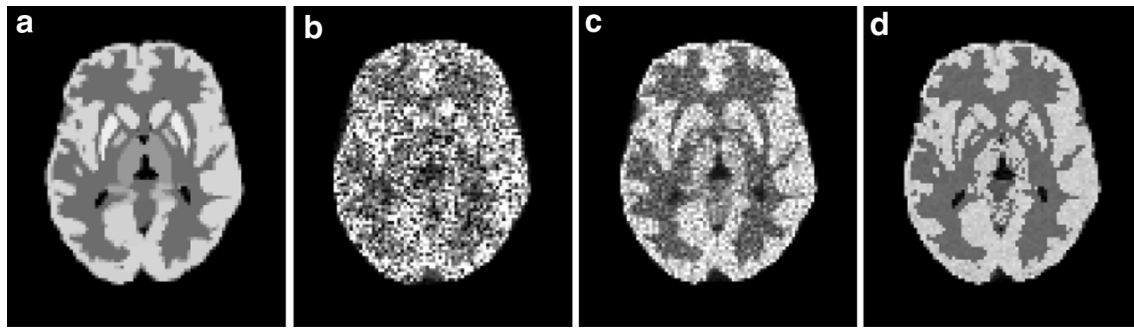


**Fig. 8** Parametric maps from dynamic brain  $^{18}\text{F}$ -FDG PET data calculated with direct 4D image reconstruction (top row) using optimisation transfer and the conventional post-reconstruction method

(middle row), along with its post-filtered version with a 5 mm Gaussian kernel (bottom row) at the 12th tomographic iteration. Reprinted with permission from [135] (color figure online)

Despite the improvements offered by 4D reconstruction algorithms however, their application in the body can be complicated by the various kinetics encountered within the

FOV. Using a common simple kinetic model within a 4D reconstruction framework may result in bias from erroneously modelled regions propagating to other regions for



**Fig. 9** Transaxial slice of the Patlak slope image of (a) the phantom image (b) the image estimated from 3D reconstruction followed by modelling (the second iteration) (c) the direct 4D parametric

reconstruction (the fifth iteration) and (d) the 4D direct MAP parametric reconstruction incorporating the MR image information (the fifth iteration). Reprinted with permission from [118]

which the model is accurate [139]. To prevent this bias propagation, Matthews et al. [140] proposed an adaptive kinetic model algorithm for incorporation within a 4D reconstruction [141]. The algorithm introduces a secondary less constrained model which is adaptively included for voxels that the primary model is not able to fit. An analytic derivation of the different direct parameter estimation schemes has been reported by Wang and Qi [88].

#### Synergistic benefits of PET/MR imaging in pharmacokinetic modelling

PET and MR can provide complementary anatomical and functional information regarding the system under study. Synergistic benefits can also be pooled by fusing the images using either co-registration techniques or sequential PET/MR imaging [142, 143]. However, with the advent of simultaneous PET/MR systems and the resulting spatio-temporal correlation of the respective data, additional information can become available, further enhancing the capabilities of these bimodal systems [144]. Although PET/CT is an established modality in oncology, as well as neurology and cardiology, the clinical importance of PET/MR, shown to be superior to PET/CT, remains to be fully exploited. Simultaneous PET/MR potentially offers the advantage of paving the way for the clinical application of dynamic imaging and pharmacokinetic modelling protocols.

As explained previously, one of the main obstacles in the clinical adoption of kinetic analysis studies is the crucial need for accurate estimation of the IF. Arterial input functions (AIFs) are the gold standard but IDIFs present a more feasible alternative in the clinic, owing to the difficulties with arterial catheterisation. However, extraction of IDIFs requires accurate localisation of the vasculature which is not always possible using CT data, especially in neuroimaging studies. Furthermore, the registration accuracy between separate PET and MR datasets at different

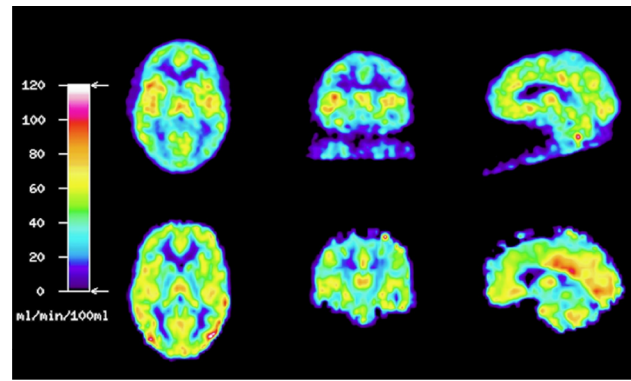
physiological states or even in sequential PET/MR imaging might not be sufficient when small vessels, such as the small carotid artery, need to be delineated [54]. Simultaneous acquisition of the respective structural and anatomical information ensures accurate registration between the two datasets and precise localisation of the ROI to be delineated. Nevertheless, accurate delineation is only one of the problems associated with IDIF and spill-in and spill-out effects are also a major concern especially as partial volume effects will vary over time due to changing contrast between blood and the tissue surrounding the vessels. However, again, simultaneously acquired MR data can be used within an MR-guided PET image reconstruction, with anatomical information acting as priors within a MAP framework [145–149] or using such information for IF correction based on estimating recovery coefficients [56]. Inclusion of MR prior information can also be extended to direct 4D image reconstruction (Fig. 9) to obtain reduced variance in the kinetic parameters [118]. This synergistic benefit between the two modalities goes beyond the IDIF correction and can assist in assessing cancerous regions with heterogeneous kinetics. Partial volume effects in these regions usually result in adjacent kinetics (especially at the boundaries of tissues with differential physiology) being averaged. MR-based partial volume correction methods in simultaneous PET/MR can help particularly in treatment response or drug efficacy studies by assessing potentially heterogeneous kinetics within the target region.

Another area where MR data can assist dynamic imaging protocols is motion correction [150–155]. In kinetic analysis of dynamic data, motion occurs both within each temporal frame due to respiration, cardiac contraction and involuntary patient movement, as well as across temporal frames, again due to involuntary patient movement. Both inter- and intra-frame motion causes adjacent kinetics to be averaged resulting in erroneous TACs and, subsequently, erroneous kinetic parameters. Furthermore, attenuation-emission mismatches cause further degradation in the

parameter estimates. Motion tracking with various external optical sensors has been used in dynamic imaging protocols with varying accuracy due to difficulties linked to the complexity of both hardware and software. Furthermore, such techniques are inefficient in PET/MR as the coils prevent the optical sensors from having a clear FOV. However, using high temporal resolution MR data acquired during dynamic PET acquisition, the motion vectors can be estimated, providing motion correction both within as well as across frames. The temporal resolution offered by the MR system is of particular importance in the early time frames where short frames are acquired to capture the fast influx phase of the tracer's distribution. Apart from potentially being able to improve the kinetic parameters through MR-derived motion correction, the IDIF calculation can also benefit from such motion correction schemes [57]. Recent advances are summarised in a review of MR-based motion correction schemes [156].

Apart from improving on the PET data, MR information can be used to facilitate more reliable kinetic parameters during parameter estimation. Fluckiger et al. [157] used dynamic contrast-enhanced MRI (DCE-MRI) data to separate the blood volume component from the whole-tissue TAC, enabling kinetic parameter estimation with fewer free parameters, while Poulin et al. [158] interchanged IFs estimated with PET and MR.

Methodological synergies between the two modalities are not the only incentive in simultaneous dynamic PET/MR imaging, since application synergies are also possible. Dynamic imaging with  $^{15}\text{O}]\text{H}_2\text{O}$  and  $^{15}\text{O}_2$  is used to assess perfusion and metabolic rate of oxygen as well as oxygen extraction. These parameters are mainly used for assessing anti-angiogenesis drug efficacy after neo-adjuvant primary chemotherapy, tumour brain imaging, myocardial imaging, as well as activation studies. However, similar haemodynamic parameters can be estimated from data obtained using a variety of MR techniques, such as arterial spin labelling and dynamic contrast-enhanced MRI and functional MRI. Comparison of blood flow estimates from individual PET and MR studies has been reported [159, 160]. However, performing haemodynamic measurements in dynamic PET/MR could provide parameters estimated simultaneously from each respective modality as shown in Fig. 10 and compared with each other for cross-validation [161, 162]. In addition, complementary information provided by the PET and the MR can also be used to assess their functional relationship. Apart from oncology and neuroimaging, cardiology could also benefit from simultaneous dynamic PET/MR myocardial perfusion studies with  $^{82}\text{Rb}$ . So far, only limited studies on the application of dynamic PET/MR in pharmacokinetic modelling have been reported. However, due to the aforementioned benefits of MR, we envisage that more research groups will steer their



**Fig. 10** Comparison of parametric images of cerebral blood flow (CBF) obtained in the hybrid 3 T MR–PET scanner. PET CBF images (*top*) were obtained simultaneously with MRI CBF images (*bottom*). Arterial input function was obtained by continuous blood sampling from the radial artery using an MR-compatible blood monitor and corrected for delay and dispersion. Reprinted with permission from [161] (color figure online)

efforts towards methodological development and clinical applications in simultaneous dynamic PET/MR imaging.

### Summary and future perspectives

In this note, we have tried to provide an overview of the recent advances in kinetic modelling which could facilitate their routine use in clinical practice. Throughout this work, we have focussed in particular on  $^{18}\text{F}$ -FDG PET imaging in oncology due to its extensive use in this field of clinical practice. We realise that the complexity of some of the reviewed methods and techniques makes them potentially applicable only in a research environment. However, we expect that the aforementioned advances in IF estimation, coupled with improvements in acquisition protocol design and parameter estimation algorithms, could make dynamic imaging a feasible alternative to static imaging. Furthermore, fully quantitative parameters based on kinetic modelling could complement or even supersede semi-quantitative analysis in the clinic.

One area with potentially important implications in routine clinical practice is that of whole-body parametric imaging. As mentioned earlier, a number of parameters can be estimated using Patlak analysis but this approach is dependent upon complex data acquisition protocols which need to be optimised. However, novel data acquisition schemes provided by the latest generation of PET scanners, such as continuous bed motion [163–165] and multipass scanning, are already available in the clinic [166]. Such scanner capabilities could facilitate the adoption of whole-body parametric imaging and improve protocol optimisation for specific applications. Current protocols, based on multipass  $^{18}\text{F}$ -FDG imaging and Patlak analysis [81], allow

the image-derived IF to be obtained from the heart ventricles. However, it may be argued that using the initial few minutes of the PET scan for the purpose of IF estimation is not an appropriate use of resources. Furthermore, early PET imaging ( $t < 60$  min post-injection) has the additional disadvantage that conventional SUV images cannot be generated. It may instead be meaningful to scan at later stages (e.g. 45–75 min or 60–90 min post-injection), where a population IF is used, but this time scaled using multiple late-phase IF estimates (by subsequent passes over the heart), which at the same time enables generation of SUV-type images by simple summation of the frames. This protocol can then be utilised to enable complementary generation of SUV and parametric images for enhanced clinical and quantitative task performance, and to provide clinicians with both views.

Another complication with current dynamic whole-body imaging protocols is the limited counting statistics acquired in each frame, which results in parametric images with reduced precision and accuracy compared with traditional single frame dynamic imaging despite the fact that macro-parameters, such as those estimated from data-driven methods (Patlak plot, Logan plot, spectral analysis, basis pursuit etc.), are less susceptible to counting statistics. However, direct 4D reconstruction approaches based on optimisation transfer, having all the aforementioned benefits, can be used to facilitate full kinetic analysis and improve upon the precision and accuracy of micro-parameters, as well as macro-parameters [167].

A renewed interest in the field of scanner and detector design for total body scanners could offer an alternative solution to the aforementioned problem, through the development of methods for simultaneous acquisition of the kinetics in the heart and the entire torso [168, 169]. However, if a total body was to be realised, it would initially be used for research applications owing to its complexity and cost. Extending these techniques to PET/MR imaging, and benefiting from the additional information that the MR could contribute to dynamic imaging applications, could potentially revolutionise the way clinical imaging is performed. Moreover, it could enhance the potential of PET/MR and extend its application and scope to dynamic multi-parametric imaging in the clinic.

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