

Some steps on short bridges: non-metrizable surfaces and CW-complexes

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Abstract Among the classical variants of the Prüfer surface, some are homotopy equivalent to a CW-complex (namely, a point or a wedge of a continuum of circles) and some are not. The obstruction comes from the existence of uncountably many ‘infinitesimal bridges’ linking two metrizable open subsurfaces inside the surface. We show that any non-metrizable surface that possesses such a system of infinitesimal bridges cannot be homotopy equivalent to a CW-complex. More than for the result on its own, we were motivated by trying to blend elementary techniques of algebraic and set-theoretic topology.

Keywords Prüfer surface · Non-metrizable surfaces · Homotopy equivalence

Mathematics Subject Classification (2000) 57N05 · 57N65

1 Introduction

The aim of this note is twofolds. First, we provide a generalisation of a result of Gabard [7] who showed that the double of the bordered Prüfer surface is not homotopy equivalent to a CW-complex. Second, we have tried (just for the fun of it¹) to blend elementary techniques of two fields that do not intersect often, that is, set-theoretic and algebraic topology.

¹ Though we would understand if the reader has another definition of ‘fun’.

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It is well known (see Milnor's famous article [9]) that all metrizable manifolds are homotopy equivalent to a countable CW-complex. Here we investigate what can happen when the manifolds become non-metrizable. To avoid confusion, let us fix the terminology: a *manifold* is a Hausdorff space locally homeomorphic to some \mathbb{R}^n , which we moreover assume connected for simplicity. A *manifold with boundary*, or *bordered manifold*, is defined similarly, as usual. It happens that some non-metrizable manifolds are contractible, for instance the original collared Prüfer surface (see below), but some are not homotopy equivalent to a CW-complex. Non-metrizable manifolds can be roughly divided in two classes: the ones that are 'big' (for instance, the long ray \mathbb{L}_+), whose non-metrizability comes from the size of the manifold, and the ones that are 'weird' in the sense that they do have a metrizable subspace whose closure is non-metrizable. This note is about finding a criterion for manifolds in the latter class which impedes them to have the homotopy type of a CW-complex. The former class (the so called type I manifolds) is treated (in part) in [3].

The theorem we shall prove is the following:

Theorem 1 *A surface containing a full MSIB is not homotopy equivalent to a CW-complex.*

The definitions of MSIB and the fullness condition are given below, but roughly, it means that the surface contains two non-metrizable subsurfaces with common boundary, both of them having a metrizable interior. It is thus their common boundary that makes them non-metrizable, and they can be thought as being linked by a system of (uncountably many) infinitesimal bridges (the boundary components), see below. In Sect. 2 we give a bunch of motivating examples showing that if these bridges are 'long' (i.e., not infinitesimal), then the surface may well be homotopy equivalent to a CW-complex.

In the course of the proof of Theorem 1, we shall prove the (easy) following proposition, which is probably folklore but we have not been able to track down a reference.

Proposition 2 *A connected surface with boundary and metrizable interior has at most countably many boundary components that are circles.*

It is easy to build examples of bordered surfaces with continuum many circle boundaries (for instance, put a circle boundary in each of the collars of \mathbb{P}_C defined below). Though, a sequentially compact manifold has only finitely many boundary components, for if there are infinitely many, taking a point in each yields a sequence whose accumulation point(s) cannot have a neighborhood homeomorphic to either \mathbb{R}^n or $\mathbb{R}^{n-1} \times \mathbb{R}_{\geq 0}$.

We will not need much sophistication in set theoretic topology, a basic knowledge of ordinals and non-metrizable manifolds should suffice, though a familiarity with the Prüfer surface² would probably be helpful. The algebraic topology techniques we will use are all elementary, in fact they more or less cover the tools that one encounters in an introductory course on homotopy theory: van Kampen's Theorem, Whitehead's Theorem, CW-approximation, universal coverings. An excellent reference is Hatcher [8]. We shall need some results that are specific to surfaces, for instance the fact that a nullhomotopic circle in a surface bounds a 2-disk, or that \mathbb{R}^2 is the only simply con-

² Which is by the way more a geometric than a set-theoretic object.

nected non-compact metric surface. We shall sometimes repeat parts of proofs (some of which not due to the author) published elsewhere for completeness.

We shall be quite loose in our use of pointed spaces and homotopy, and never state out explicitly the base points, though they are always implicit whenever needed.

2 Definitions and motivation

We first treat a bunch of examples (all are already well known, but we shall repeat the proofs as they do shed lights on the techniques we shall use later on). The Prüfer surface is usually defined as a half plane $\mathbb{R} \times \mathbb{R}_{\geq 0}$ where each point $(x, 0)$ on the boundary is first replaced by an interval, using a system of wedges pointing at $(x, 0)$ union subintervals of the (added) interval as neighborhoods, and then collaring, i.e., gluing half planes $\mathbb{R} \times \mathbb{R}_{\leq 0}$ on the new boundary intervals created (see for instance [7] for a recent complete description). Here, we shall adopt the equivalent definition found in Calabi and Rosenlicht’s paper [4]: \mathbb{P} is a union of a continuum of Euclidean planes E_r ($r \in \mathbb{R}$) with coordinates (x_r, y_r) quotiented by the equivalence relation $(x_r, y_r) \sim (x_s, y_s)$ whenever

$$y_r = y_s, \quad \text{and} \tag{1}$$

$$\begin{aligned} x_r y_r + r &= x_s y_s + s && \text{if } y_r = y_s > 0, \\ r = s, \quad x_r = x_s &&& \text{if } y_r = y_s \leq 0. \end{aligned} \tag{2}$$

Using the map $(x_r, y_r) \mapsto x_r y_r + r$ for $y_r > 0$, we obtain the other construction alluded to above. We then define the following surfaces:

- $\mathbb{P}_s = \{(x_r, y_r) \in \mathbb{P} : y_r \geq 0\}$ (bordered Prüfer surface),
- $\mathbb{P}_C = \{(x_r, y_r) \in \mathbb{P} : y_r \geq -1\}$ (bordered collared Prüfer surface),
- $\mathbb{M} = \mathbb{P}_s / (x_r, 0) \sim (-x_r, 0)$ (Moore surface).

\mathbb{P}_s is a separable bordered surface, its boundary components being $I_r = \{(x_r, 0) \in \mathbb{P}\}$ for $r \in \mathbb{R}$, while \mathbb{P}_C is a ‘collared’ version of \mathbb{P}_s , which is not separable because of the presence of these collars. (Recall that a space is separable if it possesses a countable dense subset.) Beware that some authors call \mathbb{P}_s the Prüfer surface, and not \mathbb{P} . \mathbb{M} was first described by R.L. Moore, and is a boundaryless separable surface. Figure 1 shows the usual way of picturing \mathbb{P} (the entire surface drawn), \mathbb{P}_C (where the parts in the

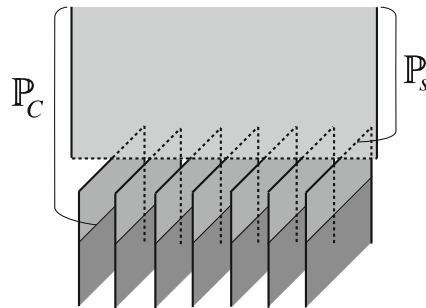


Fig. 1 Prüfer surfaces

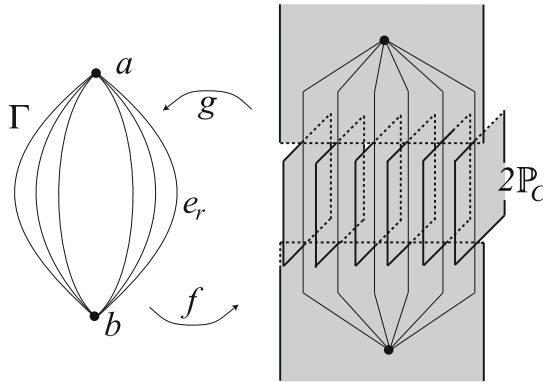


Fig. 2 The homotopy equivalence

darkest grey are dropped except their upper boundary), and \mathbb{P}_s (the lightest grey part, with the bottom boundaries).

If M is a bordered manifold, we shall write $2M$ for the double of M , i.e. the manifold without boundary given by two copies M^0, M^1 of M whose boundaries are identified pointwise. The proposition that motivates the results in this note is the following:

Proposition 3 ([4, 7]) $\mathbb{P}, \mathbb{P}_s, \mathbb{P}_C, \mathbb{M}$ and $2\mathbb{P}_C$ are homotopy equivalent to a CW-complex: the first four to a point and $2\mathbb{P}_C$ to a wedge of continuum many circles; whereas $2\mathbb{P}_s$ is not homotopy equivalent to a CW-complex.

Idea of the proof Consider the following homotopy in \mathbb{P} , taken from [4, p. 339]:

$$h_t(x_r, y_r) = \begin{cases} \left(x_r \left(\frac{1-t+ty_r}{1+ty_r} \right)^{1/2}, y_r \left(\frac{1+ty_r}{1-t+ty_r} \right)^{1/2} \right) & \text{when } y_r > 0, \\ (x_r(1-t)^{1/2}, y_r(1-t)^{1/2}) & \text{when } y_r \leq 0. \end{cases} \quad (3)$$

It is easily seen that h_t preserves the equivalence relation, and is continuous. The image of h_1 is the subset given by the points with $y_r \geq 0$, and $x_r = 0$ whenever $y_r = 0$. Since this subset is contractible in \mathbb{P} or \mathbb{P}_C (just ‘push inside’ the points in the boundary), it follows that \mathbb{P} is contractible. Since \mathbb{P}_s and \mathbb{P}_C are invariant under h_t , they are also contractible. Notice that when $y_r = 0$, the first coordinate of $h_t(x_r, y_r)$ is the opposite of that of $h_t(-x_r, y_r)$, so h_t is well defined and continuous on \mathbb{M} , which is therefore also contractible. Let us now look at $2\mathbb{P}_C$. First, we define another homotopy in \mathbb{P}_C that sends each collar to the line with x_r -coordinate 0 by letting $\widehat{h}_t(x_r, y_r) = h_t(x_r, y_r)$ if $y_r > 0$ and

$$\widehat{h}_t(x_r, y_r) = (x_r(1-t)^{1/2}, y_r) \text{ when } y_r \leq 0.$$

Let Γ be the graph (and hence the CW-complex) given by two points $\{a, b\}$ linked by continuum many edges e_r ($r \in \mathbb{R}$). Map Γ in $2\mathbb{P}_C$ by f as suggested in Fig. 2.

It is not difficult (see [6]) to show that f is a weak homotopy equivalence³ (though we do not need it for $2\mathbb{P}_C$). In fact, defining $g : 2\mathbb{P}_C \rightarrow \Gamma$ as the map that sends the

³ i.e. induces isomorphisms on the π_i for all $i \geq 0$.

top and bottom regions respectively to a and b and each ‘bridge’ to the corresponding edge by projection on the y_r -factor, it is easy to check that $g \circ f$ is homotopic to the identity in Γ . But postcomposing \widehat{h}_t with a suitable homotopy in the top and bottom regions, we see that $f \circ g$ is homotopic to the identity in $2\mathbb{P}_C$ as well, so $2\mathbb{P}_C$ is homotopy equivalent to Γ .

In the case of $2\mathbb{P}_S$, a simple computation shows that $\pi_1(2\mathbb{P}_S) = *_{\mathbb{R}}\mathbb{Z}$, the free group on a continuum of generators (details can be found in [7], though this is a direct application of van Kampen Theorem). But $2\mathbb{P}_S$ is separable, and a separable space having the homotopy type of a CW-complex has the homotopy type of a countable CW-complex (this is a direct consequence of Proposition A.1 p. 520 in [8]), and has thus a countable fundamental group (see [8, Exercise 22, p. 360], it follows from the theorems on cellular approximations). This yields that $2\mathbb{P}_S$ is not homotopy equivalent to a CW-complex, though a map $f : \Gamma \rightarrow 2\mathbb{P}_S$ can be similarly defined and is again a weak homotopy equivalence. \square

Now, the definitions. If M is a bordered manifold, write ∂M for its boundary and $\text{int}(M)$ for its interior $M - \partial M$. To avoid confusion, we will always specify when *topological* closures and interiors are taken.

Given an n -manifold M and two bordered submanifolds $U, V \subset M$ whose interiors are disjoint, we say that $B \subset S$ is a *bridge linking U and V* if there is a homeomorphism $\varphi : [a, b] \times N \rightarrow B$, where N is an $n - 1$ -manifold, $\varphi(\{a\} \times N)$ is a boundary component of U , $\varphi(\{b\} \times N)$ is a boundary component of V , and $\varphi((a, b) \times N)$ is disjoint from $U \cup V$. (If $a = b$, $[a, a]$ is understood as the singleton $\{a\}$.) In words: we link together a boundary component of U and one of V inside M by a collar of height $b - a$. If $a = b$, the bridge is called infinitesimal.

Recall that \mathbb{P}_S has boundary components I_r which are real lines, indexed by $r \in \mathbb{R}$. In $2\mathbb{P}_S = \mathbb{P}_S^0 \cup \mathbb{P}_S^1$, the two copies of I_r are identified and thus form an infinitesimal bridge linking \mathbb{P}_S^0 and \mathbb{P}_S^1 . In $2\mathbb{P}_C = \mathbb{P}_C^0 \cup \mathbb{P}_C^1$, we may take $U = \mathbb{P}_S^0 \subset \mathbb{P}_C^0$, $V = \mathbb{P}_S^1 \subset \mathbb{P}_C^1$, then the boundary components $I_r^0 \subset \mathbb{P}_S^0$ is linked to $I_r^1 \subset \mathbb{P}_S^1$ by a bridge which is the union of the two copies of $\{(x_r, y_r) \in \mathbb{P} : -1 \leq y_r \leq 0\}$, and are thus *not* infinitesimal.

Definition 4 Let M be an n -dimensional manifold. A manifold-system of infinitesimal bridges (MSIB) in M consists of two n -dimensional connected bordered submanifolds U, V such that $\text{int}(U) \cap \text{int}(V) = \emptyset$, $\text{int}(U) \cup \text{int}(V)$ is metrizable, but $\text{int}(U) \cup \text{int}(V) \cup (\partial U \cap \partial V)$ is not.

In short, such a MSIB mimicks the behaviour of $2\mathbb{P}_S$ (in the 2-dimensional case of course). Since $\partial U \cap \partial V$ cannot be empty, each of its components is then an infinitesimal bridge. The fullness condition below says that we did not add ‘new bridges’ in U, V that are not intrinsic in M , by choosing badly U and V (see also Sect. 4). For instance, a bad choice for U, V in $2\mathbb{P}_S$ would be to set $U = \mathbb{P}_S^0 - D$, $V = \mathbb{P}_S^1 - D$ where D is a set consisting of one point in each I_r . Thus, each I_r gets cut artificially in two, adding new ‘non-intrinsic’ bridges.

Definition 5 An MSIB (U, V) in a manifold M is full if $\partial U = \partial V$, and whenever A is a boundary component of ∂U , then A is closed in M .

3 Proof of Theorem 1

The idea of the proof is the following. In the first step, we show that we can assume that an MSIB looks really like $2\mathbb{P}_S$ (for instance, the boundary components are real lines except at most countably many circles). In the second step, we show that there is an uncountable family of loops in $U \cup V$ which are pairwise non-homotopic in the whole surface. The theorem will follow using the separability of $\text{int}(U) \cup \text{int}(V)$.

3.1 First step

First, we show that we can assume that the surface does not contain ω_1 (in the sense that there is a subset homeomorphic to ω_1). Recall that ω_1 is the ordered set of all countable ordinals, and is a topological space with the order topology. It is probably the simplest example of a sequentially compact non-compact space. It might seem that a bordered manifold with a metrizable interior can anyway not contain ω_1 , but Nyikos found such a surface (whose boundary is the long ray \mathbb{L}_+), see [11].

Lemma 6 *A manifold containing ω_1 is not homotopy equivalent to a CW-complex.*

Proof We shall abuse notation and write ω_1 for the subset of M homeomorphic to it. Let K be a CW-complex and let $f : M \rightarrow K$ be an homotopy equivalence with inverse $g : K \rightarrow M$. The image of $\omega_1 \subset M$ under f is sequentially compact in K and thus compact (see the proof of Proposition A.1 p. 520 in [8]). Then $g \circ f(\omega_1) \subset U$ where U is a finite union of Euclidean open subsets of M .

It is well known (and easy to prove) that any continuous map from ω_1 to \mathbb{R}^n is eventually constant, meaning that there is some $\alpha \in \omega_1$ such that the map is constant on $[\alpha, \omega_1) \subset \omega_1$ (see for instance [10, Lemma 3.4 iii]). This holds too for maps from ω_1 to a finite union of Euclidean open subsets, for instance by embedding them is some \mathbb{R}^n . (In fact, any continuous map $\omega_1 \rightarrow X$ with X first countable, Hausdorff and Lindelöf is eventually constant, see Lemma 4.3 in [2].)

We now follow the proof of Proposition 7.1 in [1]. Suppose that there is a homotopy $h_t : M \rightarrow M$ with $h_0 = g \circ f$ and $h_1 = id$; $h_0|_{\omega_1}$ is thus eventually constant. Set

$$\tau = \inf\{t : h_s|_{\omega_1} \text{ is eventually constant } \forall s \leq t\}.$$

Then, h_τ is eventually constant, because either $\tau = 0$, or there is some sequence $t_n \nearrow \tau$ ($n \in \omega$) with h_{t_n} constant on $[\alpha_n, \omega_1)$ for some α_n . Then, by continuity, there is some $x \in M$ such that $h_\tau([\beta, \omega_1)) = \{x\}$ with $\beta = \sup_n \alpha_n$. (Recall that a countable subset of ω_1 is bounded, and thus possesses a supremum.)

Since $h_1 = id$, $\tau < 1$. Let now $t_n \searrow \tau$, $n \in \omega$, such that h_{t_n} is not eventually constant, and let $V \ni x$ be an Euclidean neighborhood. If h_{t_n} sends ω_1 inside V , then as above h_{t_n} would be eventually constant, so there is some $\gamma_n \in \omega_1 \subset M$, such that $h_{t_n}(\gamma_n) \in M - V$. We can choose $\gamma_n \geq \beta + 1$. Taking a convergent subsequence for the γ_n converging to some $\gamma > \beta$ yields that $h_\tau(\gamma) \in M - V$, contradicting the fact that $h_\tau([\beta, \omega_1)) = \{x\} \subset V$.

Thus, such a homotopy does not exist. □

By the classification of 1-manifolds (a complete list is \mathbb{R} , \mathbb{S}^1 , \mathbb{L}_+ , \mathbb{L} , the latter two contain ω_1) we have immediately:

Lemma 7 *Let S be a surface with boundary that does not contain ω_1 . Then each connected component of ∂S is metrizable.*

Lemma 7 is *false* in dimension 3: the manifold with boundary $\mathbb{P}_s \times [0, 1]$ has a metrizable interior but its boundary contains $\mathbb{P}_s \times \{0\}$, which is non-metrizable and connected. We now prove Proposition 2, which says that a surface with boundary and metrizable interior has at most countably many circle boundaries. This proposition is not really needed for the proof of Theorem 1, but is quite simple and interesting in itself.

Proof of Proposition 2 We may assume that S has infinitely many boundary components that are circle. If C is a circle boundary of S , there is a neighborhood N_C of C in S that intersects no other boundary component of S . By shrinking it if necessary, N_C can be made homeomorphic to a cylinder $\mathbb{S}^1 \times [0, 1)$, and it retracts on C (in S) (if needed, a proof can be found in [12, Collaring Theorem 1.7.3, p. 35]). There is thus an embedding B_C of the circle in $N_C - C \subset \text{int}(S)$ that ‘turns once’ around C , obtained by pushing C inside the collar. We show that if $C \neq C'$, then B_C and $B_{C'}$ cannot be homotopic (in $\text{int}(S)$). Indeed, if there is a homotopy between them, then there is one between C and C' in $\text{int}(S) \cup C \cup C'$. Cover the image of the homotopy by finitely many Euclidean disks whose union is a (metrizable) surface S^- with a boundary that contains $C \cup C'$. We sew a disk D to C' , then C becomes contractible in $S^- \cup D$, and thus bounds a 2-disk in the same space by [5, Theorem 1.7, p. 85] (for instance). Removing D again, we see that S^- contains a cylinder with boundaries C, C' , which is impossible since S is connected. Thus C and C' cannot be homotopic in S . The fundamental group of a metrizable manifold is at most countable (since it has the homotopy type of a countable CW-complex), so $\pi_1(\text{int}(S))$ is at most countable, and there can be at most countably many circle boundary components. \square

Corollary 8 *Let U, V be a MSIB for some surface S that does not contain ω_1 . Then $\partial U \cap \partial V$ contains uncountably many connected components which are homeomorphic to intervals (open, closed or semi-open). If the MSIB is full, these intervals are all open.*

Proof By Proposition 2, U and V cannot have uncountably many boundary components that are circles. The intersection $A \cap B$ of connected components $A \subset \partial U$, $B \subset \partial V$ are all 1-dimensional manifolds (perhaps with boundary). Longlines and longrays are excluded since $\partial U \cap \partial V$ does not contain a copy of ω_1 . Thus, $\partial U \cap \partial V$ possesses uncountably many connected components. Indeed, a manifold is metrizable if and only if it is Lindelöf (recall that we assume connectedness), and if there are only countably many components in $\partial U \cap \partial V$, then $U \cup V = \text{int}(U) \cup \text{int}(V) \cup (\partial U \cap \partial V)$ is Lindelöf.

The claim about full MSIB is immediate. \square

Lemma 9 *If (U, V) is a full MSIB and A is a component of $\partial U \cap \partial V$, then A is a component of $S - (\text{int}(U) \cup \text{int}(V))$. Moreover, any union of components of $\partial U \cap \partial V$ is closed in S .*

Proof Let $x \in A$, let $U \subset A \cup \text{int}(U) \cup \text{int}(V)$ be a small disk around x . Then its trace on $S - (\text{int}(U) \cup \text{int}(V))$ is included in A , which is therefore open. The ‘moreover’ part follows from the fact (see [12, Collaring Theorem 1.7.3, p. 35] again) that for each boundary component A there is a neighborhood of A (in $U \cup V$ for instance) whose closure does not intersect any other component. \square

3.2 Second step

Recall that a path in some space X is a continuous map $p : [0, 1] \rightarrow X$, and a loop is a path with $p(0) = p(1)$. Inverses p^{-1} and compositions $p_0 p_1$ of paths and loops are defined as usual. Our key lemma is the following:

Lemma 10 *Let M be a manifold and $\ell_\alpha : [0, 1] \rightarrow M$ for $\alpha \in \kappa \geq \omega_1$ be loops that are pairwise non-homotopic in M . If $\cup_{\alpha \in \kappa} \ell_\alpha([0, 1]) \subset M$ is separable, M does not have the homotopy type of a CW-complex.*

Proof Write $E = \cup_{\alpha \in \omega_1} \ell_\alpha([0, 1])$. Suppose there is a homotopy equivalence $g : M \rightarrow K$, with K a CW-complex. The image of a separable space is separable, thus $g(E)$ is separable. But a separable subset of a CW-complex is contained in a countable subcomplex, a fact already used in Proposition 3. Call $K' \supset g(E)$ such a countable subcomplex. Since g is a homotopy equivalence, the loops $g \circ \ell_\alpha$ in $K' \subset K$ are pairwise non-homotopic in K , and thus they are ‘even less’ homotopic in K' . But a countable CW-complex has an at most countable fundamental group, a contradiction. \square

For proving Theorem 1, we shall exhibit a collection of loops that fulfill the assumptions of Lemma 10. So, given a surface S containing an MSIB (U, V) and not containing ω_1 , let κ be the cardinal number of the connected components of $\partial U = \partial V$, and enumerate them as A_α , $\alpha \in \kappa$ ($\kappa \geq \omega_1$ by Corollary 8). By Proposition 2, we can assume that there are at most countably many components of ∂U that are circles, that we may throw away, and by Corollary 8 and Lemma 9 we obtain a full MSIB U, V whose boundary components are lines. Call *flat* such a MSIB.

Fix two points u, v in $\text{int}(U)$ and $\text{int}(V)$ respectively. For each $\alpha \in \kappa$, let p_α be a path from u to v in $A_\alpha \cup \text{int}(U) \cup \text{int}(V)$ (such a path exists since $A_\alpha \cup \text{int}(U) \cup \text{int}(V)$ is a subsurface). We can choose p_α such that it intersects A_α in just one point. Set ℓ_α to be the loop $p_0 p_\alpha^{-1}$. Since $\text{int}(U) \cup \text{int}(V)$ is a metrizable manifold, it is hereditarily separable (that is: any subset is separable), and since any point in the intersection of ∂U and the image of ℓ_α is the limit of a sequence of points in $\text{int}(U) \cup \text{int}(V)$, $\cup_{\alpha \in \kappa} \ell_\alpha([0, 1])$ is separable.

If we can show that the ℓ_α ’s are pairwise non-homotopic, S satisfies the assumptions of Lemma 10, and we are over.

Lemma 11 *Let S be a surface with full and flat MSIB (U, V) . Let $\ell_\alpha = p_0 p_\alpha^{-1}$ $\alpha \in \kappa \geq \omega_1$ be as above. Then, the ℓ_α ’s are pairwise non-homotopic.*

The proof will follow from a reduction to the metrizable case, and the next lemma.

Lemma 12 *Let T be a metrizable surface, $X, Y \subset T$ be connected subsurfaces with common boundary such that $\text{int}(X) \cap \text{int}(Y) = \emptyset$, and $\partial X = \partial Y$ is a finite disjoint union $\cup_{i=0, \dots, n} I_i$, with $n \geq 1$, I_i closed in T and homeomorphic to \mathbb{R} . Let $x \in \text{int}(X)$, $y \in \text{int}(Y)$, and for $i = 0, \dots, n$ let p_i be a path in $\text{int}(X) \cup \text{int}(Y) \cup I_i$ with $p(0) = a$, $p(1) = b$, such that $p_i([0, 1]) \cap I_i$ is a single point. Set $\gamma_i = p_0 p_i^{-1}$, and let $\gamma = \lambda_0 \cdots \lambda_k$, with $\lambda_j = \gamma_i$ or $\lambda_j = \gamma_i^{-1}$ for $i \in \{1, \dots, n\}$ be a reduced loop. (By this we mean that corresponding word is reduced.) Then, γ is not nullhomotopic as a loop in T .*

Proof of Lemma 11 In fact, we show a little bit more: any nonempty reduced word with letters in $\{\ell_\alpha, \ell_\alpha^{-1} : 1 \leq \alpha < \kappa\}$ is not nullhomotopic as a loop in S . Suppose thus that a nontrivial product ℓ of these ℓ_α 's is nullhomotopic in S . Call H the image of the homotopy. By compactness, there is a finite number $A_{\alpha_{n+1}}, \dots, A_{\alpha_m}$ of components of $\partial U = \partial V$ different from $A_{\alpha_0}, \dots, A_{\alpha_n}$ that intersect H . Cover H by Euclidean open sets, by compactness there is a finite subcover, and let T be the union of the members of this subcover, $X = U \cap T_0$ and $Y = V \cap T$. Then, $A_{\alpha_i} \cap T$ is closed in T and homeomorphic to some disjoint union of open intervals. By removing all the components that do not intersect H , we can assume that this union is finite. Letting I_i be the component of $A_{\alpha_i} \cap T$ that intersects p_{α_i} , the assumptions of Lemma 12 are fulfilled, so ℓ is not homotopic to a constant map, a contradiction. \square

To finish, we just need to prove Lemma 12, a task we tackle now.

Proof of Lemma 12 Let $\pi : \tilde{T} \rightarrow T$ be the universal covering of T . Since T is not compact (it contains closed non-compact subsets, namely the I_i 's), so is \tilde{T} , which is thus homeomorphic to \mathbb{R}^2 (see [5, Corollary 1.8]). If E is a subset of T , we denote by $\tilde{E}, \tilde{E}', \tilde{E}''$ different lifts of E in \tilde{T} . (We slightly abuse language here as we should consider lifts of the inclusion map.) Since I_i is contractible, each \tilde{I}_i is a copy of $I_i \approx \mathbb{R}$ and is closed in \tilde{T} .

Schönfliess theorem is equivalent to the assertion that any closed copy of \mathbb{R} in \mathbb{R}^2 disconnects the space in two components both homeomorphic to \mathbb{R}^2 . (To see this, take the one point compactification of \mathbb{R}^2 which is \mathbb{S}^2 . The copy of \mathbb{R} gets closed by the added point, and thus is homeomorphic to \mathbb{S}^1 . Then apply Schönfliess. For the converse, take out a point of the embedded circle.) So, \tilde{I}_i disconnects \tilde{T} . But in fact, since $\tilde{I}_i \cap \tilde{I}_j = \emptyset$ whenever $i \neq j$ and $\tilde{I}_i \cap \tilde{I}_i' = \emptyset$, given a connected component C of $\tilde{T} - (\tilde{I}_{i_1} \cup \dots \cup \tilde{I}_{i_k})$, if \tilde{I}_i intersects C then it disconnects it. We refer to those \tilde{I}_i 's as i -fences.

Fix a lift \tilde{x} of x , and let $\tilde{\gamma}$ be the lift of γ with $\tilde{\gamma}(0) = \tilde{x}$. By the definition of the path p_i , $\tilde{\gamma}$ 'goes through' the fences in the order prescribed by the word γ . For instance, if $\gamma = \gamma_i = p_0 p_i^{-1}$, then $\tilde{\gamma}$ goes through a 0-fence and then an i -fence. Moreover, γ intersects only those two among all the fences.

Set $m(\gamma)$ to be the smallest number of i -fences with $i \geq 1$ that a path linking $\tilde{\gamma}(0) = \tilde{x}$ to $\tilde{\gamma}(1)$ has to cross.

Lemma 13 *Let $\gamma = \lambda_{i_0} \cdots \lambda_{i_k}$ be as above (in particular, it is reduced as a word in the $\gamma_i, \gamma_i^{-1}, i = 1, \dots, n$). Then $m(\gamma) = k + 1$.*

Proof By induction. If $\gamma = \gamma_i$ or γ_i^{-1} , then as said before it crosses two fences which are disjoint and disconnect \tilde{T} . Thus, a path joining $\tilde{\gamma}_i(1)$ to \tilde{x} must cross at least these two fences, one of which is an i -fence with $i \geq 1$, so $m(\gamma) = m(\gamma_i) = 1$.

Suppose now that the lemma holds for $\gamma = \lambda_{i_0} \cdots \lambda_{i_{k-1}}$, and let $\gamma' = \gamma \cdot \lambda_{i_k}$. We have $\tilde{\gamma}(1) = \tilde{x}'$, and $\tilde{\gamma}'(1) = \tilde{x}''$. Suppose that $\lambda_{i_k} = \gamma_i, i \geq 1$. If $\lambda_{i_{k-1}} = \gamma_{i'}$, then $\tilde{\gamma}$ crosses first a 0-fence and then an i' -fence. If $\lambda_{i_{k-1}} = \gamma_{i'}^{-1}$, it crosses first an i' -fence and then a 0-fence. Since $i' \neq i$ in the latter case (the word is reduced), we conclude in both cases that a path linking \tilde{x}' to \tilde{x}'' crosses at least an i' -fence.⁴ Moreover, $\tilde{\gamma}$ crosses exactly k fences, which is the minimal number for a path linking \tilde{x} to \tilde{x}' by induction. It follows by the above ‘disconnecting remarks’ that $\tilde{\gamma}'$ crosses the minimal number of i -fences with $i \geq 1$ among the paths linking \tilde{x} to \tilde{x}'' , thus $m(\gamma') = k + 1$, as wished. The case $\lambda_{i_k} = \gamma_i^{-1}, i \geq 1$, is treated exactly the same. □

This enables to finish the proof of Lemma 12: if the loop γ is contractible, then $\tilde{\gamma}(0) = \tilde{\gamma}(1)$, which is impossible since they are separated by $k + 1$ fences. □

Remark In fact, the proofs of Lemmas 11–12 work as well if some of the A_α and I_i are circles. Indeed, any circle in $\partial U = \partial V$ cannot bound a 2-disk by connectedness of U and V , and thus its lifts have the same ‘disconnection properties’. Proposition 2 is therefore not needed in the proof of Theorem 1.

3.3 Other related results

In this subsection we give some results that, though not needed for the proof of Theorem 1, are somewhat related. (Actually, we used them in a first attempt at the proof.) First, we show that the loops ℓ_α yield a weak homotopy equivalence between $U \cup V$ and a graph (if the MSIB is flat).

Lemma 14 *Let S be a surface with a full flat MSIB (U, V) . Then there is a weak homotopy equivalence between a graph Γ and S_0 .*

Proof We write $S_0 = U \cup V$, S_0 is a separable submanifold. $\text{int}(U)$ and $\text{int}(V)$, being open metrizable surfaces, have the homotopy type of an at most countable wedge of circles W_U, W_V with vertex a and b respectively. Recall that A_α ($\alpha \in \kappa$) are the connected components of $\partial U = \partial V$. Build Γ adding edges $e_\alpha, \alpha \in \kappa$, linking W_U to W_V . The map $f : \Gamma \rightarrow S_0$ defined by sending the vertices of Γ to u and v , the loops in W_U, W_V to the generators of the π_1 of $\text{int}(U), \text{int}(V)$ respectively and each edge e_α to the path p_α induces an isomorphism of the fundamental groups (see [6] for details, this is essentially a direct application of van Kampen’s Theorem).

It is a standard fact that a metrizable non-compact surface has vanishing n -homotopy groups for $n \geq 2$, since it which is homotopy equivalent to an at most countable wedge of circles. Given $f : \mathbb{S}^n \rightarrow S$, we can cover its image by finitely many Euclidean charts whose union forms a non-compact metrizable surface. Thus, $\pi_n(S) = 0$ for $n \geq 2$, and f is therefore a weak homotopy equivalence. □

⁴ Actually, if $\gamma_{i_{k-1}} = \gamma_{i_k}^{-1}$, then $m(\gamma') = m(\gamma) - 1$.

In fact we can obtain a little more:

Lemma 15 *Let S be a surface with flat MSIB (U, V) , $S_0 = U \cup V$. There is a CW-approximation (M, Γ) of the pair (S, S_0) such that Γ is the above mentioned graph.*

Proof As in [8, ex. 4.15 p. 353], we first CW-approximate S_0 by $f_0 : \Gamma \rightarrow S_0$ using Lemma 14, and then build a CW-approximation of the pair (N, Γ) where N is the mapping cylinder of the composition of the inclusion $S_0 \rightarrow S$ and f_0 . \square

4 Possible generalisations

Can the definition of full MSIB be weakened such that Theorem 1 still holds? For instance, can we prove something with the following definition:

Definition 16 Let M be a manifold. A system of infinitesimal bridges (SIB) in M consists of two disjoint connected open sets U, V such that $U \cup V$ is metrizable but $U \cup V \cup (\overline{U} \cap \overline{V})$ is not.

Of course, since topological boundaries can be quite nasty, it is a priori not clear whether the nice ‘disconnection properties’ we used in Lemma 12 are still valid.

Can we relax somehow the definition of fullness? for instance, does this weaker definition suffice?

Definition 17 An MSIB (U, V) in a manifold M is weakly full if whenever x, y belong to two different connected components of $\partial U \cap \partial V$, then they belong to two different connected components of ∂U and of ∂V .

The idea here is that you do not add artificial bridges because the boundary components of U and V do not intersect well. For instance, consider as before $2\mathbb{P}_s$, set U to be one of the copies of \mathbb{P}_s in $2\mathbb{P}_s$, and V to be the other to which a point in each boundary component has been removed. You have thus ‘artificially’ cut in two each bridge, and there are generators of $\pi_1(U \cup V)$ that vanish in $\pi_1(2\mathbb{P}_s)$. This weak definition of fullness prevents this kind of bad intersections. But it is not strong enough to ensure that Lemma 11 works. Take for instance the surface S of Nyikos alluded above: S has metrizable interior and boundary \mathbb{L}_+ , and consider the double $2S$, it has trivial homotopy groups. But if we denote the two copies of $\text{int}(S)$ by S_1, S_2 and let $U = S_1 \cup (\mathbb{L}_+ - \omega_1)$, $V = S_2 \cup (\mathbb{L}_+ - \omega_1)$, then (U, V) form a MSIB that is weakly full. (Of course, $2S$ does not contradict Theorem 1, because of Lemma 6.)

More generally, there is a variety of questions as whether a surface containing a [weakly full] (M)SIB always contains a [full] (M)SIB, taking or not the $()$ ’s and $[\]$ ’s parts giving the various possibilities.

Problem 1 What about higher dimensions?

The given proof would have some gaps in higher dimension, for instance Lemma 7 is false in dimension ≥ 3 , and we used properties of the universal covering that are special for dimension 2 in the proof of Lemma 12. Related is the following problem:

Problem 2 Given a manifold M containing a submanifold that is not homotopy equivalent to a CW-complex, can we conclude that M is not homotopy equivalent to a CW-complex?

To finish, another general problem in dimension 2:

Problem 3 Let S be a non-compact surface (metrizable or not). Is $\pi_1(S)$ a free group?

This is of course well known for metrizable surfaces.

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