ORIGINAL RESEARCH



Overall Efficacy and Safety of Sodium-Glucose Cotransporter 2 Inhibitor Luseogliflozin Versus Dipeptidyl-Peptidase 4 Inhibitors: Multicenter, Open-Label, Randomized-Controlled Trial (J-SELECT study)

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ABSTRACT

Introduction: Evidence of a direct comparison between dipeptidyl-peptidase 4 inhibitors (DPP-4is) and sodium-glucose cotransporter 2

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A. Kondo Kondo Hospital, 1-6-25 Nishi-Shinhama-cho, Tokushima 770-8008, Japan inhibitors (SGLT2is) remains lacking, and no clear treatment strategy or rationale has been established using these drugs. This study aimed to compare the overall efficacy and safety of DPP-4is and the SGLT2i luseogliflozin in patients with type 2 diabetes mellitus (T2DM). *Methods*: Patients with T2DM who had not used antidiabetic agents or who had used antidiabetic agents other than SGLT2is and DPP-4is were enrolled in the study after written informed consent had been obtained. The enrolled patients were subsequently randomly assigned to either the luseogliflozin or DPP-4i group and followed up for 52 weeks. The

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A. Yamauchi Suruga Clinic, 9-23, Shoufuku-cho, Shimizu, Shizuoka, Shizuoka 424-0855, Japan primary (composite) endpoint was the proportion of patients who showed improvement in \geq 3 endpoints among the following five endpoints from baseline to week 52: glycated hemoglobin (HbA1c), weight, estimated glomerular filtration rate (eGFR), systolic blood pressure, and pulse rate.

Results: A total of 623 patients were enrolled in the study and subsequently randomized to either the luseogliflozin or DPP-4i groups. The proportion of patients who showed improvement in \geq 3 endpoints at week 52 was significantly higher in the luseogliflozin group (58.9%) than in the DPP-4i group (35.0%) (p < 0.001). When stratified by body mass index (BMI) (< 25 or > 25 kg/m²) or age (< 65 or \geq 65 years), regardless of BMI or age, the proportion of patients who achieved the composite endpoint was significantly higher in the luseogliflozin group than in the DPP-4i group. Hepatic function and high-density lipoproteincholesterol were also significantly improved in the luseogliflozin group compared with the DPP-4i group. The frequency of non-serious/serious adverse events did not differ between the groups.

Conclusion: This study showed the overall efficacy of luseogliflozin compared with DPP-4is over the mid/long term, regardless of BMI or age. The results suggest the importance of assessing multiple aspects regarding the effects of diabetes management.

Trial Registration Number: jRCTs031180241.

Keywords: Composite endpoint; Dipeptidylpeptidase 4 inhibitor; Estimated glomerular filtration rate; Glycated hemoglobin; Luseogliflozin; Overall efficacy; Pulse rate;

H. Yamada Soiken Inc., Chiyoda, Tokyo 101-0052, Japan

K. Mochizuki Mochizuki Naika Clinic, 4-5, Aioi-cho, Itabashi, Tokyo 174-0044, Japan Sodium-glucose cotransporter 2 inhibitor; Systolic blood pressure; Weight

Key Summary Points

Why carry out this study?

Evidence of a direct comparison between dipeptidyl-peptidase 4 inhibitors (DPP-4is) and sodium-glucose cotransporter 2 inhibitors (SGLT2is) remains lacking.

This study aimed to compare the overall efficacy and safety of DPP-4is and the SGLT2i luseogliflozin in patients with type 2 diabetes mellitus.

What was learned from this study?

The proportion of patients who showed improvement in \geq 3 endpoints among five composite endpoints (glycated hemoglobin, weight, estimated glomerular filtration rate, systolic blood pressure, and pulse rate) at week 52 was significantly higher in the luseogliflozin group than in the DPP-4i group, regardless body mass index or age.

Hepatic function and high-density lipoprotein-cholesterol were significantly improved in the luseogliflozin group compared with the DPP-4i group.

This study showed the overall efficacy of luseogliflozin compared with DPP-4is in the mid/long term, suggesting the importance of assessing multiple aspects regarding the effects of diabetes management.

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INTRODUCTION

Diabetes mellitus causes diabetic complications (diabetic nephropathy, retinopathy, and neuropathy) as well as other complications. including hypertension, arteriosclerosis, heart failure, and increased mortality [1]. Consequently, the target of diabetes management should focus not only on glycemic control but also on the prevention or suppression of multiple aspects of risk factors. For example, obesity and metabolic syndrome are associated with the onset or progression of type 2 diabetes mellitus (T2DM) [2, 3]. Studies have shown that weight reduction results in the improvement of glucose and lipid metabolism in Japanese patients who are obese [4], and thus weight control is an important treatment goal in the management of T2DM. In addition, systolic blood pressure and pulse rate need to be considered, as a reduction in systolic blood pressure is estimated to suppress death due to stroke or coronary artery disease [5], and a higher pulse rate is associated with metabolic syndrome, hypertension, heart failure, or other cardiovascular diseases [6–8].

The 2018 consensus guidelines of the American Diabetes Association (ADA) and European Association for the Study of Diabetes (EASD) recommended metformin as a first-line medication for T2DM management [9], while the current guidelines in Japan request physicians to select antidiabetic agents depending on the pathological conditions of each patient, failing to show clear medication strategies [10]. Following the approval and launch of dipeptidylpeptidase 4 inhibitors (DPP-4is) and sodiumglucose cotransporter 2 inhibitors (SGLT2is), the current consensus statements of ADA and EASD were modified to recommend the use of SGLT2is and glucagon-like peptide 1 receptor (GLP1R) agonists owing to the associated beneficial cardiovascular and renal outcomes and further describe the importance of weight reduction [11]. The current guidelines in Japan also recommend appropriate glucose, weight, blood pressure, and lipid control as well as medications to prevent or suppress complications and maintain the patients' quality-of-life [10].

DPP-4is are the most frequently prescribed oral antidiabetic agent in Japan owing to their high tolerability and modest efficacy, although the use of SGLT2is is increasing [12, 13]. Since additional approval has been obtained for several SGLT2is for heart failure or chronic kidney disease in Japan, the number of prescriptions for SGLT2is is projected to increase in the future. However, studies that directly compare DPP-4is and SGLT2is are lacking, and no clear criteria or rationale have been established to distinguish outcomes between patients receiving DPP-4is or those receiving SGLT2is. Therefore, this study aimed to compare the overall efficacy and safety of DPP-4is and luseogliflozin, an SGLT2i, in patients with T2DM based on the composite endpoint of hemoglobin A1c (HbA1c), weight, estimated glomerular filtration rate (eGFR), systolic blood pressure, and pulse rate, all endpoints which can be easily measured by general practitioners.

METHODS

Patients and Study Setting

This 'Japanese Study for Efficacy of Luseogliflozin on composite Endpoint, Compared to DPP-4is, in Type 2 diabetes mellitus patients' (J-SELECT) study was a multicenter, open-label, randomized-controlled trial that was conducted at 88 medical institutions in Japan (Electronic Supplementary Material Table S1) under the management of the Japan Physicians Association. Patient enrollment was conducted between January 2018 and November 2020, and each enrolled patient was followed for 12 months. The trial protocol was first approved by the Japan Physicians Association Institutional Review Board according to the Ethical Guidelines for Medical and Health Research Involving Human Subjects issued by the Ministry of Health, Labour and Welfare in Japan. Before enrolling the first patient, this study was registered at the University Hospital Medical Information Network Clinical Trial Registry (UMIN000030128) (UMIN-CTR) on 27

November 2017. Subsequently, following the enforcement of a new law, 'the Clinical Trials Act,' in April 2018 in Japan, this study, it's protocols and all participating medical institutions were again inspected and approved by the Certified Clinical Research Review Board of Toho University, which obtained certification from the Minister of Health, Labour and Welfare in Japan. After approval from the certified review board, this study was registered in the Japan Registry of Clinical Trials (jRCT no. jRCTs031180241) on 15 March 2019, which is the clinical trial registration developed by the Ministry of Health, Labour, and Welfare in Japan, according to the requirements of the Clinical Trials Act. The study was conducted in accordance with the principles of the Declaration of Helsinki and its later amendments, the Ethical Guidelines for Medical and Health Research Involving Human Subjects, the Clinical Trials Act, and other relevant legal regulations in Japan.

This study enrolled: (1) patients with T2DM who had not used antidiabetic agents within 8 weeks before consenting to participate in the study and (2) those who had used antidiabetic agents other than SGLT2is and DPP-4is and had not changed their usage and dose within 8 weeks before providing informed consent. Details of the inclusion and exclusion criteria are provided in ESM Table S2. The enrolled patients were subsequently randomly assigned into the luseogliflozin group or DPP-4i group with an approximately 1:1 allocation, depending on the following allocation factors assigned by a computer program using a minimization method: sex, age, and body mass index (BMI). In the luseogliflozin group, luseogliflozin (2.5 mg) was administered once a day; in the DPP-4i group, one of the following DPP-4is was administered daily according to each package insert: sitagliptin, vildagliptin, linagliptin, alogliptin, anagliptin, teneligliptin, and saxagliptin. Once-weekly DPP-4is (trelagliptin and omarigliptin) were excluded from this study. The patients were followed up at baseline before the initiation of the study agents and at 2, 24, and 52 weeks after their initiation. During observation period, up to week 24, medication of antidiabetic agents was, in principle, not changed. However, if the HbA1c was > 8.5% in two consecutive measurements before the 24-week time point, or after week 24, rescue therapy was allowed in the following steps: (1) dose change in luseogliflozin or DPP-4is; (2) addition of oral hyperglycemic agents. Even in the rescue therapy, the use of a SGLT2i other than luseogliflozin and any DPP-4is were prohibited in the luseogliflozin group, as was the use of any SGLT2i and DPP-4is other than those specified above. Diet instruction and exercise therapy were not restricted in this study, and were conducted as general practice according to the treatment guideline in Japan [10]. Detailed observation schedules and items are listed in ESM Table S3.

Study Endpoints

The primary (composite) endpoint was the proportion of patients who improved in > 3endpoints among the five endpoints described above from baseline to week 52. Each primary endpoint, definition of the improvement, and rationale for the definition were as follows: (1) HbA1c (change from baseline < -0.37%): in the J-DOIT3 trial, HbA1c was - 0.37% lower in an intensive therapy group than in a conventional therapy group, and the frequency of cerebrovascular/renal/retinal events were lower in the intensive therapy group [14]; (2) weight (percentage change from baseline $\leq -3\%$): in a previous trial, 3% weight reduction resulted in the improvement of glucose and lipid metabolism in Japanese patients who were obese [4]; (3) change (percentage eGFR from baseline $\geq -2.2\%$): in the Gonryo study, the annual change in eGFR was -2.2% in patients with T2DM without diabetic nephropathy [15]; (4) systolic blood pressure (change from baseline < -4 mmHg): in reference material published by the Welfare Science Council in the Ministry of Health, Labour and Welfare in Japan, a 4-mmHg reduction in systolic blood pressure was estimated to suppress death caused by stroke or coronary artery disease [5], and the Guidelines for Management of Hypertension 2014 in Japan include targets to decrease systolic blood pressure by 4 mmHg [16]; and (5) pulse rate (change from baseline ≤ -3 bpm); reported to be although it had been reported that elevated heart rate was associated with risk of cardiovascular disease [8, 17], no clear target of the heart rate reduction was determined in these with improved e

heart rate was associated with risk of cardiovascular disease [8, 17], no clear target of the heart rate reduction was determined in these studies and, therefore, we defined the improvement of pulse rate as described above, since measurement error of pulse rate in the same healthy people was \pm 2.0 in our unpublished preliminary investigation. Secondary endpoints included: (1) the proportion of patients who improved by > 3 endpoints among the five endpoints from baseline to week 24; (2) change of each endpoint; (3) change in other blood tests and urine tests from baseline to each observation point; and (4) the frequency of any adverse events. The detailed description of the endpoints is provided in Table S4.

Sample Size Determination

The sample size was determined in order to provide adequate power for intergroup comparison of the proportion of patients who achieved the composite endpoint. The proportion of patients who were estimated to improve at each endpoint, reported as mean change \pm standard deviation (SD) from baseline, was as follows: (1) since change in HbA1c was reported to be $-0.67 \pm 0.66\%$ with SGLT2i use [18] and $-1.1 \pm 1.02\%$ with DPP-4i use [19], assuming a normal distribution, the proportion of patients who achieved improved HbA1c was estimated to be 84.5% and 86.0% with SGLT2i and DPP-4i use, respectively; (2) since change in weight was reported to be - 3.5 \pm 10.3 kg with SGLT2i use [20] and -0.4 ± 8.23 kg with DPP-4i use [21], assuming a normal distribution, the proportion of patients who improved weight was estimated to be 63.3% and 51.9%, respectively; (3) since change in systolic blood pressure was reported to be -3.16 ± 15.3 mmHg with SGLT2i use [22]and -1.79 ± 11.65 mmHg with DPP-4i use [22], assuming a normal distribution, the proportion of patients whose systolic blood pressure improved was estimated to be 58.3% and 56.1% with SGLT2i and DPP-4i use, respectively; and (4) since change in eGFR was reported to be $-4.7 \pm 11.6 \text{ mL/min}/1.73 \text{ m}^2$ with SGLT2i use [23] and -4.5 ± 14.6 mL/ $min/1.73 m^2$ with DPP-4i use [23], assuming a normal distribution, the proportion of patients with improved eGFR was estimated to be 34.3% and 37.9% with SGLT2i and DPP-4i use, respectively. No data were found for pulse rate changes. Based on these assumptions, the proportion of patients who improved in three endpoints among HbA1c, weight, systolic blood pressure, and eGFR was estimated to be 79.1% in the luseogliflozin group and 71.0% in the DPP-4i group. For a two-sided test with a statistical power of 80%, the minimum sample size required to achieve a significance level of 0.05 was determined to be 447 patients in each group. Assuming a dropout rate of approximately 10%, the planned sample size was set at 1000 patients, with 500 patients in each group.

Statistical Analysis

Analyses of the primary and secondary endpoints were performed using the data from the full analysis set (FAS) population, including all patients enrolled in this study who were subsequently randomized to one of the study treatments and excluding those who violated the protocol or those without any data for the primary (composite) endpoint. The proportion of patients who achieved the composite endpoint (primary endpoint and the first secondary endpoint) was compared between the groups using the Chi-squared test. The Chi-squared test or Fisher's exact test were performed for categorical variables, the two-sample *t*-test for betweengroup comparisons, and the one-sample t-test for within-group comparisons for continuous variables. If values deviated from the normal distribution, the Wilcoxon rank-sum test was performed for continuous variables. The adjusted mean change of each continuous variable was estimated using models for repeated measures (MMRM) with an unstructured covariance structure with treatment group, time, interaction between treatment group and time, values at baseline, and allocation factors as fixed effects, and enrolled patients as random effects. All *p*-values were estimated to be two-sided.

Statistical significance was set at a *p*-value < 0.05. All statistical analyses were performed with a third-party entity (Soiken Inc., Chiyoda, Tokyo, Japan) using SAS version 9.4 (SAS Institute Inc., Cary, NC, USA).

RESULTS

A total of 2227 patients were evaluated for eligibility, and 623 were ultimately enrolled in the study and randomized to either the luseogliflozin or DPP-4i group (Fig. 1). The baseline characteristics of the patients were well balanced between the groups, except for drinking habits (Table 1). Medication of antidiabetic agents, antihypertensive agents, hypolipidemic agents, and antiplatelet agents were not drastically changed during the observation period (ESM Table S5).

The primary (composite) endpoint in this study was the proportion of patients who showed improvement in \geq 3 endpoints among HbA1c, weight, eGFR, systolic blood pressure, and pulse rate at week 52. The results showed that this primary (composite) endpoint at week 52 was significantly higher in the luseogliflozin group (58.9%) than in the DPP-4i group (35.0%) (p < 0.001) (Fig. 2A). It was also significantly higher in the luseogliflozin group (60.6%) than



Fig. 1 Study flow chart showing patient enrollment, allocation, and analysis. DPP-4i dipeptidyl-peptidase 4 inhibitor

Table 1 Patient background data

Patient characteristics	Luseogli	iflozin group ($n = 277$)	DPP-4	i group $(n = 272)$	<i>p</i> -Value
	n	Value	n	Value	
Age (year)	277	57.4 ± 10.7	272	58.1 ± 10.3	0.43
Female sex $[n (\%)]$	277	95 (34.3)	272	95 (34.9)	0.88
Duration of diabetes mellitus (year)	250	4.3 ± 5.3	247	4.6 ± 5.1	0.34
HbA1c (%)	277	7.7 ± 0.7	272	7.6 ± 0.8	0.07
Weight (kg)	276	76.7 ± 15.1	271	74.9 ± 15.8	0.17
eGFR (mL/min/1.73m ²)	274	79.9 ± 17.6	268	80.2 ± 19.0	0.85
Systolic blood pressure (mmHg)	274	134.6 ± 17.1	270	133.3 ± 15.6	0.34
Diastolic blood pressure (mmHg)	274	80.3 ± 13.0	270	79.0 ± 11.6	0.24
Pulse (bpm)	271	75.8 ± 11.5	258	75.2 ± 10.6	0.54
Habit of drinking	277	168 (60.6)	271	141 (52.0)	0.042
Current smoking	276	78 (28.3)	271	61 (22.5)	0.12
History of cardio-/cerebrovascular disease	277	21 (7.6)	272	18 (6.6)	0.66
Macrovascular complication	275	13 (4.7)	269	13 (4.8)	0.95
Cerebrovascular disease	277	1 (0.4)	271	3 (1.1)	0.37 ^a
Coronary artery disease	276	9 (3.3)	271	7 (2.6)	0.64
Peripheral arterial disease	275	3 (1.1)	271	3 (1.1)	1.00^{a}
Microvascular complication	254	59 (23.2)	247	70 (28.3)	0.19
Diabetic retinopathy	250	11 (4.4)	244	11 (4.5)	0.95
Diabetic nephropathy	272	41 (15.1)	261	55 (21.1)	0.07
Diabetic neuropathy	270	16 (5.9)	266	16 (6.0)	0.97
Other complication	277	242 (87.4)	272	244 (89.7)	0.39
Renal disease	277	13 (4.7)	272	15 (5.5)	0.66
Hepatic disease	277	78 (28.2)	272	79 (29.0)	0.82
Hypertension	277	169 (61.0)	272	174 (64.0)	0.47
Dyslipidemia	277	177 (63.9)	272	174 (64.0)	0.99
Use of anti-diabetic agent	277	119 (43.0)	272	111 (40.8)	0.61
SGLT2i	277	0 (0.0)	272	0 (0.0)	-
DPP-4i	277	0 (0.0)	272	0 (0.0)	-
Sulfonylurea	277	2 (0.7)	272	3 (1.1)	0.68 ^a
Biguanide	277	107 (38.6)	272	103 (37.9)	0.85
Alfa-glucosidase inhibitor	277	8 (2.9)	272	8 (2.9)	0.97
Glinide	277	4 (1.4)	272	3 (1.1)	1.00 ^a

Patient characteristics	Luseogli	flozin group ($n = 277$)	DPP-4	i group $(n = 272)$	<i>p-</i> Value
	n	Value	n	Value	
Thiazolidine	277	15 (5.4)	272	12 (4.4)	0.59
GLP-1 receptor agonist	277	0 (0.0)	272	0 (0.0)	_
Insulin	277	0 (0.0)	272	0 (0.0)	_
Antihypertensive agent	277	151 (54.5)	272	157 (57.7)	0.45
Hypolipidemic agent	277	117 (42.2)	272	123 (45.2)	0.48
Antiplatelet agent	277	19 (6.9)	272	22 (8.1)	0.58

Table 1 continued

Data are presented as the mean \pm standard deviation (SD) for continuous variables and as n (%) for categorical variables Chi-squared test or Fisher's exact test was used for assessing categorical variables and the two-sample *t*-test was used to assess continuous variables

DPP-4i Dipeptidyl peptidase 4 inhibitor, eGFR estimated glomerular filtration rate, GLP-1 receptor agonist glucagon-like peptide 1 receptor agonist, HbA1c hemoglobin A1c, SGLT2i sodium-glucose cotransporter 2 inhibitor

^aIntergroup comparison was conducted using Fisher's exact test, as it did not meet the requirement of the Chi-squared test

in the DPP-4i group (34.9%) (p < 0.001) at week 24. At each endpoint among the composite endpoints, the proportion of patients who showed improved HbA1c (change from baseline $\leq -0.37\%$) was comparable in both groups (luseogliflozin group: 68.9% at week 24, 70.2% at week 52; DPP-4i group: 67.6% at week 24, 64.9% at week 52 [p = 0.75], and 0.19 at week 24 and 52, respectively); no significant intergroup difference was observed (Fig. 2B). The proportion of patients with improved weight (percent change from baseline $\leq -3\%$) was significantly higher in the luseogliflozin group (51.7% and 56.7% at weeks 24 and 52, respectively) than in the DPP-4i group (18.3%) and 21.8% at weeks 24 and 52, respectively) (both p < 0.001) (Fig. 2C). The proportion of patients with improved eGFR (percent change from baseline $\geq -2.2\%$), systolic blood pressure (change from baseline ≤ -4 mmHg), and pulse rate (change from baseline < -3 bpm) was significantly higher in the luseogliflozin group (59.8%, 52.6%, and 42.8%, respectively) than in the DPP-4i group (48.1%, 42.1%, and 31.2%, respectively) (*p* = 0.007, 0.016, and 0.007, respectively) at week 24; however, no significant intergroup difference was observed at week 52 (Fig. 2D-F).

Stratified analyses were conducted based on the baseline BMI, age, and eGFR. In patients whose BMI was < 25 or ≥ 25 kg/m², the proportion of patients who achieved the composite endpoint was significantly higher in the luseogliflozin group (63.1% and 56.7%, respectively) than in the DPP-4i group (28.8% and 37.6%, respectively) (both p < 0.001) (Fig. 3). Similarly, in patients whose age was < 65 or \ge 65 years, the proportion of patients who achieved the composite endpoint was significantly higher in the luseogliflozin group (54.9% and 67.9%, respectively) than in the DPP-4i group (34.3% and 36.5%, respectively) (p < 0.001).

Linear regression analysis showed that HbA1c at baseline was negatively correlated with the proportion of patients who achieved the composite endpoint at week 52 in the DPP-4i group (regression coefficient - 8.86, $R^2 = 0.24$, p < 0.05), whereas HbA1c at baseline was not significantly correlated with the proportion of patients who achieved the composite endpoint at week 52 in the luseogliflozin group $R^2 = 0.027$, (regression coefficient 3.03, p = 0.51) (Fig. 4A). In contrast, age was positively correlated with the proportion of patients who achieved the composite endpoint at week 52 in the luseogliflozin group (regression coefficient 0.83, $R^2 = 0.32$, p < 0.01), whereas age



Fig. 2 Proportion of patients who achieved the composite endpoint (A) or each endpoint (B-F). The proportion of patients who achieved each endpoint was compared between the luseogliflozin and DPP-4i groups using the

Chi-squared test. Asterisks indicate a significant difference between groups at *p < 0.05, **p < 0.01, and ***p < 0.001. *eGFR* Estimated glomerular filtration rate, *HbA1c* Hemoglobin A1c



Fig. 3 Proportion of patients who achieved the composite endpoint stratified by baseline BMI (A), age (B), or eGFR (C). The proportion of patients who achieved the composite endpoint was compared between the

luseogliflozin and DPP-4i groups using the Chi-squared test. Asterisks indicate a significant difference between groups at *p < 0.05, **p < 0.01, and ***p < 0.001. *BMI* Body mass index



Fig. 4 Correlation between HbA1c (A) or age (B) at baseline and proportion of patients who achieved the composite endpoint at week 52. HbA1c or age at baseline was divided into 20 quartiles, with the mean HbA1c or age

was not significantly correlated with the proportion of patients who achieved the composite endpoint at week 52 in the DPP-4i group (regression coefficient 0.069, $R^2 = 0.0053$, p = 0.76) (Fig. 4B).

The adjusted mean change in HbA1c from baseline to weeks 24 and 52 did not differ between the groups (p = 0.67 and 0.18, respectively), whereas weight significantly decreased in the luseogliflozin group compared to the DPP-4i group (both p < 0.001) (Table 2). Posthoc analysis showed that the weight reduction in the luseogliflozin group was around 4%, regardless of BMI or age, while in the DPP-41 group, weight decreased in patients with higher BMI and increased in patients with lower BMI (ESM Fig. S1). The adjusted mean changes in eGFR, systolic blood pressure, and pulse rate did not differ between the groups, except for systolic blood pressure at week 24 (p = 0.023). Among the hepatic function and lipid biomarkers, high-density lipoprotein cholesterol (HDL-chol) (both p < 0.001) significantly increased; conversely, aspartate aminotransferase (AST) (both p < 0.001), alanine aminotransferase (ALT) (both p < 0.001), and gammaglutamvl transpeptidase $(\gamma$ -GTP) (both p < 0.001) levels significantly decreased from baseline to weeks 24 and 52 in the luseogliflozin group compared with those in the DPP-4i group



at each quartile plotted as the *X* value and the proportion of patients who achieved the composite endpoint at week 52 plotted as the *Y* value. *DPP-4* dipeptidyl-peptidase 4

(Table 2; ESM Fig. S2). Among hematological tests, red blood cells (both p < 0.001), hemoglobin (both p < 0.001), and hematocrit (both p < 0.001) significantly increased from baseline to weeks 24 and 52. Meanwhile, white blood cell count significantly decreased from baseline to week 52 (p < 0.001) in the luseogliflozin group compared with the DPP-4i group (ESM Table S6). Erythropoietin (p = 0.004) and reticulocytes (p < 0.001) significantly increased at week 2 in the luseogliflozin group compared with the DPP-4i group. Renal function biomarkers, uric acid (both p < 0.001 at weeks 24 and 52), and urinary creatinine (p = 0.005 at week 24) were significantly decreased in the luseogliflozin group compared with the DPP-4i group; however, urinary albumin-to-creatinine ratio (UACR) (p = 0.002 and 0.048 at weeks 2 and 24, respectively) significantly increased in the luseogliflozin group compared with the DPP-4i group, although the significant difference in changes in UACR disappeared at week 52. The change in serum N-terminal pro B-type natriuretic peptide (NT-proBNP) levels did not differ significantly between the groups.

Among the patients whose BMI was ≥ 25 kg/m², HbA1c, weight, AST, ALT, γ -GTP, red blood cell, hemoglobin, hematocrit, white blood cell, uric acid, fatty liver index (FLI), and the Fibrosis-4 (FIB-4) Index significantly improved in the

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Patient laboratory	Week	Luseogliflozin group		DPP-4i group		Intergroup difference in	<i>p</i> -Value
test measures and vital signs		Measurement (<i>n</i> patients)	Adjusted mean change from baseline (SE) ^a	Measurement (<i>n</i> patients)	Adjusted mean change from baseline (SE) ^a	adjusted mean change (95% CI)	
HbA1c (%)	0	$7.7 \pm 0.7 (277)$		$7.6 \pm 0.8 (272)$			
	24	$7.0 \pm 0.7 (273)$	- 0.7 (0.0)	$7.0 \pm 0.9 \; (262)$	- 0.7 (0.0)	0.0 (-0.1, 0.1)	0.67
	52	$7.0 \pm 0.8 \; (265)$	- 0.7 (0.0)	$7.0 \pm 0.9 (262)$	- 0.6 (0.0)	- 0.1 $(-$ 0.2, 0.0 $)$	0.18
Weight (kg) ^b	0	$76.7 \pm 15.1 \ (276)$		$74.9 \pm 15.8 \ (271)$			
	24	74.1 ± 15.2 (269)	-3.6(0.2)	$74.6 \pm 16.1 \ (257)$	- 0.4 (0.2)	- 3.2 (- 3.8, - 2.6)	< 0.001
	52	73.7 ± 15.2 (264)	- 4.0 (0.3)	$74.7 \pm 16.1 (257)$	- 0.7 (0.3)	- 3.2 (- 3.9, - 2.5)	< 0.001
eGFR (mL/min/	0	$79.9 \pm 17.6 (274)$		$80.2 \pm 19.0 \ (268)$			
$1.73 \text{ m}^2)^b$	24	$79.2 \pm 19.2 (268)$	- 0.4 (0.8)	$77.8 \pm 18.9 \ (262)$	-2.4(0.8)	2.0 (-0.1, 4.0)	0.06
	52	$78.4 \pm 18.7 \ (265)$	- 1.6 (0.8)	76.7 ± 17.4 (259)	-3.3(0.8)	1.7 (-0.4, 3.7)	0.11
Systolic blood	0	$134.6 \pm 17.1 \ (274)$		$133.3 \pm 15.6 \ (270)$			
pressure (mmHg)	24	$129.7 \pm 16.0 \ (268)$	- 4.2 (0.9)	$131.9 \pm 16.3 \ (263)$	-1.4(0.9)	-2.8(-5.2,-0.4)	0.023
	52	$130.0 \pm 15.8 \ (266)$	- 3.6 (0.9)	$130.2 \pm 15.7 \ (262)$	- 2.8 (0.9)	-0.8(-3.2,1.5)	0.48
Diastolic blood	0	$80.3 \pm 13.0 \ (274)$		$79.0 \pm 11.6 \ (270)$			
pressure (mmHg)	24	$77.4 \pm 11.8 \ (268)$	-3.2(0.6)	$78.2 \pm 10.4 \ (263)$	$-1.9\ (0.6)$	-1.4(-3.0, 0.2)	0.09
	52	$77.5 \pm 11.4 \ (266)$	- 2.8 (0.6)	$76.8 \pm 11.3 \ (262)$	-3.0(0.6)	$0.2 \ (- \ 1.5, \ 1.8)$	0.85
Pulse rate (bpm)	0	$75.8 \pm 11.5 \ (271)$		$75.2 \pm 10.6 \ (258)$			
	24	75.8 ± 12.0 (261)	- 0.4 (0.6)	$76.4 \pm 11.5 \ (254)$	$0.8 \ (0.6)$	-1.2(-2.8, 0.4)	0.13
	52	$75.0 \pm 11.9 \ (263)$	-1.0(0.6)	$75.9 \pm 12.1 \ (252)$	0.4 (0.6)	-1.4(-3.1, 0.2)	0.09
HDL-chol (mg/dL) ^b	0	$53.3 \pm 13.7 \ (271)$		$53.6 \pm 14.1 \ (266)$			
	24	$56.5 \pm 14.2 \ (264)$	7.3 (0.9)	$54.0 \pm 14.5 \ (260)$	2.4 (0.9)	4.9 (2.5, 7.4)	< 0.001
	52	$57.0 \pm 14.7 \ (260)$	8.5(1.0)	$54.6 \pm 15.2 \ (257)$	3.9(1.0)	4.6(2.0, 7.3)	< 0.001

Patient laboratory	Week	Luseogliflozin group		DPP-4i group		Intergroup difference in	<i>p</i> -Value
test measures and vital signs		Measurement (<i>n</i> patients)	Adjusted mean change from baseline (SE) ^a	Measurement (<i>n</i> patients)	Adjusted mean change from baseline (SE) ^a	adjusted mean change (95% CI)	
T-chol (mg/dL) ^b	0	$200.4 \pm 35.1 \ (250)$		$194.7 \pm 36.0 \ (233)$			
	24	$200.1 \pm 35.3 \ (246)$	$0.6\ (0.8)$	$192.2 \pm 32.9 \ (231)$	- 1.1 (0.8)	1.7 (-0.5, 4.0)	0.13
	52	$199.6 \pm 39.8 \ (240)$	0.7 (0.9)	$191.2 \pm 32.0 \ (226)$	-0.7(0.9)	1.4 (-1.0, 3.9)	0.26
LDL-chol (mg/dL) ^b	0	$118.5 \pm 31.0 \ (204)$		$109.1 \pm 29.8 \; (189)$			
	24	$117.3 \pm 29.8 \ (213)$	2.5 (1.6)	$106.8 \pm 28.4 \; (185)$	-1.6(1.6)	4.1 (-0.2, 8.4)	0.06
	52	$116.5 \pm 34.0 \ (202)$	2.1 (1.7)	$108.5 \pm 29.2 \; (184)$	0.2 (1.8)	1.8 (-3.0, 6.6)	0.46
TG (mg/dL)	0	169.3 ± 112.2 (232)		$173.7 \pm 133.5 \ (223)$			
	24	149.3 ± 112.1 (231)		$151.0 \pm 91.3 \ (210)$			
	52	157.1 ± 134.5 (228)		$150.8 \pm 87.2 \ (210)$			
TG (log-	0	$4.96 \pm 0.57 (232)$		$4.97 \pm 0.58 (223)$			
transformed)	24	$4.84 \pm 0.54 (231)$	- 2.78 (0.62)	$4.86 \pm 0.56 \ (210)$	$-1.62\ (0.64)$	-1.17(-2.84, 0.51)	0.17
(In(mg/dL)) ⁻	52	$4.86 \pm 0.57 (228)$	- 2.12 (0.64)	$4.87 \pm 0.53 \ (210)$	-1.60(0.66)	- 0.52 $(-$ 2.26, 1.23 $)$	0.56
AST (IU/L)	0	$32.4 \pm 19.6 \ (272)$		$30.0 \pm 18.6 \ (267)$			
	24	27.8 ± 23.6 (268)		$31.2 \pm 19.8 \ (261)$			
	52	$26.6 \pm 19.6 \ (264)$		$30.3 \pm 18.5 \ (257)$			
AST (log-	0	$3.35 \pm 0.48 \ (272)$		$3.28 \pm 0.46 \ (267)$			
transformed)	24	$3.19 \pm 0.43 \ (268)$	- 0.15 (0.02)	$3.30 \pm 0.49 \ (261)$	- 0.01 (0.02)	-0.15(-0.21, -0.09)	< 0.001
(In(10/1))	52	$3.17 \pm 0.40 \ (264)$	- 0.16 (0.02)	$3.28 \pm 0.48 \; (257)$	- 0.03 (0.02)	-0.14(-0.20, -0.08)	< 0.001
ALT (IU/L)	0	$43.9 \pm 32.7 \ (272)$		$39.9 \pm 27.1 \ (268)$			
	24	$33.5 \pm 28.6 \ (266)$		$39.5 \pm 30.0 \ (262)$			
	52	$32.8 \pm 25.8 \ (263)$		$38.5 \pm 32.0 \ (258)$			

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Table 2 continued							
Patient laboratory	Week	Luseogliflozin group		DPP-4i group		Intergroup difference in	<i>p</i> -Value
test measures and vital signs		Measurement (<i>n</i> patients)	Adjusted mean change from baseline (SE) ^a	Measurement (n patients)	Adjusted mean change from baseline (SE) ^a	adjusted mean change (95% CI)	
ALT (log-	0	$3.56 \pm 0.65 \ (272)$		$3.49 \pm 0.61 \ (268)$			
transformed)	24	$3.31 \pm 0.59 \ (266)$	- 0.28 (0.03)	$3.45 \pm 0.64 \ (262)$	- 0.08 (0.03)	-0.20(-0.27, -0.13)	< 0.001
(II(1()))	52	$3.29 \pm 0.59 (263)$	- 0.29 (0.03)	$3.41 \pm 0.66 \ (258)$	- 0.12 (0.03)	-0.16(-0.24,-0.09)	< 0.001
γ -GTP (IU/L)	0	$61.5 \pm 49.8 \ (269)$		54.1 ± 45.0 (264)			
	24	$53.3 \pm 87.3 (266)$		$60.6 \pm 138.6 \ (260)$			
	52	$49.0 \pm 57.2 \ (262)$		$53.8 \pm 61.1 \ (258)$			
γ -GTP (log-	0	$3.86 \pm 0.72 \ (269)$		$3.75 \pm 0.67 \ (264)$			
transformed)	24	$3.63 \pm 0.71 \ (266)$	- 0.24 (0.03)	$3.70 \pm 0.75 \ (260)$	- 0.08 (0.03)	-0.16(-0.23, -0.09)	< 0.001
(In(IU/L))	52	$3.60 \pm 0.69 \; (262)$	- 0.26 (0.03)	$3.68 \pm 0.72 \ (258)$	- 0.12 (0.03)	-0.14(-0.22, -0.07)	< 0.001
Data on measuremen change from baseline <i>ALT</i> alanine aminotr cholesterol, <i>LDL-cho</i> ^a The adjusted mean c treatment group, tim effects ^b Adjusted mean perce	ts are prest are press ansferase / low-den hange of e, interac entage ch	ssented as the mean \pm and the mean with ented as the mean with <i>AST</i> aspartate aminott sity lipoprotein cholest each continuous variable tion between treatment ange was calculated instances.	SD, with the numbe the standard error (ansferase, <i>CI</i> confidd erol, <i>T-cbol</i> total cho e was estimated using e was estimated using e group and time, va tead of the adjusted	r of patients (n) given ir (SE)) in parentheses ence interval, γ - <i>GTP</i> gar elesterol, <i>TG</i> triglyceride g models for repeated me lues at baseline, and allc mean change	1 parentheses for m 1ma-glutamyl transp asures (MMRM) wi ecation factors as fi	easurements, and data on the adju eptidase, <i>HDL-chol</i> high-density l th an unstructured covariance stru ked effects, and enrolled patients	usted mean lipoprotein ucture with as random

luseogliflozin group compared with the DPP-4i group; among the patients whose BMI was $< 25 \text{ kg/m}^2$, weight, eGFR, HDL-chol, hemoglobin, hematocrit, uric acid, and amylase significantly improved in the luseogliflozin group compared with the DPP-4i group (ESM Table S7). Among the patients whose age was < 65 years, HbA1c, weight, HDL-chol, AST, ALT, y-GTP, red blood cells, hemoglobin, hematocrit, white blood cells, uric acid, FLI, and FIB-4 index significantly improved in the luseogliflozin group compared with the DPP-4i group; among the patients whose age was \geq 65 years, weight, eGFR, hemoglobin, hematocrit, uric acid, and FLI significantly improved in the luseogliflozin group compared with the DPP-4i group (ESM Table S8). Among the patients whose eGFR was $\geq 60 \text{ mL/min/}$ 1.73 m², weight, HDL-chol, AST, ALT, γ -GTP, red blood cell, hemoglobin, hematocrit, white blood cell, uric acid, FLI, and FIB-4 index significantly improved in the luseogliflozin group compared with the DPP-4i group; among the patients with $eGFR > 45 mL/min/1.73 m^2$ and $< 60 \text{ mL/min}/1.73 \text{ m}^2$, only weight significantly improved in the luseogliflozin group compared with the DPP-4i group (ESM Table S9).

The adverse events that occurred during the study are listed in ESM Table S10. No deaths were reported in either group, and the frequency of any non-serious/serious adverse events did not differ between the groups. The most frequently occurring adverse event in the luseogliflozin group was genital pruritus (6 patients [2.0%] in the luseogliflozin group and 0 patients [0.0%] in the DPP-4i group), and that in the DPP-4i group was upper respiratory tract infection (4 patients [1.3%] in the luseogliflozin group and 10 patients [3.3%] in the DPP-4i group). The adverse events stratified by the baseline BMI and age are listed in ESM Tables S11 and S12, respectively.

DISCUSSION

This study showed that luseogliflozin improved the composite endpoint of HbA1c, weight, eGFR, systolic blood pressure, and pulse rate for 52 weeks compared with DPP-4is, suggesting the mid-/long-term overall efficacy of luseogliflozin. Previous studies reported the efficacy of DPP-4is and SGLT2is in improving endpoints. Nauck et al. reported that SGLT2i reduced weight [24], whereas other studies showed that DPP-4i had a neutral effect or caused a modest increase in weight [25, 26]. Both DPP-4is and SGLT2is were shown to reduce blood pressure [27–29]; however, the degree of the reduction was greater with SGLT2is [29]. Furthermore, studies have shown that both DPP-4is and SGLT2is exhibit a renal protective effect to reduce renal albumin and either improve or ameliorate the decrease in eGFR [30-32]. Administration of luseogliflozin decreased heart rate in Japanese patients with T2DM with higher heart rate [33], and switching from DPP-4is to luseogliflozin has been shown to decrease nighttime pulse rate [34]. However, to our knowledge, few studies have investigated and compared the overall efficacy of DPP-4is and SGLT2is. When the efficacy of DPP-4is and luseogliflozin was assessed using specific endpoints in this study, although weight significantly decreased in the luseogliflozin group, changes in HbA1c, eGFR, and pulse rate did not differ significantly between the groups. Although systolic blood pressure significantly decreased at week 24, this observation disappeared at week 52. The proportion of patients with improved HbA1c was comparable in both groups, and the proportion of patients with improved eGFR, systolic blood pressure, and pulse rate was significantly higher in the luseogliflozin group than in the DPP-4i group at week 24, but not at week 52. In contrast, the proportion of patients who achieved the composite endpoint was significantly higher in the luseogliflozin group than in the DPP-4i group at weeks 24 and 52. This finding suggests the importance of assessing multiple aspects regarding the effects of diabetes management. Although the final goal of diabetes management is to prevent or suppress complications and maintain the quality-of-life of patients, as described in the consensus statements of the ADA and EASD [11] or the Japanese guidelines [10], it is challenging to assess these in general practice. Therefore, this study employed the composite endpoint of surrogate markers (HbA1c, weight, eGFR, systolic blood pressure, and pulse rate), which can be measured easily also by general practitioners.

The proportion of patients who achieved the composite endpoint was significantly higher in the luseogliflozin group than in the DPP-4i group, regardless of BMI or age-or rather the proportion of patients who achieved the composite endpoint—was higher by age. Although the proportion of patients who achieved the composite endpoint was lower by HbA1c at baseline was higher in the DPP-4i group, it was consistently higher regardless of HbA1c at baseline in the luseogliflozin group. These results suggested the overall beneficial effect of luseogliflozin in a wide range of patients with T2DM. Older people tend to have more comorbidities and problems with polypharmacy [35-37]. The multiple benefits of luseogliflozin in older patients could reduce the number of prescriptions, improve medication adherence, and improve patients' quality of life. The results of this study also suggested the safety profile of luseogliflozin, regardless of BMI or age-or rather the frequency of adverse events-was numerically lower even in the nonobese patients or older patients. Since luseogliflozin induces body weight reduction, attention should be paid to a decrease in muscle mass, especially in older non-obese patients, which may cause sarcopenia and frailty. However, a single-arm intervention study reported that decrease in skeletal muscle mass by luseogliflozin was relatively smaller than the reduction in fat mass and visceral fat area [38]. A randomized controlled trial of empagliflozin is currently in progress to assess the effect of this medication on body composition, including skeletal muscle mass, muscle strength, and physical performance, in older Japanese patients with T2DM [39]. Although this study did not measure body composition, because this study employed endpoints that could be easily measured by general practitioners, this study showed that luseogliflozin induced constant weight loss, regardless of BMI or age. In addition, although this study did not exclude patients with lower BMI ($< 20 \text{ kg/m}^2$), only a few lean patients were enrolled in this study,

probably because this study excluded patients with geriatric syndrome, such as sarcopenia, and at the launch of SGLT2is in Japan, several physicians expressed concern about the weight reduction by SGLT2is in lean patients, especially in the elderly patient population. However, a recent post-marketing surveillance of empagliflozin showed that there was almost empagliflozin-induced weight reduction in patients with BMI of $< 20 \text{ kg/m}^2$, regardless of age [40]. These results may suggest that SGLT2is do not cause remarkable or even harmful weight reduction in older non-obese patients.

Luseogliflozin also significantly improved hepatic function and HDL-chol. Furthermore, luseogliflozin increased erythropoietin and reticulocyte levels at week 2, and subsequently red blood cell counts increased at weeks 24 and 52. This result suggests that luseogliflozin promotes hematopoiesis. Since SGLT2is exert a renal protective effect [31, 32] and improve proximal renal tubule function, luseogliflozin increased the production of erythropoietin in the kidney and hematopoiesis. Luseogliflozin further improved the biomarkers of hepatic steatosis and fibrogenesis. These results also suggest the overall efficacy of luseogliflozin in a wide range of clinical aspects compared with that of DPP-4is.

This study has several limitations. First, this was an open-label study that lacked blinding for both patients and physicians, which may have caused some bias in this study. However, since the components of the composite endpoint (HbA1c, weight, eGFR, systolic blood pressure, and pulse rate) were objectively measurable, we believe that the open-label study design did not considerably introduce bias into the results. Second, this study was conducted only in medical institutions in Japan. Therefore, the generalizability of the results of this study to other countries or to patients of other ethnicities is unknown. Therefore, further international investigation is required. Third, this study did not reach the target sample size, which was set at 1000 patients; only 623 patients were enrolled and randomized. One possible reason for this undersized sample size is that this study enrolled drug-naïve or SGLT2i/ DPP-4i-naïve patients. Since DPP-4is are the

most frequently prescribed oral antidiabetic agents in Japan, with currently > 60% of patients with T2DM receiving DPP-4is, and the use of SGLT2is is increasing [12, 13], it was difficult to screen eligible candidates for this study. However, intergroup differences in the proportion of patients who achieved improvement in weight, systolic blood pressure, and eGFR were greater than those assumed in the sample size calculation. As such, pulse rate was higher in the luseogliflozin group than in the DPP-4i group; this was not previously estimated because of the lack of reference data. As a result, despite the small sample size, significant intergroup differences were detected in the proportion of patients who achieved the composite endpoint. Fourth, as rescue therapy, if HbA1c >8.5% was measured twice consecutively, or after week 24, dose change in luseogliflozin or DPP-4is and addition of oral hyperglycemic agents were allowed for better glycemic control. This may be one reason that while the significant intergroup difference was detected in the proportion of patients with improved eGFR, systolic blood pressure, and pulse rate at week 24, the significant intergroup differences disappeared at week 52. Fifth, although the original plan of this study was to conduct the stratified analysis using a baseline eGFR of < 45 mL/min/ 1.73 m^2 , $\ge 45 \text{ mL/min}/1.73 \text{ m}^2$, $< 60 \text{ mL/min}/1.73 \text{ m}^2$ 1.73 m², and $\geq 60 \text{ mL/min}/1.73 \text{ m}^2$, the patients enrolled in this study tended to have a higher eGFR $(79.9 \pm 17.6 \text{ mL/min}/1.73 \text{ m}^2 \text{ in})$ the luseogliflozin group and $80.2 \pm 19.0 \text{ mL/}$ min/1.73 m² in the DPP-4i group), and the group with $eGFR < 45 \text{ mL/min}/1.73 \text{ m}^2$ consisted only of four patients (with 51 patients in the group with eGFR $\geq 45 \text{ mL/min}/1.73 \text{ m}^2$ and $< 60 \text{ mL/min}/1.73 \text{ m}^2$ and 466 patients in the group with eGFR $\geq 60 \text{ mL/min}/1.73 \text{ m}^2$). Accordingly, statistical power may be lacking in the group with eGFR $\geq 45 \text{ mL/min/1.73} \text{ m}^2$ and $< 60 \text{ mL/min}/1.73 \text{ m}^2$ owing to the small number of patients. This deflection in eGFR might make it difficult to determine its effect on the efficacy of luseogliflozin. This could be attributed to the mode of action of SGLT2is to inhibit glucose reabsorption in the proximal renal tubule and enhance glucose excretion into urine. At the launch of SGLT2is in Japan, several physicians expressed concern that the effect of SGLT2is might be weakened or have harmful effects on patients with worsened renal function. However, the renal protective effect of SGLT2is was reported later [31, 32], and additional approval was obtained in Japan for SGLT2is for chronic kidney disease. Future analyses should include patients with lower eGFR or renal dysfunction to clarify their effects on patients with T2DM.

CONCLUSION

This study showed the overall efficacy of luseogliflozin compared with DPP-4is in the mid/long term, regardless of BMI or age. Hepatic function and HDL-cholesterol were also significantly improved in the luseogliflozin group compared with the DPP-4i group. The results suggest the importance of assessing multiple aspects regarding the effects of diabetes management.

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Compliance with Ethics Guidelines. The trial protocol was first approved by the Japan Physicians Association Institutional Review Board according to the Ethical Guidelines for Medical and Health Research Involving Human Subjects issued by the Ministry of Health, Labour and Welfare in Japan. Before enrolling the first patient, this study was registered at the University Hospital Medical Information Network Clinical Trial Registry (UMIN-CTR) (UMIN000030128) on November 27, 2017. Subsequently, following the enforcement of a new law, 'the Clinical Trials Act,' in April 2018 in Japan, this study and its protocols were again inspected and approved by the Certified Clinical Research Review Board of Toho University, which obtained certification from the Minister of Health, Labour and Welfare in Japan. After approval from the certified review board, this study was registered in the Japan Registry of Clinical Trials (jRCT) (jRCTs031180241) on March 15, 2019, which is the clinical trial registration developed by the Ministry of Health, Labour, and Welfare in Japan, according to the requirements of the Clinical Trials Act. The study was conducted in accordance with the Declaration of Helsinki and its later amendments, the Clinical Trials Act, and other current legal regulations in Japan. Written informed consent was obtained prior to treatment from all enrolled patients who met the eligibility criteria.

Data Availability. The datasets generated during and/or analyzed during the current study are not publicly available due to the lack of a statement in the study protocol enabling data sharing with a third party after the end of the study and in the informed consent documents as well as lack of approval for data sharing by the Japan Physicians Association Clinical Research Review Board.

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