



Numerical method for analysis and design of isolated square footing under concentric loading

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Abstract

Footing is an important part of a structure, because its repair is extremely difficult and cumbersome. Therefore, all structural parameters should be carefully considered when designing a footing. An isolated footing needs sufficient depth, when considering the fixed base assumption. Extensive research has previously been conducted to define and formalize the depth of a rigid footing, i.e., the depth required, such that footing behaves as a rigid. Alternatively, working stress method (WSM) and limit state method (LSM) provide a lower depth for low subgrades and a higher depth for high subgrades than that required by rigid condition if a unit width footing is considered for the design. This paper presents a simple approach for calculating the depth to meet the rigid condition under static loading. The proposed calculation method produced a better rigidity than the existing approaches and it correlated well to the finite-element method (FEM) for low subgrades. The reinforcement distribution is function of the bending moment (BM). Steel is uniformly embedded throughout the length or width of conventional footing methods, but this is inappropriate, because the bending moment is not uniform along the length or width of the footing. This paper proposes solutions to this by redefining the placement of steel in the central zone of the footing. The effective zone for reinforcement was based on the FEM results. This simple procedure was developed for calculating the maximum moment using the Diagonal Strip Method (DSM). DSM is a substitute for FEM, and it has been shown to correlate well. The BM at central zone as well as at the edges can be calculated to define the spacing of the reinforcements.

Keywords Finite-element method · Diagonal strip method · Rigidity · Static concentric load · Rigid depth

Abbreviations

ACI	American Concrete Institute
BM	Bending moment (kNm)
DSM	Diagonal strip method
E_c	Modulus of elasticity of the concrete (kN/m ²)
E_s	Modulus of elasticity of the soil (kN/m ²)
FEM	Finite-element method
FS	Factor of safety
K_r	Relative stiffness factor
K_s	Subgrade reaction (kN/m ²)

Introduction

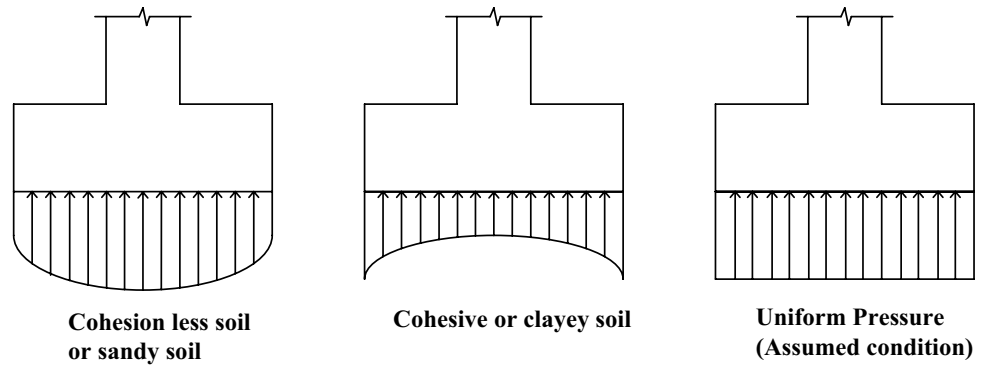
Typically, spread footings are provided for individual columns and designed for the loads supported by the column. The key factor for footing design is soil pressure, which may be linear, parabolic, or uniform (Fig. 1). Linear distribution is used for dense elastic soils with low plasticity, and parabolic distribution is used for dense sands and clay, while uniform distribution is used for dense soils with limited plasticity or elastoplastic behavior (Rodriguez-Gutierrez and Aristizabal-Ochoa 2012). The ultimate bearing capacity of footings can be substantially increased by soil confinement under axial load (Prasad and Singh 2011). Uniform pressure is commonly assumed as the ideal condition for rigid depth. When the subgrade reaction is maximum at the center and a minimum at the corners, then it is considered to be a flexible foundation, but, when the reaction force is uniform, then it is considered a perfectly rigid foundation. The actual stress distribution depends on the rigidity of the footing and type of soil. For cohesion-less soils and sand, the pressure distribution depends on the depth of embedment of the foundation.

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Fig. 1 Pressure distribution for different soils



The pressure is usually higher in the middle (Al-Shayea and Zeedan 2012). Footing design by the conventional method resulted in a semi-rigid foundation (Farouk and Farouk 2014a, b). Thus, the footing has a higher subgrade reaction concentration at the edge and lower at center. Pressure distribution of soil is a function of the settlement. By increasing the number layers of rubber–sand mixture (RSM), the bearing capacity of the foundation can be increased, and the footing settlement reduced (Moghaddas Tafreshi et al. 2016). The settlement reduction factor decreases with the increase in applied load (Al-Aghbari and Dutta 2008). Depth of footing is considered to be rigid when the settlement is uniform or when the settlement at each point is the same along the footing. The foundation may be considered flexible when the settlement is higher at the center than at the corner. The use of a non-coaxial soil model significantly increases the settlement of footings. However, it does not affect the ultimate bearing capacities (Yang and Yu 2006).

Within the footing, stresses are developed due to different loading conditions, boundary conditions, and geometry. The forces acting on the footing are axial, shear, moment, and torsion. When the footing is loaded concentrically, the different modes of failure are bearing, flexure, and one-way and two-way shear. The effect of the stress level on the bearing capacity of the foundation, which is related to the foundation size, is very important (Jahanandish et al. 2012). ECP203 (2011), ACI318 (2008), and EC2 (2004) code provisions underestimate the structural failure loads of isolated column footings, while BS 8110.1 (1997) overestimates the failure loads for punching shear (Abdrabbo et al. 2016). Maximum bending moment (BM) is also an important factor for the calculation of footing depth. In the conventional method, the depth calculated for maximum BM is always less than that for one-way shear and punching shear, so it is neglected. Farouk and Farouk (2014a, b) recommended increasing the BM by 25% or increasing the reinforcement by 25% when calculating footing depth, due to an increasing edge stresses, which leads to an increase in the maximum moment.

Bending moment distribution is not uniform along the length or width of a footing. Timoshenko and

Woinowsky-Krieger (1959) showed the distribution of the bending moment along the midline of a footing for $u/a = 0.1$ and $u/a = 0.2$ (refer to Fig. 2c). The equation for maximum bending moment is:

$$M_x = M_y = \left(0.1034 \log \frac{a}{u} + 0.020 \right) P, \quad (1)$$

where M_x and M_y are moments along the x - and y -directions, u and a are the size of the column and the footing, respectively, and P is the axial load on the column. This equation is valid for $\nu = 0.3$ (Poisson's ratio), but nature of the bending moment remains the same for any value of Poisson's ratio. From Fig. 2c, it can be seen that the bending moment is highest at the center and reduces towards the edge.

The total moment can be calculated by Reynolds et al.'s (2007) method. Equations used were for concentric and eccentric footing. Figure 3 shows the formulae for an isolated pad footing using Reynolds's handbook method for square and rectangular sections. The total moment calculated using the conventional method was similar to that using Reynolds et al. (2007). To verify the validity of equations, rectangular eccentric footing is converted to concentric square footing by taking $f_1 = f_2$ and $b = L$. For this condition, the moments in both directions (M_x and M_y) should be equal and should match the square footing moment (M_x). Twenty cases were used for validation, as presented in Table 1. The moments of the converted footing were exactly the same as the rectangular footing and as the conventional method. Therefore, the conventional method or the equations in Reynolds's handbook can be used to calculate the total moment. Figure 4 shows the validation of total moment with Reynolds's handbook equation for various subgrades.

For tension, if $l_c > 0.75(C + 3d)$, then two-thirds of the reinforcement should be concentrated within a zone that extends on either side for a distance no more than $1.5d$ from the face of the column (Reynolds et al. 2007), where C is the column width, l_c is the distance from the center of a column to the edge of the pad, and d is depth. Seward (2014) recommended that the reinforcement for small pads can be uniformly distributed throughout the

Fig. 2 **a** Plan of isolated footing. **b** Section along middle line. **c** Bending moment distribution along middle line

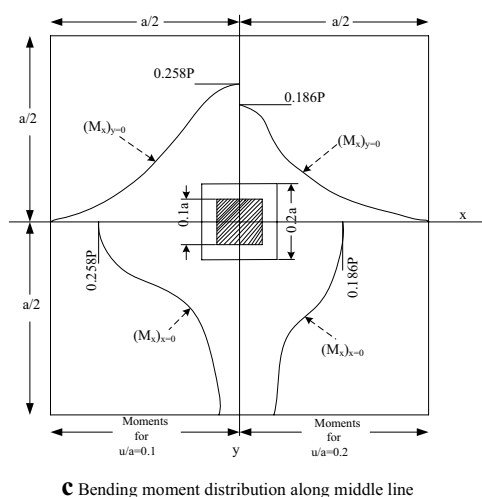
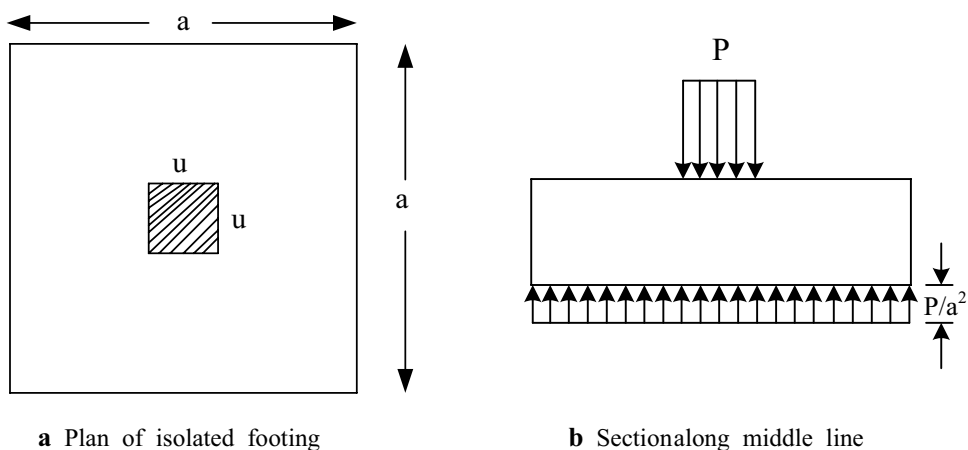


Fig. 3 Bending moment for isolated pad footing by Reynolds's handbook

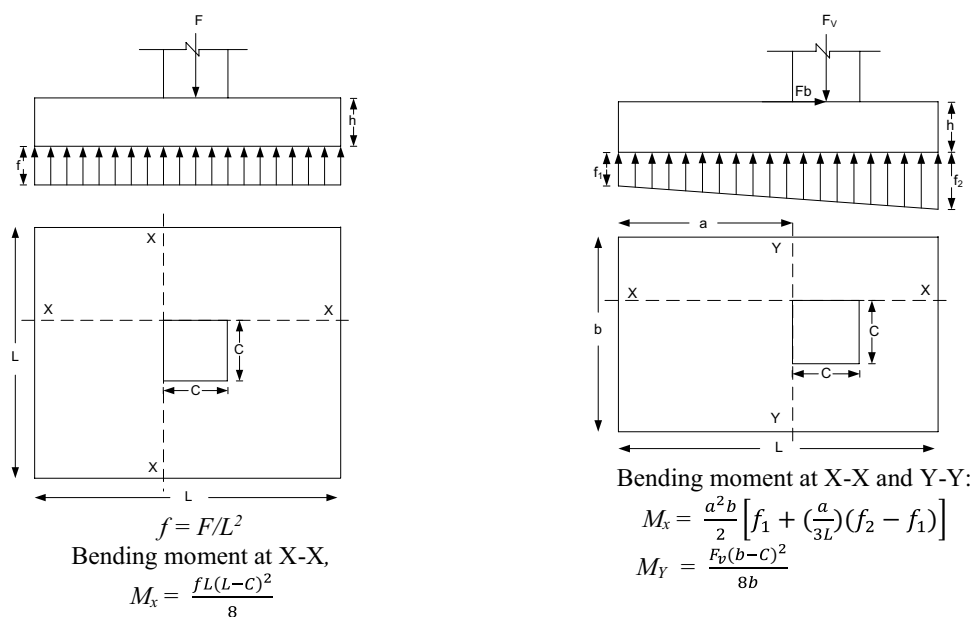


Table 1 Number of cases considered for study for validation

Case no.	Footing size in m	% Reinforcement in column	Axial load on column (kN) WSM	Axial load on column (kN) LSM	Column size (m)	K_s (kN/m ³)
1	2×2	0.8	650	725	0.3×0.3	20,000
2	2.2×2.2	1	700	775	0.3×0.3	
3	2.2×2.2	1.25	750	800	0.3×0.3	
4	2.3×2.3	1.5	800	850	0.3×0.3	
5	2.3×2.3	2	900	925	0.3×0.3	
6	2.6×2.6	0.8	1200	1300	0.4×0.4	
7	2.8×2.8	1	1300	1350	0.4×0.4	
8	2.8×2.8	1.25	1350	1425	0.4×0.4	
9	3×3	1.5	1400	1500	0.4×0.4	
10	3×3	2	1550	1650	0.4×0.4	
11	3.3×3.3	0.8	1900	2025	0.5×0.5	
12	3.5×3.5	1	2000	2125	0.5×0.5	
13	3.5×3.5	1.25	2100	2225	0.5×0.5	
14	3.5×3.5	1.5	2200	2350	0.5×0.5	
15	3.8×3.8	2	2450	2575	0.5×0.5	
16	4×4	0.8	2700	2925	0.6×0.6	
17	4.2×4.2	1	2850	3050	0.6×0.6	
18	4.2×4.2	1.25	3000	3200	0.6×0.6	
19	4.4×4.4	1.5	3200	3375	0.6×0.6	
20	4.5×4.5	2	3550	3700	0.6×0.6	

width of the foundation. However, if the width of the pad (L) exceeds $1.5(C + 3d)$, two-thirds of the reinforcement should be placed in a middle band at a width of $(C + 3d)$. IS 456 (2000) recommended a central band equal to the width of the footing, placed along the length of the footing with the portion of the reinforcement determined in accordance with the equation below. This is limited to rectangular footing only:

$$\frac{\text{Reinforcement in central band width}}{\text{Total reinforcement in short direction}} = \frac{2}{\beta + 1}, \quad (2)$$

where β is the ratio of the long side to the short side of the footing.

Finite-element method (FEM) has become a powerful tool for the numerical simulation of engineering problems. FEM, therefore, is considered a benchmark, as its solutions are very accurate. The structural analysis program (SAP2000 2010) was used as an FEM tool for analysis purposes and the results of the proposed method are compared with FEM. In the present work, the diagonal strip method (DSM) was developed, which produces BM similar to finite-element method (FEM) results. This method provides reinforcement for a calculated bending moment without any increase in the percentage of steel.

Different approaches for rigid depth

The American Concrete Institute (ACI) Committee 336-2R (1988) suggested the use of a relative stiffness factor (K_r) developed by Meyerhof (1953) to determine whether the footing should be considered flexible or rigid; the proposed equation is:

$$K_r = \frac{E_c I_b}{E_s B^3}, \quad (3)$$

where E_c modulus of elasticity of the structure (or concrete), E_s modulus of elasticity of the soil, B width of the foundation, and I_b moment of inertia of the structure per unit length at right angles to B . The ACI Committee 336-2R, 1988 recommended that, if K_r is equal to or greater than 0.5, then the footing is considered rigid. If the relative stiffness factor is found to be less than 0.5, then footing should be designed as a flexible member. Vesic (1961) gives the relationship between the modulus of elasticity and the subgrade reaction of the soil as follows:

$$k = \frac{E_s}{B(1 - \mu_s)}, \quad (4)$$

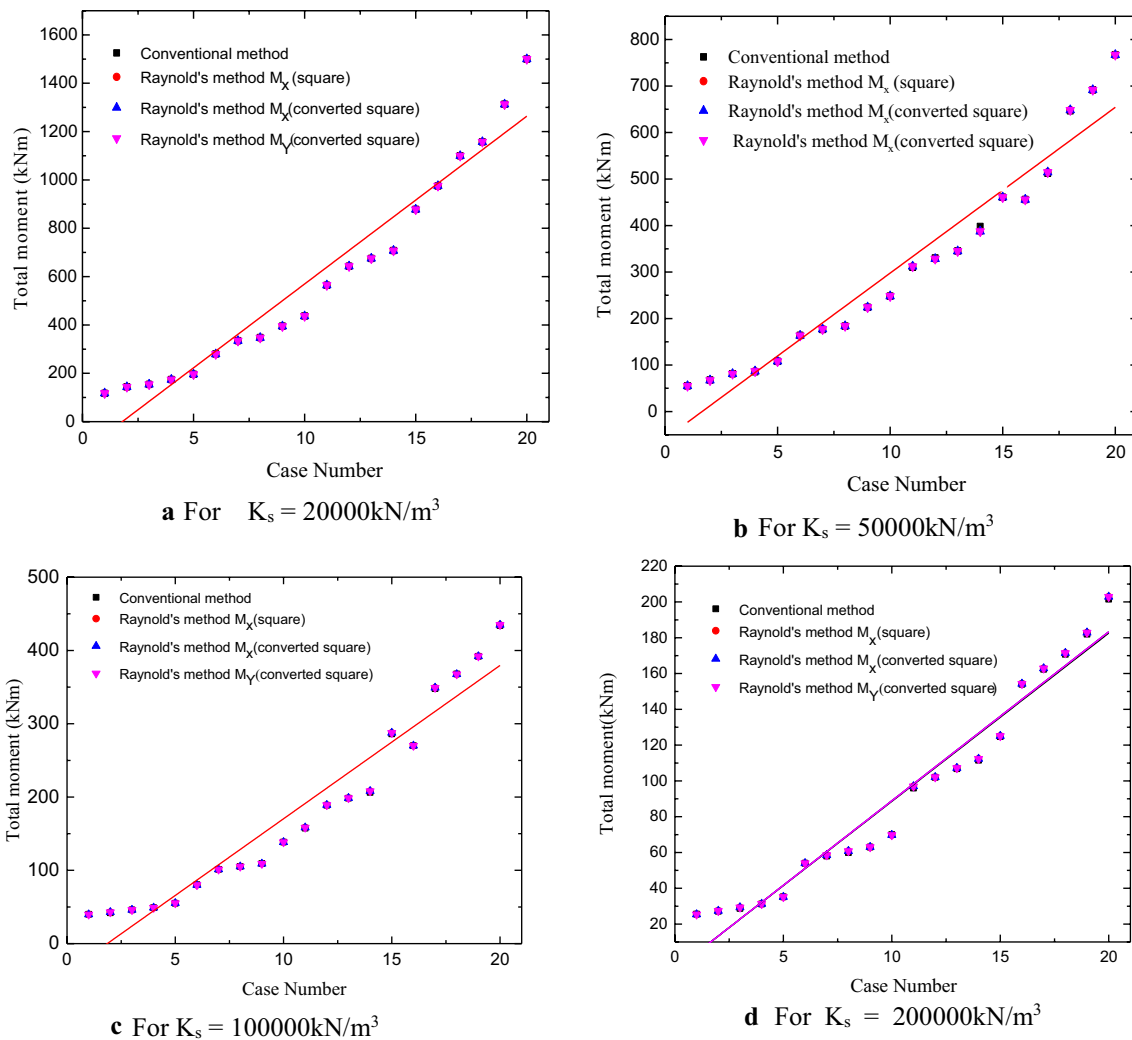


Fig. 4 Validation of total moment by Reynolds’s handbook method

where μ_s Poisson’s ratio of the soil. However, without tri-axial tests, the elasticity of the soil is difficult to determine. Instead, Vesic suggested using a relative stiffness factor K_r with the same rigidity condition, but independent of E_s , which is given by the following:

$$K_r = \frac{EI_b}{k_s(1 - \mu_s^2)B^4} \tag{5}$$

Tabsh and Raouf Al-Shawa (2005) modified the stiffness factor K_r by considering all the dimensions of footing and column cross section. If K_r is less than or equal to 1, it can be considered flexible; otherwise, it will be considered rigid. The equation developed is:

$$K_r = \frac{Et^3}{k(1 - \mu^2)(B - b)^2(L - l)^2} \tag{6}$$

where t uniform thickness of the footing, b column dimension along the footing dimension B , L footing dimension perpendicular to B , and l column dimension along the footing dimension L .

The coefficient of rigidity suggested by DIN 4018 (1981) is given by the following equation:

$$K_r = \left(\frac{t}{L}\right)^3 \times \left(\frac{E_c}{12E_s}\right) \tag{7}$$

Shehata (2016) conducted a study using Eq. (7) and recommended that, if the factor was less than or equal to 0.05, the footing is considered flexible, and otherwise, it is considered rigid.

The WSM and LSM for the analysis and design of footings were described in IS 456 (2000). For both the approaches, the depth is calculated for one-way shear, which is at distance d (effective depth of footing) from the

face of column, for two-way or punching shear, which is at $d/2$ from the face of column and for maximum bending moment at column face. WSM is a traditional method used for design, which produces higher depths when compared to LSM. It was observed that both depths did not fulfill the rigidity requirement of the footing for all the types of soil or subgrade.

Depth must also be sufficient to accommodate the development length of a column bar in compression, i.e., L_{dc} as given in special publication (SP) 16 (1978) and special publication (SP) 34 (1987) of Indian standards. The development length of the bars must be sufficient to transfer compression to the supporting member, as per clause 12.3 of ACI 318 (2008). If the computed length is more than the distance available from the top of the footing to the tensile steel top, then the available solutions are to: (1) use a larger number of smaller bars in a column, (2) increase depth, and (3) construct a pedestal on top of the footing to provide extra length (McCormac and Brown 2015).

The depth of the base should be equal to or greater than $0.5a$, where a is the distance from the face of column to the edge of the base (Reynolds et al. 2007). Depth calculated using this condition was close to depth calculated by the finite-element analysis for low subgrade or soft soil. However, this calculation was not valid for high subgrade or hard soil. The depth was considered rigid when it acted as solid

block or contained very little reinforcement. The minimum reinforcement of 0.12% area must be specified if the calculated reinforcement is less than this minimum reinforcement (IS 456 2000). There are other conditions that give a rigid depth close to the FEM results. Some conditions are suitable for high subgrade but fail in lower subgrade, or vice versa.

Most importantly, the criteria enlisted for each depth calculation must be satisfied for correct results. Table 2 shows the rigid depth results using the existing approaches for 20,000 kN/m³ subgrade. The differences between the approaches were considerable, so there is a need to determine which methods provide the correct rigid depth for different subgrades. The present study reports the selection of conditions depending upon the subgrade to obtain the correct depth to which the footing acts as a rigid.

Concept of modeling

Column and pad of the footing were modeled as area (shell) elements, having six degrees of freedom at each node, as specified by Vishwakarma and Ingle (2017) for modeling a concrete slab. Load transferred from the column was treated as a concentrated load. However, the load is uniformly distributed (UDL) over the cross-sectional area of the column in the mathematical model. In finite-element modeling, the

Table 2 Rigid depths for $K_s = 20,000$ kN/m³

Case no.	Length/width of footing (m)	Width of column (m)	Rigid depth (m) by Meyerhof/ACI 336-2R (when $K_r = 0.5$)	Rigid depth (m) by Tabsh and Al-shawa (when $K_r = 1$)	Rigid depth (m) by Hany Farouk/DIN 4018 (when $K_r = 0.05$)	Rigid depth (m) by WSM	Rigid depth (m) by LSM	Rigid depth (m) by Reynolds's Handbook
1	2	0.3	0.330	0.184	0.194	0.400	0.360	0.425
2	2.2	0.3	0.363	0.214	0.220	0.400	0.380	0.475
3	2.2	0.3	0.363	0.214	0.219	0.450	0.400	0.475
4	2.3	0.3	0.380	0.229	0.233	0.500	0.450	0.500
5	2.3	0.3	0.380	0.229	0.233	0.500	0.450	0.500
6	2.6	0.4	0.429	0.260	0.274	0.500	0.470	0.550
7	2.8	0.4	0.463	0.292	0.303	0.550	0.500	0.600
8	2.8	0.4	0.463	0.292	0.303	0.550	0.500	0.600
9	3	0.4	0.495	0.325	0.332	0.600	0.520	0.650
10	3	0.4	0.495	0.325	0.332	0.600	0.550	0.650
11	3.3	0.5	0.545	0.359	0.377	0.650	0.580	0.700
12	3.5	0.5	0.578	0.393	0.407	0.660	0.600	0.750
13	3.5	0.5	0.578	0.393	0.408	0.700	0.620	0.750
14	3.5	0.5	0.578	0.393	0.408	0.750	0.640	0.750
15	3.8	0.5	0.628	0.446	0.455	0.750	0.670	0.825
16	4	0.6	0.660	0.465	0.488	0.750	0.680	0.850
17	4.2	0.6	0.693	0.501	0.521	0.800	0.700	0.900
18	4.2	0.6	0.693	0.501	0.521	0.800	0.720	0.900
19	4.4	0.6	0.727	0.539	0.554	0.850	0.750	0.950
20	4.5	0.6	0.743	0.558	0.572	0.900	0.800	0.975



plate (shell) is discretised and the soil was modeled as a spring with equivalent stiffnesses. Spring stiffnesses were calculated from the modulus of subgrade reaction of soil. FEMA 356 (2000, Fig. 4.4) was used for the calculations of soil stiffness. However, this was only applicable for a single node to perform soil–structure interaction. In this mathematical model, soil springs were used with value equal to the contributing area multiplied by the modulus of soil reaction, i.e., area spring. To perform finite-element analysis, both plate (shell) elements were discretised and supported on springs of equivalent stiffness for various subgrades. Figure 5 shows a three-dimensional view of column loading on a footing.

Idealization of the model

In the present study, a square footing and column were considered. M25 grade concrete and Fe415 steel were used with a Poisson's ratio of 0.15 for concrete. The size of the footing was evaluated using load and bearing pressure. Column cross-sectional dimensions included 0.3 m × 0.3 m, 0.4 m × 0.4 m, 0.5 m × 0.5 m, and 0.6 m × 0.6 m. The load carrying capacity of the column for percentage reinforcements of 0.8, 1, 1.25, 1.5, and 2% were considered when axially loaded. With these parameters, 20 cases were developed and analyzed to determine the rigid depth. These cases were considered for low-rise and medium-rise buildings, where isolated square footings are preferred. Values of the safe bearing capacity of soil included 200 kN/m², 500 kN/m², 1000 kN/m², and 2000 kN/m², which indicated variation of subgrades from low to high. The modulus of the subgrade was calculated as suggested by Bowles (1988):

$$K_s = 40 \times FS \times q_a, \quad (8)$$

where K_s is subgrade modulus in kN/m³, FS is factor of safety taken as 2.5–3, and q_a is allowable bearing pressure in kN/m².

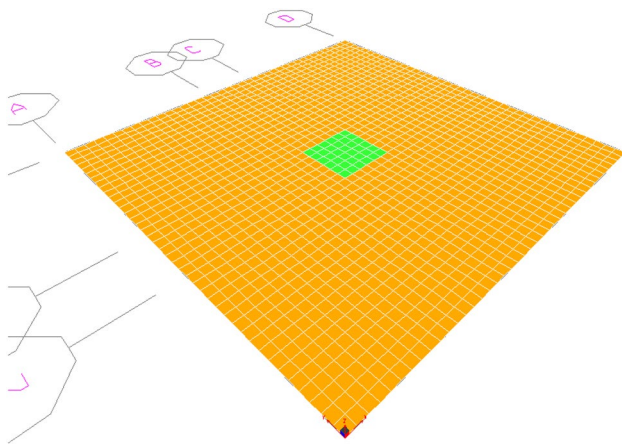


Fig. 5 3D view of column loading as UDL on footing

New approach for rigid depth calculation

The differences seen in the maximum bending moment results between the conventional method (unit width) and FEM were significant. The design moments found with FEM are not uniform throughout the length or width of footing. However, addition of these moments (moment at each node) found to be approximately equals to the total moment obtained with the conventional method. Hence, designing a footing with the conventional method produced less accurate moments. Figure 6 shows the differences for various subgrades.

The nature of bending moment simulated using FEM is similar to Timoshenko's observation, i.e., the bending moment at any section is not uniform. Figure 7 shows variation of maximum BM for axial concentric load along the width (or length). The difference in the maximum bending moment between the conventional method and FEM was significant. Therefore, ignoring the BM when calculating depth is not appropriate. BM calculation by the conventional method (i.e., unit width method) led to inaccurate design, such that total moment should be considered for depth calculations. Total moment can be calculated by the conventional method or Reynolds et al. (2007) method.

General equation for design of RCC as per Krishna and Jain (1959) is as follows:

$$M = KBd^2, \quad (9)$$

where M total moment (kNm), K moment factor depend on F_{ck} and F_y , B width of footing (m), and d effective depth of footing (m).

The thickness of footing calculated with this method may be less to account the rigidity effect when compared with the FEM results. As the bending moment is maximum in central part, consideration of full width leads to an incorrect depth. To get the correct rigid depth, the central part of width should be considered with maximum moment. The number of cases has been analyzed with EFM to determine the effective central zone and is given by the following:

$$\text{Central zone} = \frac{L}{5} + b,$$

where L length or width of footing; b depth or width of column.

The depth shall be calculated for total moment by considering effective width instead of full width of footing to get actual rigid depth. The depth calculated with this approach is safe to accommodate the development length in compression (L_{dc}) for lower subgrade and also well agreement with the FEM. For higher subgrade, WSM gives greater depth but LSM, new approach and minimum % steel approach are close to FEM. It is also observed that depth calculated with minimum steel approach for lower

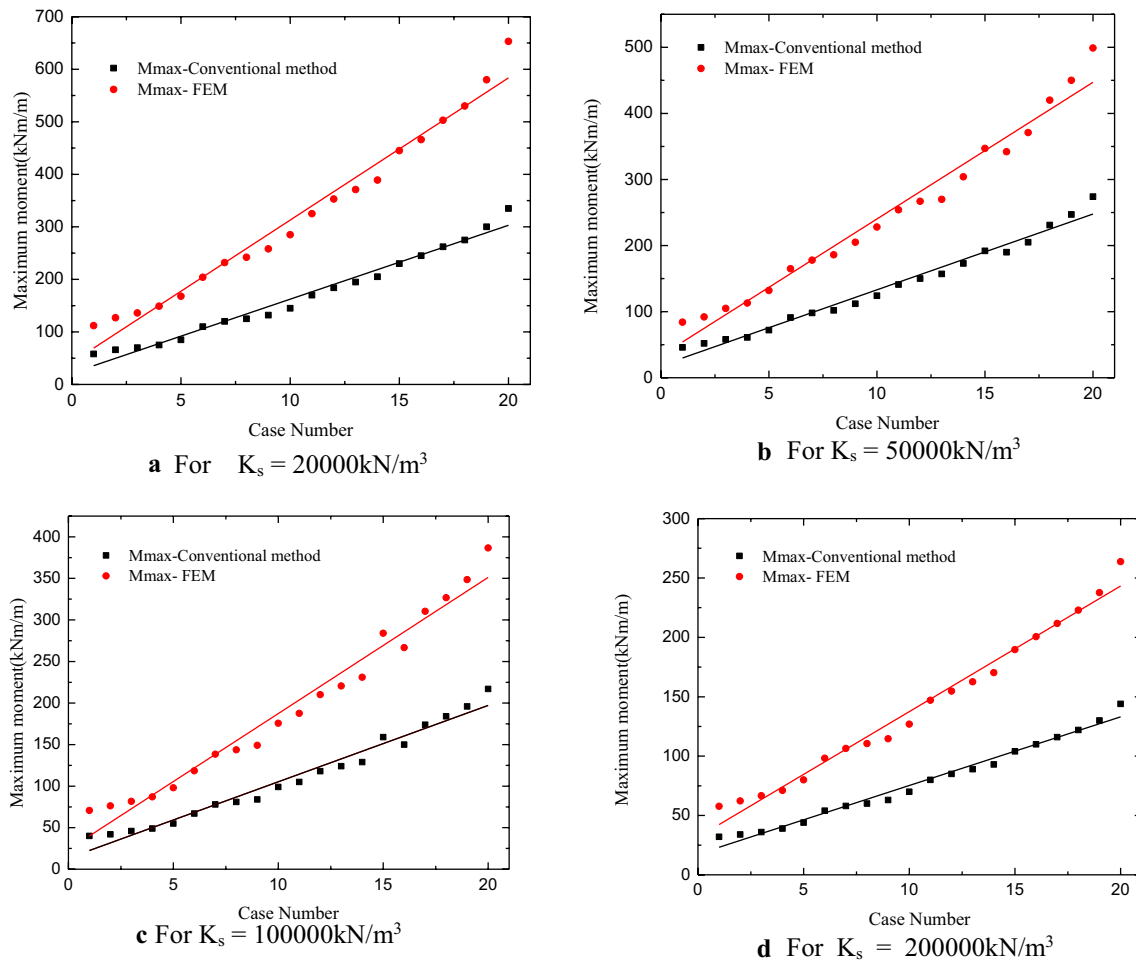
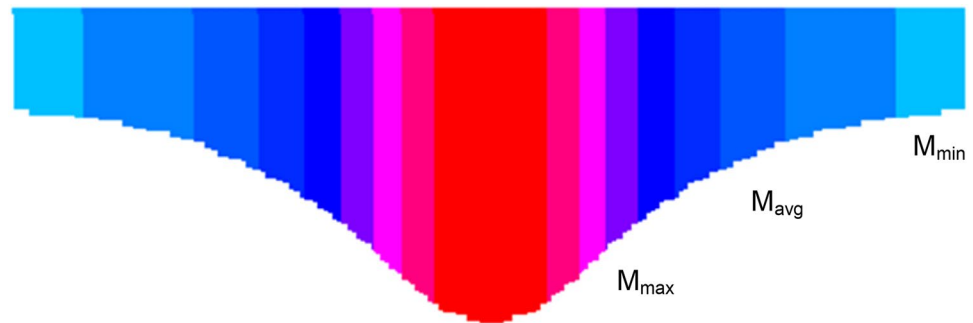


Fig. 6 Moment difference between the conventional method and FEM

Fig. 7 Bending moment distribution by FEM



subgrade is more conservative than any other approaches, so new approach should be preferred for lower subgrade. For all subgrade, the difference in depths of WSM and FEM is quite large. Though WSM gives higher depth than the other approaches, it should not be preferred for rigid depth calculation. Figures 8, 9, 10, 11 shows the comparison for rigid depth of the existing approaches and new approach.

Diagonal strip method (DSM)

The diagonal strip method is simple and based on the unit width method. In the conventional method, the unit width is considered to be the horizontal direction or vertical direction. Footings are designed for loads from a column. When the connection between the column and the footing

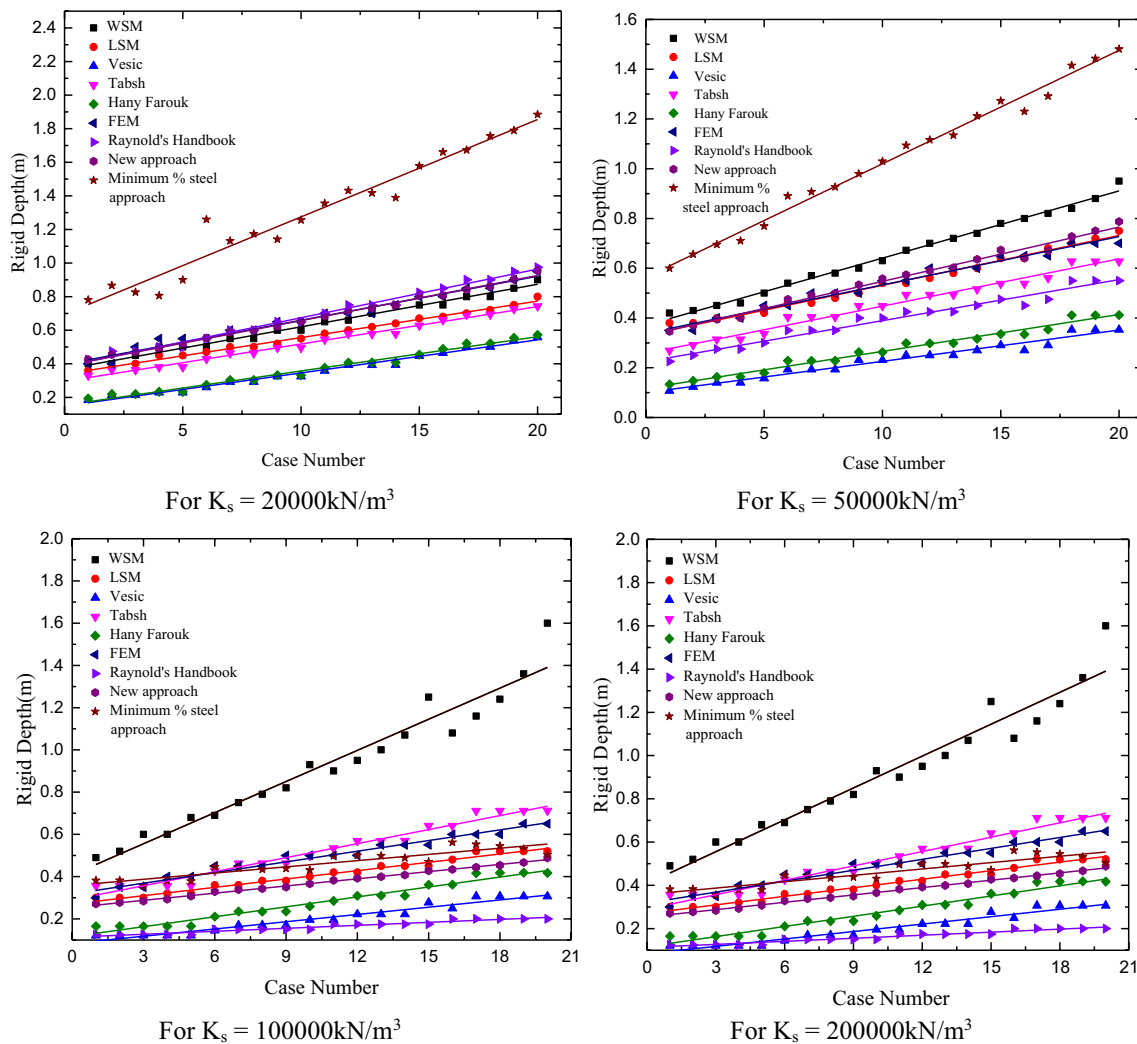


Fig. 8 Rigid depth comparison

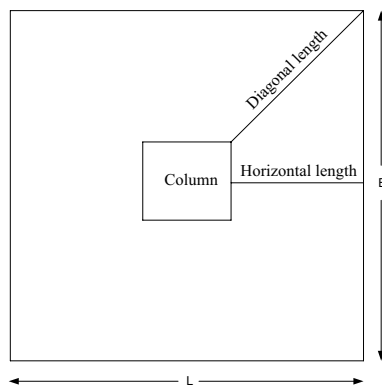


Fig. 9 Diagonal distance

is rigid, the stresses develop at each point of the footing. The maximum distance from the face of the column is the diagonal distance, so using the horizontal distance or

vertical distance from the column face is inappropriate. However, using the diagonal distance in the moment calculation gives the maximum moment at the column face. The diagonal distance to be considered is shown in Fig. 9.

Now, bending moment at the face of column can be calculated by the following equation:

$$\text{Bending moment} = \frac{pl^2}{2}, \tag{10}$$

where p bearing pressure in kN/m^2 , l diagonal length.

Bending moments calculated with the DSM were in agreement with FEM, proving that this method is an alternative for FEM. Figure 10 shows the comparison between DSM and FEM for maximum bending moment. Bending moment is maximum at the center and reduces towards the edge of the footing. The BM in the central zone (M_{avg} Fig. 7) is two-thirds the maximum BM, and the BM at the

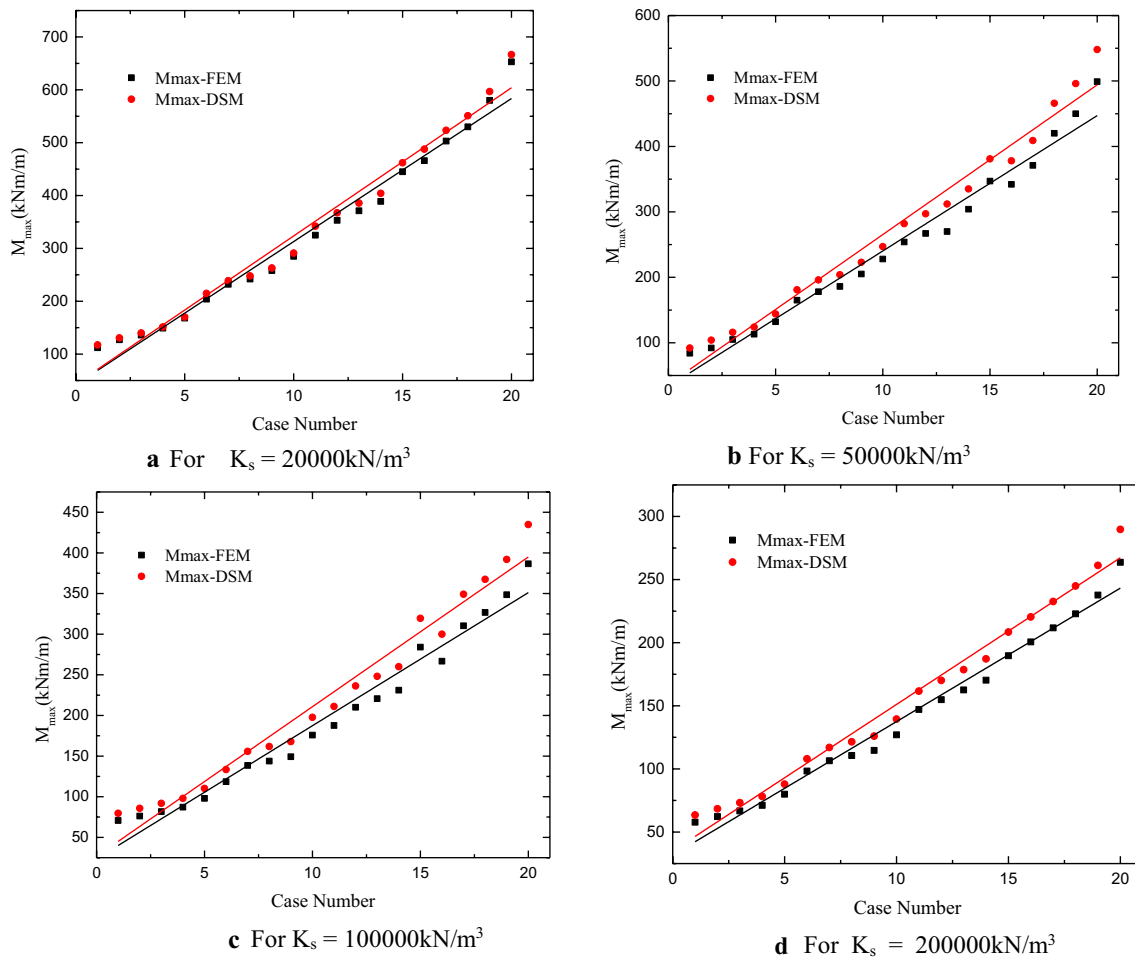


Fig. 10 Comparison of maximum BM for DSM and FEM

edge (M_{\min} Fig. 7) is one-third the maximum BM. The maximum BM value can be calculated using DSM.

Proposed approach for distribution of reinforcement

The proposed method for distribution of reinforcement by Reynolds et al. (2007) and Seward (2014) was shown not to be valid for all the cases, and the reinforcement placement recommended by IS456 (2000) was not applicable to a square footing. On the other hand, when the reinforcement sizing is inconsistent with the importance of the structures, it can cause serious damage (Sadaoui and Bahar 2017). Providing uniform reinforcement throughout the width was not appropriate, because the BM varies across the width of the footing. At the central zone of the footing, the BM is a maximum, and FEM produces larger moments than the conventional method. The distribution of reinforcements must be as per the BM distribution. Figure 11 shows the details of the reinforcement for maximum BM, and the central zone can be calculated using the following:

$$\text{Central zone} = \frac{L}{5} + b \quad \text{or} \quad \frac{B}{5} + b, \quad (11)$$

where $L=B$ = length or width of footing and b width or depth of column.

The bending moment is more at the central zone, i.e., $L/5 + b$, and the remaining zone equals to $L - (L/5 + b)$, is as shown in Fig. 11. The central zone covers 35–40% part of total length or width of footing. In addition, the excessive moment in this zone is found to be 40% more than average bending moment. Hence, 40% reinforcement of total reinforcement should be provided in this central zone.

Conclusions

The paper presented a simple approach to calculate the depth of and the placement of steel reinforcement for an isolated square footing under concentric loading. Observations were limited to square footings and columns with concentric loading. The working stress method (WSM) and the limit state

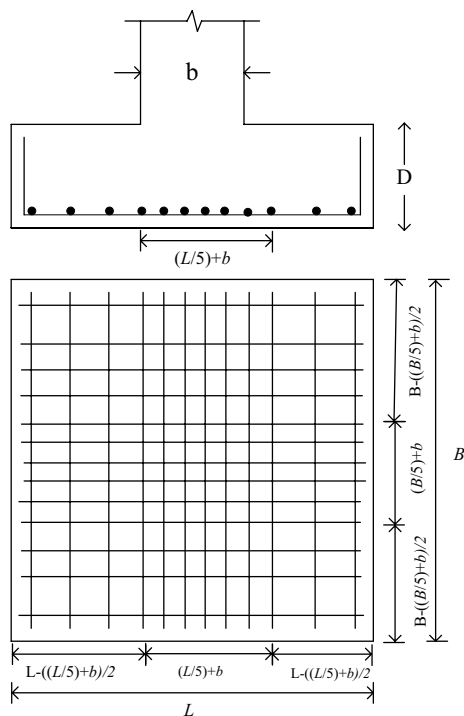


Fig. 11 Proposed placement of reinforcement in isolated square footing

method (LSM) failed to fulfill the rigidity requirements for a footing in the absence of sufficient width. The proposed procedure for calculating depth can be used in any case, because it gives a more conservative depth for lower subgrades and smaller depth for higher subgrades when compared to the existing methods. Depth calculated using the new approach agreed well with finite-element method (FEM), and also it satisfied the criterion for development length in compression (L_{dc}) for lower subgrades. For higher subgrades, depth should be calculated using the maximum of the LSM, the new approach, or minimum reinforcement approach. Reynolds's handbook approach should not be used for higher subgrades, because it results in a lower depth than FEM. For the calculation of rigid depth, the total moment should be considered, which could be calculated by the conventional method or by Reynolds's handbook equations.

Diagonal strip method (DSM) is not only a simple approach, but it is also an effective alternative to FEM for determining maximum bending moment (BM). The bending moments calculated with this method are nearly identical to the FEM results. Bending moments at the central zone and at the edge can be calculated using the bending moments of the DSM. Tensile reinforcement depends on the BM distribution. The proposed procedure of reinforcement distribution across the section of the footing is better than the conventional methods, which use uniform spacing of reinforcement, because this satisfies the BM distribution

requirement. As per the proposed method, 40% of the total reinforcement must be provided at the central zone, because the BM distribution is highest here, and the remaining 60% should be placed in the remaining zones.

Compliance with ethical standards

Conflict of interest On behalf of all authors, the corresponding author states that there is no conflict of interest.

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