



Health economic evaluation alongside the Probiotics to Prevent Severe Pneumonia and Endotracheal Colonization Trial (E-PROSPECT): a cost-effectiveness analysis

Évaluation de l'économie de la santé en parallèle l'étude E-PROSPECT : une analyse coût-efficacité

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Abstract

Purpose We sought to compare the cost-effectiveness of probiotics and usual care with usual care without probiotics in mechanically ventilated, intensive care unit patients alongside the Probiotics to Prevent Severe Pneumonia and Endotracheal Colonization Trial (PROSPECT).

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Methods We conducted a health economic evaluation alongside the PROSPECT randomized control trial (October 2013–March 2019). We adopted a public healthcare payer's perspective. Forty-four intensive care units in three countries (Canada/USA/Saudi Arabia) with adult critically ill, mechanically ventilated patients ($N = 2,650$) were included. Interventions were probiotics (*Lactobacillus rhamnosus GG*) vs placebo administered enterally twice daily. We collected healthcare resource use

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and estimated unit costs in 2019 United States dollars (USD) over a time horizon from randomization to hospital discharge/death. We calculated incremental cost-effectiveness ratios (ICERs) comparing probiotics vs usual care. The primary outcome was incremental cost per ventilator-associated pneumonia (VAP) event averted; secondary outcomes were costs per *Clostridioides difficile*-associated diarrhea (CDAD), antibiotic-associated diarrhea (AAD), and mortality averted. Uncertainty was investigated using nonparametric bootstrapping and sensitivity analyses.

Results Mean (standard deviation [SD]) cost per patient was USD 66,914 (91,098) for patients randomized to probiotics, with a median [interquartile range (IQR)] of USD 42,947 [22,239 to 76,205]. By comparison, for those not receiving probiotics, mean (SD) cost per patient was USD 62,701 (78,676) (median [IQR], USD 41,102 [23,170 to 75,140]; incremental cost, USD 4,213; 95% confidence interval [CI], -2,269 to 10,708). Incremental cost-effectiveness ratios for VAP or AAD events averted, probiotics were dominated by usual care (more expensive, with similar effectiveness). The ICERs were USD 1,473,400 per CDAD event averted (95% CI, undefined) and USD 396,764 per death averted (95% CI, undefined). Cost-effectiveness acceptability curves reveal that probiotics were not cost-effective across wide ranges of plausible willingness-to-pay thresholds. Sensitivity analyses did not change the conclusions.

Conclusions Probiotics for VAP prevention among critically ill patients were not cost-effective.

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Résumé

Objectif Nous avons cherché à comparer le rapport coût-efficacité d'un traitement avec probiotiques ajoutés aux soins habituels avec des soins habituels prodigués sans probiotiques chez les patients des soins intensifs sous ventilation mécanique dans le cadre de l'étude PROSPECT (Probiotics to Prevent Severe Pneumonia and Endotracheal Colonization Trial).

Méthode Nous avons réalisé une évaluation de l'économie de la santé parallèlement à l'étude randomisée contrôlée PROSPECT (octobre 2013-mars 2019). Nous avons adopté le point de vue d'un payeur public de services de santé. Quarante-quatre unités de soins intensifs dans trois pays (Canada/États-Unis/Arabie saoudite) prenant soin de patients adultes gravement malades sous ventilation mécanique (n = 2650) ont été inclus. Les interventions ont été les suivantes : probiotiques (*Lactobacillus rhamnosus* GG) vs placebo administrés par voie entérale deux fois par jour. Nous avons recueilli les données concernant l'utilisation des ressources en soins de santé et estimé les coûts unitaires en dollars américains (USD) de 2019 sur un horizon temporel allant de la randomisation au congé de l'hôpital / décès. Nous avons calculé des rapports coût-efficacité différentiels (RCED) en comparant les probiotiques vs les soins habituels. Le critère d'évaluation principal était le coût différentiel par événement évité de pneumonie associée au ventilateur (PAV); les critères d'évaluation secondaires étaient les

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coûts par diarrhée associée au *Clostridioides difficile* (DACD), diarrhée associée aux antibiotiques (DAA) et mortalité évitées. L'incertitude a été étudiée à l'aide d'analyses d'amorçage et de sensibilité non paramétriques.

Résultats Le coût moyen (écart type [ÉT]) par patient était de 66 914 (91 098) USD pour les patients randomisés au groupe probiotiques, avec une médiane [écart interquartile (ÉIQ)] de 42 947 USD [22 239 à 76 205]. En comparaison, pour ceux ne recevant pas de probiotiques, le coût moyen (ÉT) par patient était de 62 701 USD (78 676) (médiane [ÉIQ], 41 102 USD [23 170 à 75 140]; coût différentiel, 4213 USD; intervalle de confiance [IC] à 95%, -2269 à 10 708). En matière de rapports coût-efficacité différentiels pour les événements de PAV ou DAA évités, les probiotiques étaient dominés par les soins habituels (plus coûteux, avec une efficacité similaire). Les RCED étaient de 1 473 400 USD par événement de DACD évité (IC 95 %, non défini) et de 396 764 USD par décès évité (IC 95 %, non défini). Les courbes d'acceptabilité coût-efficacité révèlent que les probiotiques n'étaient pas rentables dans de larges gammes de seuils plausibles de volonté de payer. Les analyses de sensibilité n'ont pas modifié les conclusions.

Conclusion Les probiotiques utilisés pour prévenir la PAV chez les patients gravement malades n'étaient pas rentables.

Enregistrement de l'étude : www.clinicaltrials.gov (NCT01782755); enregistrée le 4 février 2013.

Keywords cost-effectiveness · critical care · economics · infection · probiotics · PROSPECT · ventilator-associated pneumonia

Probiotics are live microorganisms that may confer a potential health benefit on the host.¹ They are reported to enhance gut barrier function, reduce host pathogenic bacterial load, modify gut microbiota, and modulate the immune system.^{2–5} Randomized trials of probiotics suggest benefits including reduced healthcare-associated infections, including ventilator-associated pneumonia (VAP)^{6–10} and *Clostridioides difficile*-associated diarrhea (CDAD).¹¹ Nevertheless, probiotics have modest additional drug-acquisition costs associated with their use. Whether probiotics are used in critical care practice will depend on the ability of probiotics to prevent healthcare-associated infections and reduce healthcare resource consumption associated with infection. Prior health economic evaluations of other interventions for critically ill patients have shown important cost-effectiveness differences^{12–14} despite the clinical effectiveness of these interventions derived from randomized trials being uncertain.^{13–15} Cost-

effective analyses in the intensive care unit (ICU) setting are important, given that critical care is expensive^{16–20} and even minimal additional drug acquisition costs can still lead to large incremental differences in healthcare costs. Therefore, practice decisions should be guided by rigorous comparative economic and clinical effectiveness research to inform bedside care, clinical guidelines, and policy.^{21–24}

A recent multicentre blinded, randomized trial—the Probiotics to Prevent Severe Pneumonia and Endotracheal Colonization Trial (PROSPECT, www.ClinicalTrials.gov: NCT01782755)—compared the efficacy of probiotics plus usual care (probiotics group) vs placebo plus usual care (usual care group).^{25–27} The trial found no difference between probiotics and usual care regarding VAP, CDAD, antibiotic-associated diarrhea (AAD), or death.²⁸ We therefore conducted this economic evaluation alongside the PROSPECT trial (E-PROSPECT) following an *a priori* protocol.²⁹ We measured healthcare resource use and costs, within the context of clinical outcomes, to determine the incremental cost-effectiveness of probiotics in addition to usual care vs usual care alone in critically ill patients requiring invasive mechanical ventilation.

Methods

Design

The primary objective of E-PROSPECT was to estimate the incremental costs per VAP prevented associated with the use of probiotics and usual care (probiotics group) vs usual care and placebo (usual care group) during hospitalization. Secondary outcomes also assessed cost-effectiveness of CDAD, AAD, and mortality.^{25–27,29} We performed the economic evaluation from the public healthcare payer's perspective, over the time horizon of the ICU randomization to in-hospital discharge or death (Table 1). We developed the economic evaluation according to established economic evaluation guidelines, including cost-effectiveness analysis recommendations²³ and Consolidated Health Economic Evaluation Reporting Standards³⁰ (Electronic Supplementary Material [ESM] eAppendix 1) with a checklist (ESM eTable 1).

We prespecified the statistical analysis plan as part of the E-PROSPECT protocol before trial completion and unblinding.²⁹ *A priori* informed consent was obtained from each trial participant or their substitute decision-maker. This economic evaluation was approved by the Hamilton Integrated Research Ethics Board (REB) of McMaster University (project identifier: REB#: 15-322) to include clinical outcome and unit costing data at all participating centers.

Table 1 Summary of health economic evaluation framework (E-PROSPECT)

| | |
|-------------------------------|--|
| Question: | Is the use of probiotics compared with standard care without probiotics cost effective for the prevention of VAP and other clinically important outcomes (CDAD, AAD, mortality) in critically ill medical-surgical patients in PROSPECT? |
| Perspective: | Public payer (in-hospital costs) |
| Setting: | Ventilated ICU patients (44 centers, 3 countries: 41 Canada, 2 USA, 1 Saudi Arabia) |
| Comparators: | Probiotics (<i>Lactobacillus rhamnosus</i> GG) with usual care <i>vs</i> usual care without probiotics |
| Time horizon: | From ICU participant admission to hospital discharge/death (nonfixed time span) |
| Discount rate: | No discounting (no long-term follow-up > 1 year) |
| Clinical outcomes: | VAP, CDAD, AAD, length of stay, and mortality (ICU and hospital) |
| Costs: | Direct medical costs associated with treatment and complications (ICU and ward costs, personnel, medications, laboratory tests, diagnostic testing, and procedures/surgeries) |
| Evaluation: | Primary outcome: Incremental cost-efficacy ratios (ICERs) per in-hospital VAP event Secondary outcomes: ICERs for other clinically important outcomes: (i) Incremental cost per CDAD, (ii) Incremental cost per AAD, (iii) Incremental cost per death |
| Currency (price date): | United States dollars (2019) |
| Uncertainty: | Nonparametric bootstrapping to produce confidence intervals (probabilistic sensitivity analysis) Cost sampling from hospital representing each jurisdiction (stratified by jurisdiction) Sensitivity analyses to deal with structural and methodological uncertainty |

AAD = antibiotic-associated diarrhea; CDAD = *Clostridioides difficile*-associated diarrhea; ICER = incremental cost-effectiveness ratio; ICU = intensive care unit; PROSPECT = Probiotics to Prevent Severe Pneumonia and Endotracheal Colonization Trial; US = United States; VAP = ventilator-associated pneumonia

Patients

The Probiotics to Prevent of Severe Pneumonia and Endotracheal Colonization Trial was an international randomized trial in which clinicians, adjudicators, and patients were blinded. Critically ill patients received either probiotics (1×10^{10} colony forming units of *Lactobacillus rhamnosus* GG [i-Health, Inc., Cromwell, CT, USA]) or identical placebo suspended in tap water administered enterally twice daily while in the ICU. Detailed eligibility criteria are described elsewhere.²⁷

From October 2013 to March 2019, we randomized 2,653 critically ill mechanically ventilated patients. We recorded unit costs after the last patient was recruited, and prior to PROSPECT analysis and publication. We excluded from all analyses three patients who received no study product and had no data collection. In the final analysis, 2,650 patients were included; 1,332 in the placebo and 1,318 in the probiotics group.²⁸ The economic analyses were based on the intention-to-treat principle.

Clinical outcomes

We collected the clinical effects, frequencies, or proportions, per-patient event rates for all enrolled patients. The primary clinical outcome unpinning this economic evaluation was the difference in VAP infections. Secondary clinical outcomes included differences in

CDAD, AAD, and mortality. Given the in-hospital time horizon and emphasis on infection, we did not measure health-related quality of life (quality-adjusted life-years) or extrapolate lifetime outcomes.

Unit costs and health resource use

The E-PROSPECT steering committee reviewed the relative importance of cost variables.²⁹ If a unit cost for a particular line-item was considered to be small and/or infrequent, and similar¹² between the groups (and therefore unlikely to influence the incremental difference in total costs), then that line-item was not incorporated and was removed from the final analysis. We report individual unit costs per line-item per jurisdiction (ESM eAppendix 2).

Healthcare resource use was collected for 2,650 patients enrolled in 44 hospitals in three countries (41 hospitals in Canada, two in the USA, and one in Saudi Arabia).

We developed a line-item list of unit costs/healthcare resource use (by category: medications, physician/personnel, diagnostic radiology/laboratory testing, operative/nonoperative procedures and per-day hospital [e.g., hoteling] costs not otherwise encompassed) with total costing (resource use multiplied by unit cost) methodology described elsewhere (ESM eAppendix 1).²⁹ We defined hospital hoteling unit costs as direct nonmedical costs (general services/procedures which benefit more than one patient at a time, [e.g., utilities like electricity and

hydro)).^{26,27} We collected ICU or ward *per diem* costs (disaggregated where possible) based on length of stay as the hoteling costs. Duplicate disaggregated unit costs reported at a site level were removed.

We preferentially recorded unit costs published by public healthcare payers (e.g., schedule of benefits within a regional health system). For unit costs not available through the public sources, we performed a pilot study at nine participating centers (representing each jurisdiction).²⁹ A jurisdiction was defined as a territorial area (e.g., province, state, or territory) that is responsible for the costing and delivery of healthcare in that region.²⁹ We collected data from hospital's accounting, human resources, pharmacy, and radiology or laboratory departments, where available.^{12,31}

If a specific line-item unit cost was not attainable for a specific jurisdiction, we: (1) asked another site within the same jurisdiction for missing unit costs; (2) used a mean unit cost approach for the country's jurisdictions, which did report unit costs (with estimated standard errors);^{12,29,31} and, (3) when no data were available in a certain jurisdiction, we used multiple imputation or derived a cost ratio from previously acquired line-items to derive the missing unit costs.²⁹ We recorded professional consultation or procedural/surgical costing (performance, interpretation, or both), and technical costs for procedures, where applicable.

Costing, primary cost-effectiveness analysis, and subgroup/sensitivity analyses

We used descriptive analyses, including means with standard deviations (SDs), medians with interquartile ranges [IQRs], and counts with proportions to describe baseline characteristics, effects, and cost estimates where appropriate. We adjusted all costs to 2019 USD, accounting for differential inflation and currency exchange rates.^{32–35} We used international currency conversion instead of purchase power parity (PPP)-based conversions, as health-specific PPPs are not available for all countries.²⁹

For our base-case/primary analysis, individual resource use was multiplied by jurisdiction unit costs to calculate individual patient total costs.²⁹ We calculated total costs for the probiotic and usual care groups by summing each of the individual patient costs, and then dividing by the number of patients to calculate the mean cost per patient in each group. Incremental costs were taken as the difference in mean per-patient costs between groups. We defined incremental effects as the difference in proportions of clinical outcomes between groups (given differing sample sizes between groups).

For missing data, we chose imputation methods as outlined in our statistical analysis plan.^{29,36,37} In brief, we estimated an appropriate “standard dose” for nontitrated medications (e.g., chlorhexidine) and a clinically appropriate “medium dose” for various titratable medications (e.g., vasopressors, inotropes). Electronic Supplementary Material eTable 2 outlines assumptions for estimating other resource use. The incremental cost-effectiveness ratio (ICER) measured the ratio of incremental costs per incremental clinical outcome of probiotics *vs* usual care for each of the clinical outcomes (VAP, CDAD, AAD, and mortality).²⁹

We conducted prespecified subgroup analyses, including diagnostic category (medical, surgical, trauma)³⁸; age < 65 yr, 65–75 yr, and > 75 yr^{39,40}; frailty status (baseline Clinical Frailty Score > 5 *vs* < 5)⁴¹; patients who received *vs* did not receive antibiotics within two days of randomization;²⁷ prevalent (present at the time of enrolment) *vs* non-prevalent pneumonia.²⁷

To assess the uncertainty associated with cost and effects estimation, we used nonparametric bootstrapping with replacement techniques to generate 1,000 simulated pairs of costs and effects for probiotics and usual care groups for all outcomes (VAP, CDAD, AAD, mortality). We used cost-effectiveness acceptability curves (CEAC) to present the probability of probiotics being cost effective over a wide range of willingness-to-pay (WTP) thresholds. We performed sensitivity analyses with variations of estimates of pairs of potentially influential variables (e.g., per day cost of care in ICU, a time horizon of 60 days, and Canadian jurisdictions) across plausible ranges to determine if different estimates change the overall results.

We performed all analysis using Excel version 14.0.6 (Microsoft Corporation, Redmond, WA, USA), and SAS version 9.4 (SAS Institute Inc., Cary, NC, US).

Results

Characteristics of study population

Patient characteristics of the E-PROSPECT trial are as published in the trial report.²⁸ The mean (SD) age of enrolled patients was 59.8 (16.5) yr, 40.1% were female, and 76.5% were medical admissions.

Clinical outcomes and incremental effects

The main findings and clinical outcomes (event rates) of PROSPECT are described in Table 2. The difference in proportions of VAP events between probiotic *vs* placebo groups was 0.6% (21.9% *vs.* 21.3%; 95% confidence interval [CI], –2.5 to 3.7). The difference in proportions of

Table 2 Incremental cost-effectiveness ratios or dominance for primary outcome of VAP and secondary outcomes of CDAD, AAD, mortality (mean cost and effects, per patient) in E-PROSPECT

| Cost-effectiveness (VAP) | | | |
|---|-------------|-------------------|--|
| | Costs (USD) | VAP | |
| Probiotics | 66,913.96 | 0.219 | |
| Placebo | 62,700.83 | 0.213 | ICER |
| Incremental difference* (USD per VAP event) | 4,213.13 | -0.006 | Not calculable |
| | | <u>Dominance:</u> | Probiotics dominated (more costly, less/similar effective) |
| Cost-effectiveness (CDAD) | | | |
| | Costs (USD) | CDAD | |
| Probiotics | 66,913.96 | 0.014 | |
| Placebo | 62,700.83 | 0.017 | ICER |
| Incremental difference* (USD per CDAD event) | 4,213.13 | 0.003 | USD 1,473,400 |
| Cost-effectiveness (AAD) | | | |
| | Costs (USD) | AAD | |
| Probiotics | 66,913.96 | 0.596 | |
| Placebo | 62,700.83 | 0.591 | ICER |
| Mean incremental difference* (USD per AAD event) | 4,213.13 | -0.005 | Not calculable |
| | | <u>Dominance:</u> | Probiotics Dominated (more costly, less/similar effective) |
| Cost-effectiveness (Death) | | | |
| | Costs (USD) | Death | |
| Probiotics | 66,913.96 | 0.275 | |
| Placebo | 62,700.83 | 0.286 | ICER |
| Mean incremental difference* (USD per death) | 4,213.13 | 0.011 | USD 396,764 |

*Cost and effects not adjusted for censoring

AAD = antibiotic-associated diarrhea; CDAD = *Clostridioides difficile*-associated diarrhea; ICER = incremental cost-effectiveness ratio; ICU = intensive care unit; PROSPECT = Probiotics to Prevent Severe Pneumonia and Endotracheal Colonization Trial; USD = United States dollar; VAP = ventilator-associated pneumonia

CDAD events in the ICU between groups was -0.3% (1.4% vs 1.7%; 95% CI, -0.8 to 0.9). The difference in proportions of AAD events between groups was 0.5% (59.6% vs 59.1%; 95% CI, -3.2 to 4.2). The difference in proportions of hospital mortality events between groups was -1.1% (27.5% vs 28.6%; 95% CI, -4.5 to 2.3). There were no important differences in effects between groups for any of the primary or secondary outcomes.²⁸

Healthcare resource use and costs

Resource use and mean unit cost are outlined in ESM eTable 3. Healthcare resource use varied in key areas

between probiotics and usual care groups: personnel (ICU physician, ICU nurse, pharmacist, respiratory therapist, physiotherapist, dietician, social worker, and unit clerk) and ICU hoteling (22,824 vs 21,103 days; 17.3 vs 15.8 days/patient; mean difference, 1.5 days/patient; 95% CI, -0.2 to 3.1; $P = 0.08$), invasive ventilator days (13,853 vs 13,496 days; 10.5 vs 10.1 days/patient; mean difference, 0.38 days/patient; 95% CI, -0.5 to 1.2; $P = 0.37$).

The mean (SD) cost per patient was USD 66,914 (91,098) for the probiotic group (median [IQR], USD 42,947 [22,239 to 76,205]) and compared with USD 62,701 (78,676) for usual care (median [IQR], USD 41,102 [23,170 to 75,140]). The incremental cost per patient

between groups was USD 4,213 (95% CI, -2,269 to 10,708; $P = 0.20$) (Table 2).

Primary cost-effectiveness analysis with subgroup and sensitivity analyses

The E-PROSPECT CEA is presented in ESM eAppendix 3. For the primary, base-case analysis, probiotics were dominated (more expensive, less or similar in effectiveness) by the usual care strategy for VAP events (Table 2) on the cost-effectiveness plane (Fig. 1). Therefore, an ICER was not calculated for VAP.

All ICERs and cost-effectiveness plots for CDAD, AAD, and mortality for secondary outcomes are presented in Table 2 and ESM eFigs 1–3, respectively. The ICER for CDAD was USD 1,473,400 per CDAD event (95% CI, undefined). Probiotics for AAD were dominated by usual

care. For mortality, the ICER was USD 396,764 per death (95% CI, undefined).

The CEACs are presented in Fig. 2 for VAP. Probiotics were again dominated by usual care for VAP. Across a WTP threshold of USD 0 to USD 50,000 per VAP event, probiotics were only cost effective in ~31% of simulations (Fig. 2). Other CEACs for CDAD, AAD, and mortality are shown in ESM eFigs 4–6. Usual care remained the most economically attractive strategy for all reasonable WTPs.

Our prespecified subgroup analyses (age, diagnostic category, frailty status, antibiotics within two days, prevalent vs non-prevalent pneumonia, and jurisdiction) revealed no differences between subgroups for cost-effectiveness (ESM eTable 4).

In sensitivity analyses, the usual care strategy remained the most cost-effective strategy when hoteling costs varied from USD 2,000 to 4,000 per ICU day. When using a time

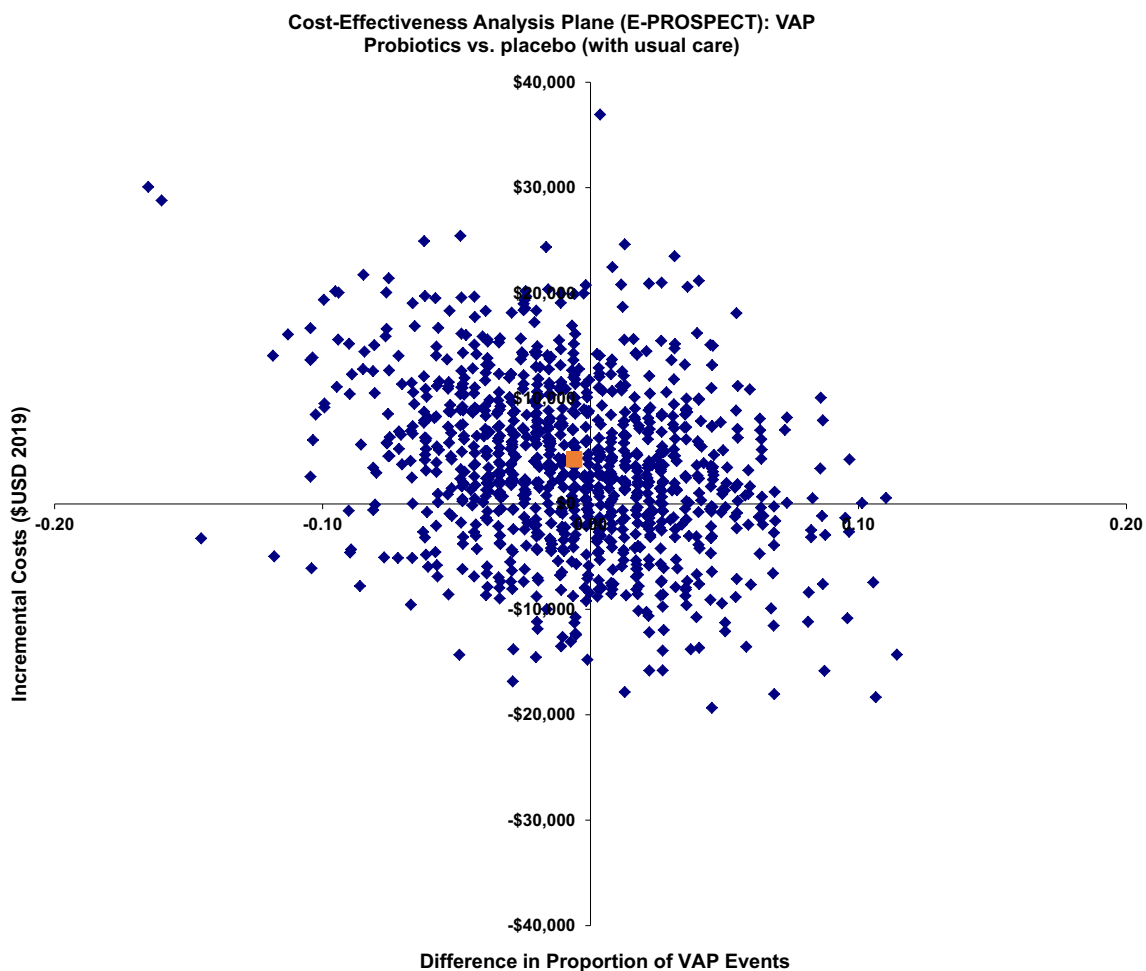
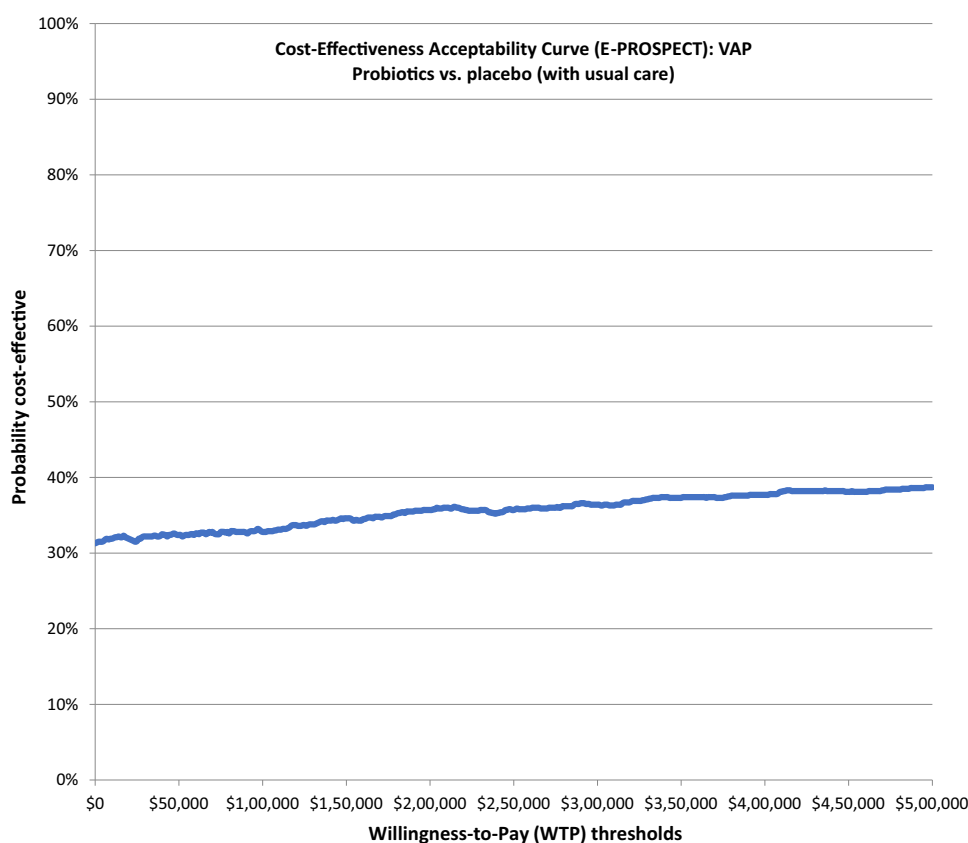


Fig. 1 Incremental cost-effectiveness plane for VAP (probiotics vs usual care): point-estimate (red) and nonparametric bootstrapping simulations (blue). Point-estimate indicates that overall probiotics were more expensive and more harmful compared with usual care (probiotics are dominated by usual care).

CI = confidence interval; ICER = incremental cost-effectiveness ratio; ICU = intensive care unit; PROSPECT = Probiotics to Prevent Severe Pneumonia and Endotracheal Colonization Trial; USD = United States dollar; VAP = ventilator-associated pneumonia

Fig. 2 Cost-effectiveness acceptability curve for VAP (probiotics vs usual care) for varying WTP thresholds. Probiotics were only cost-effective compared with usual care in 31% of scenarios, which only increased to 39% at a willingness-to-pay threshold of 500,000 USD.

CI = confidence interval; ICER = incremental cost-effectiveness ratio; ICU = intensive care unit; PROSPECT = Probiotics to Prevent Severe Pneumonia and Endotracheal Colonization Trial; USD = United States dollar; VAP = ventilator-associated pneumonia; WTP = willingness-to-pay



horizon of 60 days, probiotics remained dominated by usual care (USD 58,404 vs USD 55,932; mean incremental cost difference, USD 2,471; 95% CI, $-1,339$ to $6,282$; $P = 0.21$), although with lower mean incremental cost difference compared with the base case (ESM eFigs 7, 8). For Canadian jurisdictions only (ESM eFigs 9, 10), probiotics were still dominated by usual care (USD 64,176 vs USD 59,593; mean incremental cost difference, USD 4,583; 95% CI, $-1,530$ to $10,696$; $P = 0.14$). These parameter variability and sensitivity analyses did not change the outcomes or overall conclusions for VAP.

Our tornado diagram indicates that probiotics were not a major cost driver in E-PROSPECT (Fig. 3), while ICU hoteling, ICU nursing, ward nursing, ward hoteling, and other personnel were the major cost drivers. In one-way sensitivity analysis, varying the cost of probiotics from USD 0.78 to USD 20 resulted in an incremental cost difference of only USD 440 (ESM eFig. 11).

Discussion

We found that using the probiotics *Lactobacillus rhamnosus GG* in addition to usual care was more costly than usual care alone and associated with similar rates of VAP,²⁸ implying the probiotics are not a cost-effective

treatment strategy for mechanically ventilated critically ill adults (although uncertainty remains given the nonparametric bootstrap findings based on this single study). Subgroup and sensitivity analyses (including shortening to 60-day time horizon, adjustments in per ICU-day hoteling costs, and focusing on Canadian jurisdictions only) did not alter these conclusions.

Our findings from E-PROSPECT supplement the clinical findings from PROSPECT, which showed a lack of clinical benefit with probiotics.²⁸ PROSPECT and E-PROSPECT results differ importantly from prior randomized controlled trials summarized in a systematic review and meta-analysis showing probiotic efficacy¹⁰ and a systematic review of health economic evaluations of probiotics showing cost-effectiveness for preventing healthcare-associated infections.⁴²

Despite a lack of important differences in ICU hoteling length of stay (difference of only 0.65 days per patient) in PROSPECT, at the health economic population level, there were an overall additional 1,721 ICU days in the probiotics group compared with the usual care group. This additional time in ICU was the largest incremental cost-driver of comparative resource use in the health economic evaluation, despite no clinical difference seen in PROSPECT.

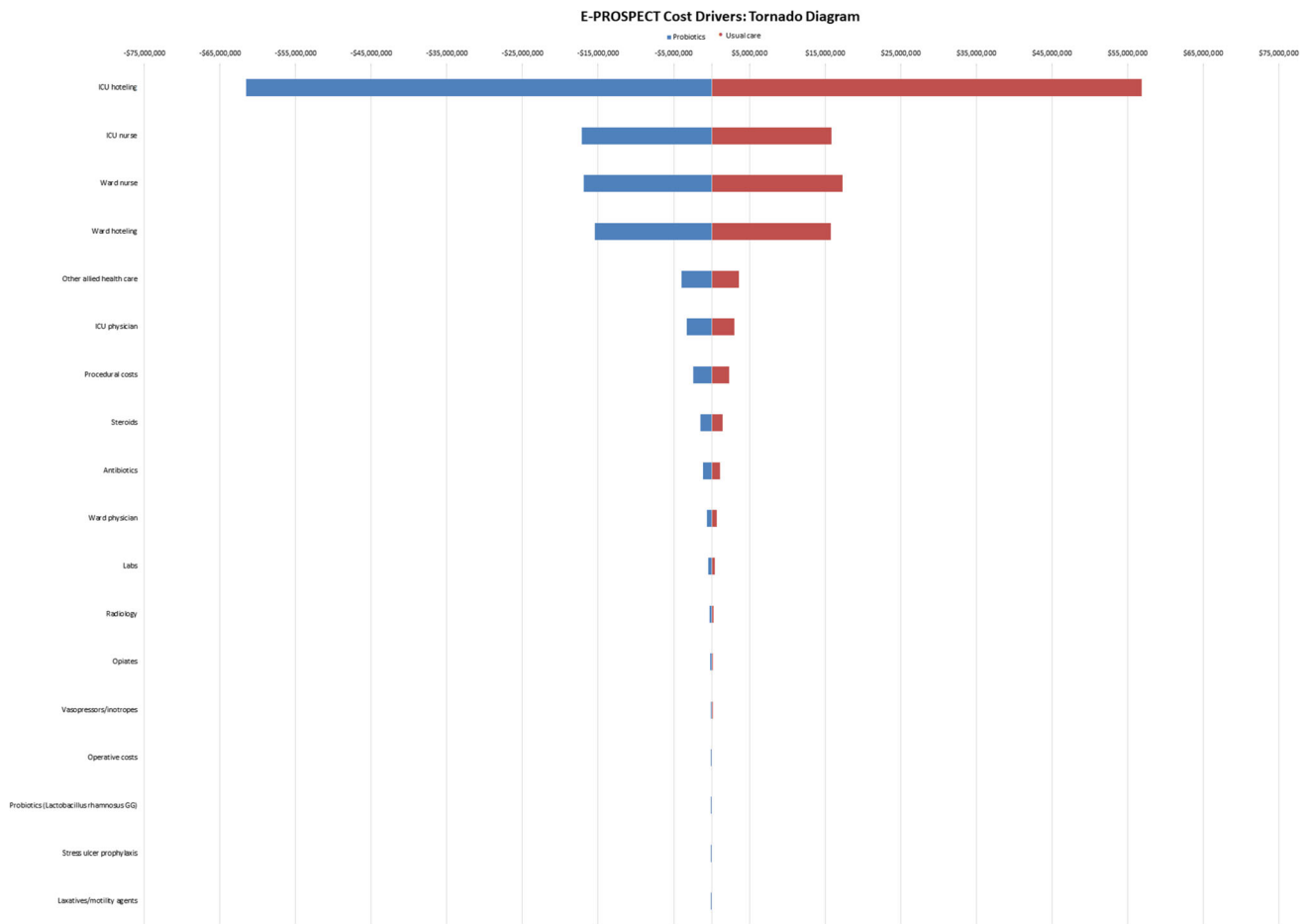


Fig. 3 Tornado Diagram of Cost Drivers in E-PROSPECT

This highlights an important difference in reporting between health economic evaluations (focusing on cost estimation with means and SDs) vs clinical trial frequentist inferences (focusing on statistical significance with 95% CIs or *P* values). The E-PROSPECT findings exemplify how a nonsignificant clinical difference in length of stay may still have an important impact on incremental cost estimation in a health economic evaluation. Clinicians and health policymakers may still need to consider these important costs from a broad population perspective and budgetary standpoint. Every dollar spent for a nonbeneficial or not cost-effective intervention is an opportunity cost for other interventions in a finite system, with potential harms to other patients within budgetary constraints.⁴³

Ventilator-associated pneumonia prevention bundles include multiple interventions despite low- to moderate-quality evidence (e.g., chlorhexidine oral decontamination),^{44,45} which has led clinicians to re-evaluate indiscriminate use of these interventions. Further studies exploring VAP prevention should rigorously evaluate both effectiveness and costs. Some guidelines do

not recommend prescribing probiotics in selected populations,⁴⁶ while other guidelines do not recommend for or against the routine use of probiotics in standard-of-care VAP prevention bundles.^{47–52} In light of the findings from PROSPECT and E-PROSPECT, we do not suggest the routine incorporation of probiotics into VAP prevention bundles.

There are several strengths of this study. The protocol was prospectively designed with collection of predetermined costs and effects, including preplanned subgroup and sensitivity analyses of both the trial and economic evaluation to minimize bias.²⁹ Clinical effects and costs are based on patient-level data from a randomized trial (rather than model-based, hypothetical cohorts with inputs incorporated from multiple sources), increasing the internal validity for both costs and effects. Capturing jurisdictional costs and effects with their own distributions and variance allowed for a more precise estimate of between-group differences, which increases the generalizability of these findings. Finally, this economic analysis was funded by peer-reviewed sources and the

funding agency took no role in the study design, conduct, analysis, interpretation, or decision to publish.

This study also has limitations. First, the relatively short time horizon (time to in-hospital discharge/death) may miss additional costs associated with downstream health consequences secondary to VAP (e.g., physiotherapy, rehabilitation, home oxygen, outpatient healthcare use, etc.). Second, patient-reported outcomes such as quality-of-life were not measured in the trial. Clinical outcomes might therefore not fully capture the impact of treatments in ICU on quality-of-life. Third, this health economic evaluation derived data from a randomized trial and our findings may not represent the same treatment effects and costs as in routine clinical practice.¹² In addition, although PROSPECT and E-PROSPECT compared the probiotic *Lactobacillus rhamnosus* GG with placebo and usual care, effectiveness and cost-effectiveness analyses may differ with other probiotics, strains, and doses.

Conclusions

When considering a public healthcare payer's perspective, administration of *Lactobacillus rhamnosus* GG for VAP prophylaxis in critically ill patients had similar effects but incurred higher costs than usual care did. This analysis suggests that incorporating probiotics into VAP prevention bundles will unlikely benefit patients or healthcare systems from a clinical or cost-effectiveness standpoint, and even nondiscriminate use of probiotics should be avoided.

Author contributions All authors all have: (1) made substantial contributions to conception and design, acquisition of data, analysis and interpretation of data; and (2) drafted the submitted article and revised it critically for important intellectual content. *Vincent I. Lau, Feng Xie, Robert A. Fowler, Bram Rochweg, Jennie Johnstone, and Deborah J. Cook* contributed to the conception of the manuscript. *Vincent I. Lau, Feng Xie, Robert A. Fowler, Bram Rochweg, Jennie Johnstone, and Deborah J. Cook* contributed to the background of the manuscript. *Vincent I. Lau, Feng Xie, Robert A. Fowler, Bram Rochweg, Jennie Johnstone, Diane Heels-Ansdell, and Deborah J. Cook* contributed to data analysis.

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