



# Comparative Effectiveness and Safety of Direct Oral Anticoagulants Compared with Warfarin in Patients with Low Bodyweight who have Atrial Fibrillation: A Systematic Review and Meta-analysis

Mohamed Nabil Elshafei<sup>1,5</sup> · Ahmed El-Bardissy<sup>1</sup> · Muhammad Salem<sup>1</sup> · Mohamed S. Abdelmoneim<sup>1</sup> · Ahmed Khalil<sup>1</sup> · Sherine Elhadad<sup>2</sup> · Mohammed Danjuma<sup>3,4</sup>

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## Abstract

**Introduction** oral anticoagulant (DOAC) agents are becoming the anticoagulation strategy of choice for most clinical risks for which they are indicated. However, residual uncertainty remains regarding their use in preventing stroke in patients with low bodyweight [ $< 60$  kg or body mass index (BMI)  $< 18$  kg/m<sup>2</sup>]. We have carried out pooled systematic analyses of published studies to determine the efficacy and safety of these agents compared with warfarin in stroke prevention in patients with low bodyweight.

**Methods** We carried out a comprehensive search of electronic databases from inception to June 2023 for eligible studies reporting on the efficacy and safety of direct oral anticoagulants versus warfarin in patients with atrial fibrillation who had low bodyweight. These include PubMed, EMBASE, the Cochrane Database of Systematic Reviews, the Science Citation Index, and the Database of Abstracts of Reviews of Effectiveness. Using the random effects model, derived pooled odd ratios (with their corresponding confidence intervals) of mortality outcomes in patient cohorts exposed to direct oral anticoagulants versus warfarin in patients with atrial fibrillation who had low bodyweight.

**Results** Nine studies ( $n = 159,514$  patients) were included in our meta-analysis. DOAC analogs were associated with lower stroke recurrence compared with warfarin [odds ratio (OR) 0.66, 95% confidence interval (CI) 0.49–0.9]; however, there was no significant difference in the composite outcome (OR 0.81, 95% CI 0.59–1.09) and mortality (OR 0.82, 95% CI 0.48–1.41). Additionally, DOAC analogs showed a significant reduction in major bleeding events by 30% compared with warfarin (OR 0.70, 95% CI 0.62–0.80).

**Conclusion** In this pooled meta-analytical synthesis of studies comprising both real-world and randomized controlled data, the use of DOAC analogs in patients with atrial fibrillation and low bodyweight ( $< 60$  kg or BMI  $< 18$  kg/m<sup>2</sup>) was associated with a significant reduction in risks of stroke and major bleeding compared with patient cohorts stabilized on warfarin-based therapy. There was uncertainty regarding the composite outcome and mortality point estimate between these two anticoagulation strategies. This finding helps to resolve the uncertainty associated with the use of DOACs in this cohort. Additionally, it suggests the need for confirmatory non-inferiority randomized controlled trials evaluating DOACs versus warfarin in this cohort of patients.

✉ Mohamed Nabil Elshafei  
mohnabil2010@yahoo.com; melshafei1@hamad.qa

<sup>1</sup> Clinical Pharmacy Department, Hamad Medical Corporation, Doha, Qatar  
<sup>2</sup> Pharmacy Department, The View Hospital, Doha, Qatar  
<sup>3</sup> Internal Medicine Department, Hamad Medical Corporation, Doha, Qatar  
<sup>4</sup> Weill Cornell Medicine-Qatar, Doha, Qatar  
<sup>5</sup> Department of Pharmacy, Hamad Medical Corporation (HMC), P.O.3050, Doha, Qatar

## 1 Introduction

Atrial fibrillation (AF) is the most common type of cardiac arrhythmia [1]. AF could present as persistent, permanent, or paroxysmal AF [1]. Ischemic stroke represents the most morbidity-prone consequence in patients with AF. Strokes related to AF are more severe and have worse outcomes than those due to other cardiovascular risks [2]. Consequently, stroke prevention represents the

### Key Points

Direct oral anticoagulant (DOAC) agents are the preferred approach for stroke prevention in patients with atrial fibrillation.

Uncertainty remains regarding their use in patients with low bodyweight (LBW).

Our comprehensive analysis revealed that DOACs usage in stroke prevention for patients with LBW significantly lowers the risk of stroke and major bleeding compared with warfarin.

fundamental therapeutic objective of AF management. National and international clinical guidelines have long established that anticoagulation (AC) is mandatory (where indicated and in the absence of contraindications) to prevent ischemic stroke. Until a few years ago, initial bridging with low-molecular-weight heparin (LMWH) followed by oral anticoagulation (OAC) with vitamin K antagonists (VKA) has been considered the mainstay of therapy [3]. However, as reported in multiple studies, warfarin-based anticoagulation strategy is fraught with many clinical, therapeutic, and logistical issues. These range from potential drug–drug and drug–food interactions to inter- and intra-individual variability in both responses to treatment and risk of side effects [4–7]. Others include the logistics involved in organizing a reliable and robust international normalizing ratio (INR) monitoring regimen (with its additional cost to the overall cost of healthcare) [4–6]. Consequent upon these well-reported shortcomings, direct oral anticoagulants (DOACs) have been developed (including factor IIa (thrombin) and factor Xa inhibitors) and have received marketing authorization for various indications for which their efficacy and safety have been proven. For example, they have been approved by the Food and Drug Administration (FDA) for stroke prevention in patients with AF [7–10]. A steady stream of randomized controlled clinical trials (RCTs) has demonstrated the non-inferiority of these agents when compared with VKA with regard to both efficacy and safety for systemic embolism and stroke risk reduction in patients with AF [11–15]. This has resulted in their incorporation into therapeutic national/society guidelines [16, 17]. Since introducing DOACs to the market, OAC management has witnessed a significant paradigm shift [18].

Among the favorable pharmacokinetic and pharmacodynamics of DOACs is their wider therapeutic window at fixed dosing regimens and minimal and manageable food

and drug interactions without requiring routine monitoring [19]. However, the low representation of patients with low bodyweight (LBW; < 60 kg) in the main DOACs trials has raised questions about the efficacy, adequacy of fixed dosing, and safety in these cohorts of patients.

There is a paucity of evidence examining the efficacy and safety of DOACs in patients with LBW. Patients with LBW usually exert an inaccurate estimated renal function due to lower muscle mass, affecting DOACs use and their optimum dosing [20]. Additionally, initial RCTs evaluating DOACs in AF or venous thromboembolism (VTE) did not incorporate weight as an exclusion criterion; however, extreme-body-weight cohorts have hardly been reasonably represented in clinical trials. [21, 22].

Owing to this demonstrable lack of robust data, DOACs pharmacokinetic studies have attempted to suggest prescriptive recommendations in patients with LBW exposed to DOACs [21]. Chen et al. recommend adjusted doses of apixaban and edoxaban and avoiding dabigatran and rivaroxaban. [20]. Covert et al. recommend unadjusted doses for rivaroxaban, apixaban, and edoxaban and cautiously using dabigatran [23].

A real-world Asian population study showed that using DOACs (apixaban, rivaroxaban, dabigatran, and edoxaban) with an unadjusted dose in LBW was safer and more effective than warfarin. [23]. Similarly, Barakat et al. found that DOACs have a 30% significant reduction in the risk of ischemic stroke and a 60% reduction in the risk of bleeding events; however, the bleeding outcome did not reach statistical significance. [24].

Therefore, there is an unresolved uncertainty regarding the utility of DOAC analogs as a stroke prevention strategy in patients with LBW [body mass index (BMI) < 18 kg/m<sup>2</sup> or weight < 60 kg]. It will be valuable to demonstrate that DOACs are at least non-inferior to VKA with regard to efficacy and safety in this patient population.

In this meta-analysis, we aim to evaluate the effectiveness (rates of stroke events, composite outcome, mortality) and safety (major bleeding) of DOAC analogs compared with warfarin in patients with AF and extremely LBW.

## 2 Methods

This review followed PRISMA guidelines.

### 2.1 Study Eligibility Criteria

This systematic review and meta-analysis was conducted adhering to the Preferred Reporting Items for Systematic

Reviews and Meta-Analyses (PRISMA) guidelines. Additionally, the study was registered with PROSPERO under the registration number CRD42023456605. We included real-world observational data and randomized controlled trials comparing DOAC analogs versus warfarin in patients with LBW (BMI < 18 or weight < 60 Kg). Ethical clearance was not necessary, as this research involved already published data accessible in the public domain.

## 2.2 Search Strategy

We conducted a literature search of PubMed, Medline, and EMBASE since their inception till 01/06/2023. No language, date, or article type restrictions were adopted in our search strategy. Example of a database search strategy is: (((((((((((direct oral anticoagulants) OR (new oral anticoagulants)) OR (rivaroxaban)) OR (Dabigatran)) OR (Apixaban)) OR (Edoxaban)) OR (DOACs)) OR (NOACs)) OR (DOACs [Title/Abstract])) OR (direct oral anticoagulants[Title/Abstract])) OR (new oral anticoagulants[Title/Abstract])) OR (anticoagulant agents[MeSH Terms]) AND (2022/5/31:2023/5/10[pdat])) AND ((((((warfarin[MeSH Terms]) OR (warfarin)) OR (vitamin K antagonist)) OR (Coumadin)) OR (Warfarin Sodium)) OR (warfarin[Title/Abstract])) OR (vitamin k antagonist[Title/Abstract]) AND (2022/5/31:2023/5/10[pdat])) AND (((((atrial fibrillation[Title/Abstract]) OR (Atrial Fibrillations)) OR (A. Fib)) OR (atrial fibrillation[MeSH Terms])) OR (atrial fibrillations[MeSH Terms]) AND (2022/5/31:2023/5/10[pdat])) AND ((((((low weight) OR (low bodyweight)) OR (underweight)) OR (low weight[Title/Abstract])) OR (low bodyweight[Title/Abstract])) OR (underweight[MeSH Terms])) OR (low weight[MeSH Terms]) AND (2022/5/31:2023/5/10[pdat])). Additionally, we attempted a manual reference search of retrieved studies.

## 2.3 Inclusion and Exclusion Criteria

Inclusion criteria were as follows: (1) The study had to be either a randomized controlled trial (RCT) or an observational (prospective or retrospective cohort) study; (2) it should have involved patients diagnosed with atrial fibrillation (AF) and exhibiting LBW (defined as a BMI < 18 or weight < 60 kg) who were prescribed warfarin or DOACs (dabigatran, rivaroxaban, apixaban, or edoxaban); and (3) the study needed to provide quantitative estimates of hazard ratios (HRs) and 95% confidence intervals (CIs), specifically addressing safety and effectiveness outcomes among these patients. At a minimum, the studies were required to report on stroke recurrence or major bleeding events to be considered for inclusion in the review.

We excluded studies that focused on patients with AF but did not include a LBW cohort. Additionally, certain types of publications (e.g., reviews, case reports, case series, letters, and conference abstracts) were excluded due to insufficient data or lack of detailed study information. Pediatric patient cohorts (< 18 years old) and studies that did not meet the inclusion criteria were also excluded.

## 2.4 Screening and Data Extraction

The title and abstract were screened initially. Eligible articles were retrieved for full-text review and assessment for inclusion in our review. Two reviewers (ME and MS) performed the search and screening. In the case of disagreement between the reviewers, this was resolved by consensus, or a third reviewer (AE) adjudicated the disagreement following the protocol. We utilized a predetermined template for retrieving the data. The extracted information encompasses general article information, such as authorship, publication year, study methodology, intervention and control specifics, outcomes, weight, and more.

## 2.5 Outcomes

The primary outcome in our review is the rate of ischemic stroke recurrence, composite outcome (combined ischemic stroke, systemic embolism, and myocardial infarction), and all-cause mortality. Major bleeding events served as our secondary outcome (as defined by the primary study authors). We would look at these outcomes at 6 months of follow-up whenever specified in the study; otherwise, we would consider the extended observation period when the exposure duration was not specified.

## 2.6 Study Quality and Risk of Bias Assessment

Using the Cochrane Risk of Bias Tool for Randomized Controlled Trials, reviewers evaluated the risk of bias (ROB) in the included studies [25]. The six bias domains addressed by the risk of bias tool are selection bias, performance bias, detection bias, attrition bias, reporting bias, and other biases. Cohort study quality was evaluated using the Newcastle Ottawa Scale (NOS) [26]. Eight fundamental factors were measured using the NOS scale, broken into three major categories: Comparability, exposure, and research quality selection. In the case of post hoc analysis, we also took a distinct strategy to evaluate the risks of bias in each of the original trials while using data from the research [27]. The Review Manager (RevMan) software version 5.4 and the Risk-of-Bias Visualization (robvis) tools were used to create the visualization of the ROB numbers.

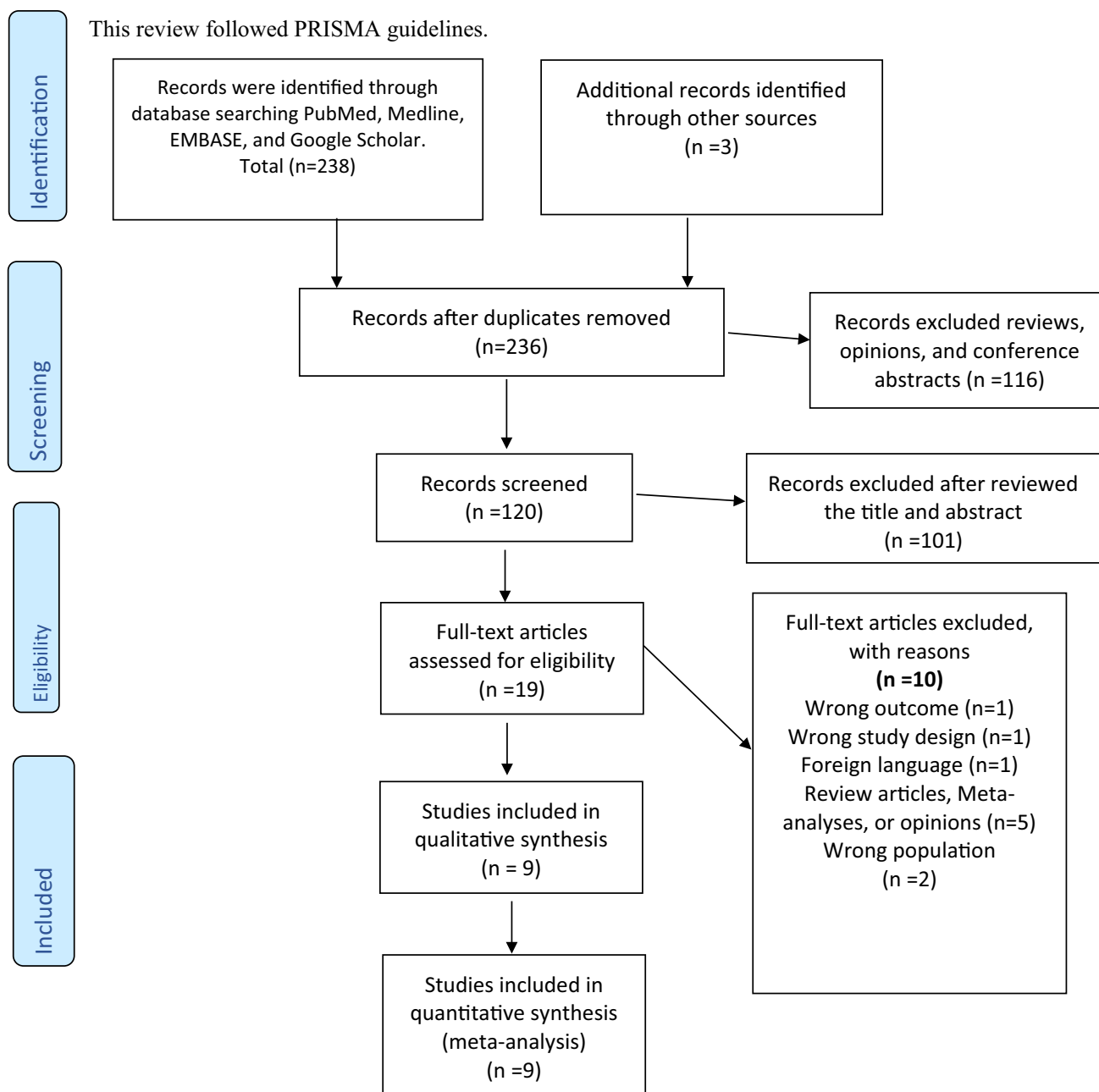


Fig. 1 PRISMA flow diagram

## 2.7 Statistical Analysis

The odds ratios (OR) were computed as measures of effect size. The Forest plot was generated to summarize the results. Additionally, we conducted a sensitivity analysis to screen for consistency and small-study effects. The  $I^2$  statistic was used to report heterogeneity. An  $I^2 > 50\%$  is suggestive of marked heterogeneity in our review. The random-effects model was used as our meta-analytical technique. All

statistical analyses were performed with STATA software (Stata MP 15 (StataCorp, College Station, TX)).

## 3 Results

Our exhaustive search strategy retrieved 241 titles. After screening these records, we selected 120 titles. After reviewing the abstracts, 19 remaining studies were potentially available, which were then subjected to full-text screenings.

Table 1 Characteristics of AF

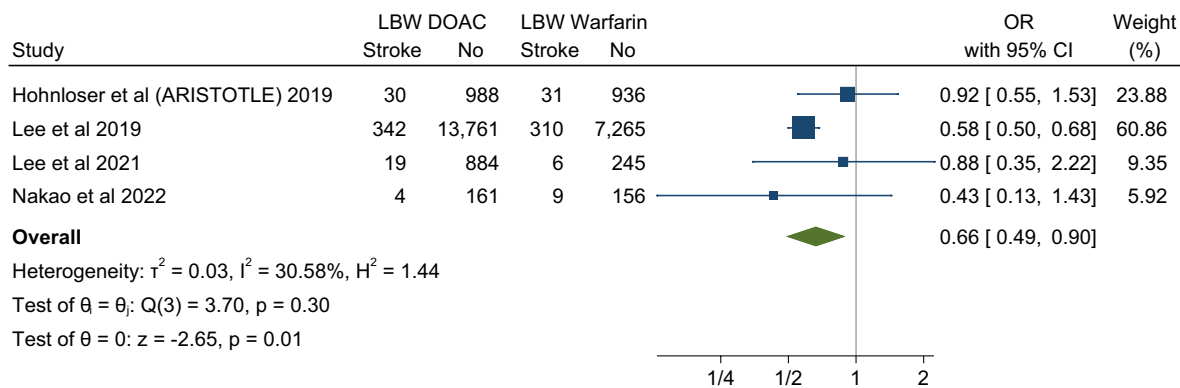
| Study author   | Country     | Design               | Anticoagulant, n (%)   | LBW and non-LBW (n)  | Mean age, years $\pm$ SD, or median, years (IQR)                       | Male, %  | Patients included | Weight-based reported efficacy outcomes | Safety outcomes | Follow-up time |
|--|-------------|----------------------|--|--|--|--|-------------------|---|-----------------|----------------|
| Boehringer Ingelheim (RE-LY Dabigatran 150) 2009 [28]  | Global      | RCT                  | Dabi-150, 6076 (50)<br>Warf, 6022 (50)   | LBW: 1331<br>Non-LBW: 10,762<br>LBW Dabi-150: 647<br>LBW Warf: 684 | Dabi-150: 71.5 $\pm$ 8.8<br>Warf: 71.6 $\pm$ 8.6                       | Dabi-150: 63.2<br>Warf: 63.3                       | NVAF              | IS, SE                                  | Major bleeding  | 24 months      |
| Bayer AG (ROCKET AF) 2011 [29]                         | Global      | RCT                  | Riva, 7131 (50)<br>Warf, 7133 (50)   | LBW: 1555<br>Non-LBW: 12,709<br>LBW Riva: 777<br>LBW Warf: 778     | Riva: 73 (65–78)<br>Warf: 73 (65–78)                                   | Riva: 60.3<br>Warf: 60.3                           | NVAF              | IS, SE                                  | Major bleeding  | 24 months      |
| Daiicci Sankyo (ENGAGE AF-TIMI 48) (HD Edox) 2013 [30] | Global      | RCT                  | HD Edox, (7035)<br>Warf, (7036)  | LBW: 1385<br>Non-LBW: 12,686<br>LBW HD Edox: 684<br>LBW Warf: 701  | HD Edox: 72 (64–78)<br>Warf: 72 (64–78)                                | HD Edox: 62.1<br>Warf: 62.5                        | NVAF              | IS, SE                                  | Major bleeding  | 33.6 months    |
| Hohnloser et al. (ARISTO-TLE) 2019 [31]                | Global      | RCT                  | Apix, 9088 (50.1)<br>Warf, 9051 (49.9)   | LBW: 1985<br>Non-LBW: 16,154<br>LBW Apix: 1018<br>LBW Warf: 967    | LBW: 74 (66–79)<br>Non-LBW: 66<br>Apix: 70 (63–76)<br>Warf: 70 (63–76) | LBW: 28<br>Non-LBW: 69.3<br>Apix: 64.5<br>Warf: 65 | NVAF              | IS, SE, MI, all-cause mortality         | Major bleeding  | 21.8 months    |
| Lee et al. 2019 [32]                                   | South Korea | Retrospective cohort | Apix, 3353 (15.5)<br>Dabi, 3633 (16.8)<br>Edox, 1073 (5)<br>Riva, 6044 (27.9)<br>Warf, 7575 (35) | LBW: 21,678<br>Non-LBW: 0  | DOACs: 72.6 $\pm$ 7.4<br>Warf: 72.9 $\pm$ 8.5                          | DOACs: 32<br>VKA: 32                               | NVAF              | IS, all-cause mortality                 | Major bleeding  | 14.4 months    |
| Russo et al. 2020 [33]                                 | Italy       | Prospective cohort   | Apix, 56 (20.1)<br>Dabi, 23 (8.2)<br>Edox, 6 (2.2)<br>Riva, 50 (17.9)<br>VKAs, 143 (51.3)        | LBW: 279<br>Non-LBW: 0   | DOACs: 83.9 $\pm$ 5.4<br>Warf: 85.6 $\pm$ 3.6                          | DOACs: 14.7<br>VKA: 9.8                            | AF                | IS, TIA, SE, all-cause mortality        | Major bleeding  | 28.5 months    |

Table 1 (continued)

| Study author                       | Country     | Design               | Anticoagulant, n (%) | LBW and non-LBW (n) | Mean age, years $\pm$ SD, or median, years (IQR) | Male, %       | Patients included | Weight-based reported efficacy outcomes | Safety outcomes | Follow-up time |  |
|------------------------------------|-------------|----------------------|----------------------|---------------------|--|---------------|-------------------|---|-----------------|----------------|--|
| Lee et al. 2021 [34]               | South Korea | Retrospective cohort | Apix, 8489 (25.2)    | LBW: 1154           | LBW: 76.6 $\pm$ 10.1                             | LBW: 54.3     | NVAF              | IS, all-cause mortality                 | Major bleeding  | 7.2 months     |  |
|                                    |             |                      | Dabi, 7020 (20.8)    | Non-LBW: 42,019     | Non-LBW: 70.6                                    | Non-LBW: 60   |                   |   |                 |                |  |
|                                    |             |                      | Edox, 5907 (17.5)    |                     |  |               |                   |   |                 |                |  |
|                                    |             |                      | Riva, 12,261 (36.4)  |                     |  |               |                   |   |                 |                |  |
| Nakao et al. 2022 [35]             | UK          | Retrospective cohort | Warf, 9496 (22.0)    |                     |  |               |                   |   |                 |                |  |
|                                    |             |                      | Apix, 2617 (9.0)     | LBW: 585            | LBW: 83.6  | LBW: 31.2     | AF                | IS, all-cause mortality                 | Major bleeding  | 44.4 months    |  |
|                                    |             |                      | Dabi, 579 (2.0)      | Non-LBW: 28,550     | Non-LBW: 77.3                                    | Non-LBW: 54.2 |                   |   |                 |                |  |
|                                    |             |                      | Edox, 151 (0.5)      |                     |  |               |                   |   |                 |                |  |
| Russo et al. (PREFER-AF) 2022 [36] | Italy       | Prospective cohort   | Riva, 2970 (9.8)     |                     |  |               |                   |   |                 |                |  |
|                                    |             |                      | Warf, 22,818 (78.3)  |                     |  |               |                   |   |                 |                |  |
|                                    |             |                      | Apix, 48 (11.3)      | LBW: 426            | DOACs: 82.46 $\pm$ 4.85                          | DOACs: 9.3    | AF                | Stroke, TIA, SE, all-cause mortality    | Major bleeding  | 12 months      |  |
|                                    |             |                      | Dabi 59 (13.9)       | Non-LBW: 0          | VKA: 82.21 $\pm$ 4.87                            | VKA: 15.4     |                   |   |                 |                |  |

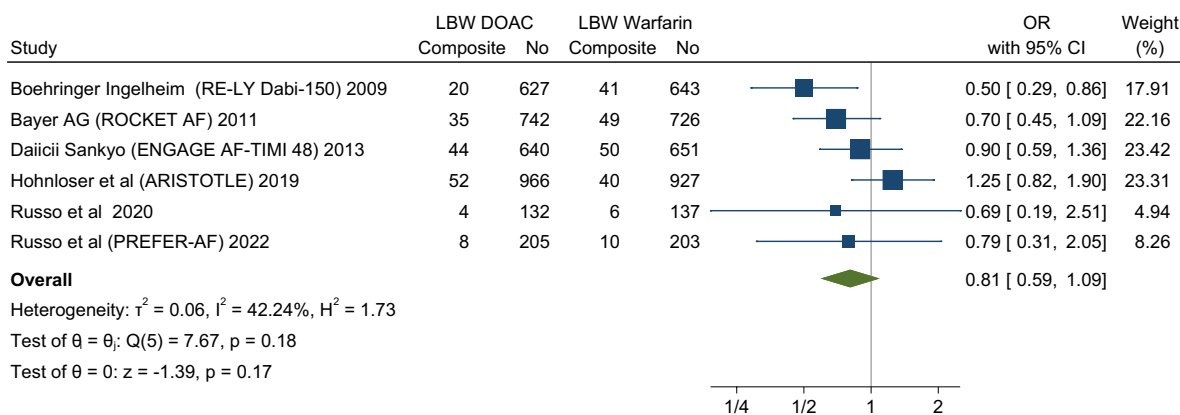
AF atrial fibrillation, Apix apixaban, Dabi dabigatran etexilate, DOAC direct oral anticoagulant, Edox edoxaban, HD high-dose, IS ischemic stroke, LBW low bodyweight, NVAF non-valvular atrial fibrillation, Riva rivaroxaban, SE systemic embolism, TIA transient ischemic attacks, VKA vitamin K antagonist, Warf warfarin

A.



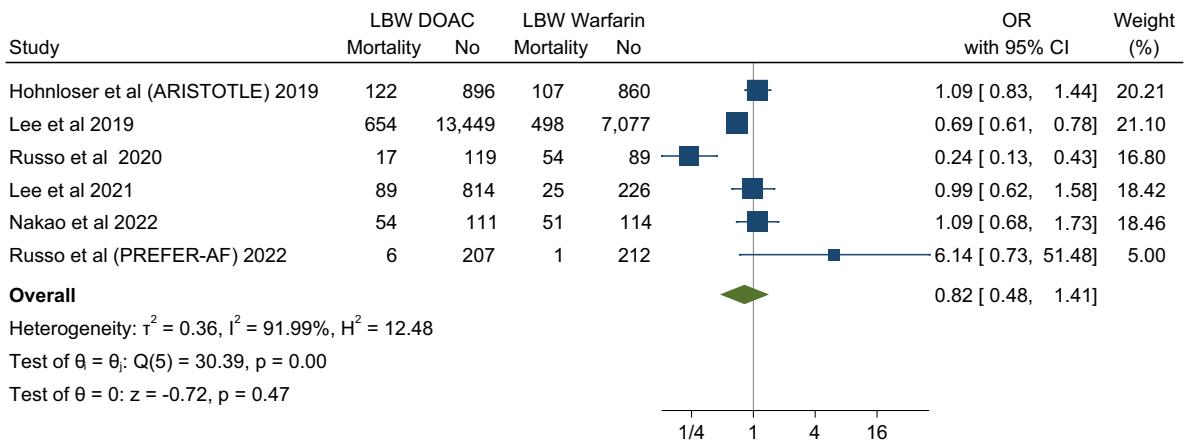
Random-effects REML model

B.



Random-effects REML model

C.



Random-effects REML model

**Fig. 2 A** Depicting a forest plot of stroke recurrence rates in DOAC analogs compared with warfarin in patients with LBW. **B** Depicting a forest plot of composite outcomes in DOAC analogs compared with warfarin in patients with LBW. **C** Depicting a forest plot of mortal-

ity in DOAC analogs compared with warfarin in patients with LBW. **D** Depicting a forest plot of major bleeding events in DOAC analogs compared with warfarin in patients with LBW. *REML* random-effects model

## D.

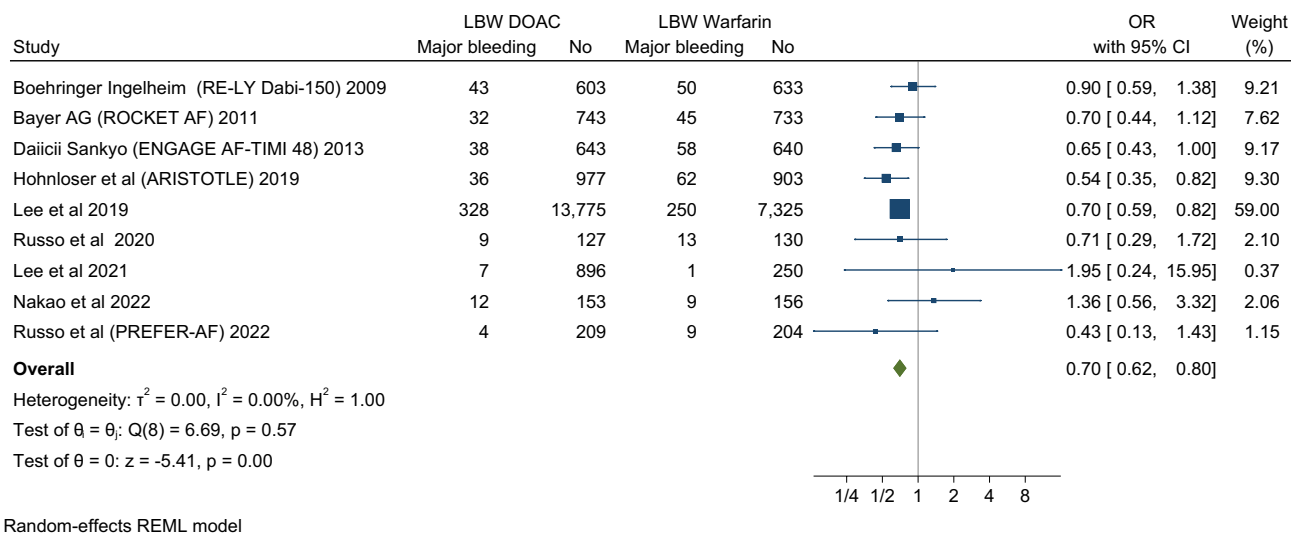


Fig. 2 (continued)

Following our predefined inclusion and exclusion criteria, we excluded 10 studies for various reasons: (1) wrong outcome ( $n = 1$ ); (2) wrong study design ( $n = 1$ ); (3) foreign language ( $n = 1$ ); (4) review articles, meta-analyses, or opinions ( $n = 5$ ); and (5) wrong population ( $n = 2$ ). The total number of patients evaluated in these studies is 159,514 patients. The included studies were five observational and four randomized controlled studies [29–37] meeting our eligibility criteria (Fig. 1 shows the PRISMA flow diagram; Table 1 summary of studies included in the meta-analysis).

### 3.1 Recurrent stroke

Only four studies evaluated stroke recurrent events in patients with LBW [31, 32, 34, 35]. These studies showed that DOAC analogs were associated with a 34% reduction of stroke events compared with warfarin (OR 0.66, 95% CI 0.49–0.9,  $Q = 3.70$ ,  $I^2 = 30.58\%$ ). The low  $I^2$  suggested the homogeneity of the results (Fig. 2). We conducted subgroup analysis of the primary efficacy end point based on ethnicity and study type (Fig. 3). The funnel plot revealed no marked asymmetry (Fig. 8).

### 3.2 Composite Outcome

Six studies reported composite outcomes in patients with LBW [28–31, 33, 36]. Prescribing DOACs in patients with LBW with atrial fibrillation had a consistent non-significant trend toward an overall reduced composite outcome by 19% (OR 0.81, 95% CI 0.59–1.09,  $Q = 7.67$ ,  $I^2 = 42.24\%$ ; Fig 2). Among all DOACs, only dabigatran demonstrated a

significant reduction in composite outcomes compared with warfarin (Fig. 4).

### 3.3 Mortality

Six studies evaluated mortality outcomes in patients with LBW [31–36]. These studies showed no significant difference in mortality associated with DOACs compared with warfarin. In the pooled analysis of the six studies, patients with LBW with AF who received DOACs had no significant mortality difference versus warfarin (OR 0.82, 95% CI 0.48–1.41; Fig. 5;  $Q = 1.78$ ,  $I^2 = 0\%$ ) (Fig. 6). (see Fig. 6).

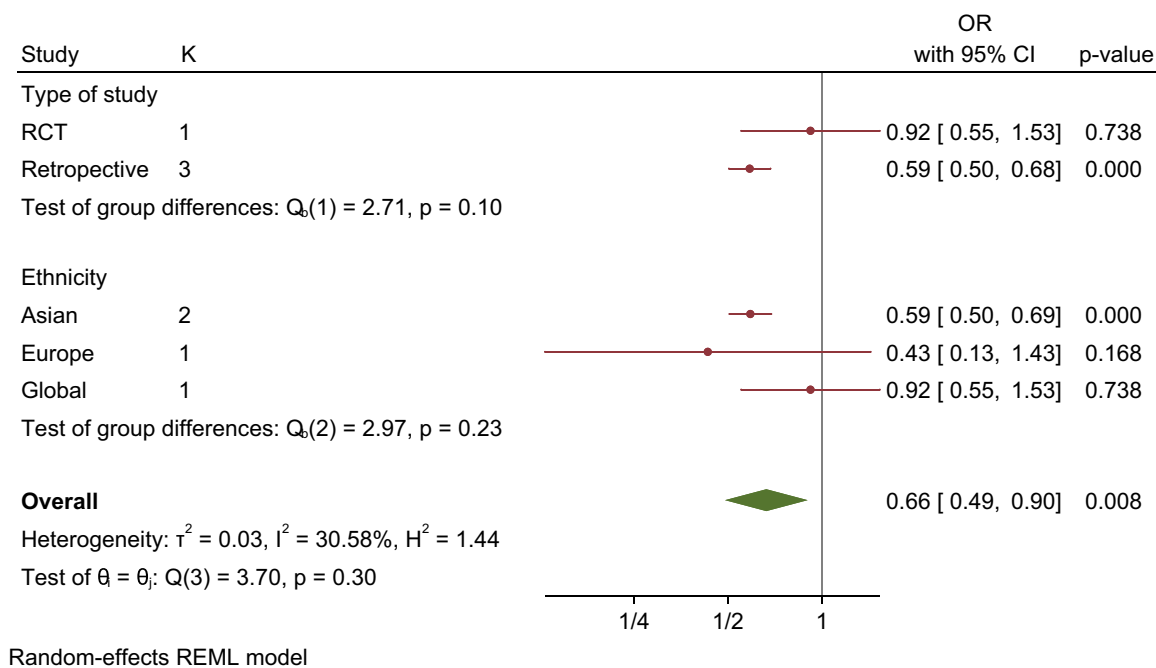
### 3.4 Major Bleeding

Nine studies evaluated and reported the risk of major bleeding events [28–36]. DOAC analogs had a consistent significant trend toward an overall reduced risk of major bleeding events by 30% (OR 0.70, 95% CI 0.62–0.80,  $Q = 6.69$ ,  $I^2 = 0\%$ ; Fig. 2). Among all DOACs, only apixaban significantly reduced major bleeding events compared with warfarin (Fig. 7). The funnel plot showed no marked asymmetry (Fig. 8).

### 3.5 Risk of Bias Assessment

Three clinical trials and one post hoc analysis showed a low risk of bias, while Bayer AG et al. 2010 showed an overall unclear risk of bias (Fig. 9). The main domain of high risk among the four studies was allocation concealment (selection bias). The overall quality assessment of cohort studies revealed a low risk of bias among all





**Fig. 3** Subgroup analysis assessing stroke recurrence rate in DOACs versus warfarin according to the study type and ethnicity. *REML* random-effects model

included studies (Fig. 8), and the “adequacy of follow-up of cohort” item was the leading cause of the high risk of bias (Fig. 9).

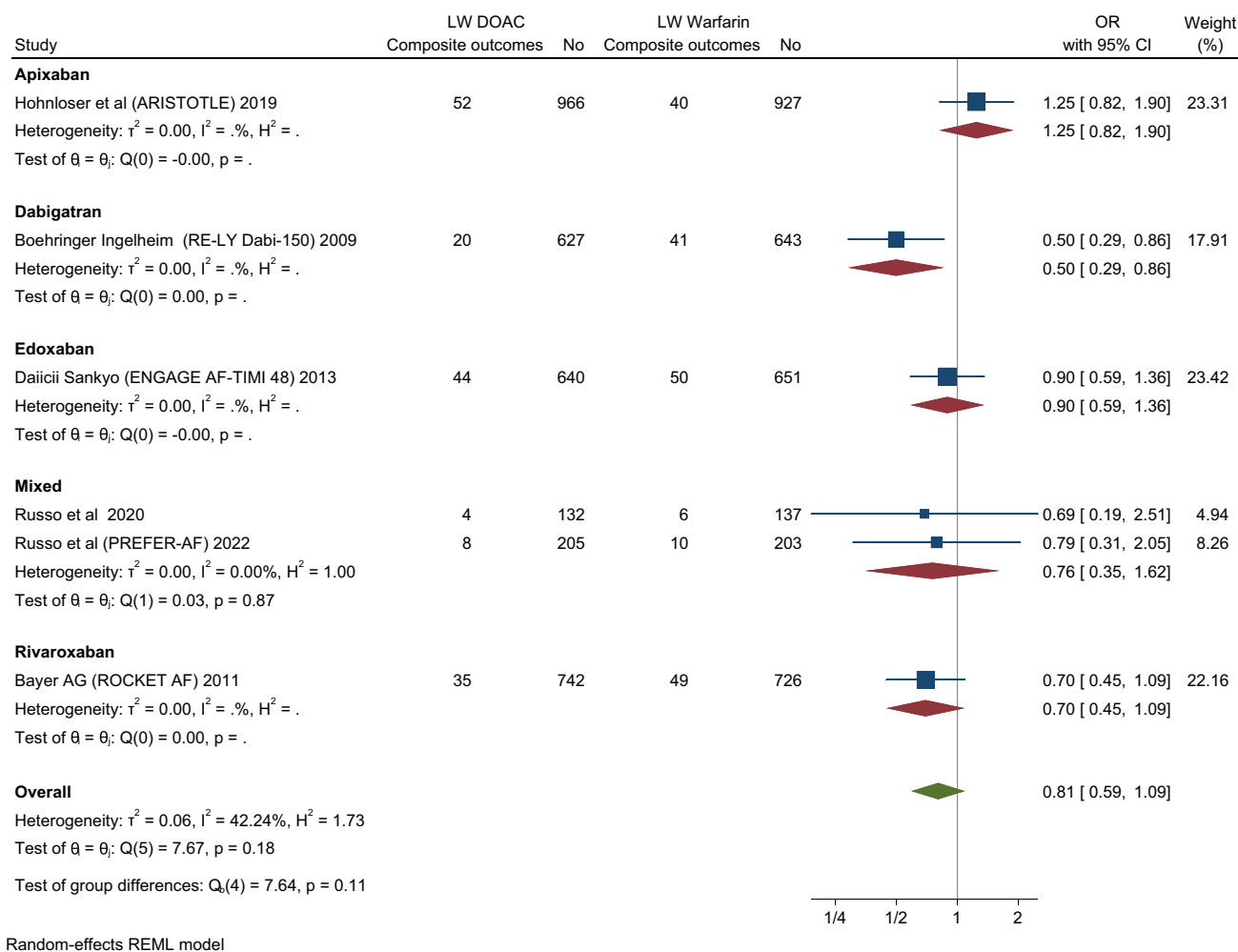
## 4 Discussion

From this pooled meta-analytical synthesis of studies exploring the efficacy and safety of DOACs versus warfarin in patients with LBW who have nonvalvular AF (NVAF), we found those exposed to DOACs had about 34% relative risk reduction in stroke-related outcomes compared with those on warfarin. Conversely, we found instability in the final point estimates of DOACs versus warfarin in mortality reduction and the composite outcomes. Among the DOAC analogs, only dabigatran demonstrated a significant reduction in composite outcomes compared with warfarin. Other DOACs showed a reduction trend in hard clinical endpoints but with unstable point estimates. The significant reduction in composite-related outcomes in cohorts of patients on dabigatran, in particular, is a novelty given that its pivotal primary trials in both NVAF and VTE [28, 37–39], recruited very few patients with LBW, which therefore meant that these studies failed to provide any actionable insight into its effect on patients with LBW. The RELY trial, in particular, only recruited 376 (about 2% of the total population) patients with NVAF and was not powered *ab initio* to detect efficacy and safety differences in patients weighing < 50 kg. Our pooled synthesis provided the requisite numerical scaffold

and statistical power crucial to testing the weighted effect of LBW on hard clinical endpoints in these cohorts of patients. Patients with LBW represent an essential proportion of the general population, and the outcome of this review will provide an additional layer of therapeutic reassurance to this demography, at least in NVAF-related stroke risk reduction.

The advent of DOACs did herald understandable excitement and genuine expectation, especially in their ability to reduce hard clinical endpoints effectively and safely without the associated inconvenience of traditional VKAs (such as the need for therapeutic drug monitoring and drug–drug interactions, among others). Reported clinical outcomes from extremes-of-weight patient cohort studies, especially in observational patient databases, appear to negate these benefits. Despite combining RCTs and observational studies in the total pool of studies evaluated in this review, we found modest heterogeneity ( $I^2 = 30\%$ ), further emphasizing the stability of our estimates, especially those relating to reduced stroke-related outcomes.

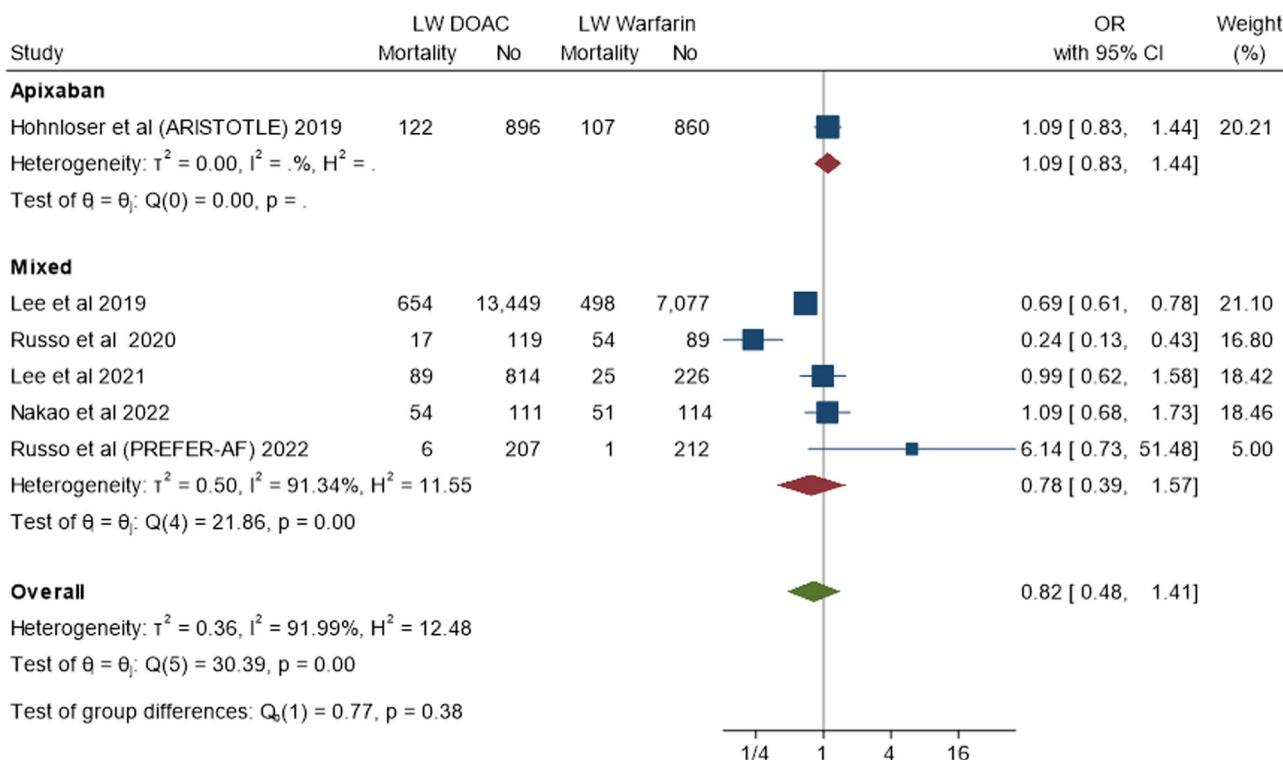
The dearth of robust, specific pharmacokinetic studies exploring dose-related hard clinical outcomes in these patients’ cohorts meant that estimates from our pooled synthesis would provide the very first robust data to guide decision-making at the guideline evaluation stage and the patient level. Although previous reviews, as well as some international guidelines, have suggested the avoidance of DOACs in patients with LBW [23, 40], our recommendation, especially with regard to stroke patients, is prescriptive and explicit; they should be considered reliable and safe in



**Fig. 4** Subgroup analysis assessing composite outcomes in DOACs versus warfarin according to type of DOAC. *REML* random-effects model

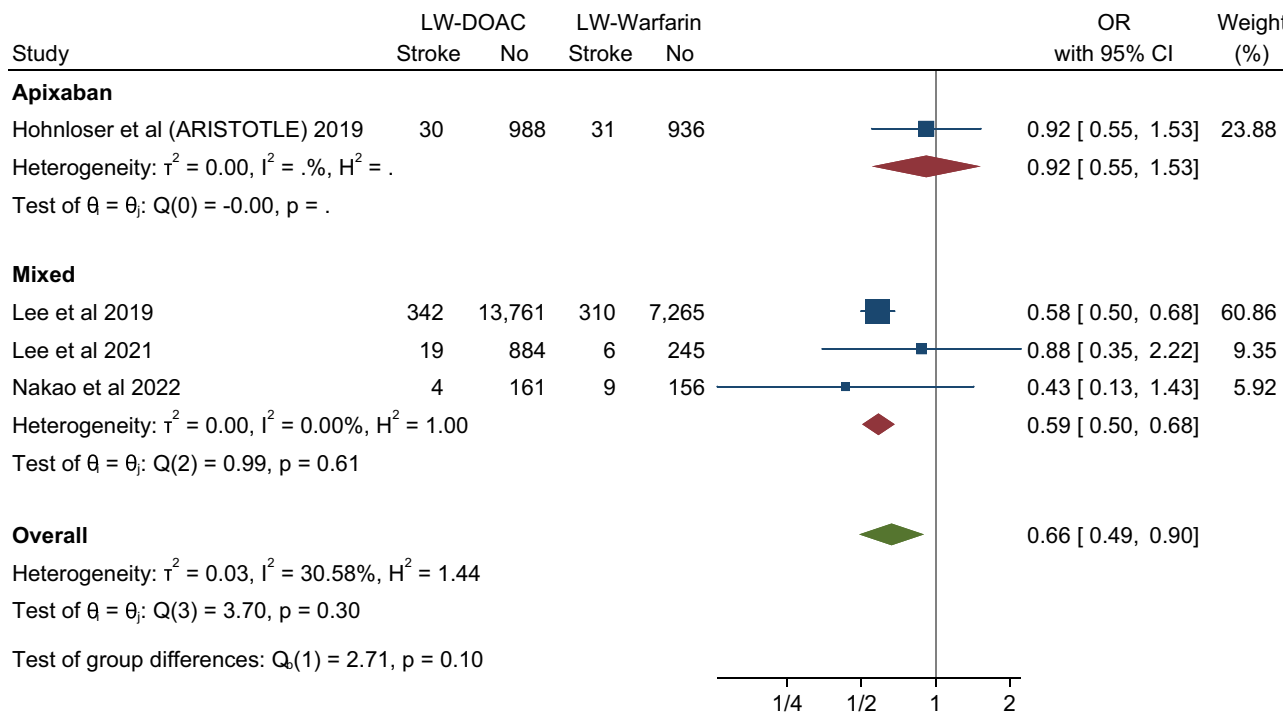
this cohort of patients (except in situations where explicit contraindications for the use of DOACs exists). Notably, within the studies analyzed in our meta-analysis, individuals with LBW predominantly received reduced doses rather than the standard dosage. The direct impact of this disparity on safety and effectiveness outcomes is not immediately clear. Determining whether this factor should prompt recommendations to initiate patients with low bodyweight on standard doses requires further investigation. Current guidelines suggest the utilization of DOACs, particularly apixaban and edoxaban, in patients weighing between 40 and 60 kg. For those weighing less than 40 kg, guidelines recommend either the use of vitamin K antagonists (VKAs) or conducting plasma level measurements of DOACs [41]. However, there is presently no guidance available regarding dose reduction in instances of supra-therapeutic levels [42]. This highlights an area necessitating additional guidance and research to establish optimal dosing strategies for individuals with LBW.

Pivotal trials of DOACs such as ROCKET-AF [29] did report data for underweight patients, but they constitute an insignificant proportion of the entire trial population (4.25% of the study population had a BMI of  $\leq 25$  kg/m<sup>2</sup>). Of all the pivotal trials of DOACs leading to their marketing authorization, only ARISTOTLE [43], exploring the efficacy and safety of apixaban for prevention risk reduction in patients with NVAF, recruited what could be considered a relatively “reasonable” number of patients with LBW [11% ( $n = 1985$ ) of the study cohort]. A pre-specified analysis of this LBW cohort, as reported elsewhere [28], showed no difference in efficacy and safety outcomes between them and patients with normal bodyweight. This perhaps explains the lack of uncertainty regarding apixaban’s efficacy and safety outcomes in patients with LBW. There is, however, a caveat regarding the absence of additional information on the exact proportion of patients with LBW in this trial that had apixaban dose reduction.



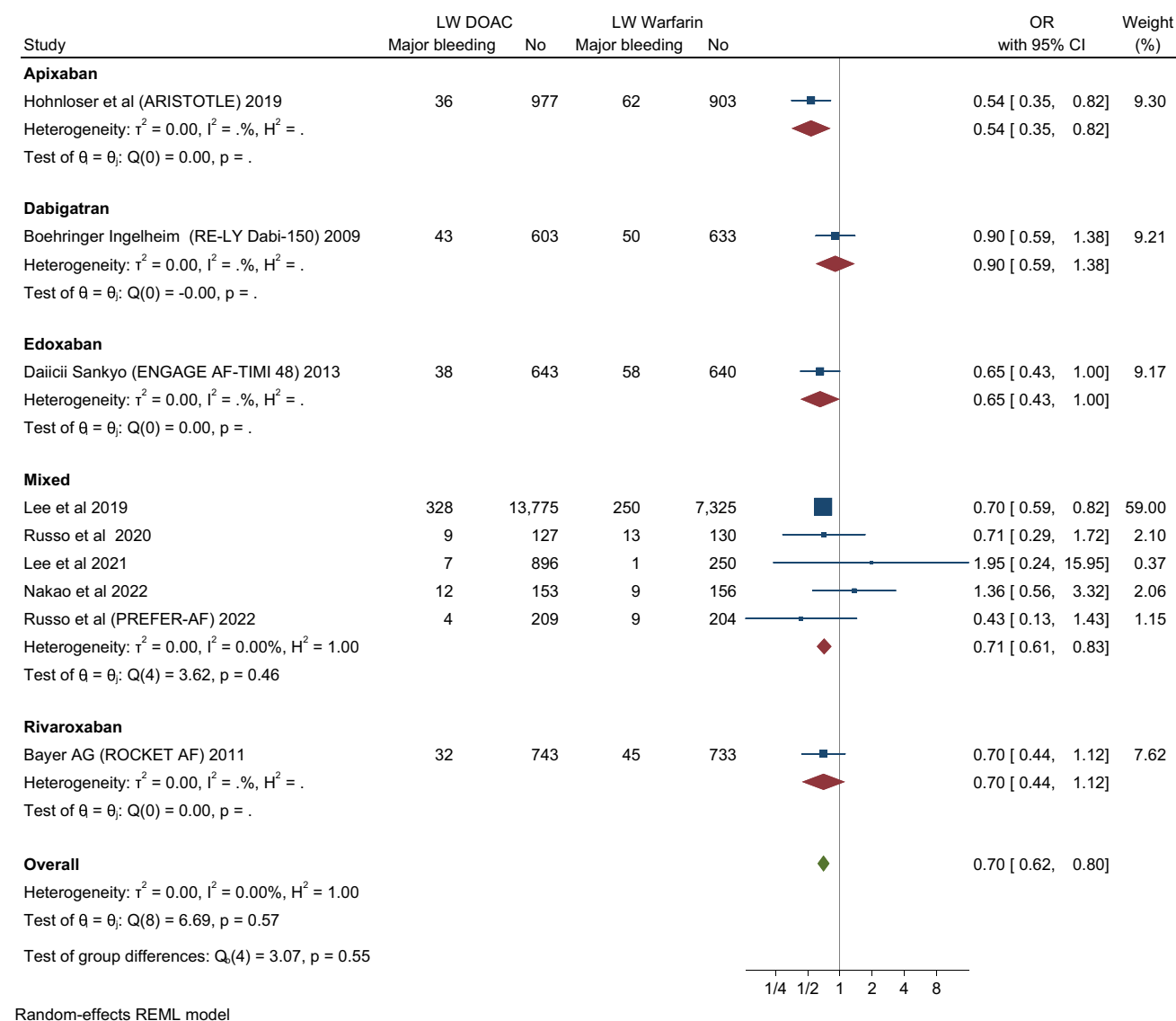
Random-effects REML model

Fig. 5 Subgroup analysis assessing mortality in DOACs versus warfarin according to type of DOAC. REML random-effects model



Random-effects REML model

Fig. 6 Subgroup analysis assessing stroke events in DOACs versus warfarin according to type of DOAC. REML random-effects model

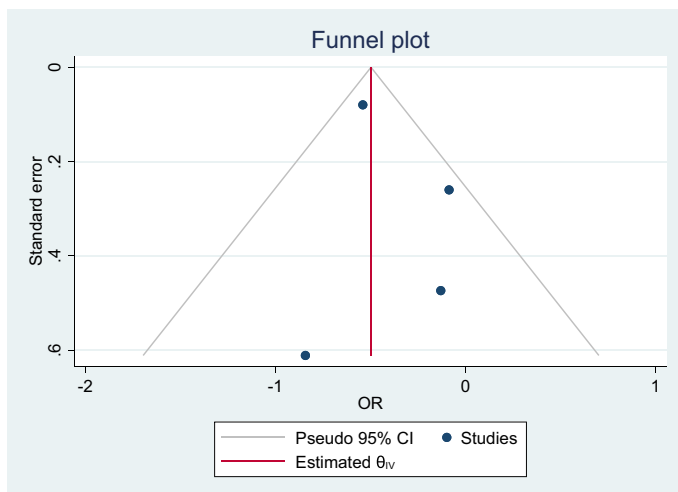


**Fig. 7** Subgroup analysis assessing major bleeding in DOACs versus warfarin according to type of DOAC. *REML* random-effects model

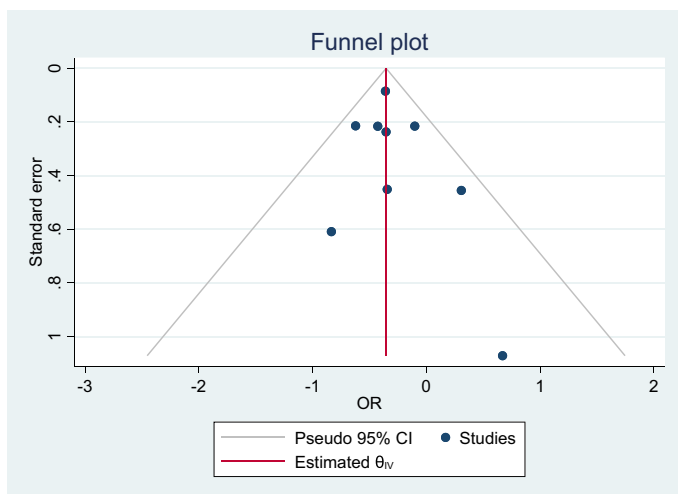
Additionally, our pooled meta-analytical synthesis showed an overall reduced risk of major bleeding episodes (30%) with DOACs compared with warfarin. Among the spectrum of DOACs, our subgroup analysis unveiled a significant reduction in major bleeding events with apixaban compared with warfarin. Our findings align with the conclusions drawn by Ballestri et al. and Lopez et al., both asserting that apixaban demonstrated the most favorable safety profile among oral anticoagulants (OACs) [42, 44]. This consistency in results reinforces the safety advantages of apixaban. Similar affirmations regarding apixaban's safety benefits were evident in real-world observational trials, systematic reviews, and meta-analyses conducted by Li G et al. and Zhang J et al. and their research teams, who also found apixaban to be the most preferred OAC [45, 46].

One often reported flaw of previous studies that compared bleeding risks associated with DOACs was the lack of head-to-head comparison between individual DOACs with VKA; rather, bleeding risks were reported as an aggregate of all DOACs combined. As alluded to earlier, no such uncertainty regarding bleeding risks subsists for apixaban [40]. The ENGAGE-AF TIMI 48 trial [30] examining the efficacy and safety of two doses of edoxaban in patients with NVAF did not report any focused analyses in patients with LBW. Therefore, no robust prescriptive recommendation regarding its use has been forthcoming from international clinical guidelines. However, because ENGAGE-AF TIMI 48 [30] data showed a significantly higher risk of ischemic strokes among patients randomized to the 30 mg edoxaban dose, it is unlikely any dose other than the alternative 60 mg will

A.



B.



C.

```

Regression-based Egger test for small-study effects
Random-effects model
Method: REML

H0: beta1 = 0; no small-study effects
      beta1 = 0.31
SE of beta1 = 1.020
      z = 0.31
Prob > |z| = 0.7575
    
```

**Fig. 8** **A** Funnel plot to assess the publication bias for studies assessing stroke recurrence in DOAC analogs versus warfarin displaying no marked asymmetry. **B** Funnel plot to assess the publication bias for studies assessing major bleeding events in DOAC analogs versus

warfarin showing no marked asymmetry. **C** Regression-based Egger test for small-study effects denoting a negligible degree of publication bias. *REML* random-effects model

suffice in any cohort of patients (including those with LBW). This concern also extends to its bleeding risks.

Our meta-analysis results are consistent with Grymonprez et al.’s meta-analysis, which demonstrated a significant reduction in the risks of stroke/systemic embolism and major bleeding in patients treated with DOACs compared with those treated with warfarin, with no significant difference in all-cause mortality [47]. Additionally, we examined the composite outcomes between the two anticoagulation strategies, and our findings did not show any statistically significant differences. In contrast, a meta-analysis conducted by Boonyawat et al. included a diverse cohort of patients with

AF and acute venous thromboembolism (VTE), receiving DOACs or warfarin for stroke prevention or VTE treatment. Their analysis revealed higher incidence of thromboembolic events in patients with LBW (4.28%) compared with patients who do not have LBW (2.74%), suggesting that patients with LBW may indeed pose a higher risk of thromboembolic events in anticoagulated patients, but with no significant difference in bleeding outcomes between LBW group and non-LBW group (5.96% versus 6.08). [48]

The recent meta-analysis exploring the effectiveness and safety of warfarin and DOACs in individuals with AF or VTE across different BMI categories revealed noteworthy

Fig. 9 Risk of bias assessment



findings. It showed a higher incidence of major bleeding events among underweight patients using DOACs compared with patients with normal weight. However, there was no significant difference in VTE or stroke recurrence rates between these groups. Additionally, when comparing DOACs to warfarin across various BMI categories (normal weight, overweight, and obese), the analysis revealed a significant increase of major bleeding events among patients on warfarin compared with DOACs. However, the rates of VTE recurrence or stroke did not significantly differ between the two therapies within the same weight categories. However, it is crucial to note that this analysis did not specifically examine or report on the comparison between DOACs and warfarin in the LBW category [49].

#### 4.1 Strengths and Limitations

This meta-analytical review represents the first robust purposeful examination of published reports examining the efficacy and safety of DOACs versus warfarin among patients with LBW who have NVAF. Our finding of a significant reduction in systemic stroke risk reduction and reduced risks of major bleeding among patients with LBW on DOACs versus warfarin is seminal. It provides more clarity in the management of these patients. It has the prescriptive potential to necessitate national and international guidelines review to give a more explicit recommendation of DOACs for reducing systemic stroke risks in these cohorts of patients. Including data from pivotal Marche clinical trials responsible for acquiring the various DOACs marketing authorization [29, 31, 43] in our analyses adds rigor to our analyses and inferences drawn from them.

The combination of RCTs and real-world data in our analyses may have accounted for the lack of certainty regarding the point estimates of some of our evaluated outcomes, especially composite outcomes and subgroup analyses.

## 5 Conclusion

In a pooled examination of studies evaluating therapeutic anticoagulation strategies, patient cohorts with LBW who received DOACs had a significant reduction in stroke and major bleeding risks compared with those receiving warfarin. There was uncertainty regarding mortality and composite outcomes between the two strategies. This will suggest the need to revisit current clinical guidelines, especially on updating recommendations regarding the safety of DOACs in LBW patients with stroke.

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**Conflict of Interest** Mohamed Nabil Elshafei, Muhammad Salem, Ahmed El-Bardissy, Mohamed S Abdelmoneim, Ahmed Khalil, Sherine Elhadad, and Mohammed Danjuma declare no conflicts of interest relevant to this review or its publication.

**Ethics Approval** None is sought or required, as this is a secondary synthesis of already available data.

**Consent to Participate** Not applicable.

**Consent for Publication** Not applicable.

**Author's Contributions** ME, MAM, and AE agreed on the review idea. ME and MS performed the initial search, screening, and data extraction. MA, AE, MS, and ME extracted data. ME and MID constructed the tables. ME and MD analyzed the data. ME produced the figures. ME, AE, MD, and MA wrote the initial manuscript. The manuscript was then critically revised by ME, MID, and AE. All the authors approved the final version of the manuscript for publication.

**Data Availability Statement** All the data generated or analyzed during this study are included in the publication article.

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