

# Principal ideals in mod- $\ell$ Milnor $K$ -theory

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**Abstract** Fix a symbol  $\underline{a}$  in the mod- $\ell$  Milnor  $K$ -theory of a field  $k$ , and a norm variety  $X$  for  $\underline{a}$ . We show that the ideal generated by  $\underline{a}$  is the kernel of the  $K$ -theory map induced by  $k \subset k(X)$  and give generators for the annihilator of the ideal. When  $\ell = 2$ , this was done by Orlov, Vishik and Voevodsky.

**Keywords** Milnor  $K$ -theory · Norm variety · Motives

## 1 Introduction

Let  $\ell$  be a prime and  $k$  a field containing  $1/\ell$ . Given units  $a_1, \dots, a_n \in k^\times$  we can form the Steinberg symbol  $\underline{a} = \{a_1, \dots, a_n\}$  in  $K_n^M(k)$ ; we wish to study the ideal  $(\underline{a})$  generated by  $\underline{a}$  in  $K_n^M(k)/\ell$ . What is the quotient ring  $(K_*^M(k)/\ell)/(\underline{a})$ , and what is the annihilator ideal  $\text{ann}(\underline{a})$ , so that  $(\underline{a}) = (K_*^M(k)/\ell)/\text{ann}(\underline{a})$ ?

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Here is the main result of this paper; it was proven for  $\ell = 2$  by Orlov, Vishik and Voevodsky in [12, 2.1].

**Theorem 1.1** *Suppose that  $\text{char } k = 0$ , and let  $X$  be a norm variety for a nontrivial symbol  $\underline{a}$  in  $K_n^M(k)/\ell$ . Then:*

- (a) *the kernel of  $K_*^M(k)/\ell \rightarrow K_*^M(k(X))/\ell$  is the ideal of  $K_*^M(k)/\ell$  generated by  $\underline{a}$ ;*
- (b) *the annihilator of  $\underline{a}$  is the ideal of  $K_*^M(k)/\ell$  generated by the norms*

$$\{N(\alpha) \in K_*^M(k)/\ell \mid \alpha \in K_*^M(k(x)), x \text{ a closed point in } X\}.$$

Theorem 1.1 uses the notion of a *norm variety*; see Definition 3.1 below. The existence of norm varieties is due to Rost; the terminology comes from [13] and [6, 1.18].

*Examples 1.2* Theorem 1.1(a) implies that  $K_i^M(k)/\ell \rightarrow K_i^M(k(X))/\ell$  is an injection when  $i < n$ , that the kernel of  $K_n^M(k)/\ell \rightarrow K_n^M(k(X))/\ell$  is exactly the cyclic subgroup generated by  $\underline{a}$  and that the kernel of  $K_{n+1}^M(k)/\ell \rightarrow K_{n+1}^M(k(X))/\ell$  is the subgroup  $\underline{a} \cup k^\times$ .

The group of units  $b$  in  $k^\times/k^{\times\ell}$  such that  $\{a_1, \dots, a_n, b\} = 0$  in  $K_{n+1}^M(k)/\ell$  forms the degree 1 part of the ideal  $\text{ann}(\underline{a})$ . This group, described in Theorem 1.1(b) was originally described by Voevodsky. If  $H_{p,q}(X)$  is the motivic homology of a norm variety for  $\underline{a}$ ,  $X$ , and  $k$  has no extensions of degree  $\ell$ , Voevodsky proved in [13, A.1 and 2.9] that the pushforward  $\pi_* : H_{-1,-1}(X) \rightarrow H_{-1,-1}(\text{Spec } k) = k^\times$  induces an exact sequence

$$1 \longrightarrow \overline{H}_{-1,-1}(X) \xrightarrow{\pi_*} k^\times \xrightarrow{\underline{a} \cup} K_{n+1}^M(k)/\ell. \tag{1.2a}$$

Here  $\overline{H}_{p,q}(X)$  denotes the coequalizer of the two projections  $H_{p,q}(X \times X) \rightrightarrows H_{p,q}(X)$ . Thus the degree 1 part of  $\text{ann}(\underline{a})$  is  $\overline{H}_{-1,-1}(X) : \{\underline{a}, b\} = 0$  if and only if  $b \in \overline{H}_{-1,-1}(X)$ .

When  $n = 1$ , write  $\underline{a} = (a)$  for  $a \in k^\times$ , and set  $E = k(\sqrt[\ell]{a})$ . Then  $X = \text{Spec}(E)$  is a norm variety for  $\underline{a}$ . For simplicity, suppose that  $k$  contains an  $\ell$ th root of unity,  $\zeta$ . The degree 2 part of  $(\underline{a})$  is the group of symbols  $a \cup b$ ; under the isomorphism  $H_{\text{ct}}^2(k, \mathbb{Z}/\ell) \cong {}_\ell\text{Br}(k)$ ,  $a \cup b$  is identified with the class of the cyclic algebra  $A_\zeta(a, b)$  in the Brauer group. Theorem 1.1 describes the group of units  $b$  for which  $A_\zeta(a, b)$  is a matrix algebra, and the class of division algebras (or classes  $[A] \in {}_\ell\text{Br}(k)$ ) which are equivalent to cyclic algebras. In this case, Kummer theory gives the answer: the group of units is the image  $N(E^\times)$  of the norm map  $E^\times \rightarrow k^\times$ , and the class of division algebras equivalent to cyclic algebras is the class of algebras split by  $E$ . (See [20, 6.4.8].) In fact, we have the classical exact sequence

$$1 \longrightarrow N(E^\times) \longrightarrow k^\times \xrightarrow{a \cup} H_{\text{ct}}^2(k, \mathbb{Z}/\ell) \longrightarrow H_{\text{ct}}^2(E, \mathbb{Z}/\ell)^{\text{Gal}(E/k)}. \tag{1.2b}$$

When  $n = 1$ , Theorem 1.1 states that for every unit  $a$  not in  $k^{\times \ell}$  there are exact sequences

$$1 \longrightarrow K_i^M(E)_{\text{Gal}(E/k)} \xrightarrow{N} K_i^M(k) \xrightarrow{\cup a} K_{i+1}^M(k)/\ell \longrightarrow (K_{i+1}^M(E)/\ell)^{\text{Gal}(E/k)}; \tag{1.2c}$$

when  $i = 1$  this is exactly (1.2b). This follows from Voevodsky’s Galois computations [6, 3.2 and 3.6] (cf. [14, 5.2 and 6.11]) and the fact that  $\ell \cdot K_i^M(k) \subseteq N(K_i^M(E))$ .

Theorem 1.1 follows from the more technical Theorem 1.3. We note that the analysis in [12] did not need to worry about roots of unity, as any field of characteristic 0 contains the square roots of unity, and Pfister quadrics always have points of degree 2. For an odd prime  $\ell$ , the existence of a norm variety with points of degree  $\ell$  is established in [13, 1.21] modulo the Norm Principle, proven in [5, 0.3]; see Chapter 10 of [6].

**Theorem 1.3** *Let  $\text{char } k = 0$ . Suppose that  $X$  is a norm variety for a symbol  $\underline{a}$  in  $K_n^M(k)/\ell$  containing a point  $x$  with  $[k(x) : k] = \ell$ . Write  $q = n + i$  and let  $\tilde{K}_q^M(k(X))/\ell$  denote the equalizer of the maps  $K_q^M(k(X))/\ell \rightrightarrows K_q^M(k(X \times X))/\ell$ ;  $\mathfrak{X}$  denotes the 0-coskeleton of  $X$  (see Definition 3.3).*

(a) *If  $\mu_\ell \subset k^\times$ , there is an exact sequence for all  $i$ :*

$$\begin{aligned} \overline{H}_{-i,-i}(X) &\xrightarrow{\pi_*} K_i^M(k) \xrightarrow{a \cup} K_q^M(k)/\ell \\ &\xrightarrow{\iota} \tilde{K}_q^M(k(X))/\ell \longrightarrow H^{q+1,q-1}(\mathfrak{X}, \mathbb{Z}/\ell). \end{aligned}$$

(b) *If  $\mu_\ell \not\subset k^\times$ , set  $e = [k(\zeta) : k]$  and  $X' = X \times_{k_1} k(\zeta)$ , where  $k_1 = k(\zeta) \cap k(X)$ . If  $\mathfrak{X}'$  denotes the 0-coskeleton of  $X'$  over  $k(\zeta)$ , then for all  $i$  there is an exact sequence:*

$$\begin{aligned} \overline{H}_{-i,-i}(X)[e^{-1}] &\xrightarrow{\pi_*} K_i^M(k)[e^{-1}] \xrightarrow{a \cup} \\ K_q^M(k)/\ell &\xrightarrow{\iota} \tilde{K}_q^M(k(X))/\ell \longrightarrow H^{q+1,q-1}(\mathfrak{X}', \mathbb{Z}/\ell)^G. \end{aligned}$$

The map  $\iota$  is induced by the homomorphism  $k \rightarrow k(X)$ , and  $G = \text{Gal}(k'/k_1)$ .

The sequences (1.2a), (1.2b) and (1.2c) begin with an injection. This is often, but not always, the case.

**Question 1.4** *In the situation of Theorem 1.3(a) with  $\mu_\ell \subset k^\times$ , when is  $\pi_*$  an injection?*

For  $i = 0$ , the map  $\pi_*$  is an injection:  $\overline{H}_{0,0}(X) = \mathbb{Z}$ , and its image in  $K_0(k) = \mathbb{Z}$  is  $\ell\mathbb{Z}$ . (This observation goes back to [9, 8.7.2].) This calculation shows that the mod- $\ell$  reduction  $\overline{H}_{0,0}(X, \mathbb{Z}/\ell) \rightarrow K_0^M(k)/\ell$  of  $\pi_*$  is not always an injection.

The map  $\pi_*$  is an injection for  $i = 1$  by Eq. (1.2a), and for  $n = 1$  by Lemma 2.5 below. However, if  $k$  does not contain the  $\ell^{\text{th}}$  roots of unity,  $\pi_*$  need not be an injection even for  $i = n = 1$ , as the classical Hilbert Theorem 90 can fail; see Example 2.6 below.

Theorem 1.1(b) could be strengthened to only look at norms of elements in  $K_1^M(k) = k^\times$  if we knew that the answer to the following question was affirmative:

**Question 1.5** *If  $E/F$  is a Galois extension of prime degree, is  $K_{n+1}^M(E)$  always generated by symbols  $\{a_1, \dots, a_n, b\}$  with  $a_i \in F^\times$  and  $b \in E^\times$ ?*

*It suffices to check the case  $n = 1$ : is  $K_2^M(E)$  is always generated by symbols  $\{a, b\}$  with  $a \in F^\times$  and  $b \in E^\times$ ?*

If  $\ell = 2$ ,  $\ell = 3$  or  $k$  is  $\ell$ -special, this is the case;  $K_2^M k(x)$  is generated by symbols  $\{a, b\}$  with  $a \in k^\times$  and  $b \in k(x)^\times$ ; see [8, Lemma 2], [1, p.388]. By Becher [2, 1.1],  $K_n^M k(x)$  is also generated by symbols  $\{\alpha, \beta\}$  with  $\alpha \in K_{n-m}^M(k)$ ,  $\beta \in K_m^M(k)$  if  $\ell < 2^{m+1}$ .

The restriction to prime degree is necessary in Question 1.5. Becher has pointed out in [2, 3.1] that if  $E = k(x, y)$  and  $F = k(x^\ell, y^\ell)$  then  $\{x, y\}$  cannot be written in this form, as the tame symbol  $\partial_y : K_2^M(E) \rightarrow k(x)^\times/k^{\times\ell}$  shows. In this case,  $[E : F] = \ell^2$ .

*Remark 1.6* Although most of our results work over perfect fields of arbitrary characteristic, the assumption that  $k$  has characteristic 0 is needed in two places.

1. To prove that norm varieties exist for symbols of length  $n$ . This would go through for any perfect field of positive characteristic (by induction on  $n$ ) if we could prove that for symbols of length  $n - 1$  over  $k$ , a norm variety  $Y$  exists which satisfies the *Norm Principle* (see [5, 0.3] or [6, 10.17]). The inductive step is given in [6, 10.21].
2. We also need characteristic 0 to show that the symmetric characteristic class  $s_d(X)$  of a norm variety is nonzero modulo  $\ell^2$ . The proof in characteristic 0 is due to Rost (unpublished), and given in Proposition 10.13 of [6], and depends upon the Connor–Floyd theory of equivariant cobordisms on complex  $G$ -manifolds (as given by Theorem 8.16 in *loc. cit.*) It is possible that a proof in characteristic  $p > 0$  could be given along the lines of [13, 5.2], if we assume resolution of singularities.

We will therefore state as many of our results in as much generality as possible, only restricting to characteristic zero when absolutely necessary.

*Remark 1.7* After writing this paper, we discovered that many of our results are in Yagita's paper [21] and in the Merkurjev–Suslin paper [10] when  $\mu_\ell \subset k^\times$ . (Compare [21, Thm. 10.3] to our 1.3a and [10, 2.1] to our 1.1 and 1.3.) The basic technique in these papers, and in ours, is the same: generalize the ideas in [12], using Rost's norm varieties for  $\ell > 2$ . Yagita's proof is somewhat sketchy as it predated a clear understanding of norm varieties. Merkurjev and Suslin prove Theorem 1.1(b) when  $\mu_\ell \subset k^\times$ , but their result does not discuss  $K_n^M(k)$  in the absence of roots of unity. Since neither of these results directly addresses the ring structure of  $K_*^M(k)/\ell$ , nor do they contain the final term  $H^{q+1, q-1}$  in our Theorem 0.3, we feel that our exposition should be added to the public record.

*Notation and conventions* We fix a prime  $\ell$  and an  $\ell$ th root of unity  $\zeta$ . We write  $H^{p, q}(Y, \mathbb{Z}/\ell)$  for  $H_{\text{nis}}^p(Y, \mathbb{Z}/\ell(q))$ .

## 2 Borel–Moore homology

The first term in Theorem 1.3 uses the motivic homology group  $H_{-i,-i}(X)$  of a smooth projective variety  $X$  (with coefficients in  $\mathbb{Z}$ ). However, it is more useful to think of it as the Borel–Moore homology group  $H_{-i,-i}^{BM}(X)$ , which is covariant for proper maps between smooth varieties, and contravariant for finite flat maps; see [4, p. 185] or [11, 16.13]. We define  $H_{-i,-i}^{BM}(X)$  to be  $H_{-i,-i}^{BM}(X, \mathbb{Z})$  if  $\text{char } k = 0$ , and  $H_{-i,-i}^{BM}(X, \mathbb{Z}[1/p])$  if  $\text{char } k = p > 0$ .

Let  $X$  be smooth and projective. We then have  $H_{-i,-i}(X) = H_{-i,-i}^{BM}(X)$ , and more generally  $H_{p,q}(X, \mathbb{Z}) = H_{p,q}^{BM}(X, \mathbb{Z})$ , because the natural map from  $M(X) = \mathbb{Z}_{\text{tr}}(X)$  to  $M^c(X)$  in  $\mathbf{DM}$  is an isomorphism for smooth projective  $X$ . Recall from [11, 2.8] that  $\mathbb{Z}_{\text{tr}}(X)$  denotes the sheaf with transfers represented by  $X$ , and Voevodsky’s triangulated category  $\mathbf{DM}$  is a localization of the derived category of sheaves with transfers; see for example [11, p. 110]. The motivic homology groups  $H_{p,q}(X, \mathbb{Z})$  of  $X$  are defined to be  $\text{Hom}_{\mathbf{DM}}(\mathbb{Z}(q)[p], M(X))$ , while the Borel–Moore homology groups  $H_{p,q}^{BM}(X, \mathbb{Z})$  are defined to be  $\text{Hom}_{\mathbf{DM}}(\mathbb{Z}(q)[p], M^c(X))$ ; see [4, p. 185] or [11, 14.17, 16.20].

The case  $i = 1$  of the following result was proven in [13].

**Proposition 2.1** *Let  $X$  be a smooth variety over a perfect field  $k$ ; write  $X^{(0)}$  for the closed points of  $X$  and  $X^{(1)}$  for the dimension 1 points of  $X$ . If  $i \geq 0$ ,  $H_{-i,-i}^{BM}(X)$  is the abelian group generated by symbols  $[x, \alpha]$ , where  $x$  is a closed point of  $X$  and  $\alpha \in K_i^M(k(x))$ , modulo the relations*

- (i)  $[x, \alpha][x, \alpha'] = [x, \alpha + \alpha']$  and
- (ii) *the image of the tame symbol  $K_{i+1}^M(k(y)) \rightarrow \bigoplus K_i^M(k(x)) \rightarrow H_{-i,-i}^{BM}(X)$  is zero for every dimension 1 point  $y$  of  $X$ .*

That is, we have an exact sequence

$$\coprod_{y \in X^{(1)}} K_{i+1}^M(k(y)) \xrightarrow{\text{tame}} \coprod_{x \in X^{(0)}} K_i^M(k(x)) \longrightarrow H_{-i,-i}^{BM}(X) \longrightarrow 0.$$

In addition,  $H_{-i,-i}^{BM}(X)$  is isomorphic to  $H^{2d+i,d+i}(X, \mathbb{Z})$ .

*Proof* Let  $A$  denote the abelian group with generators  $[x, \alpha]$  and relations (i) and (ii), described in the Proposition, and set  $d = \dim(X)$ . We first show that  $A$  is isomorphic to  $H^d(X, \mathcal{H}^{d+i})$ , where  $\mathcal{H}^q$  denotes the Zariski sheaf associated to the presheaf  $H^{q,d+i}(-, \mathbb{Z})$ . For each  $q$ ,  $\mathcal{H}^q$  is a homotopy invariant Zariski sheaf, by [11, 24.1]. As such, it has a canonical flasque “Gersten” resolution on each smooth  $X$  (given in [11, 24.11]), whose  $c^{\text{th}}$  term is the coproduct over codimension  $c$  points  $z$  of  $X$  of the skyscraper sheaves  $H^{q-c,d+i-c}(k(z))$ . Taking  $q = d+i$ , and recalling that  $K_i^M \cong H^{i,i}$  on fields, we see that the stalks of the skyscraper sheaves in the  $(d-1)^{\text{st}}$  and  $d^{\text{th}}$  terms are groups  $K_{i+1}^M(k(y))$  and  $K_i^M(k(x))$ . Moreover, the map  $K_{i+1}^M(k(y)) \rightarrow K_i^M(k(x))$  is the tame symbol if  $x \in \overline{\{y\}}$ , and zero otherwise. As  $H^d(X, \mathcal{H}^{d+i})$  is obtained by taking global sections and then cohomology, it is isomorphic to  $A$ .

Next, we show that  $A$  is isomorphic to  $H^{2d+i,d+i}(X, \mathbb{Z})$ . To this end, consider the hypercohomology spectral sequence  $E_2^{p,q} = H^p(X, \mathcal{H}^q) \Rightarrow H^{p+q,d+i}(X, \mathbb{Z})$ .

Since  $H^{q,d+i}(k(z)) = 0$  for  $q > d + i$ , the spectral sequence is zero unless  $p \leq d$  and  $q \leq d + i$ . From this we deduce that  $H^{2d+i,d+i}(X, \mathbb{Z}) \cong H^d(X, \mathcal{H}^{d+i}) \cong A$ .

Finally, we show that  $H_{-i,-i}^{BM}(X)$  is isomorphic to  $H^{2d+i,d+i}(X, \mathbb{Z})$ . Suppose first that  $i = 0$ . Then the presentation describes  $CH_0(X) \cong H^{2d,d}(X, \mathbb{Z})$ , and by [17] we also have  $H_{0,0}^{BM}(X) = CH_0(X)$ . Thus we may assume that  $i > 0$ .

If  $\text{char}(k) = 0$ , the proof is finished by the duality calculation, which uses Motivic Duality with  $d = \dim(X)$  (see [11, 16.24] or [4, 7.1]):

$$\begin{aligned} H_{-i,-i}^{BM}(X, \mathbb{Z}) &= \text{Hom}_{\mathbf{DM}}(\mathbb{Z}, M^c(X)(i)[i]) = \text{Hom}_{\mathbf{DM}}(\mathbb{Z}(d)[2d], M^c(X)(d+i)[2d+i]) \\ &= \text{Hom}_{\mathbf{DM}}(M(X), \mathbb{Z}(d+i)[2d+i]) = H^{2d+i,d+i}(X, \mathbb{Z}). \end{aligned}$$

Now suppose that  $k$  is a perfect field of  $\text{char}(k) = p > 0$ . As we show below in Lemma 2.2,  $K_i^M(k(x))$  and  $K_{i+1}^M(k(y))$  are uniquely  $p$ -divisible for  $i \geq 1$  (when  $x$  is closed in  $X$  and  $\text{trdeg}_k k(y) = 1$ ). Thus  $A$  must also be uniquely  $p$ -divisible. Since  $H^{2d+i,d+i}(X, \mathbb{Z}) \cong A$ , the duality calculation above goes through with  $\mathbb{Z}$  replaced by  $\mathbb{Z}[1/p]$ , using the characteristic  $p$  version of Motivic Duality (see [7, 5.5.14]) and we have  $H_{-i,-i}^{BM}(X, \mathbb{Z}[1/p]) \cong H^{2d+i,d+i}(X, \mathbb{Z}[1/p]) \cong H^{2d+i,d+i}(X, \mathbb{Z})$ .  $\square$

**Lemma 2.2** (Izhboldin) *Let  $E$  be a field of transcendence degree  $t$  over a perfect field  $k$  of characteristic  $p$ . Then  $K_m^M(E)$  is uniquely  $p$ -divisible for  $m > t$ .*

*Proof* For any field  $E$  of characteristic  $p$ , the group  $K_m^M(E)$  has no  $p$ -torsion by Izhboldin’s Theorem ([20, III.7.8]), and the  $d\log$  map  $K_m^M(E)/p \rightarrow \Omega_E^m$  is an injection with image  $v(m)$ ; see [20, III.7.7.2]. Since  $k$  is perfect,  $\Omega_k^1 = 0$  and  $\Omega_E^1$  is  $t$ -dimensional, so if  $m > t$  then  $\Omega_E^m = 0$  and hence  $K_m^M(E)/p = 0$ .  $\square$

*Example 2.3* (i)  $H_{-i,-i}(\text{Spec } E) = K_i^M(E)$  for every field  $E$  over  $k$ , as is evident from the presentation in Proposition 2.1.

(ii) If  $E$  is a finite extension of  $k$ , the proper pushforward from  $K_i^M(E) = H_{-i,-i}(\text{Spec } E)$  to  $K_i^M(k) = H_{-i,-i}(\text{Spec } k)$  is just the norm map  $N_{E/k}$ ; see [20, III.7.5.3].

(iii) If  $\pi : X \rightarrow \text{Spec}(k)$  is proper, and  $x \in X$  is closed, the restriction of the pushforward

$$\pi_* : H_{-i,-i}(X) \rightarrow H_{-i,-i}(\text{Spec } k) = K_i^M(k)$$

to  $K_i^M(k(x))$  sends  $[x, \alpha]$  to the norm  $N_{k(x)/k}(\alpha)$ . This follows from (ii) by functoriality of  $H_{-i,-i}$  for the composite  $\text{Spec } k(x) \rightarrow X \rightarrow \text{Spec } k$ ,  $x \in X$  closed. From the presentation in Proposition 2.1, the map  $N_{X/k}$  is completely determined by the formula  $\pi_*[x, \alpha] = N_{k(x)/k}(\alpha)$ .

In particular, the image of  $\pi_*$  is the subgroup of  $K_i^M(k)$  generated by the norms  $N_{k(x)/k}(\alpha)$  of  $\alpha \in k(x)^\times$  as  $x$  ranges over the closed points of  $X$ .

**Lemma 2.4** *Suppose that  $\mu_\ell \subset k$ . Let  $E = k(\sqrt[\ell]{a})$  and write  $X = \text{Spec}(E)$ ,  $G = \text{Gal}(E/k)$ . Then  $X \times X \cong \coprod_G X$ .*

*Proof* Since  $E$  is a Galois extension,  $E \otimes E \cong \prod_G E$ ; thus  $X \times X \cong \text{Spec}(E \otimes E) \cong \coprod_G X$ .  $\square$

**Lemma 2.5** *Suppose that  $\mu_\ell \subset k$  and  $a \in k^\times$ , and set  $E = k(\sqrt[\ell]{a})$ ,  $X = \text{Spec}(E)$ . Then  $\overline{H}_{-i, -i}(X) \cong K_i^M(E)_{\text{Gal}(E/k)}$ , and  $\overline{H}_{-i, -i}(X) \rightarrow K_i^M(k)$  is an injection.*

*Proof* Note that  $E/k$  is Galois with group  $G$ , so  $X \times X \cong \coprod_G X$  by Lemma 2.4 and  $\overline{H}_{-i, -i}(X) \cong (K_i^M E)_G$  by Example 2.3(i). In this case,  $(K_i^M E)_G$  is a subgroup of  $K_i^M(k)$  by (1.2c). □

*Example 2.6* If  $E/k$  is not Galois,  $\overline{H}_{-i, -i}(\text{Spec}(E)) \rightarrow K_i^M(k)$  need not be an injection, even for  $n = 1$ . One way to think of this is to realize that the classical Hilbert 90 asserts exactness of  $(E \otimes E)^\times \rightrightarrows E^\times \rightarrow k^\times$ , and Hilbert 90 requires  $E/k$  to be Galois [19, 6.4.7]. A concrete example is given by  $\ell = 3$ ,  $k = \mathbb{Q}$ , and  $E = \mathbb{Q}(\sqrt[3]{2})$ . In this case,  $\text{Spec}(E) \times \text{Spec}(E) \cong \text{Spec}(E \times F)$ , where  $F = E(\sqrt[3]{1})$ , and the coequalizer  $\overline{H}_{-1, -1}(\text{Spec}(E))$  of  $(E \times F)^\times \rightrightarrows E^\times$  does not inject into  $\mathbb{Q}^\times$ . This shows that  $\pi_*$  in Theorem 1.3(a) is not always an injection.

### 3 Norm varieties

Let  $\underline{a} = (a_1, \dots, a_n)$  be a sequence of units in a field  $k$  of characteristic not equal to  $\ell$ .

**Definition 3.1** A field  $F$  over  $k$  is said to be a *splitting field* for  $\underline{a}$  if  $\underline{a}$  vanishes in  $K_n^M(F)/\ell$ . We say that a variety  $X$  is a *splitting variety* for  $\underline{a}$  if  $k(X)$  is a splitting field for  $\underline{a}$ , i.e., if  $\underline{a}$  vanishes in  $K_n^M(k(X))/\ell$ .

Let  $X$  be a splitting variety for  $\underline{a}$ . We say that  $X$  is an  $\ell$ -*generic* splitting variety for  $\underline{a}$  if any splitting field  $F$  has a finite extension  $E$  of degree prime to  $\ell$  with  $X(E) \neq \emptyset$ .

A *norm variety* for  $\underline{a}$  is a smooth projective variety  $X$  of dimension  $d = \ell^{n-1} - 1$  which is an  $\ell$ -generic splitting variety for  $\underline{a}$ . When  $\text{char}(k) = 0$ , a norm variety for  $\underline{a}$  always exists (see [6, 10.16]).

For example,  $E = k(\sqrt[\ell]{a_1})$  is a splitting field for  $\underline{a} = (a_1, \dots, a_n)$ . Since a norm variety  $X$  is  $\ell$ -generic, there is a finite field extension  $E'/E$  of degree prime to  $\ell$  and an  $E'$ -point of  $X$ . The following result, due to Rost, is proven in Chapter 10 of [6].

**Theorem 3.2** *If  $\underline{a}$  is a nonzero symbol over  $k$  and  $\text{char}(k) = 0$ , then there exists a norm variety  $X$  for  $\underline{a}$  having a closed point  $x$  with  $[k(x) : k] = \ell$ .*

We will frequently use the following fact, proven in [13, 1.21] (see [6, 10.13]): if  $k$  has characteristic 0 and  $n \geq 2$ , the symmetric characteristic class  $s_d(X)$  of a norm variety  $X$  is nonzero modulo  $\ell^2$  (i.e.,  $X$  is a  $v_{n-1}$ -variety).

**Definition 3.3** Given a norm variety  $X$ , let  $\mathfrak{X}$  denote its 0-coskeleton, i.e., the simplicial scheme  $p \mapsto X^{p+1}$  with the projections  $X^{p+1} \rightarrow X^p$  as face maps and the diagonal inclusions as degeneracies.

For simplicity, we write  $\mathbb{L}$  for  $\mathbb{Z}_{(\ell)}(1)[2]$ ,  $R$  for  $\mathbb{Z}_{(\ell)}$ , and  $R_{\text{tr}}(\mathfrak{X})$  for  $\mathbb{Z}_{\text{tr}}(\mathfrak{X})_{(\ell)}$ . We also regard  $X$  as a Chow motive. Recall [11, 20.1] that Chow motives form a full subcategory of **DM**, and that an idempotent element  $e \in CH^{\dim X}(X \times X)$  gives rise to a summand  $(X, e)$  of  $X$  in this category. Switching factors in  $X \times X$  yields the transpose idempotent  $e^t$  and a summand  $(X, e^t)$ .

**Theorem 3.4** *Let  $X$  be a norm variety for  $\underline{a}$  such that  $s_d(X)$  is nonzero modulo  $\ell^2$ . Then there is a Chow motive  $M = (X, e)$  with coefficients  $\mathbb{Z}_{(\ell)}$ , such that*

- (i)  $M = (X, e)$  is a symmetric Chow motive, i.e.,  $(X, e) = (X, e^t)$ ;
- (ii) The projection  $X \rightarrow \mathbb{Z}_{(\ell)}$  factors as  $X \rightarrow (X, e) \rightarrow \mathbb{Z}_{(\ell)}$ , i.e., is zero on  $(X, 1 - e)$ ;
- (iii) There is a motive  $D$  related to the structure map  $y : M \rightarrow R_{\text{tr}}(\mathfrak{X})$  and its twisted dual  $y^D$  by two distinguished triangles in **DM**, where  $b = d/(\ell - 1)$ :

$$D \otimes \mathbb{L}^b \longrightarrow M \xrightarrow{y} R_{\text{tr}}(\mathfrak{X}) \xrightarrow{s} D \otimes \mathbb{L}^b[1], \tag{3.4a}$$

$$R_{\text{tr}}(\mathfrak{X}) \otimes \mathbb{L}^d \xrightarrow{y^D} M \xrightarrow{u} D \xrightarrow{r} R_{\text{tr}}(\mathfrak{X}) \otimes \mathbb{L}^d[1]. \tag{3.4b}$$

*Proof* This is proven carefully in [6, Ch. 5]; the construction is due to Voevodsky [16, pp. 422–428] and appears in Section 1 of [18]. Specifically,  $\underline{a}$  determines a motive  $A$  by (5.1), Definition 5.5 and 5.13.1 of [6]; by definition,  $M = S^{\ell-1}(A)$  and  $D = S^{\ell-2}(A)$ , where  $S^m(A)$  is the  $m$ th symmetric product of  $A$ . Part (i) follows from 5.19; part (ii) follows from 5.9; and part (iii) follows from 5.7 of *loc. cit.* □

Although many of our techniques require the field  $k$  to contain the  $\ell$ th roots of unity, we can sometimes remove this restriction using the following observation. Given a norm variety  $X$  over a field  $k$ , let  $k_1$  denote the largest subfield of  $k(\zeta)$  contained in  $k(X)$ . Then  $X$  is also a norm variety for  $\underline{a}$  over  $k_1$ .

**Lemma 3.5** *Given a nonzero symbol  $\underline{a} \in K_*^M(k)/\ell$ , let  $X$  be a norm variety for  $\underline{a}$  over  $k$ . Then every component  $X'$  of  $X_{k(\zeta)}$  is a norm variety for  $\underline{a}$  over  $k(\zeta)$ .*

*Proof* Clearly,  $X'$  is a splitting variety for  $\underline{a}$  of the right dimension. Given a splitting field  $F$  of  $\underline{a}$  over  $k(\zeta)$ , there is a prime-to- $\ell$  extension  $E$  of  $F$  such that  $k(\zeta) \subset E$  and such that there exists a map  $\text{Spec } E \rightarrow X$  over  $k$ . By basechange, there is a map  $\text{Spec } E \otimes_k k(\zeta) \rightarrow X_{k(\zeta)}$  over  $k(\zeta)$ . As  $k(\zeta) \subset E$ ,  $E \otimes_k k(\zeta)$  is a  $\text{Gal}(k(\zeta)/k)$ -indexed product of copies of  $E$ . Since  $\text{Gal}(k(\zeta)/k)$  acts transitively on the components of  $X_{k(\zeta)}$ , each component  $X'$  of  $X_{k(\zeta)}$  has an  $E$ -point. Thus  $X'$  is a norm variety over  $k(\zeta)$ . □

*Remark 3.6*  $X_{k(\zeta)}$  is a  $\text{Gal}(k_1/k)$ -indexed coproduct of copies of  $X' = X \times_{k_1} \text{Spec } k(\zeta)$ .

### 4 Reducing to Theorem 1.3 over fields containing $\ell$ -th roots

We are now ready to prove Theorem 1.1 assuming Theorem 1.3. Fix a field  $k$  of characteristic 0, a symbol  $\underline{a}$  and a norm variety  $X$  for  $\underline{a}$ . We first observe that, given Example 2.3(ii), the statement of Theorem 1.1 is equivalent to the exactness of the sequence

$$H_{-i, -i}(X)/\ell \xrightarrow{\pi_*} K_i^M(k)/\ell \xrightarrow{\underline{a} \cup} K_{i+n}^M(k)/\ell \xrightarrow{\iota} K_{i+n}^M(k(X))/\ell. \tag{4.1}$$



As observed in Example 1.2, Theorem 1.1 for  $n = 1$  follows from (1.2c) when  $\mu_\ell \subset k^\times$ .

**Proposition 4.2** *Suppose that Theorem 1.3 holds over  $k$ . Then so does Theorem 1.1.*

*Proof* As the equalizer  $\tilde{K}_{i+n}^M(k(X))/\ell$  is a subgroup of  $K_{i+n}^M(k(X))/\ell$ , Theorem 1.3 implies that there is an exact sequence

$$H_{-i,-i}(X)[e^{-1}] \xrightarrow{\pi_*} K_i^M(k)[e^{-1}] \xrightarrow{a_U} K_{i+n}^M(k)/\ell \xrightarrow{\iota} K_{i+n}^M(k(X))/\ell.$$

(If  $\mu \subset k^\times$  then  $e = 1$ ). Exactness of (4.1) is immediate. □

Thus we have reduced the proof of Theorem 1.1 to Theorem 1.3. We will now show that proving Theorem 1.3 over fields containing  $\ell$ th roots of unity suffices.

**Proposition 4.3** *Suppose that Theorem 1.3 holds for all fields of characteristic 0 which contain  $\ell$ th roots of unity. Then Theorem 1.3 holds for all fields of characteristic 0.*

*Proof* Let  $k$  be any field of characteristic 0 not containing an  $\ell^{th}$  root of unity,  $\zeta$ . Set  $q = n + i$ ,  $k' = k(\zeta)$ ,  $k_1 = k' \cap k(X)$ ,  $e = [k' : k]$  and  $G = \text{Gal}(k'/k_1)$ , as in the statement of Theorem 1.3(b). By Lemma 3.5 and Remark 3.6, the component  $X' = X \times_{k_1} \text{Spec}(k')$  of  $X_{k'}$  is a norm variety for  $\underline{a}$  over  $k'$ . The action of  $G$  on  $k'$  induces actions of  $G$  on  $X'$  and its 0-skeleton  $\mathfrak{X}'$ , and induces the last map in Theorem 1.3(b):

$$\tilde{K}_q^M(k(X))/\ell \xrightarrow{j} (\tilde{K}_q^M(k'(X'))/\ell)^G \xrightarrow{\partial} H^{q+1,q-1}(\mathfrak{X}')^G.$$

Since  $e$  is prime to  $\ell$ , inverting  $e$  in the exact sequence of Theorem 1.3 for  $k'$  yields the exact sequence forming the bottom row of the following diagram, in which the downward arrows are base change maps and the upward arrows are the norm maps.

$$\begin{array}{ccccccc} H_{-i,-i}(X)[e^{-1}] & \xrightarrow{\pi_*} & K_i^M(k)[e^{-1}] & \xrightarrow{a_U} & K_q^M(k)/\ell & \xrightarrow{\iota} & \tilde{K}_q^M(k(X))/\ell & \xrightarrow{\partial j} & H^{q+1,q-1}(\mathfrak{X}')^G \\ N \uparrow & & N \uparrow \downarrow & & N \uparrow \downarrow & & \downarrow j & & \downarrow \\ H_{-i,-i}(X')[e^{-1}] & \xrightarrow{\pi'_*} & K_i^M(k')[e^{-1}] & \xrightarrow{a'_U} & K_q^M(k')/\ell & \xrightarrow{\iota} & \tilde{K}_q^M(k'(X'))/\ell & \xrightarrow{\partial} & H^{q+1,q-1}(\mathfrak{X}') \end{array}$$

As each  $K$ -group is covariantly functorial, the diagram with the downward set of arrows commutes; the diagram with the upward set of arrows commutes by naturality and the projection formula [20, III.7.5.2]. The downward map  $K_*^M(k) \rightarrow K_*^M(k')$ , followed by the norm map, is multiplication by  $e = [k' : k]$ . A diagram chase now shows that the top row of the diagram is exact. □

*Remark 4.4* The map  $j$  is also injective in the above diagram. To see this, note that (by the projection formula) the norm  $K_q^M(k'(X'))/\ell \rightarrow K_q^M(k(X))/\ell$  induces a map  $\tilde{N}$  from  $\tilde{K}_q^M(k'(X'))/\ell$  to  $\tilde{K}_q^M(k(X))/\ell$ , and the composition  $\tilde{N} j$  is multiplication by  $[k' : k_1]$ , not  $e$ . Note that  $\tilde{N}$  does not commute with the norm  $K_q^M(k')/\ell \rightarrow K_q^M(k)/\ell$  unless  $k = k_1$ .

### 5 The exact sequence

In this section and the next, we assume that our field  $k$  contains an  $\ell$ th root of unity,  $\zeta$ . As before, we fix a symbol  $\underline{a}$  and a norm variety  $X$  for  $\underline{a}$ , writing  $\mathfrak{X}$  for the 0-coskeleton of  $X$ .

Given a complex  $\mathcal{F}^\bullet$  of étale sheaves, let  $\mathcal{H}^q = \mathcal{H}_{\text{nis}}^q(\mathcal{F}^\bullet)$  denote the Nisnevich sheaf associated to the presheaf  $H_{\text{ét}}^q(-, \mathcal{F}^\bullet)$ . If  $\mathcal{F}$  is a locally constant étale sheaf (such as  $\mu_\ell^{\otimes i}$ ),  $\mathcal{H}^q(\mathcal{F})$  is a Nisnevich sheaf with transfers, by [11, 6.11, 6.21 and 13.1].

**Lemma 5.1** *If  $\mathcal{F}$  is a sheaf,  $H^0(\mathfrak{X}, \mathcal{H}^q)$  is the equalizer of  $H^0(X, \mathcal{H}^q) \rightrightarrows H^0(X \times X, \mathcal{H}^q)$ .*

*Proof* This is the definition of  $H^0$  on a simplicial scheme; see [3, 5.2.2]. Alternatively, it follows from the spectral sequence  $E_1^{p,q} = H^q(X^{p+1}, \mathcal{F}) \Rightarrow H^{p+q}(\mathfrak{X}, \mathcal{F})$  for the cohomology of a sheaf on a simplicial scheme. □

*Remark 5.2* The Nisnevich sheaves  $\mathcal{H}^q(\mu_\ell^{\otimes q})$  are homotopy invariant sheaves with transfers, by [11, 24.1]. By [11, 11.1], if  $X$  is smooth then  $H^0(X, \mathcal{H}^q(\mu_\ell^{\otimes q}))$ —and hence  $H^0(\mathfrak{X}, \mathcal{H}^q(\mu_\ell^{\otimes q}))$ —injects into  $\mathcal{H}^q(\mu_\ell^{\otimes q})(\text{Spec } k(X)) = H_{\text{ét}}^q(k(X), \mu_\ell^{\otimes q}) \cong K_q^M(k(X))/\ell$ .

**Proposition 5.3** *If  $\mu_\ell \subset k^\times$ , there is a distinguished triangle in **DM** for each  $q \geq 0$ :*

$$\mathbb{Z}/\ell(q-1) \xrightarrow{\zeta} \mathbb{Z}/\ell(q) \longrightarrow \mathcal{H}^q(\mu_\ell^{\otimes q})[-q] \longrightarrow .$$

*Proof* For any Nisnevich complex  $C$  and any  $q$  we have a distinguished triangle

$$\tau^{\leq q-1}C \longrightarrow \tau^{\leq q}C \longrightarrow H^q(C)[-q] \longrightarrow .$$

Now let  $C$  be the total direct image  $R\pi_*\mu_\ell^{\otimes q}$ , where  $\pi : \mathbf{Sm}_{\text{ét}} \rightarrow \mathbf{Sm}_{\text{nis}}$ , so  $H_{\text{nis}}^*(X, C) = H_{\text{ét}}^*(X, \mu_\ell^{\otimes q})$ . Since  $\mu_\ell \subset k^\times$ , multiplication by  $\zeta$  induces an isomorphism  $\mu_\ell^{\otimes q-1} \cong \mu_\ell^{\otimes q}$ . Thus we have an isomorphism  $\cup\zeta : R\pi_*\mu_\ell^{\otimes q-1} \xrightarrow{\cong} C$ . In this case, the triangle reads:

$$\tau^{\leq q-1}R\pi_*(\mu_\ell^{\otimes q-1}) \xrightarrow{\zeta} \tau^{\leq q}R\pi_*(\mu_\ell^{\otimes q}) \longrightarrow \mathcal{H}^q(\mu_\ell^{\otimes q})[-q] \longrightarrow .$$

By the Beilinson–Lichtenbaum conjecture (which has now been proven; see [16, 6.17] or [6, Thm. B]),  $\mathbb{Z}/\ell(q) \cong \tau^{\leq q}C$  and  $\mathbb{Z}/\ell(q-1) \cong \tau^{\leq q-1}R\pi_*\mu_\ell^{\otimes q-1} \cong \tau^{\leq q-1}C$ . Combining these facts yields the distinguished triangle in question. □

Let  $\tilde{\mathfrak{X}}$  denote the simplicial cone of  $\mathfrak{X} \rightarrow \text{Spec } k$ . As a consequence of the Beilinson–Lichtenbaum conjectures, Voevodsky observed that

**Lemma 5.4** *If  $X$  is smooth, the map  $H^{p,q}(k, \mathbb{Z}/\ell) \rightarrow H^{p,q}(\tilde{\mathfrak{X}}, \mathbb{Z}/\ell)$  is an isomorphism if  $p \leq q$  and an injection if  $p = q + 1$ . That is,  $H^{p,q}(\tilde{\mathfrak{X}}, \mathbb{Z}/\ell) = 0$  if  $p \leq q + 1$ .*

*Proof* See [14, 6.9 and 7.3] or [6, 1.37]. □

**Proposition 5.5** *If  $\mu_\ell \subset k^\times$ , there is a natural five-term exact sequence:*

$$0 \longrightarrow H^{q,q-1}(\mathfrak{X}, \mathbb{Z}/\ell) \xrightarrow{\zeta} K_q^M(k)/\ell \longrightarrow H^0(\mathfrak{X}, \mathcal{H}^q(\mu_\ell^{\otimes q})) \xrightarrow{\partial} H^{q+1,q-1}(\mathfrak{X}, \mathbb{Z}/\ell).$$

*Proof* Apply  $H^q(\mathfrak{X}, -)$  to the distinguished triangle in Proposition 5.3. Using the fact that  $H^q(\mathfrak{X}, C[j]) = H^{q+j}(\mathfrak{X}, C)$  and writing  $\mathcal{H}^q$  for  $\mathcal{H}^q(\mu_\ell^{\otimes q})$ , we get

$$\begin{array}{ccc} H^{-1}(\mathfrak{X}, \mathcal{H}^q) & \xrightarrow{\partial} & H^{q,q-1}(\mathfrak{X}, \mathbb{Z}/\ell) \xrightarrow{\zeta} \\ H^{q,q}(\mathfrak{X}, \mathbb{Z}/\ell) & \longrightarrow & H^0(\mathfrak{X}, \mathcal{H}^q) \xrightarrow{\partial} H^{q+1,q-1}(\mathfrak{X}, \mathbb{Z}/\ell). \end{array}$$

The first term ( $H^{-1}$ ) is 0 because the coefficients are a sheaf. By Lemma 5.4 with  $p = q$ , the third term is  $H^{q,q}(k, \mathbb{Z}/\ell) = K_q^M(k)/\ell$  [11, Theorem 5.1]. □

**Corollary 5.6** *Theorem 1.3 holds for  $n = 1$ .*

*Proof* By Proposition 4.3, we may assume  $\zeta \in k$  so that  $X = \text{Spec}(E)$ ,  $E = k(\sqrt[\ell]{a})$  and  $X \times X = \coprod_G X$  (by Lemma 2.4), where  $G = \text{Gal}(E/k)$ . By Lemma 5.1 with  $\mathcal{F}$  being  $\mu_\ell^{\otimes q}$ ,  $H^0(\mathfrak{X}, \mathcal{H}^q)$  is the equalizer of  $H^q(X, \mu_\ell^{\otimes q}) \rightrightarrows \prod_G H^q(X, \mu_\ell^{\otimes q})$ , i.e.,  $H^q(X, \mu_\ell^{\otimes q})^G$ . Since  $H^q(X, \mu_\ell^{\otimes q})$  is  $K_q^M(E)/\ell$ , we have  $H^0(\mathfrak{X}, \mathcal{H}^q) \cong (K_q^M(E)/\ell)^G$ . Proposition 5.5 yields exactness of

$$K_q^M(k)/\ell \longrightarrow (K_q^M(E)/\ell)^G \xrightarrow{\partial} H^{q+1,q-1}(\mathfrak{X}, \mathbb{Z}/\ell).$$

Now combine this with the exact sequence (1.2c), using Lemma 2.5 to identify  $\overline{H}_{-i,-i}(X)$ . □

Our next goal, achieved in Corollary 5.8, is to connect the first map in Proposition 5.5 to the cup product with  $\underline{a}$ . We assume that  $n \geq 2$ , so that  $d = \dim(X) > 0$  and  $s_d(X)$  is defined.

**Proposition 5.7** *Let  $X$  be a norm variety for  $\underline{a}$  such that  $s_d(X) \not\equiv 0 \pmod{\ell^2}$ . For  $i \geq 0$ , there is a four-term exact sequence*

$$\overline{H}_{-i,-i}(X)_{(\ell)} \xrightarrow{\pi_*} K_i^M(k)_{(\ell)} \xrightarrow{r^*} H^{i+2d+1,i+d}(D, \mathbb{Z}_{(\ell)}) \longrightarrow 0.$$

*Suppose in addition that  $X$  has a point of degree  $\ell$ . Then the following sequence is exact:*

$$\overline{H}_{-i,-i}(X) \xrightarrow{\pi_*} K_i^M(k) \xrightarrow{r^*} H^{i+2d+1,i+d}(D, \mathbb{Z}_{(\ell)}) \longrightarrow 0.$$

*Proof* Let  $M$ ,  $D$  and  $\mathbb{L}$  be as in Theorem 3.4. Since  $H^{p,q}(M[1]) = H^{p-1,q}(M)$ , applying  $H^{i+2d+1,i+d}(-, \mathbb{Z}(\ell))$  to the distinguished triangle in (3.4b) gives us the exact sequence

$$H^{i+2d,i+d}(M, \mathbb{Z}(\ell)) \longrightarrow H^{i+2d,i+d}(\mathfrak{X} \otimes \mathbb{L}^d) \xrightarrow{r^*} H^{i+2d+1,i+d}(D, \mathbb{Z}(\ell)) \xrightarrow{u^*} H^{i+2d+1,i+d}(M, \mathbb{Z}(\ell))$$

where for brevity we have written  $H^{p,q}(\mathfrak{X} \otimes \mathbb{L}^d)$  for  $\text{Hom}_{\mathbf{DM}}(R_{\text{tr}}(\mathfrak{X}) \otimes \mathbb{L}^d, \mathbb{Z}(\ell)(q)[p])$ . We will show that this may be rewritten as the 4-term sequence of the proposition.

Because  $M$  is a direct summand of  $X$ ,  $H^{p,q}(M, \mathbb{Z}(\ell))$  is a summand of  $H^{p,q}(X, \mathbb{Z}(\ell))$ , which vanishes whenever  $p - q > \dim(X)$ ; see [11, 3.6]. Hence the last term  $H^{i+2d+1,i+d}(M, \mathbb{Z}(\ell))$  vanishes. Similarly, the first term,  $H^{i+2d,i+d}(M, \mathbb{Z}(\ell))$ , is a summand of  $H^{i+2d,i+d}(X, \mathbb{Z}(\ell))$ , which we showed to be isomorphic to  $H_{-i,-i}(X, \mathbb{Z}(\ell))$  if  $i \geq 0$ , in the proof of Proposition 2.1. Therefore we may replace the first term by  $H_{-i,-i}(X, \mathbb{Z}(\ell))$ . Since  $X \rightarrow \text{Spec}(k)$  factors through  $\mathfrak{X}$ , the map  $\pi_* : H_{-i,-i}(X, \mathbb{Z}(\ell)) \rightarrow H_{-i,-i}(k, \mathbb{Z}(\ell)) = K_i^M(k)_{(\ell)}$  factors through the coequalizer  $\overline{H}_{-i,-i}(X, \mathbb{Z}(\ell))$  of the two projections from  $H_{-i,-i}(X \times X, \mathbb{Z}(\ell))$ . We also know that

$$\begin{aligned} H^{i+2d,i+d}(\mathfrak{X} \otimes \mathbb{L}^d) &= \text{Hom}_{\mathbf{DM}}(\mathfrak{X} \otimes \mathbb{L}^d, \mathbb{Z}(\ell)(i+d)[i+2d]) = \text{Hom}_{\mathbf{DM}}(\mathfrak{X}, \mathbb{Z}(\ell)(i)[i]) \\ &= H^{i,i}(\mathfrak{X}, \mathbb{Z}(\ell)) \cong H^{i,i}(\text{Spec } k, \mathbb{Z}(\ell)) \cong K_i^M(k) \otimes \mathbb{Z}(\ell) = K_i^M(k)_{(\ell)}, \end{aligned}$$

where the last two isomorphisms follow from Lemma 5.4 and the Nestorenko–Suslin–Totaro Theorem [11, 5.1]. Thus we have constructed an exact sequence

$$\overline{H}_{-i,-i}(X, \mathbb{Z}(\ell)) \xrightarrow{\pi_*} K_i^M(k)_{(\ell)} \xrightarrow{r^*} H^{i+2d+1,i+d}(D, \mathbb{Z}(\ell)) \longrightarrow 0.$$

When  $X$  has a point  $x$  of degree  $\ell$  over  $k$ , every element  $\alpha$  of  $K_i^M(k)$  has  $\ell \alpha = \pi_*([x, \alpha])$ , so the cokernel of  $\pi_* : H_{-i,-i}(X) \rightarrow H_{-i,-i}(k) = K_i^M(k)$  has exponent  $\ell$ , and is the same as the cokernel of  $\overline{H}_{-i,-i}(X, \mathbb{Z}(\ell)) \rightarrow K_i^M(k)_{(\ell)}$ . Thus we can replace the first two terms of the exact sequence with these to get the desired sequence.  $\square$

**Corollary 5.8** *If  $\mu_\ell \subset k^\times$ , there are maps  $\alpha_i : H^{i+2d+1,i+d}(D, \mathbb{Z}(\ell)) \rightarrow H^{n+i,n+i-1}(\mathfrak{X}, \mathbb{Z}/\ell)$  for all  $i$  so that  $\underline{a} \cup : K_i^M(k)/\ell \rightarrow K_{n+i}^M(k)/\ell$  (the cup product with  $\underline{a}$ ) factors as*

$$K_i^M(k)/\ell \xrightarrow{r^*} H^{i+2d+1,i+d}(D, \mathbb{Z}(\ell)) \xrightarrow{\alpha_i} H^{n+i,n+i-1}(\mathfrak{X}, \mathbb{Z}/\ell) \xleftarrow{\zeta} K_{n+i}^M(k)/\ell.$$

*Proof* Set  $q = n + i$ . For each closed point  $x$  of  $X$ , the diagram

$$\begin{array}{ccc} K_i^M(k(x))/\ell & \xrightarrow{N} & K_i^M(k)/\ell \\ \underline{a} \cup = 0 \downarrow & & \downarrow \underline{a} \cup \\ K_q^M(k(x))/\ell & \xrightarrow{N} & K_q^M(k)/\ell \end{array}$$

commutes by the projection formula [20, III.7.5.2]. Thus the map  $H_{-i,-i}(X) \rightarrow K_q^M(k)/\ell$  is zero, since by Proposition 2.1 it is induced by the maps

$$K_i^M(k(x))/\ell \xrightarrow{N} K_i^M(k)/\ell \xrightarrow{a \cup} K_q^M(k)/\ell.$$

By Proposition 5.7, the cup product factors through the quotient  $H^{i+2d+1,i+d}(D, \mathbb{Z}/\ell)$  of  $K_i^M(k)/\ell$ . It remains to show that the image  $aK_i^M(k)$  of the cup product lands in the subgroup  $H^{q,q-1}(\mathfrak{X}, \mathbb{Z}/\ell)$  of  $K_q^M(k)/\ell$ . Since  $H^0(X, \mathcal{H}^q(\mu_\ell^{\otimes q}))$  is a subgroup of  $K_q^M(k(X))/\ell$  (by Remark 5.2), it suffices by Proposition 5.5 to show that  $aK_i^M(k)$  vanishes in  $K_q^M(k(X))/\ell$ . This is so because  $k(X)$  splits  $a$ .  $\square$

In Corollary 5.12, we will show that the map  $\alpha_i$  is an isomorphism. The inverse of  $\alpha_i$  will be constructed using the cohomology operations  $Q_i$  constructed in [15, p. 51]. Each  $Q_i$  has bidegree  $(2\ell^i - 1, \ell^i - 1)$ ; see *loc. cit.* or [6, 13.3] for a summary of their properties. Thus the composite  $Q = Q_{n-1}Q_{n-2} \cdots Q_0$  has bidegree  $(2b\ell - n + 2, b\ell - n + 1)$ , where  $b = d/(\ell - 1) = \ell^{n-2} + \cdots + \ell + 1$ .

**Definition 5.9** Define the  $\mathbb{Z}$ -graded ring  $\mathbb{H}^*(k)$  by

$$\mathbb{H}^i(-) = \bigoplus_{s \in \mathbb{Z}} H^{i+s,s}(-, \mathbb{Z}/\ell).$$

In particular,  $\mathbb{H}^0(k) \cong K_*^M(k)/\ell$ . The cohomology operation  $Q$  maps  $\mathbb{H}^i(Y)$  to  $\mathbb{H}^{i+b\ell+1}(Y)$ . Note that  $\mathbb{H}^i(\tilde{\mathfrak{X}}) = 0$  for  $i \leq 1$ , by Lemma 5.4.

Now the operations  $Q_j$  vanish on each  $K_p^M(k)/\ell = H^{p,p}(k, \mathbb{Z}/\ell)$ , because  $H^{p,q}(k, \mathbb{Z}/\ell) = 0$  for  $p > q$ . Since the  $Q_j$  are derivations for  $\ell$  odd ([6, 13.10]), this means that  $\mathbb{H}^*(Y)$  is a graded  $K_*^M(k)/\ell$ -module for each  $Y$ , and each  $Q_j : \mathbb{H}^i(Y) \rightarrow \mathbb{H}^{i+\ell^j}(Y)$  is a  $K_*^M(k)/\ell$ -module homomorphism. Thus  $Q : \mathbb{H}^i \rightarrow \mathbb{H}^{i+b\ell+1}$  is also a  $K_*^M(k)/\ell$ -module homomorphism.

**Lemma 5.10** *Let  $X$  be a norm variety over a field of characteristic 0, and let  $\mathfrak{X}$  be its 0-coskeleton. Then the map  $Q : \mathbb{H}^1(\mathfrak{X}) \rightarrow \mathbb{H}^{b\ell+2}(\mathfrak{X})$  is an injection.*

*Proof* Since  $H^{p,q}(\text{Spec } k, \mathbb{Z}/\ell) = 0$  for  $p > q$ , we have  $\mathbb{H}^i(\text{Spec } k) = 0$  for  $i > 0$ . This yields isomorphisms  $\mathbb{H}^i(\mathfrak{X}) \xrightarrow{\cong} \mathbb{H}^{i+1}(\tilde{\mathfrak{X}})$  for all  $i > 0$ . In particular,  $\mathbb{H}^1(\mathfrak{X}) \cong \mathbb{H}^2(\tilde{\mathfrak{X}})$ . Thus it suffices to show that  $Q$  is injective on  $\mathbb{H}^2(\tilde{\mathfrak{X}})$ . Setting  $a(j) = 2 + \frac{\ell^j - 1}{\ell - 1}$ ,  $Q_{j-1} \cdots Q_0$  maps  $\mathbb{H}^2(\tilde{\mathfrak{X}})$  to  $\mathbb{H}^{a(j)}(\tilde{\mathfrak{X}})$ . In particular it suffices to show that  $Q_j$  is injective on  $\mathbb{H}^{a(j)}(\tilde{\mathfrak{X}})$  for all  $0 \leq j \leq n - 1$ . Because  $X$  is a norm variety, we know from [14, 3.2] (or [6, 10.14]) and [6, 13.20] that the Margolis sequence is exact for each  $Q_j$ ,  $j < n$ :

$$\mathbb{H}^{a(j)-\ell^j}(\tilde{\mathfrak{X}}) \xrightarrow{Q_j} \mathbb{H}^{a(j)}(\tilde{\mathfrak{X}}) \xrightarrow{Q_j} \mathbb{H}^{a(j)+\ell^j}(\tilde{\mathfrak{X}}).$$

By Lemma 5.4, the left term is zero because  $a(j) - \ell^j \leq 1$ . The result follows.  $\square$

Since  $X$  is a splitting variety,  $\underline{a}$  vanishes in  $K_n^M(k(X))/\ell$ . By Remark 5.2,  $\underline{a}$  vanishes in  $H^0(X, \mathcal{H}^n(\mu_\ell^{\otimes n}))$ . It follows from Proposition 5.5 (or [16, 6.5]) that there is a unique element  $\delta$  in  $H^{n,n-1}(\mathfrak{X}, \mathbb{Z}/\ell)$  whose image in  $K_n^M(k)/\ell$  is  $\underline{a}$ .

In the following proposition,  $\zeta$  is the map defined in Proposition 5.5,  $\alpha$  is the direct sum of the maps  $\alpha_i$  defined in Corollary 5.8, and the maps  $r^*, s^*$  are given in Theorem 3.4.

**Proposition 5.11** *If  $s_d(X) \not\equiv 0 \pmod{\ell^2}$ , the following diagram commutes up to sign, and the top composite is multiplication by  $\underline{a}$ .*

$$\begin{array}{ccccc}
 \mathbb{H}^0(\mathfrak{X}) & \xrightarrow{\delta \cup} & \mathbb{H}^1(\mathfrak{X}) & \xleftarrow{\zeta} & K_*^M(k)/\ell \\
 r^* \downarrow & \nearrow \alpha & \downarrow Q & & \\
 \mathbb{H}^{d+1}(D) & \xrightarrow{s^*} & \mathbb{H}^{b\ell+2}(\mathfrak{X}) & & 
 \end{array}$$

*Proof* Note that all maps in the diagram are (right) module maps over the ring  $K_*^M(k)/\ell \cong \mathbb{H}^0(\mathfrak{X})$ . This is clear for multiplication by  $\delta$ , and we have already seen that the cohomology operation  $Q$  is also a  $\mathbb{H}^0(\mathfrak{X})$ -module map. Finally, the maps  $r^*$  and  $s^*$  are also  $\mathbb{H}^0(\mathfrak{X})$ -module maps, since they come from morphisms in **DM**; see (3.4a) and (3.4b).

The top row sends  $x \in \mathbb{H}^0(\mathfrak{X})$  to  $\zeta(\delta \cup x) = \underline{a} \cup x$ ; since  $\zeta$  is an injection (by Proposition 5.5), and  $\underline{a} \cup x = \zeta \circ \alpha^* r^*(x)$  (by Corollary 5.8), the upper triangle commutes:  $\delta \cup x = \alpha^* r^*(x)$ .

We will show that  $s^* r^*(1) = (-1)^{n-1} Q(\delta)$ . By linearity for  $\mathbb{H}^0(\mathfrak{X})$ , it will follow that  $s^* r^*(x) = (-1)^{n-1} Q(\delta \cup x)$  for all  $x \in \mathbb{H}^0(\mathfrak{X})$ . Since  $r^*$  is surjective by Proposition 5.7, the result will follow.

We need to recall the definition of  $\phi_V(\mu)$  from [16, p. 413] and [6, 5.10]. Given an element  $\mu$  in  $H^{2b+1,b}(\mathfrak{X}, \mathbb{Z}/\ell)$ , form the triangle  $A \rightarrow \mathfrak{X} \xrightarrow{\mu} \mathfrak{X}(b)[2b+1]$  and set  $S = S^{\ell-2}A$ . Since  $H^{2b\ell+2,b\ell}(\mathfrak{X}, \mathbb{Z}/\ell) \cong \text{Hom}_{\mathbf{DM}}(R_{tr}(\mathfrak{X}), R_{tr}(\mathfrak{X})(b\ell)[b\ell+2])$ , to define  $\phi_V(\mu)$  it suffices to assign it a map  $R_{tr}(\mathfrak{X}) \rightarrow R_{tr}(\mathfrak{X})(b\ell)[b\ell+2]$ . We define  $\phi_V(\mu)$  to be represented by the composition

$$R_{tr}(\mathfrak{X}) \xrightarrow{s} S(b)[2b+1] \xrightarrow{r \otimes 1} R_{tr}(\mathfrak{X})(b\ell)[b\ell+2].$$

When  $\mu = Q_{n-2} \cdots Q_0(\delta)$ , we get the distinguished triangles (3.4a) and (3.4b) with  $D = S$ . Thus the composition  $s^* \circ r^*$  in the above diagram is multiplication by the element  $\phi_V(\mu)$ . By [16, Thm. 3.8] (cf. [6, Cor. 6.33]),  $\phi_V$  agrees with  $Q_0 P^b$ , where  $P^b$  is the reduced power operation. In addition, since  $\mu$  is annihilated by the  $Q_i$  with  $i \leq n-2$  we have

$$s^* r^*(1) = Q_0 P^b(\mu) = (-1)^{n-1} Q_{n-1}(\mu) = (-1)^{n-1} Q(\delta);$$

see [16, p. 427] or [6, 5.14]. This shows that the bottom right triangle commutes in the above diagram. □

*Remark* In the proof of Proposition 5.11, we have cited Definition 5.10, Corollary 6.33 and Lemma 5.14 from the book [6]. These are slightly improved versions of Lemma 3.2 and (5.2), Theorem 3.8 and Lemma 5.13 in Voevodsky’s paper [16]. Note that [16, 5.13] is missing several minus signs.

**Corollary 5.12** *In Proposition 5.11,  $Q$  and  $\alpha$  are isomorphisms, and the maps  $r^*$  and  $\delta \cup -$  are surjections.*

*Proof* From Proposition 5.7, we see that  $r^*$  is surjective. By [6, 4.16],  $s^*$  is an isomorphism (because  $d + 1 > d$ ), and  $Q$  is an injection by Lemma 5.10. The results follows from a diagram chase.  $\square$

Note that  $H^{q,q-1}(\mathfrak{X}) = 0$  for  $q < n$ , because by Corollary 5.12 this is a quotient of  $H^{q-n,q-n}(\mathfrak{X})$ . Recall that  $\tilde{K}_q^M(k(x))/\ell$  is the equalizer of the two maps

$$\iota_1, \iota_2 : K_q^M(k(X))/\ell \rightrightarrows K_q^M(k(X \times X))/\ell.$$

The following result was proved for  $n = 1$  in Corollary 5.6, and will be proved for  $n \geq 2$  in the next section.

**Proposition 5.13**  $H^0(\mathfrak{X}, \mathcal{H}^q(\mu_\ell^{\otimes q})) \cong \tilde{K}_q^M(k(X))/\ell$ .

We are now ready to prove Theorem 1.3 when  $n \geq 2$ .

*Proof of Theorem 1.3* Putting Proposition 5.5 for  $q = n + i$  and Proposition 5.7 together, we get that the rows are exact in the following diagram, where  $H^{p,q}(-)$  denotes  $H^{p,q}(-, \mathbb{Z}/\ell)$ .

$$\begin{array}{ccccccc}
 \overline{H}_{-i,-i}(X) & \longrightarrow & K_i^M(k) & \xrightarrow{r^*} & H^{i+2d+1,i+d}(D, \mathbb{Z}/\ell) & & \\
 & \searrow & \downarrow \alpha \cup & \searrow \delta \cup & \downarrow \alpha & & \\
 H^{q+1,q-1}(\mathfrak{X}) & \longleftarrow & H^0(\mathfrak{X}, \mathcal{H}^q(\mu_\ell^{\otimes q})) & \longleftarrow & K_q^M(k)/\ell & \xleftarrow{\zeta} & H^{q,q-1}(\mathfrak{X})
 \end{array}$$

(A dotted arrow points from  $\overline{H}_{-i,-i}(X)$  to  $H^0(\mathfrak{X}, \mathcal{H}^q(\mu_\ell^{\otimes q}))$ .)

From Corollary 5.12 we can conclude that the five-term sequence indicated by the dotted arrow is exact:

$$\overline{H}_{-i,-i}(\mathfrak{X}) \longrightarrow K_i^M(k) \xrightarrow{\alpha \cup} K_q^M(k)/\ell \longrightarrow H^0(\mathfrak{X}, \mathcal{H}^q(\mu_\ell^{\otimes q})) \longrightarrow H^{q+1,q-1}(\mathfrak{X}). \tag{5.1a}$$

Theorem 1.3 now follows from Proposition 5.13.  $\square$

### 6 The fourth term

Let  $\iota_1, \iota_2$  be the two inclusions  $k(X) \hookrightarrow k(X \times X)$  induced by the projections  $X \times X \rightarrow X$ . To finish the proof of Theorem 1.3, we need to prove Proposition 5.13 for  $n \geq 2$ .

**Lemma 6.1** *Fix  $n \geq 2$ . Let  $E_i$  be the equalizer of the morphisms  $p_i$  and  $p'_i$  in the following diagram. Then in the commutative diagram*

$$\begin{array}{ccccc}
 H^0(\mathfrak{X}, \mathcal{H}^q) & \hookrightarrow & H^0(X, \mathcal{H}^q) & \xrightarrow{\cong} & H^0(X \times X, \mathcal{H}^q) \\
 \downarrow & & \downarrow & & \downarrow \\
 E_0 & \hookrightarrow & K_q^M(k(X))/\ell & \xrightarrow[p'_0]{p_0} & K_q^M(k(X \times X))/\ell \\
 \downarrow & & \downarrow & & \downarrow \\
 E_1 & \hookrightarrow & \bigoplus_{x \in X^{(1)}} K_{q-1}^M(k(x))/\ell & \xrightarrow[p'_1]{p_1} & \bigoplus_{y \in (X \times X)^{(1)}} K_{q-1}^M(k(y))/\ell
 \end{array}$$

the first row and all of the columns are exact.

*Proof* Exactness of the first row (i.e., that  $H^0(\mathfrak{X}, \mathcal{H}^q)$  is the equalizer) is immediate from Lemma 5.1. The two right-hand columns are exact, as they are obtained from the Gersten resolutions for  $\mathcal{H}^q$ . The homomorphisms which are known to be injective are denoted  $\hookrightarrow$ . By an elementary diagram chase, the left-hand column is also exact.  $\square$

In order to prove Proposition 5.13 it thus suffices to show that  $E_1 \cong 0$  in Lemma 6.1.

**Lemma 6.2** *If  $n \geq 2$ ,  $E_1 = \ker p_1 = \ker p'_1$ .*

*Proof* Since  $n > 1$ , we have  $\dim X = \ell^{n-1} - 1 \geq 1$ . For any point  $x \in X^{(1)}$  the summand indexed by  $x$  is mapped by  $p_1$  and  $p'_1$  to the summands indexed by the generic points of  $x \times X$  and  $X \times x$ , respectively. Since these points (and hence the summands) are distinct, the images of  $p_1$  and  $p'_1$  intersect in 0. It follows that their equalizer is  $\ker(p_1) = \ker(p'_1)$ , as asserted.  $\square$

**Proposition 6.3** *If  $X$  is a smooth variety of dimension  $\geq 1$ , then  $p_1$  is injective.*

*Proof* For each  $x \in X^{(1)}$ , let  $y_x$  denote a generic point of  $x \times X$ ; since  $X$  is smooth,  $x \times X$  is reduced. We will show that the composition of  $p_1$  with the projection  $\pi_x$  onto  $K_{q-1}^M(k(y_x))/\ell$ ,

$$\bigoplus_{x \in X^{(1)}} K_{q-1}^M(k(x))/\ell \xrightarrow{p_1} \bigoplus_{y \in (X \times X)^{(1)}} K_{q-1}^M(k(y))/\ell \xrightarrow{\pi_x} K_{q-1}^M(k(y_x))/\ell,$$

is an injection on the  $x$ -summand; since  $\pi_x p_1$  is zero on all the other summands of the left term, it will follow that  $p_1$  is an injection.

Fix  $x$  and write  $F$  for  $k(X)$ ; as  $X$  is smooth, the function field of  $x \times X$  is a finite product of fields. Choosing an affine neighborhood  $\text{Spec } R$  of  $x$ ,  $x$  is given by a height 1 prime ideal  $\mathfrak{p}$  of  $R$ :  $k(x) = \text{frac}(R/\mathfrak{p})$  and  $F = \text{frac}(R)$ . Note that  $k(x) \otimes R$  is a regular ring because  $X$  is smooth over  $k$ . The kernel  $\mathfrak{m}$  of the multiplication map

$$k(x) \otimes R \longrightarrow k(x) \otimes k(x) \xrightarrow{\mu} k(x)$$

is a maximal ideal of  $k(x) \otimes R$ , and the localization  $R' = (k(x) \otimes R)_{\mathfrak{m}}$  at  $\mathfrak{m}$  is a regular local ring with residue field  $k(x)$  and fraction field  $k(y_x)$ . Choose a regular sequence



$r_1, \dots, r_d$  generating the maximal ideal of  $R'$ ; by iterated use of [20, III.7.3], there is a *specialization map*

$$K_*^M(k(y_x)) \xrightarrow{\lambda} K_*^M(k(x))$$

which is a left inverse to the component  $p_1^x : K_*^M(k(x)) \rightarrow K_*^M(k(y_x))$  of  $p_1$ .  $\square$

Proposition 5.13 now follows for  $n \geq 2$ , since norm varieties are smooth by definition. This completes the proof of Theorem 1.3.

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