



REVIEW

A Narrative Review of STAT Proteins in Diabetic Retinopathy: From Mechanisms to Therapeutic Prospects

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ABSTRACT

Diabetic retinopathy (DR), a blinding disease, is one of the high-incidence chronic complications of diabetes. However, the current treatment for DR is mainly based on advanced pathological changes, which cannot reverse pre-existing retinal tissue damage and visual impairment. Signal transducer and activator of transcription (STAT) proteins are essential in DR through early and late stages. They participate in the early stage of DR through multiple mechanisms and have a strong proangiogenic effect in the late stage. Inhibiting STAT proteins

activity has also achieved a significant effect in reversing the pathological changes of DR. Thus, STAT proteins are expected to be an effective therapeutic target in the early stage of DR and can make up for inadequate late treatment. This review introduces the structure, signal transduction mode, and biological functions of STAT proteins in detail and focuses on their role in the mechanism of DR. We also summarize the current research on STAT-related biological agents in DR, aiming to provide a theoretical basis for the treatment of DR.

Keywords: Diabetic retinopathy; JAK-STAT signalling pathway; STAT inhibitors; STAT proteins

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Key Summary Points

The current treatment of diabetic retinopathy (DR) is mainly aimed at the pathological changes in the advanced disease stage, resulting in limited curative effect and irreversible early neurodegeneration. Finding new effective targets to prevent and treat DR is an urgent problem.

Signal transducer and activator of transcription (STAT) proteins are involved in the early stage of DR through various mechanisms, including damage to the inner and outer blood retinal barrier, regulation of the activation of immune cells, increased expression of inflammatory mediators, and enhanced oxidative stress, resulting in retinal neurovascular unit damage.

STAT proteins have a strong proangiogenic effect in the late stage of DR. STAT inhibitors show significant therapeutic effects.

STAT proteins are expected to be an effective therapeutic target in the early stage of DR and can make up for inadequate late treatment.

INTRODUCTION

Diabetic retinopathy (DR), including nonproliferative DR (NPDR) and proliferative DR (PDR), is a type of neurovascular complication of diabetes. NPDR occurs at an early stage and is characterized by increased vascular permeability, while the PDR is characterized by late angiogenesis. The neurovascular unit (NVU) of the retina consists of neurons (optical cells, bipolar cells, ganglion cells, horizontal cells, and amacrine cells), glial cells (Müller cells, astrocytes, and microglia), and vascular cells [endothelial cells (ECs) and pericytes] (Fig. 1).

The anatomical and functional homeostasis of these cells is disrupted in DR, leading to disease progression and irreversible blindness [1]. Research indicated that nearly one billion people worldwide had diabetes in 2019, and this number is expected to increase by 25% by 2030 and by 51% by 2045 [2]. DR is the most common cause of new cases of blindness in adults aged 20–74. Nearly all patients with type 1 diabetes (T1D) and more than 60% of those with type 2 diabetes (T2D) have DR in the first 20 years of diabetes [3]. Currently, patients with DR receive various treatments, including systemic control of blood sugar and blood pressure, surgery, laser photocoagulation, and intravitreal injection of steroids or anti-vascular endothelial growth factor (VEGF) drugs [4, 5], but these treatments are mainly aimed at the pathological changes in advanced DR, resulting in irreversible structural abnormalities and visual impairment, with many adverse effects [5]. The diversity of molecules involved in the pathogenesis of DR leads to the unsatisfactory effect of single-molecule drug treatments, such as anti-VEGF therapy. Therefore, there are still many challenges in the treatment of DR, and finding new targets that are more comprehensively involved in the pathogenesis of DR and more effective is an urgent problem.

Signal transducer and activator of transcription (STAT) proteins, including STAT1, STAT2, STAT3, STAT4, STAT5a, STAT5b, and STAT6, are a unique family of DNA-binding proteins. They are of great significance in cell proliferation, differentiation, apoptosis, inflammation induction, immune regulation, tumorigenesis, etc. In addition, the biological functions of each STAT protein are different [6].

In this review, we introduce the STAT proteins in detail from aspects of structure, signal transduction mode, and biological function and comprehensively summarize their mechanism in the occurrence and development of DR. Furthermore, we emphasize the potential role of STAT-related biologics in the specific therapy of DR, providing new insights into the treatment of DR.

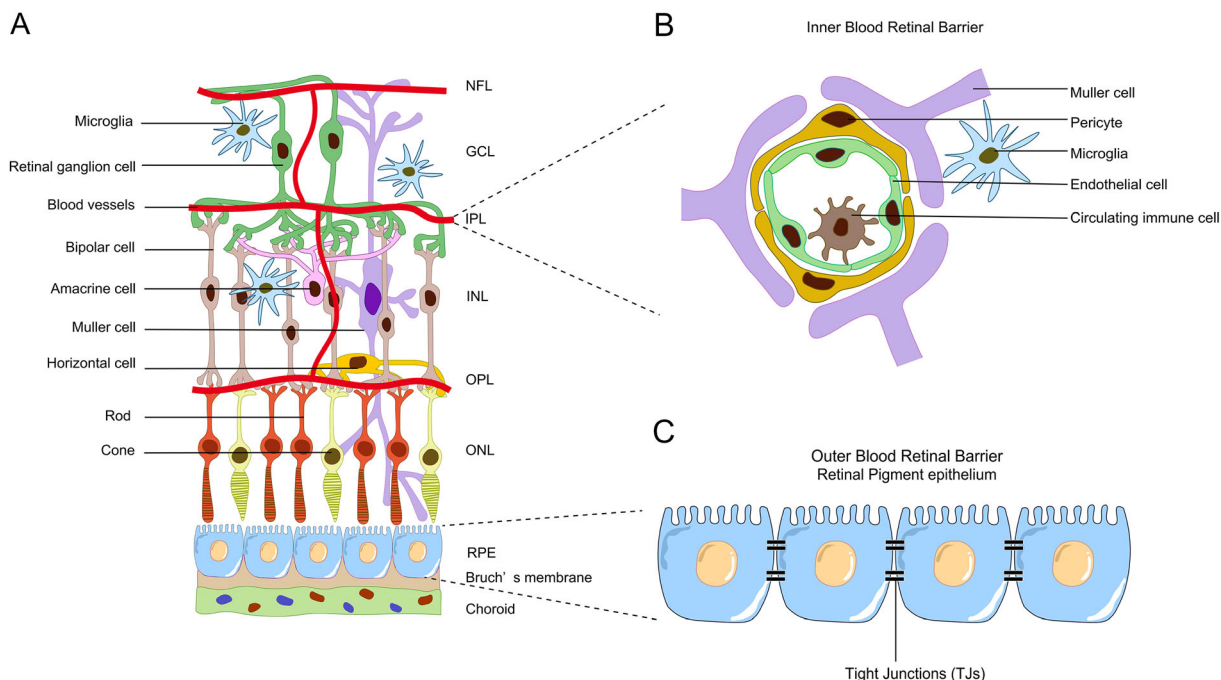


Fig. 1 Drawing of the retinal neurovascular unit (NVU) and the blood retinal barrier. **a** Structure of the retinal NVU. The retinal NVU consists of neurons (rod, cone, bipolar cell, retinal ganglion cell, horizontal cell, and amacrine cell), glial cells (Müller cell and microglia), and blood vessels. *NFL* nerve fiber layer, *GCL* ganglion cell layer, *IPL* inner plexiform layer, *INL* inner nuclear layer,

OPL outer plexiform layer, *ONL* outer nuclear layer, *RPE* retinal pigment epithelium. **b** Structure of the inner blood retinal barrier (iBRB). The iBRB is mainly composed of capillary endothelial cells. Pericytes and endothelial cells are in close contact. **c** Structure of the outer blood retinal barrier (oBRB). The oBRB mainly consists of tight junctions between RPE cells

METHODS

Combining the keywords “signal transducer and activator of transcription proteins” and “diabetic retinopathy”, we searched related articles published in PubMed up to July 2022, obtaining a total of 78 articles (including seven reviews). Among them, 50 articles indicating that STAT proteins are correlated or insufficiently correlated with DR were included in this review. Searches were not limited by study design and only articles published in the English language were included.

This article is based on previously conducted studies and does not contain any new studies with human participants or animals performed by any of the authors.

STRUCTURE, SIGNAL TRANSDUCTION MODE, AND BIOLOGICAL FUNCTIONS OF STAT PROTEINS

Structures of STAT Proteins

STAT proteins are a transcription factor family composed of STAT1, STAT2, STAT3, STAT4, STAT5a, STAT5b, and STAT6. Among them, STAT1 and STAT3 both have two functionally distinct splicing isomers due to alternative splicing. STAT proteins have six distinct regions, and each of them carries out different important functions: (1) The N-terminal domain (NTD) promotes the formation of dimers and tetramers, which enable them to bind to transcription factors. Additionally, the NTD can regulate the nuclear import of STAT

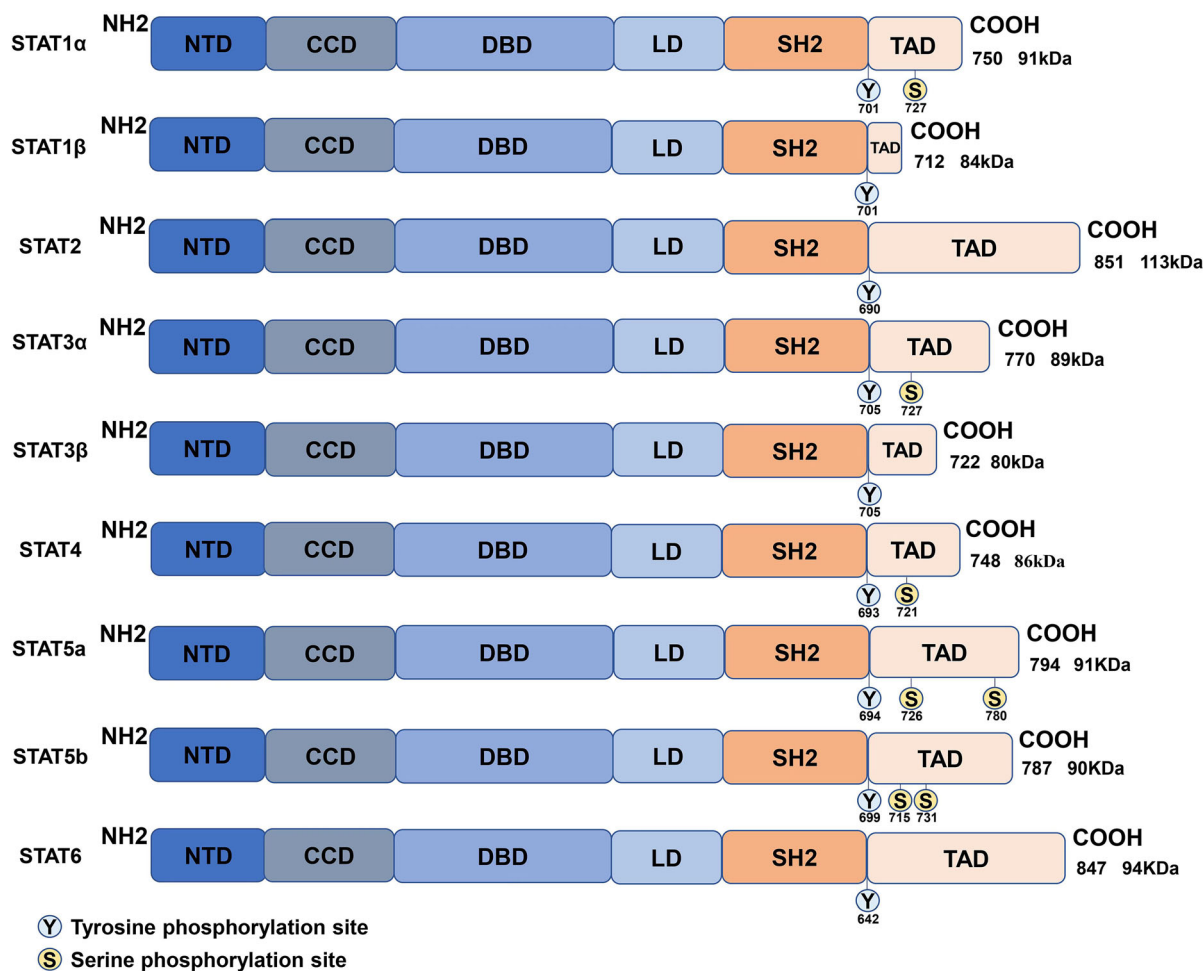


Fig. 2 Structure, the number of amino acids, size, and phosphorylation site of each STAT protein. STAT proteins consist of 700–900 amino acids. The size of STAT proteins ranges from 80 to 120 kDa. *NTD*

N-terminal domain, *CCD* coiled-coil domain, *DBD* DNA-binding domain, *LD* linker domain, *SH2D* Src homology 2 domain, *TAD* transcriptional activation domain

proteins [7]. (2) The coiled-coil domain (CCD) participates in nuclear translocation processes [8] and interacts with interferon regulatory factor-9 (IRF-9), N-myc-interactor (Nmi), and other regulatory proteins to enhance STAT protein-dependent transcription [9, 10]. (3) The DNA-binding domain (DBD) is used to identify and bind to specific DNA sequences of target genes. Similar to the NTD and CCD, the DBD also manages the nuclear import and export of STAT proteins [7, 11]. (4) The α -helical linker domain (LD) regulates the transcriptional activity of STAT proteins and is involved in nuclear export and DNA binding [12, 13]. (5)

The Src homology 2 domain (SH2D) is a significant conserved domain of STAT proteins, mediating the interaction between STAT proteins and Janus kinase (JAK). The SH2D also mediates the formation of dimers after it is phosphorylated by receptor-related tyrosine kinases [14]. (6) The C-terminal transcriptional activation domain (TAD), which may be deleted as a result of alternative splicing, is the least conserved region. It contains specific residues phosphorylated during transcription activation and can recruit different coactivators. In addition, it is a target for protein ubiquitination, regulating the stability of proteins [15]. The

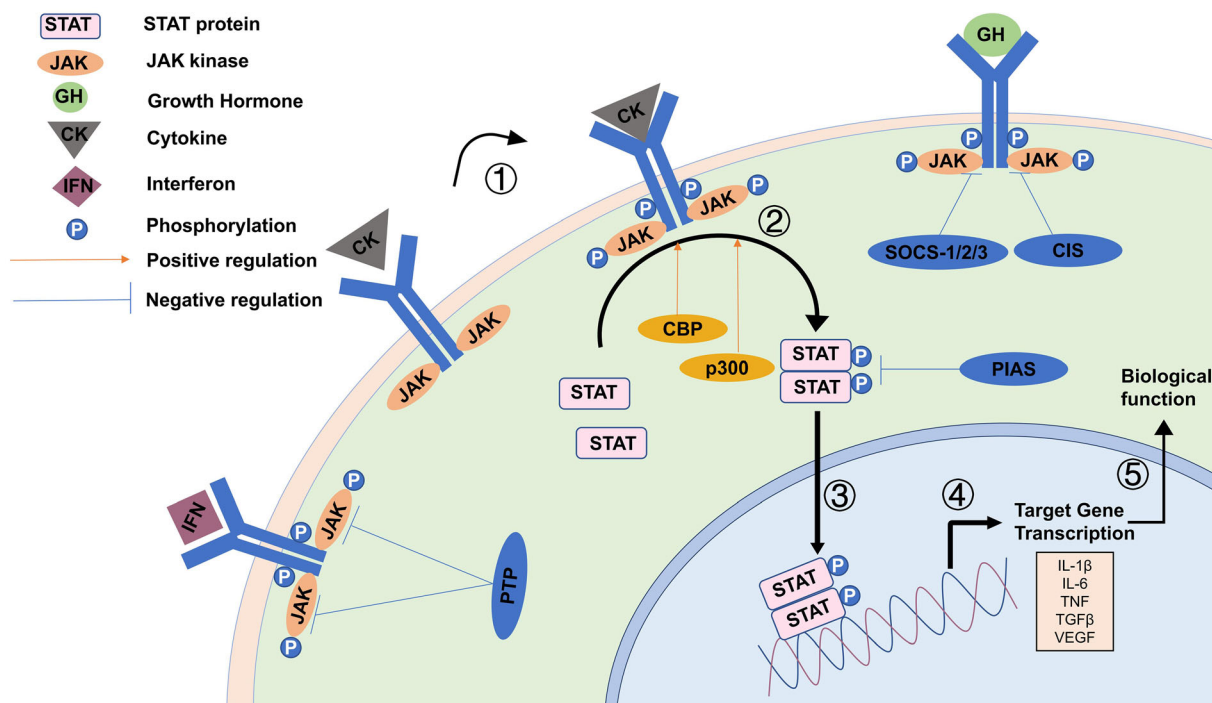


Fig. 3 Signalling and regulation of the JAK-STAT pathway. Black arrows indicate the signalling process. (1) Interferon (IFN), cytokines (CK), or growth hormone (GH) bind to receptors to form receptor–ligand complexes that activate receptors and further phosphorylate Janus kinase (JAK). (2) STAT proteins are activated and form homodimers or heterodimers. (3) STAT homodimers transfer to the nucleus. (4) STAT proteins act as part of a transcription factor complex, controlling the transcription of interleukin-6 (IL-6), interleukin-10 (IL-10), tumor necrosis factor (TNF), transforming growth factor- β (TGF β), vascular endothelial growth factor (VEGF), and

so on. (5) Exerting biological effects. Suppressor of cytokine signalling (SOCS)-1/2/3 and cytokine-inducible SH2 proteins (CIS) can negatively regulate the JAK-STAT pathway by inhibiting activation of GH–receptor–JAK complex. Protein inhibitor of activated STAT (PIAS) negatively regulates the activation of the STAT proteins. The SH domain in protein tyrosine phosphatases (PTPs) can bind to signalling molecules, activated receptors, and JAK to dephosphorylate the substrates. CREB-binding protein (CBP) and p300 are essential coactivators of one of the STAT proteins

number of amino acids, the phosphorylation site, and the size of each STAT protein are shown in Fig. 2.

Signal Transduction Mode of STAT Proteins

STAT proteins are important components of the JAK-STAT signalling pathway. In addition to STAT proteins, the JAK-STAT system includes ligand–receptor complexes and JAK. The JAK family includes JAK1, JAK2, JAK3, and tyrosine kinase 2 (TYK2) [16, 17]. The process of classic

JAK-STAT signalling is as follows: first, interferons (IFNs) [18], interleukin-6 (IL-6) [19], somatotropin (GH) [20], etc. can bind to receptors outside the cell membrane to form ligand–receptor complexes to activate receptors. Then, receptors transmit signals to JAK, which phosphorylates JAK. Furthermore, phosphorylated JAK phosphorylates STAT proteins. Finally, homodimers or heterodimers are formed and transferred to the nucleus, controlling the transcription of genes such as interleukin-1 β (IL-1 β), IL-6, and tumor necrosis factor alpha (TNF α) [21] as a part of the transcription factor

Table 1 The molecules that activate STAT proteins and the biological functions mediated by STAT proteins

STATs family	Activating factor	Biological function	Year of publication	Researcher
STAT1	IL-6, IL-9, TNF, TGFβ-1, FGF, PDGF, EGF	Maintain the homeostasis of HSC [37]	2022	Li et al.
		Participate in tumor occurrence [26]	2012	Khodarev et al.
		Regulate immune response [38]	2006	Mikhak et al.
		Regulate cell growth and apoptosis [22]	1996	Chin et al.
STAT2	IFN-1	Promote tumor occurrence [39]	2020	Lee et al.
		Promote inflammation [40]	2020	Yu et al.
STAT3	IL-6 family, IL-10 family, IL-9, IL-21, G-CSF, leptin, FGF, EGF, IFN-1	Promote the differentiation of Th17 cells [41]	2020	Damasceno et al.
		Participate in tumor occurrence [28]	2019	Zhang et al.
		Regulate mitochondrial function [42]	2014	Meier et al.
STAT4	IL-12, IFN-1, IL-23, IL-2, IL-27, IL-35, IL-18, IL-21	Participate in tumor occurrence [43]	2020	Yang et al.
		Initiate the differentiation of naive CD4 ⁺ T cells into T helper type 1 (Th1) cells [44]	1995	Jacobson et al.
STAT5	IL-3, IL-2, EPO	Regulate cell growth and apoptosis [25]	2012	Du et al.
		Participate in tumor occurrence [27]	2009	Yu et al.
		Regulate immune response [31]	2001	Kagami et al.
		Regulate lipid metabolism [35]	1997	Kaltenecker et al.
STAT6	IL-4, IL-13	Participate in the occurrence of allergic diseases [46]	2002	Kuperman et al.
		Regulate inflammation and immune response [47]	1996	Shimoda et al.

IL interleukin, *TNF* tumor necrosis factor, *TGFβ-1* transforming growth factor-β1, *FGF* fibroblast growth factor, *PDGF* platelet-derived growth factor, *EGF* epidermal growth factor, *IFN* interferon, *G-CSF* granulocyte colony-stimulating factor, *EPO* erythropoietin, *HSC* hematopoietic stem cell

complex, thereby affecting the biological function of cells (Fig. 3).

Biological Functions of STAT Proteins

STAT proteins have a variety of biological functions, and each STAT protein has different biological effects. We summarize the signalling

molecules transduced by each STAT protein (Table 1) and classify their multiple biological functions into the following four aspects.

STAT Proteins can Regulate Cell Proliferation, Differentiation, and Apoptosis

STAT1 can respond to interferon- γ (IFN γ) and other cytokines to negatively regulate cell growth by inducing the cyclin-dependent kinase (CDK) inhibitor P21 [22]. In addition, by upregulating the expression of factor-related apoptosis (Fas) and factor-related apoptosis ligand (FasL), STAT1 can also participate in apoptosis mediated by IFN γ , which induces the inhibition of cell growth [23]. Research has shown that STAT5 can participate in cell growth and the progression of the cell cycle by reducing mitochondrial membrane potential and generating reactive oxygen species. In addition, STAT5b had a greater effect on cell apoptosis than STAT5a did [24]. STAT5b can also participate in cell growth and the progression of the cell cycle by regulating the expression of B cell lymphoma-sss2 (Bcl-2), p21, p27, and VEGF [25].

STAT Proteins are Involved in Tumorigenesis

Some studies have suggested that the expression of STAT1 can promote the invasive growth of tumors [26]. In addition, continuously activated STAT3 α and STAT5 can increase the proliferation, survival, and invasion of tumor cells and inhibit antitumor immunity [27]. Interestingly, STAT3 α was thought to be helpful in the mechanism of tumor immune escape, while STAT3 β was thought to be a negative regulator of tumors [28].

STAT Proteins can Regulate Immune Function and the Inflammatory Response

STAT proteins affect the development and differentiation of T cells. By upregulating the levels of NLRC5 and major histocompatibility complex 1 (MHC-I), STAT1 can protect T cells from being eliminated by NK cells [29], which plays an important role in the survival of T cells. Studies have found that STAT3 promotes the differentiation of Th17 cells via the reversible S-palmitoylation pathway on cysteine [30]. In

addition, STAT5a can promote the differentiation of CD4⁺ T cells into Th2 cells, regulate the development of CD4⁺CD25⁺ regulatory T cells [31], and inhibit the differentiation of Th17 cells [32]. In addition, research has shown that STAT6 regulates the expression of inflammatory cytokines and chemokines by preventing the activation of nuclear factor kappa-B (NF- κ B) [33].

STAT Proteins can Regulate Lipid Metabolism

STAT proteins participate in lipid metabolism in adipocytes. Activated STAT1 can mediate the effect of IFN γ on reducing insulin sensitivity and inhibiting the differentiation of human adipocytes [34]. STAT5 plays an important role in maintaining lipid homeostasis in white adipose tissue. Loss of STAT5 in adipocytes leads to obesity, insulin resistance, and decreased gluconeogenesis [35]. In addition, some research has suggested that STAT3 also participates in the regulation of lipid metabolism, including blocking the expression of various lipid metabolism genes by inhibiting the JAK-STAT3 pathway [36].

STAT PROTEINS ARE UPREGULATED AND ACTIVATED IN DR

STAT proteins [41], as important signal transduction molecules and transcriptional regulators of various cytokines, hormones, and growth factors, have been shown to be dysregulated in the serum and ocular tissues of patients with diabetes [48, 49]. Significant increases in STAT5 were found in ocular surface tissues from patients with T1D via conjunctival impression cytology specimens [50]. In addition, the evidence that STAT proteins are upregulated in DR has gradually emerged. Glucose variability (GV) is a contributing factor to diabetic complications, and increased GV predicts PDR and diabetic macular edema in patients with T2D. Notably, studies have shown that GV-related genes are significantly enriched in the JAK-STAT pathway. Gene prioritization analysis identified a high correlation between STAT3 and GV [51], which was carried out using the ToppGene system (BMI CCHMC,

Table 2 The upregulations of STAT proteins in DR

Researcher	Year of publication	Research object	Research groups	Sample	Detection method	Result	Statistical significance
Liang et al.	2022	Human	HC (<i>n</i> = 20) vs DR (<i>n</i> = 56)	Venous blood	RT-qPCR	DR group's STAT3 increased [56]	<i>P</i> < 0.05
		Human	D (<i>n</i> = 20) vs DR (<i>n</i> = 56)	Venous blood	RT-qPCR	DR group's STAT3 increased [56]	<i>P</i> < 0.05
		Human	HC (<i>n</i> = 20) vs PDR (<i>n</i> = 22)	Venous blood	RT-qPCR	DR group's STAT3 increased [56]	<i>P</i> < 0.05
		Human	HC (<i>n</i> = 20) vs PrePDR (<i>n</i> = 16)	Venous blood	RT-qPCR	DR group's STAT3 increased [56]	<i>P</i> < 0.05
		Human	HC (<i>n</i> = 20) vs DME (<i>n</i> = 41)	Venous blood	RT-qPCR	DR group's STAT3 increased [56]	<i>P</i> < 0.05
Cho et al.	2022	Mice	Normal mice vs STZ mice	Retina	Western blot	STZ mice's p-STAT3 increased [55]	<i>P</i> < 0.001
		Mice	NG vs HG	Retina	Western blot	HG group's p-STAT3 increased [55]	<i>P</i> < 0.05
		Mice	NG vs HG	Retina	Western blot	HG group's p-STAT5 increased [55]	<i>P</i> < 0.01
Li et al.	2021	Mice	Normal group vs DR group	Retina	RT-qPCR	DR group's expression of STAT1 mRNA increased [57]	<i>P</i> < 0.05

Table 2 continued

Researcher	Year of publication	Research object	Research groups	Sample	Detection method	Result	Statistical significance
Jiao et al.	2019	Cell	MN-treated hRECs vs HG-treated hRECs	hRECs	Western blot	p-STAT1 increased in the HG-treated hRECs [58]	$P < 0.05$
Wang et al.	2012	Rat	LG vs HG	rMC-1 cells	Western blot	HG condition induced upregulations of STAT3 and p-STAT3 [54]	$P < 0.05$
Kim et al.	2009	Rat	Normal rats vs diabetic rats	Retina	Immunohistochemical staining	DM group's STAT5 increased [53]	–

HC healthy controls, *DR* patients with diabetes and diabetic retinopathy, *D* patients with diabetes without diabetic retinopathy, *PDR* people with proliferative diabetic retinopathy, *PrePDR* people with preproliferative diabetic retinopathy, *DME* people with diabetic macular edema, *STZ mice* mice with streptozotocin injection, *NG* normal glucose group, *HG* high glucose, *MN* mannitol, *hRECs* human microvascular retinal endothelial cells, *LG* low glucose, *rMC-1* mouse retinal Müller cells, *RT-qPCR* real-time quantitative polymerase chain reaction, *n* number of people, *P* value

Cincinnati, OH, USA) [52] and was based on protein–protein interaction network analyses, demonstrating that STAT proteins are important players in GV. In addition, abnormally high expression levels of STAT1, STAT3, and STAT5 were detected in the serum of patients with DR, retinas of diabetic rats and mice, ECs, Müller cells, and retinal pigment epithelium (RPE) cells induced by high glucose [53–58]. After other systemic and ocular diseases were excluded, the serum level of STAT3 was found to be significantly higher in patients with DR (including 18 NPDR, 22 PDR, and 16 PrePDR) than in healthy controls and patients with diabetes but without DR. In addition, serum STAT3 protein levels were also significantly higher in patients with PDR and patients with PrePDR compared with healthy controls. The investigators defined PrePDR as patients with four quadrants of severe retinal hemorrhage, two

quadrants of venous bleeding, and loss of capillary perfusion in fundus fluorescein angiography. The researchers did not compare patients with NPDR and healthy controls, thus it is unclear whether there is a significant difference between them [56]. A detailed summary of preclinical studies of STAT protein dysregulation in DR is provided in Table 2.

MECHANISMS OF STAT PROTEINS IN THE EARLY STAGE OF DR

STATs Cause Capillary Leakage by Damaging the Inner and Outer Blood Retinal Barrier

The blood retinal barrier (BRB) is divided into inner and outer layers (Fig. 1). The inner BRB (iBRB) is mainly composed of capillary ECs.

Pericytes and ECs are in close contact and are surrounded by a common basement membrane. The outer BRB (oBRB) mainly consists of tight junctions between RPE cells. The integrity of the iBRB and oBRB ensures the normal physiological function of ions, proteins, and water entering and leaving the retina [59]. Microvascular changes such as damage to ECs in the iBRB, shedding and loss of pericytes, and thickening of the retinal capillary basement membrane have been shown to appear in the early stage of DR. In contrast, studies on the oBRB in DR are limited, but dysfunction of RPE cells has also been observed. The destruction of the iBRB and oBRB eventually leads to capillary leakage, causing various substances in the circulation to enter the retinal parenchyma and triggering inflammatory and neurotoxic reactions [60, 61]. It is worth noting that STAT proteins can ultimately lead to the destruction of the iBRB and oBRB by affecting the tight junctions and the proliferation, apoptosis, and pyroptosis of ECs, pericytes, and RPE cells.

Mechanisms by Which STAT Proteins Impair the Outer Blood Retinal Barrier

STAT proteins can directly regulate the expression of tight junction proteins in RPE cells. Yang et al. found that angiopoietin-related protein 4 (ANGPTL4) mediated the permeability and migration ability of RPE cells in vitro. Blockade of ANGPTL4 or the use of the STAT3 inhibitor WP1066 inhibited phosphorylation of STAT3 in hypoxia-induced RPE cells, thereby increasing the expression levels of the tight junction proteins ZO-1 and occludin [62]. STAT3 was originally discovered as a component of the acute phase response factor complex upon IL-6 activation [63]. In the streptozocin (STZ) mouse model of diabetes, IL-6-affected RPE cells recruited microglia by increasing the expression of vascular endothelial growth factor A (VEGFA). Microglia, which were also affected by IL-6, upregulated multiple chemokines [monocyte chemoattractant protein 1 (MCP-1), macrophage inflammatory protein 1 α (MIP-1 α), and macrophage inflammatory protein 1 β (MIP-1 β)] to amplify their own recruitment and produced TNF α , which reduced the expression level of ZO-1 in RPE cells. STAT3 acts as a signal

transduction molecule when IL-6 is activated. Its inhibitor WP1066 reversed the effects of IL-6 treatment on microglia and RPE cells [61]. In addition, high-glucose-treated RPE cells could also reduce the expression of occludin and E-cadherin through the miR-132/JAK/STAT3 axis, affecting the mobility and permeability of RPE cells [64]. Moreover, STAT3 can also affect the survival of RPE cells. For example, miR-20b-5p enhanced the proliferation of RPE cells and reduced their apoptosis and pyroptosis by inhibiting the function of STAT3. However, this beneficial marker was downregulated in high-glucose-induced RPE cells and the serum of patients with DR [56]. In conclusion, the ANGPTL4-STAT3, IL-6-STAT3, miR-132-JAK-STAT3, and miR-20b-5p-STAT3 axes have important regulatory roles in the disruption of the oBRB and capillary leakage.

Mechanisms by Which STAT Proteins Impair the Inner Blood Retinal Barrier

STAT proteins can lead to vascular leakage by damaging retinal ECs. Studies have found that high-glucose-induced microglia produce IL-6 to induce the activation of STAT3 in retinal ECs. Activated STAT3 binds to the VEGF promoter and enhances the transcription and expression of VEGF, resulting in decreased expression levels of ZO-1 and occludin and increased EC permeability [65]. Angiopoietin-1 (ANG-1) reverses this effect because it induces SH2-containing protein tyrosine phosphatase-1 (SHP-1) to dissociate from tyrosine-protein kinase receptor (TIE-2) and increases SHP-1 binding to STAT3, thereby downregulating STAT3 activation, resulting in decreased VEGF transcription and restoration of tight junction factor expression [66]. Similarly, miR-146a can reverse the effect of IL-6 on ECs. Its mechanism is to reduce the expression levels of STAT3 and VEGF in high-glucose-induced ECs, thus leading to a reduction in apoptosis [67]. miR-216a can also protect ECs in DR from damage by inhibiting the JAK-STAT pathway [68]. In contrast, miR-19b can promote the proliferation, apoptosis, and inflammation of retinal ECs under high glucose by inhibiting the expression of SOCS6 and thus activating the JAK2-STAT3 pathway, while maternally expressed gene 3 (MEG3), an

important long noncoding RNA (lncRNA), can inhibit this effect of miR-19b [69]. In addition, STAT proteins can disrupt the function of pericytes through STAT1. Activation of STAT1 in high-glucose-induced pericytes leads to increased expression of Bcl-2-interacting mediator of cell death (Bim), which further induces oxidative stress and apoptosis in pericytes [70].

STAT Proteins Regulate the Activation of Microglia and Circulating Immune Cells

Retinal microglia are a special class of macrophages that originate from the yolk sac precursor. In DR, microglia participate in early neuronal damage, microvascular lesions, and other pathological processes by mediating inflammatory responses. There are two polarization states of activated microglia in the retina, namely, proinflammatory (M1) and anti-inflammatory (M2), and the imbalance between proinflammatory and anti-inflammatory mechanisms leads to the occurrence or exacerbation of many neurodegenerative changes [71]. In the oxygen-induced retinopathy (OIR) model, which is widely used in the study of large numbers of ocular diseases characterized by retinal angiogenesis, including DR, activation of NF- κ B and STAT3 induces M1-type polarization of microglia, leading to upregulation of proinflammatory factors. STAT6 was originally found to be a transcription factor of IL-4 [72]. After stimulation by IL-4, STAT6 is phosphorylated on T641 to exert transcriptional activity. It has also been demonstrated in this model that microglia undergo M2-type polarization and inhibit angiogenesis through the IL-4/STAT6/peroxisome proliferator-activated receptor γ (PPAR- γ) pathway [73]. In DR, the high expression of IFN γ and IL-6 can activate STAT3 in microglia, induce M1-type activation, and produce TNF α , which can inhibit the protein kinase Akt/p70 ribosomal protein S6 kinase (p70S6K) signalling pathway of pericytes, leading to pericyte apoptosis [74]. In addition, the phosphoinositide 3-kinase (PI3K)/AKT/STAT3/NF- κ B axis has been shown to lead to excessive

proinflammatory activation of microglia in DR [75].

STAT proteins can also induce the activation of circulating immune cells in patients with DR, which are essential for leukocyte adhesion and aggregation in retinal capillaries. Leukocyte arrest is considered a low-grade intravascular inflammatory response that may lead to capillary occlusion and retinal ischemia and ultimately to the destruction of the BRB [59, 76]. Phosphorylated STAT3 in circulating immune cells, including myeloid cells, was upregulated in patients with T1D and mild NPDR, suggesting that STAT3 activation occurred in early DR. Activation of the IL-6/STAT3/suppressor of cytokine signalling 3 (SOCS3) pathway in circulating immune cells of STZ diabetic mice was also detected. Moreover, mice with knockout of SOCS3, a STAT3 inhibitor, exhibited significantly increased leukocyte activation, retinal leukocyte arrest, number of acellular capillaries, and uncontrolled activation of STAT3 in myeloid cells, leading to more severe retinal vasculopathy [77].

STAT Proteins Amplify Inflammation by Regulating the Expression of Proinflammatory Factors

DR is characterized by long duration and chronic tissue destruction of chronic inflammation. When the proinflammatory effect is too strong, neurotoxicity and microvascular pathology can be increased. The occurrence of inflammation has been shown to precede the appearance of microvascular pathological changes [78]. STAT proteins can not only transduce the signals of various inflammatory factors but also affect their transcription. Animal experiments have shown that under the stimulation of interleukin-23 (IL-23), STAT3 can bind to the promoter regions of interleukin-17A (IL-17A) and interleukin-17F (IL-17F) to regulate their transcription [48]. In addition, hyperactivated STAT proteins are also associated with enhanced expression of proinflammatory mediators such as IL-1 β , TNF α , and IL-6 [49]. The proinflammatory molecules IL-6, TNF α , transforming growth factor- β 1 (TGF β -1), monocyte chemoattractant protein 1 (MCP-1),

and intracellular adhesion molecule 1 (ICAM-1) were upregulated in STZ-induced diabetic rat retinas, whereas CLT-005, an inhibitor of STAT3, restored their expression to nondiabetic levels and decreased the expression levels of keratinocyte chemokine (KC), IL-1 β , and interleukin-2 (IL-2) [79]. Moreover, miR-17-3p can inhibit the activation of STAT1 by targeting STAT1, thereby reducing the expression of inflammatory factors and retinal damage [57]. Chen et al. demonstrated that enhanced endoplasmic reticulum stress or overexpression of activating transcription factor 4 (ATF4) induced inflammation of ECs by activating STAT3, whereas knockdown or inhibition of STAT3 reversed these effects [80]. The expression of inflammatory factors and adhesion molecules in ECs can also be induced by IL-6/STAT3 signalling [81].

STAT Proteins Induce Retinal Damage by Mediating Oxidative Stress

Oxidative stress, which can be caused by the protein kinase C (PKC), polyol, hexosamine, and advanced glycation end-products (AGEs) pathways, plays a key role in the pathogenesis of DR and can appear at an early stage. In DR, there is a significant increase in reactive oxygen species (ROS), which is a general term for a class of molecules or ions with high oxidative activity, mainly including superoxide anion, hydrogen peroxide, and hydroxyl radicals. Excessive accumulation of ROS can damage retinal organization and accelerate the progression of DR [82]. Studies have shown that STAT3 can be activated by nicotinamide adenine dinucleotide phosphate (NADPH) oxidase under hyperglycemic conditions, resulting in the induction of ROS [83]. In rats with DR, the inhibitor of STAT3, CLT-005, can reduce the content of malondialdehyde (MDA), one of the important products of membrane lipid peroxidation, and conversely can increase the expression of superoxide dismutase (SOD), an important antioxidant enzyme *in vivo*. CLT-005 can also improve retinal edema and capillary dilatation, indicating the mediating role of STAT3 in oxidative stress in DR, which is at least partially mediated through the N-myc

downstream-regulated gene 2 protein (NDRG2)/IL-6/STAT3 axis [84]. A miR-375 inhibitor can reverse this effect [85].

STAT PROTEINS PLAY A PATHOGENIC ROLE IN ADVANCED DR BY PROMOTING ANGIOGENESIS

The aforementioned mechanism of STAT proteins involved in DR occurs in the early stage. It is worth noting that STAT proteins also promote angiogenesis in the late stage of DR, the activation of which may run through the whole process of DR. Retinal angiogenesis refers to being under the influence of proangiogenic factors, the growth of abnormally new blood vessels and fibrous tissue on the retina or optic disc along the posterior surface of the vitreous, which occurs during PDR (Fig. 4). When fibrovascular tissue contracts, it stretches the retina, leading to retinal detachment and vision loss in severe cases [86]. Studies have shown that transthyretin (TTR) can upregulate F-box/WD repeat-containing protein 7 (FBXW7) by reducing the expression of STAT4 and miR223-3p and ultimately inhibit the migration and proliferation of ECs and the formation of neovascularization by reducing the expression levels of neurogenic locus notch homologue protein 1 (Notch1) and Akt [87].

Fibroblast growth factor 2 (FGF2), TGF β -1, and ANG-1 have been shown to be essential in angiogenesis during DR. Upregulation of mit-sugumin-53 (MG53) in high-glucose retinal ECs decreases their expression by inhibiting the early growth response protein 1 (EGR1)-STAT3 axis, and STAT3 activation reverses this protective effect of MG53 [88]. STAT proteins also have a mutual regulatory relationship with VEGF. STAT3 is activated by the VEGF/VEGF receptor 2 axis, which triggers EC proliferation. VEGF knockdown in retinal ECs cultured with high glucose inhibited the phosphorylation of STAT1 and cell death [58]. Conversely, phosphorylation and nuclear translocation of STAT3 can induce the expression of VEGFA in retinal ECs, stimulating EC migration and angiogenesis under high

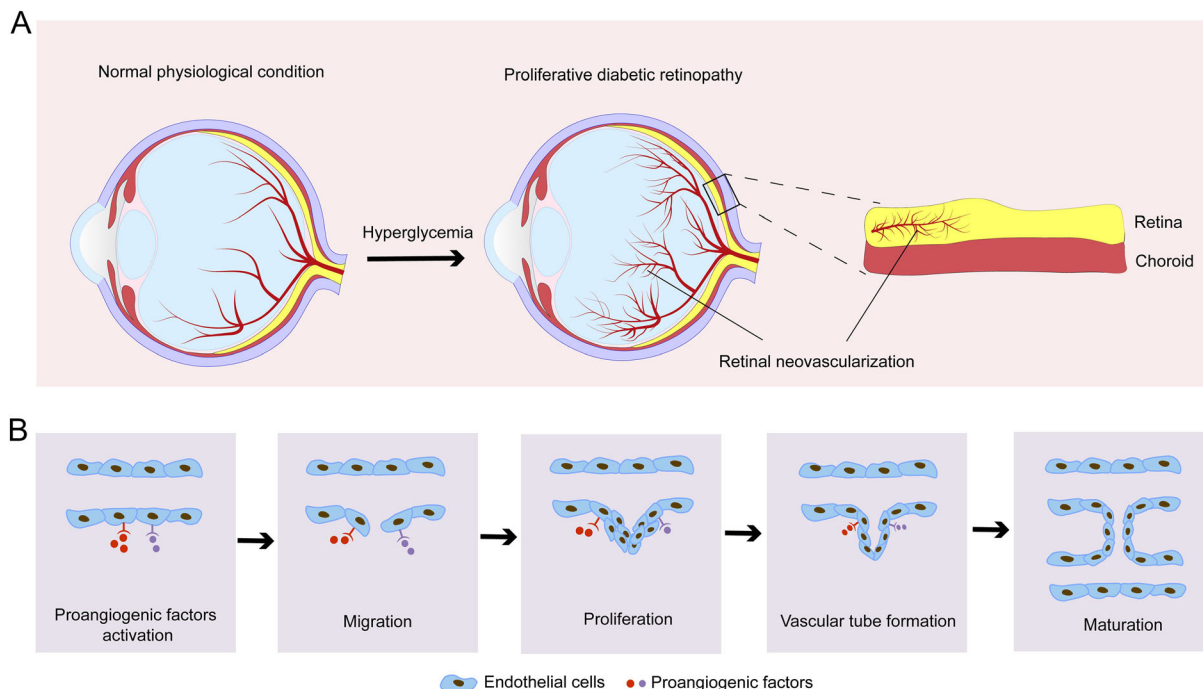


Fig. 4 Drawing of pathological manifestations and molecular mechanisms of angiogenesis in proliferative diabetic retinopathy (PDR). **a** Schematic representation of the pathological changes in the PDR. PDR occurs in the late stage of DR and is characterized by abnormal retinal

neovascularization. **b** Schematic diagram of the molecular mechanism of angiogenesis during PDR. Under the influence of proangiogenic molecules, retinal endothelial cells migrate, proliferate, and then develop vascular tube formation and maturation

glucose. This effect can be induced by leptin [89] and NADPH oxidase [83], while being inhibited by lncRNA TPTE pseudogene 1 (TPTEP1) [90]. Interestingly, knockdown of STAT3 in retinal ECs was found to reduce pathological intravitreal neovascularization (IVNV) and maintain retinal thickness in the OIR model but had no effect on physiological retinal vascular development. However, knockdown of VEGFR2 in retinal ECs not only reduced pathological IVNV and increased retinal thickness but also prolonged physiological retinal vascular development [91], indicating the important value of targeting STAT3 in preventing and treating pathological angiogenesis.

POTENTIAL ROLE OF STAT-RELATED BIOLOGICS IN DR

Methods to inhibit the biological effects of STAT proteins include the use of JAK inhibitors,

upstream cytokine/receptor antibodies, and STAT inhibitors. They are widely used in the preclinical and clinical trial stages or in the treatment of various diseases, such as cancer, rheumatoid arthritis, inflammatory bowel disease, and even coronavirus disease 2019 (COVID-19), which is a threat to global public health [92, 93]. Among them, research on JAK inhibitor drugs is rapid, and a variety of drugs have been developed, some of which have been approved for marketing, such as tofacitinib and ruxolitinib. As for the application of JAK inhibitors in ophthalmology, current studies have shown that tofacitinib can be used to treat ulcerative keratitis in patients with rheumatoid arthritis [94], reduce the damage of BRB in patients with T2D [95], and also be used to treat diabetic macular edema. In addition, local infusion of tofacitinib may be helpful in the treatment of corneal inflammation [96]

JAK inhibitors and cytokine/receptor antibodies block signalling to STAT proteins by

Table 3 The mechanisms of STAT proteins inhibitors in DR

Researcher	Year of publication	Inhibitor	Research object	Mechanism	Target
Liang et al.	2022	miR-20b-5p	STZ diabetic rats and HG-induced human RPE cells	Enhances the proliferation of RPE cells and reduces their apoptosis and pyroptosis [56]	STAT3
Cui et al.	2022	Mitsugumin-53	HG-induced HRECs	Reverses neovascularization [88]	
Zhao et al.	2022	GQDs	OIR mice model	Inhibits neovascularization [109]	
Liu et al.	2020	miR-216a	STZ diabetic rats and glucose-induced HRECs	Protects endothelial cells in DR from damage [68]	
Xiao et al.	2020	MEG3	Glucose-induced HRECs	Inhibits retinal endothelial cells proliferation, apoptosis, and inflammation under high glucose [69]	
Yun et al.	2019	ANG-1	IL-6-induced HRECs	Reduces the transcription of VEGF and restores tight junction factor expression [66]	
Yang et al.	2019	WP1006	STZ diabetic mice, RPE, and microglial cells	Inhibits the inflammatory response of microglia in diabetic mice to reduce damage to RPE cells [62]	
Cai et al.	2017	Puerarin	STZ diabetic rats	Reduce the expression of oxidative stress and inflammatory mediators [104]	
Wang et al.	2017	HuoXueJieDu formula	STZ diabetic rats	Reduce the expression of oxidative stress and inflammatory mediators [107]	
Chen et al.	2017	TWMM, Qi Ming granules, calcium dobesilate capsules	STZ diabetic rats	Inhibit neovascularization [112]	
Vanlandingham et al.	2017	CLT-005	STZ diabetic rats	Reduces the expression of oxidative levels, vascular permeability, proinflammatory and proangiogenic molecules in the retina [79]	
Ye et al.	2017	miR-146a	STZ diabetic rats	Decreases endothelial cell apoptosis [67]	
Li et al.	2011	Rosiglitazone	STZ diabetic rats and HG-induced BRECs	Decreases the apoptosis of retinal neuron [111]	
Al-Shabrawey et al.	2008	Statins	STZ diabetic rats	Maintains the integrity of BRB [110]	
Li et al.	2021	miR-17-3p	STZ diabetic mice	Negatively regulates the expression of inflammatory factors, reduces the damage of retina, and inhibits the apoptosis of retinal cells [57]	STAT1
Shao et al.	2019	TTR	HG-induced HRECs	Inhibits the migration and proliferation of endothelial cells and angiogenesis [87]	STAT4

RPE retinal pigment epithelium, VEGF vascular endothelial growth factor, BRB blood retinal barrier, GQDs graphene quantum dots, MEG3 maternally expressed gene 3, ANG-1 angiopoietin-1, HG high glucose, HRECs human retinal endothelial cells, HUVECs human umbilical vein endothelial cells, OIR oxygen-induced retinopathy, STZ streptozocin, BRECs bovine retinal endothelial cells

inhibiting molecules upstream of STAT proteins, thereby preventing STAT protein phosphorylation and activation [97]. Their disadvantages include poor specificity and many side effects. In contrast, STAT inhibitors are more specific and have fewer adverse effects due to inhibition of upstream tyrosine kinases. Drug development of STAT inhibitors is challenging because STAT proteins do not have intrinsic catalytic activity. Most relevant drug research is based on preclinical studies, and few drugs are in clinical trials or approved for marketing. Exogenous STAT inhibitors include peptides and peptidomimetics (such as PY*LKTK, ISS 610, PM-73G, and CJ-1383), small-molecule inhibitors (such as STA-21, S3I-201.1066, and WP1066), natural products and derivatives (such as curcumin, resveratrol, and emodin), and nucleotide-based agents (such as AZD9150) [98, 99].

Research Progress of STAT3-Targeting Inhibitors in DR

Many endogenous molecules can inhibit STAT3 activity *in vivo*. As described above, microRNA (such as miR-20B-5p, miR-146a, and miR-216a), lncRNA (such as MEG3), and some other factors such as ANG-1 and MG53 could attenuate the pathological changes in DR significantly (Table 3).

In addition, exogenous small-molecule inhibitors of STAT3 (such as WP1006 and CLT-005) (Table 3) were also proved to delay the progress of DR. WP1066 increased the expression levels of ZO-1 and occludin in hypoxia-induced RPE cells or inhibited the inflammatory response of microglia in diabetic mice to reduce damage to RPE cells [61, 62]. CLT-005 binds to SH2D of STAT3 with high affinity, inhibiting its dimerization, nuclear translocation, and binding to target genes. CLT-005 can reduce the oxidative stress level, retinal vascular permeability, and the expression levels of proinflammatory (IL-6, IL-1 β , IL-2, TNF α , TGF β -1, MCP-1, ICAM-1) and proangiogenic molecules [low-density lipoprotein receptor-related protein 5 (LRP-5) and low-density lipoprotein receptor-related protein 6 (LRP-6)] in the retina of

diabetic rats [79, 84]. Among them, LRP-5 and LRP-6 are components of the WNT-Frizzled-LRP5/6 complex and are essential molecules in the transduction of the WNT signalling pathway, which coordinates the behavior of ECs. The activation of this pathway in DR leads to vascular leakage and neovascularization [100].

Moreover, the natural products lutein [101], curcumin [102, 103], puerarin [104], ginseng [105], decursin [106], decursinol [106], HuoXueJieDu formula (HXJD) [107], and astragaloside IV [108] are considered ideal candidates for the treatment of retinal diseases, and one of the mechanisms is to target STAT3. Among them, puerarin [104] and HXJD [107] have been shown to improve DR by inhibiting STAT3 in diabetic rat models (Table 3). After administration of HXJD through intragastric gavage in STZ diabetic rats, the oxidative stress and the expression of inflammatory mediators were inhibited by affecting SOCS3-STAT3 and metalloproteinases 1 (TIMP1)-alpha 2 macroglobulin (A2M) pathways. Puerarin treatment can increase the level of SOD and decrease the level of MDA in serum and retinal tissue of STZ diabetic rats. The mechanism is related to the inhibition of STAT3 expression in the retina, indicating that puerarin can alleviate retinal oxidative stress injury by inhibiting STAT3. However, natural products may have broad-spectrum inhibitory effects on biological processes, complex pharmacokinetic problems, unstable efficacy reproducibility, and strong liver and kidney toxicity, leading to most of them being in only the preclinical stage of research.

Utilizing nanoparticles is expected to overcome the deficiencies of natural product inhibitors. Graphene quantum dots (GQDs) are small-scale two-dimensional nanomaterials. In recent years, they have attracted great attention in cancer therapy and other fields owing to their advantages of stability, biocompatibility, and fluorescence tunability. A recent study showed that GQDs could inhibit pathological retinal neovascularization in an OIR model by disrupting the STAT3/periostin/extracellular regulated protein kinase (ERK) pathway and thus regulating the expression levels of cell cycle-related proteins [109] (Table 3).

Several other drugs have also been shown to treat DR by inhibiting STAT3 activity (Table 3). Studies have shown that statin treatment can block NADPH oxidase-mediated activation of STAT3 and ensure the integrity of the BRB in diabetic rats [110]. Rosiglitazone, a thiazolidinedione hypoglycemic agent, attenuates diabetes-induced retinal neuronal apoptosis by inducing SOCS3 and inhibiting STAT3 activation [111]. Tangwang Mingmu granules (TWMM), Qi Ming granules, and calcium dobesilate capsules may inhibit retinal neovascularization by inhibiting the activity of STAT3 [112]. In conclusion, evidence suggests that STAT proteins, especially STAT3, are ideal targets for the treatment of DR, but the potential application of other STAT proteins in the treatment of DR has rarely been reported.

Research Progress of STAT1 and STAT4 Inhibitors in DR

It is a pity that the current research on exogenous inhibitors in DR is focused on STAT3, and there is no related research in other proteins. Only a few endogenous inhibitors such as miR-17-3p and TTR have been shown to target STAT1 and STAT4, respectively, reversing the development of DR in vivo (Table 3).

The results of western blot and real-time quantitative polymerase chain reaction (RT-qPCR) showed that miR-17-3p decreased and STAT1 increased in retinal tissues of mice with DR. After mice with DR were injected with exosomes containing miR-17-3p, the expression levels of TNF α , IL-1 β , IL-6, MDA, VEGF, and ROS decreased and the expression levels of SOD and glutathione peroxidase increased by targeting STAT1. miR-17-3p can inhibit the inflammatory response, oxidative damage, and apoptosis of retinal cells in mice with DR [57].

In addition, studies have shown that STAT4 can promote the transcription of miR-223-3p. Compared with healthy controls, miR-223-3p was significantly increased in serum and aqueous humor of patients with NPDR and patients with PDR, and the increase was more obvious in patients with PDR. In human retinal endothelial cells induced by high glucose, TTR

downregulates the level of miR-223-3p by inhibiting the expression of STAT4, and finally inhibits angiogenesis [87].

DISCUSSION

STAT proteins are abnormally elevated in DR and are involved in the mechanisms of DR throughout the early and late stages during which they are associated with multiple factors, showing the unique, comprehensive, and powerful effects of STAT proteins in DR. In particular, recently researchers have shown great interest in the link between lncRNAs, microRNAs, and STAT proteins. MEG3, miR-17-3p, miR-132, miR-20b-5p, miR-146a, miR-216a, and miR-19b have been shown to regulate STAT proteins. In DR, the expression levels of STAT proteins are always increased, while those of beneficial miRNAs are decreased. Moreover, STAT proteins are also related to the well-known PI3K-AKT pathway, NF- κ B, EGR1, etc., and the interaction of these pathways or factors with STAT proteins may lead to an inflammatory cascade or promote angiogenesis. In the early stage, STAT proteins contribute to DR development through many mechanisms, including damage to the iBRB and oBRB, regulation of the activation of microglia and circulating immune cells, increased expression of inflammatory mediators, and enhanced oxidative stress, leading to damage of retinal NVU by inducing BRB leakage and neurodegeneration. While in the late stage, STAT proteins have a strong angiogenesis effect. They can promote the proliferation and migration of ECs in DR, which leads to the generation of blood vessels, and can also induce the transcription of VEGF. In addition to antagonizing the aforementioned functions, inhibition of STAT proteins activity also reduced the expression of various proangiogenic molecules (FGF2, TGF β -1, ANG-1, LRP-5, and LRP-6), which helps to compensate for the limitation of anti-VEGF therapy in advanced treatments in DR.

In fact, compared with the research on STAT proteins in inflammation-related and autoimmune diseases, less research has been done on DR. Most of the studies on STAT proteins and

DR are based on the preclinical stage, and clinical studies are extremely rare. To date, the research on DR has mainly focused on STAT3 and less on the other STAT proteins. Therefore, more importance should be attached to the other STAT proteins. In addition, the relationship between STAT proteins and advanced DR is relatively mature compared to the early DR. Although STAT proteins are involved in the occurrence of the early DR through multiple mechanisms, there is still a lot of research space for each mechanism. Moreover, research on the role of STAT in DR mostly focuses on ECs, RPE cells, and microglia, while there have been few studies on other cells. Even research on some cells, such as neuronal cells and Müller cells, is still lacking.

CONCLUSION

STAT proteins are involved in the mechanisms of DR throughout the early and late stages. Inhibiting STAT protein activity has achieved a significant effect in reducing the pathological changes of DR in both early and late stages. Clearly, STAT proteins are an effective potential target for the treatment of DR. Targeting STAT proteins can not only play a role in the early treatment of DR but also make up for some deficiencies in advanced treatment. However, there is still a great space for research on STAT proteins in DR, and more mechanisms of reference significance for drug therapy need to be further explored.

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