

Is Less Noise, Light and Parental/Caregiver Stress in the Neonatal Intensive Care Unit Better for Neonates?

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In utero sensory stimuli and interaction with the environment strongly influence early phases of fetal and infant development. Extremely premature infants are subjected to noxious procedures and routine monitoring, in addition to exposure to excessive light and noise, which disturb the natural sleep cycle and induce stress. Non-invasive ventilation, measures to prevent sepsis, and human milk feeding improve short-term and long-term neurodevelopmental outcomes in premature infants. To preserve brain function, and to improve quality of life and long-term neurodevelopmental outcomes, the focus now is on the neonatal intensive care unit (NICU) environment and its impact on the infant during hospital stay. The objectives of this write-up are to understand the effects of environmental factors, including lighting and noise in the NICU, on sensory development of the infant, the need to decrease parental and caregiver stress, and to review existing literature, local policies and recommendations.

Keywords: *Developmental disabilities, Environment, Neonate, Noise pollution.*

The immature organ systems, including the brain, of a preterm infant nursed in the neonatal intensive care unit (NICU) are vulnerable to any change in the NICU milieu [1]. Mother-infant contact is essential for the development of infants' physiological systems such as handling and regulation of stress, autonomic functioning and sleep patterns [2,3]. However, prolonged stay in the NICU does not allow a preterm infant the full benefit of mother-infant interaction.

In the past, it was assumed that newborns were not sensitive to the environment, and hence not capable of interaction [4]. However, it is now evident that neonates, in addition to differentiating between various stimuli and showing preference for certain stimuli, are also capable of responding to touch. Unusual sensory experiences due to frequent procedures, noise, excessive light in the NICU and prolonged mechanical ventilation pose a risk to the developing brain of the preterm infant, and adversely affect long-term neurodevelopmental outcomes [5]. Thus, the physical environment of the NICU is very important for the continued normal development of preterm infants. The NICU environmental factors influencing long-term developmental outcomes are outlined in *Fig. 1*.

Human neurosensory development is believed to follow a particular sequence [6]. The first sensory system

to develop is touch, followed by kinesthetic, chemosensory, auditory and lastly the visual system [7]. An atypically timed or an extremely intense stimulation of one sensory system may not only affect the normal development of that system, but may also affect other systems [8].

NOISE

Differentiation of hair cells begins at 10-12 weeks of gestational age (GA). At 25-29 weeks GA, the auditory system starts to function and tonotopic columns are formed, which are essential for development of complex language and musical skills [9].

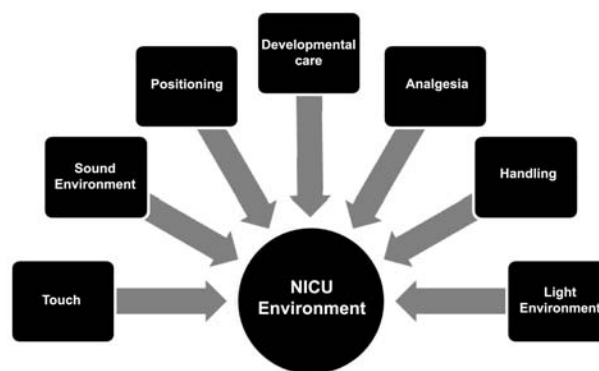


FIG.1 Environmental factors in neonatal intensive care influencing long-term developmental outcomes.

BOX 1 STAGES IN AUDITORY DEVELOPMENT

Genetic Endowment (15-20 weeks GA): Basic structures are formed based on genetic coding.

Endogenous Stimulation (20-22 weeks GA): Regular nerve cell activity in the spiral ganglion forms synchronous waves which help in axonal growth and formation of synapses with relay nuclei.

Activity-dependent Processes (28-29 weeks GA to early months of life): Hair cells and their cochlear connections start tuning for specific sound frequencies.

GA: gestational age.

Stages in auditory development [6] that can be blocked by exposure to low frequency noise (70-80 dB) and epigenetic factors are shown in **Box 1**. As outer hair cells, which receive feedback from brainstem and modulate sounds are functional only closer to term, it is difficult for the preterm infants to modulate an intense signal such as sound applied directly to the abdomen over the uterus [10]. Thus, a loud sound (≥ 60 dB) *in utero* or in NICU at 25-26 weeks gestation can cause changes in the autonomic functioning of the fetus that affects the heart rate, oxygenation, gastrointestinal motility, blood pressure and sleep [11]. As sick infants lack the reserve and the ability to self-regulate, they are at increased risk for hypoxia and bradycardia. Recommendations for sound levels in the NICU are shown in **Table I** [12]. The average decibel level of sounds in the NICU varies between 50 dB to 140 dB for various activities and interactions [13].

Maternal tissues protect the fetus from most high frequency sounds and light. Maternal voice, being low frequency, is heard well and distinguished from *in utero* background noise. Knowing the mother’s voice probably helps in mother-infant attachment process and eventual language acquisition. The fetus can hear and learn simple music or sounds common to the environment as early as

30-32 weeks of gestation [14]. Brain waves generated during rapid eye movement (REM) sleep aid in the formation of long-term synapses in the auditory cortex. These synapses facilitate the neonate’s ability to learn. Hence it is essential that rapid eye movement (REM) sleep cycles are protected [15].

Fetal learning is also linked to development of fetal autonomic nervous system. Infants as early as 34-35 weeks gestation can differentiate different emotional qualities of speech, music and moods, which are stored as auditory memories in the limbic system [14,15].

Noise measuring technology in NICU is now accessible. Re-echoing spaces and excessive noise are the main sources of noise production. Thus, attention to NICU design, including sound-proofing is very important. A study by Philbin, *et al.* [16] found greater reduction in noise production after physical space change as compared to staff behavioral change. Studies have aimed to reduce noise exposure in NICU by using earplugs and earmuffs [17,18].

Few recommendations to protect sleep cycles and possibly reduce adverse effects on infant’s auditory development, as described in the literature [8] are provided below:

- Pregnant women should avoid prolonged exposure to low-frequency sound above 65 dB.
- Direct application of earphones or other sound-producing devices to pregnant woman’s abdomen or infants’ ears should be avoided, as it is difficult to predict the sound level at the fetal cochlea.
- The human fetus should hear mother’s voice during ordinary activities of daily living during the last 6-8 weeks and music and meaningful environmental sounds during the last 10-12 weeks of gestation, *in utero* or in the NICU. Live interaction with parent voices at the bedside should be facilitated.
- NICUs should have regular noise assessment and a program of noise control, including curtailment of

TABLE I RECOMMENDED NICU STANDARDS FOR SOUND LEVELS*

NICU sections	Continuous background sound and operational sound should not exceed	Transient sounds or Lmax should not exceed
Infant rooms and adult sleep areas	hourly Leq of 45 dB and an hourly L10 of 50 dB	65 dB
Staff work areas, family areas and staff lounge areas	hourly Leq of 50 dB and an hourly L10 of 55 dB	70 dB

*Adopted from reference 12; Leq is the equivalent (average) noise level across a given time-period; L10: A measure of the decibel level that can be exceeded for only 10% of the time (during a specific measurement period); Lmax: The highest decibel level measured for at least 1/20th of a second duration during the specified time period.

talking and laughing and gentle manipulation of incubator portholes.

- Monitoring equipment should be minimal, less noisy or with visual alarms, and should not be placed on incubators. Manufacturers should aim to minimize the noise levels in their products.
- ‘Quiet period’ should be applied whenever possible, especially during the night.

LIGHTING

Visual development begins early in fetal life, and is structurally and functionally complete around age 3 years, based on neural plasticity. Stages involved in visual development are mentioned in **Box 2** [19]. Though excessive light in NICU was previously considered to be a contributor to retinopathy of prematurity (ROP) interventions for light reduction in NICU did not seem to prevent it [20-22]. The dark environment of the fetus with its tactile, kinesthetic and auditory stimuli along with mother’s pattern of rest and activity [23] expose the fetus to circadian rhythms and probably synchronize the fetal clock with the external light-dark cycle. The circadian clock controls body temperature, alertness and rhythmic hormonal production (*e.g.* melatonin, cortisol) [23]. Whether acquiring circadian rhythms during gestation helps in timing the physiological functions of the fetus to those of the mother is currently unknown.

Circadian rhythms have been documented as early as zero to three days after birth [24]. Interrupted triggering of the fetal circadian clock due to preterm birth or exposure of the preterm infant to near darkness or continuous bright light in the NICU may negatively

impact NICU stay, sleep, feeding, growth and long-term neurodevelopment outcome.

No benefit has been seen in preterm infants <28 weeks gestation exposed to light-dark cycles. After 28 weeks, there is some evidence in favor of cycled lighting [12]. Morag, *et al.* [25], in their review on the topic, concluded that many outcomes favored cycled light compared with near darkness and continuous bright light.

Recommendations for lighting in NICU include:

- Repeated focusing on the mother’s (or human) face and objects and activities within the focal distance seem to be important.
- Provide some daily exposure to light (using indirect light when possible), avoid sleep interruptions, bright and direct lights, loud noises, high doses of sedative and depressing drugs and unnecessary physical disturbing activities.
- Enhance dark periods by dimming light during ‘quiet period’ and protecting REM sleep.
- Be aware of the impact of overhead lighting as well as light exposure from windows and rapid, abrupt changes in lighting intensity.
- Shielding the incubator with a blanket or cover to minimize light exposure is essential.
- Phototherapy lights increase light exposure to infants in surrounding beds. Ophthalmic eye drops also cause light sensitive eyes. Therefore, bright light should be avoided.

PARENTAL STRESS

Mother-infant bonding is a major problem in the NICU [26] due to: maternal anxiety or depression, infant being ill, inability to touch and hold the infant, loss of desired parenting role, isolation and guilt about having a presumable unhealthy child, and unfamiliarity of the NICU environment with bright lights, noisy monitoring machines, ventilators, alarms and chemical odors. An important factor that determines the effectiveness of parenting role after discharge is the quality and frequency of parental involvement while in the NICU. Parenting behavior is an important link between biological risk and developmental outcome.

In a study by Turan, *et al.* [27], the stress scores obtained by the Parental Stress Scale were significantly lower in the intervention group (in which parents received information they requested and all their questions were answered), in comparison to the control group where parents were informed of only routine unit

BOX 2 STAGES IN VISUAL DEVELOPMENT

Activity-independent genetically controlled and epigenetic processes: Basic structures and non-specific connections are formed.

Activity-dependent processes:

- Endogenous spontaneous retinal wave stimulation produced only during REM sleep directs and targets retinal ganglion cell axons and organization of the visual cortex. Protecting sleep cycles is important to avoid disrupting this organization.
- Visual stimulation begins with light and onset of vision near 40 weeks.
- For continued visual development, regular visual experience requiring light on objects, color and movement is essential.

procedures. Preyde and Ardal [28] showed that mothers in the intervention group who were in a “buddy program” (individualized telephonic support for a parent by a parent who had previous NICU experience) and participated in educational group meetings had less anxiety and depression, when compared to mothers in the control group who received routine NICU services.

Family integrated care (FICare) is a new approach that aims to promote weight gain among newborns admitted to the NICU at 21 days of life and lessen stress among parents. This novel method involves the active participation of parents in the day-to-day care of their newborn while in the NICU. FICare has four main pillars: parent education, nursing staff education and support, psychosocial support and NICU environment. Parents involved in FICare have less stress and anxiety [29] with improved breastfeeding rates prior to discharge among NICU patients [30].

Hence, the following strategies should be considered:

- Assurance, consistent information and a focal person during NICU stay to answer questions.
- Parental participation in daily rounds and all decision-making processes for their neonates.
- Emotional and spiritual support.
- Educational booklets and an album of nursery graduates to provide hope and understanding of the NICU working environment.
- NICU tours provided by other parents and implementation of a buddy program.
- Encourage parents to provide daily routines (feed, hold, bath, etc.) in stable infants, which will help with transition of care following discharge.
- Parents should be involved in major milestones such as first gavage feed, first 100 days of age, first time out of isolette, etc).

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