



Urban modelling and forecasting of landuse using SLEUTH model

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Abstract

The present study performs landcover modelling using the SLEUTH model. The urban land use changing factors are calibrated to predict the Land Use Land Cover (LULC) for a densely populated and developing smart city, Prayagraj, India. This research aims to use the SLEUTH model for simulating the future urban growth with the help of historical LULC (1990–2020), road network and elevation data. The influence of road gravity and slope resistant coefficients is very significant in this study's outcome. The built-up area of the region increased from 40.22 km² (5.10% of total area) in 1990 to 85.89 km² (10.89%) in 2020. According to prediction results, in the next 20 years, the built-up growth rate would be 1.9% and approximated built-up area would be 118.66 km² (14.98%) in the year 2040. The quality of the result has been quantified in terms of best fit value of Optimal SLEUTH Metric (OSM) and validated against the existing LULC. The study utilises a spatially explicit urban growth model with 30 m resolution remote sensing data and provides future landuse of Prayagraj city.

Keywords SLEUTH model · Urban LULC calibration · Prediction · Scenario-based planning · Smart city

Introduction

The anthropogenic activities have been intensified in the last three decades with technological enhancements. The geographic processes accelerate the human population surge (Mosammam et al. 2017; Franco et al. 2017). The low-density urban areas become high density urban regions along with the expansion of the city boundaries worldwide. The human population is expected to shoot up and migrate to urban regions (Kar et al. 2018). Today, 56.2% of the world's population is living in cities and according to the United Nations (UN) report, this figure is expected to reach 60.04% by the year 2030 (United Nations 2019). In India, the urban population in 2015 was 429,069 thousand (32.8%); this figure raised to 483,099 thousand (34.9%) in 2020 and by the year 2030, it is expected to reach 607,342 thousand (40.1%) (United Nations 2020). The significant urban population increment is predicted to occur in the lesser developed regions of East Asia, South Asia and Africa, whereas 35% of

the total increased population will reside in India, China and Nigeria only (United Nations, 2020). In this scenario, smart urban land use planning is essential for socio-economic and sustainable development.

The Land Use Land Cover (LULC) pattern changes as the infrastructure grows for human needs. These changes are usually manifest as the forest and vegetation get converted into built-up LULC like residential building, commercial complex, industrial plant, transportation infrastructure etc. (Kassawmar et al. 2018; Kumar and Agrawal 2019). The scenario worsens when these activities grow irregularly, leading to the loss of natural resources and ecological imbalance. Despite the devastating impact of the COVID-19 pandemic, it has been proven that behavioural changes can retard carbon emission and improve air quality in cities globally (United Nations 2020; Muhammad et al. 2020). The government's development and environmental policies could help to reduce the impact of rapid urbanization. The simulation and modelling of the urban growth patterns can help in the monitoring of the complex urbanisation process (Megahed et al. 2015). Accurate temporal monitoring can help to control the irregular developments and can support stakeholders in sustainable development planning (Kar et al. 2018). Various remote sensing data with Geographic Information System (GIS) technology ease the information gathering. Due to abundant remote sensing data

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availability, spatial assessment of urban sprawl patterns is possible (Deep and Saklani 2014; Mishra et al. 2020). The frequent simulations of urban growth were carried out at the micro-level due to the availability of open-access spatial data and satellite images. Urban growth dynamics and behaviour is varied according to various geographical features and usually shows a nonlinear growth pattern (Deep and Saklani 2014).

Urban growth prediction and its impact on other natural LULC has been trending for the last two decades. The projection and revitalisation of land use development and conversion monitoring helped in the agricultural and economic output prediction (Yang et al. 2021), flood-prone area detection (Al Rifat and Liu 2022), scenario impact assessment (Alay et al. 2021), calculation of environmental indicators (Singh et al. 2022) and various other applications worldwide (Nwaogu and Pechanec 2018; Shukla and Jain 2020). The urban growth modelling is further enhanced by linking the image spectral information to the independent variables and ancillary data through machine learning. The machine learning approaches use the temporal LULC information to calibrate the spatial parameters and changing fashion of urbanisation. Many models simulate the urban growth in past, mainly using Cellular Automata (CA) (Shafizadeh-Moghadam et al. 2017; Lu et al. 2019), fractal analysis (Rastogi and Jain 2018), Artificial Neural Network (ANN) (Fattah et al. 2021), spatial statistics (Abdullahi et al. 2015) etc. CA has been extensively used in past studies. CA-based modelling was applied in environmental monitoring and now

it is used ubiquitously in urban growth modelling (Guzman et al. 2020). CA is suitable for the complex model, which compiles elements showing nonlinear variation and widely used in urban sprawl detection analysis (Kantakumar et al. 2019; Lu et al. 2019; Faichia et al. 2020). CA integrated with LULC data and neighbourhood information has given accurate spatial data simulation results in the past (Saxena et al. 2021a). The neural network models are now trending as they have provided satisfactory results in recent years (Li et al. 2019). The composite technology such as MLP-ANN and MC-CA techniques also applied to get more accurate prediction results (Yang et al. 2019).

The researchers used models that included the multi-temporal LULC information along with geographical and socio-economical urban growth driving variables such as elevation, slope, distance from roads, population and other geographic components. In the recent past, some popular static and dynamic models such as Multi-Layer perceptron (MLP) using Land Change Modular (LCM) (Megahed et al. 2015; Vinayak et al. 2021), CA-Markov (Nath et al. 2020; Khwarahm et al. 2021a, b), ANN-based prediction (Rahman et al. 2017; Anand and Oinam 2020), SLEUTH urban growth model (Ilyassova et al. 2021; Alay et al. 2021) and ANN-Markov chain-based model (Al Rifat and Liu 2022) were used by different researchers globally. These models are compared in Table 1.

Compared to other urban growth prediction approaches like ANN, regression tree and Markov chain, it is found that the SLEUTH model has provided greater accuracy to urban

Table 1 Comparison of LULC prediction models

Characteristics	Cellular Automata	Markov chain	CA- Markov	SLEUTH	LCM
Variable input needed	Built-up pixel cells	LULC map	LULC maps, suitability maps	LULC, slope, exclusion, transportation network, urban, hillshade	LULC, independent variables
Support Data format	ASCII	Raster image	Raster image	Raster GIF	Raster-RST (Idrisi)
Cost/Price	Free	Free	Free	Free	Commercial
Land suitability	Feasible	Unlikely	Feasible	Unlikely	Feasible
Public/expert knowledge	Yes, but not essential	Yes, but not essential	Yes, but not essential	Not required	Not required
Application type	Stand-alone	Stand-alone/software	Stand-alone/Software	Cygwin (Unix platform)	Components of IDRISI
Stability	High	High	High	High	Low
Output	Predicted cellular information	Transition probability maps, LULC predicted area	Predict multiclass map	Transition driving coefficients, predicted raster	Predicted geospatial raster, transition vulnerability map
Shortcomings	Human behaviour influence not included and allows modelling for a single feature	Predicts output in non-geospatial format and depends upon two temporal images for predicting change over the time	Lacks in input variables such as slope, aspect, elevation etc. and presence of non-real edges on modelled map	Involves tedious calibration process	Lack of population data inclusion



variation (Berberoğlu et al. 2016). The urban prediction models such as the Markov chain are static where the growth could be known in a quantitative transition of LULC classes but unable to give a spatial output of future urbanisation. SLEUTH model overcomes such limitations and provides the prediction output spatially based on several factors like slope, road transportation network etc., including LULC (Alay et al. 2021). The SLEUTH model works well even for the medium resolution images as it can achieve a good model of fit value on data of up to 30 m resolution (Eyelade et al. 2021).

Keith Clarke developed the SLEUTH model in Santa Barbara at the University of California (Clarke et al. 1997). SLEUTH is an acronym of Slope, Landuse, Exclusion, Urban, Transportation and Hillshade (Ilyassova et al. 2021). These are the name of the input layers of this model. SLEUTH is a CA-based forecasting model used for Urban Growth Modelling (UGM) and land use modelling by using the Deltatron Model. The UGM application of the model is more popular. There are recent researches held on urban growth prediction using SLEUTH model globally (Hossain Shubho and Islam 2020). There are four growth rules in SLEUTH: spontaneous growth rule, spreading centre growth rule, edge growth rule and road influenced growth rule (Saxena and Jat 2020a). All these four growth rules are related with five coefficients named Dispersion Coefficient (Diff), Breed Coefficient (Brd), Spread Coefficient (Sprd), Slope Resistance Coefficient (Slp) and Road Gravity Coefficient (RG). The description of these growth rules and their relation with corresponding coefficients is given in Table 4. Along with these growth rules, secondary ‘self-modification rules’ are applied in this model, which is used to alter the value of growth coefficients to achieve a typical S-curve for urban expansion growth rate (Jawarneh 2021). Self-modification rules like boom, bust, critical low and critical high constants are responsible for the nonlinear simulation of urban growth patterns (Saxena and Jat 2019). The urban cells are the living organisms which are regulated through transition rules that train within CA as nested loops set. The outer loop performs the Monte Carlo iterations and the inner loop executes the growth rule (Capan 2019; Saxena and Jat 2020b).

Land use change models were started from 1973 Markov inter-temporal change simulation model. The CA-based models were most frequently used by different researchers. CA Markov model has been applied maximum times for simulation using historical LULC data such as CA Markov, CA SLEUTH, Logistic CA, or separately CA. SLEUTH model was applied in land use change simulation popularly as it proves the better identification of new isolated growth centres (Mondal et al. 2020). SLEUTH model is implemented globally in different cities by different researchers and planners (Li et al. 2021; Jayasinghe et al. 2021; Alay

et al. 2021). There is an urgent requirement for studies that can simulate and predict urban growth. The SLEUTH model was initially developed for the San Francisco Bay area (Şevik 2006). Over the time, it was repeatedly used for North America and Europe (Peiman and Clarke 2014; Berberoğlu et al. 2016; Liu et al. 2019). Some Indian subcontinent studies were carried out using the SLEUTH model (Kantakumar et al. 2011; Chaudhuri and Clarke 2019; Saxena and Jat 2020a, b; Vani and Prasad 2021), but they are mainly focused on the metropolitan cities. This work attempts to fill these gaps by implementing the SLEUTH model for the urban growth of Prayagraj city of India, an emerging smart city.

Urban centres that experience continued demand for more development and so wish to grow further, typically an increase in built-up areas or both horizontally and vertically expansion since these are the only options with limited suitable land availability. Prayagraj is the rapidly growing city of India which has education and religious significance. Urban agglomeration is developing here at the cost of natural land-cover. It is hypothesised that the SLEUTH model will help to understand the urban dynamics here and predict the future LULC. This simulation and prediction would be done up to the year 2040 on the basis of input data of the past three decades with the help of the SLEUTH model. However, a high growth rate and too far future prediction would be the challenges for the results of this model.

In the present study, built-up land density is considered to be proportional to the relative probability of the development of a particular area or location having a higher developmental potential resulting in a possible increase in built-up area vertically and horizontally per unit area of land. This is reflected in terms of the desire of more people to use that location for various built-up activities (residential, commercial, infrastructure, industries, etc.). This research aims to project the urban growth of Prayagraj city in India. To achieve this aim, following research objectives have been formulated: (1) To identify the LULC and its temporal variation for the study area. (2) To check the efficiency of the SLEUTH model and validation of its results (3) To predict the LULC dynamics for the year 2040 based on the data of the past three decades. (4) To identify the major drivers for LULC change and urban growth.

The research paper is segmented into four main sections. The first section introduces the study, including some research background, problem statement and objectives. The second section is of material and methods which describes the details and geographical significance of the study area along with the data used and methodology. The methodology includes preprocessing of data as input required for the model, calibration and validation of the SLEUTH model. Section three presents the results of classification, calibration, prediction and validation. It includes a brief discussion

of the research corollary with the help of critical graphs and quantity matrices of spatial parameters. Finally, in the last section conclusion and limitations and future scope are covered. The research was performed at the GIS Cell of Motilal Nehru National Institute of Technology Allahabad, Prayagraj, India, from May 2020 to November 2021.

Materials and methods

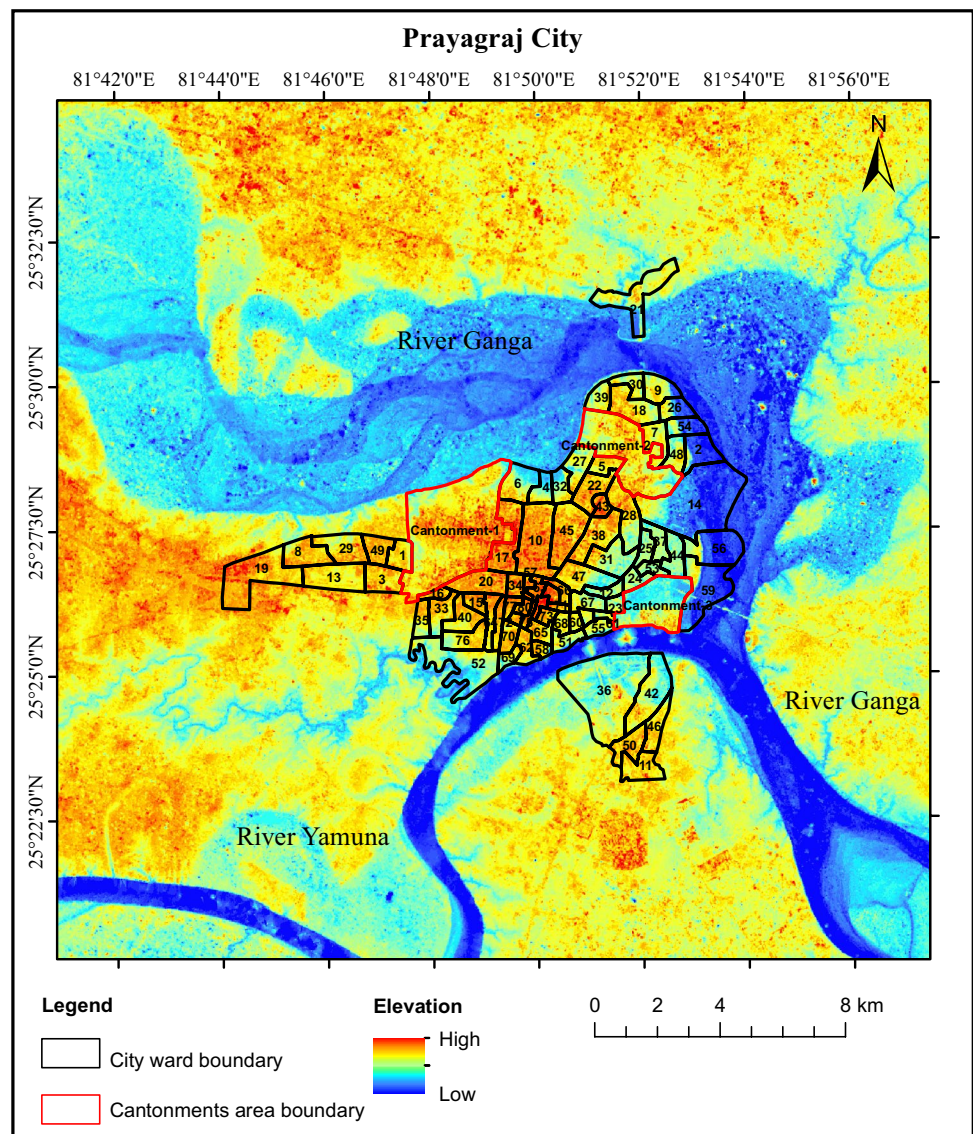
Study area

The study area for urban growth analysis is Prayagraj city which is located in the Uttar Pradesh state of India. It is a tier-II type developing city and was selected for the Smart City Mission of India. According to the census 2011, the total population was 1,117,094 (Census of India 2011). The

climate of the region is tropical and has a high-temperature variation from 2 °C in the winter months (December–January) to 45 °C in the summer months (June–July). The city is situated at the 98 m elevation above mean sea level (Ministry of Urban Development 2014).

The Municipal Corporation Area (MCA) of Prayagraj city contains 82 km² area and is divided into 80 municipal wards (Government of UP 2012). The municipal ward boundary shapefile of the city was constructed through the digitisation process. A 5 km buffer was created outside the municipal ward boundary region, which is facing transformation due to high built-up construction and public settlement. Eventually, a square area extent which includes the municipal administrative wards of the Prayagraj city and a 5 km buffer area around the municipal boundary was selected as the study area, as illustrated in Fig. 1. The geographical extent of the study area is from 81° 40' 51" E to 81° 57' 31" E longitude and

Fig. 1 The study area containing the city ward boundary and 5 km buffer region



from 25° 19' 49" N to 25° 35' 6" N latitude. The total study area is of 785.12 km².

The city has a significant share in the economic and cultural development of the country. The city is situated at the confluence of two sacred rivers: Ganges and Yamuna. The renowned Kumbh fair is celebrated every six years at rivers' confluence (Sangam). One of the largest mass gatherings in the world takes place in this fair. In 2019, over 240 million pilgrims visited the fair during 55 days event (Agrawal and Bapurao 2021). The open land for the Kumbh recreational activities is preserved as ground, covering 16.02% of the total MCA. The study area includes various real state projects, central and state government offices, industries and renowned educational institutions. According to Sarif and Gupta (2021, 2022) the built-up land in the city was 18.54 km² in 1988, which increased up to 47.15 km² in the year 2018 at the cost of agriculture and forest land.

Data used

The present analysis work was performed in two stages, stage I and stage II. Stage I analysis was performed to validate the model and included input datasets from 1990 to 2010. Stage II was the prediction stage, which gave the prediction output of urban growth and used input data from 1990 to 2020. To prepare input data for the SLEUTH model, the opensource datasets of a fixed time interval were collected. The datasets details are listed in Table 2.

The city ward map was collected through Prayagraj Nagar Nigam's official website. The Survey of India (SOI) topographical maps were downloaded through its respective official websites. The study area is covered in four SOI topographical sheets, i.e. G44P10, G44P11, G44P14 and G44P15. The Landsat images of 1990, 1995, 2000, 2010 and 2020 were acquired through the USGS Earth Explorer portal to generate the LULC maps. Stacking was performed to obtain the composite images since the images were in a sequential band format. To prepare slope and hillshade

data, an open-source Digital Elevation Model (DEM) was acquired from Shuttle Radar Topographic Mission (SRTM) data. Afterwards, the transportation road network data collection was done with the help of OpenStreetMap, which was validated and corrected through a digitised road map of Prayagraj city. The projection system of all the images was set to World Geodetic System 1984 (WGS84) and Universal Transverse Mercator (UTM) zone 44 north. Google Earth historical time series data were used for ground-truthing and validation for LULC changes.

Methodology

Input layer preparation

Six input layers are required in the SLEUTH model. These layers were slope, landuse, exclusion, urban, transportation and hillshade. The methodology for the preparation of these input data layers is given in Fig. 2.

The landuse layer is prepared through supervised classification of Landsat satellite images. Supervised classification is used to obtain the landuse layer, a user-controlled process that involves training sample collection for signature identification of a particular feature. These signatures are the spectral reflectance range for a specific element and were further used to assign the LULC class to each pixel (Nguyen et al. 2020). There are various classification techniques which give excellent accuracies such as Random Forests (RF), Support Vector Machine (SVM) and Maximum Likelihood Classifier (MLC) (Alshari and Gawali 2021). Among these techniques, MLC is the most commonly used classification technique for various remote sensing applications (Allam et al. 2019; Chughtai et al. 2021).

The LULC features, i.e. fallow land, sand, urban, vegetation and water were extracted through MLC supervised classification method. The description of LULC classes is given in Table 3. In the landuse layer generation, at least 15 training samples dispersed in the whole image were taken

Table 2 SLEUTH model input dataset details

Dataset	Acquisition date/ Year	Spatial Resolution	Row/Path	Cloud Cover %	File Format
Landsat-5 TM	25 May, 1990	30 m	42/143	0.00	Tiff
Landsat-5 TM	20 March, 1995	30 m	42/143	0.00	Tiff
Landsat-7 ETM +	25 March, 2000	30 m	42/143	0.00	Tiff
Landsat-5 TM	29 March, 2010	30 m	42/143	0.00	Tiff
Landsat-8 OLI	24 March, 2020	30 m	42/143	0.17	Tiff
Ward map	2012	NA	NA	NA	Tiff
Topographical map	2000	NA	NA	NA	Tiff
OpenStreetMap	2020	NA	NA	NA	Shapefile
SRTM DEM (1arc second)	2000	30 m	NA	NA	Tiff



Fig. 2 Methodology for input layer creation

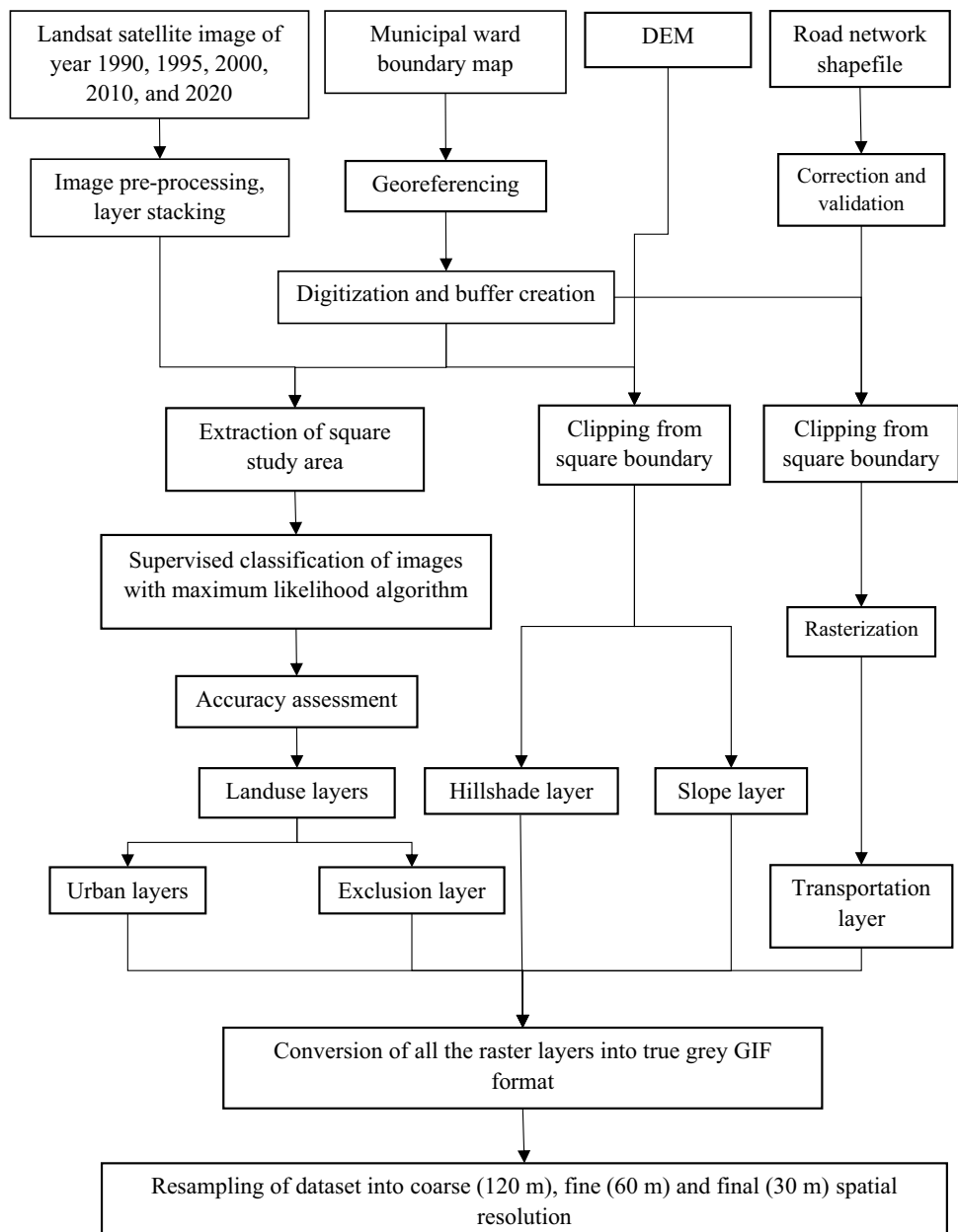


Table 3 LULC class description

Landuse feature	Description
Fallow land	It is the open land where the soil is not sand and currently not used for agriculture
Sand	The coarse-grained white sand which is present alongside of the rivers
Urban	It includes the built-up and human settlement. Concrete settlement such as residential, commercial, mixed-use surface areas, asphalt and bituminous road pavements etc
Vegetation	It includes the clustered green cover, which includes cropland, trees, bushes etc
Water	It includes rivers, lakes, ponds, etc

for each category. After the spectral signature preparation, the image pixels were sorted into a given number of feature

classes by using some mathematical algorithms known as decision rules.

After the image classification, an accuracy assessment was performed with the help of confusion matrix generation. Two hundred fifty stratified random points were compared with the ground truth data and the kappa coefficient was computed for the classified image. The high-resolution Google Earth time series data, SOI toposheets and ancillary data were used as reference data. The classification accuracy was affected by classes' heterogeneous behaviour and spectral signatures' overlapping ranges (DN values) into different spectral bands.

A minimum of nine multitemporal layers are required to perform one set of analyses in the SLEUTH model: four urban layers of different years, one slope layer, one hillshade layer, one exclusion layer and two road networks layers. For the analysis of a stage-I, total of twelve input layers were taken in this work, which included four urban layers (1990, 1995, 2000 and 2010), three road layers (1990, 2000 and 2010), two landuse layers (1990 and 2010), one slope percent layer, one hillshade layer and one exclusion layer. In stage-II, total of thirteen input layers were taken, which included four urban layers (1990, 2000, 2010 and 2020), four road layers (1990, 2000, 2010 and 2020), two landuse layers (1990 and 2020), one slope per cent layer, one hillshade layer and one exclusion layer. These layers were prepared in true greyscale Graphics Interchange Format (GIF) image type.

The urban layers were extracted through multitemporal LULC maps. The landuse images of different years were reclassified and assigned a value of one to the urban class and zero to all other pixels for creating urban layers. The LULC layer was then prepared into greyscale image for the input into the SLEUTH model. Therefore, LULC maps were reclassified into a range from one to five and converted into a greyscale image. Transportation networks and junctions significantly influenced urbanisation (Chaudhuri and Clarke 2019). The road network of the region was captured from OpenStreetMap in shapefile format. The polyline road shapefile was converted into a raster image with pixel size 30 m × 30 m and the same extent as the urban layer. All the road pixels were assigned a value of 100 and the remaining pixels were set to 0. The road network layer formed through digitisation of the city's arterial and sub-arterial roads from

the road map of the Public Work Department (PWD) was used as reference data for validating OpenStreetMap data. The road network of the year 1990, 2000 and 2010 was obtained with the help of satellite data and Google Earth historical imagery. The slope percent and hillshade layers were generated with the help of the SRTM DEM. The SRTM DEM of 1-arc second resolution was downloaded and reprojected as the existing input layers. It was clipped by the study area boundary for making slope percentage and hillshade layers. The exclusion input layer represented the area where urban settlement and development was either forbidden or not possible such as a waterbody or government restricted area. River and cantonment areas were considered in the exclusion layer obtained from the city ward map. This layer can be modified as per local criteria that ultimately alter the prediction results (Liu et al. 2019). Afterwards, all the input layers datasets were resampled for all three calibration phases, i.e. 120 m for coarse calibration, 60 m for fine calibration and 30 m for final calibration. For the SLEUTH model, all data were converted into a true greyscale 8-bit GIF file format. The naming convention described on the SLEUTH website was followed before placing the data into the input directory of the model.

SLEUTH modelling

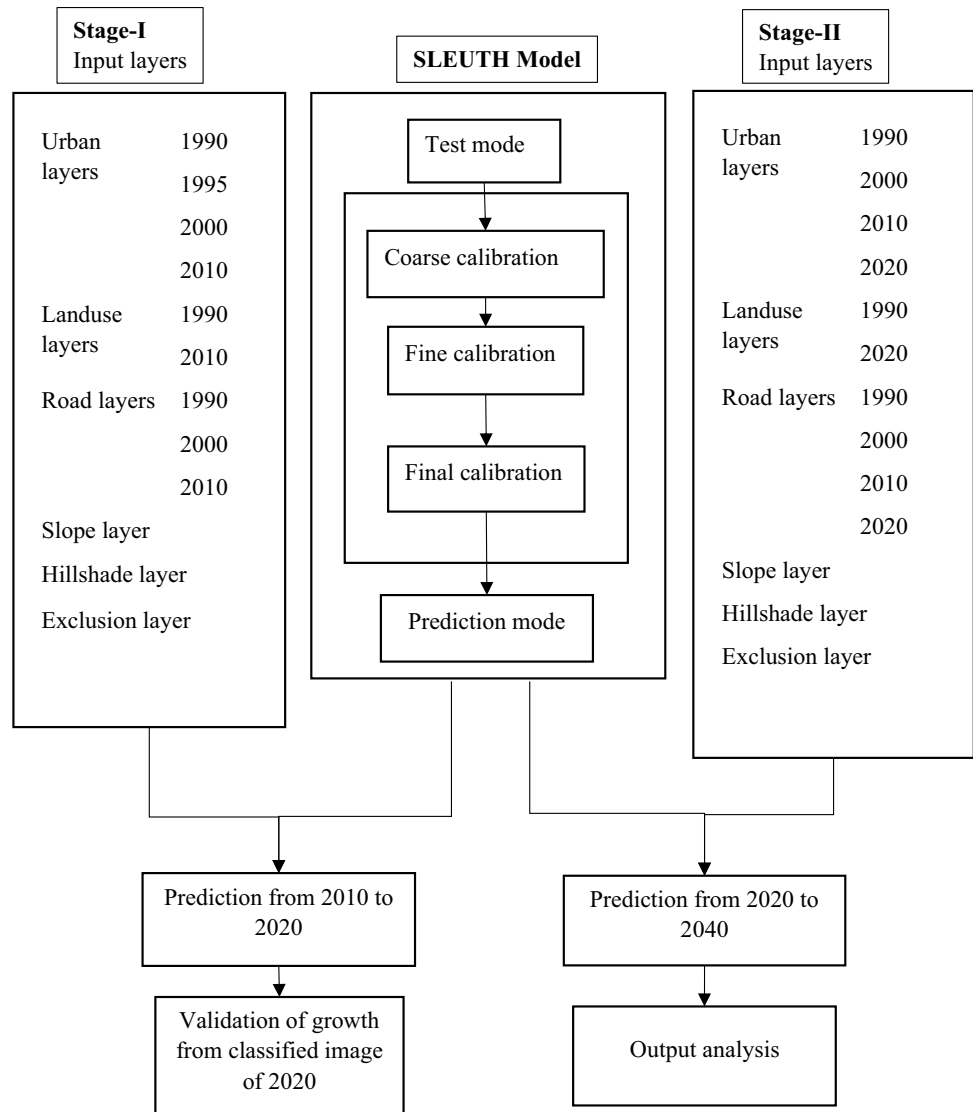
SLEUTH is a modified CA that predicts urban growth according to the type of growth pattern in the past and through four growth rules stimulated by controlling factors. These rules were spontaneous growth, spreading centre growth, edge growth and road influenced growth. These rules are governed by five coefficients, i.e. dispersion, breed, spread, slope and road gravity coefficient. A short description of these rules and the relative coefficient is given in Table 4. All these coefficients were initialised with value zero at coarse calibration which limits up to value 100. The change in the coefficient values in different phase impacts the growth rules (Harb et al. 2020).

The SLEUTH model was executed in this study using the brute force method. The structure of the model is given in Fig. 3.

Table 4 Growth rules description and relation with coefficients in SLEUTH (Dietzel and Clarke 2007)

Growth rule	Influencing coefficients	Description
Spontaneous growth	Dispersion, Slope	Conversion of random non-urban cell to urban cell
Spreading centre growth	Breed, Slope	Some of the new spontaneously urbanised cells become a new urban spreading centre
Edge growth	Spread, Slope	Define occurrence of urban growth from existing urban spreading centres
Road influenced growth	Breed, Road gravity, Dispersion, Slope	Define attraction of urbanisation from existing traffic roads

Fig. 3 Methodology for executing the SLEUTH model



This model has been completed in three phases: test, calibration and prediction (Berberoğlu et al. 2016). The test mode run ensured the accuracy of input data preparation and if any remaining error, it showed the relative error statements. The calibration phase of the model was the most crucial phase, which involved training the model to achieve the best fit values of growth coefficients (Peiman and Clarke 2014). There were three modes of calibration, i.e. coarse, fine and final calibration. In particular analysis, the dataset with a spatial resolution of 120 m, 60 m and 30 m were used for coarse, fine and final calibration, respectively. The calibration refined and narrowed down the limits of the coefficient from coarse to final calibration. Eventually, the coefficients and best-fit parameters defined from the calibration were used in the prediction phase. This phase was carried out in a single run with 100 Monte Carlo iterations. The mean coefficient values of all Monte Carlo iterations were used to predict urban growth. The prediction results were

the probabilistic map of landuse growth based on growth rules and coefficients defined (Agyemang et al. 2022). Each coefficient set replicated the historical urban growth pattern regarding the urban extent's initial seed layer (urban layer of 1990). The subsequent input layers of later years (1995, 2000, 2010 and 2020) were used as control points.

The prediction output has been assessed through statistical data generated in the form of 13 metrics. These metrics are (1) 'product' statics represents the product of all matrices, (2) 'compare' statistic that shows the urban growth pattern, (3) 'population' that represents the number of urban pixels, (4) 'edge' represents the peripheral urban pixel count, (5) 'cluster' that shows urban cluster edge cells, (6) 'cluster size' that represents mean, (7) 'Lee-Sallee' is a shape index and represents the fitness of models between the given input year data, (8) 'Slope' compare the slope of given and modelled slope through least square regression, (9) '% urban' shows a least square regression value comparing from



modelled and given urban cells, (10) ' X_{mean} ' represents the x coordinate of cluster radius, (11) ' Y_{mean} ' represents y coordinate of cluster radius, (12) 'Radius' is a standard normalised radius extracted from X_{mean} and Y_{mean} , and (13) 'F-match' represents the fitness of landuse (Dietzel and Clarke 2007; Capan 2019).

The Lee-Sallee statistic was used for shape measurement and showed the pattern of urban growth (Lee and Sallee 1970). Many researchers used Lee-Sallee to sort the best-fit range of coefficient in the calibration process, but in 2007 (Dietzel and Clarke 2007) published the concept of Optimum SLEUTH Metric (OSM). The OSM is the product of seven metrics, i.e. compare, population, edges, clusters, slope, X_{mean} and Y_{mean} . The top 20 coefficients corresponding to OSM were used to select the range of coefficients in the subsequent calibration phase. After the final calibration, the best fit values of all coefficients came in the forecasting calibration step's output. The next phase was a prediction that used these best-fit values of coefficients and simulates the results in the output folder. The output includes statistical and image data, including average, standard deviation, log file, cumulative urban maps, animated land urban maps, etc., for modelled years (Jantz et al. 2010).

The results of stage-I prediction have been validated quantitatively as well as visually. The prediction results for the year 2020 were validated with actual urban growth data. The validation of the model was necessary to assess the model capability of urban behaviour simulation.

Results and discussion

Input layers

LULC classification

The first objective of this work was to identify the LULC of the study area. The Landsat images of 1990, 1995, 2000, 2010 and 2020 were classified using the MLC technique. All five classified maps are shown in Fig. 4, and the area of classes in different years is mentioned in Table 5. The majorly existing LULC defined the characteristics of that area. There were five dominant LULC classes in the study area: fallow land, sand, urban, vegetation and water. Fallow land was present in the surrounding area of the city. It contained new alluvial soil at river banks, non-agricultural lands, land acquired for industries, construction sites, unmetalled roadwork, etc.

In year 1990, the fallow land area was 430.59 km² (54.61% of total study area) which remained only 343.52 km² (43.56%). This decreasing trend indicates the increased anthropogenic activities in the area and urban sprawl. The second most found feature was vegetation. Vegetation class

included the groups of tree canopy, farmland, green foliage, dense green scrubs, etc. The decreasing vegetation trend indicated the loss of flora and fauna in the region over the years. The area of sand was large (10.11% of total) due to the confluence of two major rivers. The increasing trend of sand area between year 1990 and 2000 has occurred due to river meandering and was verified through previous studies (Sarif and Gupta 2022). The sand was spread on the river banks. The built-up or urban area has increased consistently in the last three decades. The urban class included concrete roads, buildings, impervious constructions, etc. Initially, in the year 1990, it was 5.1% of the total area, whereas, in the year 2020, it reached up to 10.89%. This increase in the urban area has affected the natural land cover patterns like vegetation and fallow land. Water bodies were shrinking in the region. Although, according to Saha and Agrawal (2020) in the monsoon season comparatively larger area came under the waterlogged region. The percentage of water was 12.39% in 1990 which reduced to 5.26% in 2020. Vegetation and fallow land area have been reduced in the last three decades.

The validation of the classification results was carried out with the help of ground truth data. The historical images of Google Earth had been used to collect some ground control points for reference. Accuracy assessment of each classified map was performed through an error matrix which includes the User Accuracy (UA), Producer's Accuracy (PA), overall accuracy and kappa coefficient. These are mentioned in Table 6. The overall accuracy of all the classified maps was above 90% and the kappa coefficient values were above 0.85.

Over three decades, several LULC transitions took place. Figure 5 depicts the major LULC transitions. These transitions are further illustrated with the help of Google Earth images. From these transitions, it is clear that the natural land cover is losing at the cost of anthropogenic activities.

SLEUTH input layers

The input data layers of SLEUTH were in raster format with identical numbers of rows and columns. The input layers had WGS 84 datum and UTM zone 44 north projection. All the layers had a cell size of 30 m. The images were resampled into GIF greyscale format in three spatial resolution sets, i.e. 30 m, 60 m and 120 m. The prepared layers are shown in Fig. 6.

The temporal urban layers depict the sprawl of built-up activities in the city's outer area over the years. The city's ward boundary only covers approximately half of the urban region. The exclusion input layer represents the area that was not available or prohibited for performing construction activities. In this layer, the mainstream river area and restricted military area (cantonment) were included. Slope and hill-shade layers were generated from the same SRTM DEM image. The slope layer represents the slope of the region

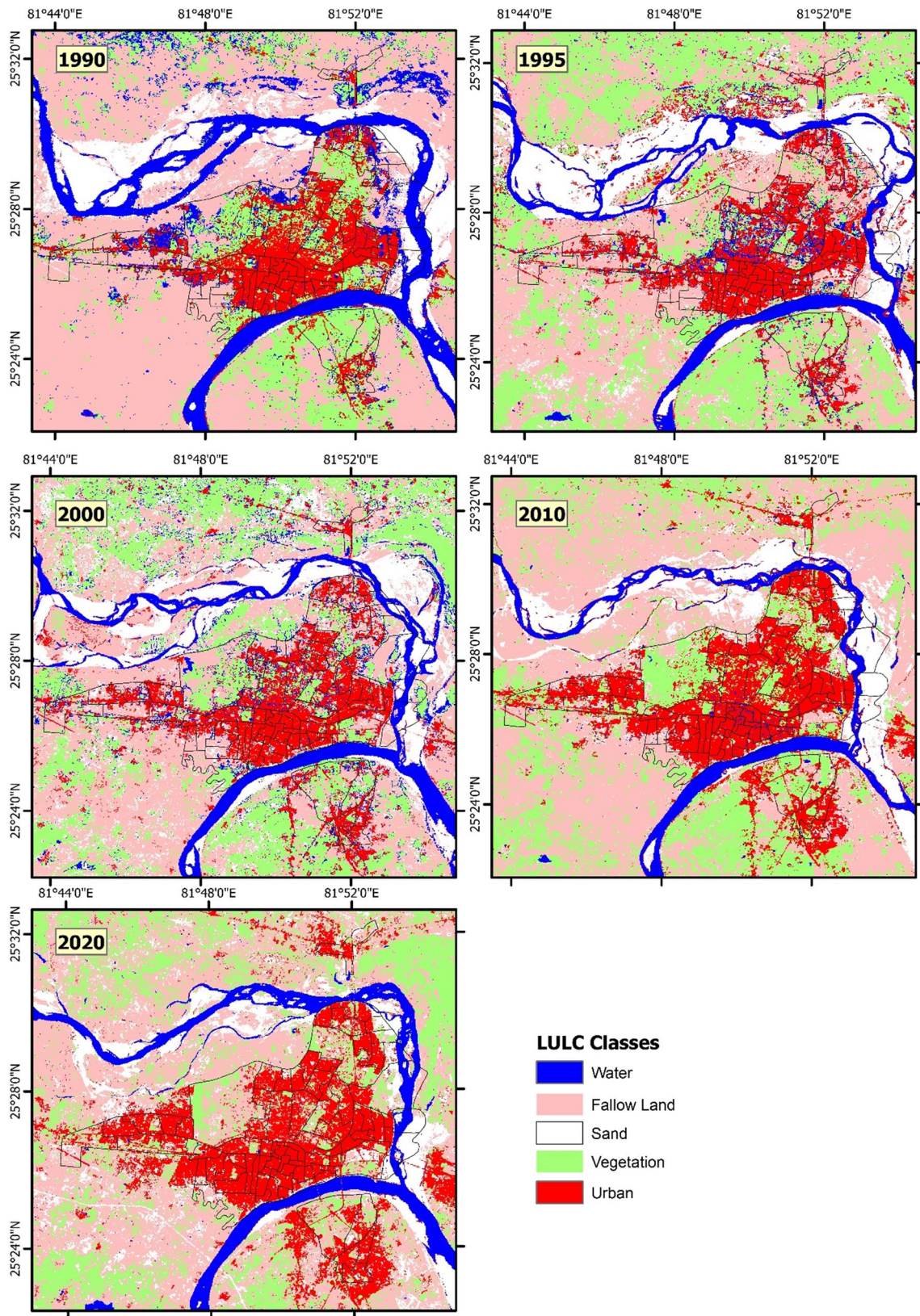


Fig. 4 LULC maps of the study area

Table 5 LULC area in different years in km.²

LULC Classes	1990		1995		2000		2010		2020	
	Area	%	Area	%	Area	%	Area	%	Area	%
Fallow land	430.59	54.61	239.99	30.44	247.02	31.33	332.19	42.13	343.52	43.56
Sand	65.07	8.25	92.68	11.75	126.39	16.03	69.85	8.85	79.78	10.11
Urban	40.22	5.10	54.41	6.90	62.79	7.96	75.07	9.52	85.89	10.89
Vegetation	154.87	19.64	345.14	43.77	283.03	35.90	277.87	35.24	237.76	30.15
Water	97.73	12.39	52.85	6.70	69.25	8.78	33.48	4.24	41.50	5.26

Table 6 Accuracy assessment of LULC classification

Classes	1990		1995		2000		2010		2020	
	UA	PA	UA	PA	UA	PA	UA	PA	UA	PA
Fallow land	90.00	86.54	97.87	93.88	95.45	100.00	90.48	84.44	93.18	97.62
Sand	88.00	86.27	92.31	90.57	79.49	86.11	88.00	86.27	88.00	89.80
Urban	87.76	93.48	90.00	93.75	91.84	100.00	80.85	100.00	92.00	100.00
Vegetation	91.67	89.80	95.74	93.75	94.00	94.00	87.50	89.36	92.00	92.00
Water	95.83	100.00	90.00	90.00	96.00	94.12	95.83	93.88	92.00	90.20
Overall Accuracy	90.85%	92.88%	92.53%	89.36%	92.10%					
Kappa Statistics	0.89		0.91		0.91		0.87		0.9051	

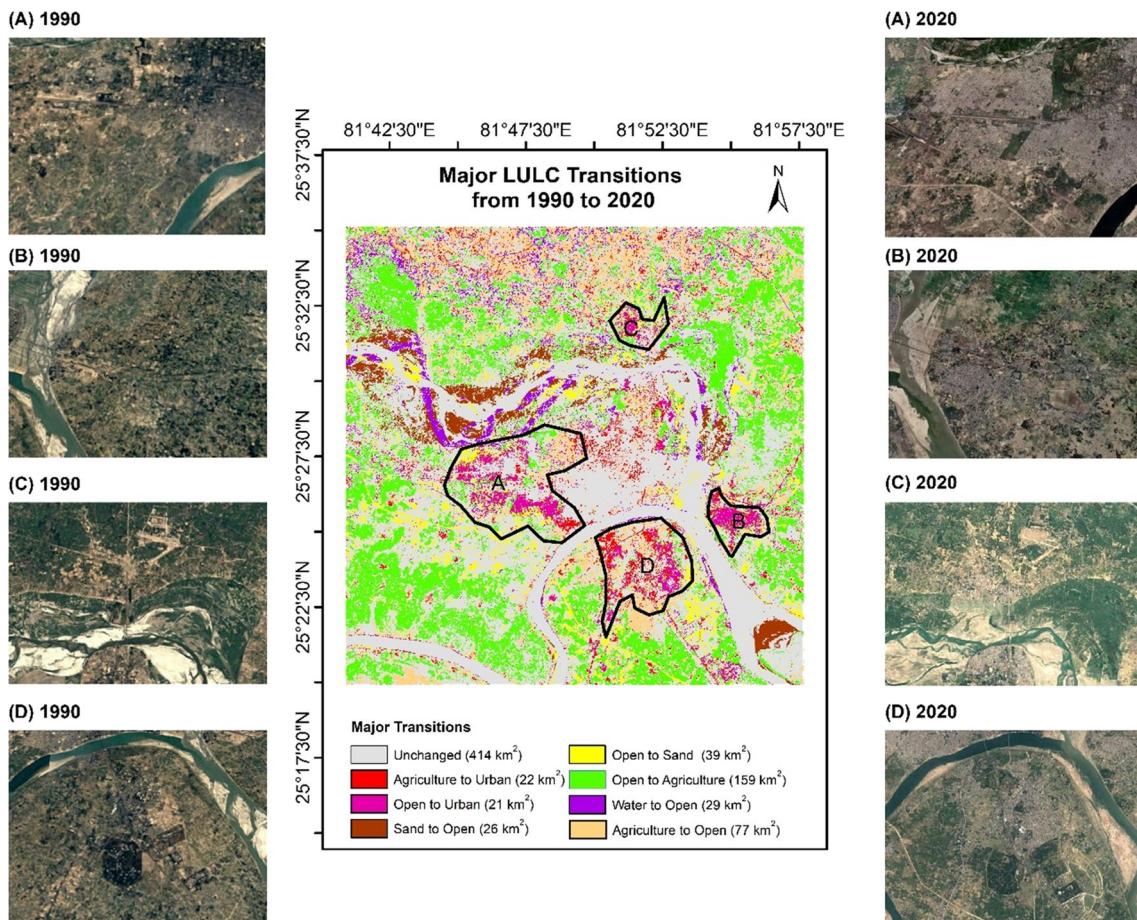


Fig. 5 Major LULC transitions in Prayagraj from 1990 to 2020

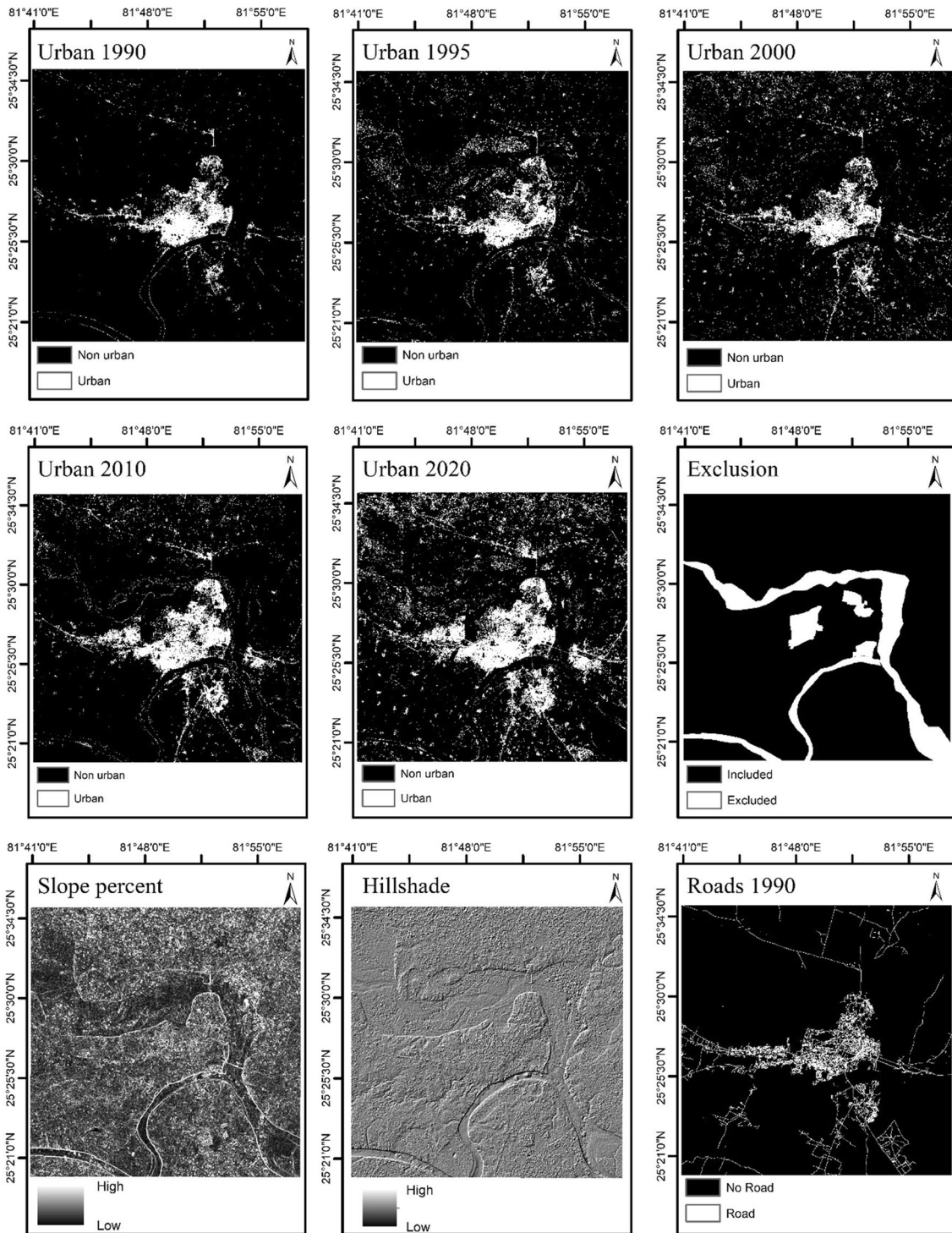


Fig. 6 The input data layers of the SLEUTH model

in percentage, whereas the hillshade layer shows the sunlit and shadowed areas. The road network data collection and creation were a complex process. The OpenStreetMap road data had been downloaded. It was corrected with the help of the digitised map to generate a road network for the year

2020. Due to past road network data unavailability, Google Earth time-series images were used to extract roads from 1990, 2000 and 2010. The road network highly influences the development of new urban settlements (Parchianloo et al. 2021). The development rate of roads has increased with



the urban sprawl simultaneously with the state and national highway projects in the last three decades. Lastly, the LULC images of 1990, 2010 and 2020 are converted in greyscale GIF format for input in SLEUTH.

Model calibration and validation

This study has used the brute force calibration method. 'Brute force calibration' means checking every possibility. In the calibration phase, the coefficient range limit for the data was decided by three resolutions, i.e. coarse, fine and final spatial resolution. Generally, coarse spatial resolution was taken four times of actual resolution, whereas fine data had resolution two times coarser than real data. The calibration phase was used to find the best fit values of five coefficients, i.e. dispersion, breed, spread, slope and road gravity. The convergence of these coefficients from the range of 0–100 to a single best fit value was carried out in the coarse, fine and final calibration. For coarse calibration, the 120 m spatial resolution dataset was selected as input data, the range of coefficients was selected from 0 to 100, and the step value was selected as 25. The upper 20 OSM metrics values and their corresponding coefficients values were selected to converge the coefficients range for the next calibration, i.e. fine calibration. The values of metrics generated after coarse calibration of stage-I corresponding to the top 20 OSM values are given in Table 7. For the fine calibration, the dataset of 60 m layers was selected. The narrow-downed range through

OSM had been used in the final calibration. The final calibration was the most time taking process of all the processes. The step values had narrowed down to 1 in the final calibration and only a single value was coming as output for each coefficient. These values were further put in the forecasting step and found the best fit values for each coefficient.

It can be noticed in Table 7 that the value of compare metric was 0.55 for the top OSM values which was satisfactory. This value further varied between 0.55 and 0.59 in all three calibrations that indicated good comparison between modelled and actual urban extent. The least square regression value of population varied from 0.98 to 1 that showed a greater similarity between actual and modelled growth. The regression values of edge and cluster were also lied in satisfactory range in all three calibration phases. It represented the comparison between shape and form of the modelled and actual urban growth. The Lee-Sallee values of more than 0.4 are acceptable and indicates near perfection calibration (Chandan et al. 2020). The results of calibration indicated that the coefficients for growth modelling characterises matched with the actual growth pattern and could be used for the further prediction for LULC of the Prayagraj. The values and statistics for the stage-I calibration process are mentioned in Table 8.

The best fit values express the influence of the coefficient during the prediction process. The obtained dispersion, breed and spread values were less (best-fit = 1), showing lesser spontaneous growth. It depicted that the development

Table 7 Result of coarse calibration in stage-I

Compare	Pop	Edges	Cluster	Lee-salle	e Slope	%Urban	Xmean	Ymean	Rad	Fmatch	Diff	Brd	Sprd	Slp	RG	OSM
0.55	1.00	0.49	0.76	0.39	1.00	0.98	0.96	0.87	0.99	0.53	1	1	100	1	75	0.17219
0.55	1.00	0.49	0.76	0.39	1.00	0.98	0.96	0.87	0.99	0.53	1	1	100	25	75	0.17219
0.55	1.00	0.49	0.76	0.39	1.00	0.98	0.96	0.87	0.99	0.53	1	1	100	50	75	0.17219
0.55	1.00	0.49	0.76	0.39	1.00	0.98	0.96	0.87	0.99	0.53	1	1	100	75	75	0.17219
0.55	1.00	0.49	0.76	0.39	1.00	0.98	0.96	0.87	0.99	0.53	1	1	100	100	75	0.17219
0.58	0.97	0.78	0.52	0.40	0.96	0.98	0.58	0.96	0.98	0.54	1	1	50	1	100	0.12354
0.58	0.97	0.78	0.52	0.40	0.96	0.98	0.58	0.96	0.98	0.54	1	1	50	25	100	0.12354
0.58	0.97	0.78	0.52	0.40	0.96	0.98	0.58	0.96	0.98	0.54	1	1	50	50	100	0.12354
0.58	0.97	0.78	0.52	0.40	0.96	0.98	0.58	0.96	0.98	0.54	1	1	50	75	100	0.12354
0.58	0.97	0.78	0.52	0.40	0.96	0.98	0.58	0.96	0.98	0.54	1	1	50	100	100	0.12354
0.55	0.97	0.72	0.58	0.39	0.98	0.98	0.42	1.00	0.96	0.53	1	1	1	1	75	0.09177
0.55	0.97	0.72	0.58	0.39	0.98	0.98	0.42	1.00	0.96	0.53	1	1	1	25	75	0.09177
0.55	0.97	0.72	0.58	0.39	0.98	0.98	0.42	1.00	0.96	0.53	1	1	1	50	75	0.09177
0.55	0.97	0.72	0.58	0.39	0.98	0.98	0.42	1.00	0.96	0.53	1	1	1	75	75	0.09177
0.55	0.97	0.72	0.58	0.39	0.98	0.98	0.42	1.00	0.96	0.53	1	1	1	100	75	0.09177
0.55	0.99	0.70	0.25	0.40	0.99	0.98	0.71	0.97	0.98	0.53	25	25	25	1	50	0.06460
0.55	0.99	0.70	0.25	0.40	0.99	0.98	0.71	0.97	0.98	0.53	25	25	25	25	50	0.06460
0.55	0.99	0.70	0.25	0.40	0.99	0.98	0.71	0.97	0.98	0.53	25	25	25	50	50	0.06460
0.55	0.99	0.70	0.25	0.40	0.99	0.98	0.71	0.97	0.98	0.53	25	25	25	75	50	0.06460
0.55	0.99	0.70	0.25	0.40	0.99	0.98	0.71	0.97	0.98	0.53	25	25	25	100	50	0.06460

Table 8 Coefficient range selection during the stage-I calibration process and best fit values

	Diffusion	Breed	Spread	Slope resistant	Road gravity	Monte Carlo iteration	Best fit Lee- Salle	Best fit OSM
<i>Coarse calibration</i>								
Start	0	0	0	0	0	5	0.40075	0.17218
Step	25	25	25	25	25			
Stop	100	100	100	100	100			
<i>Fine calibration</i>								
Start	0	0	50	25	50	7	0.40103	0.05146
Step	5	5	5	10	10			
Stop	20	20	80	75	100			
<i>Final calibration</i>								
Start	1	10	75	25	90	8	0.40096	0.09234
Step	1	2	1	5	2			
Stop	5	20	80	45	100			
<i>Forecast</i>								
Start	2	14	80	25	96	100		
Step	1	1	1	1	1			
Stop	2	14	80	25	96			
Best fit values	1	1	1	49	94			

of new urban centres was significantly less during the study period. The results were verified through the previous urban growth analysis carried out by Sarif and Gupta (2021) for Prayagraj city. They also concluded that the urban growth was more concentrated to the city centre. The slope gradient and road gravity coefficients were 49 and 17, respectively. It showed that the road influenced growth was dominating in this region. The results were validated through temporal LULC maps where the built-up change was clearly visible in the linear patterns alongside the roads. The optimum values of self-modifying parameters were taken as suggested by previous researches (Saxena et al. 2021b). The values of boom, bust critical low and critical high were taken as 1.3, 0.10, 0.90 and 1.25, respectively, whereas critical slope value was taken as 15. The procedure followed in stage-I has been used again in stage II. For the stage-II calibration, the layers of the years 1990, 2000, 2010 and 2020 were used to predict the output landuse till 2040. The values of statistical parameters are mentioned in Table 9.

After calibration, the validation of the model was carried out with the actually observed classification of 2020. Figure 7 shows the comparison between actual and modelled urban landuse areas. Although the landuse pattern of both images was the same, some spatial disparity was established between them. The modelled and real built-up area came as 75.34 km² and 85.89 km², respectively. This difference was occurring because of two major regions. First was the government policies that promoted the development along the highways. The impact of this can be seen on the actual urban area. The modelled urban area missed this development.

Another cause of mismatch was the exclusion river layer. Over time, unplanned urbanisation took place in this region because of haphazard development and change in the river coast, which the modelled results could not capture.

Model prediction

SLEUTH model can forecast a future urban settlement by calculating appropriate calibration. Prayagraj city has a plane topography, but the region of rivers contains slopes and is less affected by settlement. The growth might be observed in new locations away from the existing urban centres. The best fit values express the influence of the coefficient during the prediction process. The obtained dispersion, breed and spread values were less (best-fit = 1), indicating lesser spontaneous growth. According to Aithal et al. (2019), the values of dispersion and breed coefficients for major four Indian cities Delhi, Mumbai, Kolkata and Hyderabad was found to be low. This similar behaviour specifies the lesser chances of outward dispersive growth and formation of new urban centres on its own. Road gravity's most significant influence was shown as the road gravity (best fit value 17 after stage II), which shows the growth alongside the roads and development of change during the last three decades. The economic activities and other social factors are directly related with growth and are highly influenced by transportation network (Liu et al. 2020). The prediction result of the LULC for the year 2040 is given in Fig. 8.

The modelled 2040 urban region is matching with the urban growth trend. The extension of urban areas in the last



Table 9 Coefficient range selection during the stage-II calibration process and best fit values

Coefficient	Diffusion	Breed	Spread	Slope resistant	Road gravity	Monte Carlo iteration	Best fit Lee-Salle	Best fit OSM
<i>Coarse calibration</i>								
Start	0	0	0	0	0	5	0.35914	0.00108
Step	25	25	25	25	25			
Stop	100	100	100	100	100			
<i>Fine calibration</i>								
Start	0	0	50	1	1	7	0.36058	0.00143
Step	5	10	10	10	10			
Stop	20	50	100	50	50			
<i>Final calibration</i>								
Start	1	40	90	11	21	8	0.46756	0.14267
Step	1	2	2	5	2			
Stop	5	50	100	31	31			
<i>Forecast</i>								
Start	1	50	98	11	21	100		
Step	1	1	1	1	1			
Stop	1	50	98	11	21			
Best fit values	1	1	1	49	17			

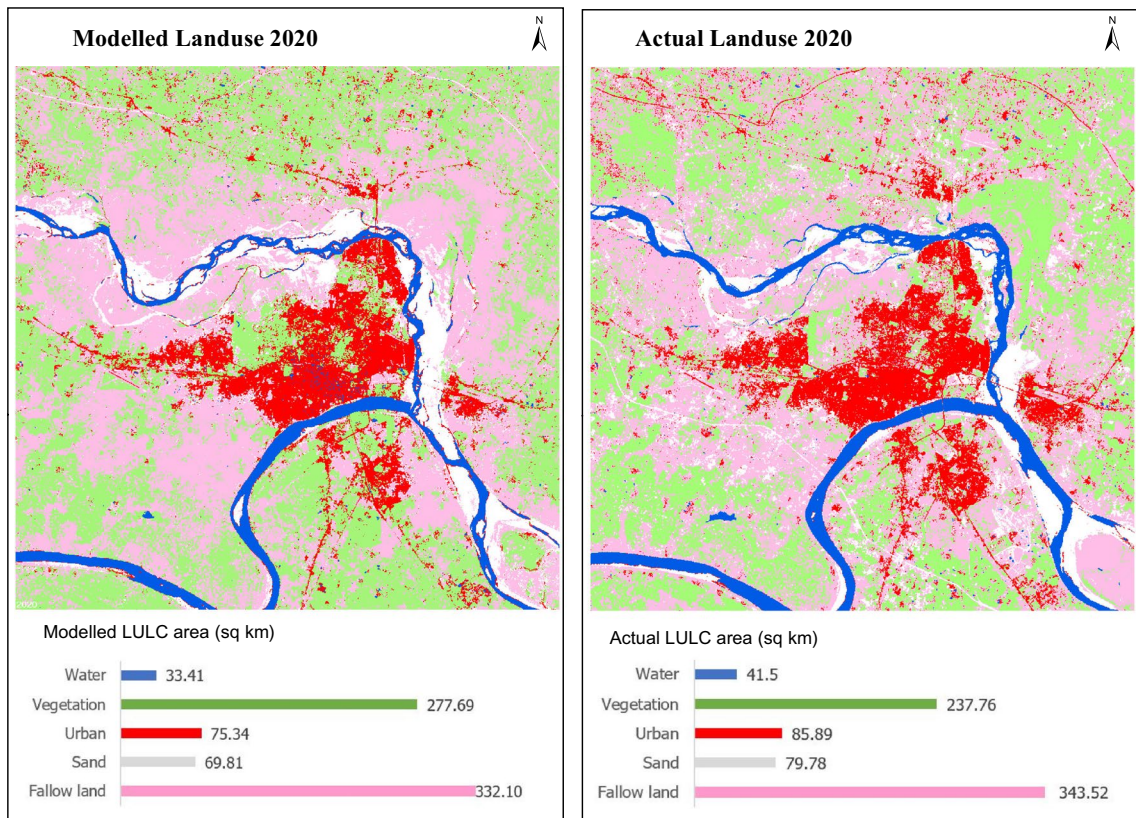
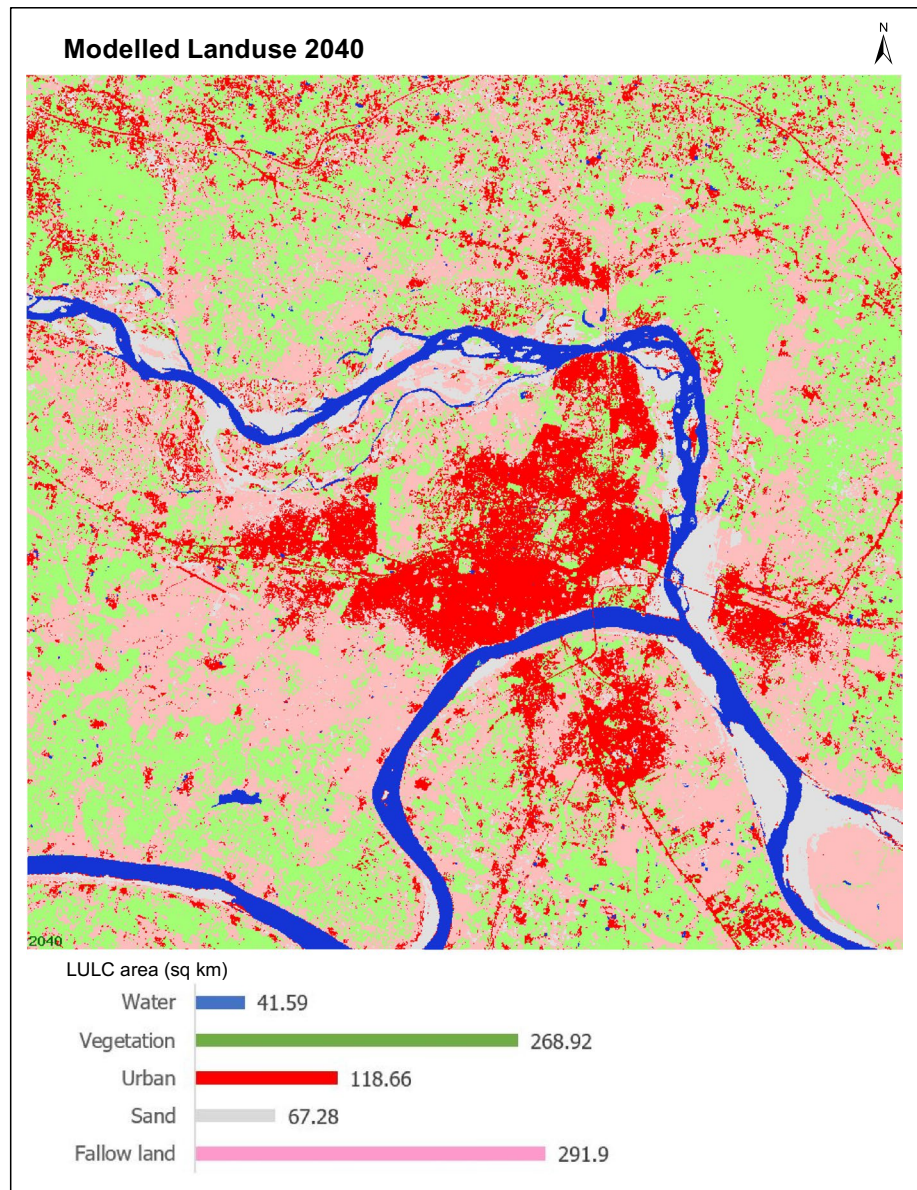


Fig. 7 Modelled and actual landuse image of 2020

Fig. 8 Modelled LULC for the year 2040



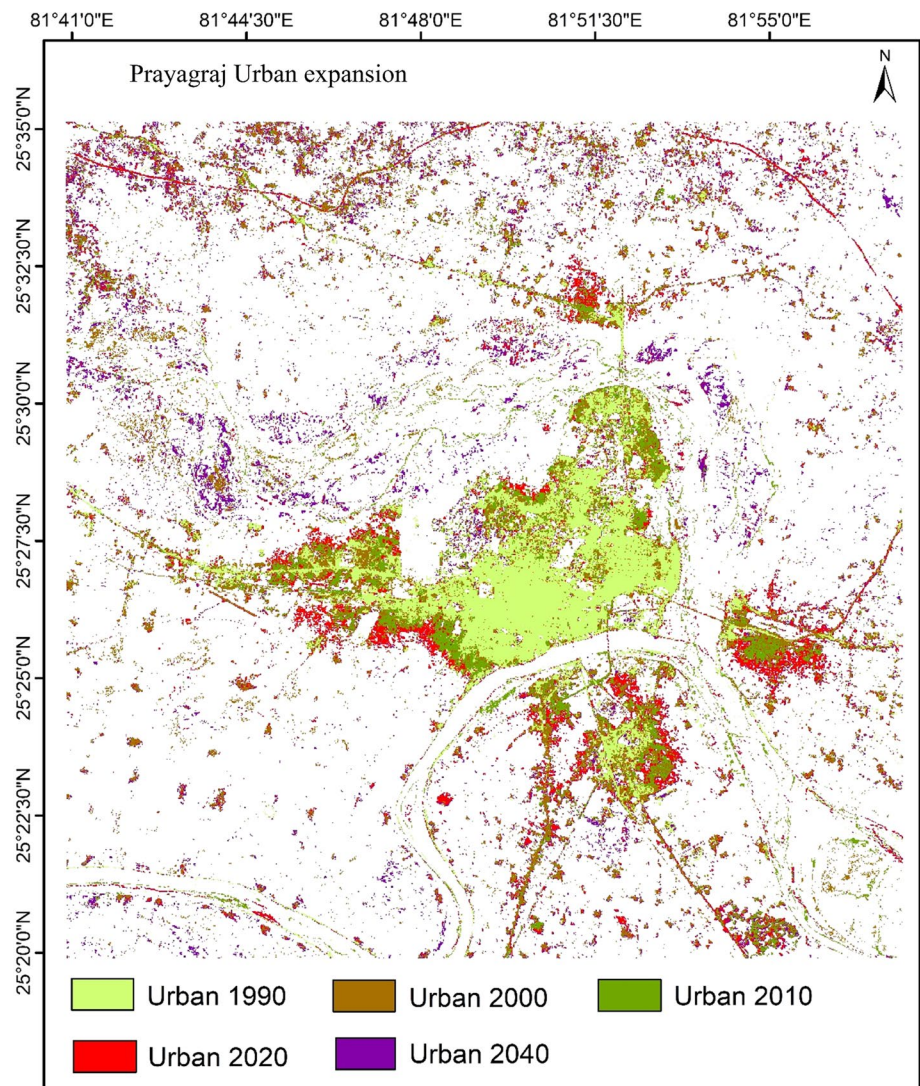
three decades and predicted expansion for 2040 is shown in Fig. 9. The new spontaneous urban growth depends on the existing transportation network. The area of urban is expanded around highways prominently.

The urban settlement in Prayagraj city is seen in all four directions (Fig. 9). The National Highway (NH-2) went from east to west and passed by centre of the city. Major construction network temporally increasing over the time along with NH-2. The trans-river development was shown mainly after 2000 to 2020 which is indicated by dark green and red colours, respectively. Over the time, the densification of city taken place and construction and development of new road were found in the outskirts of city. New built-up region found along the national and states highways which passes from rural area and connects other cities through them. This indicates

the increment of people settlement on the outer city region at the cost of agriculture and fallow land (Mekonnen and Ghosh 2020). Various analysis took place regarding sensitivity and other calibration best fit values over the years (Saxena et al. 2021b; Sarica et al. 2021; Zhang et al. 2021; Jat and Saxena 2021). The road network gravity factor was also prominent for horizontal urban growth development. Researchers had achieved good results while validating the model from observed results.



Fig. 9 Urban area expansion in Prayagraj from 1990 to 2040



Conclusion

Urban areas have been proliferated in developing countries. The urban dwellers' demands may become a severe burden on natural resources in the near future. LULC prediction models are a valuable tool to counter the urbanisation challenges as they will help decide the development policies. This study investigates the LULC trend and predicts the future LULC using the SLEUTH model. The study aims to predict urban expansion and its impact on other LULC for the smart city region of Prayagraj. For this purpose, Landsat images, OpenStreetMap, SRTM DEM, Google Earth and topographic maps are used. Firstly, LULC of the years 1990, 1995, 2000, 2010 and 2020 is generated using the supervised image classification. The results have shown the trend of LULC change. The most prominent among them is the continuous growth of urban/ built-up areas, which increased from 40.22 km² to 85.89 km².

In order to study and predict urban sprawl, SLEUTH model has been used. It ran for coarse, fine and final calibrations. The value of the five coefficients ranges from 0 to 100 and converges to best-fit values after these calibrations. The road gravity coefficient is proven as the most affecting driver of landuse change. To better understand the urban growth drivers, the best fit values of coefficients have helped. The influence of slope gradient and road gravity is strong rather than other drivers. The least-square regression metrics have shown satisfactory values, indicating that the model has properly captured the historical growth. SLEUTH model has forecasted the growth in urban areas to 118.65 km² by 2040. In the past three decades, the urban growth rate was 3.78%, whereas, in the upcoming 20 years, the growth rate will be 1.9%. This would take place at the cost of natural land cover and open land. The results have been validated with respect to the LULC maps, topographic maps and Google Earth images. Although the results are satisfactory, but the analysis using this model included a tedious calibration process.

SLEUTH is a sophisticated model that yields correct results in a complex problem. The results will help the city planners, administrators and government in making appropriate plans and policies. More sustainable growth plans could be made based on the predicted 2040 LULC results. There is an alarming situation for the natural and agricultural land alongside the state and national highways outside the existing cities. The policymakers should plan to ameliorate the current situation. The model considered a number of input datasets to simulate landuse change and urban growth. However, it did not take crucial socio-economic factors, which is one of the limitations.

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Data availability The authors are thankful to USGS Earth Explorer for freely available Landsat datasets for study area region.

Code availability Not applicable.

Declarations

Conflict of interest The authors declare that they have no known competing interests that could have appeared to influence the work reported in this paper.

Consent to participate The authors are unanimously agreed to participate in study.

Consent for publication The authors are agreed for publication of this work.

Ethics approval The manuscript has been read and approved for submission by all the named authors.

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