

# Oxygen vacancy-mediated WO<sub>3</sub> phase junction to steering photogenerated charge separation for enhanced water splitting

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**Abstract:** Effective charge separation and transfer is deemed to be the contributing factor to achieve high photoelectrochemical (PEC) water splitting performance on photoelectrodes. Building a phase junction structure with controllable phase transition of WO<sub>3</sub> can further improve the photocatalytic performance. In this work, we realized the transition from orthorhombic to monoclinic by regulating the annealing temperatures, and constructed an orthorhombic–monoclinic WO<sub>3</sub> (o-WO<sub>3</sub>/m-WO<sub>3</sub>) phase junction. The formation of oxygen vacancies causes an imbalance of the charge distribution in the crystal structure, which changes the W–O bond length and bond angle, accelerating the phase transition. As expected, an optimum PEC activity was achieved over the o-WO<sub>3</sub>/m-WO<sub>3</sub> phase junction in WO<sub>3</sub>-450 photoelectrode, yielding the maximum O<sub>2</sub> evolution rate roughly 32 times higher than that of pure WO<sub>3</sub>-250 without any sacrificial agents under visible light irradiation. The enhancement of catalytic activity is attributed to the atomically smooth interface with a highly matched lattice and robust built-in electric field around the phase junction, which leads to a less-defective and abrupt interface and provides a smooth interfacial charge separation and transfer path, leading to improved charge separation and transfer efficiency and a great enhancement in photocatalytic activity. This work strikes out on new paths in the formation of an oxygen vacancy-induced phase transition and provides new ideas for the design of catalysts.

**Keywords:** phase transition; tungsten oxide; lattice mismatch; density functional theory (DFT); photoelectrocatalytic water splitting

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## 1 Introduction

Nowadays, the high-speed economic development of the world directly leads to the enormous pressure from both energy shortage and environmental pollution. The development and utilization of new energy have become the research hotspot of scientific community all over the world [1]. The Sun, as a huge energy reservoir, is the energy basis, on which all living things on the Earth depend [2,3]. Hydrogen energy is one of the most promising renewable energy sources because of its high energy density and eco-friendly nature. Therefore, the semiconductor photocatalysis technology that can efficiently utilize solar energy has emerged [4]. Hydrogen production through solar-driven photoelectrochemical (PEC) water splitting has become an effective solution owing to the green and pollution-free nature of the entire cycle. Hydrogen and oxygen are produced on the two sides of the photoelectrode in a PEC water splitting system, easy to be collected without additional separation and purification [5]. Since Fujishima and Honda [6] first discovered the pioneering application of n-type semiconductor TiO<sub>2</sub> photoanodes for water splitting under illumination in 1972, which kicked off the research on PEC water splitting for hydrogen production, conventional semiconductor photoanode materials have expanded to metal oxides, nitrides, and sulfides. However, they all faced with the challenges of serious recombination of photogenerated charges and poor visible light response [7–10].

As it is necessary to seek highly efficient, abundant, and stable photoanode materials to improve the performance of PEC water splitting, a variety of strategies have been explored to develop novel catalysts, including surface engineering [11,12], energy band structure engineering [13], and strain engineering [14,15]. Recently, nanocomposites have shown great potential for photoelectrocatalytic hydrogen evolution. Phase junction engineering is considered as an effective way to improve the photocatalytic performance in semiconductors. In most cases, there are higher requirements of structure and lattice matching for the different catalysts involved in the formation of heterostructures, and thus the preparation process is complex. Zhang *et al.* [16] achieved more efficient hydrogen production performance by constructing the anatase/rutile TiO<sub>2</sub> composite catalyst in 2008 and first proposed the concept of “surface-phase junctions”. In their following work [17], regulable  $\alpha$ – $\beta$  polymorphic

junctions were formed on the surface of Ga<sub>2</sub>O<sub>3</sub>, which could prominently boost the photocatalytic activity relative to  $\alpha$  or  $\beta$  monophases. Considering the limited choice of compositions with appropriate compatibility and crystal structure to form the desired heterojunction interfaces, homojunctions, that is, phase junctions, are a promising route to improve water splitting performance further. Well-matched interface and robust built-in electric field between crystalline phases could accelerate electron transfer and achieve efficient separation of photogenerated charge carriers. Most of the homojunctions are formed by semiconductors with different crystalline phases in phase transition process, as reported in zinc blend/wurtzite CdSe [18], cubic/monoclinic NiP<sub>2</sub> [19], and black/red P [20].

Currently, WO<sub>3</sub> has triggered comprehensive research interest as the oxygen evolution reaction (OER) catalyst [21,22]. WO<sub>3</sub> is formed by sharing the vertex arrangement of octahedra consisting of one tungsten (W) atom and six oxygen (O) atoms. According to the tilt angle and rotation direction of WO<sub>6</sub> octahedron, WO<sub>3</sub> exhibits multiple crystal structures such as monoclinic, triclinic, tetragonal, orthorhombic, cubic, and hexagonal [23]. The phase transition of WO<sub>3</sub> occurs during annealing, cooling, and morphology evolution [24]. Meanwhile, these crystalline phases can be reversibly transformed, among which, monoclinic, orthorhombic, and hexagonal phases are stable at room temperature. The structural diversity of WO<sub>3</sub> provides an opportunity to construct various homojunctions [25]. It is evidenced that a built-in electric field is generated at the homogeneous interface to improve charge separation and injection efficiency [26]. Therefore, WO<sub>3</sub> with multiple crystalline phases and variable composition modulation is regarded as a phase junction component for the OER catalysis.

This work explored the phase–catalysis relationship by controlling the extent of orthorhombic-to-monoclinic phase transition on WO<sub>3</sub> to improve the efficiency of photoelectrocatalytic water splitting. The phase transition was realized during the thermal annealing process of the WO<sub>3</sub> prepared by a one-step hydrothermal method. The oxygen content in the annealing process was analyzed by X-ray photoelectron spectroscopy (XPS) and electron paramagnetic resonance (EPR), and the regulation of oxygen partial pressure on the formation of different crystal phases was investigated. The microscopic mechanisms of the phase junction structure and oxygen vacancy regulation on the separation and

migration of photoexcited electron–hole pairs were discussed through the density functional theory (DFT), and the catalytic performance of monoclinic–orthorhombic  $\text{WO}_3$  towards water splitting was systematically evaluated. The results suggest that the monoclinic–orthorhombic phase junction drives the transfer kinetics of charge carriers, resulting in efficient separation. This work provides new insights into the rational design and construction of photocatalysts.

## 2 Experimental

### 2.1 Synthesis of $\text{WO}_3$ nanosheets

$\text{WO}_3$  nanosheets were prepared on tungsten substrate by the hydrothermal method. In a typical synthesis, 1 mL of concentrated nitric acid was mixed with 15 mL of deionized water and continually stirred for at least 10 min. Then the mixture was transferred to a 25 mL Teflon-lined stainless steel autoclave. The tungsten foil with a size of 1 cm  $\times$  3 cm was sanded and mechanically polished with 400# and 600# sandpapers, and ultrasonically cleaned with acetone, ethanol, and deionized water for 30 min. The tungsten foil was placed in the autoclave and treated hydrothermally at 75 °C for 2 h. After the autoclave was cooled to room temperature, the tungsten substrate was taken out, rinsed with deionized water several times, and dried under vacuum at 50 °C for 3 h. Afterwards, the samples were placed in the center of a crucible and annealed at 250, 350, 450, and 550 °C for 3 h with a heating rate of 3 °C  $\cdot$  min<sup>-1</sup> in an air atmosphere. The  $\text{WO}_3$  materials annealed at 250, 350, 450, and 550 °C are recorded as  $\text{WO}_3$ -250, -350, -450, and -550, respectively. The macroscopic profiles are shown in Fig. S1 in the Electronic Supplementary Material (ESM).

### 2.2 Characterization

The crystal structures of the as-obtained samples were characterized on an X-ray diffractometer (D/MAX-2400, Rigaku, Japan) with Cu  $K\alpha$  radiation ( $\lambda = 1.5406 \text{ \AA}$ ) at 40 kV and 40 mA for physical phase analysis. The field emission scanning electron microscopy (FESEM; JSM-6700F, JEOL, Japan) and energy dispersive X-ray spectroscopy (EDS) were used to observe the surface morphologies and chemical compositions of the as-obtained samples. The high-resolution transmission electron microscopy (HRTEM) images were taken on a

microscope (JEM-2100F, JEOL, Japan) at an accelerating voltage of 200 kV. The surface compositions and valence states of elements were measured by the X-ray photoelectron spectrometer (ESCALAB 250Xi, Thermo Fisher, USA) with a monochromatic Al  $K\alpha$  radiation X-ray source. The binding energy was calibrated by the C 1s peak (284.8 eV) as the reference. The EPR spectrometry was performed by using an EPR equipment (EMX, Bruker, Germany). The Raman spectrum excited at 532 nm was recorded on a confocal micro-Raman spectrometer (XploRa, HORIBA Scientific, Japan) with a spectral resolution of 2 cm<sup>-1</sup>. The optical absorption properties of the samples were tested on an ultraviolet–visible (UV–Vis) spectrophotometer (U-3900, Hitachi, Japan) equipped with an integrating sphere attachment using  $\text{BaSO}_4$  as the reference. The surface photovoltage (SPV) system consists of a 500 W Xe lamp (CHF-XM500W, Perfect Light, China), a monochromator (Omni- $\lambda$ 300, Zolix, China), a lock-in amplifier (SR830, Stanford Research Systems, USA) with a light chopper (SR540, Stanford Research Systems, USA), a photovoltaic cell, and a computer. Both the monochromator and the lock-in amplifier are controlled by the computer.

### 2.3 PEC experiment

All PEC measurements were performed in a standard three-electrode system in an electrochemical workstation (CHI660E, CH Instruments, China), using Pt sheet (1 cm  $\times$  3 cm) as the counter electrode, saturated calomel electrode (SCE) as the reference electrode, and the as-obtained samples as the working electrode. The effective mass of  $\text{WO}_3$  film is estimated to be 10 mg (Fig. S2 in the ESM). The tests were carried out in 0.5 M  $\text{Na}_2\text{SO}_4$  aqueous solution at room temperature. A 300 W Xe lamp with an irradiation of 100 mW  $\cdot$  cm<sup>-2</sup> was equipped with a 420 nm cutoff filter to simulate AM 1.5 G. The transient photocurrent was recorded at a bias voltage of 0.6 V vs. SCE with an interval of 20 s. The electrochemical impedance spectroscopy (EIS) measurements were performed at 0.6 V bias voltage vs. SCE with the frequency range of 10<sup>-2</sup>–10<sup>5</sup> Hz. The Mott–Schottky (M–S) curves were measured at 10 mV/s and 1000 Hz.

### 2.4 Photoelectrocatalytic performance evaluation

Photoelectrocatalytic water splitting experiments were conducted in a closed quartz reaction cell with the electrolyte containing 0.5 M  $\text{Na}_2\text{SO}_4$  solution in a

three-electrode system with Pt sheet, SCE, and the as-obtained samples as the counter, reference, and working electrodes, respectively. The whole reaction process was kept under magnetic stirring. Before the test, the reaction cell was purged with nitrogen gas for 30 min to remove the impurity gas inside. Then, the photocatalytic test was performed with a 300 W Xe lamp with a 420 nm cut-off filter. Gas production was detected by a gas chromatography (GC9790 II, Zhejiang Fuli Co., Ltd., China) equipped with a thermal conductivity detector (TCD).

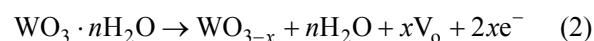
## 2.5 Theoretical calculations

First-principles calculations were performed with the material simulation software of Cambridge Sequential Total Energy Package (CASTEP) based on the DFT. The ground states of m-WO<sub>3</sub> and o-WO<sub>3</sub> were established by theoretical calculations and the X-ray diffraction (XRD) refinement. The ultra-soft pseudopotential (USPP) was used to describe the interaction between electrons and ions, and the exchange-correlation potential between electrons was calculated by using the Perdew–Burke–Ernzerhof (PBE) density function in the generalized gradient approximation. The plane wave cutoff energy was set to 300 eV, and the Brillouin zone was sampled with 2 × 2 × 2 Monkhorst–Pack grids for geometry optimization and energy band structure calculation. To build the m-WO<sub>3</sub>/o-WO<sub>3</sub> interface, two layers of o-WO<sub>3</sub> (100) were placed on top of a three-layer m-WO<sub>3</sub> (001) slab. In the structure optimization, the maximum force per atom was converged to 0.01 eV·Å<sup>-1</sup>, and the convergence threshold for energy was set at 5.0 × 10<sup>-6</sup> eV·atom<sup>-1</sup>.

## 3 Results and discussion

The samples are synthesized through a simple two-step

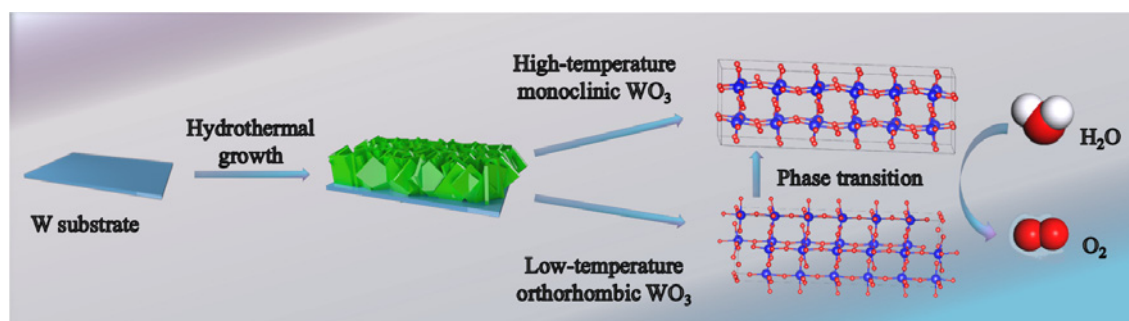
process, as shown in Fig. 1. The microscopic morphologies of the as-prepared materials under different annealing temperatures are observed by using the FESEM, as shown in Fig. S3 in the ESM. The structures of annealed WO<sub>3</sub> samples are nanosheets perpendicular to the W substrate and closely adhered to the W substrate. The WO<sub>3</sub> nanosheets gradually increase in thickness and distort to some extent as the annealing temperature rises from 250 to 550 °C. It is reported that the formation of WO<sub>3</sub> follows a dissolution–recrystallization mechanism under hydrothermal conditions [27]. The strongly oxidizing nitric acid initially oxidizes the tungsten foil to WO<sub>4</sub><sup>2-</sup>, while the insoluble WO<sub>3</sub>·H<sub>2</sub>O is deposited on the surface of the tungsten substrate. The as-formed pale yellow WO<sub>3</sub>·nH<sub>2</sub>O hydrate is converted into WO<sub>3</sub> phase with removal of water molecules during subsequent annealing, accompanied with color changes [28]. The formation mechanism can be described as Reactions (1) and (2):



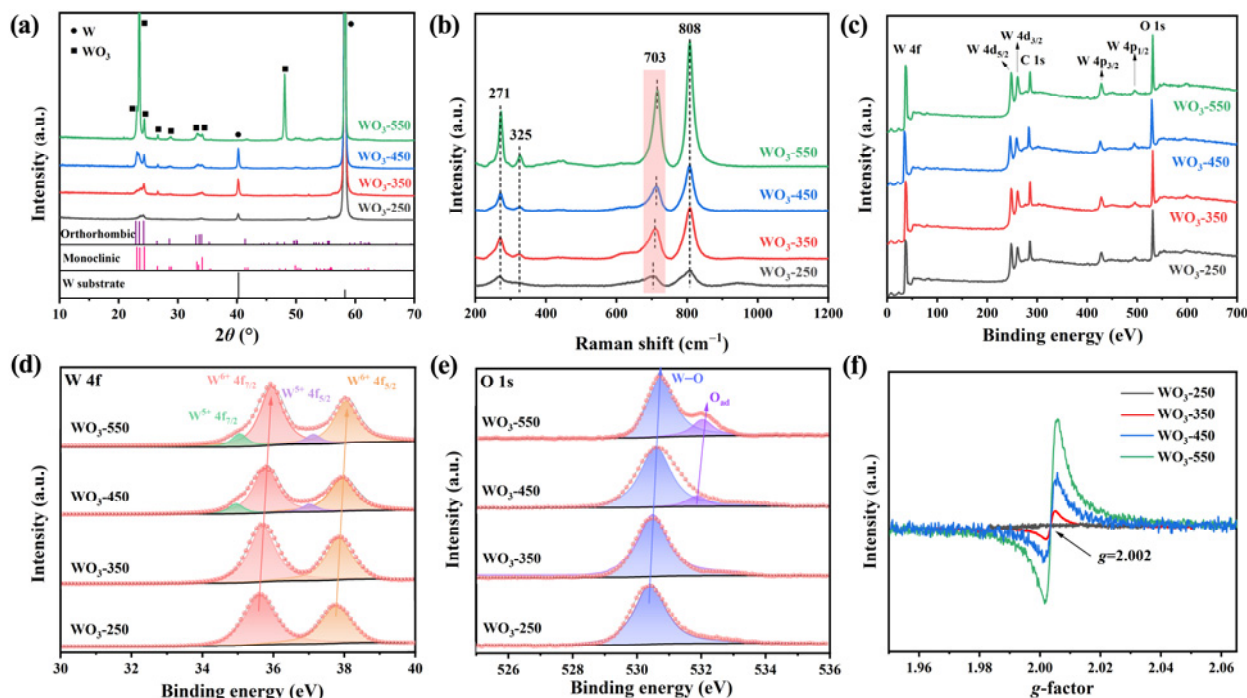
where V<sub>0</sub> represents the oxygen vacancy.

The color changes from pale yellow to dark blue during annealing at 450 °C, indicating the presence of non-stoichiometric WO<sub>3</sub>, which is due to the transfer of electrons in WO<sub>3</sub> allowing partial reduction from W<sup>6+</sup> to W<sup>5+</sup>, resulting in the formation of oxygen vacancy defects. The EDS elemental mappings display the uniform distributions of tungsten and oxygen elements in the WO<sub>3</sub> nanosheets.

Furthermore, WO<sub>3</sub> phase junctions with different monoclinic/orthorhombic phase ratios were fabricated by tuning the annealing temperatures to verify the feasibility of phase transition. The crystal structures of orthorhombic WO<sub>3</sub> (o-WO<sub>3</sub>) and monoclinic WO<sub>3</sub> (m-WO<sub>3</sub>) are shown in Fig. S4 in the ESM. As shown in Fig. 2(a), it is obvious that there is only a diffraction



**Fig. 1** Diagram of the preparation for WO<sub>3</sub> samples. Blue balls are W atoms, and red balls are O atoms.



**Fig. 2** (a) XRD patterns of different WO<sub>3</sub> photoelectrodes. (b) Raman spectra of all samples. (c) Survey XPS spectra. High-resolution XPS spectra of (d) W 4f and (e) O 1s. (f) EPR of all samples. Note: O<sub>ad</sub> is the adsorbed oxygen.

peak of o-WO<sub>3</sub> at 250 °C, while WO<sub>3</sub>-350, -450, and -550 show both the characteristic peaks of o-WO<sub>3</sub> and m-WO<sub>3</sub>. A minor diffraction peak with preferred orientation of tungsten appears because of the rolled tungsten substrate. A series of diffraction peaks in the XRD pattern of WO<sub>3</sub>-250 match well with the orthorhombic structure (PDF #71-0131) assigned to the space group of *Pmnb*. The diffraction peak at 24.3° of the WO<sub>3</sub>-350 sample belongs to the orthorhombic phase, while the two diffraction peaks at 23.1° and 23.5° corresponding to the (002) and (020) planes, respectively, are detected as typical features for the monoclinic structure (PDF #72-0677) with a space group of *P2<sub>1</sub>/n*. It is generally acknowledged that the intensity of the diffraction peak in the XRD patterns is related to the crystallinity. With the increase of annealing temperature, the samples show higher peak intensity. The enlarged view of the XRD patterns in the range of 22.5°–25° in Fig. S5 in the ESM indicates that the phase transition takes place at 350 °C. As the annealing temperature rises from 250 to 550 °C, the intensities of characteristic peaks for m-WO<sub>3</sub> located at 23.1°, 23.5°, and 28.7° gradually increase, which demonstrate a temperature-dependent phase transition of WO<sub>3</sub> in the annealing process. To determine the exact ratio of o-WO<sub>3</sub> to m-WO<sub>3</sub> as a function of

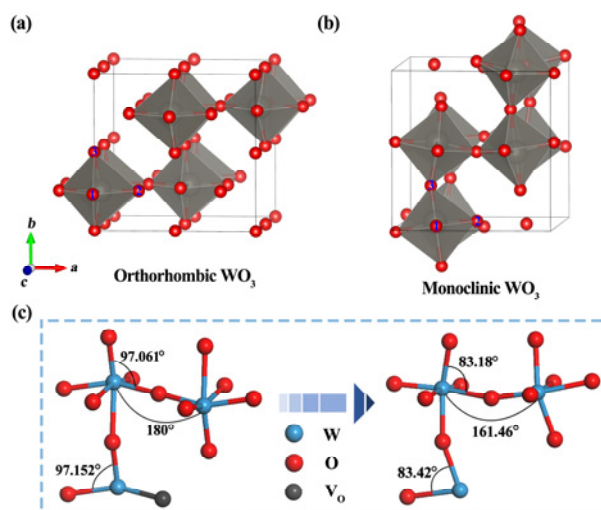
temperature, a Rietveld refinement of the XRD data was performed by using General Structure Analysis System (GSAS) software [29]. The specific weight percentages of o-WO<sub>3</sub> and m-WO<sub>3</sub> in the samples are obtained in Fig. S6 in the ESM. The results of the profile coinciding factors (*R<sub>wp</sub>* and *R<sub>p</sub>*) and the contents of orthorhombic and monoclinic phases are displayed in Table S1 in the ESM. The *R<sub>wp</sub>* values of all WO<sub>3</sub> samples are less than 15%, indicating the reliability of the refinement results.

The Raman spectroscopy was utilized to identify and investigate the difference of chemical bonds for WO<sub>3</sub>. Four obvious Raman characteristic peaks of 703, 808, 271, and 325 cm<sup>-1</sup> can be observed in Fig. 2(b). The peaks located at 271 and 325 cm<sup>-1</sup> could be assigned to the bridging oxygen bending vibration of the W–O–W [30,31]. The characteristic peaks centered at 703 and 808 cm<sup>-1</sup> corresponded to the stretching vibration of the W–O–W bond in the [WO<sub>6</sub>] octahedron [32]. The diffraction peak located at 703 cm<sup>-1</sup> shows a red shift with increasing the temperature. This result indicates the significant change in the original coordination environment, where the corresponding changes in W–O–W bond length and polyhedral deformation are closely related to the structural transformation and partial loss of oxygen atoms in the WO<sub>3</sub> lattice. To

reveal the contributions of the surface chemical environment and interaction of o-WO<sub>3</sub> and m-WO<sub>3</sub> phases, the XPS technique was used to characterize the bonding properties of the surface W–O of all WO<sub>3</sub> samples. As seen from the survey XPS spectra in Fig. 2(c), tungsten and oxygen elements exist in all WO<sub>3</sub> samples with no other impurities. The high-resolution spectra of W 4f are deconvoluted into two pairs of peaks by Gaussian fitting, corresponding to the typical binding energies of two different W oxidation states (Fig. 2(d)). The binding energies of W 4f at 35.6 and 37.7 eV in WO<sub>3</sub>-250 can be attributed to the photoelectrons generated from the spin–orbit splitting of the 4f<sub>7/2</sub> and 4f<sub>5/2</sub> of W<sup>6+</sup> species, respectively [33,34]; while for WO<sub>3</sub>-450 and WO<sub>3</sub>-550, the W<sup>6+</sup> 4f<sub>7/2</sub> and W<sup>6+</sup> 4f<sub>5/2</sub> peaks are positively shifted, and the weak peaks at 35.2 and 37.3 eV belong to W<sup>5+</sup> species, indicating that W<sup>6+</sup> oxidation state is dominant at the WO<sub>3</sub> surface. The formation of low valence states is usually accompanied by the emergence of oxygen vacancies [35]. To explore the generation of oxygen vacancies from the O 1s spectra, the O 1s peak is deconvoluted into two peaks (Fig. 2(e)). The peak of lattice oxygen at 530.2 eV in WO<sub>3</sub>-250 is attributed to W<sup>6+</sup>–O, while those at 531.8 and 532 eV are characteristic of adsorbed oxygen associated with surface oxygen vacancies, suggesting the presence of a small number of localized electrons in the WO<sub>3</sub>-450 and WO<sub>3</sub>-550 nanosheets [36]. With the increase of m-WO<sub>3</sub> contents, the slight positive shifts of the W 4f and O 1s peaks with respect to WO<sub>3</sub>-250 are caused by the electron transfer from o-WO<sub>3</sub> to m-WO<sub>3</sub> and the electron coupling between the two phases near the interface [37], resulting in o-WO<sub>3</sub> losing electrons while m-WO<sub>3</sub> getting electrons, thus creating an internal electric field at the phase interface. In addition, the EPR spectroscopy was performed to further verify the oxygen vacancies in WO<sub>3</sub> photoelectrodes. Clearly, the peak ESR signal at *g*-tensor of 2.002 corresponds to the single electron capture oxygen vacancy [19]. As the temperature increases, it shows a stronger EPR signal intensity, as shown in Fig. 2(f). Since the oxygen vacancy concentration is proportional to the intensity of the EPR signal peak, this demonstrates that WO<sub>3</sub>-550 has the highest oxygen vacancy concentration.

On the basis of the refinement results, it can be assumed that high annealing temperatures could be beneficial to the increase of m-WO<sub>3</sub> content. Meanwhile, the lattice parameters of o-WO<sub>3</sub> are found to change at

different annealing temperatures during the phase transition from orthorhombic WO<sub>3</sub> to monoclinic WO<sub>3</sub>, which can be described by the changes in the octahedral tilt system or the displacement of tungsten away from octahedral center. Specifically, the lattice constants  $\alpha$  and  $\gamma$  are the same for o-WO<sub>3</sub> and m-WO<sub>3</sub>, and there is a slight difference in  $\beta$  (o-WO<sub>3</sub>:  $\beta = 90^\circ$ ; m-WO<sub>3</sub>:  $\beta = 90.881^\circ$ ). Therefore, the following coordinate system is established to investigate the variation of  $\beta$  in W–O octahedra at different annealing temperatures. The crystal structures of o-WO<sub>3</sub> and m-WO<sub>3</sub> are shown in Figs. 3(a) and 3(b), respectively, and the changes of bond lengths and bond angles in o-WO<sub>3</sub> and m-WO<sub>3</sub> during annealing are listed in Table 1. The W–O bond lengths and O–W–O bond angles change with the temperature increasing, and then the W–O octahedral structure deforms (distortion, tilt, etc.). In o-WO<sub>3</sub>, the bond angle of O<sub>1</sub>–W–O<sub>2</sub> gradually becomes larger, and the W–O<sub>1</sub>, W–O<sub>2</sub>, and W–O<sub>3</sub> bond lengths gradually shorten with the increase of temperature, indicating that the tungsten atoms located at the center of the octahedral structure move towards the octahedral prism, and the *c*-component of the tungsten displacement is significantly greater than that in the *a*–*b* plane, while the W–O bond length stretches in the other direction, leading to the phase transition in WO<sub>3</sub> catalysts. The displacement change of W causes the octahedral distortion, and manifests as the phase transition from orthorhombic to monoclinic when the distortion energy

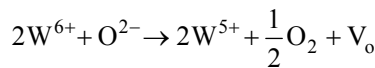


**Fig. 3** Crystal structure models of (a) orthorhombic and (b) monoclinic phases. Grey ball are the W atoms, and red balls are O atoms. Among these, 1, 2, and 3 are the different positions of oxygen atoms. (c) Oxygen vacancy-induced transition mechanism from orthorhombic to monoclinic.

**Table 1 Bond lengths and bond angles of four samples**

		WO <sub>3</sub> -250		WO <sub>3</sub> -350		WO <sub>3</sub> -450		WO <sub>3</sub> -550	
		o-WO <sub>3</sub>	o-WO <sub>3</sub>	m-WO <sub>3</sub>	o-WO <sub>3</sub>	m-WO <sub>3</sub>	o-WO <sub>3</sub>	m-WO <sub>3</sub>	o-WO <sub>3</sub>
W–O distance (Å)	W–O <sub>1</sub>	1.979	1.970	1.707	1.962	1.658	1.957	1.623	1.890
	W–O <sub>2</sub>	1.816	1.815	1.937	1.814	1.914	1.812	1.890	1.890
	W–O <sub>3</sub>	1.868	1.864	1.737	1.855	1.721	1.848	1.714	1.714
O <sub>1</sub> –W–O <sub>2</sub> bond angle (°)		92.09	92.09	96.75	92.12	97.33	92.50	98.66	98.66

of the system accumulates to a certain degree. The stoichiometry of WO<sub>3</sub> samples is further evaluated by calculating the ratio of lattice oxygen to all tungsten atoms (W<sup>5+</sup>+W<sup>6+</sup>) in the WO<sub>3</sub> photoelectrodes (Table S2 in the ESM). The results show that the surface atomic O/W ratio is significantly lower than the stoichiometric ratio, and WO<sub>3</sub>-550 has the smallest atomic O/W ratio. Therefore, the WO<sub>3</sub> photoelectrode surface undergoes “hypoxia” through the following process:



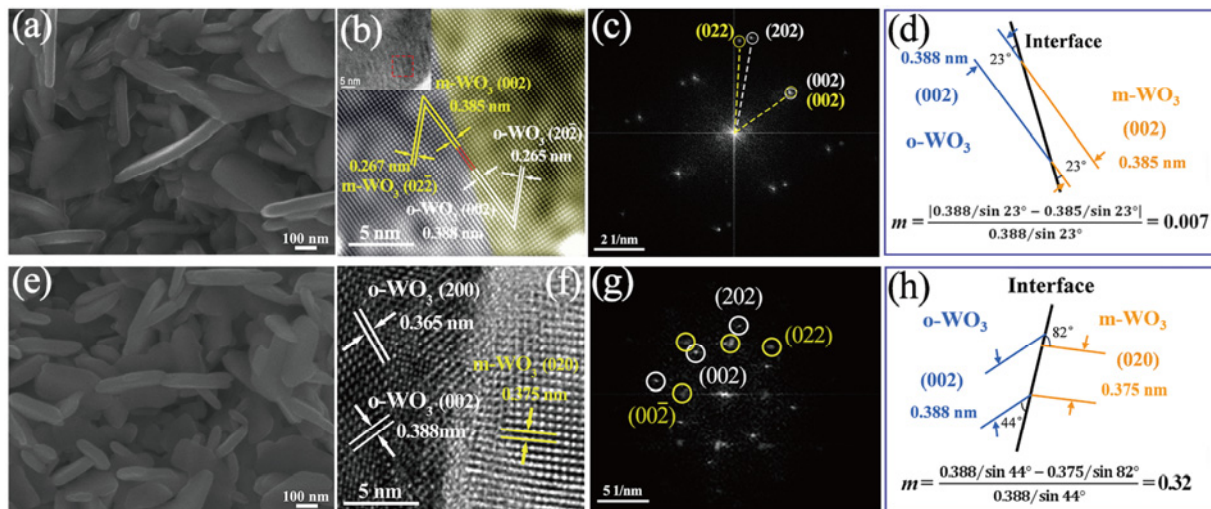
where O<sup>2-</sup> is the lattice oxygen. This demonstrates that W<sup>5+</sup> is induced by oxygen vacancies during the experiment. Furthermore, the absence of oxygen changes the bond lengths and bond angles of W–O octahedra, prompting the distortion of the W–O octahedron structure towards a low-energy stable state (Fig. S7 in the ESM), transforming from an orthorhombic phase with high spatial symmetry to a monoclinic phase with low spatial symmetry, which indicates that the formation of oxygen vacancies regulates the phase transition from o-WO<sub>3</sub> to m-WO<sub>3</sub> (Fig. 3(c)).

The microstructures and morphologies of WO<sub>3</sub>-450 and WO<sub>3</sub>-550 are shown in Fig. 4, and WO<sub>3</sub>-450 exhibits a uniform nanosheet-like morphology with a rectangular structure with a thickness of about 50 nm (Fig. 4(a)). The HRTEM images further provide direct evidence for the formation of heterojunction. The distinct interplanar distances are observed with the *d*-spacings of 0.265 and 0.385 nm, assigned to the (202) facet of o-WO<sub>3</sub> and the (002) facet of m-WO<sub>3</sub>, respectively (Fig. 4(b)). The coexistence of monoclinic and orthorhombic WO<sub>3</sub> is further confirmed by the fast Fourier transformation (FFT) results of the corresponding region (Fig. 4(c)), which directly demonstrate that o-WO<sub>3</sub> and m-WO<sub>3</sub> are interwoven at the interface to form well-contact phase junction structures in the WO<sub>3</sub>-450 sample. To better understand the interface structure of o-WO<sub>3</sub>/m-WO<sub>3</sub> phase junction, the lattice mismatch (*m*) of o-WO<sub>3</sub>/m-WO<sub>3</sub> is estimated by considering the angular mismatch using Eq. (3) [38–41]:

$$m = \frac{|d_1/\sin\theta_1 - d_2/\sin\theta_2|}{d_1/\sin\theta_1} \quad (3)$$

where *d*<sub>1</sub> and *d*<sub>2</sub> correspond to the interplanar distances of m-WO<sub>3</sub> (002) and o-WO<sub>3</sub> (002), respectively; and θ<sub>1</sub> and θ<sub>2</sub> are the angles of m-WO<sub>3</sub> (002) and o-WO<sub>3</sub> (002) against the phase interface measured at 23° and 23°, respectively.

Figure 4(d) is a schematic presenting the interfacial lattice relations of m-WO<sub>3</sub> (002) and o-WO<sub>3</sub> (002). The interfacial lattice mismatch between m-WO<sub>3</sub> (002) and o-WO<sub>3</sub> (002) in the WO<sub>3</sub>-450 nanosheets reaches 0.7%. The o-WO<sub>3</sub>/m-WO<sub>3</sub> phase junction structure of the WO<sub>3</sub>-550 sample is shown in Figs. 4(e)–4(h), with

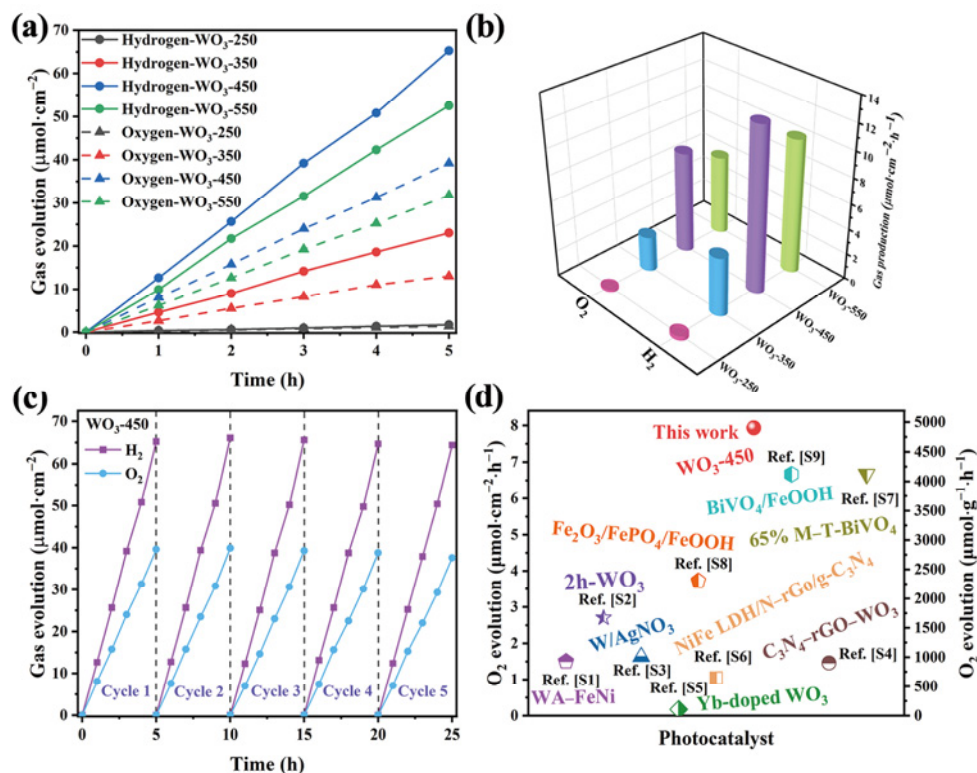


**Fig. 4** Morphologies and microstructures of the as-prepared samples: (a, e) SEM images, (b, f) HRTEM images, (c, g) FFT patterns of (b) and (f), respectively; and schematics of the o-WO<sub>3</sub>/m-WO<sub>3</sub> phase interface for (d) WO<sub>3</sub>-450 and (h) WO<sub>3</sub>-550.

an interfacial lattice mismatch of 32% between m-WO<sub>3</sub> (020) and o-WO<sub>3</sub> (002). The significant difference in lattice mismatch between o-WO<sub>3</sub> and m-WO<sub>3</sub> is considered to be an important factor in determining the photoelectrocatalytic performance. The small lattice mismatch at the interface causes minimal lattice distortion energy, reduces the nucleation energy barrier, and promotes the formation of phase junctions. Importantly, the lattice connection between m-WO<sub>3</sub> (002) and o-WO<sub>3</sub> (002) in WO<sub>3</sub>-450 results in fewer defects and abrupt interfaces. This bonding at the phase interface is achieved by covalent bonding at atomic level rather than van der Waals forces, which allows for maximum separation and transfer of photogenerated carriers [20]. The microstructure and morphology of the WO<sub>3</sub>-250 sample are shown in Fig. S8 in the ESM. Moreover, given the degree of phase transition, the phase junction density is believed to differ in each WO<sub>3</sub> sample, and the o-WO<sub>3</sub>/m-WO<sub>3</sub> phase junction density is in an equilibrium state.

The photoelectrocatalytic performance of WO<sub>3</sub> was evaluated in Na<sub>2</sub>SO<sub>4</sub> solution without using any sacrificial agents at a bias potential of 1.23 V (vs. reversible hydrogen electrode (RHE)) under visible light illumination. The ability of the photoelectrodes

for overall water splitting into H<sub>2</sub> and O<sub>2</sub> was also investigated. The hydrogen and oxygen production of all WO<sub>3</sub> samples increase approximately linearly, as shown in Fig. 5(a). In Fig. 5(b), WO<sub>3</sub>-250 as a pure orthorhombic phase exhibits poor oxygen production rate, and WO<sub>3</sub>-450 exhibits the highest oxygen production rate of 7.93 μmol·cm<sup>-2</sup>·h<sup>-1</sup>, which is around 36, 2.8, and 1.2 times that of WO<sub>3</sub>-250, -350, and -550, respectively, indicating that the o-WO<sub>3</sub>/m-WO<sub>3</sub> phase junction greatly improves the performance of water splitting. This result provides the conclusive evidence that this excellent activity can be attributed to the highly matched lattice between o-WO<sub>3</sub> and m-WO<sub>3</sub> in the phase junction, which significantly boosts the photoelectrocatalytic performance by improving the interfacial charge separation. The atomic-level smooth interfacial region between o-WO<sub>3</sub> and m-WO<sub>3</sub>, which facilitates charge separation and transfer, must play a key role in its excellent photocatalytic performance. As shown in Fig. 5(c), WO<sub>3</sub>-450 shows no significant decrease in activity after five cycles, manifesting its reproducibility and stability in the catalytic process. The oxygen production rates of various catalysts reported in the literature are compared in Fig. 5(d) and Table S3 in the ESM. To further confirm the long-term



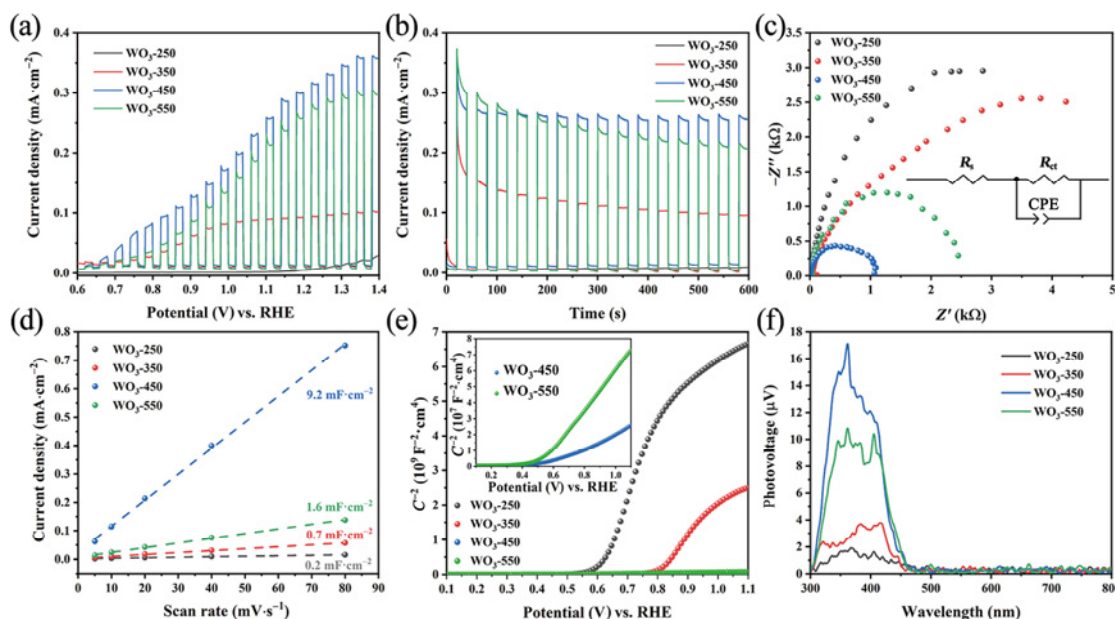
**Fig. 5** (a) Photoelectrocatalytic overall water splitting and (b) rates of H<sub>2</sub> and O<sub>2</sub> production over various samples, (c) photoelectrocatalytic durability test, and (d) oxygen evolution rates for WO<sub>3</sub>-450 in comparison with other photocatalysts.



stability of o-WO<sub>3</sub>/m-WO<sub>3</sub> phase junction, the surface morphology and chemical structure were checked after 5-h oxygen production. The SEM and XRD analyses reveal that WO<sub>3</sub>-450 maintains its original morphology and crystal structure after 5-h test, with no significant changes with respect to those before test, demonstrating its high stability in catalytic activity and structure (Fig. S9 in the ESM).

To verify the effect of phase junction on OER in WO<sub>3</sub> photoanodes, a series of PEC tests were performed at a bias potential of 1.23 V (vs. RHE) under visible light irradiation. First, the linear sweep voltammetry (LSV) measurement was carried in a 0.5 M Na<sub>2</sub>SO<sub>4</sub> electrolyte for WO<sub>3</sub> photoelectrodes. As shown in Fig. 6(a), all samples show negligible currents under dark conditions, and the WO<sub>3</sub>-250 electrode exhibits a relatively low photocurrent density. A significant increase in photocurrent density is observed in the WO<sub>3</sub>-450 electrode, which gives the highest photocurrent density (0.31 mA·cm<sup>-2</sup> at 1.23 V vs. RHE), 36 times higher than WO<sub>3</sub>-250. This is attributed to the phase junction structure formed during the phase transition from o-WO<sub>3</sub> to m-WO<sub>3</sub>. The transient photocurrent response indicates that WO<sub>3</sub>-450 exhibits the highest photocurrent density of 0.26 mA among all samples, confirming its best charge transfer and separation efficiency (Fig. 6(b)). Notably, the construction of o-WO<sub>3</sub>/m-WO<sub>3</sub> phase junction not only facilitates

photon absorption but also enhances the separation of photogenerated charge–hole pairs. To gain insight into the charge transport behavior inside the electrode, the EIS (Fig. 6(c)) is adopted to evaluate the charge transfer kinetics at the interface. The charge transfer resistance ( $R_{ct}$ ) at the semiconductor–electrolyte interface is assessed from the arc radius of the Nyquist plots. Obviously, there is only a semi-circular arc in the EIS Nyquist plots of the four photoanodes, indicating that the transport of most carrier electrons is the primary rate-controlling step between frequency ranges of 10<sup>-2</sup>–10<sup>5</sup> Hz. The fitted results of equivalent circuit (Table S4 in the ESM) show that the  $R_{ct}$  is 19,944, 1087, 53.46, and 893 Ω for WO<sub>3</sub>-250, -350, -450, and -550, respectively, which demonstrates that WO<sub>3</sub>-450 has the lowest  $R_{ct}$  and consequently the highest conductivity for the accelerated transfer and transmission of photo-generated charges, and also for a good charge separation in WO<sub>3</sub>-450. Thus the positive role of phase junctions in the catalytic process is proved. Next, the cyclic voltammetry (CV) measurements are performed on all samples to evaluate the double-layer capacitance ( $C_{dl}$ ) of the WO<sub>3</sub> photoelectrodes (Fig. S10 in the ESM), and roughly estimate the electrochemical active surface area (ECSA) based on the  $C_{dl}$  of the normalized electrode. The  $C_{dl}$  values obtained from the slopes for the corresponding samples in Fig. 6(d) are 9.2, 1.6, 0.7, and 0.2 mF·cm<sup>-2</sup> for WO<sub>3</sub>-450, -550, -350,



**Fig. 6** (a) LSV curves of different WO<sub>3</sub> samples (scan rate = 1 mV·s<sup>-1</sup>), (b) transient photocurrent responses, (c) EIS Nyquist plots (the inset is the equivalent circuit model for fitting the EIS data), (d)  $C_{dl}$  for various catalysts, (e) M–S plots, and (f) SPV spectra for various WO<sub>3</sub> samples.

and -250, respectively, which indicates that WO<sub>3</sub>-450 exposes more active sites compared with the other samples, promoting the surface reaction and greatly enhancing its catalytic performance. The carrier concentration and flat band potential on the photoanode surface can be estimated from the M–S plots. The carrier concentration can be estimated by Eqs. (4) and (5) [42]:

$$\frac{1}{C^2} = \left( \frac{2}{e\epsilon\epsilon_0} \right) \left( E - E_{fb} - \frac{kT}{e} \right) \quad (4)$$

$$N_d = \frac{2}{e\epsilon\epsilon_0} \left[ \frac{d(1/C^2)}{dE} \right]^{-1} \quad (5)$$

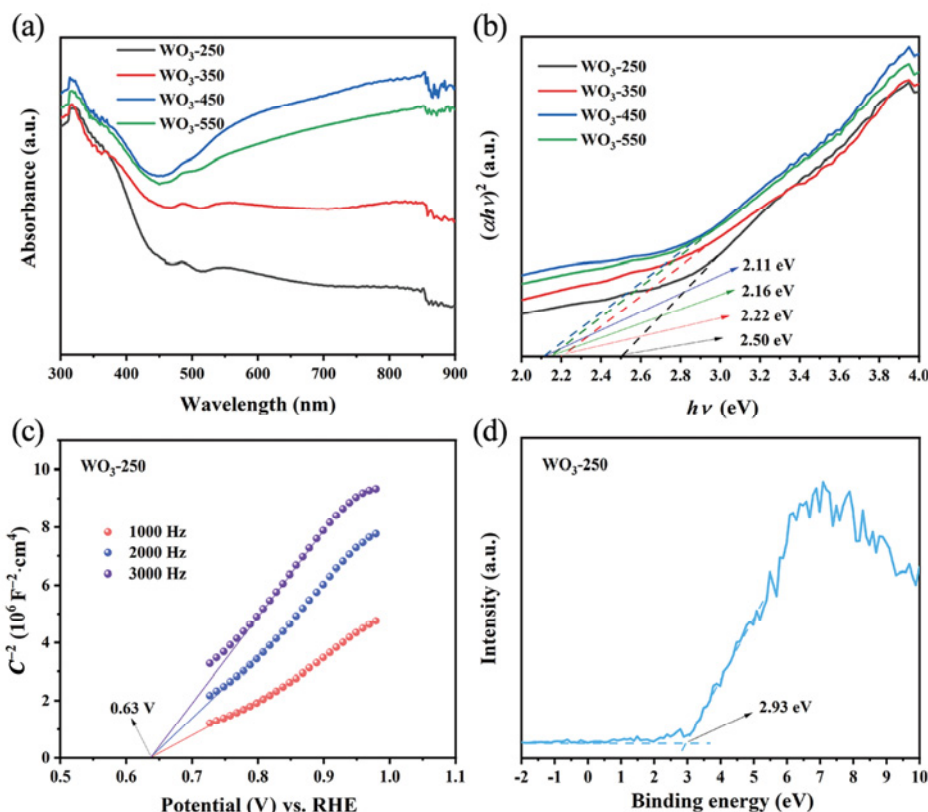
where  $C$  is the capacitance at the semiconductor/electrolyte interface,  $e$  ( $1.602 \times 10^{-19}$  C) is the electronic charge,  $\epsilon_0$  ( $8.834 \times 10^{-12}$  F·m<sup>-1</sup>) is the vacuum permittivity,  $\epsilon$  (300) is the dielectric constant of WO<sub>3</sub>,  $E$  is the applied voltage,  $E_{fb}$  is the flat band potential,  $k$  is the Boltzmann constant,  $T$  is the absolute temperature, and  $N_d$  is the charge carrier concentration.

As shown in Fig. 6(e), the positive slopes for all WO<sub>3</sub> samples exhibit the nature of n-type semiconductors, in which electrons are the dominant charge carriers. Importantly, WO<sub>3</sub>-350, -450, and -550 show smaller slopes than WO<sub>3</sub>-250, which means that the construction of phase junctions can significantly increase the concentration of carriers. WO<sub>3</sub>-450 shows the highest  $N_d$  value, about 600 times that of WO<sub>3</sub>-250, which is in very good agreement with the EIS results, suggesting that more holes can migrate to the surface to participate in the redox reaction of water, thus enhancing the PEC performance. The SPV spectrometry is used to analyze the transfer pathway of carriers in the WO<sub>3</sub> photoanodes. The signal of SPV is attributed to the change in surface potential barrier before and after illumination, and the signal intensity increases with the separation and transfer efficiency of photogenerated charges. The positive photovoltage signal illustrates that all samples have the characteristics of n-type semiconductors (Fig. 6(f)). WO<sub>3</sub>-250 exhibits a weak SPV response in 300–460 nm, while other WO<sub>3</sub> samples with phase transition show much stronger SPV intensity. This clearly suggests that the construction of phase junction improves the separation and transfer of photogenerated charges in WO<sub>3</sub>. It is generally believed that the migration of holes to the catalyst surface is accompanied by a decreasing phase angle towards 0°. WO<sub>3</sub>-450 exhibits the strongest

SPV intensity with more negative phase angles (Fig. S11 in the ESM). This indicates that the separation and transfer of charge carriers are greatly promoted by o-WO<sub>3</sub>/m-WO<sub>3</sub> phase junction structure, which contributes to the enhanced photocatalytic performance.

The UV–Vis diffuse reflectance spectroscopy is used to evaluate the light absorption properties of the catalysts. As shown in Fig. 7(a), all samples exhibit strong absorption in the UV region. The absorption band edge of WO<sub>3</sub>-250 and WO<sub>3</sub>-350 appears at about 500 and 550 nm, respectively, with a weak band-tail absorption region derived from the effects of lattice defects [43]. Whereas WO<sub>3</sub>-450 and WO<sub>3</sub>-550 show a wide range of absorption from the UV to near-infrared regions, where the light absorption intensity even reaches the intrinsic absorption of the samples. This is because the presence of oxygen vacancies leads to the formation of defect energy levels, narrowing the WO<sub>3</sub> band gap and broadening the light absorption range [44]. Figure 7(b) shows the WO<sub>3</sub> band gap determined by the Kubelka–Munk equation and the Tauc plot equation. WO<sub>3</sub>-250 is of pure orthorhombic phase with a band gap value estimated to be about 2.50 eV [45,46]. Its flat band potential ( $E_{fb}$ ) is determined to be 0.63 V (vs. RHE) (Fig. 7(c)) by extrapolating the linear region of the M–S plot. For n-type semiconductors, it is generally assumed that  $E_{fb}$  is about 0.2 eV more positive than the conduction potential ( $E_{CB}$ ) [46], and thus the  $E_{CB}$  of WO<sub>3</sub>-250 can be discerned to be 0.43 V (vs. RHE). The valence band (VB) of WO<sub>3</sub>-250 is obtained as 2.93 eV by extrapolating the linear part to the baseline of the XPS VB spectrum in Fig. 7(d), which is consistent with the estimated band gap value [47]. It shows that the band gap value of monoclinic phase WO<sub>3</sub> is approximately 2.30 eV [48]. With the gradual transition from o-WO<sub>3</sub> to m-WO<sub>3</sub>, the band gap of WO<sub>3</sub> photoelectrodes decreases, with that of WO<sub>3</sub>-450 being the minimal. This is because the introduction of oxygen vacancies narrows the band gap and greatly improves the utilization of visible light in WO<sub>3</sub>-450.

To elucidate the interfacial interaction between o-WO<sub>3</sub> and m-WO<sub>3</sub> in the o-WO<sub>3</sub>/m-WO<sub>3</sub> junction at the atomic level, the DFT calculations were performed with o-WO<sub>3</sub>, m-WO<sub>3</sub>, and o-WO<sub>3</sub>/m-WO<sub>3</sub> junction as models. The band structures and density of states (DOS) of both o-WO<sub>3</sub> and m-WO<sub>3</sub> models are calculated on the basis of the XRD refinement. The results are presented in Figs. 8(a) and 8(b). According



**Fig. 7** (a) UV–Vis absorption spectra and (b) corresponding optical band gaps (where  $\alpha$  in the Y-axis title represents the absorbance,  $h$  represents the Planck constant, and  $\nu$  represents the frequency); (c) M–S plot and (d) VB spectrum of  $\text{WO}_3$ -250.

to the energy band diagram, o- $\text{WO}_3$  has a direct band gap of about 1.94 eV, close to the experimental optical band gap (2.5 eV) with a significant underestimation [49]. Similarly, m- $\text{WO}_3$  shows a direct band gap of 1.76 eV. From the DOS results, it can be seen that the VB of  $\text{WO}_3$  is mainly occupied by W 5d and O 2p orbitals in the range from  $-7$  to 0 eV. Above the Fermi energy level ( $E_F$ ), the conduction band (CB) of o- $\text{WO}_3$  consists of W 5d and O 2p orbitals, while that of m- $\text{WO}_3$  is dominated by W 5d orbital. The redox capacity of the semiconductor is assessed by VB and CB positions. The  $E_{CB}$  and VB edge potentials ( $E_{VB}$ ) of the two semiconductors at the zero charge point are roughly calculated according to Eqs. (6) and (7) [50,51]:

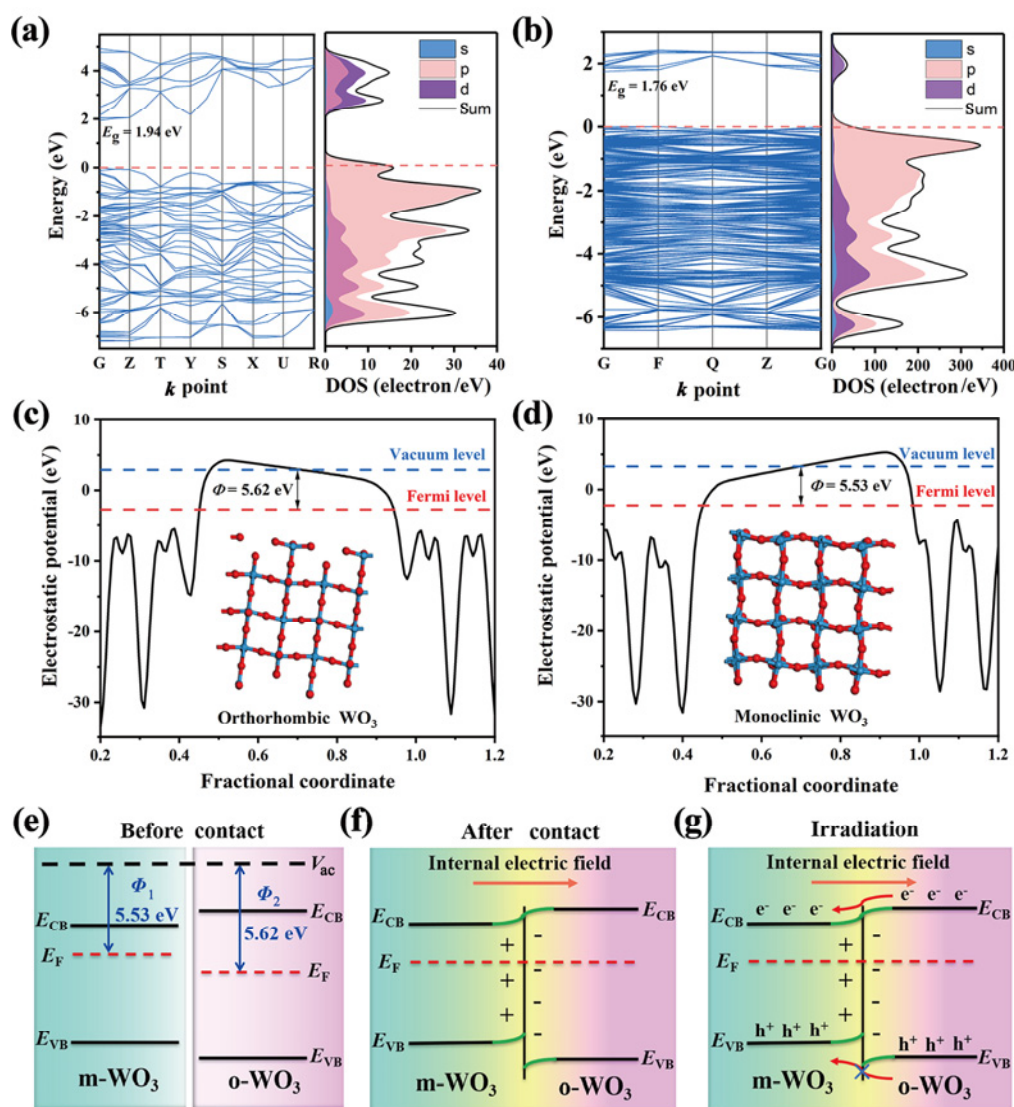
$$E_{CB} = \chi - E_e - 0.5E_g \quad (6)$$

$$E_{VB} = E_{CB} + E_g \quad (7)$$

where  $\chi$  is the absolute electronegativity of the semiconductor, which is 6.53 eV for  $\text{WO}_3$ ;  $E_e$  (4.5 eV) is the energy of free electron at hydrogen scale; and  $E_g$  is the band gap of the semiconductor. The band gaps calculated for o- $\text{WO}_3$  and m- $\text{WO}_3$  are 1.94 and 1.76 eV,

respectively. Thus, the band edge positions of CB and VB of o- $\text{WO}_3$  are 1.06 and 3.00 eV, respectively. Similarly, the potentials of CB and VB of m- $\text{WO}_3$  are 1.15 and 2.91 eV, respectively.

In a phase junction system, the Fermi level of semiconductor affects the charge transfer path. Accordingly, the work functions ( $\Phi$ ) of o- $\text{WO}_3$  and m- $\text{WO}_3$  were calculated by using the first-principles DFT [52,53]. In Figs. 8(c) and 8(d), the electrostatic potentials on the surfaces of o- $\text{WO}_3$  (100) and m- $\text{WO}_3$  (001) are displayed. It is clear that the work functions of o- $\text{WO}_3$  and m- $\text{WO}_3$  are 5.62 and 5.53 eV, respectively. The calculation results of the work functions are related to the construction of the calculation model and the selection of some corresponding parameters (such as cut-off energy). When the two phases are in contact, since o- $\text{WO}_3$  has a higher work function and a lower Fermi level than m- $\text{WO}_3$ , electrons migrate from m- $\text{WO}_3$  to o- $\text{WO}_3$  until the Fermi level reaches equilibrium. At this point, o- $\text{WO}_3$  is negatively charged, while m- $\text{WO}_3$  is positively charged at the interface. The energy band of o- $\text{WO}_3$  bends downward, while that of m- $\text{WO}_3$  upward. Therefore, a built-in electric

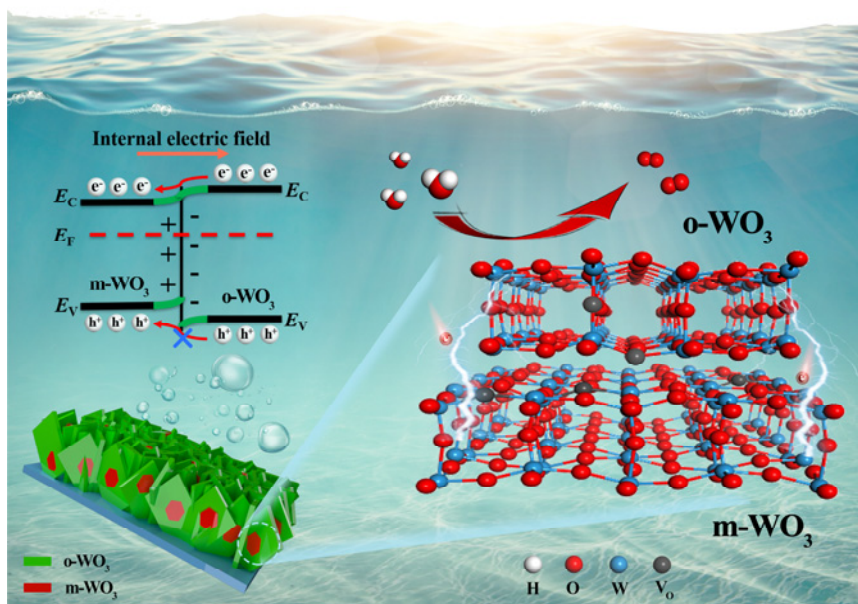


**Fig. 8** Calculated band structures and work functions of (a, c) o-WO<sub>3</sub> and (b, d) m-WO<sub>3</sub>; (e–g) schematic diagrams of the formation process of the o-WO<sub>3</sub>/m-WO<sub>3</sub> electron transfer mechanism.

field is formed, and a band edge bending occurs [54–56]. After excitation by incident light, the o-WO<sub>3</sub>/m-WO<sub>3</sub> phase junction has a staggered arrangement of energy band structure at the interface, the photogenerated electrons from o-WO<sub>3</sub> are injected into the CB of m-WO<sub>3</sub>, while the photogenerated holes on the VB of o-WO<sub>3</sub> are not transferred to m-WO<sub>3</sub>, owing to the existence of electric field repulsion and energy band barrier. The formation mechanism of o-WO<sub>3</sub>/m-WO<sub>3</sub> phase junction is shown in Figs. 8(e)–8(g). The presence of internal electric field facilitates the separation of photogenerated electron–hole pairs, which in turn improves the photocatalytic activity of WO<sub>3</sub> [57]. To reveal the charge transfer path at the o-WO<sub>3</sub>/m-WO<sub>3</sub> junction interface, the charge difference density of the

o-WO<sub>3</sub>/m-WO<sub>3</sub> junction is calculated. The charge redistribution occurs mainly at the interface between o-WO<sub>3</sub> and m-WO<sub>3</sub>. As shown in Fig. S12 in the ESM, where the red and blue regions represent the charge accumulation and depletion, respectively, o-WO<sub>3</sub> surface is mainly blue, while m-WO<sub>3</sub> surface is mainly red, indicating a flow of electron from o-WO<sub>3</sub> to m-WO<sub>3</sub>, which leads to the built-in electric field in the o-WO<sub>3</sub>/m-WO<sub>3</sub> phase junction structure.

On the basis of the experimental results and DFT calculations, it is strongly demonstrated that the o-WO<sub>3</sub>/m-WO<sub>3</sub> phase junction significantly improves the photocatalytic activity, with the enhancement mechanism shown in Fig. 9. The o-WO<sub>3</sub>/m-WO<sub>3</sub> phase junctions are constructed during the phase transition



**Fig. 9** Diagram of photoelectrocatalytic water splitting on o-WO<sub>3</sub>/m-WO<sub>3</sub> phase junction.

based on the variable crystal phases of tungsten oxide. The formation of this phase interface effectively reduces the interface tension and defects caused by lattice mismatch, enhances the interface charge transfer, suppresses the charge recombination caused by defects as the recombination center, and realizes the low-defect and high-quality catalyst. Meanwhile, the o-WO<sub>3</sub>/m-WO<sub>3</sub> phase junction with a crossed energy band arrangement structure realizes the rapid separation of photogenerated carriers and reduces the degree of electron–hole recombination. Furthermore, the oxygen vacancies, as surface active sites, effectively capture photogenerated electrons, improve the chemisorption ability of WO<sub>3</sub> towards water molecules, and promote the injection of photogenerated electrons into water molecules, thus increasing the activity of water splitting. The efficient separation and transfer of photocarriers are enhanced by the perfect phase junction interface and the well-matched staggered arrangement of energy band structures, thus significantly enhancing the redox capacity of the o-WO<sub>3</sub>/m-WO<sub>3</sub> junction.

#### 4 Conclusions

In summary, WO<sub>3</sub> system with monoclinic–orthorhombic phase junction is constructed by temperature-dependent phase transition through annealing treatment. The intimate phase junction interface and internal electric field modulate o-WO<sub>3</sub>/m-WO<sub>3</sub> photoelectrode

for boosting photoelectrocatalytic water splitting performance. The least lattice mismatch at the o-WO<sub>3</sub>/m-WO<sub>3</sub> phase interface reduces the lattice distortion and promotes the formation of phase junctions. The o-WO<sub>3</sub>/m-WO<sub>3</sub> phase junction with a staggered arrangement band structure dominates the charge transfer kinetics of photogenerated carrier separation, which is the fundamental reason for the improved hydrogen production activity. Meanwhile, oxygen vacancies act as a bridge connecting the phase junction for water splitting reaction, facilitating the transfer of electrons to water molecule. The o-WO<sub>3</sub>/m-WO<sub>3</sub> phase junction demonstrates oxygen production rate of 7.93  $\mu\text{mol}\cdot\text{cm}^{-2}\cdot\text{h}^{-1}$  under visible light irradiation, approximately four times that of pristine WO<sub>3</sub>. High catalytic activity and structural stability were maintained after five cycle tests. This work presents a facile synthesis strategy for the construction o-WO<sub>3</sub>/m-WO<sub>3</sub> phase junctions, and provides new insights into the rational design of phase junctions and the improvement of the intrinsic activity of semiconductor catalysts.

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### Declaration of competing interest

The authors have no competing interests to declare that are relevant to the content of this article.

### Electronic Supplementary Material

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