



Cerebellar $\alpha 6$ GABA_A Receptors as a Therapeutic Target for Essential Tremor: Proof-of-Concept Study with Ethanol and Pyrazoloquinolinones

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Abstract

Ethanol has been shown to suppress essential tremor (ET) in patients at low-to-moderate doses, but its mechanism(s) of action remain unknown. One of the ET hypotheses attributes the ET tremorgenesis to the over-activated firing of inferior olivary neurons, causing synchronic rhythmic firings of cerebellar Purkinje cells. Purkinje cells, however, also receive excitatory inputs from granule cells where the $\alpha 6$ subunit-containing GABA_A receptors ($\alpha 6$ GABA_ARs) are abundantly expressed. Since ethanol is a positive allosteric modulator (PAM) of $\alpha 6$ GABA_ARs, such action may mediate its anti-tremor effect. Employing the harmaline-induced ET model in male ICR mice, we evaluated the possible anti-tremor effects of ethanol and $\alpha 6$ GABA_AR-selective pyrazoloquinolinone PAMs. The burrowing activity, an indicator of well-being in rodents, was measured concurrently. Ethanol significantly and dose-dependently attenuated action tremor at non-sedative doses (0.4–2.4 g/kg, *i.p.*). Propranolol and $\alpha 6$ GABA_AR-selective pyrazoloquinolinones also significantly suppressed tremor activity. Neither ethanol nor propranolol, but only pyrazoloquinolinones, restored burrowing activity in harmaline-treated mice. Importantly, intra-cerebellar micro-injection of furosemide (an $\alpha 6$ GABA_AR antagonist) had a trend of blocking the effect of pyrazoloquinolinone Compound 6 or ethanol on harmaline-induced tremor. In addition, the anti-tremor effects of Compound 6 and ethanol were synergistic. These results suggest that low doses of ethanol and $\alpha 6$ GABA_AR-selective PAMs can attenuate action tremor, at least partially by modulating cerebellar $\alpha 6$ GABA_ARs. Thus, $\alpha 6$ GABA_ARs are potential therapeutic targets for ET, and $\alpha 6$ GABA_AR-selective PAMs may be a potential mono- or add-on therapy.

Keywords GABRA6 · GABA_A receptor $\alpha 6$ subunit · Essential tremor · Ethanol · Cerebellum · Harmaline

Introduction

Essential tremor (ET) is a neurological disorder with symptoms characterized by uncontrollable rhythmic shaking of one or more body parts [1, 2]. It is one of the most common

movement disorders, especially in the elderly [3–6], and a potential risk factor for other neuropsychiatric conditions, such as depression and anxiety [3, 7]. The socio-economic burden inflicted by ET is insurmountable, as it negatively affects the well-being and productivity of patients and their

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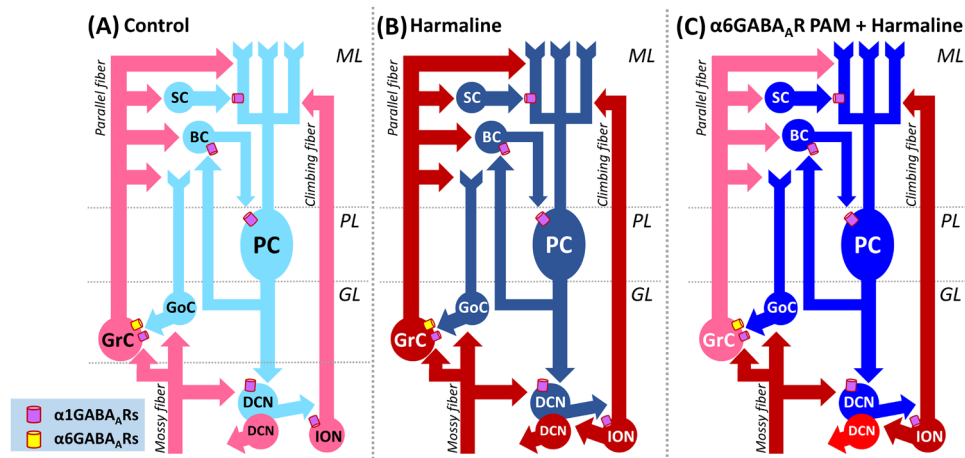
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Scheme 1 The cerebellar microcircuit proposed to be involved in **A** normal condition, **B** in the tremorigenic mechanism of harmaline and ET, and **C** in the possible tremorolytic effect of positive modulation of $\alpha 6$ subunit-containing GABA_A receptors ($\alpha 6$ GABA_ARs). **A** In the cerebellar cortex, there are five main neuronal cell types, granule cells (GrCs), Golgi cells (GoCs), Purkinje cells (PCs), stellate cells (SCs), and basket cells (BCs). Among these, GrCs are glutamatergic (red) whereas other cerebellar neurons are GABAergic (blue). These cells are segregated in three distinct layers: GrCs and GoCs in the granular layer (GL), PCs in the Purkinje layer (PL), SCs and BCs in the molecular layer (ML). GrCs receive GABAergic synaptic transmission from GoCs via $\alpha 1$ GABA_ARs (purple cylinder) and $\alpha 6$ GABA_ARs (yellow cylinder). Note that $\alpha 6$ GABA_ARs are only found in GrCs [46]. The color intensity represents the degree of activation of the relevant neurons. **A** Under normal condition, PCs receive GABAergic transmission from SCs and BCs via $\alpha 1$ GABA_ARs and in turn send GABAergic output feedback to BCs via $\alpha 1$ subunit-containing GABA_A receptors ($\alpha 1$ GABA_ARs) [103, 131]. PCs also send GABAergic projections to neurons in the output nuclei, the deep cerebellar nuclei (DCN) that consists of mixed glutamatergic and GABAergic neurons expressing $\alpha 1$ GABA_ARs [103, 131]. On the other hand, all GABAergic neurons in the cerebellar cortex receive

glutamatergic inputs from GrCs via parallel fibers. PCs and DCN neurons also receive glutamatergic innervation from the input nuclei of cerebellum, the inferior olivary nuclei (ION), via climbing fibers. Thus, the input ION can modulate the cerebellum activity by both directly exciting and indirectly inhibiting (through PCs) the output DCN, and DCN subsequently transmit the cerebellar output signals to the other brain regions, e.g., thalamus for further processing. **B** During the condition of action tremor induced by harmaline, the hyperactivity of the ION leads to synchronized rhythmic firings of cerebellar PCs, through glutamatergic climbing fibers inputs, and subsequently causes marked rhythmic, alternating hyperpolarization and rebound bursting in DCN neurons, resulting in action tremor. Note that darker blue and red colored neurons represent higher neuronal activity. **C** Upon administration of $\alpha 6$ GABA_AR PAMs, like low-to-moderate doses of ethanol or $\alpha 6$ GABA_AR-selective pyrazoloquinolinones PAMs, the tonic and phasic GABAergic inhibitions on GrCs are pharmacologically potentiated, via extrasynaptic $\alpha 6\beta\delta$ GABA_ARs and synaptic $\alpha 6\beta\gamma 2$ GABA_ARs at GoC-GrC synapses, respectively. These actions would ultimately reduce the excitatory input from GrCs onto PCs and decrease the neuronal activity of PCs, and thereby contribute to tremor suppression.

caregivers [8]. Current therapeutic agents for ET are limited and often hampered by either inadequate efficacies or intolerable side effects [9].

The pathogenesis of ET is not fully understood. Several human studies suggest a general hypo-function of GABAergic transmission in the brains of ET patients. ET patients had lower GABA, but higher glutamate concentrations in the cerebrospinal fluid (CSF) than normal controls [10]. Consistent with a reduced GABAergic inhibition, ET patients have been reported to have excessive cerebellar activity as revealed by neuroimaging studies [11]. Postmortem studies in ET patients revealed a significant loss of cerebellar Purkinje cells (PCs) [12, 13] and their dendritic arborization [12, 14, 15], possibly caused by an overexcitation of PCs and excessive cerebellar activity [16].

As shown in Scheme 1, the cerebellar cortex is a three-layered structure with GrCs and GoCs in the granular layer, PCs in the Purkinje layer, and stellate cells (SCs) and basket

cells (BCs) in the molecular layer, and receives two excitatory inputs via mossy and climbing fibers, respectively [17]. Mossy fibers relay the sensory and contextual information from the brain stem and spinal cord to GrCs that elicit the coordinated motor programs via PCs. Climbing fibers, originated from the inferior olive nucleus (ION) transmit signals from the spinal cord, and provide error-correction signals to PCs for precise timing control of motor function [18]. PCs are the only output neurons of the cerebellar cortex, providing the cerebellar coordinated inhibitory signals [19] to precisely control the neuronal excitability of the downstream deep cerebellar nuclei (DCN) [20]. GoCs are GABAergic interneurons, providing direct feedforward and indirect feedback inhibition, respectively, on excitatory GrCs to sharpen their signals [21]. The axons of GrCs go ascending to the molecular layer, extending as parallel fibers that form excitatory synapses on the dendritic field of PCs, and inhibitory interneurons, BCs and SCs (Scheme 1). The glutamatergic

unipolar brush cells that also play a role in mediating signals from mossy fibers to GrCs [22] are not included in the scheme.

In agreement with their central role in the function of the cerebellum, PCs were recently reported to be significantly involved in the excessive cerebellar activity and play an important role in the pathogenesis of ET. In an ET animal model induced by harmaline, a β -carboline neurotoxin [23], it was demonstrated that the onset of tremor coincides with the abnormal rhythmic firing of cerebellar PCs and bursting firing of the downstream DCN neurons (Scheme 1B), and that a genetic reduction of PC-DCN transmission reduces tremor activity [24]. This conclusion was also supported by another study indicating that misfiring of cerebellar PCs elicited by synaptic pruning deficits of climbing fiber-to-PC synapses caused by PC-specific glutamate receptor subunit insufficiency, cause excessive cerebellar oscillations and might be responsible for tremor generation [25].

Ethanol, in low-to-moderate doses, has long been shown in ET case reports to relieve tremor in patients [26–28]. Clinical studies also indicated that ethanol significantly suppressed the magnitude and frequency of tremor [29, 30] and the gait ataxia [31] in ET patients. The anti-tremor effect of ethanol was comparable [32] or even superior [33] to propranolol, a first-line medication for ET [34]. However, the mechanism(s) how ethanol suppresses tremor remain unclear. Although the clinical use of ethanol for tremor relief in ET patients is generally discouraged due to the abuse potential of ethanol [35], ethanol is self-medicated in 20% of ET patients [36]. Thus, elucidation of the anti-tremor mechanism of ethanol might lead to the development of new drugs that are devoid of the adverse effects of ethanol for ET treatment.

Positron emission tomography (PET) studies have demonstrated that a single low dose (0.5 g/kg) of alcohol drastically suppressed the blood flow in the cerebellum in healthy volunteers [37–39], but not in other motor-related brain regions, like the striatum and cortex. Another PET study indicated that ethanol, at a blood alcohol concentration (BAC) of 35 mg/dl, which is below the legal driving limit in USA (80 mg/dl, 0.08% or 17 mM) [40], significantly reduced the tremor-associated excessive activity in bilateral cerebellar hemispheres of ET patients [41]. A high-density electroencephalography study in ET patients also supports the cerebellum as the site of action of alcohol in relieving action tremor [42]. Since ethanol is a positive allosteric modulator (PAM) of GABA_ARs [43], it is likely that increasing cerebellar GABAergic transmission plays a role in its anti-tremor effect.

GABA_ARs are pentameric ligand-gated chloride channels. A total of 6α , 3β , 3γ , δ , ϵ , π , θ , and 3ρ subunits have been identified in the mammalian nervous system [44]. The majority of GABA_ARs consists of two α , two β , and one γ or

δ subunits, i.e., $\alpha\beta\gamma$ - or $\alpha\beta\delta$ GABA_ARs [45]. GABA_ARs containing $\alpha 6$ subunits ($\alpha 6$ GABA_ARs) are especially enriched in the cerebellum, where they are exclusively located in GrCs (Scheme 1). Whereas $\alpha 6\beta\gamma 2$ GABA_ARs are located at the inhibitory GoC-GrC synapses and at extrasynaptic sites, $\alpha 6\beta\delta$ GABA_ARs are exclusively located at extrasynaptic dendritic and somatic membranes [46] as well as at GrC axons and parallel fibers where they are modulated by GABA tonically released from glia cells [47]. The involvement of extrasynaptic $\alpha 6\beta\delta$ GABA_ARs in the action of ethanol has been suggested by the finding that ethanol at blood concentrations above the legal driving limit impairs motor coordination by enhancing tonic inhibition of cerebellar $\alpha 6\beta 3\delta$ GABA_ARs. In addition, a high-affinity binding site for ethanol has been demonstrated at the $\alpha 6 + \beta 3$ - interface of $\alpha 6\beta 3\delta$ GABA_ARs [48, 49], which could be the site of action of low doses of ethanol. However, only some [50–53] but no other groups [54–57] could confirm the high potency of ethanol for modulation of recombinant $\alpha 6\beta 3\delta$ GABA_ARs.

Recently, we have identified several pyrazoloquinolinones (PQs) [58] and their deuterated derivatives [59] to be highly $\alpha 6$ GABA_AR-selective PAMs. Using PQ Compound 6 (originally coded as PZ-II-029), the compound with the highest efficacy for modulating $\alpha 6$ GABA_ARs [58], and its derivatives as positive controls, here we examined whether low-to-moderate doses of ethanol can attenuate action tremor, at least in part, by positively modulating cerebellar $\alpha 6$ GABA_AR in a mouse model of ET induced by harmaline.

First, we examined the possible anti-tremor effects of various doses of ethanol and $\alpha 6$ GABA_AR-selective PQs. The effect of propranolol, a first-line anti-tremor agent, was examined as a positive control. To substantiate the involvement of cerebellar $\alpha 6$ GABA_ARs, the anti-tremor effects of tested compounds were challenged with furosemide, a selective blocker of $\alpha 6$ GABA_ARs [60], given by intracerebellar (*i.c.b.*) microinjection. In addition to the tremor activity in harmaline-treated mice, we also measured their burrowing activity, which is one of the “activities of daily living (ADL)” in laboratory rodents and has been employed as an indicator of well-being in rodents [61].

Materials and Methods

Animals

The animal care and experimental procedures reported in this study were approved by the Institutional Animal Care and Use Committee of National Taiwan University, College of Medicine, Taipei, Taiwan. Male adult mice (ICR strain) purchased from BioLASCO (Taiwan Co., Ltd) were housed in a holding room with a 12 h light-dark reversed cycle and access to food and water *ad libitum*. On the experimental

day, mice (8–10 weeks) were moved with their home cages to a behavior room and acclimated there for at least 1 h before testing.

Intracerebellar (*i.cb.*), Intraperitoneal (*i.p.*), and Subcutaneous (*s.c.*) Injections

The *i.cb.* cannulation procedure was performed as reported in our previous studies [62, 63] with modifications. Briefly, mice were anaesthetized with sodium pentobarbital (60 mg/kg, *i.p.*) and placed in a stereotaxic frame keeping the bregma-lambda axis horizontal. A 24-gauge stainless-steel guide cannula was implanted directly toward the vermis (−7.0 mm caudal, −0.4 mm ventral from bregma) according to the stereotaxic coordinate of the mouse [64]. After cannulation, the mouse was allowed to recover for at least 1 week. On the day for behavioral tests, a 30-gauge injection cannula connected to a 1- μ l Hamilton syringe via a 50-cm polyethylene tube was inserted into the guide cannula for drug injection. The drug solution of 0.5 μ l was slowly infused with a microinfusion pump (KDS311, KD Scientific Inc.) for 3 min with a further “hold” time for 2 min, while the mouse was allowed to freely move in an open field arena. The microinjection site was confirmed by the positive staining of trypan blue, which was injected through the cannula after the behavioral tests. For *i.p.* and *s.c.* injection, the drug solution was injected at a volume of 10 ml/kg.

Spontaneous Locomotor Activity

In ethanol-treated mice, their spontaneous locomotor activity was assessed by their total distance travelled and movement speed in an open field (40 \times 40 \times 40 cm³ cm), which were videotaped for 60 min and analyzed by the Smart 3.0 software (Panlab-Harvard Bioscience Inc., Massachusetts, USA). In *i.cb.* furosemide-treated mice, their spontaneous locomotor activity was measured by the open field test in a grid arena (48 \times 48 \times 40 cm) divided into 36 squares as reported previously [65]. After *i.cb.* microinjection of furosemide, each mouse was placed in the arena. The number of squares the mouse transpassed with all paws (number of crossing) and the time that the mouse stood up with two paws on the floor (number of rearing) were counted for 5 min.

Tremor Induction and Measurement

Action tremor was induced in mice by harmaline in accordance with the protocol described previously with minor modifications [66, 67]. The frequency and intensity of tremor were measured and analyzed using a Tremor Monitor (San Diego Instruments, CA, USA) as reported previously [68], which can accurately differentiate tremor activity

from ambulatory/stereotyped movements and global motion activity [69]. Each mouse was placed in the chamber for acclimatization, and then the baseline motion power was recorded for 10 min. Tested drugs were administered by *i.p.* or *i.cb.* injection 5 min before harmaline injection. Each raw trace of the movement activity recorded by Tremor Monitor was converted by a fast Fourier transform to generate a frequency domain-based motion power spectrum with the bin size at 1 Hz. The tremor activity in each mouse was measured as the ratio of the motion power at 10–16 Hz over the tremor frequency (0–34 Hz) of the global motion power over a 10-min duration (Fig. 1A). The overall tremor activity was expressed by the area under the curve (AUC) derived from time-dependent tremor responses. To ensure that the harmaline-induced tremor detected in our setups was indeed action tremor, we videotaped the motion of harmaline-treated mice in the tremor chamber and subsequently analyzed their action/immobile phases using the SMART Video Tracking System (Harvard Apparatus, MA, USA) (Fig. 1B).

Burrowing Activity Assessment

The burrowing activity of a mouse was measured as described previously [70, 71] with modifications. One day before the test, an empty burrowing tube was placed into the home cage to have all five mice acclimatized to the tube. On the testing day, the mouse was placed in a testing cage equipped with a burrowing tube filled with 200 g of food pellets. The burrowing activity was calculated as the weight of the total expelled food pellet by subtracting the weight of the food pellet left at the end of the experiment (Fig. 2A) from the initial weight (200 g).

Experimental Protocol

As depicted in Fig. 2A, 10 min after harmaline (*s.c.*) injection, the mouse was placed in the tremor chamber and its tremor activity was measured for 10 min (pink shade). Right after the 10-min tremor measurement, the mouse was placed back to its home cage (Fig. 3A) or in a designated cage with a pellet-prefilled burrowing tube, and its burrowing activity was measured for another 10 min (grey shade, Figs. 4A, 5A, 6A, 7A, and 8A–C). Then, the mouse was returned to the tremor chamber for tremor measurement. This tremor-burrowing measurement alternation was repeated for several cycles as indicated in each experiment. As harmaline-induced tremor is an action tremor, alternations were designed to refresh the alertness of the mouse once back in the tremor chamber, enhancing tremor consistency by replacing the rest period described in previous studies [66, 67] with the burrowing activity measurement.

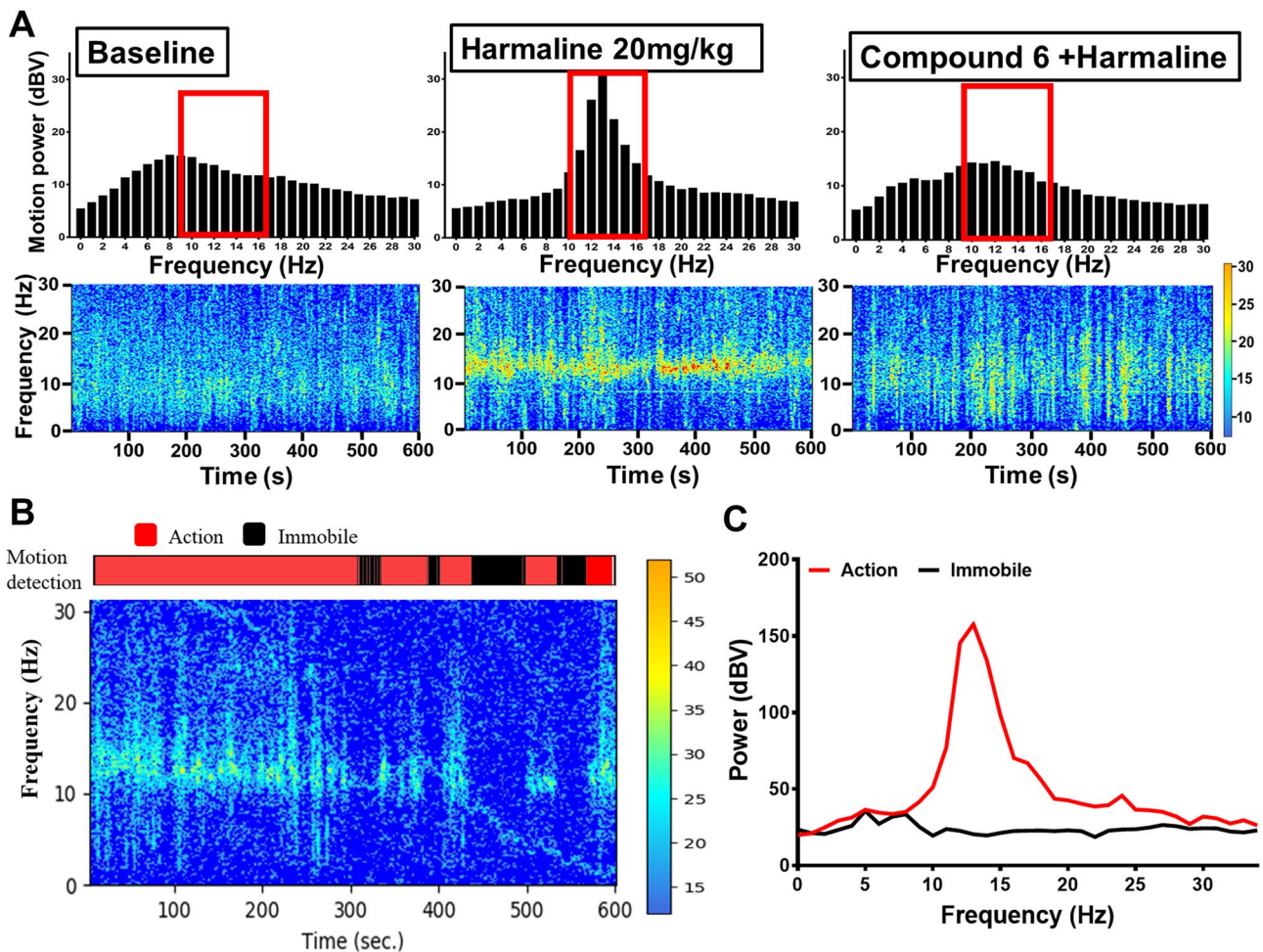


Fig. 1 Representative figures of frequency domain-based motion power spectra and motion power spectrograms in mice treated with saline, harmaline and Compound 6 plus harmaline. **A** The motion waves in mice measured by a Tremor Monitor (San Diego Instruments, CA, USA) were converted into frequency domain motion power spectra (upper panels) and motion power heatmap spectrograms (lower panels) by a fast Fourier transformer. Note that harmaline induced tremor response at the frequency of 10–16 Hz in ICR

mice, and Compound 6 attenuated harmaline-induced tremor activity. **B** A sample of 10-min motion power spectrograms (lower panel) and concurrent motor activity detected by SMART motion detector showing that the tremor activity at the frequency of 10–16 Hz. **C** Occurs during movement (red region), but not immobile (black region) periods, suggesting that harmaline induces action tremor, but not rest tremor, in mice

Drugs and Chemicals

Compound 6, LAU 463, DK-I-56-1, and DK-I-58-1 were synthesized as previously described [59]. Ethanol (99%), harmaline, and propranolol were purchased from Sigma-Aldrich (St. Louis, MO, USA) and furosemide from Tocris Bioscience (Bristol, UK). Compound 6, LAU 463, DK-I-56-1, DK-I-58-1 (Supplementary Fig. S1A–D, respectively) and propranolol were dissolved in a vehicle containing 20% DMSO, 20% Cremophor® EL (polyoxyethylene castor; Sigma-Aldrich) and 60% normal saline. Ethanol and harmaline were dissolved in normal saline. Furosemide administered by *i.cb.* microinjection was dissolved in DMSO as reported previously [62, 63].

Statistical Analysis

Data were expressed as the mean \pm *S.E.M.*, and the *n* number indicates the number of animals used. The two-way ANOVA followed by Holm-Sidak's *post hoc* test was employed to examine the difference in the tremor intensity among different treatment groups across time. The one-way ANOVA followed by Holm-Sidak's *post hoc* test was employed to examine the differences among treatment groups. However, if inhomogeneity was found in one-way ANOVA, as demonstrated in a significant Brown-Forsythe test, non-parametric Kruskal–Wallis followed by Dunn's *post hoc* test was employed. Statistical differences were considered significant if $P < 0.05$. The individual data for

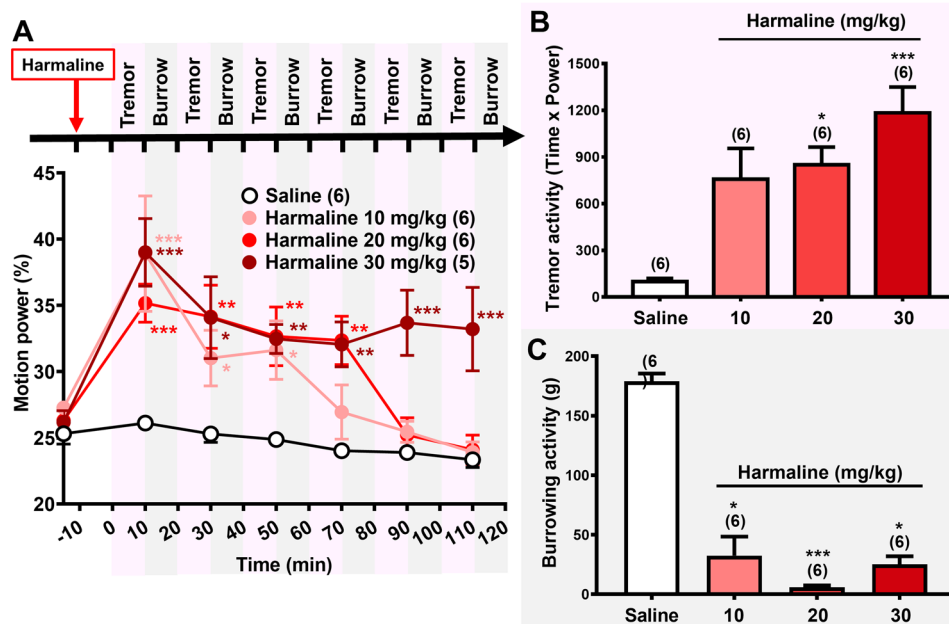


Fig. 2 Dose-dependent effects of harmaline on tremor activity and burrowing activity in mice. **A** Time courses of the tremor activity induced by saline and 10, 20, and 30 mg/kg (*s.c.*) of harmaline. The red arrow indicates the point of harmaline injection, i.e., 10 min before first tremor measurement. The tremor activity was measured for 10 min (pink shade), 15 min before, 5 min after, and then every 20 min after harmaline injection. Immediately after the tremor activity measurement, the mouse was placed in a chamber and its burrowing activity (grey shade) was measured for 10 min. Tremor activity and burrowing activity were measured alternatively for 120 min. The means of tremor activity for each 10-min period were plotted against

time and compared among treatment groups. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$ vs. Saline group, two-way ANOVA with repeated measures over time followed by Holm-Sidak's *post hoc* test. **B** The total tremor activity, as measured by the area under curve (AUC) of the tremor activity against time, and **C** the burrowing activity, as measured by total displaced pellets, during 120 min-recording period in saline- or harmaline-treated mice. * $P < 0.05$, *** $P < 0.001$ vs. Saline group, Kruskal–Wallis test followed by Dunn's *post hoc* test. The numbers in the parentheses denoted the *n* number of mice tested in each group. Data are expressed as mean \pm S.E.M.

all bar graphs in the manuscript are tabulated in Supplementary Table S1.

Results

Harmaline Dose-Dependently Induced Action Tremor in Mice

Subcutaneous injection (*s.c.*) of harmaline at doses of 10, 20, and 30 mg/kg significantly induced tremor activity in mice dose-dependently (Fig. 2A and B). A two-way ANOVA with repeated measures over time showed main effects of time [$F(6,120) = 14.7$, $P < 0.001$] and treatment [$F(3,20) = 11.78$, $P < 0.001$], and a significant interaction between time and treatment [$F(18,120) = 2.822$, $P < 0.001$] (Fig. 2A). The tremor activity peaked at 10–16 Hz as demonstrated in the motion power–frequency distribution plot and heatmap spectrogram (Fig. 1A). As shown in Fig. 1B, tremor activity was detected during mobile (red periods), but not during

the immobile phase (black periods) of the harmaline-treated mice, suggesting that harmaline-induced tremor is an action tremor peaked at 10–16 Hz (Fig. 1C). Harmaline-induced tremor activity reached its peak at the first assessing time interval, 10–20 min after harmaline injection, and was similar among different dosage groups, but the time-dependent analysis with two-way ANOVA showed a significant difference among treatment groups (Fig. 2A). The tremor activity declined gradually and dose-dependently. The peak tremor activity lasted for at least 50, 70, and 110 min, respectively, induced by 10, 20, and 30 mg/kg harmaline. The AUC of the tremor activity over the 120 min-measuring period showed that the tremor-inducing effect of harmaline was dose-dependent (Fig. 2B).

The Burrowing Activity Was Markedly Reduced in Harmaline-Treated Mice

Besides inducing tremor, harmaline significantly suppressed the burrowing activity in mice at three tested doses, 10, 20,

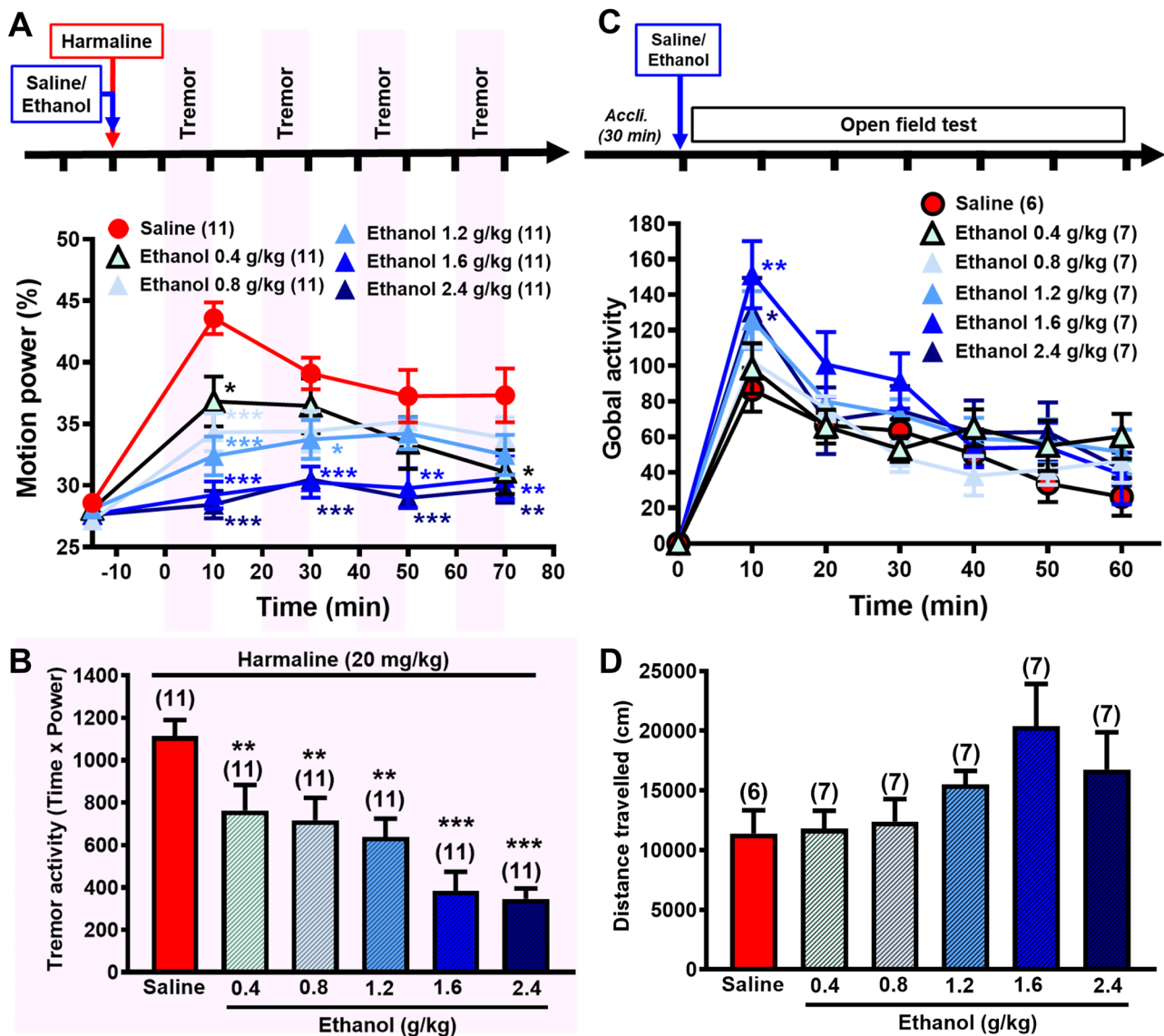


Fig. 3 Effects of low-to-moderate doses of ethanol on harmaline-induced tremor and locomotor activity in mice. **A** Time courses of effects of 0.4, 0.8, 1.2, 1.6, and 2.4 g/kg (*i.p.*) of ethanol and saline on harmaline (20 mg/kg, *s.c.*)-induced tremor. The tremor activity was measured for 10-min in the tremor monitor chamber with alternating 10 min in the home cage, for a total of 80 min. Ethanol and saline (blue arrow) were co-injected (*i.p.*) with harmaline (red arrow) 10 min before the first tremor measurement. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$ vs. Saline group, two-way ANOVA with repeated measures over time followed by Holm-Sidak's *post hoc* test. The total tremor activity (**B**) and the burrowing activity (**C**) were measured as described in Fig. 2 in harmaline-treated mice in various treatment groups. ** $P < 0.01$, *** $P < 0.001$ vs. Saline group (**B**); *** $P < 0.001$

vs. Control group (**C**), one-way ANOVA followed by Holm-Sidak's *post hoc* test. **D** Time courses of effects of 0.4, 0.8, 1.2, 1.6, and 2.4 g/kg (*i.p.*) of ethanol and saline on locomotor activity effect of ICR mice, measured via global activity in 60 min of open field test. * $P < 0.05$, ** $P < 0.01$ vs. Saline group, two-way ANOVA with repeated measures over time followed by Holm-Sidak's *post hoc* test. **E** Total distance travelled by mice treated with 0.4, 0.8, 1.2, 1.6, and 2.4 g/kg (*i.p.*) of ethanol or saline in 60-min session of open field test. Note that at these low-to-moderate doses of ethanol, no significant effect on locomotor activity was observed. The numbers in the parentheses denoted the n number of mice tested in each group. Data are expressed as mean \pm S.E.M.

and 30 mg/kg (Fig. 2C). At 20 mg/kg, harmaline produced the maximal suppression (96.7%) of the burrowing activity of mice. Thus, harmaline at the dose of 20 mg/kg (*s.c.*)

was chosen to induce tremor and burrowing activity deficit for subsequent pharmacological studies, with the measuring period limited to the first 80 min after harmaline injection.

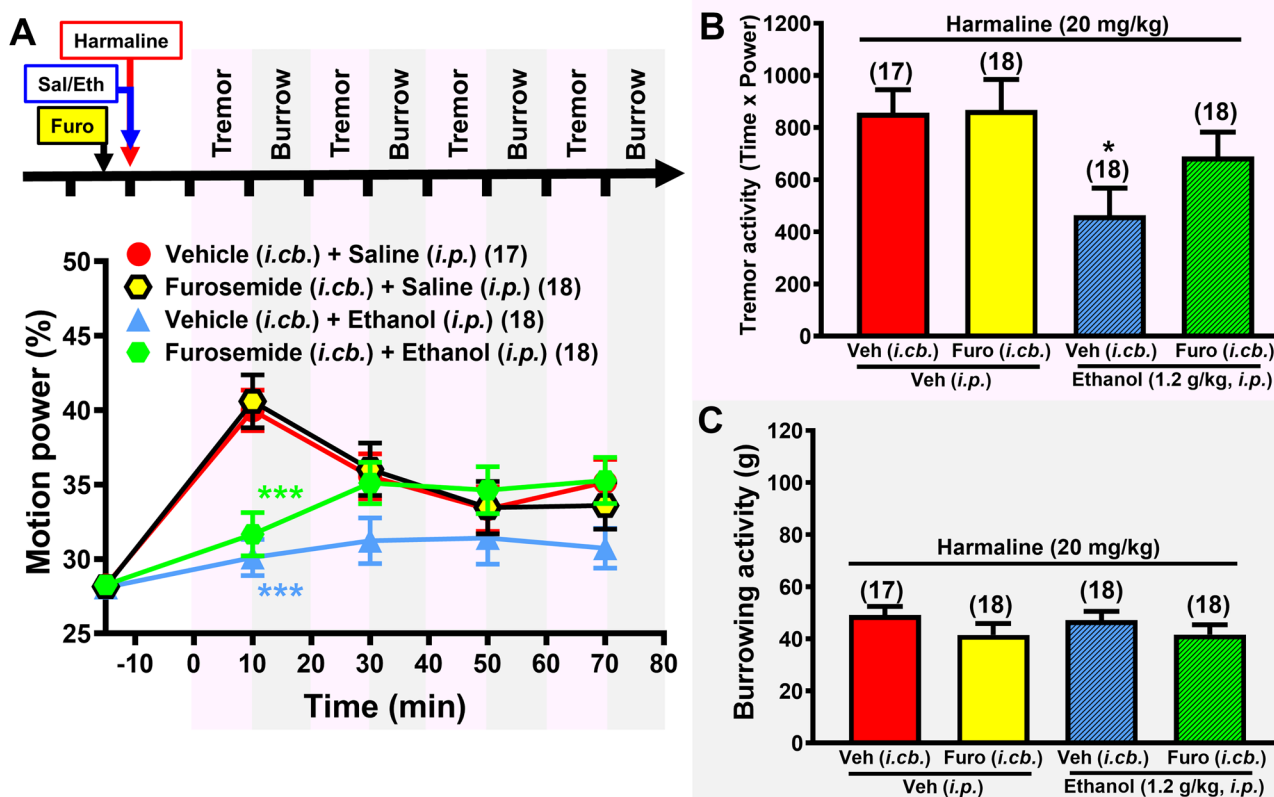


Fig. 4 Effects of intra-cerebellar microinjection (*i.cb.*) of furosemide, an $\alpha 6\text{GABA}_A$ receptor antagonist, on anti-tremor effect of ethanol in harmaline-treated mice. **A** Time courses of effects of 1.2 g/kg (*i.p.*) of ethanol and saline without and with *i.cb.* furosemide (10 nmol) co-treatment on the tremor activity of harmaline-treated mice. Ethanol and saline (blue arrow) were co-injected (*i.p.*) with harmaline (red arrow) 10 min before the first tremor measurement, whereas *i.cb.* furosemide (black arrow) was administered 5 min before ethanol/saline and harmaline injections. Tremor activity and burrow-

ing activity were measured alternatively for 80 min as described in Fig. 2A. *** $P < 0.001$ vs. Saline group, two-way ANOVA with repeated measures over time followed by Holm-Sidak's *post hoc* test. The total tremor activity (**B**) and burrowing activity (**C**) were measured as described in Fig. 3 in harmaline-treated mice pretreated with Compound 6 alone or in combination with *i.cb.* furosemide. * $P < 0.05$, ** $P < 0.01$ vs. Vehicle group, one-way ANOVA followed by Holm-Sidak's *post hoc* test. Furo: furosemide, Veh: vehicle

Low to Moderate Doses of Ethanol Suppressed Harmaline-Induced Tremor Dose-Dependently

Similar to the protocol described in Fig. 2A, various doses (0.4, 0.8, 1.2, 1.6, and 2.4 g/kg) of ethanol or saline were intraperitoneally (*i.p.*) administered immediately after harmaline (20 mg/kg, *s.c.*) injection (Fig. 3A). A two-way ANOVA with repeated measures over time showed main effects of time [$F(20,240) = 29.65$, $P < 0.001$] and treatment [$F(5,60) = 8.353$, $P < 0.001$], and a significant interaction between time and treatment [$F(20,240) = 3.223$, $P < 0.001$]. In particular, at the first time point of 10 min after ethanol administration, ethanol dose-dependently suppressed tremor activity; the suppression was significant at the dose as low as 0.4 g/kg, gradually increased with increased doses, and reached the maximal at 1.6 g/kg (Fig. 3A). The AUC derived from the time-dependent analysis over 80 min confirmed that ethanol at a dose as

low as 0.4 g/kg exerted significant anti-tremor effect, and the effect was dose-dependent and maximal at 1.6 g/kg (Fig. 3B). One-way ANOVA showed a significant difference among treatment groups [$F(5,60) = 9.622$, $P < 0.001$]. The ED_{50} of the anti-tremor effect of ethanol was estimated to be 1.386 g/kg (Supplementary Fig. S2). Interestingly, the burrowing activity that was significantly reduced by harmaline was not significantly restored by ethanol at 1.2 g/kg (Fig. 3C).

To further substantiate that the anti-tremor effect of ethanol in harmaline-treated mice is not a confounding effect elicited by its sedative or motor-impairing activity, we examined its effect on spontaneous locomotor activity. At the doses tested (0.4, 0.8, 1.2, 1.6, 2.4 g/kg, *i.p.*), ethanol did not reduce spontaneous locomotor activity but tended to increase motor activity at 1.6 or 2.4 g/kg (Fig. 3D, E). In subsequent experiments on the anti-tremor effects of ethanol, we thus avoided the use of these higher ethanol doses.

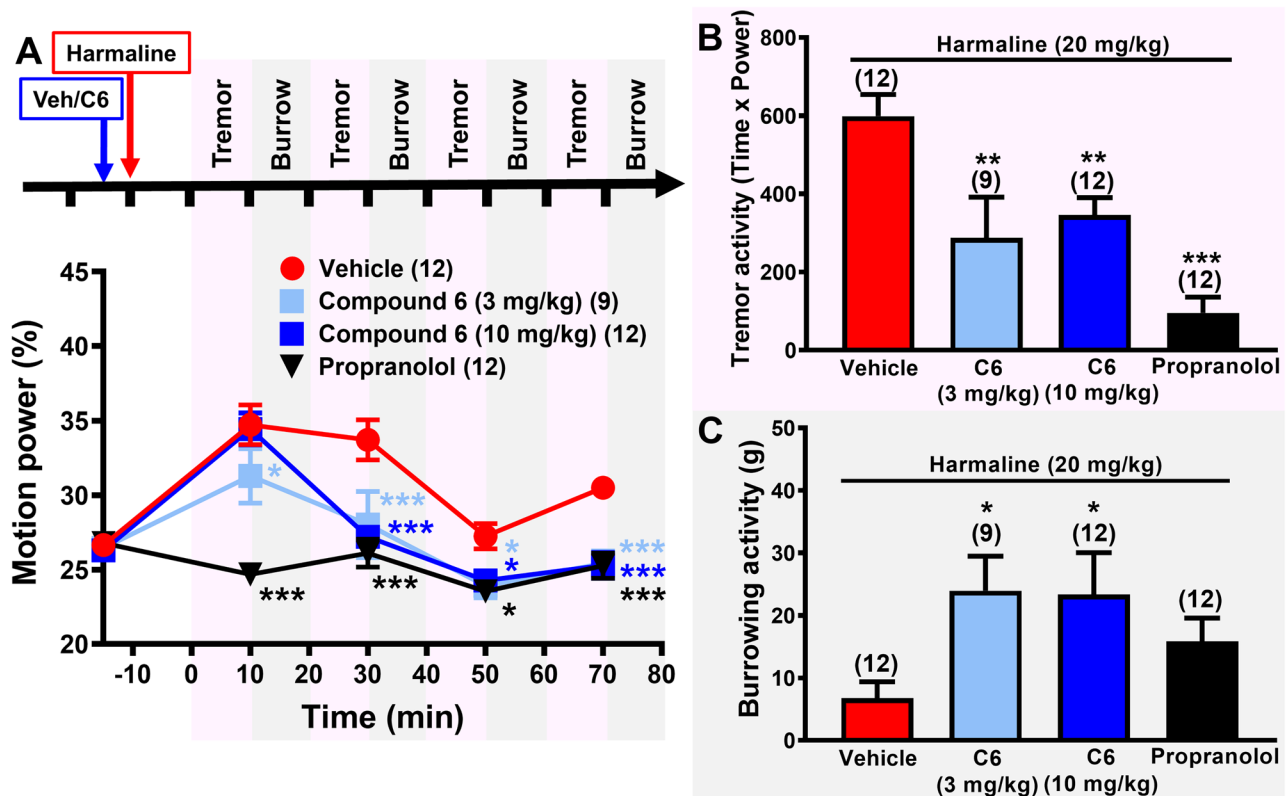


Fig. 5 Effects of Compound 6, an $\alpha 6\text{GABA}_A$ R-selective PAM, and propranolol on harmaline-induced tremor and burrowing impairment in mice. **A** Time courses of effects of 3 and 10 mg/kg (*i.p.*) of Compound 6 and its vehicle, and propranolol (20 mg/kg) on harmaline (20 mg/kg, *s.c.*)-induced tremor. The tremor activity and burrowing activity were measured alternatively for 80 min as described in Fig. 2A. Compound 6 and vehicle (blue arrow) were injected (*i.p.*) 5 min before harmaline treatment (red arrow), followed by the first tremor measurement at 10 min later. * $P < 0.05$, *** $P < 0.001$ vs.

Saline group, two-way ANOVA with repeated measures over time followed by Holm-Sidak's *post hoc* test. The total tremor activity (**B**) and burrowing activity (**C**) were measured as described in Fig. 3 in harmaline-treated mice in various treatment groups. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$ vs. Vehicle group, one-way ANOVA followed by Holm-Sidak's *post hoc* test. The numbers in the parentheses denoted the *n* number of mice tested in each group. Data are expressed as mean \pm S.E.M. Veh: vehicle, C6: Compound 6

Furosemide (*i.cb.*) Antagonized the Anti-tremor Effect of Ethanol

To discern whether cerebellar $\alpha 6\text{GABA}_A$ Rs are involved in the anti-tremor effect of ethanol, we co-treated mice with intra-cerebellar (*i.cb.*) microinjection of furosemide (10 nmol), an $\alpha 6\text{GABA}_A$ R antagonist, and *i.p.* injection of ethanol (1.2 g/kg) immediately after harmaline injection (Fig. 4A). Two-way ANOVA with repeated measures over time showed main effects of time [$F(4,164) = 41.59$, $P < 0.001$] and treatment [$F(3,41) = 12.57$, $P < 0.001$], and a significant interaction between time and treatment [$F(12,164) = 8.327$, $P < 0.001$] (Fig. 4A). In both time-dependent analyses (yellow diamonds, Fig. 4A) and the derived AUC of motion power (yellow bar, Fig. 4B) in harmaline-treated mice, *i.cb.* furosemide alone had no significant effect on the tremor activity. This *i.cb.* dose of furosemide (10 nmol) also did not alter the spontaneous locomotor activity of mice (Supplementary Fig. S3). However,

in the *i.cb.* furosemide-co-treated group, ethanol (1.2 g/kg, *i.p.*) failed to attenuate the tremor activity 30–70 min, but not 10 min, after harmaline injection (green diamonds, Fig. 4A). The derived AUC of motion power also showed that *i.cb.* cotreatment with furosemide, but not its vehicle, restored the tremor activity that had been suppressed by ethanol in harmaline-treated mice (green vs. blue bars Fig. 4B). Neither *i.cb.* microinjection of furosemide alone, nor in combination with *i.p.* injection of ethanol, improved the impaired burrowing-activity in harmaline-treated mice, nor in two respective vehicle-treated groups (Fig. 4C).

Compound 6 (*i.p.*) Suppressed Tremor and Restored Burrowing Activity in Harmaline-Treated Mice

We next treated mice with Compound 6, an $\alpha 6\text{GABA}_A$ R-selective PAM, instead of ethanol in harmaline-treated mice. The clinically effective anti-tremor agent, propranolol, was also tested as a positive control in

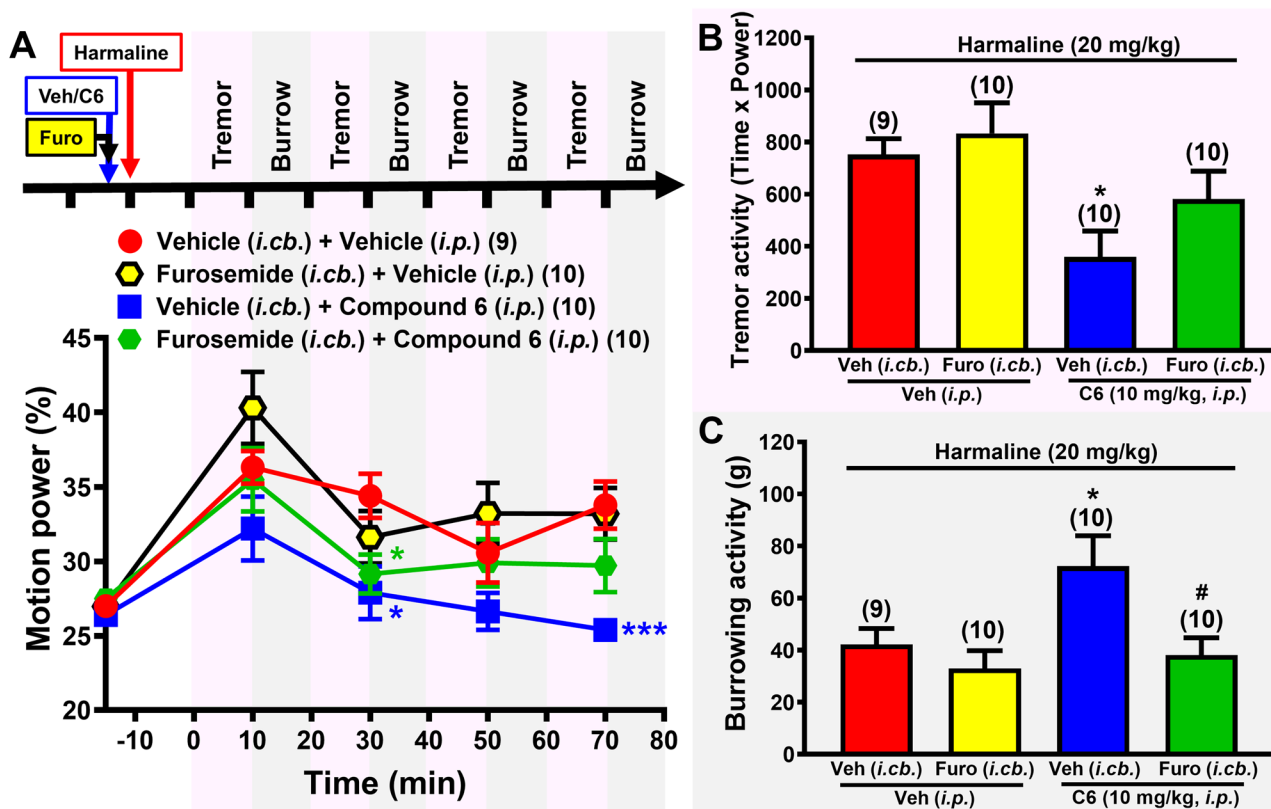


Fig. 6 Effects of intra-cerebellar microinjection (*i.cb.*) of furosemide, an $\alpha 6$ GABA_AR antagonist, on anti-tremor and burrow-restorative effects of Compound 6 in harmaline-treated mice. **A** Time courses of effects of 10 mg/kg (*i.p.*) of Compound 6 and its vehicle (blue arrow) without and with *i.cb.* furosemide (10 nmol, black arrow) co-treatment on the tremor activity of harmaline-treated mice. Tremor activity and burrowing activity were measured alternatively for 80 min as described in Fig. 5A. * $P < 0.05$, *** $P < 0.001$ vs. Saline group,

two-way ANOVA with repeated measures over time followed by Holm-Sidak's *post hoc* test. The total tremor activity (**B**) and burrowing activity (**C**) were measured as described in Fig. 3 in harmaline-treated mice pretreated with Compound 6 alone or in combination with *i.cb.* furosemide. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$ vs. Vehicle group. # $P < 0.05$ vs. Veh (*i.cb.*) + C6 group, one-way ANOVA followed by Holm-Sidak's *post hoc* test. Furo: furosemide, Veh: vehicle, C6: Compound 6

harmaline-treated mice. Compound 6 (3 or 10 mg/kg) or propranolol were *i.p.* administered to mice 5 min prior to harmaline (20 mg/kg, *s.c.*) injection (Fig. 5A). Two-way ANOVA with repeated measures over time showed main effects of time [$F(4,164) = 41.59$, $P < 0.001$] and treatment [$F(3,41) = 12.57$, $P < 0.001$], and a significant interaction between time and treatment [$F(12,164) = 8.327$, $P < 0.001$]. As compared with the vehicle-treated group, Compound 6, at doses (3 and 10 mg/kg) that did not affect the spontaneous locomotor activity [72], reduced the tremor activity significantly 30 min after harmaline injection while propranolol (20 mg/kg) displayed anti-tremor activity more quickly (Fig. 5A). One-way ANOVA of the AUC of the tremor activity showed a significant difference among treatment groups [$F(3,41) = 12.85$, $P < 0.001$]. Compound 6 exerted significant and comparable anti-tremor effects at 3 and 10 mg/kg (Fig. 5B) (tremor inhibition: 51.91% and 42.14%, respectively). Propranolol showed a higher tremor-suppressive effect (84.15% inhibition) than Compound 6, in either the

3 mg/kg or 10 mg/kg (Fig. 5B). Interestingly, Compound 6 at both 3 and 10 mg/kg significantly restored the burrowing activity in mice that had been impaired by harmaline. However, propranolol failed to restore the burrowing activity in harmaline-treated mice (Fig. 5C, one-way ANOVA, Holm-Sidak *post hoc*).

Furosemide (*i.cb.*) Antagonized Effects of Compound 6 (*i.p.*) on Tremor and Burrowing Activities in Harmaline-Treated Mice

Next, we examined whether *i.cb.* furosemide can antagonize the anti-tremor effect of *i.p.* Compound 6. Mice were co-treated with *i.cb.* furosemide (10 nmol) and *i.p.* Compound 6 (10 mg/kg) 5 min before harmaline injection (Fig. 6A). Two-way ANOVA with repeated measures over time showed main effects of time [$F(4,164) = 41.59$, $P < 0.001$] and treatment [$F(3,41) = 12.57$, $P < 0.001$], and a significant interaction between time and treatment

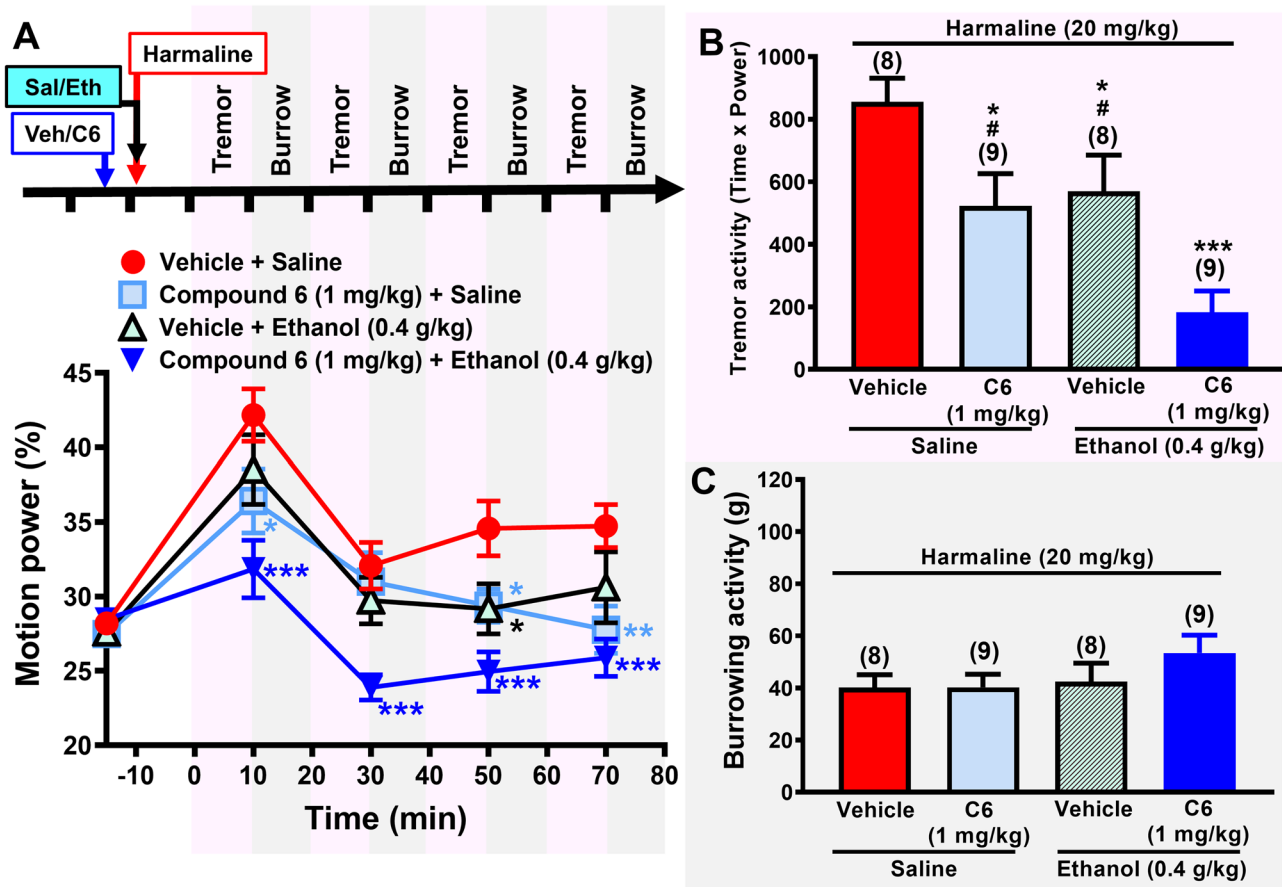


Fig. 7 Synergistic anti-tremor effect of low doses of ethanol and Compound 6, an $\alpha 6\text{GABA}_A$ -selective PAM in harmaline-treated mice. **A** Time courses of effects of 1 mg/kg (*i.p.*) of Compound 6 and its vehicle, and ethanol (0.4 g/kg) on harmaline (20 mg/kg, *s.c.*)-induced tremor. The tremor activity and burrowing activity were measured alternatively for 80 min as described in Fig. 2A. Compound 6 and vehicle (blue arrow) were injected (*i.p.*) 5 min prior harmaline treatment (red arrow), while ethanol and saline (black arrow) were co-administered with harmaline treatment. * $P < 0.05$,

** $P < 0.01$, *** $P < 0.001$ vs. Saline group, two-way ANOVA with repeated measures over time followed by Holm-Sidak's *post hoc* test. The total tremor activity (**B**) and burrowing activity (**C**) were measured as described in Fig. 3 in harmaline-treated mice in various treatment groups. * $P < 0.05$, *** $P < 0.001$ vs. Vehicle+Saline group, # $P < 0.05$ vs. C6+Ethanol group, one-way ANOVA followed by Holm-Sidak's *post hoc* test. The numbers in the parentheses denoted the *n* number of mice tested in each group. Data are expressed as mean \pm S.E.M. C6: Compound 6

[$F(12,164) = 8.327$, $P < 0.001$] (Fig. 6A). Similarly, *i.cb.* furosemide alone had no effect on the tremor activity (yellow bar vs. red bar). Compound 6 (10 mg/kg, *i.p.*) significantly reduced harmaline-induced tremor activity, as compared to the vehicle-treated group (blue bar vs. red bar, Fig. 6B). However, in the *i.cb.* furosemide-co-treated group, Compound 6 no longer significantly attenuated the tremor activity (green bar vs. yellow bar, Fig. 6B). Interestingly, the restorative effect of Compound 6 on burrowing-activity in harmaline-treated mice was also completely reversed by *i.cb.* furosemide (green bar, Fig. 6C) while *i.cb.* furosemide *per se* did not affect the burrowing activity (yellow bar, Fig. 6C).

Synergistic Interaction Between Compound 6 and Ethanol

We further examined whether Compound 6 and ethanol could interact synergistically in their anti-tremor effects at marginal or minimal effective doses, i.e., 1 mg/kg and 0.4 g/kg, respectively. As shown in Fig. 7A, two-way ANOVA with repeated measures over time showed main effects of time [$F(4,120) = 32.01$, $P < 0.001$] and treatment [$F(3,30) = 9.03$, $P = 0.0002$], and a significant interaction between time and treatment [$F(12,120) = 2.536$, $P = 0.0051$]. The AUC of tremor activity over time showed that Compound 6 (1 mg/kg) or ethanol (0.4 g/kg) alone reduced tremor activity by

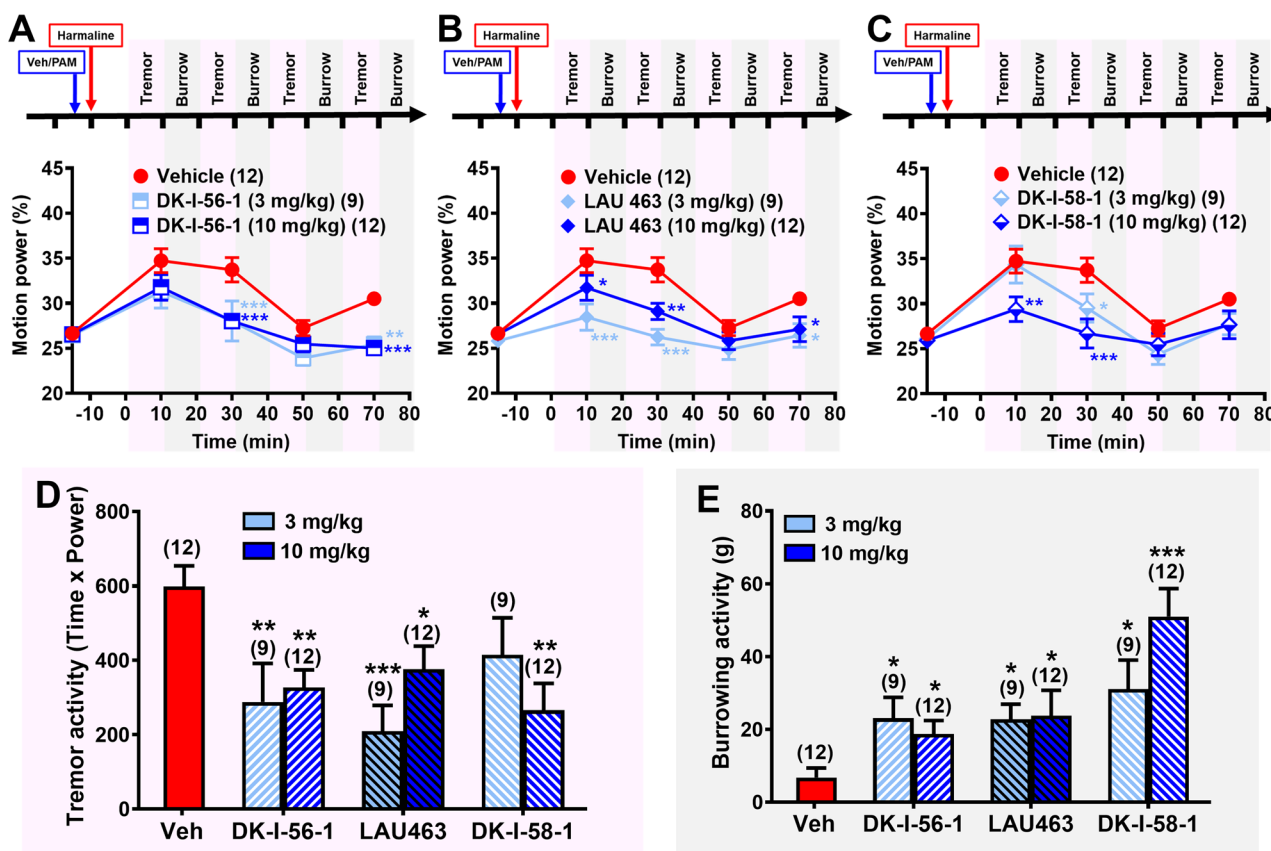


Fig. 8 Effects of DK-I-56-1, LAU 463, and DK-I-58-1, structural analogues of Compound 6, on harmaline-treated mice. LAU463 is a structural analogue of Compound 6. DK-I-58-1 and DK-I-56-1 are their respective deuterated derivatives (see Supplementary Fig. S1). These compounds are all $\alpha 6\text{GABA}_A$ PAMs with similar $\alpha 6\text{GABA}_A$ -selectivity and efficacy while deuterated derivatives have longer half-lives. Similar procedure as described in Fig. 5A were performed with Compound 6 replaced with (A) DK-I-56-1 (half-filled square symbols), (B) LAU 463 (diamond symbols), or (C) DK-I-

58-1 (half-filled diamond symbols), at doses of 3 and 10 mg/kg (*i.p.*). * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$ vs. Saline group, two-way ANOVA with repeated measures over time followed by Holm-Sidak's *post hoc* test. The total tremor activity (D) and burrowing activity (E) were measured alternatively for 80 min as described in Fig. 3 in various treatment groups. * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$ vs. Vehicle group, one-way ANOVA followed by Holm-Sidak's *post hoc* test. Veh: vehicle, PAM: positive allosteric modulator

31.3% and 27.4%, respectively, while together suppressing the tremor activity at a magnitude (79.8%) that is significantly greater than produced by Compound 6 ($p = 0.035$) or ethanol ($p = 0.023$) alone (Fig. 7B) (one-way ANOVA followed by Holm-Sidak's *post hoc* test). On the other hand, the burrowing activity impaired by harmaline in mice was not significantly restored ($p = 0.345$) by a combination of Compound 6 (1 mg/kg) ($p = 0.988$) and ethanol (0.4 kg/g) ($p = 0.958$) (Fig. 7C) (One-way ANOVA followed by Holm-Sidak's *post hoc* test).

Compound 6 Analogues and Deuterated Derivatives Displayed Similar Anti-tremor and Burrowing-Restorative Effects in Harmaline-Treated Mice

Compound 6 and its structural analogue, LAU463, as well as their respective deuterated derivatives, DK-I-56-1 and

DK-I-58-1, were previously demonstrated to possess similar selectivity towards $\alpha 6\text{GABA}_A$ as PAMs. In the present study, the administration of DK-I-56-1 (half-filled square symbols, Fig. 8A), LAU-463 (filled diamonds, Fig. 8B) and DK-I-58-1 (half-filled diamonds, Fig. 8C) at the of 3 and 10 mg/kg (*i.p.*) demonstrated time and treatment-dependent suppression of harmaline-induced tremor in mice, lasting for almost 1 h. By comparing the AUC derived from time-dependent analysis, we found that each drug group significantly suppressed tremor activity as compared with the vehicle group, except DK-I-58-1 at the dose of 3 mg/kg. Interestingly, as compared with the vehicle group within each drug group, all treatment groups significantly restored burrowing activity in harmaline-treated mice (Fig. 8E), similar to the effect of Compound 6, but not of propranolol (Fig. 5C) or ethanol (Fig. 3C).

Discussion

In this study, we found that ethanol, at low-to-moderate doses (0.4–1.2 g/kg, *i.p.*) that did not affect locomotor activity, significantly and dose-dependently attenuated harmaline-induced action tremor in mice. The anti-tremor effect of ethanol was not significant when ethanol was co-administered with *i.cb.* furosemide, an $\alpha 6$ GABA_AR-selective antagonist, suggesting the involvement of cerebellar $\alpha 6$ GABA_ARs. The finding that $\alpha 6$ GABA_AR-selective PAMs attenuated harmaline-induced tremor further supports the conclusion that positive modulation of cerebellar $\alpha 6$ GABA_ARs can relieve action tremor.

Harmaline Induces Action Tremor in Male ICR Mice

Harmaline is a β -carboline toxin that can induce action tremor with a frequency range at 10–16 Hz in mice [67], 8–12 Hz in rats [67], 8–12 Hz in cats [73], and 6–8 Hz in monkeys [74], resembling the 4–12 Hz action tremor in ET patients [75]. Thus, the harmaline-induced action tremor is recognized as an animal model for screening potential anti-tremor agents for ET [67]. Here, we found that harmaline can induce significant tremor at 10, 20, and 30 mg/kg (*s.c.*) with a similar maximal tremor activity but a dose-dependent action duration (Fig. 2). The tremor was at the frequency of 10–16 Hz (Fig. 1A), absent at rest, maximal during movement (Fig. 1B), attenuated during posture maintenance, and often accentuated at the termination of movement, suggesting it is a type of action tremor. Thus, we have established the harmaline-induced action tremor in male ICR mice, mimicking the 4–112 Hz action tremor manifested in ET patients [76], as reported in female ICR mice [67] and in C57BL/6 mice with both sexes [66]. The predictive validity of harmaline-induced tremor in modeling ET in patients has been strongly supported by previous findings that it was reduced by clinically used ET-relieving agents, including propranolol, primidone, alcohol, benzodiazepines, gabapentin, gammahydroxybutyrate, 1-octanol, and zonisamide, and was exacerbated by drugs that worsen ET, such as tricyclics and caffeine [77]. In the current ET model, we confirmed that propranolol can be a positive control, which at 20 mg/kg (*i.p.*) inhibited harmaline-induced action tremor (Fig. 5).

Ethanol Suppressed Harmaline-Induced Tremor at Non-motor Impairing Doses

Here, we found that ethanol significantly reduced harmaline-induced tremor at a dose as low as 0.4 g/kg (*i.p.*) (Fig. 3), which could achieve a BAC of 0.05% (10.87 mM) 5 min after administration in the same strain/sex mice [78]. This

concentration is below the driving legal limit in humans, i.e., 0.08% in the USA [40]. This is in line with clinical observations that “a glass of wine” [79] can suppress tremor in ET patients with the BAC of 0.03–0.06% [29, 32, 80–82]. In C57BL/6 mice, ethanol was also shown to reduce harmaline-induced tremor at 0.1 g/kg [83].

Ethanol, at the tremor-relieving doses, 0.4–2.4 g/kg (*i.p.*) that are expected to achieve 0.05–0.3% BAC in tested mice [78], did not reduce the spontaneous locomotor activity (Fig. 3D, E). This suggests that the anti-tremor effect of ethanol is not a confounding outcome due to its motor-impairing activity. Instead, ethanol at doses higher than 1.2 g/kg tended to, though insignificantly, increase the spontaneous locomotor activity. Effects of ethanol on the locomotor activity are dose- and strain-dependent. In Swiss mice, ethanol induced hyper-locomotion at 1.5–2.5 g/kg (*i.p.*) but hypo-locomotion at higher doses (≥ 3 –4 g/kg) [84]. However, it induced only hypo-locomotion in C57BL/6 mice from 0.75 to 2.25 g/kg while produced only hyper-locomotion in BALB/cJ mice at the same dose range [85].

Ethanol Suppressed Tremor at Least Partially via Acting as an $\alpha 6$ GABA_AR PAM in the Cerebellum

The site of the tremor reducing action of ethanol has long been known to be located centrally [27] and mainly in the cerebellum [42]. The present finding that the ethanol-induced reduction of the harmaline-induced tremor no longer is significant after *i.cb.* microinjection of furosemide, an $\alpha 6$ GABA_AR-selective antagonist [60] (Fig. 4A, B) suggests that cerebellar $\alpha 6$ GABA_ARs, at least partially, mediate the anti-tremor action of low-to-moderate doses of ethanol. Although furosemide can also inhibit the Na-K-Cl cotransporter (NKCC), this effect was observed at 20 times higher concentrations with a long duration until action develops (> 20 min) in brain slice electrophysiological studies [86, 87]. In addition, to the best of our knowledge, there is no report for a direct activity of low-to-moderate doses of ethanol on NKCCs. Due to its selective ability to inhibit $\alpha 6$ GABA_ARs [60], furosemide has thus been utilized as a pharmacological tool to differentiate between $\alpha 6$ subunit-containing and non- $\alpha 6$ subunit-containing GABA_ARs [88].

An involvement of $\alpha 6\beta 3\delta$ GABA_ARs in the anti-tremor action of ethanol can be also supported by previous findings that ethanol is a cerebellar $\alpha 6$ GABA_AR PAM [43, 79] and that $\alpha 6$ GABA_ARs containing $\alpha 6$, $\beta 3$ and δ subunits responded to ethanol at a concentration as low as 3 mM [89]. An anti-tremor action mediated by $\alpha 6\beta 3\delta$ GABA_ARs was recently demonstrated by the finding that gaboxadol, a selective agonist of the δ -subunit containing GABA_ARs, significantly suppressed harmaline-induced tremor in wild type, but not in *Gabra6*^{-/-} or *Gabrd*^{-/-} mice [66].

Higher Doses of Ethanol May Relieve Tremor via Additional Mechanisms

It is noteworthy that *i.cb.* furosemide could not completely block the anti-tremor effect produced by *i.p.* 1.2 g/kg ethanol 10 min after injection (Fig. 4A). At this time point, the plasma concentration would be about 0.15% (33 mM), based on the ethanol levels measured in ICR mice [78]. This is higher than the effective concentration (17 mM) that affects $\alpha 6\text{GABA}_A$ Rs [90]. Thus, mechanism(s) other than the furosemide-sensitive $\alpha 6\text{GABA}_A$ R PAM effect may be involved in the anti-tremor effect of ethanol here, such as positive modulation of $\alpha 1\text{GABA}_A$ Rs [89] that are abundant on DCN and ION neurons (Scheme 1). The finding that the estimated ED_{50} of the anti-tremor effect of ethanol is about 1.4 g/kg (Supplementary Fig. S2) also suggests that ethanol may relieve tremor at higher doses via mechanism(s) not mediated by $\alpha 6\text{GABA}_A$ Rs. Other proposed action mechanisms of ethanol at higher doses, like glutamatergic dysregulation [91], cerebellar cyclic GMP attenuation [83], nitric oxide synthase inhibition [92] and gap junction inactivation [93], may also be involved in the anti-tremor action of ethanol.

$\alpha 6\text{GABA}_A$ R-Selective PAMs Suppressed Harmaline-Induced Action Tremor

The hypothesis that a positive modulation of cerebellar $\alpha 6\text{GABA}_A$ Rs can suppress essential tremor is further supported by the anti-tremor effect of all tested PQ compounds, which are highly $\alpha 6\text{GABA}_A$ R-selective PAMs (Figs. 6 and 8, Supplementary Fig. S1).

In previous electrophysiological studies on *Xenopus* oocytes expressing various recombinant GABA_A R subtypes, we demonstrated that Compound 6 and its structural derivative LAU 463, exerted their PAM activity at both $\alpha 6\beta\gamma 2$ - and $\alpha 6\beta\delta$ GABA_A Rs although having a lower efficacy at the $\alpha 6\beta\delta$ subtype [62, 94]. Thus, Compound 6, LAU 463, and their deuterated derivatives may act at $\alpha 6\beta\delta$ GABA_A Rs on cerebellar GCs to exert their anti-tremor effect, although a contribution of $\alpha 6\beta\gamma 2\text{GABA}_A$ Rs cannot be excluded.

Given that Compound 6 (Fig. 5) and other $\alpha 6\text{GABA}_A$ R-selective PAMs (Fig. 8) showed a significant suppressive effect in harmaline-induced tremor, and $\alpha 6\text{GABA}_A$ Rs are most extensively expressed in cerebellar granule cells, it is reasonable to speculate that cerebellar $\alpha 6\text{GABA}_A$ Rs may have a role, at least partially, in suppressing the action tremor induced by harmaline. Nevertheless, the anti-tremor effects of the $\alpha 6\text{GABA}_A$ R-selective Compound 6 were reduced but not completely reversed after *i.cb.* microinjection of furosemide (Fig. 6B), possibly indicating that $\alpha 6\text{GABA}_A$ Rs in brain regions outside the cerebellum [94] might have contributed to the anti-tremor effects of the systemically applied

Compound 6. Such effects cannot be blocked by the *i.cb.* microinjection of furosemide.

Interestingly, the anti-tremor effects of ethanol and Compound 6 were synergistic, but not occlusive, although both compounds seem to exert their effects by acting via the same target, the cerebellar $\alpha 6\text{GABA}_A$ Rs (Fig. 7). In these experiments, however, both compounds were applied at sub-maximal doses. Mutual inhibition can only be expected when applied at their maximally effective doses and when ethanol and Compound 6 cause their anti-tremor effects via the same binding site at $\alpha 6\text{GABA}_A$ Rs. This not necessarily is the case. There are multiple allosteric binding sites at GABA_A receptors that in detail might be different for ethanol [48] and Compound 6 [58]. In addition, as ethanol may have more than one mechanism of anti-tremor action, increasing its dose to reach the maximal effects would further increase the heterogeneity of its mechanism of action, leading to data that cannot be interpreted.

The Possible Tremolytic Mechanism of $\alpha 6\text{GABA}_A$ R PAMs in Harmaline-Induced Action Tremor

The cerebellar microcircuit proposed to be involved in the tremorigenic mechanism of harmaline and in the anti-tremor effect of $\alpha 6\text{GABA}_A$ R PAMs is depicted in Scheme 1. It is believed that harmaline-induced tremor originates from its enhancement of the ION activity [95]. In vivo electrophysiological recordings demonstrated that harmaline can change the complex spikes of PCs, which originate from ION-climbing fiber inputs [96, 97], from a low frequency one (~1 Hz) to a rhythmic one at 6–12 Hz [98, 99]. These synchronous complex spikes on PCs can provide effective phase-locking of DCN neuronal activity [100], leading to marked rhythmic, alternating hyperpolarization and rebound bursting in DCN neurons [24]. This exaggerated synchrony between PCs and DCN as well as the subsequent excessive synchrony between ION and PCs are hypothesized to contribute to both harmaline-induced tremor and tremor in ET patients (Scheme 1B) [24, 95].

Besides, a *c-fos* mapping study indicated that harmaline can also activate cerebellar GrCs [101]. Overly active GrCs would increase the activity of PCs and hence increase the inhibitory drive from PCs onto the small GABAergic neurons in the DCN, which project to the ION [102] and provide an inhibitory control on the synchrony of climbing fibers [103, 104] (Scheme 1A), ultimately leading to disinhibition of ION-climbing fiber inputs back to PCs. Thus, through this “double inhibitory pathway” in the PC-DCN-ION circuit [95], harmaline can also enhance the synchronized rhythmic firing of PCs, inducing tremor (Scheme 1B).

$\alpha 6\text{GABA}_A$ R PAMs could enhance tonic and phasic GABAergic inhibition on GrCs, via extrasynaptic $\alpha 6\beta\delta$ GABA_A Rs and synaptic $\alpha 6\beta\gamma 2\text{GABA}_A$ Rs at GoC-GrC

synapses, respectively [46], ultimately reducing the excitatory input of GrCs onto PC and decreasing the neuronal activity of PCs. This may result in a reduction of the inhibitory synaptic transmission onto the ION-projecting GABAergic neurons in the DCN, i.e., suppression of the double inhibitory pathway in the PC-DCN-ION circuit, and thereby contribute to tremor suppression (Scheme 1C) [95].

A PET study in ethanol-responsive ET patients demonstrated that alcohol at the BAC below the driving limit can suppress tremor and reduce both ipsilateral and contralateral cerebellar cortical activities, but only reduced the ipsilateral cerebellar activation in normal subjects during a passive wrist oscillation at the tremor frequency [41]. Furthermore, ethanol increased ION activity in patients but not in controls [41]. These results support the notion that low doses of ethanol, probably via acting as an $\alpha 6\text{GABA}_A$ R PAM, suppress the double inhibitory pathway of the PC-DCN-ION circuit in ET patients.

$\alpha 6\text{GABA}_A$ R-Selective PAMs, but Not Ethanol and Propranolol, Restored Burrowing Activity in Harmaline-Treated Mice

The burrowing activity in laboratory rodents is one of their “ADL” [71], and has been used as an indicator of well-being [61], because it is negatively associated with stress [105] or chronic pain [106] in rodents. A deficit of the burrowing activity has also been reported in the mouse model of Alzheimer’s disease [107] or Parkinson’s disease [108]. The finding that harmaline markedly disrupted the burrowing activity in mice (Fig. 2C) suggests that this ET animal model mimics not only the action tremor but also the reduced ADL scores manifested in ET patients.

Unexpectedly, ethanol (1.2 g/kg) did not restore the burrowing activity in harmaline-treated mice (Fig. 4C), despite its significant anti-tremor efficacy (Fig. 4A, B). Conversely, $\alpha 6\text{GABA}_A$ R-selective PQ compounds significantly restored the burrowing activity in harmaline-treated mice (Fig. 5C) in addition to attenuating their tremor activity (Fig. 5A, B). Furthermore, the synergism between Compound 6 and ethanol in the anti-tremor effect (Fig. 7A, B) was not shown in the burrowing restoring activity (Fig. 7C). It is thus possible that this beneficial effect of Compound 6 may be clouded by unspecific effects of ethanol. Interestingly, propranolol, although having markedly anti-tremor activity, did not restore the burrowing activity in harmaline-treated mice (Fig. 5C). This is probably due to its reduction of motor activity [109]; it also causes muscle weakness in humans [110].

Currently, neither the mechanism involved in the reduced ADL scores in ET patients, nor that of the reduction of the burrowing activity in rodents by harmaline is known. The results obtained in this study, however, suggest that tremor

reduction does not automatically lead to an increase in the burrowing activity in harmaline-treated mice. The mechanism of harmaline-induced reduction of the burrowing activity in mice may be caused by its non-tremor related effects, eg. as an NMDA inverse agonist [111], monoamine oxidase A inhibitor [112], histamine-N-methyltransferase inhibitor [113], etc. Further studies need to be conducted to discern a possible involvement of $\alpha 6\text{GABA}_A$ Rs in restoring the ADL in rodents. $\alpha 6\text{GABA}_A$ Rs in the CNS, depending on the region of expression, may participate in various motor, sensory and cognitive functions [94].

Since $\alpha 6\text{GABA}_A$ R PAMs can both reduce tremor activity and restore burrowing activity in harmaline-treated mice, they may be viable candidates to complement current anti-tremor drugs. The findings that combined sub-effective doses of Compound 6 with ethanol (Fig. 7A, B) exerted a synergistic anti-tremor effect further shed light on this notion.

In addition to Compound 6, its chemical derivatives also exhibited similar anti-tremor effects. Compound 6 and LAU 463 are structural analogues with different functional groups on the A-ring, whereas DK-I-56-1 and DK-I-58-1 are their respective derivatives with a deuterated methoxy group on the D-ring (Supplementary Fig. S1). These deuterated derivatives, compared with their parent compounds, had a similar potency and the same $\alpha 6\text{GABA}_A$ R-selectivity in enhancing GABA currents of recombinant $\alpha 6\beta\gamma\text{GABA}_A$ Rs [59, 114] but displayed longer half-lives in rodents [59]. Nonetheless, the merit of longer half-lives of deuterated compounds cannot be observed in these behavioral assays that only lasted for 90 min.

Current Essential Tremor Therapy Is Insufficient

Currently, essential tremor is symptomatically treated with medicines that can suppress tremor activity, like β -blockers, anti-epileptics, neuron stabilizers and GABA-related drugs [9, 115]. Among these, propranolol and primidone remain the first-line medications for relieving tremor in patients with essential tremor, even decades after their initial applications [9]. A combination of these two drugs yields a better response than using either of them alone [116]. However, one third of patients developed drug resistance [110], and some patients became even refractory to both medications [3]. The reported adverse effects differ between these two drugs with acute reactions more common with primidone and chronic reactions with propranolol [117]. The acute side effects associated with primidone are sedation, nausea, and vertigo, manifest after the first dose and tend to subside on chronic administrations [118, 119]. On the other hand, chronic propranolol may lead to bradycardia, hypotension, and breathlessness, especially when higher doses are used. Therefore, propranolol is contraindicated in conditions such

as chronic obstructive pulmonary disease, asthma, severe peripheral vascular disease, and diabetes [120].

Limited data from randomized controlled trials are available to support the use of other medications in essential tremor, including topiramate, alprazolam, gabapentin, and other beta-blockers besides propranolol (*e.g.*, atenolol, nadolol, and sotalol) [2, 110, 121, 122]. Randomized controlled trials have shown no significant benefit for several other drugs for essential tremor, including levetiracetam, amifampridine, flunarizine, trazodone, pindolol, acetazolamide, mirtazapine, nifedipine, and verapamil [122]. As a result, the need for an effective anti-tremor drug that is well-tolerated is urgent and obvious. Ethanol is not recognized as a main-stream pharmacotherapy for essential tremor, in fact, it remains controversial as high correlation was reported between alcohol abuse and ET [35, 123]. The abuse potential of ethanol is probably due to its positive modulatory effect on benzodiazepine-sensitive GABA_ARs [124, 125]. Cerebellar $\alpha 6$ GABA_ARs, which are benzodiazepine-insensitive, may mediate the anti-tremor effects of low-to-moderate doses of ethanol. Thus, $\alpha 6$ GABA_AR-selective PAMs may have a tremolytic effect without abuse liability. Indeed, we have demonstrated that the $\alpha 6$ GABA_AR-selective PAM is free of addictive potential using the conditioned-place preference test [126].

$\alpha 6$ GABA_AR as a Potential Therapeutic Target for Essential Tremor

We have previously demonstrated that Compound 6 and its deuterated derivative, DK-I-56-1 [58, 59], via acting at the $\alpha 6$ GABA_ARs in trigeminal ganglia, significantly inhibited nociceptive activation of the trigeminovascular system and migraine-like grimaces in animal models of migraine [126, 127]. DK-I-56-1 can also prevent and reduce nociceptive responses in a rat model of trigeminal neuropathic pain [128]. Besides, we found that Compound 6, via acting as a PAM of cerebellar $\alpha 6$ GABA_ARs, can ameliorate disrupted prepulse inhibition (PPI) of the startle response, social withdrawal and cognitive impairment in animal models mimicking schizophrenia [62, 94]. Here, we further substantiated the effectiveness of $\alpha 6$ GABA_AR-selective PQ compounds in an animal model of essential tremor. The effective dose range (3–10 mg/kg, *i.p.*) of PQ Compounds in suppressing tremor was similar to that in mouse models of schizophrenia and migraine [62, 94, 126]. At these doses, Compound 6 did not impair motor functions in rats, nor display sedative or hypolocomotor activity in mice [59, 72, 94]. In contrast to the GABA binding site agonist, gaboxadol that directly activates all $\alpha 4\beta\delta$ and $\alpha 6\beta\delta$ GABA_ARs in neuronal tissues [24, 129] and exhibits sedation and motor-incoordination [130], $\alpha 6$ GABA_AR-selective PAMs can only allosterically enhance the activity of those $\alpha 6\beta\delta$ and $\alpha 6\beta\gamma 2$ GABA_ARs

that are activated by GABA in certain tasks, explaining the absence of those side effects. In contrast to benzodiazepines and gaboxadol, $\alpha 6$ GABA_AR-selective PQ compounds can be potential anti-tremor agents without sedative or motor-impairing activity. In addition, the synergistic effect of low doses of Compound 6 and ethanol in their anti-tremor effects suggests the potential of $\alpha 6$ GABA_AR PAMs as an adjunct therapy to other anti-tremor drugs to lower the required doses and hence reduce the emergence of adverse effects. Therefore, the $\alpha 6$ GABA_AR-selective PAMs have the potential to be an alternative mono- or add-on therapy for ET.

Abbreviations $\alpha 1$ GABA_AR: $\alpha 1$ Subunit containing GABA_A receptor; $\alpha 6$ GABA_AR: $\alpha 6$ Subunit containing GABA_A receptor; ADL: Activities of Daily Living; AUC: Area under curve; BAC: Blood alcohol concentration; BC: Basket cell; CSF: Cerebrospinal fluid; Compound 6: 7-Methoxy-2-(4-methoxyphenyl)-2,5-dihydro-3H-pyrazolo[4,3-c]-quinolin-3-one; DCN: Deep cerebellar nuclei; DK-I-56-1: 7-Methoxy-2-(4-methoxy-d3-phenyl)-2,5-dihydro-3Hpyrazolo-[4,3-c]quinolin-3-one; DK-I-58-1: 7-Bromo-2-(4-methoxy-d3-phenyl)-2,5-dihydro-3Hpyrazolo[4,3-c]quinolin-3-one; ET: Essential tremor; GrC: Granule cell; GoC: Golgi cell; ION: Inferior olive nucleus; *i.cb.*: Intracerebellar; *i.p.*: Intraperitoneal; LAU 463: 7-Bromo-2-(4-methoxyphenyl)-2,5-dihydro-3H-pyrazolo[4,3-c]-quinolin-3-one; PAM: Positive allosteric modulator; PC: Purkinje cell; PET: Positron emission tomography; PQ: Pyrazoloquinolinone; *s.c.*: Subcutaneous; PPI: Prepulse inhibition; SC: Stellate cell

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Author Contribution YHH: performed research, analyzed data, wrote the paper; MTL: analyzed data, wrote the paper; HYH: performed research and analyzed data; DEK: contributed new reagents or analytic tools; JC: contributed new reagents or analytic tools; MM: contributed new reagents or analytic tools; WS: wrote the paper; LCC: designed research, analyzed data, contributed new reagents or analytic tools, wrote the paper.

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Data Availability The data that reported in this study are available from the corresponding author upon reasonable request.

Declarations

Conflict of Interest YHH, HYH, and MTL declare no conflict of interest. A patent application has been jointly filed by DEK, MM, JC, WS, and LCC for the chemical structures and therapeutic applications of $\alpha 6\text{GABA}_A$ R-modulating PQ compounds, but the patent right is co-owned by the inventors' institutions. Some of the results presented in this study have been deposited in the *Biorxiv* as a preprint (<https://doi.org/10.1101/2021.04.19.440397>).

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