

# Cytokine Therapies in Neurological Disease

Shila Azodi<sup>1</sup>  · Steven Jacobson<sup>1</sup>

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**Abstract** Cytokines are a heterogeneous group of glycoproteins that coordinate physiological functions. Cytokine deregulation is observed in many neurological diseases. This article reviews current research focused on human clinical trials of cytokine and anticytokine therapies in the treatment of several neurological disease including stroke, neuromuscular diseases, neuroinfectious diseases, demyelinating diseases, and neurobehavioral diseases. This research suggests that cytokine therapy applications may play an important role in offering new strategies for disease modulation and treatment. Further, this research provides insights into the causal link between cytokine deregulation and neurological diseases.

**Key Words** Cytokine · anticytokine · neurology · drug development.

## Introduction

Cytokines are a heterogeneous group of glycoproteins that coordinate many physiological functions, including immune function, inflammation, hematopoiesis, homeostasis, and tissue repair. For example, cytokines regulate crosstalk between the innate and adaptive immune responses determining the magnitude of an immune response [1]. The deregulation of cytokines is observed in many neurological diseases, and, as such, their involvement in pharmacological therapies offers a promising avenue of research. However, there are complexities that make the

prediction of pharmacological interventions difficult. First, it is still unclear whether cytokine deregulation is the cause or effect of these diseases. Second, depending on the context, cytokines can exhibit both inflammatory and anti-inflammatory effects. Third, these cytokines act through complex networks, and are involved in important homeostatic functions.

This review focuses on human clinical trials of cytokine and anticytokine therapies in a subset of neurological diseases. This research suggests that cytokine therapy applications may play an important role in offering new strategies for disease modulation and treatment. Further, this research provides insights into the causal link between cytokine regulation and neurological diseases.

Families of cytokines with similar structures and receptors allow overlapping and complementary action among cytokines. One method of cytokine classification is based on the similarities of their receptors with tumor necrosis factor (TNF), interleukin (IL)-1, and  $\gamma$ C superfamilies among the categories [2]. Other methods categorize cytokines by the primary cell of cytokine origin or functional role. In general, it is difficult to rely on a single classification system. The standard naming system for cytokines—in the order of their discovery—further reflects that individual cytokines can have multiple actions and receptors defying simple categorization.

Cytokines have been studied in neurological disease, contributing to both neuroprotective and neurodegenerative pathways. For example, granulocyte colony-stimulating factor (G-CSF) is a hematopoietic factor that has been found to act as a neural ligand to counteract programmed cell death. Supplementation of G-CSF has been used in stroke and amyotrophic lateral sclerosis (ALS) trials in an attempt to prevent neuronal loss. Alternatively, TNF family cytokines are proinflammatory with a myriad of actions as variable as hematopoietic cell proliferation and cell death. Anti-TNF therapies include monoclonal antibodies, as well as TNF receptor

✉ Steven Jacobson  
jacobsons@ninds.nih.gov

<sup>1</sup> Viral Immunology Section, National Institute of Neurological Disorders and Stroke, National Institutes of Health, Bethesda, MD 20892, USA

molecules that prevent TNF interaction with its receptors. Anti-TNF therapies have been used in inflammatory myopathies, Alzheimer's disease, and multiple sclerosis (MS). Unexpectedly, patients with MS had exacerbations of disease with anti-TNF therapy. The seemingly contradictory evidence from these trials has highlighted the importance of further studies into temporal patterns of cytokine expression in neurological disease and the nonlinear nature of cellular response to cytokines.

This article will review cytokine therapy trials in stroke, neuromuscular diseases, neuroinfectious diseases, demyelinating diseases, and neurobehavioral diseases. After a brief description of the neurological disease and current understanding of cytokine roles in the disease, we will summarize clinical trials to date targeting cytokines in these diseases.

## Cerebrovascular Disease

### Stroke

Stroke encompasses a broad category of disease defined as brain, spinal cord, or retinal cell death attributable to ischemia based on neuropathological, neuroimaging, and/or clinical evidence of permanent injury [3]. Acutely after stroke, levels of interferon (IFN)- $\gamma$  and TNF- $\alpha$  are decreased, and levels of IL-1, IL-4, and IL-10 are increased [4, 5]. G-CSF is released by neurons in response to cerebral ischemia and can act to prevent neuron apoptosis and enhance vessel reformation in ischemic tissue [6].

Therapeutic trials have been designed to alter cytokine levels during acute stroke with the goal of reducing cerebral ischemia and improving clinical outcomes. IL-1 is a key mediator of inflammation and upregulates IL-6 in the cerebrospinal fluid (CSF) of stroke patients. IL-6 triggers inflammation by orchestrating leukocyte migration into brain parenchyma, elevation of body temperature, and in later stages tissue remodeling through astrogliosis and angiogenesis [7]. IL-1 receptor antagonist (anakinra) used in acute stroke was safe and well tolerated with trends in clinical improvement in patients with cortical infarcts. Secondary biological outcomes included reduced peripheral neutrophil count and lower IL-6 levels. In a placebo-controlled trial of patients with subarachnoid hemorrhage, anakinra infusion upon hospital admission was associated with lower IL-6 levels [5]. Small sample sizes and lack of trials with tissue plasminogen activator co-administration justify further exploration of this treatment option.

AXIS-2, a multicenter, randomized, placebo-controlled trial with 72-h infusion of G-CSF in patients with acute ischemic stroke did not find any benefit in clinical outcomes as measured by modified Rankin scale at 90 days. The treated patients not only had an expected rise in neutrophil count supporting therapeutic doses of G-CSF, but also had increased

pulse and decrease in mean arterial pressure posited as possibly counteracting potentially beneficial effects that had been seen in preclinical models [8].

## Neuromuscular Disease

### Autoimmune Inflammatory Myopathies

The most common varieties of autoimmune inflammatory myopathies are dermatomyositis (DM), polymyositis (PM), and inclusion-body myositis (IBM). Pathologically, a humoral immune response against the vascular endothelium has been established in DM, while cytotoxic T-cell interactions with muscle have been shown in PM and IBM. While the antigens have not been identified and the role of associated antibodies is unclear, increased cytokine expression, including IL-1 $\alpha$ , IL-1 $\beta$ , IFN- $\gamma$ , and TNF- $\alpha$ , are thought to be involved in the pathogenic process [9].

Therapies aimed at reducing the proinflammatory state in these myopathies have included several anticytokine drugs. Type 1 IFNs regulate cytotoxic T-cell activity and recruit Th1 cells to sites of inflammation. Sifalimumab, an anti-IFN- $\alpha$  monoclonal antibody, has been used in treatment of patients with DM and PM, resulting in reduction of multiple cytokines, including those that are inducible by type 1 IFNs, IL-2RA, monocyte chemoattractant protein-1, monocyte chemoattractant protein-2, and B-cell activating factor. Patients with at least 30 % reduction in IL-2RA had more improvement in clinical outcomes of muscle motor testing [10].

TNF is a multifunctional proinflammatory cytokine with pleiotropic effects. In clinical trials of patients with DM, PM, and IBM in which the primary outcome measure was muscle strength, no benefit was seen with the TNF-blocking agents infliximab and etanercept. In another study, the use of infliximab in inflammatory myositis was associated with persistent cell infiltrate on muscle biopsy and significant increase in type 1 IFN activity [11]. How TNF blockade causes worsening inflammation in inflammatory conditions is poorly understood. Adding to the complexity of this story, 20 cases of DM or PM have been reported after induction of anti-TNF treatment for other autoimmune diseases [12].

Given the poor performance of TNF blockade in treatment of inflammatory myositis, alternative inflammatory pathways have been targeted for treatment. Despite cytotoxic CD8<sup>+</sup> cell invasion and IL-1 $\alpha$  and IL-1 $\beta$  upregulation in IBM muscle, the disease is poorly responsive to steroids. IL-1 is produced by activated macrophages, directing differentiation and function of polarized innate and adaptive lymphoid cells. Anakinra use resulted in variable clinical benefit in muscle motor testing [13, 14]. More specific targeting of IL-1 $\beta$  is underway with clinical trials using gevokizumab, an anti IL-1 $\beta$ .

Given the deregulation of cytokines in inflammatory myopathies, investigators have measured the effect of immunosuppressive treatments used in these diseases on cytokine levels. At diagnosis, IL-15 and its receptors were detected in mononuclear cells infiltrating muscle tissue of patients with DM and PM. The IL-15 level decreased with high-dose steroids followed by azathioprine, cyclophosphamide, or methotrexate, especially in patients with muscle motor testing improvements [15]. In a trial of rituximab in myositis, patients were stratified based on levels of type 1 IFN-regulated chemokine and cytokine scores. Patients with elevated scores responded to rituximab therapy and had a score reduction [16, 17].

### Amyotrophic Lateral Sclerosis (ALS)

ALS is a neurodegenerative disease characterized by progressive loss of motor neurons leading to motor weakness and muscle atrophy. Several pathological abnormalities have been found in ALS, including genetic mutations, oxidative stress, mitochondrial dysfunction, and neuroinflammation [18]. ALS cytokine profiles have elevated IL-6, GM-CSF, IL-10, IL-2, and IL-15 levels compared with other neurological diseases [19]. As the etiology of disease is yet unknown, neuroprotective strategies have been implemented to alter disease progression.

As described previously, G-CSF can prevent neuronal apoptosis. Several small studies [20, 21] have demonstrated feasibility of G-CSF administration without significant change in clinical outcome measured by the ALS functional rating scale. Preliminary diffusion tensor imaging results suggested white matter tract preservation with treatment [21]. Another neuroprotective agent used in ALS has been epoetin alfa (EPO), regularly used in stimulation of red blood cell production. EPO receptors are found on neurons and astrocytes, and preclinical studies suggested EPO-mediated neuroprotection [22]. A large randomized trial of patients with ALS, involving 12 months of EPO treatment, resulted in no change in ALS functional rating scale score.

Another strategy in ALS clinical trials has been IL-1 blockade, which was shown in preclinical studies to slow the progression of neurodegeneration. In a recent 24-week trial of anakinra in patients with ALS, no change in clinical outcome was detected despite reduced levels of IL-6 and TNF [23].

### Neuroinfectious Disease

#### Human T-Lymphotropic Virus 1-Associated Myelopathy/Tropical Spastic Paraparesis (HAM/TSP)

HAM/TSP is characterized by progressive lower extremity weakness, spasticity, and bladder/bowel sphincter dysfunction. The neuroinflammatory process linked to myelopathy is thought to begin with IFN- $\gamma$  secretion by infected CD4<sup>+</sup> T

cells in the CSF, stimulating C-X-C motif chemokine (CXCL)10 production by astrocytes. CXCL10, a chemokine promoting T-cell adhesion to endothelial cells and chemoattraction of inflammatory cells, increases mononuclear infiltration into the CSF creating a positive feedback loop for chronic inflammation in HAM/TSP [24]. In patients with HAM/TSP, levels of IFN- $\gamma$ -producing T cells with loss of immune suppressive function have been shown to correlate with clinical severity [25]. IL-2 and IL-15 are deregulated in HAM/TSP and trigger spontaneous lymphoproliferation, increased signal transducer and activator of transcription 5 phosphorylation in lymphocytes, and increased frequency of virus-specific cytotoxic CD8<sup>+</sup> T cells [26].

The virus–host interaction causing T-cell activation and maintenance of virus-specific T-cell stimulation is the target of IFN- $\alpha$  and IFN- $\beta$  1a therapy in HAM/TSP. IFNs have cytostatic and antiviral properties that in short clinical trials were associated with clinical stability, reduction in virus-specific CD8<sup>+</sup> T cells, and reduced spontaneous proliferation [27, 28]. Targeted therapies in clinical trials next included anti-Tac, a monoclonal antibody that blocks the IL-2 receptor. Anti-Tac treatment was associated with decreased spontaneous lymphoproliferation and reduced proviral load [29]. Currently in trial in patients with HAM/TSP is Humik $\beta$ 1, a humanized monoclonal antibody blocking IL-15 receptor  $\beta$ .

A novel approach to anticytokine therapy has been developed to target multiple cytokines. BNZ132-1-40 is a pegylated peptide that was designed to block the interface between the  $\gamma$ C receptor subunit of the IL-2 and IL-15 receptor and the respective cytokines [30]. In preclinical studies, it was shown the BNZ132-1-40 blocks both IL-2- and IL-15-induced signal transducer and activator of transcription 5 phosphorylation without effects on other  $\gamma$ C family cytokine function. *Ex vivo* HAM/TSP peripheral blood mononuclear cells showed decreased spontaneous proliferation when incubated with BNZ132-1-40 [26]. This novel approach to anticytokine therapy may be a promising therapeutic application in neurological and non-neurological disease in which multiple cytokines are pathogenic.

### Demeylinating Disease

#### Multiple Sclerosis (MS)

MS is a neuroinflammatory disorder characterized clinically by transient neurological deficits corresponding to demyelinating lesions in the central nervous system (CNS), seen as contrast-enhancing lesions on magnetic resonance imaging. Histopathological findings of these lesions include deposition of inflammatory cytokines such as IL-12, IL-17, IL-23, and IFN- $\gamma$ , in addition to T cells, B cells, antibodies, complement, and macrophages. Serum levels of IFN- $\gamma$  and TNF were

elevated in patients with acute relapses, while IL-4, IL-10, and IL-15 expression was associated with resolution of inflammation [31]. This pattern is associated with a Th1 immune response, regularly seen in proinflammatory states of autoimmune disease and intracellular pathogens. Specifically, IL-12 and IL-23 are produced by inflammatory myeloid cells and play a role in the development of IL-17 producing [T helper (Th)17] cell responses.

Early disease-modifying therapies in MS aimed to shift the balance from a proinflammatory state to an anti-inflammatory and regulatory state with IFN- $\beta$ . Patients treated with IFN- $\beta$  have decreased IL-17, IL-23 [32] IL-12, and IFN- $\gamma$  [33] levels, and increased IL-10 levels. Glatiramer acetate, a peptide-mimicking myelin basic protein, with similar efficacy to IFN- $\beta$ , alters the cytokine profile in a similar manner [31, 34], especially in responders.

More targeted approaches in MS have included anti-IL-12 and anti-IL-23 blockade through a monoclonal antibody blocking their common subunit p40. IL-12 is associated with differentiation of naïve CD4<sup>+</sup> T cells to Th1 cells with production of IFN- $\gamma$  and TNF- $\alpha$ . IL-23 expands Th17 lymphocytes, promoting an inflammatory environment. Several clinical trials of the anti-IL12 and IL-23 have been well tolerated but not more efficacious than current therapies [35, 36].

Further anticytokine-specific interventions for MS have included the anti-IL-2 monoclonal antibody, daclizumab. The initial clinical trials with daclizumab were instrumental in revealing new mechanisms of IL-2 on the innate immune system, namely expansion of CD56<sup>bright</sup> natural killer cells [37]. In a 54-week trial of daclizumab in relapsing–remitting MS, C-C chemokine receptor type 5 and chemokine (C-X-C motif) receptor 3, chemokines regulating leukocyte migration, expression was not altered, although levels of IL-12p40, a shared subunit for IL-12 and IL-23, was significantly decreased. Clinically, there was a reduction in contrast-enhancing lesions in these patients [38].

An example of an unanticipated physiologic effect of cytokine blockade occurred in the application of anti-TNF therapy in MS. TNF- $\alpha$  is a proinflammatory cytokine that has been shown to be elevated in the peripheral blood of patients with relapsing–remitting MS [39], with elevated TNF- $\alpha$  mRNA expression in demyelinating plaques of patients with MS [40]. In mouse models, anti-TNF antibodies prevented the transfer of experimental autoimmune encephalomyelitis. The use of lenercept, a TNF-neutralizing molecule, in a clinical trial of patients with MS increased relapses compared with placebo. This led to investigation of dual nature of TNF that can be inflammatory or anti-inflammatory depending on patten of expression [41].

Another therapy that was first tested in and shown to ameliorate experimental autoimmune encephalomyelitis is transforming growth factor (TGF)- $\beta$ 2. TGF- $\beta$ 2 is a potent immunosuppressive cytokine reducing TNF expression and inhibiting cytotoxic T-lymphocyte generation. Eleven patients

with chronic progressive MS had a reversible decline in glomerular filtration rate and anemia in a phase I trial of TGF- $\beta$ 2. Although the trial was not designed to test clinical efficacy, several patients continued to have disease activity while on treatment [42].

In addition to targeting cytokines in MS, other disease-modifying therapies alter cytokine profiles in patients with MS. Natalizumab, a monoclonal antibody against the integrin very late activation antigen, prevents activated T-cell entry into the CNS. In a trial of 31 patients with relapsing–remitting MS on natalizumab, comparison of pretreatment and post-treatment serum and CSF cytokine levels showed reduced CSF IL-1 $\beta$ , IL-6, and IL-8, as well as chemokines CXCL9, CXCL10, and CXCL11. In particular, the peripheral levels of these proteins did not increase as one would expect from the known mechanism of natalizumab, suggesting an effect on peripheral T cells [43].

An important adjunct treatment in MS is vitamin D supplementation. As part of a studying evaluating the importance of the Th17 inflammatory response in MS, a placebo-controlled trial of high-dose vitamin D and stable IFN- $\beta$  treatment resulted in a statistically significant reduction in serum IL-17 levels [44]. Short- [45] and long-term [46] changes in cytokine profiles were seen in patients receiving glucocorticoid treatments for acute relapses.

### Neuromyelitis Optica (NMO)

NMO is a neuroinflammatory disease characterized by demyelination in the optic nerves and spinal cord in the presence of aquaporin 4 antibodies. The underlying pathology is distinct from MS as the antibodies target astrocytes rather than oligodendrocytes. These antibodies have been shown to activate complement and lead to necrotic, destructive lesions. Initial therapeutic targets in NMO were against B cells given their role in antibody production. Recent efforts have helped to clarify the role of other immune cells in this process, including Th17 cells in producing these destructive lesions [47]. IL-6, which promotes antibody production in activated B cells as well as Th17 differentiation, was present in elevated levels in the serum and CSF has been shown to be elevated in patients with NMO, especially during relapse [48].

Patients with NMO who failed treatments, including azathioprine and rituximab, were enrolled in pilot study [49] and reviewed in a retrospective case study [50] of tocilizumab, an anti-IL-6 humanized monoclonal antibody. Tocilizumab treatment decreased relapse rates and was associated with better recovery from relapses. Scores for pain, which can be a challenging manifestation of NMO disease, were significantly lower on the tocilizumab. Tocilizumab is approved for use in rheumatoid arthritis and is a promising targeted therapy in NMO.

## Neurobehavioral Disease

### Alzheimer's Disease (AD)

AD is a common neurodegenerative dementia characterized by memory impairment and neuropsychiatric complications. The etiology is as yet unknown, although hallmarks of the disease include pathological findings of intracellular neurofibrillary tangles and extracellular amyloid plaques. This chronic deposition of amyloid has been associated with microglial activation and proinflammatory cytokine production, including IL-1 $\beta$ , TNF- $\alpha$ , IL-6, and IL-18 [51]. Studies to date seek to clarify whether the inflammatory cytokines are a response to plaque formation, the initial insult produces plaque formation, or a combination of these events [52, 53].

A phase II randomized clinical trial of subcutaneous etanercept in AD established that this drug is well tolerated in a 24-week study, although there were no changes in cognitive, behavioral, or global function measured over this period [54]. Previously, an open-label pilot study enrolled 15 patients with AD in a 6-month open-label perispinal administration of etanercept [55]. Results included significant improvements in standardized cognitive testing. These findings are important given that etanercept does not penetrate the blood–brain barrier when administered systemically. A randomized trial of perispinal administration of etanercept is currently ongoing.

The effect of commonly used treatments in AD on cytokine profiles has also been investigated. Acetylcholinesterase inhibitors and omega-3 fatty acids have not shown significant effects on IL-6 or TNF- $\alpha$  levels in the blood [56, 57]. Conflicting data presented in studies of cytokine levels in AD may reflect different stages of disease and possible importance of CSF cytokine studies.

### Conclusion

To date, the approach to cytokine therapy in neurological disease has been to block cytokines found to be elevated in disease states and supplement cytokines that are found to have possible neuroprotective or anti-inflammatory effects. Trials with variable clinical benefit, such as anti-TNF in inflammatory myopathies, suggest we need more information on cytokine profiles for appropriate patient selection. Additionally, clinical outcome measures and timing of therapy in diseases with chronic progression requires attention. These clinical trial findings highlight the complex activity and expression of cytokines in neurological diseases. Longitudinal data on cytokine expression profiles will enhance our understanding of the role of cytokines, as well as help us optimize pharmacological intervention in these diseases.

Further research into the relationship between serum and CSF cytokine profiles will advance our therapeutic

applications of systemic cytokine and anticytokine treatments in neurological disease. Although the application of etanercept in AD was only carried out in a small pilot trial, the difference in response to peripheral and perispinal application is important to investigate. The disconnect between CSF and peripheral cytokine expression changes in patients with MS on natalizumab contributes to questions about the role of the blood–brain barrier in peripheral cytokine actions on the CNS.

Cytokine therapy applications in neurological diseases will play an important role in offering new strategies for disease modulation and treatment. New developments on the horizon, such as BNZ132-1-40, provide promising alternatives to monoclonal antibodies in blocking cytokine subsets. As our understanding of neurological disease mechanisms improves, we will be able to offer novel directed therapies that repurpose currently available cytokine drugs and tailor combinations of these drugs. The field of cytokine therapy in neurological disease holds promise in offering patients new options and treatments.

### Compliance with Ethical Standards

**Required Author Forms** Disclosure forms provided by the authors are available with the online version of this article.

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